1 Article Graviola (Annona muricata) Exerts Anti-proliferative, 2 Anti-clonogenic and Pro-apoptotic Effects in Human 3 Non-Melanoma Skin Cancer UW-BCC1 and A431 4 Cells In Vitro: Involvement of Hedgehog Signaling 5 6 7 Jean Christopher Chamcheu^{1,2,*}, Islam Rady², Roxane-Cherille N. Chamcheu^{2,3}, Abu Bakar 8 Siddique¹, Melissa B. Bloch¹, Sergette Banang Mbeumi⁴, Abiola S. Babatunde^{1, §}, Mohammad B. 9 Uddin¹, Felicite K. Noubissi⁵, Peter W. Jurutka⁶, Yong-Yu Liu¹, Vladimir S. Spiegelman⁷, G. Kerr 10 Whitfield⁸ and Khalid A. El Sayed¹ 11 Department of Basic Pharmaceutical Sciences, School of Pharmacy, College of Health and Pharmaceutic 12 Sciences, University of Louisiana at Monroe, Monroe, LA 71209-0497, USA; blochmb@warhawks.ulm.edu, 13 siddiqab@warhawks.ulm.edu, uddinmb@warhawks.ulm.edu, yliu@ulm.edu, elsayed@ulm.edu, 14 chamcheu@ulm.edu 15 Department of Dermatology, School of Medicine and Public Health, University of Wisconsin, Madison, 2 16 WI 53706, USA; irady@dermatology.wisc.edu, rnchamcheu@wisc.edu, 17 Madison West High School, 30 Ash St, Madison, WI 53726; roxanechamcheu@gmail.com. 18 Section for Research and Innovation, POHOFI Inc., P.O. Box 44067, Madison, WI53744; 19 sbmbeumi@pohofi.org; sbanang@yahoo.fr. 20 Department of Biology, Jackson State University, Jackson, MS 39217, USA; 21 felicite.noubissi_kamdem@jsums.edu, 22 School of Mathematical and Natural Sciences, Arizona State University, Phoenix, AZ 85306 USA; 23 peter.jurutka@asu.edu 24 Division of Pediatric Hematology/Oncology, Department of Pediatrics, Pennsylvania State University, 25 College of Medicine, Hershey, Pennsylvania, 17033 USA; vspiegelman@pennstatehealth.psu.edu 26 Department of Basic Medical Sciences, University of Arizona College of Medicine-Phoenix, Phoenix, AZ 27 85004 USA; gkw@email.arizona.edu 28 29 [§]Current address: Department of Hematology, University of Ilorin, Ilorin, Nigeria. 30 31 *Correspondence: <u>chamcheu@ulm.edu</u>; Tel.: 1-318-342-6820; Fax: (318) 342-1737 32 33 Running title: Graviola inhibits non-melanoma skin cancer cell growth 34

35 Abstract: Non-melanoma skin cancers (NMSCs) are the leading cause of skin cancer-related 36 morbidity and mortality. Effective strategies are needed to control NMSC occurrence and 37 progression. Non-toxic, plant-derived extracts have been shown to exert multiple anti-cancer effects. 38 Graviola (Annona muricata), a tropical fruit-bearing plant, has been used in traditional medicine 39 against multiple human diseases including cancer. The current study investigated the effects of 40 graviola leaf and stem extract (GLSE) and its solvent-extracted fractions on two human NMSC cell 41 lines, UW-BCC1 and A431. GLSE was found to: i) dose-dependently suppress UW-BCC1 and A431 42 cell growth, motility, wound closure, and clonogenicity; ii) induce G₀/G₁ cell cycle arrest by 43 downregulating cyclin/cdk factors while upregulating cdk inhibitors, and (iv) induce apoptosis as 44 evidenced by cleavage of caspases-3, -8 and PARP. Further, GLSE suppressed levels of activated 45 hedgehog (Hh) pathway components Smo, Gli 1/2, and Shh while inducing SuFu. GLSE also 46 decreased the expression of pro-apoptotic protein Bax while decreasing the expression of the anti-47 apoptotic protein Bcl-2. We determined that these activities were concentrated in an

48 acetogenin/alkaloid-rich dichloromethane subfraction of GLSE. Our data identify graviola extracts
 49 and their constituents as promising sources for new chemopreventive and therapeutic agent(s) to

50 be further developed for the control of NMSCs.

51 Keywords: *Annona muricata*; apoptosis; basal cell carcinoma; cutaneous squamous cell carcinoma;
 52 graviola; Hedgehog signaling pathway; natural products chemistry; Non-melanoma skin cancer

53 1. Introduction

54 Non-melanoma skin cancer (NMSC), the most prevalent form of cancer worldwide, is classified 55 into two major forms, basal cell carcinoma (BCC) and cutaneous squamous cell carcinoma (cSCC) [1]. 56 BCC, which arises in the basal cells layer of the epidermis [2, 3], is the most common form of skin 57 cancer [4], and constitutes up 80% of skin cancers and nearly a third of all cancers diagnosed in the 58 U.S. [5-8]. SCC arises in the squamous epidermal layer, and is the second most common form of 59 NMSC, comprising about 16% of all skin cancers [2, 9, 10]. Although BCCs are not usually life 60 threatening [11], they can often cause local ulcerations, loss of function, and disfiguration if left 61 untreated [12, 13]. In contrast, cSCCs are much more dangerous due to their likelihood to invade, 62 bleed and metastasize, and represent a major cause of morbidity and mortality worldwide [10, 14, 63 15]. Together, these forms of NMSC present a major public health burden across the world[16]; in the 64 U.S., their incidence has increased over 300% since 1994 [17] with about 5.4 million cases being treated 65 for NMSC yearly [9], making it the fifth highest total for all cancers [18]. The estimated total annual 66 NMSC-related expenditure in the U.S. is \$4.8 billion [5], with 80% of newly diagnosed cases occurring 67 in adults over 60 [17], although recent increases have also been reported among younger individuals 68 in many regions of the world [9]. Skin carcinogenesis primarily occurs on sun-exposed areas 69 including the face, ears, head, neck, hands, scalp, etc. [13, 19], and fair-skinned individuals with 70 history of sun tanning or living near the equator [7, 8, 13, 20, 21].

71 The molecular basis of these NMSCs is not well understood, but it has been shown that UV 72 exposure can initiate tumorigenesis via the induction of pro-survival pathways, counteracting 73 apoptosis, and allowing damaged keratinocytes to survive [22]. One way this appears to happen is 74 via aberrant regulation of the hedgehog signaling pathway (Hh), which consists of a family of 75 secreted proteins regulating embryonic development and maintaining homeostasis in adult tissues 76 [12]. The Hh pathway, like many dysregulated pro-survival pathways, promotes tumorigenesis 77 through increased cell cycle progression and loss of regulation of proliferation, and is also a key target 78 in cancer therapeutics[23]. Activating mutations of Smoothened (Smo) or suppressing mutations of 79 Patched 1 (Ptch1) constitutively activate the Hh signaling in BCC, which is also a hallmark of sporadic 80 BCC [24].

81 Current treatments for NMSC patients are predominantly surgical removal and/or radiation 82 therapy [12, 25], either of which can lead to considerable morbidities and other cosmetic 83 consequences on mostly visible areas. Therefore, there is an urgent need to develop novel, cost-84 effective chemoprevention and therapeutic strategies with minimal cosmetic damage as an 85 alternative to existing NMSC remedies. One such promising strategy is to identify and develop novel 86 natural nutraceuticals that can specifically target cancerous cells with minimal side-effects and 87 cosmetic damage as well as to understand their complex mechanisms of action.

88 Over 47% of current anticancer drugs on the market are natural products, their derivatives or 89 natural product synthetic mimics [26, 27], and more than 25,000 identified phytochemicals have been 90 shown to possess potent anticancer activities [14, 26]. Graviola (Annona muricata) is a small deciduous 91 tree of the Annonaceae family, widely distributed in tropical countries (Figure 1A and Figure S1A-92 B), and commonly referred to as guanabana, soursop, or Brazilian paw-paw [28, 29]. Graviola is an 93 example of a natural plant source of anti-cancer phytochemicals, and decoctions of its bark, roots, 94 seeds, leaves, pericarp, and fruits, have been used in traditional medicine to treat ailments including 95 diabetes, cough, skin diseases, cancers and other disorders [28-30], with over 212 phytochemicals 96 have been identified in diverse graviola extracts [28-30].

