

1 Article

2 **Early developmental exposure to general anesthetic  
3 agents in primary neuron culture disrupts synapse  
4 formation via actions on the mTOR pathway**

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17  
18 **Abstract:** Human epidemiologic studies and laboratory investigations in animal models suggest  
19 that exposure to general anesthetic agents (GAs) have harmful effects on brain development. The  
20 mechanism underlying this putative iatrogenic condition is not clear and there are currently no  
21 accepted strategies for prophylaxis or treatment. Recent evidence suggests that anesthetics might  
22 cause persistent deficits in synaptogenesis by disrupting key events in neurodevelopment. Using  
23 an in vitro model consisting of dissociated primary cultured mouse neurons we demonstrate  
24 abnormal pre- and post-synaptic marker expression after a clinically relevant isoflurane anesthesia  
25 exposure conducted during neuron development. We find that pharmacologic inhibition of the  
26 mechanistic target of rapamycin (mTOR) pathway can reverse the observed changes. Isoflurane  
27 exposure increases expression of phospho-S6, a marker of mTOR pathway activity, in a  
28 concentration-dependent fashion and this effect occurs throughout neuronal development. The  
29 mTOR 1 complex (mTORC1) and the mTOR 2 complex (mTORC2) branches of the pathway are both  
30 activated by isoflurane exposure and this is reversible with branch-specific inhibitors. Upregulation  
31 of mTOR is also seen with sevoflurane and propofol exposure, suggesting that this mechanism of  
32 developmental anesthetic neurotoxicity may occur with all the commonly used GAs in pediatric  
33 practice. We conclude that GAs disrupt the development of neurons during development by  
34 activating a well-defined neurodevelopmental disease pathway and that this phenotype can be  
35 reversed by pharmacologic inhibition.

36 **Keywords:** Anesthesia; Neurotoxicity; Synapse; mTOR; Neurodevelopment

37 **1. Introduction**

38 The United States Food and Drug Administration has recently required that 12 commonly used  
39 anesthetic and sedative agents with mechanisms of action on NMDA and GABA receptors carry  
40 labels warning that repeated or lengthy exposure to these drugs between the third trimester and the  
41 first three years of life may result in adverse consequences for brain development (FDA Drug Safety  
42 Communication). An estimated 115,000 children each year are anesthetized for surgery and other  
43 procedures in the U.S. alone, suggesting that millions of children are exposed to anesthesia each  
44 year worldwide [1]. It is not yet clear which patients are potentially at risk of cognitive dysfunction

45 related to this exposure, but early results from the only two clinical trials that have reached  
46 endpoints give reassurance that short, single exposures in healthy children do not have deleterious  
47 effects [2, 3]. This finding is consistent with data from large epidemiologic studies showing no effect  
48 of short, single early life exposures to surgery and anesthesia, but a correlation between long or  
49 multiple exposures and reduced scores on cognitive testing, worsened scores in educational testing  
50 assessments and increased billing codes indicates developmental or behavioral disorders [4-6].  
51 Numerous studies have found that early postnatal exposure to GA in rodents results in deficits in  
52 performance on tests of learning and memory [7-15], but rodent anesthesia models introduce a  
53 confound of physiologic perturbation that is hard to measure and also the short timeline of rodent  
54 brain development might exaggerate the consequences of a toxic developmental exposure.  
55 However, recent data in non-human primates have provided definitive evidence that early  
56 postnatal GA exposure can have lasting effects on cognition, including deficits in socioemotional  
57 and learning function [16-19].  
58

59 The mechanism by which a transient exposure to GA could have lasting consequences on brain  
60 development has been the subject of considerable investigation, but no clear conclusion has been  
61 reached [20, 21]. We have found evidences in an *in vivo* mouse model that early postnatal exposure  
62 to isoflurane causes a lasting increase in activity in the mTOR pathway in the hippocampal dentate  
63 gyrus. Inhibition of mTOR upregulation with rapamycin reversed a loss of neuronal spines in  
64 dentate gyrus granule neurons and also restored performance on hippocampal-dependent learning  
65 tests that are impaired by isoflurane exposure [8]. The mTOR pathway is a complex and  
66 heterogeneous signaling system that integrates intra- and extracellular cue sensing and links to  
67 numerous other signaling pathways in order to regulate metabolism, growth, and homeostasis [22].  
68 A lasting anesthetic action on mTOR function is an intriguing potential mechanism of  
69 developmental anesthetic neurotoxicity. The mTOR system is critical for neuronal development [23]  
70 and a causative role of mTOR system dysfunction has been proposed for better understood  
71 neurodevelopmental disorders, such as Fragile X, autism, schizophrenia, and drug addiction [24].  
72 However, mTOR has not been extensively studied in this context, and the evidence linking it to  
73 anesthetic toxicity is mixed [25].  
74

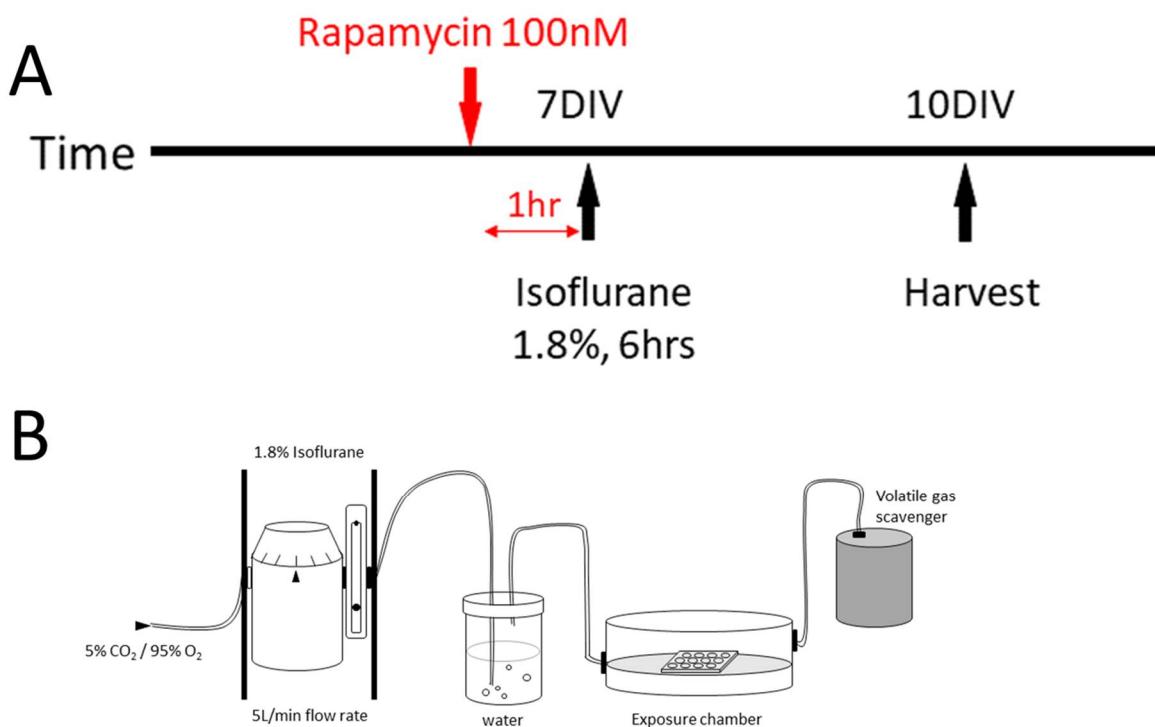
75 Here we use an *in vitro* primary rat neuron culture system to further explore the hypothesis  
76 that GAs disrupt neuron development via an upregulation of mTOR signaling. To this end we  
77 employ quantitative immunohistochemistry to examine the effects of anesthetic-induced mTOR  
78 changes on synapse development. We also test for contributions of the mTOR1 and mTOR2  
79 complexes, which represent a divergence in the pathway. Finally, we ask whether effects on the  
80 mTOR pathway generalize to multiple anesthetic agents.

