

1 *Review*

2 **Building principles for constructing a mammalian** 3 **blastocyst embryo**

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7 Received: date; Accepted: date; Published: date

8 **Abstract:** The self-organisation of a fertilised egg into an embryo of three distinct cell lineages
9 (trophoblast, epiblast and hypoblast) arranged around an off-centre cavity typifies the blastocyst, an
10 embryonic structure that is unique to mammals. While the starting point (the zygote) and endpoint
11 (the blastocyst) are similar in all mammals, the intervening events have diverged. This review
12 examines and compares the descriptive and functional data surrounding embryonic gene activation,
13 symmetry-breaking, first and second lineage establishment and fate commitment in a wide range of
14 mammalian orders. The exquisite detail known from mouse embryogenesis, embryonic stem cell
15 studies and the wealth of recent single cell transcriptomic experiments are used to highlight the
16 building principles underlying early mammalian embryonic development.

17 **Keywords:** lineage determination; patterning; blastomere polarization; compaction; cleavage stages;
18 morula; gene regulatory networks

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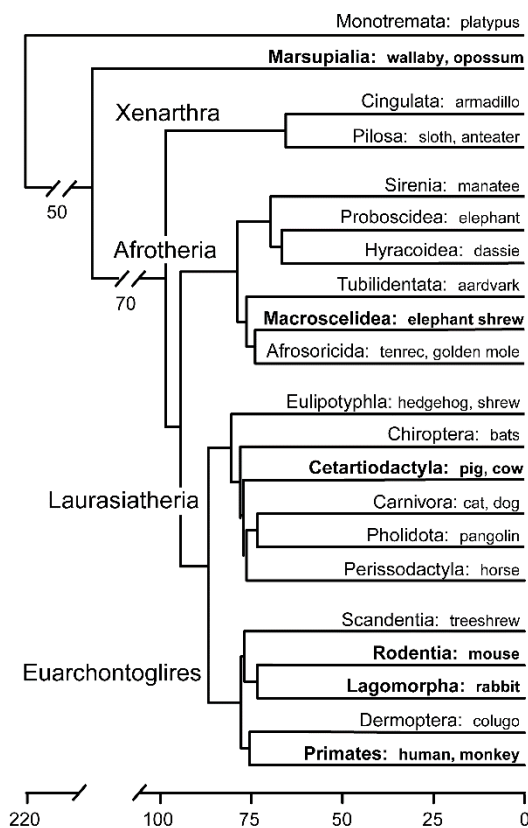
55 1. Introduction

56 The German word “Bauplan” (building plan/blueprint) was first used by Woodger [1] to describe
57 the archetypical body plan of a particular group of animals. The architectural connotation is useful, as
58 in many ways the formation of an embryo resembles the building of a house: in both, a three-
59 dimensional structure is created from a limited set of building materials, with construction following a
60 set of principles that have been optimised over time for cost, speed and quality. Designs are adapted to
61 local material availability, the environment and the future uses of the building. For an embryo, the
62 building materials are the different types of cells and extracellular secretions, which appear to have
63 been carefully designed to fit together in very limited ways (the building principles) thanks to highly
64 detailed instructions encoded by a temporal series of gene regulatory networks. The truly amazing part
65 though, is that no “outside” help is required in the building of an embryo. How is this possible? In this
66 first review covering only the initial steps of embryogenesis, I will address the remarkable innovations
67 which evolution has selected to automate the generation of a fairly consistent mammalian late-
68 blastocyst Bauplan, while starting out from a myriad of divergent initial environments.

69 1.1. Mammalian early embryological diversity

70 Before delving into mechanistic aspects, a brief description of mammalian taxonomy, early
71 embryogenesis and the bewildering nomenclature (Table 1) is warranted. Class Mammalia consists of
72 eutherian (placental) mammals, monotherian (marsupial) mammals and their sister group, the egg-
73 laying monotremes. Within the evolutionary successful eutherians there are 19 extant orders divided
74 into 4 four superordinal groups: Euarchontoglires (e.g. primates, rodents, rabbits), Laurasiatheria (e.g.
75 carnivores, ruminants, pigs, horses, bats, whales), Afrotheria (e.g. elephants, sea cows, tenrecs) and
76 Xenarthra (e.g. armadillos, anteaters), all of which last shared a common ancestor about 100 million
77 years ago (Fig. 1) [2]. Before the molecular era, the embryology of representatives of numerous of these
78 orders were examined [3], however during the last 40 years, investigations increasingly focused on only
79 a couple of species, most prominently the easily kept laboratory animals (mouse, rat, rabbit) with some
80 additional work done on farm animals.

81 Excitingly, with new molecular technologies, the wealth of mechanistic insight into development
82 that could previously only be obtained from the mouse model, is being applied to re-investigate
83 embryos from other mammalian orders, opening a new phase of comparative molecular embryology
84 that is revealing (somewhat ironically) that early mouse development is atypical of most mammals.
85



86

87 **Figure 1.** Divergence time (in millions of years) of all extant mammalian orders [2] and their associated
 88 superordinal groupings. Groups discussed are shown in bold.

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91 **Table 1.** Glossary of embryological terms (in bold), alternatives often used (in regular font) in various species
 92 and their definition as used in this review.

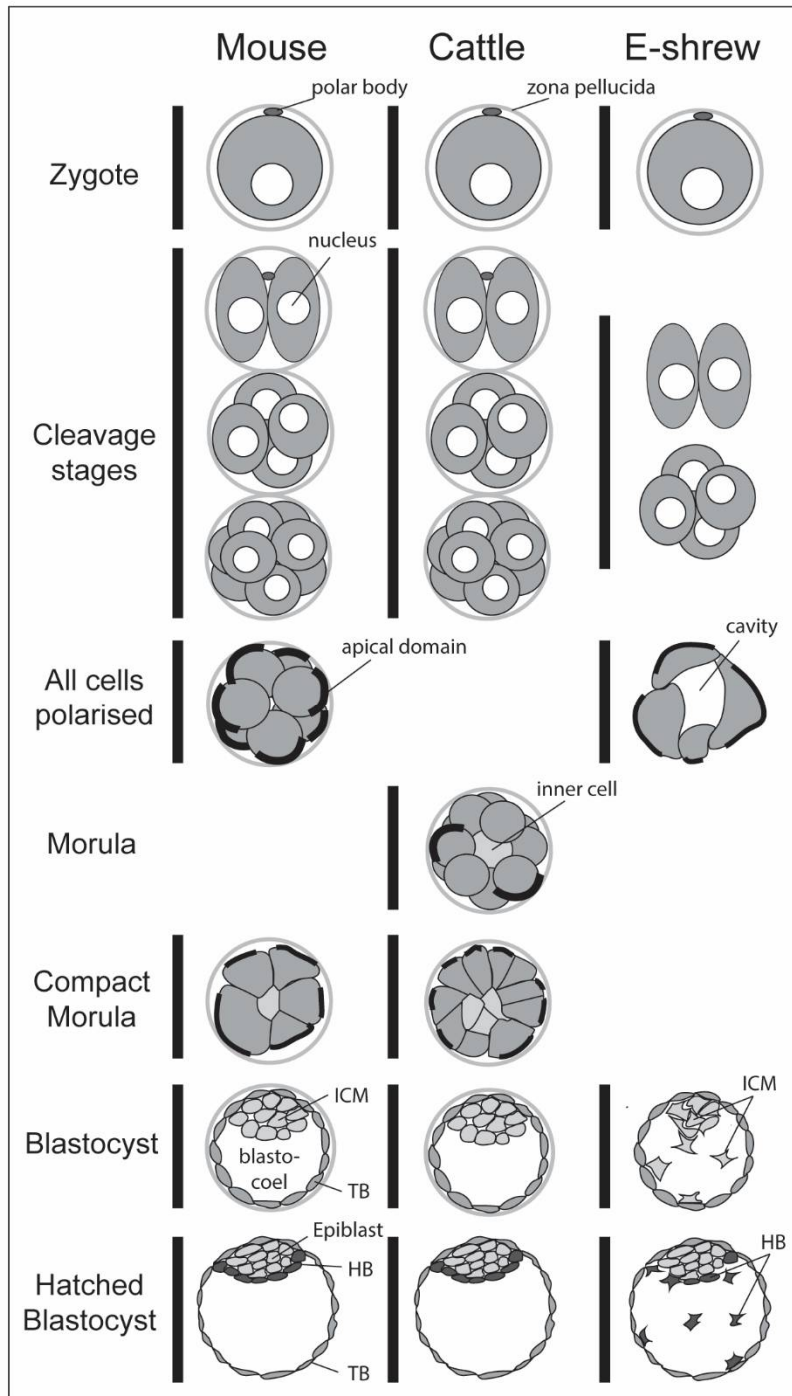
term and/or abbreviation	equivalent (species)	description
conceptus		Refers to all tissue derived from the zygote (embryonic and extraembryonic).
embryo	embryo proper	Before gastrulation equivalent to conceptus. From gastrulation stages refers to the embryonic parts of a conceptus that will give rise to the foetus as opposed to the extraembryonic membranes and placenta.
Epi, epiblast		Derived from ICM, progenitor population of the three germ layers as well as the amniotic ectoderm and primordial germ cells.
HB, hypoblast	Primitive endoderm (mouse)	Cells differentiated from the ICM not contributing to the epiblast. The hypoblast will give rise to the inner layer of the yolk sac and, in primates, to extraembryonic mesenchyme.
ICM, inner cell mass	Pluriplast (marsupial)	Cells giving rise to epiblast and hypoblast.
primitive endoderm	HB, Hypoblast	Primitive endoderm is an alternative name to hypoblast and not to be confused with true (definitive) endoderm
pTB, polar trophoblast	Rauber's Layer (cow)	Trophoblast overlying the ICM or epiblast
TB, trophoblast	TE (mouse)	Extraembryonic layer: cells giving rise to the conceptus-derived part of the chorionic membrane and subsequently the fetal part of the placenta
TE, trophoctoderm	TB	During blastocyst stages, before overt differentiation, the trophoblast epithelium is often termed trophoctoderm

93

94

95 *1.2 Morphological events leading to the blastocyst*

96 Eutherian mammalian development (Fig. 2) commences after fertilisation, when the zygote
97 undergoes several cleavages without concomitant growth. The resultant blastomeres are contained by
98 a proteinaceous zona pellucida shell. In Laurasiatherians and Euarchontoglires (which together
99 constitute most mammals), the blastomeres compact together to form a morula with the outermost cells
100 forming an epithelium. The innermost cells are apolar and their number increases by cell division and
101 contributions from the outside layer. Eventually a cavity develops (the blastocoel), marking the onset
102 of the blastocyst stage, characterised by an outside layer of polarised cells termed the trophoblast or
103 trophoderm and a clump of inner cells called the ICM (inner cell mass). In contrast, in Afrotherians
104 of the orders Macroscelidea (elephant shrew) and Afrosoricida (tenrec), the morula stage is bypassed
105 (Fig. 2), with blastomeres first forming a hollow unilaminar vesicle, from which future ICM cells bud
106 off, as in the elephant shrew [4], or in which future ICM cells proliferate in one region and somehow
107 relocate to the inside of the vesicle as in tenrecs [5]. The net result in all cases is a locally bilayered
108 structure with trophoblast, which faces the maternal environment on the outside, covering the ICM
109 cells located asymmetrically on the inside. Note that in marsupial mammals a trophoblast/ICM bilayer
110 is not formed, instead, a large patch of the unilaminar vesicle becomes the pluriplast with a fate
111 equivalent to the eutherian ICM. The trophoblast will contribute to the fetal part of the placenta but not
112 to the fetus (embryo proper). The next morphological event is the segregation of hypoblast cells, which
113 form a unicellular layer beneath the ICM. From this point in development the ICM is referred to as
114 epiblast. While not discussed in this review, hypoblast cells will give rise only to extraembryonic
115 components of the conceptus, such as the inner layer of the yolk sac and, in primates, extraembryonic
116 mesenchyme which, together with epiblast-derived extraembryonic mesoderm forms most of the
117 umbilical cord and the connective tissue and blood vessels supporting extraembryonic membranes. The
118 epiblast forms all parts of the embryo proper as well as contributing to extraembryonic tissues such as
119 the amnion, allantois and extraembryonic mesoderm.