97 Different classes of constituent "annonaceous" metabolites such as acetogenins are believed to 98 play a major role in the anti-cancer properties of graviola on mammalian cells, in addition to many 99 other constituents such as alkaloids, flavonoids, sterols and others [28-31]. Studies to date, all in non-100 skin tumor lines, suggest that the effects of graviola are selective for inhibiting the growth of 101 cancerous cells, with minimal effects on normal cells [31, 32].

102 The present study investigated the effects of a powdered extract of graviola aerial parts (herein 103 referred as GLSE), and successively extracted subfractions thereof, on two NMSC cell lines, namely 104 UW-BCC1, derived from a basal cell carcinoma[13], and A431[33], representing squamous cell 105 carcinoma compared to control keratinocytes. These cell lines were chosen for their ability to form 106 subcutaneous tumors in nude mice that resemble human non-melanoma skin cancers, and, in the 107 case of A431, a long history of use as a cell line with squamous cell carcinoma-like properties. Our 108 results demonstrate for the first time that GLSE is able to inhibit the growth and viability of both BCC 109 and SCC cell lines while also exerting an inhibitory effect on Hh signaling in vitro. Preliminary 110 analysis of solvent subfractions of graviola powder reveals that the anti-cancer activities are 111 concentrated mainly in the acetogenin- and alkaloid-rich dichloromethane (DCM) fraction.

112 **2. Results**

113 2.1. GLSE Inhibits Cell Proliferation, Viability and Clonogenicity of UW-BCC1 and A431 Cell lines

114 Since different parts of the graviola plant have been reported to possess anti-cancer activities 115 against multiple non-skin cancer cell types, we first investigated the effect GLSE in the growth, 116 viability, migration and clonogenic potential of UW-BCC1 and A431 cell lines as compared to control 117 non-cancerous human epidermal keratinocytes (NHEKs). Employing MTT, trypan blue dye 118 exclusion and CCK-8 assays, we observed that GLSE exerted significant time- and dose-dependent 119 inhibition of cell growth in both UW-BCC1 and A431 cell lines after 24 and 48 h to greater extent than 120 in control NHEKs (Figure 1B-C). Time course analysis revealed that most differences between cancer 121 vs. control cells were already evident at 24h, with only modestly greater effects at 48 h, indicating 122 that the response to GLSE treatment occurs within 24h or sooner. We also observed that GLSE elicited 123 distinctive responses vis-a-vis the two different cell lines, with UW-BCC1 cells being responsive at 124 IC50 values (36.44 µg/mL and 16.40 µg/mL), compared to A431 cells (IC50 values of 73.36 µg/mL and 125 57.91 µg/mL) for 24 and 48 h respectively (see Figure 1B-C and Figure S1C). By comparison, inhibition 126 of cell growth and proliferation of NHEKs by treatment with GLSE required higher doses (IC50 values 127 of 93.05 µg/mL and 80.23 µg/mL for 24 and 48 h, respectively) (See Figures 1B-C and Figure S1C). 128 Notably, the doses of GLSE required to achieve an equivalent inhibition of cell viability in UW-BCC1 129 are over 3.5-fold less than those of A431, and 5.2-fold less than that of the normal epithelial cells, 130 NHEK, especially in the range of doses between 5-80 µg. In turn, the A431 corresponding doses were 131 approximately 1.5-fold less than that of NHEK. These results led us to focus our interpretations of 132 later experiments on the dose range in which the effect differential between non-cancerous vs. 133 cancerous cells was maximized, namely between 5-80µg/ml.

Next, we further examined the inhibitory effects of GLSE at 0-160 μg/ml on colony formation of
 UW-BCC1 and A431 cells after 14-16 days. We observed a significant and dose-dependent inhibition
 of colony formation with UW-BCC1 (Figures 1D and IF) as well as with A431 (Figures 1E and 1G)
 cells relative to untreated controls.

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Figure 1. (A) Graviola aerial parts including leaves, stems and fruits. Effects of GLSE on UW-BCC1 and A431 cell viability after (B) 24 h or (C) 48 h and colony formation of non-melanoma skin cancer (NMSC) cells. Cells were incubated with the indicated concentration of GLSE, and percentage cell viabilities determined by MTT/ CCK-8 assay were plotted against the doses of GLSE (μ g/mL). Values used for plotting are means of experiments performed three times, with each concentration tested in 7-8 wells. Effects of GLSE on clonogenicity of UW-BCC1 (D and F) and A431 (E and G) cells as detected by colony formation assay. Means for each cell line were compared against NHEKs in viability studies. Statistical differences from control cultures are shown as bar graphs with error bars representing the means ± SD in panels F and G; *p<0.05 and ***p<0.01 and ***, p < 0.001 vs. control (DMSO-treated) cells.

152 2.2. GLSE suppresses Transwell Membrane Migration and Scratch Wound Healing

153 The inhibitory potential of GLSE on of UW-BCC1 and A431 cell migration across a trans-well 154 membrane and on scratch wound closure was assessed, and as shown in Figure S2A-C, all tested 155 doses of GLSE significantly and dose-dependently inhibited UW-BCC1 and A431 cell migration 156 across trans-well membrane (Figure S2A-C). In addition, a dose-dependent inhibition of UW-BCC1 157 and A431 wound closure by GLSE was observed (supplementary Figure S3). These effects were 158 observed at doses as low as 15-30 µg/mL, a range in which GLSE effects on cancer cells are markedly 159 greater than effects on non-cancerous cells (see Figs. 1B-C).

160 2.3. GLSE Induces G0/G1-Phase Cell Cycle Arrest in UW-BCC1 and A431 Cell Lines

161 The effects of GLSE (three doses) on cell cycle distribution and apoptosis were examined by flow 162 cytometry using the APO-Direct kit, which can concurrently analyze both cell cycle distribution and 163 apoptosis. DNA cell cycle profile analysis performed in linear growth phase revealed significant 164 dose-dependent increases in the number of cells in the G_0/G_1 phase of the cell cycle in both cell lines 165 compared to no-treatment controls. The G_0/G_1 phase distribution of UW-BCC1 cells after treatment 166 with GLSE (0, 30, 60 and 120 µg/mL) was 38.99%, 52.1% and 55.61%, 43.7%, respectively (Figure 2A,

- 167 top left four panels). For A431, the corresponding values were 39.87%, 49.52%, 56.25%, and 69.93%
- 168 (Figure 2A; top right four panels). The increases in the G_0/G_1 phase cell population were accompanied
- 169 by decreases in the G₂/M and S phase cell populations of both cell lines except an observed decline at
- 170 the 120 µg/mL dose of GLSE in the UW-BCC1 cells. The declines in all phases at this highest dose of
- 171 GLSE (120 μ g/mL), especially in the UW-BCC1 cell line, are suggestive to be evidence of toxicity
- 172 considering that the experiments were extensively repeated (as also seen in Fig. 1B-C with the highest
- 173 doses – the cell cycle percentages at 120 µg/mL of GLSE with UW-BCC1 cells – Fig 2A, lower right
- 174 panel – 5, should therefore be interpreted with great caution). The cell cycle distribution of the DMSO 175
- vehicle-treated cells was found to be similar to the control untreated cells; hence, we present only 176 DMSO treated data as "Controls" in Figure 2A. The relatively low G₀/G₁-phase population of DMSO
- 177
- treated cells was possibly because growing, non-synchronized cells were used in these experiments.



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179 Figure 2. GLSE induces G₀/G1 phase cell cycle arrest of non-melanoma skin cancer cells. UW-BCC1 180 and A431 cells treated with GLSE for 24 h were stained with the Apo-Direct kit following the 181 manufacturer's protocol and analyzed by flow cytometry. Plots and percentage distribution of cell 182 population in the G_0/G_1 , G_2/M and S phases of the cell cycle are shown in the insert in each panel: (A) 183 results from UW-BCC1 cells at different GLSE doses, and (B) results from A431 cells. (B-D) 184 Quantification of effects of GLSE treatment on cell cycle regulatory proteins. Whole cell lysates of 185 UW-BCC1 (bottom left set of images in panels B-D) and A431 (bottom right set of images) 186 with/without GLSE (0-160 µg/ml: 24h) were subjected to SDS-polyacrylamide gel electrophoresis. 187 Blots containing resolved proteins from UW-BCC1 and A431 cells were analyzed by immunoblotting with antibodies for CDK2, CDK4, Cyclin D1, Cyclin E1, p21WAF1 or p27kip1. Equal loading was
confirmed by re-probing with β-Actin, GAPDH or vinculin as loading controls. The immunoblot
images shown are representative of three independent experiments with similar results.
Quantification data are shown in supplementary Figure S4.