## 81 **2. Results and Discussion**

### 82 *2.1. Effects of 1.8% Isoflurane Exposure for 6hrs on Synaptogenesis*

83 Our previous work in newborn dentate gyrus granule neurons in the intact mouse showed that  
84 isoflurane could act via an mTOR-mediated mechanism to cause a lasting reduction in the numbers  
85 of dendritic spines, which represent a morphological marker for excitatory post-synaptic elements.

86 To determine whether this effect is an acute one that occurs during neuron synapse development and  
 87 to test whether it generalizes to multiple neuronal types, we explored the effects of isoflurane  
 88 administered during the period of ongoing synaptogenesis in cultured neocortical neurons, a  
 89 population that is both heterogeneous and distinctly different from dentate gyrus neurons. Exposures  
 90 consisting of 1.8% isoflurane for 6hrs were performed at 7 days *in vitro* (DIV) when synaptogenesis  
 91 is ongoing, and results were assayed at 10 DIV when it is largely complete [26] (Figure 1). Double  
 92 immunofluorescence staining was performed using MAP-2 as a dendritic marker to define the area  
 93 over which synaptic markers were measured, and either Synapsin-1 to identify pre-synaptic elements  
 94 or Homer-1 to identify excitatory post-synaptic elements. The locations of the images taken for  
 95 analysis were 50 $\mu$ m from the nuclear, representative images showed in Figure 2A (Scale bar: 50 $\mu$ m)  
 96 and Figure 2B (Scale bar: 2 $\mu$ m).



97

98 **Figure 1. Schematic representation of the experimental timeline and exposure induction diagram**  
 99 *in vitro*.

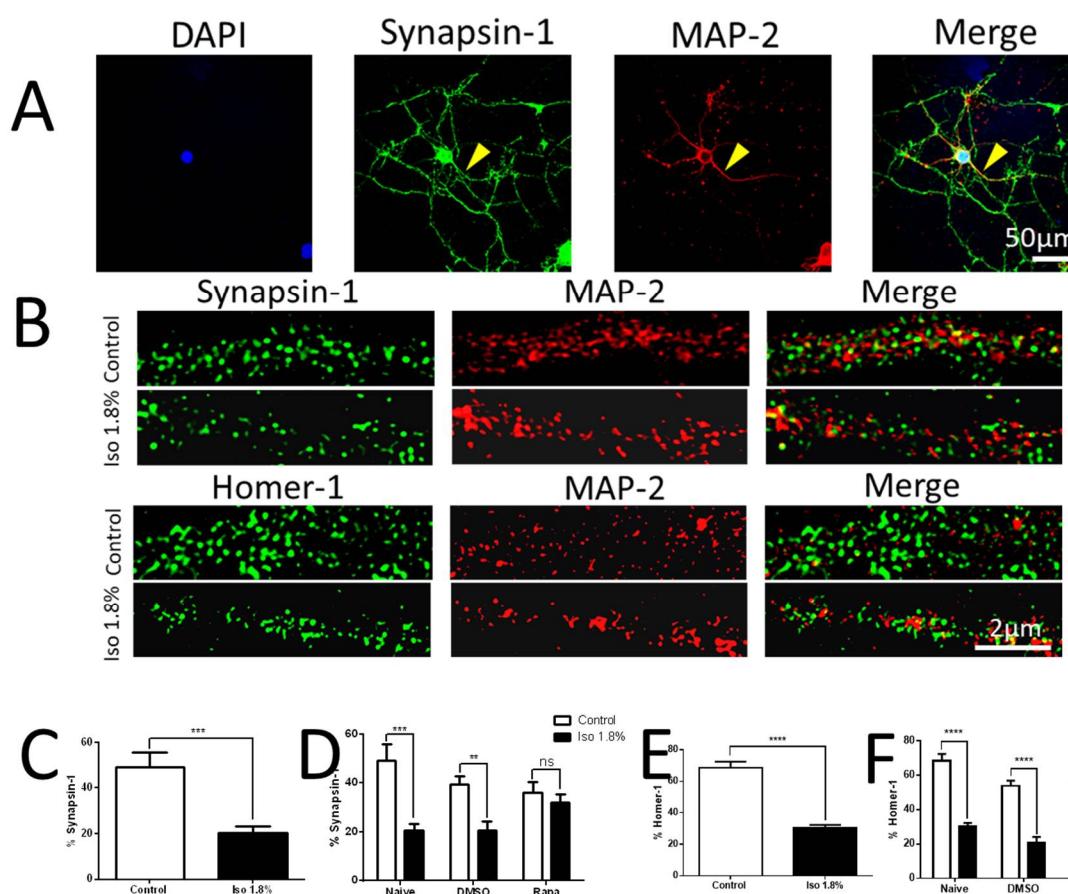
100 (A). The general experiment timeline *in vitro*. The neurons were exposed to 1.8% isoflurane for 6hrs  
 101 on their 7DIV, and 100nM rapamycin was added into the media 1hr before the exposure according  
 102 to the experiment design. The fresh media change was done regularly. The cells were fixed for  
 103 immunohistochemistry on 10DIV.

104 (B). Coverslips in 12-well plates were placed in identical air-tight, humidified chambers. Isoflurane  
 105 was delivered using an agent-specific, calibrated inline and was diluted in 5% CO<sub>2</sub> / 95% O<sub>2</sub> carrier  
 106 gas. Controls for these experiments received 5% CO<sub>2</sub> / 95% O<sub>2</sub> carrier gas only. After a 15-minute

107 equilibration period, then the sealed chambers placed in an incubator to maintain temperature at 37  
 108 °C for the duration of anesthesia exposure.