120
 121 **Figure 2. Main developmental stages in an Euarchontoglirian (mouse), Laurasiatherian (cattle) and**
 122 **Afrotherian (elephant shrew).** While the sequence of morphological events is largely conserved, some species
 123 lack certain stages: mouse embryos compact already at the 8-cell (cleavage) stage, thus skipping the un-
 124 compacted morula stage; cattle embryos do not pass through a stage where all blastomeres are polarised and
 125 elephant shrews miss out on morula stages altogether and lose their zona pellucida prematurely, immediately
 126 after the 1-cell stage. The cattle sequence also applies to the Euarchontoglirian rabbit and human embryo. HB;
 127 hypoblast; ICM, inner cell mass; TB, trophoblast.

128

129

130 2. Gearing up for autonomy (cleavage stages)

131 One of the most difficult challenges for multicellular animals is the maternal to embryonic
132 transition, which involves the activation of the newly conjoined genetic material so as to drive the
133 embryonic gene program, while at the same time maintaining basic cellular needs using the stores of
134 protein and RNA inherited from the mother via the egg. Embryonic gene activation (EGA, also called
135 zygotic genome activation) occurs in mammals during the cleavage stages in successive “waves” or
136 phases of gene set activations of increasing magnitude. The major phase, involving the switching on of
137 over a thousand genes, happens at the late 2-cell stage in mice, at 4 cells in rabbits, 4-8 cells in humans
138 and pigs and 8-16 cells in cattle [6]. How is this brought about? Two non-exclusive mechanisms are
139 conceivable. 1.) An external trigger, as supplied by the sperm or from the maternal reproductive tract,
140 kick-starts EGA. 2.) An internal time delay mechanism operates, involving the gradual decay/dilution
141 of inhibitors and/or the activation of “pioneer” factors stored in the egg.

142 2.1. Triggering EGA via an external signal

143 The fact that eggs (i) can be activated to undergo EGA and further development without sperm,
144 and (ii) can be fertilised and grown outside the maternal environment, argues against the importance
145 of external stimuli. However, very recently the Banerjee lab found an extraordinary link between EGA
146 and pyruvate availability [7]. It has long been known that pyruvate is preferred to glucose as energy
147 substrate for mammalian cleavage-stage embryos [8], as their low energy requirements results in a high
148 ATP:ADP ratio which inhibits phosphofructokinase, the gatekeeper for glucose glycolysis [9]. Nagaraj
149 and co-workers now showed that prior to EGA, pyruvate is required to translocate the mitochondrial
150 enzymes involved in the first half of the tricarboxylic acid (TCA) cycle into the nucleus! Removal of
151 pyruvate blocks the embryo before EGA and prevents histone modifications associated with the
152 opening up of chromatin (H3K4 and H3K27 acetylation; H3K27 trimethylation), due to a reduction of
153 the metabolites required for these modifications [7]. This mechanism appears to be conserved: in
154 humans, pyruvate dehydrogenase, required for Acetyl-CoA production, is found in the nucleus only
155 from the 4 to 8 cell stages, concomitant with EGA in this species [7]. Thus, pyruvate availability in the
156 oviduct regulated by the mother in a time- or location-dependent fashion (the embryo is propelled
157 along the oviduct toward the uterus during cleavage stages), may function as an extrinsic mechanism
158 that, even if not sufficient, is necessary for EGA activation.

159 2.2. Intrinsic embryonic genome activation

160 Does an internal delay mechanism operate as well, such as is seen in the fruit fly *Drosophila*, where
161 the maternally encoded zinc-finger protein Zelda has been identified as the main activator of the
162 embryonic genome [10]. It appears mammals may also have a master EGA activator (Fig. 3A), in the
163 form of the double-homeodomain-containing DUX-C protein [11-13]. Both the human homologue,
164 DUX4, and the mouse homologue, Dux, are expressed before the first EGA phase in each species.
165 DUX4/Dux overexpression in embryonic stem cells induces hundreds of genes known to be
166 upregulated at the start of EGA. This gene activation was shown to be associated with the opening up
167 of chromatin specifically around EGA target genes, concomitant with direct Dux binding [13]. Lastly,
168 embryonic Dux depletion leads to defective EGA in mouse embryos [12]. Two features are likely to
169 have contributed to the selection of DUX-C orthologues as the instigators of EGA. First, the increased
170 and potentially synergistic binding provided by two separate DNA binding domains within one
171 protein are likely to make this transcription factor a pioneer factor, and indeed DUX4 was shown to be
172 able to bind at DNaseI inaccessible sites and, via p300/CBP recruitment, to acetylate histone H3 at lysine
173 27 to open up chromatin [14]. Secondly, the *DUX4* gene is found in tandem repeats within individual
174 units of macrosatellite repeat regions, making it the highest copy-number protein-coding gene within
175 the human genome. Indeed, *DUX-C*-family homologues (*DUX-C*, *DUX4*, *Dux*) in species from all four
176 mammalian superordinal groups show a macrosatellite tandem-array organisation with very high copy
177 numbers [15]. Thus even a modest transcriptional activation of each gene copy will result in an overall

178 massive burst of protein, able to drive a crucial event such as the activation of the genome. The *DUX*-
179 C-containing macrosatellites are found either close to the telomere ends (or in mice, a chromosomal
180 fusion point marking a prior telomere end) or close to the centromere (pericentrosomic) [15]. Such
181 regions generally form constitutive heterochromatin which is kept in a transcriptionally tightly
182 repressed state via distinct epigenetic mechanisms [16]. So how are the *DUX*-C genes then activated? It
183 has been shown that upon fertilisation the mouse egg enters a globally transcriptionally permissive
184 state characterised by (i) very extensive low-level transcription even in intergenic and repetitive regions
185 and (ii) an independence of enhancer elements with spurious transcriptional initiation occurring at
186 cryptic promoters [17]. This permissive state is likely driven by a loosening of chromatin, as
187 demonstrated by ATAC-sequencing [18] and the detection of increased histone mobility [19] seen
188 specifically at the mouse zygote stage. Whether such low-level ubiquitous transcription, in combination
189 with the now accessible and extremely high abundance of *DUX*-C genes, is sufficient to generate
190 sufficient Dux-C protein to initiate its downstream effects, remains to be determined.

191 Comparing human *DUX4*- and mouse Dux-activated genes in human as well as mouse cells,
192 revealed the existence of two sets of target genes that overlapped extensively with genes activated
193 during EGA [11-13]. One set, recognised by the more conserved (second) *DUX4*/Dux homeodomain,
194 was recognised by both *DUX4* and Dux, as well as by the dog *DUX*-C homologue. The second set of
195 target genes was specific to each species with many downstream of included distinct retroviral repeat
196 elements: human *DUX4* strongly activated the HERVL, whereas mouse Dux activated the MERVL-
197 associated genes [11]. It had previously been discovered that many critical EGA genes are under the
198 control of ERVL long terminal repeat enhancers [20]. Now it became evident that many of these
199 enhancers are driven by *DUX*-C proteins. The picture that thus emerges (Fig. 3B,C) is one where all
200 *DUX*-C family members recognise targets that form the core of an ancestral EGA network, whereas
201 EGA genes that have subsequently come under the control of particular retrotransposon classes
202 represent species-specific refinements. The binding and transcriptional activation by *DUX*-C locally
203 counteracts the increasingly repressive chromatin state that spreads after the brief transcriptionally
204 permissive period, thereby contributing to the establishment of large (median length 40 kbp) stretches
205 of open chromatin during an “early” or “minor” EGA wave [13, 18]. These open regions typically are
206 found downstream of Dux-bound, transcribed ERVL elements which drive the expression of early EGA
207 genes. The early EGA genes presumably include transcription factors initiating the “major” wave of
208 EGA. In the mouse major EGA was shown to involve a novel chromatin signature characterised by
209 open chromatin centred over (i) promoters, (ii) distal stage-specific enhancers and (iii) transcriptional
210 end sites [18]. In humans, one of the early EGA specific genes activated via ERVL-driven transcription
211 is *LEUTX*, a paired α -type homeodomain-containing transcription factor that was shown to activate
212 thousands of genes, including the repressor *DPRX*, which binds similar targets to *LEUTX* thereby
213 restricting the extent of EGA [21]. Interestingly no mouse *LEUTX* homologue exists [21] indicating that
214 these early events have diverged considerably, in line with the use of species-specific *DUX*-C activated
215 ERVL elements and the different timing of EGA.

216 2.3. Achieving totipotency

217 While the EGA gene regulatory networks may show only limited overall resemblance between
218 different mammals, certain critical genes (the ancestral set) may need to be activated by all mammals
219 [22]. One such gene is *Zscan4*, which was shown to be activated in mice and humans by *DUX4*/Dux [13]
220 and is required to ensure recombination-mediated telomere extension, which is necessary to rejuvenate
221 and preserve the chromosome ends which are eroded during gametogenesis [23, 24]. Most importantly
222 though, is the EGA dependent activation of the master regulatory genes that set up the blastomeres to
223 be able to generate every cell of the conceptus (foetus and extraembryonic/foetal membranes), that is,
224 to be “totipotent”.

225 Totipotency of peri-EGA embryos was first dramatically shown in rabbits [25] and mice [26], when,
226 after ablation of one blastomere of the 2-cell embryo, the remaining blastomere could result in normal
227 young. In sheep (a Laurasiatherian), four lambs were born by separating the blastomeres from one 4-

228 cell embryo and transferring these cells, embedded in agarose blocks into separate recipient ewes [27,
229 28]. Even in humans related experiments have been executed, showing that individual blastomeres
230 from a 4-cell embryo are able to reform four entire blastocysts [29].

231 Yet at some point post-EGA, totipotency begins to be eroded as master lineage regulators compete
232 with each other to eventually establish lineage specific gene regulatory networks (GRN) that stably
233 restrict the fate of subpopulations of embryonic cells. Using a variety of transplantation, cell mixing
234 and embryo chimera experiments, it has been shown that the fate of individual cells gradually becomes
235 specified and then stably set (“committed”) along fixed developmental trajectories at species-specific
236 times (reviewed previously [30]). An exciting question, dealt with in the next section, is how this
237 specification and commitment is achieved and how well these processes are conserved across different
238 mammalian orders.

239 In summary, the embryo acquires autonomy post-fertilisation under the permissive influence of
240 externally supplied pyruvate, entering a state of transcriptional promiscuity that leads to the initial
241 activation of pioneering factors such as the multicopy DUX-C family, which instigate a minor wave of
242 embryonic gene activation. Numerous of these early EGA genes are driven by long terminal repeat
243 enhancers derived from species-specific retrotransposon families, thus allowing their co-ordinate
244 activation. Some of the minor EGA genes, such as LEUTX in humans, lead to major EGA, characterised
245 by the totipotency of the blastomeres.

