

1 **Title:** Filling the gaps for community-based programs managing treatment and prevention of child
2 malnutrition in non-emergency contexts: results from the Rainbow Project 2015-17 in Zambia

3

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21

22 **Abstract**

23 **Background:** Evaluation of nutrition programs is essential to guarantee the effectiveness of
24 community-based management of acute malnutrition (CMAM).

25 **Methods:** The Rainbow Project Supplementary Feeding Programs (SFPs) in Zambia were evaluated
26 between years 2015-17, following implementation of new recommendations based on previous
27 evaluations (years 2012-14). Outcomes of the program were compared with International Standards
28 and with those of 2012-14. Cox proportional risk regression analysis was performed to identify
29 predictors of mortality and defaulting.

30 **Results:** Data for 900 under age 5 years malnourished children (48.8% male; mean age 19.7months
31 \pm 9.9) were analyzed. Rainbow 2015-17 program outcomes met International Standards, for general
32 malnutrition or stratified moderate acute malnutrition (MAM) and severe acute malnutrition (SAM).
33 When comparing with 2012-14 outcomes, better performance was noted: mortality rates were
34 reduced by half (5.6% vs 3.1%, $p=0.01$; for SAM: 12.4% vs 6.7%, $p=0.006$), with significant
35 improvement in average weight gain and mean length of stay ($p<0.001$), and increased awareness of
36 HIV status (+30%; $p<0.001$). HIV infection (5.5; 1.9-15.9), WAZ <-3 at baseline (4.6; 1.3-16.1) and
37 kwashiorkor (3.5; 1.2-9.5) remained the major predictors of mortality.

38 **Conclusion:** The effectiveness of the Rainbow SFPs for child malnutrition treatment and prevention
39 in Zambia has significantly improved after evaluation and implementation activities, with
40 impressive outcomes which resulted in a 50% reduction in mortality.

41

42 **Keywords:** childhood malnutrition, community-based management of acute malnutrition- CMAM,
43 moderate acute malnutrition –MAM, supplementary feeding programs -SFP, Zambia

44

45 **Introduction**

46 Childhood malnutrition remains a major public health problem throughout the developing world,
47 being the underlying factor for nearly half of all yearly under-5 deaths from preventable causes [1].
48 It is estimated that more than 50 million children worldwide are affected by acute malnutrition, with
49 16 million having Severe Acute Malnutrition (SAM) and a further 33 million having Moderate
50 Acute Malnutrition (MAM) [2]. Acute malnutrition, if untreated, is an attributable cause of death;
51 12.6% of the 6.9 million deaths worldwide among children under five years of age are due to acute
52 malnutrition [3].

53
54 In Zambia malnutrition remains one of the most serious problems among children under five years
55 of age. This condition is estimated to underlie 52% of all under-five deaths [4] (64 per 1000 live
56 births in 2015) [5]. In 2015, the wasting prevalence in Zambia was 6.3% [6], which was off course
57 from the World Health Assembly Nutrition target to “reduce and maintain childhood wasting to less
58 than 5% by 2025” [7]. The picture of malnutrition has been exacerbated by high rates of HIV/AIDS
59 (UNAIDS estimates that 85,000 children below 14 years of age were HIV infected in 2015 [8]) and
60 by an exponential rise in tuberculosis (TB) over the last 3 decades, with children accounting for
61 <10% of incident TB cases annually [9], more than 50% of whom are HIV co-infected [10,11].

62
63 The community-based management of acute malnutrition (CMAM) is unequivocally advocated as
64 an effective program to address acute malnutrition for children aged 6 to 59 months, and is globally
65 implemented in 55 countries. This approach, which provides services to local communities by
66 decentralized treatment points within existing healthcare facilities is widely used across multiple
67 humanitarian agencies both for SAM and MAM [12,13,14]. Although within CMAM, targeted
68 SFPs are recognized as effective in the management of acute malnutrition, (treating MAM and
69 preventing the deterioration into SAM) [15]), more evidence-based research evaluating the

70 effectiveness of SFPs into different scenarios is needed [16]. Key to the process is an approach that
71 fine-tunes services according to local environments, with constant monitoring and evaluation
72 activities to address areas of weakness and to support greater implementation accordingly, always
73 sharing experience and knowledge at local level [13,17,18].