109

110 We found that 6hrs of isoflurane treatment at a concentration of 1.8% resulted in a significant  
 111 decrease in the intensity of Synapsin-1 immunoreactivity ( $20.46 \pm 7.33\%$ ) compared to the control  
 112 group ( $48.95 \pm 19.02\%$ ,  $p < 0.001$ ) (Figure 2C). Rapamycin treatment results in Synapsin-1 intensity  
 113 levels ( $32.11 \pm 9.10\%$ ) that are not significantly different from the control plus rapamycin treatment  
 114 group ( $36.13 \pm 11.70\%$ ), suggesting a rescue effect of rapamycin (Figure 2D). Carrier gas and isoflurane  
 115 treatment were also used in the presence of the rapamycin diluent, dimethyl sulfoxide (DMSO), and  
 116 the results did not differ from the same experiment performed without DMSO, indicating that the  
 117 diluent has no independent effect. Isoflurane treatment at 1.8% for 6hrs resulted in a significant  
 118 reduction in intensity of Homer-1 immunoreactivity ( $30.47 \pm 5.22\%$ ) compared to the control group  
 119 ( $68.46 \pm 11.18\%$ ,  $p < 0.0001$ ) (Figure 2E). As was found with Synapsin-1, rapamycin treatment after  
 120 isoflurane exposure prevented the effects of isoflurane. Homer-1 immunoreactivity after rapamycin  
 121 treatment did not differ significantly between the isoflurane ( $49.33 \pm 7.32\%$ ) and carrier gas groups  
 122 ( $56.14 \pm 8.91\%$ ) (Figure 2F). Taken together, these data indicate that isoflurane interferes with the  
 123 formation of excitatory synapses in developing cultured neocortical neurons and that this effect may  
 124 be due to actions on the mTOR pathway.



125

126 **Figure 2. 1.8% isoflurane exposure for 6hrs decreases pre- and post-synaptic marker intensity *in***  
127 ***vitro*.**

128 (A-B). Representative images of Synapsin-1/ Homer-1 (green), Map-2 (red), DAPI (blue)  
129 immunofluorescence in neurons in dissociated culture at 10DIV are shown. The segment for the  
130 dendrite was picked according to MAP-2 staining from each neuron and the locations for image taken  
131 were defined as 20-30 $\mu$ m from the nuclear according to DAPI (shown as the yellow arrow pointing  
132 in A).

133 (C-F). 6hrs of isoflurane exposure on 7DIV caused a significant difference in the intensity decrease of  
134 Synapsin-1 compared to the control group (C), while rapamycin treatment before the isoflurane  
135 exposure reversed the Synapsin-1 intensity to normal compared to the control with rapamycin  
136 treatment group (D). The intensity of Homer-1 also decreased compared to the control group (E),  
137 while rapamycin treatment before the isoflurane exposure reversed the Homer-1 intensity to normal  
138 compared to the control with rapamycin treatment group (F). (n=30 per group, \*p<0.05, \*\*p<0.01,  
139 \*\*\*p<0.001, \*\*\*\*p<0.0001, n.s. indicates no significant difference, t-test)

140

141 Our own work *in vivo* shows that newborn dentate gyrus neurons in mice exposed to GA with  
142 isoflurane are found to have reduced numbers of spines overall and profoundly reduced numbers of  
143 mushroom morphology spines over a month later [8]. As in our culture model, we found that this  
144 effect was reversible by treatment with rapamycin not acutely, but for a week after the exposure.  
145 While dendritic spines are generally the sites of excitatory post-synaptic elements, the correlation is  
146 imperfect, and our finding of reduced Homer-1 immunoreactivity in culture lends weight to our  
147 previous findings *in vivo*, particularly as we also found a decrease in expression of a pre-synaptic  
148 marker as well. However, our results in this manuscript differ in some important ways. Our  
149 anesthesia exposure occurred during synaptogenesis, rather than at the point of generation, and also  
150 the neurons observed in a cortical culture differ morphologically and functionally from dentate gyrus  
151 granule cells, which have many unusual features compared to other neurons. Thus, we predict based  
152 on our findings that mTOR-mediated effects on synapse formation are likely to generalize across a  
153 broad range of contexts. The current literature does not have any other studies of mTOR and  
154 anesthetic effects on synapses, but the preponderance of evidence suggests at least that GA exposure  
155 during development can disrupt synapse formation or maintenance. Two *in vivo* rodent studies  
156 using electron microscopy to identify synapses found decreased synaptic density in the hippocampus  
157 of young adult mice that had been exposed to GAs during the early postnatal phase [27, 28].  
158 Interestingly, when this phenomenon was studied in the rodent pre-frontal cortex using light  
159 microscopy to quantify spine numbers, it was found that a P5 exposure reduced spine number but a  
160 P15 exposure actually increased spine number [29], suggesting that the state of neuron at the time of  
161 exposure is critically important to determine the effect of GAs. Our findings in this manuscript  
162 support the conclusion that GA exposure prior to stabilization of synapses leads to a failure of  
163 synapse formation.

164

165 2.2. Parameters of Activation of mTOR by Isoflurane in Cultured Neurons

166 We have previously shown that isoflurane exposure causes a lasting increase in expression of  
167 phospho-S6 (pS6), a commonly used marker of activity in the mTOR pathway [8, 25]. However, the  
168 constraints of *in vivo* experimentation are such that we were unable to determine at what stage of  
169 development neurons are subject to this phenomenon, and we were also unable to test the minimum  
170 time of exposure and exposure dose required. To address these questions we stained for DAPI (grey)  
171 to define cell bodies and immunolabeled for  $\beta$ III-tubulin (blue) to verify neuronal cell type. To  
172 measure the activity in the mTOR pathway, we co-labeled for unphosphorylated-S6 (red) and  
173 phosphorylated-S6 (green) to assess mTOR activation. A representative example of control and  
174 isoflurane 1.8% for 6hrs treatment on 7DIV with harvest on 10DIV is shown (Figure 3A, Scale bar:  
175 50 $\mu$ m).

176

177 We first tested the effects of varying the time of exposure to isoflurane on pS6 expression. We  
178 found that 6hrs of 1.8% isoflurane treatment on 3DIV caused a significant increase in the percentage  
179 of pS6 positive neurons (as the yellow arrows pointed out in Figure 3A) compared to the control  
180 group with harvest at 5DIV ( $64.25 \pm 15.95\%$  vs.  $17.22 \pm 10.15\%$ ,  $p < 0.0001$ ), 7DIV ( $54.33 \pm 37.69\%$  vs.  
181  $23.98 \pm 11.54\%$ ,  $p < 0.0001$ ), 10DIV ( $65.53 \pm 15.26\%$  vs.  $23.73 \pm 9.60\%$ ,  $p < 0.0001$ ), and 14 DIV ( $64.17 \pm 21.40\%$   
182 vs.  $28.01 \pm 11.92\%$ ,  $p < 0.0001$ ) (Figure 3B). Isoflurane treatment at 5DIV caused a significant increase in  
183 the percentage increase of pS6+ neurons compared to the control group at 7DIV ( $48.00 \pm 11.43\%$  vs.  
184  $23.60 \pm 11.33\%$ ,  $p < 0.05$ ), 10DIV ( $36.65 \pm 14.74\%$  vs.  $25.13 \pm 9.63\%$ ,  $p < 0.05$ ), and 14 DIV ( $44.36 \pm 15.36\%$  vs.  
185  $26.65 \pm 9.57\%$ ,  $p < 0.05$ ) (Figure 3C). Exposure at 7DIV caused a significant increase in pS6 positive  
186 neurons compared to the control group on 10DIV ( $79.21 \pm 16.54\%$  vs.  $23.86 \pm 18.39\%$ ,  $p < 0.0001$ ), but no  
187 difference was detected at the 14 DIV ( $42.51 \pm 12.51\%$  vs.  $32.74 \pm 7.70\%$ ) harvest time point (Figure 3D).  
188 These findings suggest that isoflurane exposure causes pS6 to increase at any early developmental  
189 time point, but that the effect is reduced as the neuron approaches maturity.