192 Since our studies demonstrated that GLSE treatment of UW-BCC1 and A431 cells results in a 193 G₀/G₁-phase arrest, we next examined by immunoblot analysis the effect of GLSE on cell cycle 194 regulatory molecules that are operative in the G_1 phase of the cell cycle. Thus, we assessed the effect 195 of GLSE on the expression of p21WAF1, known to partly regulate entry at the G₁-S-phase transition 196 checkpoint as well as to induce apoptosis [34]. Our results revealed a dose-dependent induction of 197 p21WAF1 and of its partner protein p27kip1 in both UW-BCC1 (Figure 2D, bottom left panels) and 198 A431 (Figure 2D, bottom right panels) cells compared with the basal levels in controls (see 199 supplementary Figure S4 for quantitation). Additionally, the effect of GLSE on the protein expression 200 of cyclin dependent kinase (CDKs) as well as cyclins showed a dose-dependent decrease in 201 expression of CDK2, and CDK4 (Figure 2B) as well as in cyclins D1 and E1 (Figure 2C) in both UW-202 BCC1 (left panels) and A431 (right panels) (again, see supplementary Figure S4 for quantitation). We 203 conclude from these data that GLSE-induced cell cycle arrest is likely mediated via an induction of 204 p21WAF1 and p27kip1, with a concomitant inhibition of CDK2 and CDK4, along with cyclins D1 and 205 E1. 206



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Figure 3. GLSE induces apoptosis of UW-BCC1 and A431 cells through activation of caspases 3/8,
 and PARP, and suppression of Bcl-2. (A) UW-BCC1 and A431 cells treated with or without GLSE (0 120 μg/ml: 24h) were labeled with the Apo-Direct kit and analyzed by flow cytometry. Percentage of
 apoptotic cells observed (mean ± SD) with each dose of GLSE are shown in the box inserts in each

212 panel. All experiments were performed in triplicate. (B-C) Whole cell lysates of UW-BCC1 (left panels) 213 and A431 cells (right panels) treated with/without GLSE (0-160 µg/ml, 24h) were subjected to SDS-214 polyacrylamide gel electrophoresis and blots were probed with antisera to proteins involved in 215 apoptosis pathways, showing (B) expression levels of caspase-3 and caspase-8 in both the intact and 216 cleaved forms; and (C) expression levels of Bax, Bcl-2 and PARP, the latter in both the 116kD and 217 85kD forms. Equal protein loading was confirmed by re-probing with β-Actin or GAPDH. The 218 immunoblots shown are representative of three independent experiments with similar results. Data 219 represent the means of three independent experiments each conducted in triplicates ± SD vs. control 220 (DMSO-treated cells), and Bar graphs for B and C representing the means ± SD are presented in Figure S6.

221 2.4. GLSE Induces Apoptosis in UW-BCC1 and A431 Cell Lines

222 To assess whether GLSE-induced growth inhibition of the NMSC cells comprises induction of 223 apoptosis, we employed the APO-Direct kit along with flow cytometry and Western blotting to 224 evaluate the expression of apoptotic markers. GLSE treatment showed a significant dose-dependent 225 increase in the population of apoptotic in UW-BCC1 cells compared with vehicle-treated controls 226 (Figure 3A; top four panels) and A431 cells (Figure 3A; bottom four panels), reaching a maximum at 227 120 µg/mL of GLSE. The relatively high percentage of apoptotic cells at this highest dose, especially 228 in the UW-BCC1, is consistent with the dramatic loss of cells and lack of cell cycle resolution in the 229 results for the same cell line and GLSE dose in Fig. 2A.

230 Next, to confirm whether the observed decrease in cell viability is linked to induction of 231 apoptosis, we performed caspase-3/7 activity assays (Figure S5) and validated the results with 232 immunoblot analyses of caspases and other proteins involved in cellular apoptosis (Figures 3B and 233 3C). Caspase-3, a member of the caspase family of aspartate-specific cysteine proteases, plays a 234 central role in the execution of the apoptotic program. Using a caspase-3/7 activity assay, we observed 235 a dose-dependent increase in caspase-3/7 activity in both UW-BCC1 cells (Figure S5A) and A431 cells 236 (Figure S5B). By Western blotting, we found that GLSE-treated UW-BCC1 and A431 cells showed a 237 dose-dependent increase in the expression of activated/cleaved Caspase-3 and Caspase -8 (Figure 3B), 238 indicating that the apoptosis pathway is a major mechanism of GLSE-induced cell death. PARP is 239 one of several known cellular substrates of caspases and cleavage of PARP by caspases is considered 240 a hallmark of apoptosis [35]. As shown in Figure 3C (bottom panels), GLSE treatment of UW-BCC1 241 and A431 cells also resulted in a dose-dependent cleavage of PARP from its 116kD to its 85kD form, 242 which is also indicative of the induction of both the intrinsic and extrinsic pathways of apoptosis (see 243 Figure S6 for quantitation of these results). Furthermore, the Bcl-2 family of proteins (Figure S6), also 244 involved in apoptosis, were quantified, and we observed that both cancer cell types showed a dose-245 dependent increase in the expression of pro-apoptotic Bax, and a concomitant decrease in anti-246 apoptotic Bcl-2 protein, leading to a dramatic increase in the Bax/Bcl-2 ratio (Western blots in Figure

247 3C and quantitation in Figure S6).





249	Figure 4. GLSE modulates Hedgehog Signaling Pathway Components in UW-BCC1 and A431 Cells.
250	Whole cell lysates of (A) UW-BCC1 and (B) A431cells treated with/without GLSE (0-160 µg/ml: 24h)
251	were subjected to SDS-polyacrylamide gel electrophoresis and blots were probed with antisera to
252	hedgehog pathway proteins Shh, Smo, Gli1, Gli2, and SuFu. Equal loading was confirmed by re-
253	probing with GAPDH, β -Actin, GAPDH and vinculin. The immunoblots shown are representative of
254	three independent experiments, each conducted in duplicate, which all gave similar results. Bars
255	represent the means \pm SD. *, $p < 0.05$, **, $p < 0.01$ and ***, $p < 0.001$ vs. control (DMSO-treated) cells.

256 2.5. GLSE Modulates the Hedgehog Signaling Pathway Components in UW-BCC1 and A431 Cell lines

257 We previously demonstrated that the hedgehog (Hh) and Wnt signaling pathways are 258 dysregulated in UW-BCC1 cells [11]. In the current study, we utilized immunoblotting to analyze 259 several components of the Hh pathway including Smo, Gli 1/2, Shh and SuFu, and observed that 260 GLSE treatment dose-dependently decreased the protein expression of Shh and Smo as well as Gli 1 261 and Gli 2 in both UW-BCC1 and A431 cells (Figs. 4A and 4B). This inhibition was associated with a 262 simultaneous dose-dependent increase in the expression of the negative regulator, SuFu, in both UW-263 BCC1 and A431 (Figs. 4A and 4B, bottom panels). Many of these effects occurred at concentrations 264 less than 40 µg/mL, with GLSE reaching its maximum effect on Smo at that concentration. Taken 265 together, these data provide evidence that the Hh pathway is blunted upon treatment with GLSE, 266 thus inhibiting Hh-dependent neoplastic growth at relatively low concentrations of GLSE in these 267 two cancer cell lines.

268 2.6. Extraction of Graviola Aerial Parts Powder with Hexane, Dichloromethane or Methane Yields Fractions 269 with Distinct Abilities to Inhibit UW-BCC1 and A431 Cell Viability

Fractionation of extract for identification of active ingredient-enriched components was performed by successive extraction of graviola stem and leaf powder with solvents of increasing polarity including *n*-hexane (least polar), dichloromethane (DCM, medium polarity), and methanol (MeOH, highest polarity). Each of these solvents is expected to extract graviola ingredients of differing polarities, and the extracts were then investigated for their growth inhibitory effects on UW-BCC1, A431 and a normal primary foreskin epidermal keratinocyte (NHEKn) cells using an MTT assay as described in Methods.