74

75 In Zambia, traditional CMAM is not widely available in the country and most areas are not covered
76 by nutrition-specific interventions targeting acute malnutrition [19]. However, the Zambian
77 Government still remains committed to scale-up provision of high impact services with special
78 focus on maternal and child health [20]. Rainbow Project, under the Pope John 23rd Association, is
79 the only locally well implemented program in the Ndola district with an integrated community-
80 based approach combining MAM/SAM/ underweight treatment and prevention, operating through
81 the SFPs since 1998. The current study describes the Rainbow SFPs performance from 2015 to
82 2017, following 2 years of evaluations and adjustments to the project.

83

84 **Materials and methods**

85 **Setting**

86 Rainbow Project operates 11 SFPs for Zambian malnourished children (ages 6-59 months) in the
87 Ndola area (9 operating in urban areas -Twapia, Nkwazi, Kabushi, Kaloko, Kawama, Chifubu,
88 Pamodzi Mackenzie - and 2 located in rural areas –Baluba, Chikumbi) with a particular focus on
89 community mobilization and capacity building activities. All of the centers are run by leaders of
90 small NGOs and Community-based Organizations (CBOs), and are coordinated by professionals of
91 the Rainbow Office, in close network with health facilities, local clinics running Outpatient
92 Therapeutic Programs (OTP), the Children’s Hospital, the Ndola District Health Management
93 Teams (DHMTs) and other local authorities. In CMAM programs, children with MAM are the main
94 target of SFPs, while SAM rehabilitation is addressed by OTPs and/or Inpatient Care (IC) [21,22].

95 However, within the Zambian context, since the access to OTP/IC is restricted and/or the supply of
96 ready-to-use therapeutic food (RUTF) is erratic in most areas in which Rainbow operates, children
97 with SAM are enrolled in Rainbow SFPs, after referral to OTP/IC as a best practice. This choice has
98 been made for ethical and humanitarian reasons, in order to facilitate the access of children/families
99 most in need to nutritional supplementation, health education and all the other activities that are part
100 of the Rainbow approach. Children who are underweight are enrolled in the program with the
101 viewpoint that optimizing healthy child growth and improving nutritional status can have an impact
102 on reducing and preventing rates of wasting [23,24]. Therefore, Rainbow SFPs provide an effective
103 integrated approach for child malnutrition management, combining treatment and prevention of
104 MAM/SAM/and underweight.

105

106 **Rainbow SFPs activities**

107 Rainbow SFPs protocol include both nutrition-specific or direct interventions (growth monitoring
108 and supplementary food) and nutrition-sensitive or indirect interventions (nutritional counseling,
109 health skills for guardians and child health promotion) [25]. Nutrition-specific activities
110 (anthropometric assessment, on-site feeding, cooking demonstrations, food handouts: local food -
111 maize flour, groundnuts, sugar, oil and fortified blended flour - high energy protein
112 supplement/HEPS), coupled by nutrition-sensitive interventions (nutritional counseling and health
113 education) are performed on a weekly basis. All community volunteers/operators are appropriately
114 trained and constantly updated in Infant and Young Child Feeding (IYCF) practices promoted by
115 the Zambian Government.

116

117 Supporting HIV voluntary counseling and testing (VCT) and active HIV case-finding are also part
118 of SFP routine activities. Personnel are trained in confidentiality issues (e.g., counseling in the
119 context of prevention of mother-to-child transmission PMTCT). Home visits performed by

120 community volunteers during nutritional rehabilitation are necessary to encourage good adherence
121 to treatment and to tackle determinants of malnutrition at the household level.

122

123 **Aim of the present study**

124 The aim of the current study was to assess SFP outcomes and to evaluate program performance
125 (Rainbow 2015-17), comparing the program to International Standards and the previous program
126 results (Rainbow 2012-14). The first study of SFPs outcomes (Rainbow 2012-14) was conducted to
127 evaluate program performance. During this review major challenges were identified and changes
128 implemented accordingly. For the following two years more effort was put into staff training (most
129 especially on HIV counseling and testing, nutritional counseling), and a new food schedule was
130 implemented, with high energy protein supplement/HEPS redoubled (1000 g/weekly per child
131 providing a daily ration of 150 g) [26]. Nutritional counseling was integrated as a routine activity of
132 the SFPs, with pilot study results discussed elsewhere [27].