190

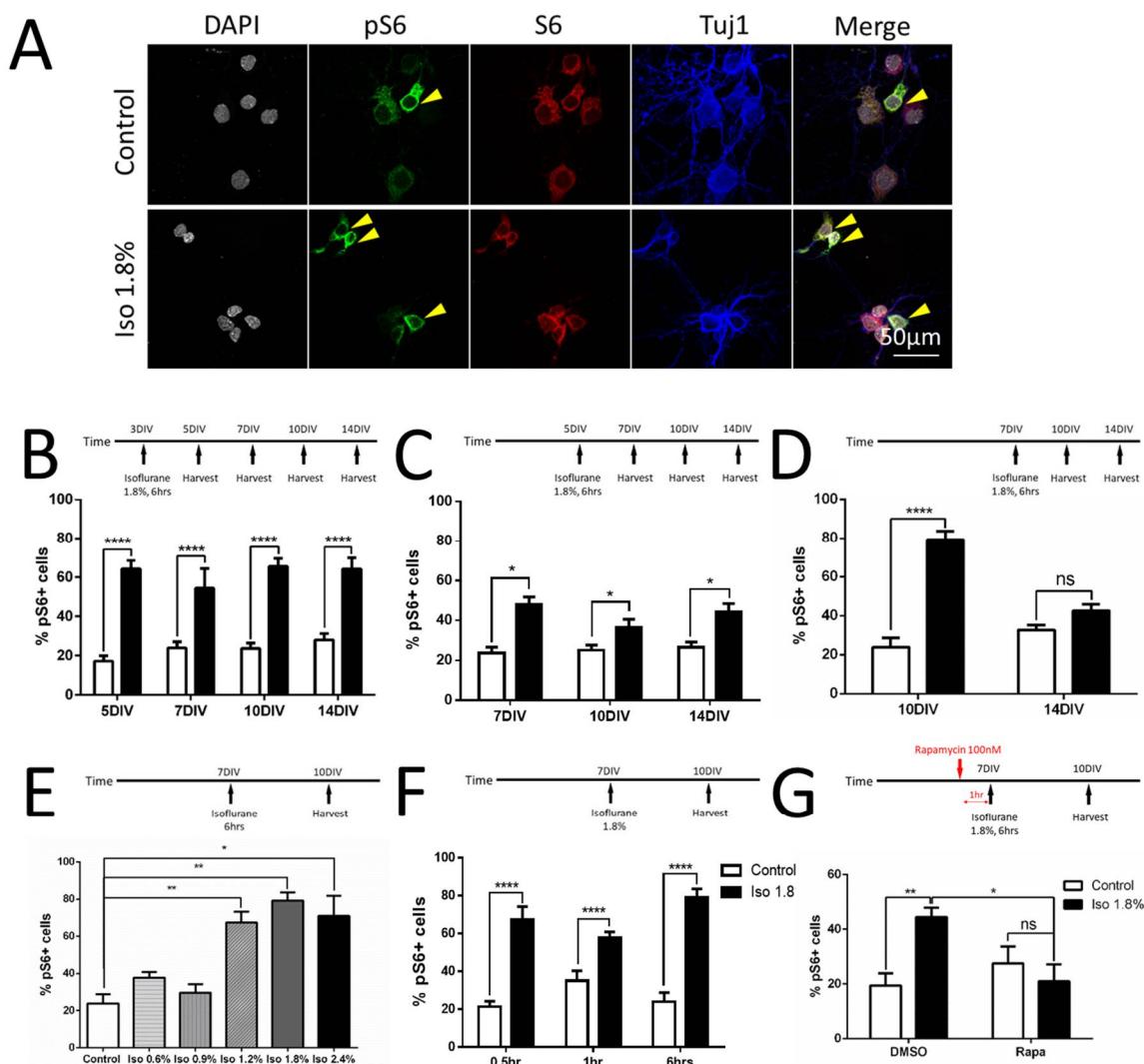
191 Next, we tested the effects of different concentrations of isoflurane delivered at 7DIV and  
192 assayed for pS6 on 10DIV. There was a significant difference between the 1.2% isoflurane group  
193 ( $67.33 \pm 22.31\%$ , ANOVA,  $p < 0.01$ ), 1.8% isoflurane group ( $79.20 \pm 16.53\%$ , ANOVA,  $p < 0.01$ ) and 2.4%  
194 isoflurane group ( $71 \pm 32.31\%$ , ANOVA,  $p < 0.05$ ), compared to the control group ( $23.86 \pm 18.39\%$ ), while  
195 there is no significant difference between the 0.6% isoflurane group ( $37.80 \pm 11.13\%$ ), 0.9% isoflurane  
196 group ( $29.65 \pm 13.18\%$ ) and the control group (Figure 3E). This represents a clear inflection point at a  
197 value corresponding to one adult minimum alveolar concentration (MAC), which is a clinically  
198 reasonable dose in pediatric setting.

199

200 Then we sought to determine the minimum duration of exposure to isoflurane that is required  
201 to cause an increase in mTOR signaling. We exposed P7 neurons to 1.8% isoflurane with varying  
202 durations and measured pS6 levels on 10DIV. There was a significant difference between the 0.5h  
203 isoflurane group ( $67.28 \pm 26.06\%$ ) compared to the control group ( $21.40 \pm 10.43\%$ ,  $p < 0.0001$ ), 1h  
204 isoflurane group ( $58.00 \pm 10.62\%$ ) compared to the control group ( $35.07 \pm 19.39\%$ ,  $p < 0.0001$ ), and 6hrs  
205 isoflurane group ( $79.20 \pm 16.53\%$ ) compared to the control group ( $23.86 \pm 18.39\%$ ,  $p < 0.0001$ ) (Figure 3F).  
206 Half an hour exposure is the shortest practical duration to measure in our model, and we conclude  
207 that even brief exposures have the potential to act on the mTOR pathway.

208

209 In order to further confirm that the increase in pS6 labeling that we observe is in fact evidence of  
 210 mTOR pathway activation we treated the cultures with rapamycin as in Figure 2. We found that there  
 211 was a significant increase of the percentage of pS6 positive cells among all the DAPI/ Tubulin neurons  
 212 between the isoflurane + vehicle (DMSO) group ( $44.49 \pm 9.73\%$ ) compared to the control + vehicle  
 213 (DMSO) group ( $19.44 \pm 16.86\%$ ,  $p < 0.01$ ). Rapamycin treatment prevented the increase of pS6  
 214 immunoactivity in the isoflurane group ( $21.00 \pm 23.25\%$ ) compared to the isoflurane group without  
 215 rapamycin ( $44.49 \pm 9.73\%$ ,  $p < 0.05$ ), and there was no significant difference between isoflurane+  
 216 rapamycin group compared to the control+ rapamycin group ( $27.52 \pm 23.06\%$ ) (Figure 3G).