246 3. Creating two environments in the morula (inside and outside)

247 3.1. Establishment of protein heterogeneity

248 How are *different* stable lineage gene regulatory networks first established when starting from
249 embryonic genome activation that occurs in every blastomere? An important consideration is that *EGA*
250 *is not uniform*. Unequal expression levels of genes in sister blastomeres arise with high statistical
251 likelihood, particularly for genes expressed at relatively low levels. Two often concurrent mechanisms
252 contribute to this: unequal partitioning of cytoplasm (unequal segregation) upon cell division and the
253 inherent “noise” of transcription, arising because transcription occurs in short but intense bursts [31].
254 Detailed studies in mice have indicated the early presence of biases in mRNA or protein expression
255 from the 2-cell stage onward. These include differences (i) at the 4-cell stage in the epigenetic modifier
256 Prdm14 [32], (ii) in Carm1-mediated histone 3 arginine methylation [33], (iii) in DNA binding kinetics
257 of the ICM-markers Oct4 (Pou5f1) and Sox2 [34, 35] and (iv) in mRNA levels of the trophoblast markers
258 Cdx2 [36] and Sox21 [37]. Numerous bimodal expression patterns (high in one blastomere, absent in the
259 other), particularly in genes involved in Wnt signaling, were reported at the mouse 2-cell stage [38].
260 Mathematical modelling incorporating segregation effects, transcriptional noise and feedback loops, in
261 combination with single cell expression analyses using mouse and human datasets, suggested that such
262 early, stochastically arising biases of potential lineage specifiers are likely to guide, but not fully
263 determine lineage trajectories, as at the 8-cell stage such biases could still be reversed [36]. Thus it
264 appears that *the establishment of heterogeneity is a cell-intrinsic and gradual, progressive process* (Fig. 3D).

265 3.2. Blastomere polarisation

266 While these heterogeneities in gene expression arise, cell division without cell growth results in an
267 increasing number of blastomeres of decreasing size confined within the proteinaceous envelope of the
268 zona pellucida. Blastomeres are initially of rounded appearance and loosely apposed to each other but
269 soon acquire polarity, establishing apical domains enriched for microvilli, F-actin and an apical protein-
270 complex containing Pard3,6 and aPKC [39]. In mice, this polarisation process occurs at the 8-cell stage
271 (Fig. 3E). It appears to be a cell-intrinsic autonomous event as it occurs in all blastomeres, even when
272 these are kept separated from the 4-cell stage [40]. However, cell-cell contact, mediated either by the
273 ubiquitously expressed E-Cadherin (Cadh-1) - or potentially by Cadherin-3 [41], synchronises and
274 speeds up the onset, and directs the axis of polarisation such that the apical domain forms in the centre
275 of the contact-free zone [42]. Importantly, the apical domain attracts one end of the spindle axis thereby

276 strongly biasing the plane of the subsequent cleavage [43]. This can lead to completely asymmetric
277 divisions, where one daughter cell does not inherit any part of the apical domain and thus is apolar.

278 The embryo thus now consists of two different types of cells – polar and apolar (Fig. 3E,F). These
279 cells have measurable differences in key lineage determinants: polar cells express more of the
280 trophoblast lineage determination gene *Cdx2* and less of the ICM lineage marker *Sox2* [43]. *Cdx2* is a
281 target of Tead4, a transcription factor that is upregulated by the Yap protein [44]. To be active, Yap has
282 to escape phosphorylation by Lats [45]. This is achieved only in polarised cells, in which the apical
283 domain sequesters Angiomin [43], thereby preventing it from activating Lats [45, 46]. The same
284 pathway, involving active Yap and Tead4, simultaneously downregulates *Sox2* in these polar cells [47].
285 Aside from the apical domain/Yap-mediated pathway in transcriptional partitioning of *Cdx2* and *Sox2*,
286 the apical domain is also able to sequester *Cdx2* messenger RNA via a mechanism that involves the
287 apical domain component aPKC and the terminal 97 nucleotides of the *Cdx2* coding region [48].

288 3.3. Blastomere positioning within the morula

289 It follows from this sequence of events that the position of a blastomere within the embryo is
290 important to its eventual fate. The embryo volume is limited by the enclosing zona pellucida, so as the
291 blastomere number increases, some cells will land up on the inside, surrounded on all sides by other
292 cells. These inside cells are apolar. Why? At the 8-cell stage, when mouse blastomeres acquire polarity,
293 the apical domain will face outwards as this is the only contact-free zone. Due to the subsequent bias
294 for a radially orientated spindle axis, cleavage will be predominantly asymmetric [43, 49, 50]. In the
295 original polarity model, it was assumed that this would inherently lead to apolar daughter cells situated
296 on the inside [51]. However, time lapse observations revealed significant numbers of apolar cells on the
297 outside [52, 53]. Some apolar outside cells, characterised by enhanced Yap activity, secondarily acquire
298 polarity and form an apical domain on their contact-free membranes, while others internalise [52]. This
299 internalisation process is closely linked to compaction. In mice compaction is seen nearly concurrently
300 with polarisation and involves the E-Cadherin mediated adhesion process, whereby blastomeres flatten
301 against each other to form a compacted morula [54]. Compaction creates tension between adjacent cells.
302 Between the 8 and 16 cell stage some of this tension is driven by E-Cadherin-expressing filipodia – at
303 the 8-cell stage half the blastomeres extend these filipodia onto the apical surface of two neighbouring
304 cells for 5 hours then retract them during the next hour before the next cell division. Interference with
305 filipodia formation (by knock-down of E-Cadherin, its interaction partners α - and β -Catenin or Myosin-
306 X or by F-actin inhibition) abolished or delayed compaction, while Myosin-X overexpression caused
307 premature compaction at the 6-cell stage [55].

308 The high tensile forces arising through the compaction process work in concert with constriction
309 occurring at the apical side of those cells that, after division, are positioned closer to the centre. Such
310 apical constriction reduces the apical area and conversely increases the basolateral surface, thereby
311 gradually positioning the cell closer to the embryo centre. Constricting cells, seen predominantly from
312 the 12-cell stage, were shown to be enriched for Myosin-II [56]. Remarkably, enrichment of cortical
313 myosin coupled to higher contractility could be linked to apolar cells, which thus internalise in
314 preference to the polar cells. Polar cells, by virtue of inheriting the “stiff” apical domain are better in
315 resisting apical constriction and remain on the outside [57]. Whether the extensive changes in tension
316 and cell-shape accompanying internalisation of cells (so-called “mechanosensing”) controls YAP
317 activity redundantly to the apical-domain mechanism outlined previously, remains to be seen [57, 58].

318 So what emerges from these studies in mouse embryos is a self-organising or self-regulative
319 process, whereby distribution of polarity genes in cell-contact free regions leads to asymmetry within
320 each cell. Because the mitotic spindle aligns with the resulting apical domain, asymmetric divisions
321 ensue, generating two types of cells: polar or apolar. Apolar cells have little or no apical domain, which
322 predisposes them to be positioned towards the inside through the tensile forces generated by
323 compaction. The absence of the apical domain concurrently shuts down Yap activity thus biasing inside
324 polar cells to an ICM-like GRN (Fig. 3F). Thus morphological events set up lineage gene regulatory
325 networks. What is still unclear, is whether and how these morphological events relate to the early

326 heterogeneities in gene and protein expression and kinetics seen in 2 to 8-cell mouse embryos. Several
327 questions remain to be answered. Do the early biases affect the decision of an 8-cell blastomere to divide
328 symmetrically (thus generating two polar daughter cells) or asymmetrically (thus contributing a polar
329 cell that is likely to form ICM)? Alternatively, do the early biases affect the timing of cell division? Such
330 altered timing would have downstream lineage determining effects as divisions of blastomeres isolated
331 from 8-cell embryos, after compaction has commenced, are more likely to be asymmetric than divisions
332 of pre-compaction blastomeres [49]. Conversely, do the morphologically-induced biases simply
333 reinforce (or annul) earlier gene expression biases? Additive effects may be necessary to drive
334 expression of particular lineage-determining master genes over a critical threshold that allows, via
335 feedforward loops and negative feedback of alternate lineages, the stabilisation of a particular lineage-
336 GRN [59, 60].

337 3.4. Polarisation, compaction and cell positioning in other mammals

338 Is the mouse situation characteristic for other mammals? Similar to embryonic genome activation,
339 polarisation lags behind the mouse in cattle and rabbits, occurring at the 9-15-cell stage in cattle and
340 from the 32-cell stage in rabbits [61]. Interestingly though, only around 40% of cattle blastomeres are
341 polarised between the 9 and 74-cell stage (maximally 52%) - similarly, only 46% of rabbit blastomeres
342 are polarised [61]. Thus unlike mice, in these mammals the *acquisition of polarity*, as opposed to the *loss*
343 of previously acquired polarity (via asymmetric divisions), leads to two morphologically distinct cells
344 (Fig. 3E,F). Secondly, compaction in cattle does not occur at the same stage as polarisation, starting only
345 at the 32-cell stage, more than a full cell division later than polarisation [62]. Allocation of cattle
346 blastomeres to the inside was seen to occur both well before compaction (1-2 inner cells in 20% of 16-
347 cell embryos) and after compaction [62]. Thus blastomere positioning is temporally more loosely
348 coupled to any tensile compaction forces that may actively drive ICM-lineage biased apolar cells to the
349 interior of the embryo. Remarkably, in members of two mammalian orders belonging to Afrotheria
350 (Figure 1), there are no “inside” cells at all initially and no morula stage exists. Instead, blastomeres of
351 the streaked tenrecs of Madagascar (*Hemicentetes semispinosus*; Order Afrosoricida) line up after the
352 second division (4- to 8-cell) along the zona pellucida to form a one-layered (unilaminar) embryo with
353 a central cavity. By the 16-cell stage inner cells have accumulated at one pole of this cavity [5]. Elephant
354 shrews (*Elephantulus myurus*; Order Macroscelidea; Fig. 2) lose their zona pellucida already by the 2-
355 cell stage and from the 4-cell stage must have formed tight junctions as they have developed a cavity
356 in their centre [4]. As the blastomeres divide they remain on the outside of this unilaminar blastula-like
357 embryo until the 120-cell stage when cell divisions originating in all areas of the blastula wall lead to
358 rounded daughter cells that protrude towards the inside and develop long pseudopodia. These cells
359 detach and eventually lose their pseudopodia and coalesce to form the inner cell mass [4, 63]. The
360 formation of a unilaminar vesicle/blastula is reminiscent of marsupials, the sister group of the
361 eutherians, though in these animals the cells equivalent in fate to the eutherian ICM do not migrate to
362 the inside. These comparisons suggest that the early differential loss of polarity (or differential absence
363 of a gain in polarity) is the critical eutherian adaptation that inherently led to two distinguishable cell
364 types (polar/epithelial versus apolar) that would consequentially be positioned in two territories
365 (outside versus inside; Fig. 3G). The mouse studies clearly demonstrate how changes in polarity can be
366 coupled to lineage cues. From an evolutionary perspective, the early partitioning into inside and
367 outside lineages enables early outer lineage-specific specialisations required for subsequent
368 implantation. Secondly, the epithelialisation of the outer layer provides a protected environment (in
369 the absence of an egg shell) for the further specialisation of the inner lineage.