133

134 **Study population and data collection**

135 Data on Zambian malnourished children followed from July 2015 to April 2017 in the Rainbow
136 Project SFPs around the Ndola area were analyzed using a community-based retrospective
137 observational cohort study design. General pediatric information and socio-demographic
138 characteristics, health and nutritional parameters were entered in a register edited *ad hoc* for the
139 project by professionals of the Rainbow office. General information included date of birth, age,
140 gender, and siblings. Socio-demographic data recorded included family history (parents' marital
141 status, relationship and age of the guardian), and housing information (area of stay, address,
142 household conditions). Health information included disability, medical complications and/or illness,
143 enrollment in OTP, and HIV status. The latter (HIV status), was ascertained from the prevention
144 mother-to child transmission (PMTCT) section of the child under-five card released from the

145 primary health care facilities of the Zambia Health Ministry. A color code was assigned to sensitive
146 information (such as HIV status). Nutritional parameters included anthropometric measurement
147 (weight, MUAC, edema). Data were registered after verbal consent of caregivers and in full respect
148 of confidentiality, then collected from different sites and entered in a database with the removal of
149 personal identifiers.

150

151 **Anthropometric assessment and malnutrition classification**

152 Malnourished children enrolled in Rainbow SFPs were recruited through community outreach or
153 referred from local health facilities. The anthropometric assessment consisted in measuring weight,
154 Mid-Upper Arm Circumference (MUAC), and checking for bilateral pitting edema. Children were
155 assessed without clothes or footwear; weight (in kilograms) was measured using a mechanical baby
156 scale graduated by 0.1 kg increments (salter 235). MUAC (in centimeters) was measured using a
157 simple colored plastic strip (standardized UNICEF tape). Bilateral pitting edema was checked by
158 applying gentle thumb pressure on the dorsum of the feet and assessing for residual depression;
159 edema was detected as different grades.

160

161 Children were admitted to SFPs by using a two-priority criteria system of enrollment: first priority
162 was given to acute malnutrition (SAM or MAM) and second priority to underweight status.
163 Definition of SAM (MUAC \leq 11.5 cm, and/or edema) and MAM (MUAC $>$ 11.5 and \leq 12.5) was
164 made according to the WHO/UNICEF criteria [28] and the Integrating Management of Acute
165 Malnutrition (IMAM) guidelines of the Zambian Ministry of Health [29] for children aged 6 to 59
166 months. As recently indicated in the updated WHO guidelines, in order to achieve early community
167 identification of malnourished children, Rainbow community volunteers measured the MUAC and
168 examined children for pitting edema, reserving the assessment of weight for height and weight for

169 length (WHZ/WLZ) within primary health care facilities and hospitals [30]. Underweight was
170 defined as a weight-for-age z-score (WAZ) <-2 [31].

171

172 If a child qualified at the same time for different criteria, the enrollment in SFPs was made
173 considering the most severe condition of malnutrition. All children with MAM without health
174 complications were enrolled directly, while children with MAM with health complications were
175 first referred to the nearest health facility for medical care. If the child was found to have SAM
176 without medical complications (either marasmus or kwashiorkor grade 1 and 2) he/she was
177 primarily enrolled in OTP following best practice guidelines [29]. Simultaneously those children
178 were enrolled in SFPs for ethical reasons, as previously reported. If the health staff identified a child
179 as having SAM with medical complications, he/she was referred to the Arthur Davidson Children's
180 Hospital for IC and then returned to Rainbow SFPs when discharged from the IC. All children
181 stayed in the program until SFP discharge criteria were met: for two consecutive weeks MUAC
182 should be > 12.5 cm, edema absent, or 15% weight gain had to be considered if underweight was
183 the admission criteria.

184

185 **Program outcomes and performance indicators**

186 Standard outcomes were defined as recovery rate, death rate, and default rate. Recovered/cured
187 was defined as an individual who met the discharge criteria. Defaulter was defined as a child lost to
188 follow up for three consecutive weeks. A child was classified as "defaulter" when he/she dropped
189 out of the study due to refusal or it was not possible to locate the child and make a home
190 assessment. Death was registered when occurring during the time the patient was enrolled in the
191 program. Early mortality and defaulting (within 15 days from enrollment) were excluded because
192 they might not be directly attributable to the performance of the SFPs. Individuals who did not
193 complete their rehabilitation because they moved to another area were considered transferred; this

194 outcome was not included in the performance evaluation because of the current absence of
195 published targets. Length of stay and weight gain were considered additional indicators for targeted
196 SFPs. Mean length of stay expressed the average time of stay for recovered children; mean weight
197 gain expressed the average number of grams gained per kg per day among children who were cured.
198 For humanitarian and ethical reasons, nutritional treatment was provided until children reached the
199 recovery goals (treat-to-goal), so none were categorized as non-cured/non-responder (defined as
200 cases that did not reach discharge criteria after a pre-defined length of time). Program outcomes
201 were compared with exit categories for targeted SFPs from Sphere Project (recovery, death, and
202 defaulter rate) and UNHCR guidelines (mean length of stay, and average weight gain). The Sphere
203 Standards are the typical criteria used for assessing the effectiveness of SFP [32]. UNHCR
204 guidelines are intended as a practical guide to design, implement, monitor, and evaluate selective
205 feeding programs in emergency situations [23]. In addition, outcomes of Rainbow 2015-17 were
206 compared with those previously published in 2012-14, in order to evaluate the impact of
207 adjustments on the program performance.