217

218 **Figure 3. Isoflurane exposure at different time points showed effects on the downstream marker**  
 219 **of mTOR pathway.**

220 (A). Representative images of DAPI (grey), pS6 (green), S6 (red), beta III Tubulin (blue)  
 221 immunofluorescence in the dissociated neurons at 10DIV.

222 (B-G). 6hrs of 1.8% isoflurane treatment on different early time points caused significant increases in  
 223 the percentage of pS6 positive cells among all the DAPI/ Tubulin neurons compared to the control  
 224 group at late time points except the ones exposed on 7DIV and tested on 14DIV (B-D). The effect on  
 225 pS6 levels at 10DIV varied depending on the doses of isoflurane. There was a significant increase in

226 immunoactivity starting from the 1.2% isoflurane group to the 2.4% isoflurane group, while lower  
227 doses (0.6% and 0.9%) remained at control levels of pS6 immunoactivity (E). Different exposure  
228 durations (0.5hr, 1hr and 6hrs) of 1.8% isoflurane also resulted in increased pS6 immunoactivity at  
229 all exposure times compared to control (F). Adding rapamycin, the mTOR pathway inhibitor  
230 reversed the increase of pS6 after isoflurane exposure on 7DIV (G). (n=15 per group, \* $p<0.05$ , \*\* $p<0.01$ ,  
231 \*\*\* $p<0.0001$ , n.s. indicates no significant difference, ANOVA, t-test)  
232

233 The use of a dissociated culture model presents a substantial advantage for studying the  
234 pharmacology of anesthetic toxicity as compared to *in vivo* models, as the short timeline of  
235 experiments and the lesser requirements for resources allow for the study of a broad range of doses  
236 and exposure paradigms. The general consensus in the literature is that the period of  
237 synaptogenesis represents the peak window of vulnerability to developmental anesthetic  
238 neurotoxicity *in vivo* [30, 31], but *in vivo* synaptogenesis is a heterogeneous process that occurs over  
239 long periods of time as different cohorts of neurons mature over widely variable timelines. Using  
240 the culture model, in which synaptogenesis is synchronous starting from 5DIV and ending about  
241 14DIV [32], we asked which stages of synaptogenesis are vulnerable to a potentially harmful  
242 increase in mTOR pathway in response to isoflurane exposure to gain a clearer understanding of  
243 the potential window of vulnerability. The only time point we studied at which pS6 upregulation  
244 due to isoflurane exposure was at all abated was the P7 exposure with measurement of pS6 at  
245 14DIV. Synapses are highly dependent on filamentous actin for stability during the first week in  
246 culture, but during the second week there is a marked shift towards persistence of synapses even  
247 when actin is perturbed [33]. Several previous studies have suggested that isoflurane toxicity  
248 during development may be mediated in part via effects on the actin cytoskeleton [34, 35], and our  
249 results are consistent with the period of actin-dependent synapse formation as the window of  
250 vulnerability to mTOR mediated effects on synaptogenesis. One of the principal concerns in the  
251 study of developmental anesthetic toxicity is that many reported phenomenon may lack clinical  
252 relevance as they are reported by studies that use only supra-therapeutic doses, sometimes in  
253 excess of 2 adult MAC, or unrealistically long exposure times, which in some cases are as much as  
254 24 hours [36]. Our findings in cultured neurons show that the vulnerability of neurons to  
255 isoflurane-induced mTOR activation appears to have a threshold between 0.9% and 1.2%  
256 isoflurane, which is a dose that is clinically realistic as it represents less than 1 MAC for pediatric  
257 patients [37]. Furthermore, the duration of exposure required to generate a significant effect is  
258 strikingly short at 30 minutes, the briefest exposure that is practical in our system. This finding does  
259 call into question the clinical relevance of mTOR activation as the evidence from clinical trials  
260 suggests that anesthetic exposures under an hour do not have measurable effects on children [38,  
261 39]. However, it is reasonable to suppose that *in vivo*, particularly in the setting of a complex brain  
262 with a long developmental timeline, there may be a high threshold for phenotypically detectable  
263 events, which exceeds the threshold for detectable change at the cellular and molecular level.  
264 Nevertheless, the discrepancy between thresholds of toxicity in rodent models and human and non-  
265 human primates remains an unsolved problem in the field of anesthetic toxicity in neuro-  
266 development [40].

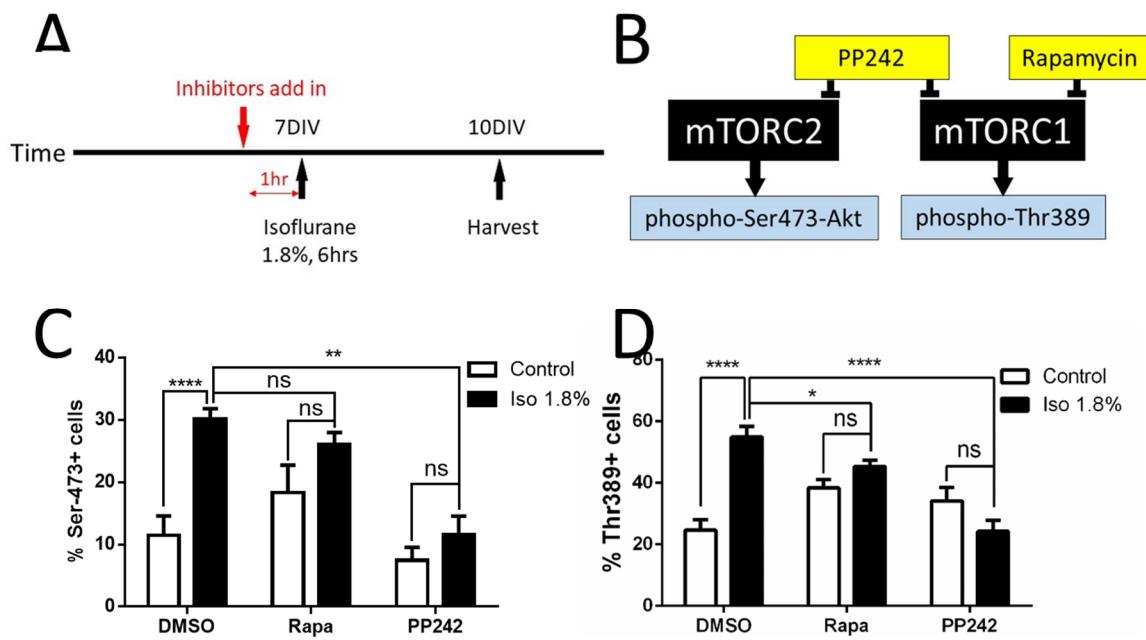
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## 268 2.3. Effects of Isoflurane Exposure on the mTORC1 and mTORC2 Pathway