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Figure 5. Different solvent-extracted fractions of graviola stem and leaf powder extract display 280 differential inhibition of cell viability in non-melanoma skin cancer (NMSC) cells. UW-BCC1 and A431 as well as control NHEKn cells were treated with one of three fractions of graviola (n-hexane, 282 dichloromethane, or methanol) for 48h, and cell viability was determined by MTT assay. Bar graphs 283 show the effect (Mean \pm SD) of each fraction on the % viability after each treatment, with IC₅₀ values 284 in (A) UW-BCC1, (B) A431 and (C) NHEKn cells, at 48h, shown above the bars. All experiments were 285 performed in triplicate. Details are described in Methods. The p values vs. control (DMSO-treated) cells: *p<0.05 and **p<0.01 and ***, p < 0.001. 286

287 All solvent extracted fractions caused significant dose-dependent decreases in both cell UW-288 BCC1 and A431 cell viability. However, the DCM fraction proved to be by far the most potent in 289 inhibiting the proliferation of both UW-BCC1 (IC₅₀ of 4.0 µg/mL) and A431 cells (IC₅₀ of 3.5 µg/mL) 290 cells. Importantly, the IC $_{50}$ values for the DCM fraction in both cell lines were 10-fold higher than in 291 noncancerous NHEKn cells (IC50 of 38.8 µg/mL) after 48 h of treatment compared to the two NMSC 292 lines (Figure 5, panels A, B and C). The other two extracts showed lower potencies: the hexane extract 293 yielded an IC₅₀ of 49.2 µg/mL with UW-BCC1 cells, an IC₅₀ of 66.3 µg/mL with A431, and an IC₅₀ of 294 59.6 µg/mL with NHEKn; and the MeOH extract inhibited UW-BCC1 viability with an IC50 of 50.5 295 µg/mL, A431 with an IC50 of 52.9 µg/mL and NHEKn with an IC50 of 59.1 µg/mL, respectively (Figures 296 5A-C). Furthermore, as shown in Supplementary Figure S7, all cells treated with these solvent 297 extracts displayed characteristic dose-dependent morphological changes consistent with apoptotic 298 cell death.

299 To confirm whether the observed decreases in cell viability and morphological changes were 300 linked to the induction of apoptosis, we next determined the effect of the most potent graviola 301 fraction, DCM on induction of apoptosis in cells using Annexin V tagged to FITC /Propidium iodide 302 (PI) staining 48 h after treatment. We observed that the cells treated with the DCM fraction showed 303 increased green/red fluorescence staining in contrast to untreated controls, indicating that this



304 treatment induced apoptosis in both the UW-BCC1 and A431 skin cancer cell lines (Figure S8A and 305 S8B).



307Figure 6. Phytochemical fingerprint of MeOH, DCM and Hexane extracts: ¹H NMR spectra of graviola308extracts in CDCl3 at 400 MHz. A. Spectrum of the n-hexane subfraction and expansion of its circled309downfield segment at upper left of panel. B. Spectrum of the DCM subfraction and expansion of its310circled downfield segment rich in olefinic, aromatic, heteroaromatic, phenolic hydroxy and/or NH311groups. C. MeOH extract spectrum in CD3OD and expansion of its circled downfield segment rich in312aromatic and phenolic hydroxy groups.

313 2.7. *Chemical Characterization of Different Solvent-Extracted Fractions of Graviola Leaf and Stem Powder.*

314 The chemical composition of each of the three solvent extracts was investigated by ¹H NMR 315 spectroscopy to provide a preliminary overview of its constituents. The ¹H NMR spectrum of the *n*-316 hexane extract (Figure 6A) indicated mono- and, to a lesser degree, poly-unsaturated fatty acids as 317 the major organic constituents. There were also some downfield oxygenated signals consistent with 318 mostly mono-oxygenated and possibly deoxygenated sterols. The ¹H NMR data from the DCM 319 extract (Figure 6B) suggest the presence of unsaturated fatty acids, in addition to extensive signals in 320 the upfield and oxygenated regions, indicative of a complex mixture of different types of acetogenins, 321 including mono and bis-tetrahydrofuran (THF), and mono-THF-tetrahydropyran (THP) acetogenins. 322 There were also some aromatic and heteroaromatic protons at δ 6.90-8.50, in addition to far downfield 323 δ 9.30-9.70 signals due to 6 or 7 NH signals, which are indicative of aporphine, protoberberine and/or 324 isoquinoline alkaloids (the area from approximately δ 5-10 is enlarged at upper left of panel B). The 325 ¹H NMR spectrum of the MeOH extract (Figure 6C) indicates extensive aminosugar and glycoside 326 contents displaying intense signals at the oxygenated proton region δ 3.00-5.30. There were also 327 indications of flavonoids, polyphenols, and aromatic acids as evidenced by signals between δ 6.00-328 8.20 and several weak, about to be exchanged, phenolic hydroxyl and possibly NH groups at the most 329 downfield δ values of 8.20-11.10.



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Figure 7. Chemical fingerprint of the dichloromethane (DCM) subfraction of GLSE. (A) Full PENDANT-¹³C NMR spectrum of the graviola DCM extract in CDCl₃ showing methylene and quaternary carbons up <u>and</u> methine and methyl carbons down. The full spectrum shows four different signal clusters including <u>as explained in the text.</u> (B) Expansion of the circled portion of the spectrum from panel A containing lactone/ester carbonyl and ketone carbons clusters circled in PENDANT spectrum.

The PENDANT ¹³C NMR spectroscopy method is a J-modulation polarization transfer carbon sequence in which methylene and quaternary carbons are aligned up while the methyl and methine carbons are aligned down [43-45]. When applied to the graviola DCM extract (Figure 7A), four different signal clusters are apparent: an upfield aliphatic methyl and methylene carbons (δ 0-40), a cluster containing oxygenated methylene and methine carbons (δ 60-80), a group of methylenedioxy, olefinic and/or aromatic carbons (δ 105-152), and finally a group of lactone/ester carbonyl and ketone carbons (δ 165-212). The latter group is expanded in Figure 7B.

Finally, a negative ion mode electrospray ionization – mass spectrometry (ESI-MS) spectrum of the graviola DCM extract (Figure 8) shows an intense cluster at m/z 567.4-685.5, suggestive of acetogenin ion peaks, and a second cluster at m/z 239-327, which likely represents alkaloid and smaller acetogenin ion peaks (see also Discussion). These interpretations are based on the reported molecular weight data of graviola chemical class members [35-37].

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351Figure 8. Chemical fingerprint of the graviola DCM extract. Electrospray ionization – mass352spectrometry (ESI-MS) analysis of graviola DCM extract in negative ion mode. The cluster at *m*/z353567.4-685.5 is suggestive of acetogenin ion peaks while the cluster at *m*/z 239-327 is suggestive of354potential alkaloid and smaller acetogenin ion peaks.

355 3. Discussion

356 The steady increases in NMSC incidence that have been observed in the last few decades are 357 likely due to a variety of predisposing risk factors including but not limited to inflammation, immune 358 system deficits, nutrient deficiencies and genetic predisposition for developing NMSC [12, 19]. Some 359 success has been achieved by improvements in early diagnosis, though this has not translated into 360 reducing NMSC morbidity and mortality. The main treatment modalities remain predominantly 361 surgery and radiation, either of which can result in severe cosmetic issues in visibly exposed skin 362 [25]. Therefore, NMSC patients and those at risk could benefit from_chemotherapeutic and 363 chemopreventive approaches with non-toxic, bioavailable natural agents.

364 Compelling scientific evidence indicates that regular consumption of fruits and vegetables is 365 associated with decreased risk of developing chronic diseases including cancer [36-40]. The presence 366 of a wide range of natural phytochemicals in plants, fruits and vegetables clearly confers multiple 367 health benefits when consumed regularly. Bearing in mind that carcinogenesis is a multistep process, 368 it would be unlikely that any individual agent could sufficiently tame this prevalent disease. 369 However, the synergism of interactions between dietary nutraceuticals could significantly curb or 370 prevent cancer by targeting multiple pathways. The non-toxic nature of these natural dietary 371 compounds combined with the fact that they are already a regular part of the human diet provides 372 built-in advantages over agents that are not usually consumed by the human population [26, 30, 41].

Given their complex composition, whole foods may provide benefits that exceed those of isolated single nutraceuticals, considering that certain fractions of entire extracts enriched in phytochemicals such as acetogenins, anthocyanins, carotenoids and polyphenols possess greater efficacy compared to their isolated individual ingredients [41]. Indeed, studies have shown that the complex interplay between the phytochemicals present in extracts from various fruits or plants has proven to possess greater anti-carcinogenic activities than any individual or purified ingredients [42],
which is attributable to the concurrent targeting of multiple pathways resulting in superior
chemopreventive effects [41, 43-45].