208

209 **Statistical analysis**

210 Data were extracted from the Rainbow database and analyzed using SPSS software system 21.0
211 (IBM, Somers, NY, USA). Weight-for-age z-scores (WAZ) and MUAC-for-age z-scores (ZMUAC)
212 were calculated using the WHO Anthro Software (Version 3.2.2, January 2011, WHO, Geneva,
213 Switzerland) [33]. Descriptive data and variables measured were presented as means with standard
214 deviations (SD). The Odds ratios 95% Confidence Intervals (OR; 95% CI), between age and acute
215 malnutrition, SAM and length of stay, were calculated. Descriptive analysis was performed for the
216 entire study population to estimate the proportion of children who recovered, died, and defaulted
217 during the intervention phase. Rainbow 2015-17 outcomes were compared with those of Rainbow
218 2012-14, with student t-test for assessing the statistical significance of differences between

219 continuous variables and Z-test for independent proportions. For recovered children differences
220 between means of anthropometric parameters from baseline to discharge were tested with the
221 student t-test. Univariate and multivariate Cox regressions were performed to identify the main
222 predictors of mortality and defaulting (hazard ratio: HR, 95% CI). Because there were multiple
223 independent variables, a stepwise forward regression approach was used.

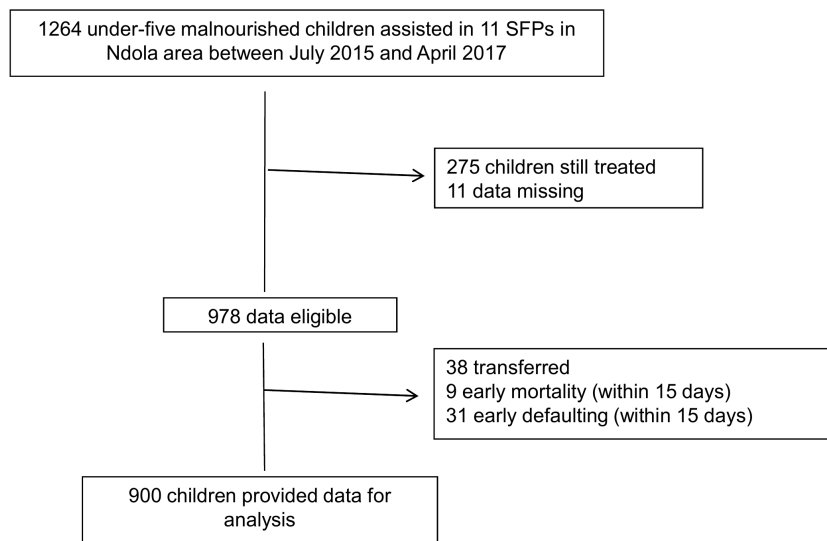
224

225 **Results**

226 Data on 1264 malnourished Zambian children (6-59 months) who were seen between July 2015 and
227 April 2017 were extracted from the database. Children still on rehabilitation at the moment of the
228 study were excluded from the analysis. Formally transferred, early mortality and early defaulting
229 episodes (occurred within 2 weeks from admission) were not included. Eleven cases were excluded
230 from the analysis because their records either had incomplete or missing baseline information. The
231 overall sample analyzed therefore totaled 900 children, all with accurate and complete information
232 relevant to measuring the outcome of the intervention (Figure 1). All children came from low socio-
233 economic households. Main characteristics of enrolled children (sex, age, parental status - orphan of
234 one parent, orphan of both parents, single parent - breastfeeding status, birth category/twin),
235 comorbidity, and nutritional status recorded at baseline are reported in Table 1.

236

237

238 **Figure1.** Study flowchart.

239

240 **Table 1.** Socio-demographic, health and nutritional characteristics of children at baseline.