370 3.5. Epithelialisation of the outer cells

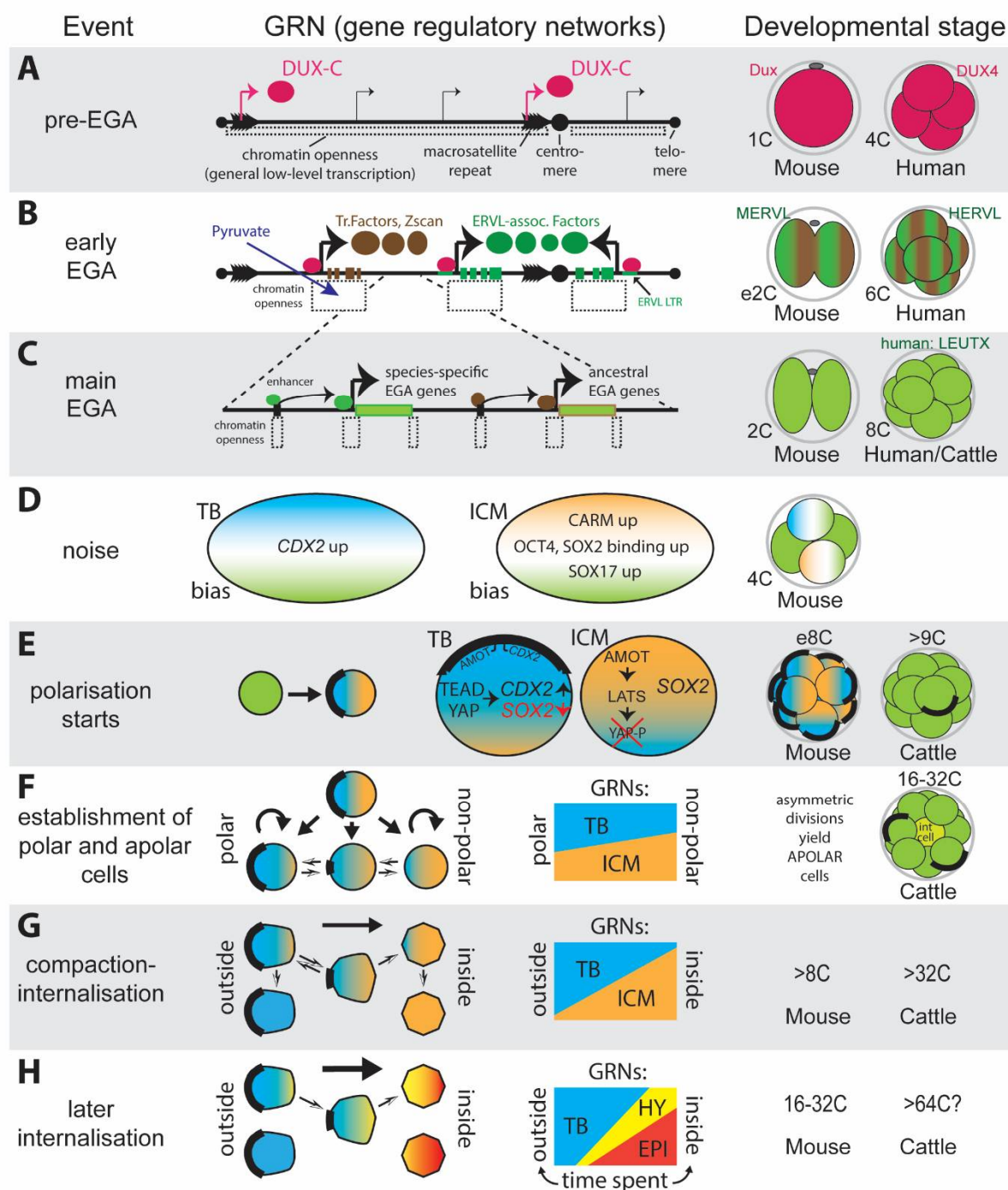
371 The polarisation of outer cells combined with the upregulation of proteins required to form
372 various junctions (tight junctions, adherent junctions, desmosomes and gap junctions [64]), allows the
373 formation of an epithelial layer. This layer becomes impermeable from the end of the morula stage [65].

374 The sealing of the epithelial layer is accomplished by F-Actin rings that form around microtubule-
375 enriched apical domains to then expand toward cell-cell junctions, where they couple with
376 neighbouring actin rings. The coupling involves tight junction molecules such as ZO1 and adherent
377 junction components including E-Cadherin and β -Catenin [66]. Once coupled, Myosin-II is attracted
378 and provides a mechanical tensional force that is necessary for the “zipping up” of the Actin rings,
379 which seals the embryo [66]. Once sealed, the activity of various outer-cell specific Na^+/K^+ -ATPase
380 dependent pumps in combination with Aquaporins leads to the directional flow of solutes and water
381 into the interior of the embryo [67]. This results in the formation of the blastocoel, a fluid filled cavity
382 that marks the transition from the morula to the blastocyst stage.

383 Specifically in outer cells, ATP requirements rise as the energy hungry Na^+/K^+ -ATPases begin
384 pumping sodium ions into the emerging blastocoel cavity [68]. Secondly, from the morula stage all
385 blastomeres require more energy (ATP) than at the early cleavage stages as cell divisions are
386 accompanied with growth and therefore increased protein synthesis [69, 70]. The higher energy
387 demands are met by the consumption of glucose in addition to pyruvate. Interestingly, in mice inner
388 cells convert the majority of the glucose substrate to lactate via the oxygen-independent, but energy-
389 inefficient metabolic pathway of glycolysis [71]. The cytosolic NAD^+ used during glycolysis is
390 replenished (i) partly by reducing pyruvate to lactate which is then secreted, and (ii) partly by
391 blastomeres taking up aspartate and converting it to malate (the Aspartate-Malate shuttle, or MAS),
392 which is subsequently oxidised in the mitochondrion [9]. For outer cells, glycolysis does not provide
393 sufficient energy for their higher demands and thus a significant fraction of the consumed glucose is,
394 after conversion to pyruvate, transported into the mitochondria to undergo oxidative phosphorylation.
395 Overall, oxygen consumption increases [72, 73], particularly in the outer cells, and this creates free
396 oxygen radicals. Some of the radicals are mopped up by blastomeres diverting glucose to the pentose
397 phosphate pathway which results in the production of the antioxidant glutathione [9]. This is critical as
398 excess free radicals created by increased oxidative phosphorylation (oxidative stress) leads to an arrest
399 or impairment of development [74]. Intriguingly, Tead4, which is one of the Tead proteins interacting
400 with Yap1 and thus involved in outer cell lineage specification, was shown to be essential for mediating
401 the embryos balancing act between obtaining sufficient energy while not succumbing to oxidative stress
402 damage [75]. While *Tead4* deficient embryos do not develop to the blastocyst stage *in vivo*, they are able
403 to develop normally and activate lineage specific genes *in vitro*, but only under conditions in which
404 oxidative stress is minimised. It is unclear how Tead4 mediates this effect, but it is likely to be linked to
405 its unique (among Tead proteins) translocation to mitochondria [75]. Notably, expression of TEAD4
406 predominantly in outer cells has been reported in rat, cattle, rhesus monkey and human blastocysts
407 [76]. While knock-down of *TEAD4* in cattle embryos via siRNA did not result in increased susceptibility
408 to oxidative damage [77], this evidence is inconclusive as residual TEAD4 message and protein may
409 have sufficed to prevent developmental arrest.

410 In view of the observation that TEAD4 is also detected in marsupial cleavage stage embryos [78],
411 it is clear that this protein mediates an ancestral function during mammalian early development. It will
412 be interesting to see whether the prime (ancestral) function lies in protecting the future trophoblast cells
413 from oxidative damage, incurred through the energy demands to generate a blastocoel, or in specifying
414 these very same cells via the TEAD family's interactions with YAP1 to regulate lineage determination
415 genes.

416



417

418

Figure 3. A summary of pre-blastocyst mammalian development with a focus on gene expression.

Post-fertilisation but prior to embryonic gene activation (EGA) a loosening of chromatin leads to transcription of the

highly repetitive *DUX-C* genes found in macrosatellite regions.

DUX-C protein binding leads to further chromatin opening and transcription of early-EGA target genes. This may require exogenous pyruvate dependent

tanslocation of mitochondrial TCA enzymes into the nucleus. Binding of the more divergent first homeodomain

of *DUX-C* occurs particularly in the LTR of ERVL-like retrotransposons and leads to species specific transcription

of EGA factors. Binding via the second *DUX-C* homeodomain in turn activates sets of evolutionarily conserved

EGA genes. C. During main EGA (shown in green), transcription factors previously activated by *DUX-C*, such as

LEUTX in humans, lead to EGA in an increasingly restrictive chromatin environment.

D. Levels or binding kinetics of lineage specific transcription factor protein or transcripts, activated during EGA, show regional heterogeneity

due to stochastic (noise) events and leads to a potential bias in lineage, shown by blue for trophoblast and orange

428

429 for inner cell mass. E. Formation of apical domains (AD) leads to asymmetry within blastomeres due to tethering
430 of *Cdx2* RNA and the YAP-inactivator AMOT. Cells with an AD thus are biased towards a trophoblast fate. F. In
431 mice, the default state is polarisation: non-polar cells are generated via asymmetrical division. Cells with less AD
432 are biased toward the ICM lineage. In other mammals the default state is non-polar and blastomeres gradually
433 acquire polarity. A relationship between presence of an AD and lineage bias has not yet been examined. G.
434 Compaction aids the internalisation of non-polar cells to the inside of the embryo. A strong lineage bias is seen. H.
435 Cells internalised earlier appear to be biased toward the epiblast lineage, those later to the hypoblast lineage. At
436 this stage numerous cells are committed to either TB or ICM-derived lineages while the hypoblast-epiblast lineages
437 within ICM progenitor cells are not yet resolved.

438 4. Establishing the first lineages (the blastocyst)

439 As the blastocoel cavity expands, the inner cells are pushed to one side of the embryo.
440 Morphologically, two different cell types corresponding to the first two lineages are now visible. These
441 are, firstly, the mesenchymal-like inner cells, now termed the inner cell mass/ICM, and secondly, the
442 surrounding unicellular layer of epithelialized prospective trophoblast cells. The ICM will give rise to
443 the epiblast and hypoblast (primitive endoderm) forming the embryo proper as well as numerous
444 extraembryonic tissues. The outer epithelial layer will contribute cells only to the placenta [79, 80]. It is
445 trophoblast cells that establish the initial contacts with the maternal uterine epithelium, which leads to
446 attachment and subsequently implantation of the conceptus. Interestingly, embryos of different
447 mammalian species attach at widely disparate stages of their embryonic development ranging from
448 pre-blastocyst (elephant shrew) to late blastocyst stages in which the epiblast has just begun to form
449 (rodents, primates), to embryos that are already in the midst of neurulation and early somite formation
450 (sheep, cattle, pigs) or have even reached limb bud stages (horses) [3]. Such alternate timing may
451 impose different requirements on the relative speed at which the trophoblast has to commit to its fate
452 [81].

453 4.1. The timing of the first lineage commitments

454 Commitment is defined experimentally by the ability of cells to maintain their fate when placed in
455 a different ectopic environment. Waddington famously pictured the analogy of a ball running down a
456 slope containing forked valleys – once in a valley it is committed to that trajectory or fate as it can no
457 longer reach parallel valleys due to the intermediate ridges [82].