381 The current study focuses on graviola (Annona muricata), a lowland tropical fruit-bearing 382 tree of the family Annonaceae found in the rainforests of Africa, South America, and Southeast Asia. 383 In this study, we probed the molecular basis of the anti-cancer activity of the phytochemical-rich 384 GLSE extract against two distinct types of NMSC cancer cells lines, the basal cell-derived UW-BCC1, 385 and the squamous cell-derived A431, in vitro. Our data demonstrate that GLSE treatment of both 386 NMSC cell lines results in cell growth arrest, inhibition of colony formation and wound healing, as 387 well as alteration in molecules regulating the cell cycle (predominantly the G1 phase) and apoptosis 388 (Figures 1-3), with most of these effects being both dose-dependent and statistically significant. Also 389 noteworthy is that most of these effects were evident at doses of GLSE that were far more effective at 390 limiting growth in cancer cells vs. non-cancerous control NHEKn cells (see Fig. 1B and 1C).

391 A major avenue of tumorigenesis is thought to involve dysregulated cellular proliferation 392 leading to cellular expansion and accumulation of tissue mass. In eukaryotes, the cell cycle transition 393 through different phases is coordinated by a family of protein kinase complexes, encompassing 394 several cyclin dependent kinases (CDKs) and their activating partners, the cyclins [46, 47]. The 395 interaction of cyclins including cyclin D with CDKs 2, 4 or 6 leads to the phosphorylation and release 396 of retinoblastoma (RB) from elongation factor 2 (E2F), resulting in cell cycle progression and cell 397 growth [46, 48, 49]. Our data demonstrate an ability of GLSE to inhibit the expression of cyclins D 398 and E and CDKs 2, and 4 alongside an induction of CDK inhibitors p21WAF1 and p27kip1 in GLSE 399 treated cells. Upregulation of p21 and p27 would be expected to promote cell cycle withdrawal by 400 blocking the activity of the cyclin/CDK complexes [50]. Additionally, both GLSE-treated NMSC cell 401 lines displayed arrest in the G_0/G_1 phase of the cell cycle (Figure 2). We believe that these findings are 402 significant for the reason that cell cycle regulation is an important target for prevention of cancers 403 including non-melanoma skin cancers.

404 However, a dysregulated cell cycle is merely one part of cancer prevention and treatment [51]. 405 Our current understanding of tumor biology also includes aberrant cell survival, and failure to induce 406 apoptosis, both of which contribute to the transformed state. Indeed, several current 407 chemotherapeutic approaches are designed to selectively trigger precancerous and tumor cell death 408 while sparing non-cancerous cells [52]. Our data show that GLSE treatment results in the cleavage 409 and inactivation of PARP, presumably as a consequence of activating the extrinsic and the intrinsic 410 apoptotic pathways (Figure 3C). We also examined Bcl-2, which suppresses apoptosis and is highly 411 expressed in most human tumors. Bcl-2 forms a heterodimer complex with Bax, neutralizing the pro-412 apoptotic effects of the latter [49]. Our results, which indicate that GLSE treatment mediates an 413 increase in Bax expression and a corresponding down-regulation of Bcl-2, suggest that this may be a 414 possible route through which GLSE induces apoptosis in non-melanoma skin cancer cells (Figure 415 3C). Our data are in accordance with previous reports showing that extracts of different graviola 416 parts induce apoptosis in other cancer cell lines such as prostate [53], colon [54], breast [55] and 417 leukemia cells [56].

418 In adults, the hedgehog (Hh) pathway is mainly inactive under normal conditions, with the 419 exception of its roles in tissue maintenance and repair [12, 13]. The main components of this pathway, 420 the Hh ligands Sonic, Indian, and Desert, bind to the receptor Patched (Ptch), and relieve the 421 inhibition of the receptor protein Smoothened (Smo), leading to downstream signaling via the 422 glioma-associated transcription factors, Gli 1 and Gli 2 [23]. Deregulation of the Hh pathway is very 423 commonly associated with uncontrolled neoplastic growth; in fact, nearly 90% of BCC cases harbor 424 loss-of-function mutations in at least one allele of Ptch and an additional 10% have gain-of-function 425 mutations in Smo [23, 57]. Therefore, inhibition of this pathway in NMSCs is a compelling therapeutic 426 target. Two recently developed, FDA-approved, small molecule Hh pathway inhibitors (HPIs), 427 namely vismodegib (GDC-0449; approved in 2012) and sonidegib (LDE225; approved in 2015) [58], 428 act as Smo antagonists to block downstream transcriptional activation of the Hh pathway [58-60]. 429 Although both of these HPIs display efficacy in eliminating disfiguring surgeries in patients with

430 advanced BCC [61], several/serious adverse side-effects have been observed in BCC patients treated 431 with these agents [62], including loss of taste buds [63], hair-loss, weight loss, and fatigue. The 432 mechanisms behind these side effects are not yet understood, but these side effects frequently lead to 433 a decrease in patients' quality of life and even to discontinuation of treatment. Moreover, increased 434 risk and occurrence of cSCC has been reported in patients after receiving vismodegib therapy for 435 BCC [64]. Thus, more research to identify and develop novel, more efficient and safer strategies, 436 especially with natural product supplements such as graviola that are already being consumed by 437 the human population, is urgently needed for chemoprevention and chemotherapy of these cancers.

the human population, is urgently needed for chemoprevention and chemotherapy of these cancers.
Here, we demonstrate that GLSE suppresses the activated hedgehog (Hh) pathway (Fig. 4), via
the inhibition of Smo, Gli 1 and Gli 2, with concurrent induction of SuFu in both UW-BCC1 and A431
cells. These actions lead to reductions in cell growth, clonogenicity and wound healing along with an
induction of apoptosis, suggestive of a mechanism likely similar to that of the HPIs already in use.
Furthermore, graviola extracts exert inhibitory effects on cancer cells with limited effects on normal
cells; this finding, plus the low doses at which GLSE exerts its effects (particularly on Smo), suggests
that GLSE components could possibly avoid the side effects of available HPIs.

445 Finally, after finding that GLSE possesses significant anti-proliferative, pro-apoptotic and anti-446 migratory activity in both UW-BCC1 and A431 cells, in vitro, we examined the potency of graviola 447 subfractions extracted with different solvents. Qualitative analysis of *n*-hexane, dichloromethane and 448 methanolic extracts revealed that each subfraction represents a complex mixture of chemical 449 constituents with varying efficacy in preventing cell growth. However, the DCM fraction yielded by 450 far the lowest IC₅₀ values and hence the highest potency. These findings emphasize the therapeutic 451 potential of GLSE, and it is tempting to attribute these effects, at least in part, to the high 452 concentrations of acetogenins and alkaloids in the DCM subfraction. The exact chemical compositions 453 of the fractions are yet to be defined and a full characterization is beyond the scope of the current 454 study, which mainly employed ¹H NMR and ESI-MS techniques to preliminarily characterize the 455 major components in each subfraction (Figs. 6-8). ¹H NMR data confirmed that the hexane extract 456 contained the least polar and most lipophilic metabolites, namely sterols and fatty acids. 457 Additionally, the DCM extract possesses ingredients of intermediate polarity, likely including 458 multiple acetogenins and alkaloids [28-30], while the MeOH extract possesses the most polar 459 components, likely consisting of flavonoids, acids, and aminosugars.

460 Since the DCM extract was the most biologically active fraction, it was further subjected to a 461 PENDANT ¹³C NMR spectral analysis using a relaxation time of 3 seconds to offer more time for 462 quaternary carbon relaxation to improve detectability and sensitivity, as well as ESI-MS analyses 463 (Figs. 7 and 8, respectively). In addition to critical carbons consistent with either acetogenin or 464 alkaloidal classes of compounds, the existence of seven lactone carbonyl carbons correlated with the 465 number of expected major acetogenins. This finding further confirmed the presence of excessively 466 oxygenated methine and methylene carbons at δ 60-80 in PENDANT spectrum (Figure 7), potentially 467 suggesting the presence of mono-THF, mono-THF-mono-THP, and *bis*-THF acetogenins. The 468 oxygenated quaternary aromatic carbons at δ 142-152 correlate with functionalized aporphine and 469 protoberberine type alkaloids, which is consistent with the number of downfield NH protons at δ 470 9.30-9.70. The four-ketone carbons at δ 205-212 also suggest the presence of ketone-containing 471 acetogenins.

The ESI-MS spectrum of the DCM extract (Figure 8) displays a cluster at m/z 567.4-685.5, implying the potential identity of acetogenins known to occur in graviola. For example, the molecular ion peak at m/z 611.5 could correspond to annopentocins A-C, muricatocins A-C, and/or muricapentocin [M-H]⁺, the molecular ion peak at m/z 595.3 may correlate with (+)annonacin/annonacin A, gigantetrocins A/B, goniothalamicin, and/or javoricin [M-H]⁺, and the molecular ion peak at m/z 567.4 may represent muricins D and E [M-H]⁺ [35-37].