Variables	Value
Male, n. (%)	439 (48.8)
Age in months, mean \pm SD	19.7 \pm 9.9
<18 months of age	452 (50.2)
Rural area, n. (%)	139 (15.4)
Parental status, n. (%)	
Orphans of one parent	32 (3.6)
Orphans of both parents	15 (1.7)
Single guardian	238 (26.4)
Disability, n. (%)	23 (2.6)
Twin, n. (%)	42 (4.7)
Caregiver's age, mean \pm SD [min-max]	28.4 \pm 9.2 [15-69]
Referred from, n. (%)	
Hospital	23 (2.6)
Local health facility	186 (20.7)
Community	691 (76.7)
HIV status, n. (%)	
Infected	25 (2.8)
Uninfected	541 (60.1)
Status unknown	334 (37.1)
Non-breastfed children, n. (%)	564 (62.7)
Months of age, mean \pm SD	14.9 \pm 6.9
Admission criteria: MAM, n. (%)	242 (26.9)

<18 months of age	145 (59.9)
Admission criteria: SAM, n. (%)	346 (38.4)
<18 months of age	207 (59.8)
Admission criteria: Underweight, n. (%)	312 (34.7)
<18 months of age	100 (32.1)
Presence of oedema, n. (%)	184 (20.4)
Relapses of malnutrition event, n. (%)	129 (14.3)
Weight (kg), mean \pm SD	7.6 \pm 1.5
WAZ, mean \pm SD	-3.1 \pm 0.9
MUAC (cm), mean \pm SD	12.2 \pm 1
ZMUAC, mean \pm SD	-2.4 \pm 1
Health problems, n. (%)	429 (47.7)
Fever	75 (8.3)
Diarrhea	118 (13.1)
Lack of appetite	124 (13.8)
Cough/Sneezing	99 (11)
Malaria	4 (0.4)
Others	9 (1.1)

241 The cohort median age was 19.7 months \pm 9.9 SD. Almost half of the sample was represented by
 242 males (48.8%). At the time of admission, 26.9% of children were affected by MAM, 38.4% were
 243 affected by SAM (respectively 20.4% with kwashiorkor- presence of bilateral pitting edema- and
 244 18% with marasmus), and 34.7% were admitted because of underweight status. The youngest
 245 children (<18 months of age) were more likely to be affected by general acute malnutrition (OR 3.2,
 246 CI: 2.4-4.2), with more than 90% of children with kwashiorkor having less than 29 months of age.

247

248 The mean weight at baseline was 7.6 kg \pm 1.5 SD; the mean MUAC was 12.2 cm \pm 1 SD; the mean
 249 WAZ was -3.1 \pm 0.9 SD, the mean ZMUAC was -2.4 \pm 1 SD. More than 14% of children suffered
 250 relapses of malnutrition, defined as a new episode of malnutrition after previous discharge from
 251 SFPs. A total of 47.7% of mothers/caregivers reported children experiencing health problems at the
 252 moment of enrollment; specifically, 8.3% had fever, 13.1% had diarrhea, 13.8% had lack of
 253 appetite, 11% had cough, 0.4% had confirmed malaria, and 1.1% had other unspecified conditions.
 254 No physical examinations were performed, but all those cases were referred to the nearest local

255 health facility for immediate medical care. Moreover, at baseline 541 children were HIV uninfected
 256 (60.1%), and 25 (2.8%) were reported as HIV infected, with nearly half of them already on HAART
 257 (48%). HIV-positive children not receiving ARV treatment were referred to the ART clinic for
 258 assessment of ART eligibility. For the remaining 334 children (37.1%) the HIV status was
 259 unknown, with more than half of them (57.8%) being HIV exposed; guardians/mothers were
 260 therefore counseled and encouraged to go to the nearest health facility for an HIV test for both
 261 mother and child.

262

263 Program performance and anthropometric analysis for recovered children

264 In order to investigate performance, we evaluated Rainbow 2015-17 outcomes and compared results
 265 either with those of Rainbow 2012-14 and with International Sphere Standards/UNHCR indicators
 266 (Table 2).

267

268 **Table 2.** Rainbow 2012-14 vs. 2015-17 outcomes, and International Standards.