269 The mTOR pathway has two principal branches, which arise from mTORC1 and mTORC2. These  
270 pathways perform biologically distinct functions in some settings, but there is substantial  
271 communication between them [41]. We next sought to determine whether the effects of isoflurane are  
272 mediated through one branch of the pathway. This was accomplished via a series of experiments  
273 using mTOR pathway inhibitors with differential effects between mTORC1 and mTORC2, and by  
274 measuring levels of immunoreactivity of downstream phospho-proteins that are activated  
275 differentially between the pathway branches. Inhibitors were added into the media one hour before  
276 the 1.8% isoflurane/ carrier gas exposure at 7DIV for a harvest at 10DIV (Figure 4A). The  
277 concentrations of the inhibitor were maintained after the exposure by media change with fresh  
278 inhibitor on 8DIV and 9DIV. The branch specific inhibitor and readout strategy (shown in Figure 4B)  
279 is as follows: PP242 was used as an inhibitor to block both mTORC1 and mTORC2 pathways  
280 simultaneously. Rapamycin was used as an mTORC1-specific pathway inhibitor. Ser473  
281 phosphorylated Akt (pAkt, Ser473) was used as an mTORC2 downstream activity marker while  
282 Thr389 phosphorylated 70S6 (p70S6, Thr389) was used as an activity marker downstream from  
283 mTORC1. The combination of these inhibitors and markers has been shown to be effective in  
284 differentiating activity in between the mTORC1 and mTORC2 branches [42].

285

286 We found a significant difference in the percentage of pAkt positive neurons between the  
287 isoflurane + vehicle (DMSO) group ( $30.19 \pm 6.12\%$ ) and the control + vehicle (DMSO) group ( $11.45 \pm$   
288  $11.71\%$ ,  $p < 0.0001$ ). As expected, rapamycin treatment did not change pAkt levels which was shown  
289 in the isoflurane + rapamycin group ( $26.09 \pm 7.04\%$ ) compared to the isoflurane + DMSO group, but  
290 there was a significant difference between the isoflurane+ PP242 group ( $14.60 \pm 14.50\%$ ) compared to  
291 the isoflurane+ DMSO group ( $p < 0.01$ ). While comparison between the isoflurane+ PP242 group and  
292 the control+ PP242 group ( $4.16 \pm 5.27\%$ ) showed no significant difference. Taken together, the  
293 mTORC2 was affected during the isoflurane exposure to the neurons. There was a significant increase  
294 in the percentage of Thr-389 positive cells among all the DAPI/ Tubulin neurons between the  
295 isoflurane + vehicle (DMSO) group ( $54.88 \pm 10.56\%$ ) compared to those of the control+ vehicle (DMSO)  
296 group ( $24.67 \pm 10.19\%$ ,  $p < 0.0001$ ) (Figure 4D). Adding rapamycin before the exposure prevented the  
297 changes in Thr-389 levels ( $45.37 \pm 6.09\%$ ) seen with the isoflurane + DMSO group ( $p < 0.05$ ), and there  
298 was a significant difference between isoflurane+ PP242 group ( $24.22 \pm 13.66\%$ ) compared to the  
299 isoflurane + DMSO group ( $p < 0.0001$ ). While comparing the isoflurane+ PP242 group and the control+  
300 PP242 group ( $34.15 \pm 16.55\%$ ), no significant difference was measured. Taken together, these data  
301 indicate that isoflurane acts on both the mTORC1 and mTORC2 branches. This is principally  
302 significant because it shows that therapeutic strategies cannot be designed around only one pathway  
303 branch or the other, unless it can be determined that the deleterious effects occur downstream of only  
304 one of the two branches.



**Figure 4. Effects of 1.8% isoflurane exposure for 6hrs on the downstream marker of mTORC1 and mTORC2 pathway.**

(A). The timeline for adding mTORC1 / mTORC2 inhibitors. The inhibitors were added to the media 1 hour before the 1.8% isoflurane/ carrier gas exposure on the 7DIV. The cells were fixed for immunohistochemistry on 10DIV.

(B). A visual diagram showing the inhibition of PP242 and rapamycin on mTORC1 and mTORC2 pathways.

(C-D). For the mTORC2 downstream marker Ser473-Akt, there is a significant increase after 1.8% isoflurane exposure for 6hrs on 7DIV compared to the control group. Adding rapamycin did not fully reverse it back to normal, but adding PP242 made a significant difference between the isoflurane+PP242 and isoflurane+ DMSO groups, while the positive Ser-473 cells returned back to normal compared to the control+ PP242 group. This indicates that mTORC2 pathway is involved in the isoflurane neurotoxicity changes (C). For the mTORC1 downstream marker Thr389, isoflurane exposure increased its immunoactivity significantly, while adding either rapamycin or PP242 reversed its immunoactivity back to normal. This indicates that mTORC1 pathway is also involved in the deficiency of neuron growth caused by isoflurane as well (D). (n=15 per group, \*p<0.05, \*\*p<0.01, \*\*\*p<0.0001, n.s. indicates no significant difference, ANOVA, t-test)

324 *2.4. Effects of Sevoflurane and propofol on the Downstream Marker of mTOR Pathway.*

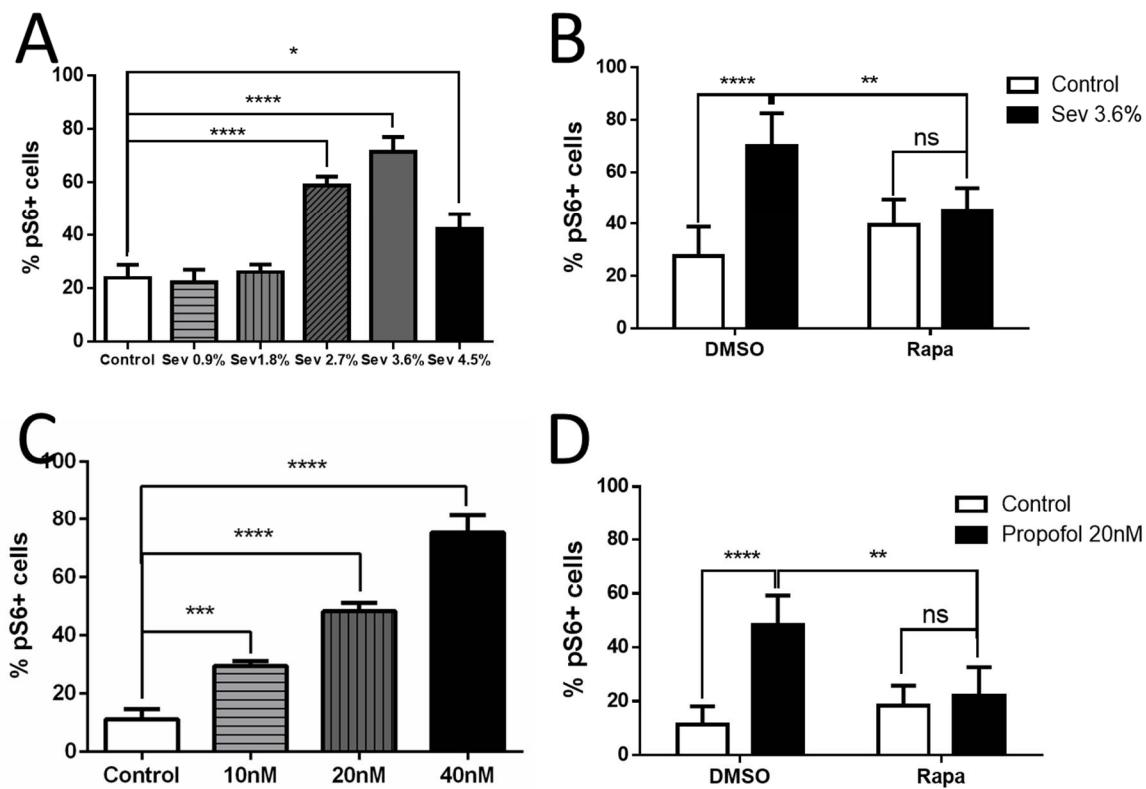
325 A key question in developmental anesthesia toxicity is whether unwanted effects of anesthetic  
326 agents could be avoided through different choices of the primary anesthetic drug used. Thus, we  
327 asked what the effects of sevoflurane, the most commonly used volatile agent in pediatric anesthesia  
328 practice, and propofol, which is an intravenous agent that serves as the next likely alternative to  
329 isoflurane or sevoflurane, are on the mTOR pathway. Sevoflurane exposure in cultured neurons was  
330 accomplished using the same methods used for isoflurane exposure. Propofol exposure was  
331 accomplished by adding propofol in a carrier to the culture media, followed by media replacement  
332 at the appropriate time to terminate the exposure.