478 Another ESI-MS cluster at m/z 211-397.2 suggests the presence of known alkaloids in the DCM 479 extract. For example, the molecular ion peak at m/z 265.3 could be attributed to anonaine, [M]⁺, the 480 ion peak at m/z 281.4 may indicate the presence of nornuciferine, and the ion peak at m/z 285.3, [M]⁺, 481 may represent coclaurine [M-H]⁺. Similarly, the molecular ion peak at m/z 299.0 could be tentatively

482 ascribed to (R)-4'-O-methylcoclaurine, N-methylcoclaurine, and/or N-methylcoculaurine, [M]⁺, and 483 the ion peak at m/z 309.3 could represent atherospermine, atherosperminine, and/or isolaureline,

484 $[M]^+$. Finally, the ion peak at m/z 327.4 could be coreximine $[M]^+$ [35-37].

485 Our study provides evidence that a graviola leaf and stem extract (GLSE) and its different 486 fractions can effectively inhibit NMSC (especially UW-BCC1) cell proliferation, induce apoptosis and 487 also modulate of the Hh pathway. Consistent with our findings, other laboratories have reported that 488 GLSE can suppress pancreatic cancer cell activity[31], graviola pulp extract can inhibit prostate cancer 489 cells[65], and that a graviola fruit extract can inhibit breast cancer cell growth[66]. Herein, we report 490 that these effects are likely the result of targeting multiple pathways that regulate cell growth, 491 survival and metastasis.

492 More rigorous fractionation and chemical characterization analyses are warranted to identify 493 and establish the exact bioactive acetogenins and other ingredients and their relative amounts in 494 various graviola dietary supplements, juices and foodstuffs, which are already widely consumed by 495 humans with little or no toxicity. Once identified, the more potent active phytochemicals could then 496 be used as lead compounds for anticancer drug development. Following chemical profiling, in vivo 497 pharmacology studies will be necessary to ascertain the best combination of fractions and/or 498 individual active ingredients/compounds for targeting deregulated molecular targets for cancer 499 treatments.

500 Other strategies to be pursued in future studies include testing graviola components in 501 comparison with or even in combination with FDA approved drugs like vismodegib and sonidegib. 502 Indeed, we recently reported that vismodegib, one of only two FDA approved small molecule 503 inhibitors for BCC treatment, inhibits colony formation by UW-BCC1 in a manner similar to what we 504 observed with graviola extract [11].

505

506 4. Conclusions

507 To our knowledge, the present study represents the first testing of graviola extracts with human 508 non-melanoma skin cancer cells. Herein, we demonstrate that graviola leaf/stem extract (GLSE) can 509 inhibit cell proliferation, motility and clonogenicity, induce apoptosis as well as suppress activated 510 hedgehog pathway components in both UW-BCC1 and A431 cell lines. Futhermore, initial 511 fractionations and chemical analyses suggest that the most potent activity of the powder is 512 concentrated in acetogenin and/or alkaloid-rich dichloromethane (DCM) fractions. Further 513 characterization is underway in our laboratories with the goal of identifying the most efficacious 514 active constituents of the DCM subfraction. We plan to follow these studies with preclinical 515 evaluation of GLSE, its subfractions and purified active compounds in murine xenograft models of 516 BCC [11] and SCC with the goal of ultimately establishing graviola components as lead compounds 517 or even as treatments in their own right for clinical trials. Testing these ingredients individually or in 518 combination may lead to the development of novel agents for clinical management of these common 519 forms of human skin cancers.

520 5. Materials and Methods

521 5.1. Chemicals, Reagents and Antibodies

522 MTT dye (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide, 98% TLC), Corning 523 Transwell polyester membrane cell culture inserts, dimethyl sulfoxide (DMSO), and β -actin antibody 524 were purchased from Sigma-Aldrich Chemical Company (St. Louis, MO). The antibodies for 525 Smoothened (Smo), Suppressor of fused homolog (SuFu), Sonic hedgehog (Shh) and Glyceraldehyde 526 3-phosphate dehydrogenase (GAPDH) were purchased from Santa Cruz Biotechnology, Inc. (Santa 527 Cruz Co., CA). The antibodies for Gli 1 and Gli 2 were purchased from Abcam (Cambridge, MA). 528 Antibodies against cyclin-dependent kinase 2 (cdk2), cdk4, cyclin D1, cyclin E1, p21WAF1, p27kip1, 529 Poly (ADP-ribose) polymerase (PARP), PARP (9542S), Bcl-2, Bax, phospho-Akt (Ser 473), Vinculin, 530 Caspase-3, Cleaved Caspase-3 (Asp175) (5A1E), Cleaved Caspase 8, and horseradish peroxidase-531 conjugated (HRP) anti-mouse and anti-rabbit secondary antibodies were all obtained from Cell 532 Signaling Technology (Beverly, MA). Mini-protean precast Tris-Glycine gels were from BioRad 533 (Hercules, CA). An enhanced chemiluminescence (ECL) detection system was from GE healthcare 534 (Buckinghamshire, UK). A 2% (w/v) Aqueous Solution of Gentian Violet was from Ricca Chemical 535 Company (Arlington, TX). Invitrogen Novex precast Tris-Glycine gels, and Dulbecco's modified 536 Eagle's medium (DMEM) were from Corning. Eagle's minimum essential medium (EMEM) with 537 nonessential amino acids and L-glutamine, but without calcium, a Human Keratinocyte Growth 538 Supplement Kit (HKGS Kit), Trypsin neutralizer solution, Pierce BCA[™] protein assay kits, Pierce 539 SuperSignal® West Pico chemiluminescent substrate kits and Promega[™] Caspase-Glo[™] 3/7 Assay 540 Kit were all procured from Thermo Fisher Scientific (Rockford, IL). Fetal bovine serum (FBS) was 541 from Hyclone (Pittsburgh, PA), EpiLife® Medium with 60 µM calcium was from Life Technologies; 542 penicillin-streptomycin-amphotericin B (PSA) was from Mediatech Inc. (Herndon, VA); Annexin V 543 FITC Fluorescence Microscopy Kit (Cat# 550911) and the APO-DIRECT KIT (Cat#556381) were from 544 BD Biosciences (San Jose, CA); Trypsin/EDTA Solution (TE) was from Life Technologies; the 545 fluorometric QCM ECMatrix Cell Invasion Assay, 24-well was purchased from EMD Millipore. 546 Organic solvents including dichloromethane, acetic acid, ethyl acetate, ethanol (EtOH), methanol 547 (MeOH) were purchased from VWR (Suwanee, GA, USA), dried by standard procedures, packaged 548 under nitrogen in Sure/Seal bottles and stored over 4 Å molecular sieves. All other chemicals were 549 purchased from Sigma-Aldrich (St. Louis, MO, USA), unless otherwise stated.

550 5.2 Preparation of Graviola Leaf and Stem Extract (GLSE) and Successive Extractions

551 Graviola dietary supplement capsules were purchased from Rainforest NP Inc. (Rainforest 552 Pharmacy, Miami, FL). Each capsule consisted of 500 mg of 100% pure, finely milled graviola leaf 553 and stem powder with no binders or fillers. For initial whole extract studies, the capsule contents 554 were suspended in DMSO (500 mg/mL) and incubated for 10 minutes at 30 °C under constant 555 swirling and shaking. The suspension was carefully vortexed, centrifuged and the supernatant was 556 filtered to remove any remaining particles. A primary graviola leaf-stem extract (GLSE) stock solution 557 was prepared at 100 mg/mL and stored at -80 °C. A secondary stock solution (10 mg/mL) was 558 prepared in media, and further dilutions were made freshly from this secondary stock solution into 559 the respective growth media prior to treatment of specified cell lines.

To prepare successive solvent extracted subfractions, twenty graviola aerial part capsules (500 mg each, 10 g total contents) were unpacked, macerated for 60 minutes, then extracted with 100 ml of either: 1) *n*-hexane, 2) dichloromethane (DCM), or 3) methanol (MeOH). Each solvent extract was filtered, evaporated under vacuum, and each subfraction was freeze-dried and stored frozen under liquid nitrogen until used. Freeze-dried powders were then dissolved in DMSO and stored at -20 °C for further use.