Indicators	Total			MAM			SAM			International Standards (Sphere Project/UNHCR) [23,32]	
	Rainbow 2012-14 (n.858)	Rainbow 2015-17 (n.900)	<i>P value</i>	Rainbow 2012-14 (n.323)	Rainbow 2015-17 (n.242)	<i>P value</i>	Rainbow 2012-14 (n.241)	Rainbow 2015-17 (n.346)	<i>P value</i>	Acceptable	Alarming
Recovered, n. (%)	709 (82.6)	771 (85.7)	0.08	278 (86.1)	219 (90.5)	0.1	177 (73.5)	284 (82.1)	0.01	>75%	<50%
Defaulters, n. (%)	101 (11.8)	101 (11.2)	0.72	36 (11.1)	17 (7)	0.09	34 (14.1)	41 (11.8)	0.42	<15%	>30%
Deaths, n. (%)	48 (5.6)	28 (3.1)	0.01	9 (2.8)	6 (2.5)	0.8	30 (12.4)	21 (6.1)	0.006	< 3% for SFPs <10% for TFPs	>10%
Mean length	19.3	16.6 ± 9.6	<0.001	19.3 ±	13.1 ± 7.4	<0.001	22 ± 11.8	15.9 ± 9.6	<0.001	< 12 weeks for SFPs < 3-4 weeks for TFPs (IC	

of stay, weeks (SD)	±11.5			11.9						till full recovery) < 60 days for TFPs (IC and OTP combined)
Average weight gain, g/kg/day (SD)	1.7 ±1.2	2 ± 1.5	<0.001	1.7 ±1	1.9 ±1.2	0.03	2 ±1.3	2.4 ± 1.7	0.007	≥ 3g/kg/day for SFPs ≥ 8g/kg/day for TFPs (IC till full recovery) ≥ 4g/kg/day for TFPs (IC and OTP combined)

269 *TFPs =Therapeutic feeding programs

270

271 The three main core performance outcomes (recovery, death, and defaulter rate) met the Sphere
 272 Standards, either for general or when SAM and MAM outcomes were split: the overall recovery
 273 rate was 85.7% (90.5% for MAM and 82.1% for SAM); the global default rate was 11.2% (7% for
 274 MAM and 11.8% for SAM); the overall case-fatality rate was 3.1% (2.5% for MAM and 6.1% for
 275 SAM). General improvements in all rates of these main indicators were noted when compared with
 276 Rainbow 2012-14, with a statistically significant increase in recovery rate for SAM (p=0.01).
 277 Halving mortality rates was the main goal achieved since in the first evaluation it was above the
 278 targets; for total: 5.6% in Rainbow 2012-14 vs 3.1% in Rainbow 2015-17, p=0.01; for SAM: 12.4%
 279 Rainbow 2012-14 vs 6.7% in Rainbow 2015-17, p=0.006. The mean length of stay exceeded
 280 UNHCR targets, although for MAM the mean length of stay was exceeded only for one week the
 281 International standard (13.1 weeks ±7.4 SD). A longer period of recovery was needed by children
 282 with SAM (+4 weeks), with marasmatic children more likely to stay longer (OR 2.3, CI: 1.6–3.4),
 283 while children having kwashiorkor resolved earlier oedema (OR 0.4, CI: 0.3-0.6). As compared to
 284 Rainbow 2012-14, a reduction in the mean length of stay was noted either for total or for the two
 285 malnutrition groups (p<0.001).

286

287 Despite daily gains in mean grams per weight (2 g/kg/day ±1.5 SD), this measure was still below
 288 the international target of ≥ 3g/kg/day for SFPs. Nevertheless our findings are still in line with
 289 reviews of the literature (between 1 and 2 g/kg/day) when programs supplementing with corn/soy
 290 blended flour (CSB) were evaluated [34,35]. Children admitted with SAM had higher weight gains

291 then those with MAM. A statistically significant increase in the average weight gain was noted
 292 when outcomes were compared with that of Rainbow 2012-14, due to the new food schedule that
 293 allowed a reduction in the mean length of stay for recovered children, as proposed in the previous
 294 study.

295

296 Although international guidelines do not provide specific targets for children who are underweight,
 297 Rainbow SFPs have been effective in the nutritional rehabilitation of those children when
 298 comparing with standards Sphere (85.9% recovered, 13.8% defaulters, 0.3% deaths). Table 3
 299 reports anthropometric assessment of recovered children (n=771). Comparison between the means
 300 of anthropometric parameters in recovered children at admission and discharge were assessed with
 301 paired sample Student's t-test. Our analysis showed significant improvements ($p < 0.001$): weight
 302 ($7.6 \text{ kg} \pm 1.4$ vs $8.9 \text{ kg} \pm 1.5$; gain value of 1.4 ± 0.6); WAZ (-3 ± 0.9 vs -2.2 ± 0.8 ; gain value of
 303 0.8 ± 0.6); MUAC (12.3 ± 1 vs 13.6 ± 0.8 ; gain value of 1.3 ± 0.7) and ZMUAC (-2.3 ± 0.9 vs $-1.2 \pm$
 304 0.6 ; gain value of 1.1 ± 0.7).