333

334 We measured the effect of a range of clinically relevant concentrations of sevoflurane and  
335 propofol delivered at 7DIV on pS6 levels measures at 10DIV. We found no significant difference in  
336 the percentage of neurons positive for pS6 between the 0.9% sevoflurane group ( $22.29 \pm 14.86\%$ ) or  
337 the 1.8% sevoflurane group ( $26.03 \pm 10.52\%$ ) and the control group ( $23.85 \pm 18.39\%$ ) (Figure 5A).  
338 However, at 2.7% sevoflurane ( $59.00 \pm 12.11\%$ ,  $p < 0.0001$ ), 3.6% sevoflurane ( $71.35 \pm 21.27\%$ ,  $p < 0.0001$ )  
339 and 4.5% sevoflurane group ( $42.39 \pm 20.91\%$ ,  $p < 0.05$ ), there was a significant increase in the percentage  
340 of pS6+ neurons over control (Figure 5A). Rapamycin treatment prevented the increase in pS6  
341 labeling with 3.6% sevoflurane exposure ( $45.13 \pm 8.77\%$  for sevoflurane plus rapamycin compared to  
342  $39.42 \pm 10.10\%$  for rapamycin plus carrier gas, no significant difference.) (Figure 5B). One adult MAC  
343 of sevoflurane is approximately 1.8%, and thus compared to isoflurane, a higher dose of sevoflurane,  
344 which is at the high end of a clinically reasonable concentration, is required to show an increase in  
345 pS6 expression.

346

347 Next, we tested the effects of propofol on pS6 expression. There was a significant increase in the  
348 percentage of pS6 positive cells measured in the 10nM propofol group ( $29.57 \pm 6.05\%$ ,  $p < 0.001$ ), the  
349 20nM propofol group ( $48.26 \pm 10.98\%$ ,  $p < 0.0001$ ), and the 40nM propofol group ( $74.42 \pm 17.78\%$ ,  
350  $p < 0.0001$ ), compared to the control group ( $11.22 \pm 6.94\%$ ). Adding rapamycin 1 hour before the 20nM  
351 propofol exposure decreased the pS6 immunoactivity ( $22.02 \pm 10.63\%$ ) compared to the ones without  
352 rapamycin treatment ( $48.26 \pm 10.98\%$ ,  $p < 0.01$ ), and there was no significant difference between the  
353 20nM propofol+ rapamycin group and the control+ rapamycin group ( $18.29 \pm 7.50\%$ ) (Figure 5D).  
354 These data indicate that propofol may also mediate its effects through the mTOR pathway, although  
355 there is no clear way to draw equivalence in dosing between isoflurane or sevoflurane and propofol.  
356 One of the most practical strategies to potentially avoid anesthetic toxicity would be to choose drugs  
357 that do not activate pathways that result in toxic effects related to neural development. While  
358 numerous studies have identified mechanisms specific to either the potent volatile agents or to  
359 propofol [20], relatively few studies have conducted head to head comparisons between these two  
360 principal approaches to general anesthesia. Our data suggest to the extent that mTOR is a key  
361 mechanism in developmental anesthetic neurotoxicity, the choice of the agent may not be protective.



362

363 **Figure 5. Effects of sevoflurane and propofol on the downstream marker of the mTOR pathway.**  
364 (A-B). The effect on pS6 levels at 10DIV varied depending on the doses of sevoflurane at 7DIV. There  
365 was a significant increase in immunoactivity starting from the 2.7% sevoflurane group to the 4.5%  
366 sevoflurane group, while lower doses (0.9% and 1.8%) remained at control levels of pS6 (A).  
367 Rapamycin treatment prevented the increase in pS6 labeling with 3.6% sevoflurane exposure (B).  
368 (C-D). Different doses of propofol at 7DIV had similar effects on pS6 levels at 10DIV. There was a  
369 significant increase in pS6 immunoactivity starting from the 10nM propofol group to the 40nM  
370 propofol group (C). Rapamycin treatment prevented the increase in pS6 labeling with 20nM propofol  
371 exposure (D). (n=15 per group, \*\*p<0.01, \*\*\*p<0.001, \*\*\*\*p<0.0001, ANOVA, t-test)

### 3. Materials and Methods

#### 3.1. Neuronal Cultures

372 Primary neuron cultures were obtained from BrainBits, LLC (Springfield, IL, USA). Cultures  
373 consisted of dissociated neurons obtained from neocortex dissected from E18 Sprague Dawley rat  
374 embryos according to the company protocols. Neurons were plated on 12 mm glass coverslips at  
375 16,000 cells/cm<sup>2</sup> and maintained in NbActiv4 medium (BrainBits, Springfield, IL, USA) with half  
376 media changes conducted three times per week. Pilot experiments showed over 95% of cells from  
377 these cultures are immunopositive for  $\beta$ -tubulin, suggesting a high degree of purity. Experiments  
378 were performed on neurons between 3 and 14DIV, and all experiments incorporated coverslips  
379 from a minimum of three separate cultures.