566 5.3. Cell lines, Culture and Treatment Conditions

567 Two human NMSC cell lines were employed in this study; our previously established and 568 characterized superficial basal cell carcinoma cell line, UW-BCC1[13], and a cutaneous squamous 569 epidermoid carcinoma cell line, A431. As controls for normal non-cancerous epithelial cells, neonatal 570 primary normal human epidermal keratinocytes (NHEK) [67]were used. UW-BCC1 cell were 571 maintained in EMEM medium with 5% FBS, further supplemented with HKGS Kit and 1% PSA. A431 572 cells were purchased from the American Type Culture Collection (ATCC, Manassas, VA), and were 573 cultured in DMEM supplemented with 5% heat-inactivated FBS and 1% PSA. NHEKs were cultured 574 in keratinocyte Epi-Life serum-free medium supplemented with HKGS Kit as described earlier [68]. 575 All cell batches were expanded, tested for mycoplasma contamination and frozen down for future 576 use (every 2 months from a frozen vial) to ensure that cells used in experiments were at less than 20 577 passages from procurement. Cells were incubated in a 95% humidified atmosphere with 5% CO₂ at 578 37°C. Growth media were replenished on alternate days until reaching desired confluence (60-80%) 579 prior to experimental treatments. The cells were incubated with various concentrations of GLSE (1-580 $160 \ \mu g/mL$) or different solvent fractions (1-100 $\mu g/mL$). Untreated growth media containing vehicle 581 DMSO (0.01%) were utilized as negative controls for all assays except otherwise indicated.

582 5.4. Cell Growth/Proliferation and Viability Assays

583 For the MTT assay, UW-BCC1, A431 and NHEK cells were seeded in 96-well microtiter plates at 584 a density of 5,000-10,000 cells per well in 200 μ L of culture media. After an overnight incubation to 585 allow for cell adherence and proliferation, growth media were replenished with media containing 586 various concentrations of GLSE (0 - 160 µg/mL) or various concentrations of each of the different 587 solvent extracted fractions (0 - 100 µg/mL) for 24 and/or 48 h. After incubation, MTT (stock solution 588 in phosphate-buffered saline (1xPBS) [5 mg/mL], was reconstituted in growth media to 0.5 mg/ml, 589 and 100 µL was added to each well and incubated for 3 h. Plates were then centrifuged at 180xg for 590 5 min at 4 °C and the supernatant was discarded. The purple tetrazolium crystals were dissolved in 591 100 µL of DMSO and incubated in the dark under slow shaking. The absorbance was recorded at 570 592 nm on a Synergy[™] Biotek multi-well microplate plate reader (BioTek, Winooski, VT).

593 During our analysis of cells using MTT, we discovered that up to 35% of the UW-BCC1 cells 594 became detached after manipulations and washes. Because MTT assay requires media changes, we 595 resorted to a different method for assessing UW-BCC1 viability, namely the Cell Counting Kit-8 596 (CCK-8; Dojindo Molecular Technologies, Inc. Washington, DC). After semi-adherent UWB-BCC1 597 cells reached 80% confluence, the CCK-8 kit was utilized following the manufacturers 598 recommendation. This kit is based on WST-8 (2-(2-methoxy-4-nitrophenyl)-3-(4-nitrophenyl)-5-(2,4-599 disulfophenyl)-2H-tetrazolium, monosodium salt), which produces a water-soluble formazan dye 600 upon bio-reduction in the presence of an electron carrier, 1-methoxy PMS. Since the CCK-8 solution 601 is very stable and it has little cytotoxicity, a long incubation with the cells (24 to 48 h) was possible in 602 order to determine number of viable cells. Each experiment was repeated at least 7 times in 603 quadruplicate with similar results. The effect of graviola extracts on growth inhibition was calculated 604 as % cell viability, with viability of DMSO-treated cells (untreated controls) set at 100%. IC₅₀ values 605 (concentrations which inhibited 50% of cellular growth) were determined by plotting an absorbance 606 versus concentration curve.

607 In the trypan blue dye exclusion assay, the effect of GLSE on cell growth inhibition/viability was 608 also assessed as percent viable cell number. Both UW-BCC1 and A431 cells were seeded in triplicate 609 at 2x10⁵ cells per 100 mm petri-dish and incubated for 24 h to allow for adherence. Cells were treated 610 in the presence or absence of GLSE (0-160 µg/mL) for 48 h prior to harvest by trypsinization, and cell 611 proliferation was evaluated as follows: total live cell and dead cell numbers were determined using 612 a TC20 automated cell counter (BioRad) after trypan blue staining. Cells were evaluated for percent 613 viable cell number harvested at 48 h in relation to the percentage obtained at the beginning of 614 treatment (0 h), and DMSO (0.01-0.05%) vehicle-treated cells were normalized as 100% viable. The 615 experiment was repeated three times, each in triplicate.

616 5.5. Scratch Wound Healing Assay (SWHA)

617 UW-BCC1 and A431 cells were each seeded at a density of 5×10⁴ cells in triplicate in 12-well 618 tissue culture plates in their respective growth media. After overnight incubation, a linear artificial 619 wound was created on 100% confluent cell monolayers by scraping using sterile Gilson pipette tips. 620 Media containing GLSE (0, 15, 30, 60, and 90 µg/mL, depending on cell line) were then added. The 621 motility of cells across the wound and/or closure of the artificially inflicted wound were monitored 622 in each treatment group using a Zeiss light microscope. Light microscopic images (20x and 40x) were 623 captured immediately after adding GLSE (0 h), and after 30 h of treatment. The distances between 624 the edges of defect (wound) were measured, and average values were determined as an indicator of 625 the progress of wound healing over the 30 h period according to previously described protocol [69-626 71].

627 5.6. Colony Formation Assay

Colony formation (clonogenic) assays were performed on pre-confluent UW-BCC1 and A431
 cells, which were treated with or without varying concentrations of GLSE (0-160 μg/mL) for 48 h.

630 Following treatment, the cells were trypsinized and re-seeded in triplicate at appropriate dilutions

631 (~3000 cells/100 mm tissue culture petri-dish) in 10 mL of drug-free growth media. Cultures were 632 allowed to reinitiate colony in regular growth medium with media change after three-days and 633 subsequently every alternate day as colony densities increased. After 12-16 days of growth, at a time 634 when cell colonies from control culture attained maximum confluence, the cells were washed twice 635 with ice cold 1xPBS followed by combined fixation and gentian-violet staining. Briefly, a solution 636 consisting of 4% paraformaldehyde and 0.5% gentian-violet in methanol was added to the cells and 637 allowed to incubate at RT for 1 h. Gentian violet solution was discarded and cells were washed in tap 638 water, then deionized water, air-dried and photographed using a digital camera. Colonies were 639 counted under the microscope. Photos were enhanced using Adobe Photoshop for brightness, and 640 contrast, and sharpened for uniformity of appearance. All experiments were repeated three times.

641 5.7. Trans-well Migration/Motility Assay

642 The effect of GLSE on the trans-migration of UW-BCC1 and A431 cells was analyzed using a 643 trans-well migration assay, performed according to the manufacturer's instructions (BD Bioscience). 644 UW-BCC1 and A431 cells (5×10^5) were suspended in culture media containing GLSE (0-160 µg/mL) 645 and reduced FBS (1%), and were seeded onto 8 µm pore size polyethylene terephthalate (PET) 646 membranes. Appropriate culture media were then supplemented with 10% FBS and added to the 647 bottom of each well and incubated for 48 h. After incubation, the cells remaining on the upper 648 membrane were removed by cotton swabs, whereas the cells that migrated to the bottom of the PET 649 membrane were fixed and stained with 0.5% gentian-violet as above, air-dried and counted. The 650 number of cells that passed through the membranes was quantified by counting 20 random fields 651 under light microscopy at 100× magnification. Each condition was tested in three separate wells from 652 three independent experiments. For quantification, gentian violet was dissolved in 50% acetic acid 653 and absorbance at 540 nm was measured.

654 5.8. Protein Extract Preparation and Immunoblot Analysis

655 Growth media from UW-BCC1 and A431 cells, treated with or without GLSE (0-160µg/mL) for 656 48 h, were aspirated, and the cells were washed with ice-chilled PBS (pH 7.4). Washed cells were 657 incubated in ice-cold lysis buffer (50 nmol/liter Tris-HCl, 150 mmol/liter NaCl, 1 mmol/liter EGTA, 1 658 mmol/liter EDTA, 20 mmol/liter NaF, 100 mmol/liter Na₃VO₄, 0.5% Nonidet P-40, 1% Triton X-100, 1 659 mmol/liter PMSF, pH 7.4) with freshly added Protease Inhibitor Cocktail Set III (Calbiochem, La Jolla, 660 CA) on ice for 15 min. The cells were then scraped and lysates were collected into a microfuge tube 661 and passed through 22.5-gauge syringe needles to break up the cell aggregates as previously 662 described [72]. The lysate was cleared by centrifugation at 14,000xg for 30 min at 4°C, and the 663 supernatant (whole cell lysate) was either immediately used or aliquoted and stored at -80 °C for 664 further analysis. Protein concentrations for each lysate were determined using the BCA protein assay 665 kit according to the manufacturer's protocol.