458 In mice, detailed lineage tracking in unperturbed embryos revealed that the 16-cell embryo is the
459 earliest stage exhibiting cell fate bias, but only blastomeres of the 32-cell embryo are lineage restricted,
460 giving rise to either trophoblast or ICM *but not both* [50]. Competency-probing aggregation experiments
461 showed that the outer, prospective trophoblast cells of 16-cell (compacted morula stage, see Fig. 2)
462 embryos were not yet committed to that fate [83, 84], but once embryos had started to cavitate after the
463 32-cell stage (early blastocysts), commitment had occurred [85]. This is about two cell cycles or one and
464 a quarter days before implantation at the 160-cell stage on Day 4.5 [86]. The interval between
465 commitment and implantation provides time for differentiation of trophoblast to occur. Interestingly,
466 mouse blastomeres from the inside of the embryo only commit to an ICM fate later, somewhere between
467 mid (64-cell) and late blastocyst stages [85, 87-89]. In *human* mid-blastocyst stage embryos (up to 45-
468 cell), the outer cells, while fated to become trophoblast, are not committed, as shown by being able to
469 form NANOG-expressing ICM when aggregated to each other. By late Day 5 (late/expanded blastocysts
470 or >180 cells) they are committed [90]. Embryos implant at E7, > 260-cell stage [86], which provides an
471 interval of one and a half days between commitment and implantation, which corresponds to only one
472 cell division. Commitment of *cattle* trophoblast cells was tested by sandwiching outer prospective
473 trophoblast cells between younger uncommitted blastomeres and tracking their fate. This revealed no
474 commitment even at the late blastocyst stage (Day 7), but trophoblast cells were committed by Day 14,
475 a time point just prior to gastrulation [81]. Attachment to the uterus occurs only on Day 21 [91]. In this
476 mammal, though commitment is much delayed in relation to mice, it nevertheless precedes
477 implantation, in this case by at least a week. In sum we can conclude that trophoblast lineage

478 commitment is a prerequisite for implantation and that trophoblast commitment occurs at species-
479 specific developmental time points that are related to the time point of implantation.

480 4.2. Setting up stable lineage gene regulatory networks (GRNs)

481 Preceding fate commitment is lineage specification which is dictated via a cell's gene regulatory
482 network. With the advent of technologies allowing dozens of genes or even the entire transcriptome to
483 be measured in single cells, in combination with dimensionality reduction methods such as Principle
484 Component Analysis (PCA) or Diffusion Maps (DM) it has been possible to graphically compare the
485 GRNs among blastomeres of an embryo and from different embryos. Such analyses in human, monkey,
486 mouse and cattle blastomeres [92-96] revealed that blastomeres between egg and morula stages
487 separate according to developmental age, forming a distinct stage-dependent progression in a PCA or
488 DM representation. Blastomeres from the same stage clustered together. However, from morula to
489 blastocyst stages, the GRNs of individual blastomeres of the same stage segregated either into two
490 populations, correlating to trophoblast and ICM cells, or into three populations mapping to trophoblast,
491 epiblast and hypoblast cells.

492 How does lineage specification relate to the experimentally determined lineage commitment time
493 points? In mice, specification and commitment follow each other closely. Trophoblast cells became
494 committed as well as transcriptionally distinct from ICM cells and uncommitted 16-cell blastomeres at
495 the early blastocyst stage (32-cell). In contrast, uncommitted 32-cell ICM cells were already
496 transcriptionally distinct, though they did show a closer resemblance to the GRN of uncommitted 16-
497 cell blastomeres than did 32-cell trophoblasts [93]. The commitment of human trophoblast progenitors
498 at late blastocyst stages [90] tracks a day after the emergence of their distinct GRN in *early* blastocysts
499 [92, 94, 97]. In cattle, trophoblast cells begin to acquire a distinct GRN beyond the *late* blastocyst stage
500 and even at this stage (the latest examined) some 7% of blastomeres could not be assigned by their gene
501 expression profile to either lineage [95]. Commitment had not occurred at this stage [81]. We can
502 conclude that GRN lineage specification generally occurs before commitment, but can also occur
503 simultaneously as seen for mouse trophoblast. Secondly, GRN distinction between trophoblast and
504 ICM cells occurs at distinct stages in different mammals - for mouse and human in early blastocysts,
505 in cynomolgus macaque monkeys at early to mid-blastocyst stages (E6-7,75 – 200 cells) [96] and in cattle
506 beyond the late blastocyst stage. Yet the order in which the first three lineages become transcriptionally
507 distinct is conserved. In mice the segregation of the first two lineages (trophoblast and ICM) occurs one
508 cell cycle before ICM-like cells resolve into epiblast and hypoblast lineages [93]. In humans initial
509 reports suggested that all three lineages appear to arise simultaneously [94], however subsequent
510 reanalysis of the data and a wealth of novel data indicated that in both human and monkeys a cell cycle
511 separates these events [92, 96, 97].

512 In view of the different timings of implantation, lineage specification and commitment as well as
513 the prior events of embryonic genome activation driven by enhancers from species-specific
514 transposable elements, how (dis)similar are the first lineage GRNs and the mechanisms of their
515 establishment and stabilisation? Up to morula stages distinct gene-co-expression modules identified in
516 humans were largely preserved in mice, though the timing differed [22]. Thereafter, upon lineage
517 specification, both conserved and species-specific (non-conserved) networks could be detected when
518 comparing lineage-GRNs of different mammals [96, 98, 99]. While global expression studies are ideal
519 for identifying new lineage-specific marker genes, additional loss and gain of function experiments are
520 required to determine the functional importance of candidate genes. Overwhelmingly, such in vivo
521 experiments have been performed only in mice. However, our understanding of lineage networks has
522 also benefited enormously from in vitro studies using embryo-derived stem cell populations
523 representing cells of various lineages that have adapted to proliferate stably in the presence of
524 particular culture media and components. It should though be born in mind that such cell populations
525 (2-cell-like, naïve and primed mouse and human embryonic stem cells (ESC), induced pluripotent stem
526 cells (iPSC), epiblast stem cells, mouse trophoblast (TS) and hypoblast (XEN) stem cells) only

527 approximate their source cells as the embryonic environment differs from culture media and rapidly
528 changes over time (an exception being diapause).

529 4.3. The trophoblast lineage

530 Focussing only on transcription factors, as these are fundamental in setting up transcriptional
531 programs, the earliest trophoblast-specific markers in mouse embryos are *Cdx2* and *Id2*, followed by
532 *Eomes*, *Elf5*, *Gata2*, *Gata3*, *Tfap2a* and *Tfap2c* [93, 99-101]. Of these eight factors, *ID2*, *ELF5* and *EOMES*
533 are not expressed at all in human preimplantation embryos [98]. Similarly in cattle and pigs, *ELF5* and
534 *EOMES* are not expressed in trophoblast before the blastocyst has hatched [102-104]. While *ID2* is
535 initially ubiquitously expressed in cattle embryos, at late blastocyst stage it is found in epiblast and
536 hypoblast rather than trophoblast [95].

537 4.3.1. CDX2

538 Notably though, unique CDX2 expression in preimplantation trophoblast is highly conserved,
539 having been recorded in humans [105], monkeys [96], cattle [106], pigs [107], rabbits [108] and even in
540 the opossum, a marsupial mammal [78]. Yet the onset of *Cdx2* expression differs among species,
541 suggesting that its ancestral function lies not in the specification, but rather the stabilisation/survival of
542 the trophoblast lineage. This is borne out by loss of function experiments. In the mouse, loss of *Cdx2*
543 prevented the upregulation of trophoblast-specific genes and affected blastocyst hatching and
544 trophoblast cell number yet trophoblast was formed [109-112]. Similarly, in rhesus monkeys, cattle and
545 pigs, *Cdx2* knock-down still allowed trophoblast formation, but led to proliferation and hatching
546 defects and downregulation of trophoblast-specific genes [81, 107, 113-115].

547 In mice *Cdx2* is activated at the 8-cell stage primarily via the Yap-TEAD4 pathway, with Yap1
548 activated via a mechanism involving the apical domain of polarised blastomeres or potentially via
549 mechanosensing (see previous section). However redundant mechanisms appear to exist. Firstly,
550 mouse embryos lacking *Tead4* can still activate *Cdx2* under conditions where *Tead4*'s unique metabolic
551 functions are bypassed [75]. Potentially *Tead1* and/or *Tead2*, which (i) are also expressed at the 8-cell
552 stage [116], (ii) have identical DNA binding properties to *Tead4* [117] and (iii) are able to interact with
553 Yap [118], can compensate for *Tead4* under these conditions. Secondly, *Cdx2* activation in the mouse
554 involves *Tfap2c* protein, which can directly regulate *Cdx2* transcription via an enhancer located in its
555 first intron [119]. *Tfap2c* also affects components of the apical domain, thereby indirectly (via YAP)
556 activating *Cdx2* [119]. Thirdly, the *Gata3* transcription factor regulates *Cdx2* transcription via the same
557 intronic *Cdx2* enhancer [120]. Fourthly, Notch signalling can co-operate with Yap in activating a second
558 trophoblast enhancer (termed TEE) located upstream of the *Cdx2* gene [121].

559 Yet unlike the early, pre-morula activation of *Cdx2* in mice, CDX2 protein is seen in outer cells
560 only from blastocyst stages in rabbit, pig, cattle and human. This is well after polarisation and
561 differential Yap signalling, suggesting that the key role of YAP activation in the onset of CDX2
562 transcription may not be conserved. The gene networks activated by CDX2 similarly may be quite
563 distinct in different mammals. For example, the mouse *Cdx2* targets *Eomes* and *Elf5*, critical for mouse
564 trophoblast maintenance, are not expressed early on in numerous other mammals. Secondly, the
565 downregulation of *OCT4* (which drives the ICM/pluripotency GRN) by CDX2 is seen in the mouse [110,
566 111, 122], but not in cattle [81]. On the other hand, activation of *BMP4* may be a more common function
567 of this transcription factor. *Cdx2* binds to a trophoblast-enhancer of *Bmp4*, activating transcription in
568 mouse trophoblast stem cells [123]. Furthermore, in pig blastocyst embryos, modulation of CDX2 levels
569 affected *BMP4* transcription [107]. Thus while CDX2 is likely to be a pan-mammalian master regulator
570 of the trophoblast lineage and required for its maintenance, the mechanism of its activation as well as
571 of its actions appear to have diverged among mammals.

572 4.3.2. GATA2, GATA3

573 In mice *Gata2* and *3* are both expressed from cleavage stages in all cells but become restricted to
574 the outer, trophoblast cells in late blastocysts [100]. Similar to *Cdx2*, *Gata3* requires *Tead4* for its
575 activation [124]. *Gata2* and *3* are at least partially redundant, being required for activation of
576 trophoblast specific genes such as *Cdx2* and the efficient formation of blastocysts and, at later stages,
577 for the correct differentiation of trophoblast cells [100]. In cattle there is in vitro evidence using a
578 trophoblast cell line, that GATA2 and 3 may affect the expression of trophoblast genes including that
579 coding for the ruminant pregnancy recognition signal, Interferon-tau [125]. Cattle and human GATA2
580 and 3 are expressed in the trophoblast of late blastocysts though GATA3 protein in both species could
581 also be detected at lower levels in the presumptive hypoblast [94, 95, 98, 126].

582 4.3.3 TFAP2a, TFAP2c

583 The *Tfap2* family of transcription factors have a key role in the specification and maintenance of
584 the trophoblast lineage, based on their expression and knock-out phenotype in mouse embryos and
585 trophoblast stem cells [127, 128]. In mice *Tfap2c* becomes restricted to the trophoblast in early
586 blastocysts and appears to be involved in lineage specification via its effects on *Cdx2* expression,
587 polarisation of outer cells and modulation of the Hippo (*Yap*) signalling pathway [119]. Notably
588 though, TFAP2c is not trophoblast-specific in human, *Cynomolgus* monkey, cattle and pig embryos,
589 where it is also highly expressed in prospective epiblast cells [95, 96, 98, 129] and has a later role in
590 mesoderm and primordial germ cell specification [130]. In contrast, TFAP2a is specifically expressed in
591 trophoblast cells in both mouse and cattle blastocyst embryos [93, 95]. TFAP2a appears to have
592 overlapping functions with TFAP2c [127], raising the possibility that different mammals may have
593 substituted TFAP2a for TFAP2c as the key gene for trophoblast specification.