380

#### 3.2. Anesthetic Agent Exposure

384      Coverslips in 12-well plates were placed in identical air-tight, humidified chambers (Billups-  
385      Rothenberg, Del Mar, CA, USA) as previously described [43]. Isoflurane (Baxter Healthcare  
386      Cooperation, Deerfield, IL, USA) or sevoflurane (AbbVie Inc., North Chicago, IL, USA) was  
387      delivered using an agent-specific, calibrated inline vaporizer (SuperaVet, Vaporizer Sales and  
388      Services Inc., Rockmart, GA, USA), and was diluted in 5% CO<sub>2</sub> / 95% O<sub>2</sub> carrier gas. Controls for  
389      these experiments received 5% CO<sub>2</sub> / 95% O<sub>2</sub> carrier gas only. There was a 15-minute equilibration  
390      period, which was required to achieve the correct concentration of isoflurane or sevoflurane as  
391      measured by a 5250 RGM gas analyzer (Datex-Ohmeda, Madison, WI, USA). Then the sealed  
392      chambers were placed in an incubator to maintain temperature at 37°C for the duration of  
393      anesthesia exposure. Isoflurane / sevoflurane concentration was periodically measured at the end of  
394      the experimental period to verify that it was appropriately maintained throughout the exposure.  
395      The propofol exposure was done by adding pure 2, 6-diisopropylphenol (Sigma Aldrich, Saint  
396      Louis, MO, USA) into experiment wells, and incubated at 37°C for the duration of anesthesia  
397      exposure. The exposure was terminated by removing all the media and by adding a combination of  
398      previously removed media without propofol and fresh media.  
399

#### 400      3.3. *The mTOR Pathway Inhibition*

401      The mTOR inhibitors used in this study were as follows: PP242 at 1µM (EMD Millipore,  
402      Billerica, MA, USA), and rapamycin at 100nM (Sigma Aldrich, Saint Louis, MO, USA). They were  
403      used to inhibit mTORC1 or mTORC2, which are distinct functional pathways of the mTOR  
404      pathway. The neurons were pretreated with inhibitors 1 hour before isoflurane or carrier gas  
405      exposure. The inhibitor concentration was maintained until the time of fixation by incorporating  
406      inhibitor in media changes.  
407

#### 408      3.4. *Immunocytochemistry*

409      Fluorescent immunocytochemistry and labeling with fluorescently tagged F-actin were  
410      conducted as previously described [44]. Neurons on coverslips were briefly fixed with 4%  
411      paraformaldehyde at room temperature for 10 minutes, then permeabilized and blocked for 1 hour  
412      at room temperature in 5% donkey serum with 0.1% Triton X-100. Neurons were incubated  
413      overnight at 4°C in using the following antibodies: rabbit-anti-Synapsin-1 (1:200, EMD Millipore,  
414      Burlington, MA, USA), chicken-anti-Homer-1 (1:400, Synaptic Systems, Goettingen, Germany),  
415      mouse-anti-MAP-2 (1:200, Abcam, Cambridge, MA, USA), rabbit anti-human phospho-p70S6K  
416      (Thr-389, 1:1000, EMD Millipore, Billrecia, MA, USA), rabbit anti-human phospho-AKT (Ser-  
417      473, 1:500, Cell Signaling Technologies, Danvers, MA, USA), rabbit anti-human S6 (1:100, Cell  
418      Signaling Technologies, Danvers, MA, USA), rabbit anti-human phospho-S6 (Ser-235/236, Cell  
419      Signaling Technologies, Danvers, MA, USA), and chicken-anti-human anti-β-III Tubulin (1:1000,  
420      EMD Millipore, Billrecia, MA, USA). All the antibodies were diluted in phosphate-buffered saline  
421      solution containing 0.1% Triton X-100. After rinsing, neurons were incubated for 2hrs with a  
422      fluorescent secondary antibody and 4', 6-diamidino-2-phenylindole (DAPI) at the manufacturer's  
423      recommended concentration (Jackson Immuno Research Labs, West Grove, PA, USA).  
424      Subsequently, neurons were mounted on coverslips using 2.5% PVA/ DABCO Mounting Media.  
425

426 *3.5. Imaging and Microscopic Analysis*

427 A Leica SP8 confocal microscope was used to capture all microscopic images. Cell counting  
428 analyses were conducted manually. In these experiments, the counting field was conducted by  
429 capturing five 63x fields that were selected to represent all four quadrants and the center of the  
430 coverslip. Neuronal cell bodies were identified as those positive for both  $\beta$ -III Tubulin and DAPI, and  
431 representative images were taken using a 63x 1.0 N.A. objective with an additional 1.0x magnification  
432 lens in line. For the synaptic marker analysis, five neurons from each sample were evenly distributed  
433 throughout the coverslip to represent all four quadrants and the center was randomly selected for  
434 analysis. Images were taken using a 63x 1.0 N.A. objective with an additional 5x magnification lens  
435 in line. One dendrite was picked according to MAP-2 staining from each neuron and the locations for  
436 image taken were defined as 20-30 $\mu$ m from the nuclear according to DAPI. Synaptic puncta were  
437 quantified using ImageJ software. The dendrite segment outline was traced and the area  
438 quantification was done according to the MAP-2 channel, and the threshold was maintained the same  
439 for the synaptic marker channel. The intensity of Synapsin-1/ Homer-1 puncta inside the dendrite  
440 outline was measured and recorded. Both imaging and analysis were conducted by an investigator  
441 blind to condition.

442

443 *3.6. Statistical Analysis*

444 Results are expressed as mean  $\pm$  SEM. All statistical analysis was conducted using Prism 6.0  
445 (GraphPad, San Diego, CA, USA). Student's t-test was used for determine statistical differences  
446 between each experiment group and the control-group data. One-way ANOVA with multiple  
447 comparisons for the data with group number over three. Multiple t-test were used between the  
448 groups and have the same exposure condition but different inhibitor treatments. All data examined  
449 with parametric tests were determined to be normally distributed and was done by an investigator  
450 blind to condition. Statistical significance for all tests was set a priori at  $p<0.05$ .

451

452 **4. Conclusions**

453 In summary, we conclude that the potent volatile anesthetics and propofol, which are the  
454 mainstays of nearly all pediatric anesthetics, all have the capacity to upregulate signaling in both  
455 branches of the mTOR pathway in neurons during synaptogenesis. Anesthetic exposure in this  
456 setting inhibits synaptogenesis, and this effect is reversible with the mTOR inhibitor, rapamycin. Our  
457 study has several limitations, principally related to study of neural development in culture, where  
458 there is no patterned activity. In addition, because manipulation of mTOR via genetic means is  
459 problematic, only pharmacologic inhibition was used. Nevertheless, we believe that future study of  
460 mTOR as a putative mechanism for developmental anesthetic neurotoxicity in dissociated culture  
461 will prove informative, and that questions about which types of neurons and synapses are at risk and  
462 what the effects on neural function could be successfully addressed in this model system.

463

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466 Yiwen Fang and C. David Mintz; Software, Jing Xu and R. Paige Mathena; Supervision, Pengbo Zhang, Roger  
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474 to publish the results.

## 475 Abbreviations

GA	General Anesthetics
mTOR	mechanistic target of rapamycin
mTOR C1	the mTOR 1 complex
mTOR C2	the mTOR 2 complex
DIV	days <i>in vitro</i>
DAPI	4',6-diamidino-2-phenylindole
DMSO	dimethyl sulfoxide
pS6	phosphorylated S6
MAC	minimum alveolar concentration
Ser473	Ser473 phosphorylated Akt
Thr389	Thr389 phosphorylated 70S6

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