666 For Western blotting, protein lysates (approximately $20-30 \mu g$ of protein) were denatured in 2x667 Laemmli sample buffer and subjected to electrophoresis on 8-12% sodium dodecyl sulfate-668 polyacrylamide gels (SDS-PAGE) or Tris-glycine gels as previously described [72]. The separated 669 proteins were transferred onto nitrocellulose membranes followed by blocking with 5% non-fat milk 670 powder (w/v) in Tris-buffered saline (10 mM Tris-HCl, pH 7.5, 100 mM NaCl, 0.1% Tween 20) for 45 671 min at room temperature as earlier described [72]. Membranes were probed for proteins of interest 672 using specific primary antibodies followed by the appropriate peroxidase-conjugated secondary 673 antibody. The blots were exposed to enhanced chemiluminescence (ECL) and subjected to 674 autoradiography using a BioRad imaging system. To ensure equal protein loading, membranes were 675 stripped and re-probed with appropriate loading controls. Densitometric analyses of the visualized 676 protein bands were performed using the BioRad digitized scientific software program Quantity One. 677 Bands were scanned and processed with Adobe Photoshop CS 6.0 (Adobe Systems, San Jose, CA). 678 Multiple exposures were performed to ensure a linear range of band densities. Three independent 679 experiments were performed for each analysis and protein expression levels were analyzed in 680 triplicate with comparable results. Final data were analyzed by one way ANOVA.

681 5.9. Detection of Caspase -3 and -7 Activity

682 Apoptotic activity of GLSE-treated UW-BCC-1 and A431 cells was detected using the Caspase-683 Glo 3/7 activity apoptosis assay kit (Promega, Madison, WI), according to the manufacturer's 684 instructions. In brief, cells were treated with media containing GLSE (0-90 µg/mL) and incubated 685 overnight. Plates were then removed from the incubator and allowed to equilibrate to room 686 temperature for 30 minutes, after which 100 µl of Caspase-Glo reagent mix was added to each well. 687 The wells were gently mixed on a plate shaker at 300-500 rpm for 30 seconds and then incubated at 688 room temperature for 3 h. The luminescence of each sample was measured in a Synergy[™] Biotek 689 multi-well microplate plate reader (BioTek, Winooski, VT) using a 1 minute lag time and 0.5 690 second/well-read time parameters. The experiments were performed in triplicate and repeated twice.

691 5.10. Cell Cycle and Apoptosis Assessment by Flow Cytometry/Immunofluorescence Microscopy

 $\begin{array}{ll} & \mbox{ Induction of apoptosis by GLSE and GLS extracted subfractions in UW-BCC1 and A431 cells} \\ & \mbox{ was analyzed by flow cytometry using the Apo-Direct kit and via fluorescent microscopy of Annexin} \\ & \mbox{ V/PI staining. Briefly, UW-BCC1 and A431 cells were seeded, attached overnight, then incubated} \\ & \mbox{ with or without GLSE (0-160 µg/mL) or DCM extract (0-10 µg/mL) for 24 h in their respective} \\ & \mbox{ complete media prior to harvest and analysis.} \end{array}$

697 For flow cytometry, cells were trypsinized, washed with PBS, and fixed with ice cold 1% 698 paraformaldehyde in 1xPBS for 1 hour, washed twice with cold 1xPBS and centrifuged at 1000 rpm 699 for 5 min. The washed cell pellet was re-suspended in ice cold 70% ethanol for 1 h at -20° C or stored 700 overnight. Following resuspension and three additional cold PBS washes and centrifugation as above 701 to remove ethanol, the cells were stained with FITC-labeled antiBrdUTP and propidium iodide using 702 the Apo-Direct Kit (BD Biosciences) as per the manufacturer's protocol. Cells were analyzed on a 703 FACScan cytometer (Becton Dickinson, NJ). A total of 10,000 gated single events were recorded each 704 time, and cell-cycle distribution was analyzed using Flow CellQuest software (BD Biosciences) to 705 determine the percentages of cells in G₀/G₁, G₂/M, and S phases as well as those undergoing apoptosis.

The experiments were repeated at least three times for each variable.

707 5.11. Apoptosis Assessment by Immunocytochemistry/Immunofluorescence Microscopy

708 For apoptosis analyses using the Annexin-V FITC Fluorescence Microscopy staining kit, 709 apoptotic cells (Annexin V; green fluorescence), and necrotic cells (PI; red fluorescence) were 710 analyzed as described below. UW-BCC1 and A431 cells (20,000 cells/chamber) were grown to about 711 60% confluence in 8-chamber slides and then treated with DCM extract as described earlier [67] for 712 48 hours. After ice-cold methanol fixation, cells were blocked with 5% goat serum in PBS, and 713 incubated with Annexin V- FITC (1:100 dilution) and propidium iodide (1:100 dilution), following 714 the manufacturer's protocol. This staining uses a dual-staining protocol in which the cells show green 715 fluorescence of Annexin-V (apoptotic cells) and red fluorescence of propidium iodide (necrotic cells 716 or late apoptotic cells). Fluorescence images (200× magnification) were captured using the EVOS FL

717 cell imaging system with a color CCD camera (Life Technologies, Grand Island, NY).

718 5.12. Nuclear Magnetic Resonance (NMR) Spectroscopic Analysis of Extracts

⁷¹⁹ ¹H and ¹³C/PENDANT FT NMR spectra were recorded at 400 and 100 MHz, respectively, on a 720 JEOL Eclipse ECS-400 NMR spectrometer (JEOL Inc., Peabody, MA) equipped with a 5 mm FG/TH 721 autotune probe in CD₃Cl, except for the MeOH extract spectrum, which was acquired in CD₃OD, 722 using the residual solvent peak as internal reference[69-71]. A relaxation delay of 3 seconds was used 723 in the PENDANT experiment instead of the default 2 seconds.

724 5.13. Mass Spectrometric (MS) Analysis of Graviola DCM Extract

725 ESI-MS experiments were conducted using an Applied Biosystems–MDS SCIEX API 3200TM

- triple quadrupole LC/MS/MS system (Applied Biosystems, Foster City, CA, USA) equipped with a
- 727 Turbo V[™] IonSpray[™] source using MS with an electrospray ionization (ESI) interface operated in

- 728 negative ion mode using Analyst version 1.4.1 software (MDS Sciex, Toronto, Canada) [69-71]. For
- 729 MS, about 1 mg of dry DCM extract was dissolved in 1 mL of HPLC-grade methanol, filtered and 730 used for analysis.
- 731 5.14. Statistical Analysis
- 732 All statistical analyses were performed with GraphPad Prism[™] version 6.1 (GraphPad Software
- 733 Inc., San Diego, CA, USA). Statistical significance was determined using one-way ANOVA followed
- 734 by Tukey's test for multiple comparisons. Data are presented as the mean ± standard deviation (SD)
- 735 with significance set at p values ≤ 0.05 .
- 736 Supplementary Materials: The following supplementary figures and legends are available online, Figure S1-737 S9.
- 738 Author Contributions: I.R., R.C.N.C., A.B.S., A.S.B., S.B.M, M.B.U, S.A., and J.C.C., performed the
- 739 experiments and collected the data. J.C.C., K.G.W., and K.E.S., conceived, designed and supervised 740
- the study, and provided key insights into the planning of the project, interpretation of the data, and 741
- placing the conclusions into the broader context. M.B.B, J.C.C., G.K.W., and K.E.S analyzed the data 742
- and wrote the first draft of the manuscript. V.S., F.N.K, P.W.J, K.A.S., and J.C.C., contributed reagents, 743
- materials, supervision, laboratory and grant support for the project. All authors contributed in 744
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755 Abbreviations

NMSC	Non-Melanoma Skin Cancer
BCC	Basal cell Carcinoma
CDK	Cyclin Dependent Kinase
PARP	Poly ADP Ribose Polymerase
SHH	Sonic Hedgehog
Smo	Smoothened
Gli 1 & 2	Glioma-Associated Oncogene Homolog 1 & 2
SCC	Squamous Cell Carcinoma
DCM	Dichloromethane
MeOH	Methanol
PBS	Phosphate Buffered Saline
GLSE	Graviola Leaf and Stem Extract
NHEK	Normal Human Epidermal Keratinocytes
S11F11	Suppressor of Fused

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