594 We can conclude firstly that current results point to a conserved trophoblast-lineage GRN
595 dominated by CDX2, GATA2/3 and TFAP2a/c. Secondly, the initiation of this core network in mammals
596 other than mice is still unclear. Thirdly, downstream targets of the trophoblast-GRN appear to have
597 diverged, which is in line with the different implantation strategies and timings among mammals.

598 4.4. The pluripotent inner cell mass lineage

599 The ICM gives rise to all cells of the conceptus with the exception of trophoblast [131] and thus the
600 term “*pluripotent*” was coined to reflect this difference from the *totipotent* cleavage stage blastomeres.
601 Morphologically and functionally, the ICM is a very transitory state in that its constituent cells rapidly
602 assume either an epiblast or hypoblast identity [132]. In mice [93], humans [92, 97] and *Cynomolgus*
603 monkeys [96], the trophoblast:ICM split in GRN-identity precedes that between the ICM derivative
604 lineages by about one division (Fig. 3G,H). The transitory existence of the ICM may explain why the
605 ICM state has not been captured in stem cell lineages. Embryonic stem cells (ESC), isolated from mouse
606 ICM, were shown to be pluripotent [133], however their contribution to hypoblast was minimal [134],
607 indicating that functionally, mouse ESC represent epiblast as opposed to bipotential ICM. This was
608 verified recently in detailed analyses of the derivation and GRNs of such ESC cells [99, 135]. This
609 potential of a cell to contribute to all three embryonic germ layers and the germline, but not to
610 hypoblast, is now termed “*naïve*” pluripotency or “*ground-state*” [136]. Primate ESC cultured under the
611 original “*standard-ESC*” conditions (in the presence of Activin and FGF) differ from mouse ESC in that
612 they correspond to a *late “primed”* stage of epiblast development [96], resembling epiblast undergoing
613 epithelialisation. From 2013, human “*naïve*” ESC of an early epiblast character have been derived [137,
614 138], reviewed in [139].

615 4.5. The mouse ICM-epiblast gene regulatory network

616 The regulatory network stably sustaining mouse naïve ESC has been refined to a core set of 12
617 factors including the transcription factors Oct4 (*Pou5f1*), Sox2, Nanog, Sall4, Klf2, Klf4, Esrrb, Gbx2 and
618 *Tfcp2l1* [140]. Apart from Gbx2, all of these transcription factors are expressed in compacted morulas,

619 mid-blastocyst ICM and late-blastocyst epiblast [99], suggesting a key role in ICM and epiblast lineage
620 establishment and maintenance. This is supported by a wealth of data:

621 1) *In vivo knock-out experiments*: Oct4-deficient embryos develop to the early blastocyst stage but
622 the inner cells stop expressing some epiblast (however Nanog is upregulated) and hypoblast markers,
623 and instead start expressing trophoblast markers. Subsequently, all ICM-derived tissue is lost [141,
624 142]. Sox2, while not required for the initial specification of ICM and epiblast, is critical for maintaining
625 epiblast identity, including continued Oct4 and Nanog expression [47, 143]. Loss of Nanog led to normal
626 early E3.5 blastocysts but subsequent loss of epiblast, with blastocyst ICM-culture outgrowths forming
627 only hypoblast [144, 145]. Sall4 is required for both ICM and hypoblast derivation [146]. Some of the
628 factors though did not appear to be involved in lineage decisions in this in vivo functional assay: double
629 knock-outs of the closely related Klf2 and 4 genes [147] or of Tfcp2l1 [148], Esrrb [149] or Gbx2 [150] led
630 to no impairment of early development in mice.

631 2) *An early differential expression in inner cells*: Sox2, Nanog, Klf2 and Esrrb are among the first genes
632 seen to be uniquely expressed in inner cells of 16-24-cell morulas [93].

633 3) *Downregulation of the trophoblast GRN*: for example, Oct4 [122] and Nanog [151] directly repress
634 the key trophoblast gene Cdx2.

635 4) *Pluripotent reprogramming ability*: Overexpression of a cocktail of genes has been shown to be
636 able to reprogram somatic cells to a naïve pluripotent state (so called “induced pluripotent stem cells”
637 or iPSC). The initial cocktail contained three of the core pluripotency factors - Oct4, Sox2, Klf4 – as well
638 as c-Myc [152]. Subsequently c-Myc was shown to be dispensable, and Nanog and Sall4 to aid, in the
639 derivation of iPSC [153, 154].

640 4.6. Conservation of the ICM-epiblast pluripotency GRN

641 Is this mouse pluripotency/ICM/epiblast core transcription factor GRN conserved across
642 mammals? Studies in primates, using naïve ESC and examining embryonic gene and protein
643 expression, have highlighted differences, but also a large degree of conservation with mice. In
644 marmoset and Cynomolgus monkeys, all mouse homologous naïve ESC core factors apart from KLF2
645 (and GBX2) are expressed in the epiblast lineage [96, 99]. In humans, OCT4, SOX2, NANOG, SALL4,
646 KLF4 and TFPC2L1 are expressed, but ESRRB and KLF2 (and GBX2) are not [98, 137]. Instead of KLF2,
647 humans and marmosets express KLF17 [98, 99], which potentially may substitute for KLF2 in an
648 analogous fashion to zebrafish, where KLF17 and KLF2 have partially redundant functions during
649 embryogenesis [155]. Primates and rodents are Euarchontoglires species (Fig. 1). The more distantly
650 related cattle, which belong to the sister clade (Laurasiotera), closely follow the primate pattern with
651 OCT4, SOX2, NANOG, SALL4 and KLF4 expressed predominantly in the epiblast lineage at late
652 blastocyst stages and KLF2 absent and ESRRB more abundant in trophoblast cells [95]. In pigs, ESRRB
653 is downregulated at the blastocyst stage though expressed in, and important for, the maintenance of
654 pig induced pluripotent cells [156].

655 Hence the consensus at this stage is that, of the core pluripotency factors, GBX2 and KLF2 are
656 mouse specific, while ESRRB expression is variable even between closely related species. The greater
657 similarity in the expression of core naïve pluripotency transcription factors between primates and cattle
658 compared to mice may explain the recent finding that chimera formation can be obtained by injecting
659 naïve human ESC into cattle and pig, but not mouse blastocysts [157]. Notably, the OCT4-SOX2-
660 NANOG triumvirate, the members of which have been shown to activate each other and co-regulate
661 pluripotency targets as well as repress the trophoblast GRN in human primed ESC [158], have been
662 detected specifically in the epiblast of all mammalian species examined, including in addition to the
663 aforementioned species, rabbits [159], pigs [160] and two species of marsupials [78, 161]. The key role
664 of these three factors is underlined by the observation that homologs and/or paralogs are involved in
665 the generation of the initial pluripotent embryonic ground state not only in mammals, but also non-
666 mammalian amniotes [162, 163] and even non-amniotic vertebrates such as fish and amphibians [164,
667 165]. The dual requirement for OCT4 and SOX2 is likely to stem from the fact that OCT4
668 heterodimerises well with SOX2, with the complex binding a unique set of targets that forms the most

669 common motif associated with pluripotency target genes [166]. Secondly, OCT4 and SOX2 (as well as
670 KLF4) appear to be “pioneering” factors, able to bind target sites even when these are embedded in
671 epigenetically silenced chromatin, thus acting as the transcriptional pioneers of the ICM/epiblast
672 (pluripotent) lineage [167, 168]. The widespread use of NANOG for establishment of the pluripotency
673 network may seem surprising considering its low sequence conservation outside its DNA-binding
674 homeodomain (for example, mouse and human NANOG are only 54% identical). Remarkably, a 70
675 amino acid fragment comprising only the homeodomain (a quarter of the protein) was sufficient to
676 induce naïve pluripotency in mouse cells [165]. This fragment, similar to non-mammalian NANOG
677 proteins, does not contain the WD domain responsible for cooperative interactions with core
678 pluripotency factors OCT4 and SALL4 [169], suggesting that a critical ancestral subset of NANOG
679 interactions encased in its unique homeodomain has been co-opted to achieve mammalian
680 pluripotency.

681 While non-mouse mammalian germline knockout models for genes have been rare, CRISPR
682 technology is beginning to overcome this limitation and has recently allowed the introduction of
683 deletions in the *OCT4* gene in all cells of about half the human embryos injected [170]. This study
684 revealed an earlier role for OCT4 in human embryos compared to mouse embryos. Similar to mice,
685 expression of OCT4 target genes, including epiblast and hypoblast markers was lost, but unlike mouse
686 *Oct4* knockout embryos, *NANOG* expression was lost as well and trophoblast genes (including *CDX2*
687 and *GATA2*) were downregulated instead of upregulated, with a concomitant failure to form and/or
688 maintain blastocyst stage embryos. The human OCT4 loss-of-function phenotype resembles the
689 CRISPR-induced cattle OCT4 knockout, achieved using somatic cell nuclear transfer embryos. These
690 embryos, in which maternal OCT4 persisted to morula stages, initially switched on NANOG, but at
691 blastocyst stages lost NANOG expression [171]. Other lineage markers for all three lineages were
692 downregulated as in the human embryos. siRNA Oct4-depleted cattle embryos also exhibited reduced
693 expression of the trophoblast gene *CDX2*, as well as of the OCT4 target gene *FGF4*, while *NANOG*
694 expression was reduced, albeit not significantly [115].

695 The accessibility of (non-pioneering) transcription factors is modulated by epigenetic modifiers
696 which affect the methylation state and chromatin state of DNA. Conversely, many transcription factors
697 can affect the localised epigenetic state of DNA via their ability to tether chromatin or DNA modifiers.
698 Recently TET1 (a factor involved in active DNA demethylation) and THAP11/RONIN, a DNA binding
699 factor recruiting epigenetic modifiers, were shown to be exclusively expressed in human ICM and,
700 together with MCRS1 (a factor involved in chromatin remodelling) to be sufficient to reprogram
701 fibroblasts into naïve ESC [92]. Tet1 and Thap11 are also essential in mice for ICM development as
702 proven by in vivo functional studies [172, 173]. TET1 is also expressed in cattle blastocysts, but
703 ubiquitously [95]. Other pluripotency-associated DNA binding factors that function predominantly in
704 attracting epigenetic modifiers such as PRDM14, DPPA2 and DPPA4 are expressed specifically in the
705 ICM and epiblast of mice, humans and marmosets [98, 99]. In cattle PRDM14 too is specific to the
706 ICM/epiblast though DPPA2 is not [95]. However, most epigenetic modifiers (TET1 being an exception)
707 do not show a lineage specific distribution [32], suggesting that epigenetic modifiers may have more of
708 an indirect function in lineage network establishment.