305 **Table 3.** Changes in anthropometric parameters of recovered children.

	Admission	Discharge	Gain value [95% CI]	Student t- test	p-value
Weight, (kg) mean \pmSD	7.6 ± 1.4	8.9 ± 1.5	1.4 ± 0.6 [1.3- 1.4]	59.2	<i><0.001</i>
WAZ, mean \pmSD	-3 ± 0.9	-2.2 ± 0.8	0.8 ± 0.6 [0.7- 0.8]	33.2	
MUAC, (cm) mean \pmSD	12.3 ± 1	13.6 ± 0.8	1.3 ± 0.7 [1.2- 1.3]	50.1	
ZMUAC, mean \pmSD	-2.3 ± 0.9	-1.2 ± 0.6	1.1 ± 0.7 [1-1.1]	41.4	

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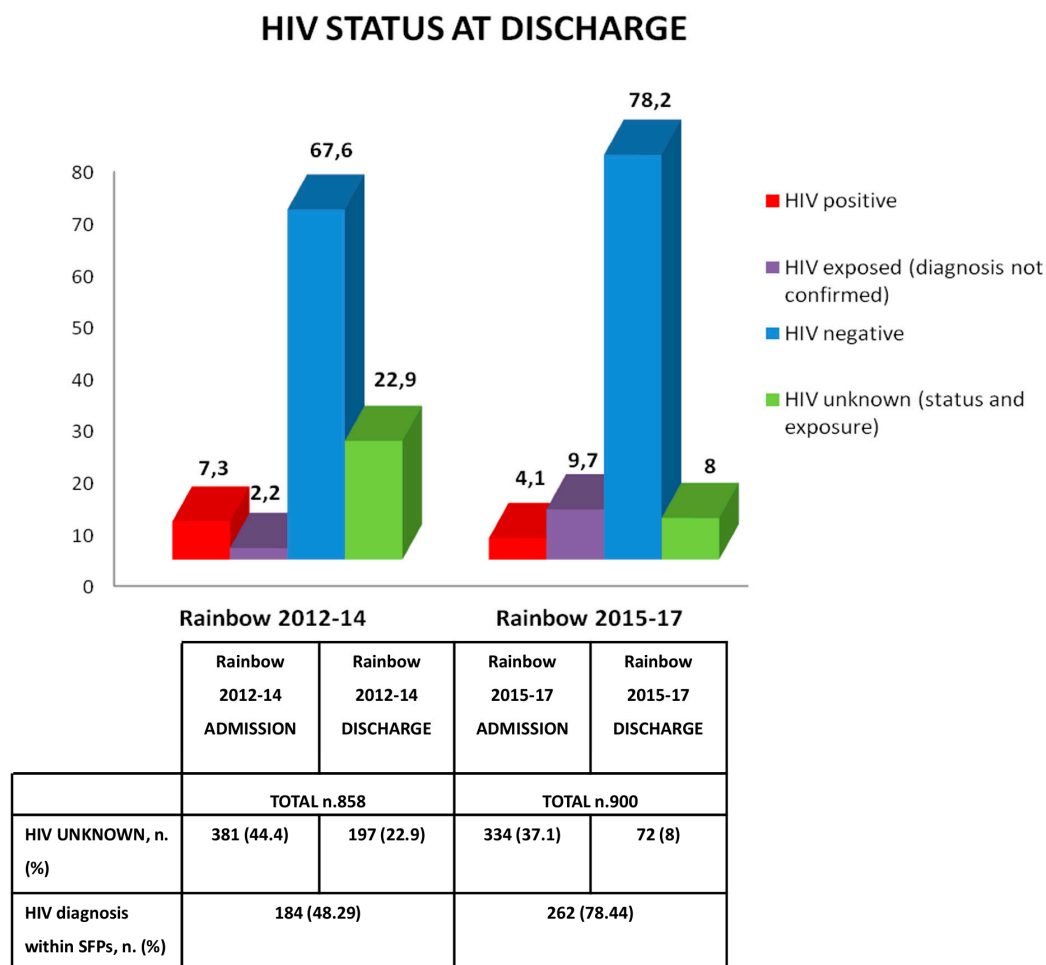
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308 **HIV counseling and testing**