709 It can be concluded that the ICM lineage leading to epiblast (naïve pluripotency) is highly
710 conserved with mice showing some differences that may be linked to this species’ requirement to more
711 rapidly fully separate the trophoblast from the ICM lineages to accommodate precocious implantation
712 [81].
713

714 5. The third lineage (hypoblast)

715 The third lineage to arise in the embryo is the hypoblast (termed primitive endoderm in mice). In
716 most eutherian mammals, hypoblast progenitors arise within the inner cell mass and subsequently
717 cover the blastocoel surface of the epiblast to eventually line the entire surface of the blastocoel. ICM
718 cells in mouse [174], rabbit [159], human [105], marmoset [99] and cattle [175] embryos initially co-
719 express epiblast and hypoblast markers, but at later blastocyst stages this expression resolves into a
720 “salt and pepper” pattern, where hypoblast and epiblast progenitor cells, now identified via exclusive
721 expression of one or the other lineage marker, are interspersed in a seemingly random fashion.

722 5.1. The mouse hypoblast gene regulatory network (GRN)

723 In the mouse there is a definite sequence of hypoblast lineage marker activation (and/or concurrent
724 shut-down in the alternate epiblast lineage) of *Gata6* (8-cell), followed by *Pdgfra* (16-cell), *Sox17* (32-
725 cell), *Gata4* (58-cell) and *Sox7* (>64-cell) [176, 177]. Earlier markers are expressed more ubiquitously
726 than later ones and, by the > 64-cell late-blastocyst stage, all become confined to ICM cells that have
727 downregulated the initially ubiquitously expressed epiblast marker *Nanog*. *Gata6* lies at the top of the
728 hypoblast GRN network, in that loss of this gene prevents the activation of all subsequent hypoblast
729 markers. Even only a mild reduction in *Gata6* levels (in *Gata6*^{+/-} heterozygous embryos) is sufficient to
730 reduce the number of hypoblast progenitor cells, causing a delay in hypoblast specification [178, 179].
731 Furthermore *Gata6* overexpression is able to reprogram ES cells into hypoblast stem (“XEN”) cells [180].
732 *Gata6* is initially found in all blastomeres, then becomes progressively restricted to the subset of ICM-
733 cells that will form the hypoblast [176]. Hence the pertinent question regarding hypoblast lineage
734 determination is how *Gata6* expression is *maintained* in prospective hypoblast cells, while
735 concomitantly shut down in prospective epiblast cells. This transition is asynchronous, occurring
736 heterogeneously in individual cells between the early (32-cell) and late (120-cell) blastocyst stages, such
737 that over time more and more cells have transited from a *Gata6*-*Nanog* double positive state to
738 expressing one or the other marker [181]. Subjecting single positive cells to an alternate signalling
739 environment did not change their fate, indicating that lineage commitment is achieved once an ICM
740 cell expresses *Nanog* or *Gata6* in a mutually exclusive fashion [181, 182].

741 5.2. FGF signalling in the mouse hypoblast/epiblast lineage decision

742 The mutually exclusive *Gata6*/*Nanog* pattern appears to be established predominantly via cell-cell
743 signalling mediated by FGF. *Fgf4* is necessary for the specification of the hypoblast, as shown in vivo
744 by loss of function experiments of *Fgf4* [183, 184], both receptors *Fgfr1* and *Fgfr2* [185, 186], as well as
745 the downstream component *Grb2*, which is required for FGF-mediated Ras-Mapk signalling [174]. In
746 all these mutant embryos, *Gata6* expression is lost and all inner cell mass cells express *Nanog* to adopt
747 an epiblast (naïve pluripotency) fate. Similarly, chemical inhibition of FGF receptors (with PD173074)
748 or of the downstream kinases MAP2K1/2 (=ERK1/2; inhibited with PD0325901) could, in a reversible
749 fashion, direct ICM cells to an epiblast-only fate if applied from the 32-cell early blastocyst stage [187],
750 that is, before any cells show a reciprocal expression of *Gata6* and *Nanog*. Conversely, exposure of
751 embryos to exogenous FGF could direct *Nanog*/*Gata6* double positive ICM cells to a hypoblast fate in
752 a dosage dependent fashion [181, 183, 187]. This instructive role of FGF in directing ICM cells to a
753 hypoblast fate is mediated predominantly by *Fgfr1* [185, 186] and acts via *Gata6*, as FGF treatment
754 could not rescue the hypoblast defect seen in *Gata6*^{-/-} embryos [178, 179].

755 *Fgf4* expression is under dual control by *Oct4* and *Sox2* [47, 141, 143, 188, 189]. While *Oct4* is
756 expressed more widely, *Sox2* is the limiting factor, being restricted first to the ICM then to the epiblast.
757 Thus at the “salt and pepper” late-blastocyst stage, the *Sox2*/*Oct4*/(*Nanog*)-expressing epiblast
758 progenitors are the predominant source of *Fgf4*. These cells secrete *Fgf4* which mediates its effects in a
759 paracrine fashion on the surrounding hypoblast progenitors via both *Fgfr1* and the hypoblast-specific
760 *Fgfr2* to activate/maintain the later hypoblast markers *Sox17*, *Pdgfra* and *Gata4* [145, 185, 186]. Yet at
761 the 34-50 cell early blastocyst stage, when *Sox2* (and *Oct4*) show no lineage-specific restriction *within*

762 the ICM, differential *Fgf4* mRNA expression is already seen [93, 190] and may be selectively inducing
763 hypoblast differentiation in the most responsive surrounding cells. It is still unclear how this early
764 differential expression in *Fgf4* expression arises. On the one hand, intrinsic stochastic fluctuation in
765 expression levels could generate cells that by chance express either more FGF signal or a better response
766 to FGF signalling, leading to respective biases toward the epiblast or hypoblast state. Such biases could
767 subsequently be stabilised by signal reinforcement [190]. Alternatively, the bias in FGF signalling could
768 be imparted by the history of the blastomeres. The reasoning is as follows. Inner apolar cells are not
769 only generated from polar outside cells at the 8 cell stage, but also during the subsequent one or two
770 rounds of outer cell divisions. It has been suggested that cells internalising at these later time points
771 may be biased to form hypoblast [39]. Initial studies using lineage tracing of microinjected outer
772 blastomeres did not support the hypothesis [187], but other studies using non-invasive tracing did find
773 such a bias [191, 192]. It could be argued that the later internalising cells had been subject to more Yap
774 signalling which prevents *Sox2* induction (see previous section; [47]), thus resulting in a delay in *Fgf4*
775 synthesis. Secondly, cells remaining on the outside may have accumulated more *Fgfr2* protein as this
776 gene is progressively upregulated specifically in outer cells between the 16 and 32-cell morula stages
777 [186, 192]. Temporary retention of *Fgfr2* after internalisation may have sensitised these cells to FGF
778 signals. As internalised cells are able to move within the ICM [187, 191], such hypoblast-biased cells
779 would disperse, creating a subsequent random salt and pepper pattern equivalent to the stochastic
780 model. Interestingly, while in mice extended residency in the outer trophoblast fated environment may
781 bias inner cells toward the hypoblast lineage, such a mechanism is less disputable in the distantly
782 related (Afrotherian) elephant shrew. In these mammals, which do not transit through a morula stage,
783 hypoblast progenitors delaminate from the outer cells only *after* the ICM has formed and assemble on
784 the ICM surface to directly form a distinct hypoblast layer (see panels 18-20 in [4]). It thus appears that
785 their extended residency in the outer, future trophoblast, layer strongly biased them toward the
786 hypoblast lineage allowing them to bypass a fate-refinement period within the ICM. In marsupials,
787 hypoblast cells may also require extended exposure to a trophoblast-like environment in that the
788 majority of hypoblast cells are seen to arise on the margin of the pluripotent (ICM-equivalent) disc,
789 where they are in close proximity to the abutting trophoblast [161].

790 How the initial stochastic or ontogenic FGF-signalling induced bias in fate becomes stabilised in
791 mice is not quite clear either. One mechanism may involve reciprocal negative feedback of *Gata6* and
792 *Nanog* on each other's transcription to amplify initially subtle differences in expression. In vivo
793 evidence is that in *Nanog*-deficient embryos, *Gata6* is upregulated [145] and in *Gata6*-deficient
794 embryos, *Nanog* is upregulated [178, 193]. In vitro results suggest that these effects may be direct, as
795 *Nanog* can bind the *Gata6* enhancer in ESC cells to downregulate expression [194], whereas *Gata6*
796 overexpression in ESC cells downregulates *Nanog* within 12 hours of induction and *Gata6* binding was
797 enriched upstream of the *Nanog* gene [180]. A second mechanism may relate to different downstream
798 effectors mediating the FGF response in epiblast and hypoblast progenitors, although the details are
799 yet to be worked out [185, 186].

800 5.3. A common hypoblast gene regulatory network (GRN)

801 Thus for the mouse two key elements in hypoblast formation are FGF signalling and the central
802 role of *Gata6* at the top of the hypoblast GRN. How well are these elements conserved in mammals?
803 Comparing the hypoblast GRNs, it appears that the sequentially activated and progressively refined
804 mouse hypoblast markers *GATA6*, *PDGFRA*, *SOX17* and *GATA4* not only are all expressed in the
805 hypoblast, but also appear in a similar temporal order in rabbit, human, old and new world monkeys,
806 pig and cattle embryos (references as in Fig. 4 as well as [98, 99, 195, 196]). The progressive reciprocal
807 restriction of expression of the epiblast markers *NANOG* and *SOX2* relative to the hypoblast markers
808 *GATA6*, *SOX17* and *GATA4* in mouse late blastocysts is also seen in the other eutherians at the late
809 blastocyst stage, just prior to hatching (Table 2, Fig. 4). *GATA6* and *NANOG* have been examined in
810 six species and invariably are detected ubiquitously in most cells from the earliest blastocyst stage, to
811 then progressively resolve into hypoblast and epiblast domains respectively, with little to no overlap

812 in expression upon blastocyst hatching (Fig. 4). The eventual segregation of NANOG and GATA6 to
 813 epi and hypoblast lineages is even detected in marsupials [161]. There are though subtle differences in
 814 the regulation of GATA6 and NANOG. In the rabbit, the downregulation of NANOG in prospective
 815 hypoblast cells is delayed by one cell cycle, resulting in a transitory period where NANOG is still
 816 expressed in all ICM cells, whereas GATA6 downregulation in prospective epiblast cells has already
 817 commenced [159]. This suggests that if a direct inhibitory action of GATA6 and NANOG on each other's
 818 transcription exists, it is likely not important for rabbits. Secondly, GATA6 expression is rapidly shut
 819 off in trophoblast cells in mice, but maintained to mid-blastocyst stages in humans and to late-blastocyst
 820 stages in rabbits, *Cynomolgus* monkeys and cattle (Fig. 4). The extended expression of the early-
 821 hypoblast marker GATA6 in the outer prospective trophoblast layer may well be indicative of an
 822 extended potential of these cells to give rise to hypoblast, in analogy to the elephant shrew mode of
 823 hypoblast formation discussed previously. Such extended fate plasticity has indeed been demonstrated
 824 for cattle [81].

825



826

827

828 **Figure 4.** NANOG and GATA6 protein distribution in early (E), mid (M), late (L) and hatched blastocysts of
 829 various mammals. Lineages are colour coded: blue being trophoblast, red epiblast and yellow hypoblast.
 830 Blastocyst stages E, early, M, mid, L, late and H, hatched defined as per Table 2. Note extended expression of
 831 GATA6 in rabbit, old world monkey and cattle trophoblast at late blastocyst stages and extended maintenance of
 832 NANOG in rabbit prospective hypoblast at the late blastocyst stage. Based on data from the following references:
 833 mouse [47, 176, 177], rabbit [159], human [105, 197, 198], cynomolgus old world monkey [96] and cattle [175, 199].

834

835 **Table 2.** Characteristics of early to hatched blastocyst stages in mammals ¹

Species:	mouse	rabbit	human	cynomolgus	cattle
----------	-------	--------	-------	------------	--------

Early (cavity visible, <30% vol)	From 32 cells E3.25	From 64 cells E3 ("VI")	From 35 cells E4 - early E5	From 50 cells E5 - 6	From 64 cells E6
Mid (ca 30-70%)	<64 cells E3.5	>128 cells E3.25 ("VII")	64 – 100 cells late E5		100-130 cells E7
Late (max cavity zona enclosed)	>64 cells E3.75	>256 cells E3.5 ("VIII")	128-256 cells early E6	200-300 cells E7-8	140-200 cells E7
Hatched (Hypo forming layer)	>100 cells E4.25	>512 cells E3.75 ("IX")	> 256 cells late E6	300-600 cells E8-9	>250 cells E8

836

837 **Table footnote:** ¹Based on descriptions from references: mouse [176], rabbit [159], human [105],
838 cynomolgus [96] and cattle [62, 200].

839 5.4. FGF signalling in other mammals

840 It appears thus that overall the hypoblast regulatory network is very similar among all mammals
841 examined to date. Is FGF signalling though universally involved in the establishment of this network?
842 In rabbits, which are closely related to rodents, (i) exogenous FGF4 treatment transformed nearly all
843 ICM cells to SOX17-expressing hypoblast progenitors and (ii), inhibition of FGF signalling via a
844 MAP2k1/2 inhibitor prevented the formation of SOX17 positive hypoblast [159]. However, subtle
845 differences existed: FGF pathway inhibition in rabbit embryos did not lead to an increase in the number
846 of NANOG positive cells as in the mouse, but rather an increase in apoptosis. Secondly, GATA6
847 expression was not lost upon FGF pathway inhibition [159]. This difference to mice is more extreme in
848 human embryos which were shown to be refractory to FGF pathway inhibition (via FGFR or MAP2K1/2
849 inhibitors) in regards to GATA6 and NANOG marker expression [175, 197]. Marmoset monkey
850 embryos responded uniquely to FGF pathway inhibition by maintaining expression of GATA6 as well
851 as the trophoblast marker CDX2 in most cells, while NANOG expression was normal [99]. In the
852 Laurasiatherian cattle and pig embryos, exogenous FGF could drive ICM conversion to GATA4/6-
853 positive hypoblast as in mice and rabbits, but MAP2k1/2 inhibition resulted only in a partial conversion
854 of hypoblast to epiblast progenitors as monitored via NANOG and GATA4/6 expression [175, 196, 201].
855 Interestingly, use of inhibitors targeting the FGF receptors as opposed to the downstream MAP2k1/2
856 had no effect on GATA4/6 expression in either species [175, 196], but did affect the total number of ICM
857 cells specifically in pig blastocysts [196]. This indicates a role for FGF signalling in pig ICM
858 proliferation, but not GATA6 maintenance.

859 When considering these gain and loss of function experiments, it must be borne in mind that
860 activation of hypoblast markers was achieved using extremely high concentrations of FGF4, usually
861 1000 ng/ml. This should be contrasted to the more physiological 25 ng/ml that is required to sustain
862 FGF-dependent mouse trophoblast cells in culture [202]. Thus hypoblast marker activation via
863 exogenous FGF cannot be taken as proof that FGF4 is the endogenous lineage-determining ligand. This
864 places more emphasis on the FGF pathway inhibitor results. In mice, use of such inhibitors led to a loss
865 of Gata6 and downstream hypoblast gene expression, with all cells expressing epiblast markers such
866 as Nanog and Sox2. As listed, this conversion to epiblast was at best only partially evident in the other
867 mammalian species. The conclusion is that while FGF signalling may be sufficient for hypoblast
868 specification, it is not necessary for this purpose in numerous mammalian species.

869 5.5. Alternative signalling

870 The different phenotypes seen in various mammals when inhibiting FGF receptors (no effect) as
871 opposed to the main downstream FGF signalling pathway (MAP2K1/2: some effects) could be
872 explained by alternate signalling ligands and receptor tyrosine kinases that mediate their effects
873 through MAP2K1/2. PDGFA is also able to signal through MAP2K1/2, however while PDGFRA is one
874 of the earliest hypoblast markers, PDGF signalling is not involved in hypoblast specification in mice,
875 but rather is required for prevention of apoptosis after establishment of the hypoblast lineage [203, 204].

876 Furthermore, treatment of mouse embryos with exogenous PDGF-A (500ng/ml) is unable to mimic the
877 hypoblast-inducing effects seen with FGF [203]. Similarly, treatment of cultured mouse [205], human
878 [206], cattle [207, 208] or rabbit [209] embryos with other ligands able to activate MAP2K1/2 signalling,
879 such as EGF and IGF1, have shown improvements in survival rates and increases in ICM cell number.
880 However these studies did not examine the hypoblast to epiblast ratio. Inhibiting most receptor
881 tyrosine kinases (VEGFR, EGFR, PDGFR, FGFR) with the broad-spectrum inhibitor BI-BF1120 resulted
882 in minimal changes in *NANOG* and *SOX17* and no effect on *SOX2* and *PDGFRA* mRNA expression in
883 cattle embryos [210]. Hence in mammals other than mice and rabbits, the signals leading to MAP2K1/2
884 activation and the in vivo importance of such signalling in hypoblast specification are still unclear.

885 What about other signalling pathways? In cynomolgus monkeys *NODAL* is expressed in
886 prospective epiblast cells [96]. In human embryos, genes of the NODAL/ACTIVIN/TGF β pathway (the
887 ligands NODAL and GDF3, the receptor ALK5/TGFBR1, NODAL-coreceptor TDGF1/CRIPTO,
888 intracellular mediators SMAD2 and 4 and the target LEFTY1) are enriched in prospective epiblast cells
889 at the late blastocyst stage [98]. Inhibition of this pathway using the ALK4/5/7 inhibitor SB431542
890 abolished *NANOG* expression indicative of a failure in epiblast establishment. However, expression of
891 the hypoblast marker *SOX17* was also lost in most embryos and levels of the ICM marker *OCT4* reduced
892 [98]. This argues for a role of NODAL-pathway signalling in human epiblast maintenance as opposed
893 to hypoblast/epiblast lineage decisions. The lack of NODAL involvement in second lineage
894 determination was also seen in marmoset monkey [99], cattle [175] and pig [196] embryos, where
895 NODAL pathway inhibition via either SB431542, or the even more potent A83-01 inhibitor, had no
896 effect on the epiblast to hypoblast cell number ratio. In mice, which differ from the other mammals by
897 expressing *Nodal* only later, namely in mature epiblast (E5.5, corresponding to “primed” pluripotency)
898 [96], SB431542 treatment had no effect on *Nodal*, *Sox17* or *Oct4* expression at late blastocyst stages [98].
899 Thus in mammals, the role of NODAL signalling appears not to be involved in lineage decision, but
900 may affect subsequent epiblast maintenance.

901 The third signalling pathway of potential influence is that of WNT. Canonical WNT signalling
902 prevents β -Catenin degradation, allowing it to translocate to the nucleus to displace repressors from
903 TCF/LEF sites so as to activate WNT target genes [211]. Among these target genes in naïve ESC are
904 *Nanog*, *Klf2* and *Essrb* [212]. Activation of Wnt signalling by preventing β -Catenin degradation through
905 inhibition of GSK3 β with the chemical CHIR99021 is required for the maintenance of mouse and
906 human pluripotent stem cells in vitro [213, 214]. However, in mice it has been conclusively shown that
907 in embryos, WNT signalling is only required from gastrulation stages onward and is dispensable
908 during preimplantation and lineage determination [215]. In cattle, treatment with 3 μ M CHIR99021
909 from zygote, but not from morula, stages resulted in slightly more *NANOG* cells with no change in the
910 number of GATA6 positive hypoblast cells [175], indicating at most a minor effect of this pathway.
911 Treatment of cattle embryos with DKK1 protein, which is an endogenous canonical WNT pathway
912 inhibitor, resulted in a reduction in the number of blastocyst ICM cells, with a decrease in the epiblast
913 (*NANOG*) to hypoblast (GATA6) cell ratio [199], suggesting that WNT signalling may be required
914 specifically for prospective epiblast cell survival or proliferation. In marmoset monkey early
915 blastocysts, the WNT signalling pathway may be specifically inhibited as low expression of β -Catenin
916 in combination with high expression of the WNT inhibitor *DKK1* and the β -Catenin destabiliser GSK3 β
917 genes was noted (Boroviak15). This inhibition appeared to be relieved during ICM lineage decision
918 stages as *DKK1* expression was diminished at the late blastocyst stage. Maintaining WNT signal
919 inhibition via CHIR99021 from the early blastocyst led to a strong upregulation of the blastomere
920 protein levels of *NANOG*, GATA6 as well as the trophectoderm marker *CDX2* in late blastocysts. This
921 pattern was similar to the coexpression of these markers seen before lineage segregation, at early
922 blastocyst stages, leading the authors to infer a role for WNT signalling in marmoset ICM lineage
923 determination (Boroviak15). In human embryos, functional data is not available, however *WNT3*
924 mRNA increases sharply from early to late blastocyst stages, where it is restricted to the epiblast [97].
925

926 5.6. *The third lineage - conclusion*

927 The picture that emerges from the available data is that the GRN driving the hypoblast lineage is
928 well conserved across eutherian mammals and may extend to marsupials as well. This GRN is driven
929 by GATA6 with successive deployment of PDGFRA, SOX17 and GATA4 with concomitant exclusion
930 of the key epiblast genes NANOG and SOX2 while OCT4 expression is maintained. However the
931 deployment of the hypoblast GRN has diverged in that FGF signalling is strongly implicated in the
932 mouse and rabbit, but less so in primates and Laurasiatherians. No clear candidates substituting for
933 FGF's role have emerged and it may be that in these species the timing of internalisation and thus the
934 length of exposure in the trophoblast precursor environment, is important for generating the initial
935 differences in ICM cells that will bias cells to either the hypoblast or epiblast lineage. I have discussed
936 some data indicating that such a bias may also exist in mice though its influence may be subjugated in
937 this species by FGF dependent mechanisms.

938 6. Concluding remarks

939 In summary, early mammalian development up to the hatched blastocyst stage is driven by a very
940 limited set of building principles. The arguably most important ones can be listed as follows:

- 941 1. An intrinsic trigger to switch on the embryonic gene expression program. This trigger (DUX-
942 C) is nearly fail-proof thanks to being present in the genome in high copy numbers.
- 943 2. The use of inherent random fluctuations (noise) in the gene expression machinery to generate
944 asymmetries between blastomeres, which is likely to play a part in biasing cells during the first and
945 second lineage decisions.
- 946 3. The adaptation of basic cellular processes (polarisation, compaction - as seen during
947 mesenchymal to epithelial transitions) to asymmetrically segregate lineage specifiers during
948 subsequent cell divisions.
- 949 4. Amplification of small differences in GRN-biases via reciprocal inhibition between alternative
950 GRN programs. For the first lineage decision such inhibition is achieved predominantly through a small
951 set of master transcriptional regulators, for the second decision additional control is achieved through
952 the use of diffusible signalling molecules.

953 As already anticipated over 2000 years ago in Aristotle's epigenesis idea, each step during the
954 building of the blastocyst is dependent on the prior one and as new lineages and distinct cell
955 populations form, new avenues for autonomous interactions between these cell populations arise,
956 leading to the ever-increasing complexity of the mammalian embryo.

957

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