309 Figure 2 presents a comparison between Rainbow 2012-14 and Rainbow 2015-17 at the time of
310 patient discharge. The general number of children with HIV infection decreased over the years
311 (7.3% vs 4.1%) and conversely the number of HIV negative children increased (67.6% vs 78.2%),
312 reflecting the efforts of the Zambian government in promoting HIV PMTCT. In Rainbow 2015-17,
313 70% of HIV infected children were receiving antiretroviral treatment. The most incisive goal
314 reached was the increased access to HIV diagnosis during the enrollment in SFPs. As compared to
315 the nearly 49% of diagnoses made in Rainbow 2012-14, nearly 79% of new HIV diagnoses were
316 made in Rainbow 2015-17 (30% greater; $p < 0.001$). Among the 83 children who were HIV exposed
317 but still without an HIV diagnosis (9.7%), nearly 65% were less than 18 months of age old, so
318 presumably definitive test results were not yet available.

319

320 **Figure 2.** HIV status at discharge: comparison between Rainbow 2012-14 and 2015-17.



321

322 **Predictors of mortality and defaulting**

323 To identify the main predictors of mortality and defaulting we performed univariate and
324 multivariate (forward stepwise model) Cox proportional risk regression analyses (HR, 95% CI).

325 Baseline characteristics and nutritional response to rehabilitation were analyzed separately (Table
326 4). Baseline characteristics included socio-demographic conditions, HIV status, anthropometric and
327 health status at admission. Nutritional rehabilitation included gains in anthropometric parameters.

328

329 HIV infection still remained the major predictor of mortality (HR 5.5; CI: 1.9-15.9), together with
330 SAM defined as kwashiorkor (HR 3.5; CI: 1.2-9.5). Nutritional edema was associated with a high
331 risk of mortality, and confirmed the importance of kwashiorkor as a public health problem, but was

332 often not perceived as worrisome by guardians [36]. Severe underweight status at admission
 333 (WAZ<-3) also posed a greater risk of death (HR 4.6; CI: 1.3-16.1). This result was in line with the
 334 previous Rainbow study and highlighted the premise that prevention and management of
 335 underweight status, considered a second priority criteria of admission, should be recognized as an
 336 essential part of the program against childhood malnutrition [23,24]. Compared to Rainbow 2012-
 337 14, predictors of mortality were no longer noted to be risk factors for defaulting. We identified that
 338 living in the rural area (HR 2.3; CI: 1.3-4.2) and being orphan of any parent (HR 3.2; CI: 1.5-6.8)
 339 were the only two baseline variables associated with defaulting. Considering the nutritional
 340 response to treatment, poor gain in anthropometric parameters (WAZ gain, weight gain, ZMUAC
 341 gain) were independently associated either with case-fatality or defaulting. Specifically, when
 342 considering the multivariate Cox analysis, low weight gain and ZMUAC gain were more predictive
 343 of mortality, while poor WAZ gain and ZMUAC gain of defaulting.

344

345 **Table 4.** Predictors of mortality and defaulting. Cox proportion risk analysis.

		Predictors of mortality						Predictors of defaulting					
		Univariate analysis			Multivariate analysis			Univariate analysis			Multivariate analysis		
		HR	95% CI	<i>p value</i>	HR Exp (B)	95% CI	<i>p value</i>	HR	95% CI	<i>p value</i>	HR Exp (B)	95% CI	<i>p value</i>
Baseline characteristics	Age < 18 months	1.04	0.49-2.19	0.917	-	-	-	0.83	0.56-1.23	0.350	-	-	-
	Rural Area	0.24	0.03-1.78	0.164	-	-	-	2.48	1.61-3.82	<0.001	2.31	1.27-4.21	0.006
	Orphan	1.69	0.40-7.14	0.473	-	-	-	2.41	1.26-4.64	0.008	3.22	1.52-6.81	0.002
	HIV infection	8.11	2.85-23.04	<0.001	5.53	1.92-15.94	0.002	1.02	0.32-3.27	0.968	-	-	-
	WAZ< -3	9.37	2.83-31.06	<0.001	4.57	1.30-16.11	0.018	1.01	0.51-2.00	0.983	-	-	-
	ZMUAC <-2	8.11	1.92-34.20	0.004	-	-	-	1.50	0.99-2.30	0.062	-	-	-

	Kwashiorkor / oedema	4.59	2.14-9.85	<0.001	3.51	1.29-9.51	0.014	1.10	0.65-1.86	0.724	-	-	-
	Health problems	1.75	0.82-3.73	0.149	-	-	-	1.34	0.91-1.99	0.140	-	-	-
Nutritional response	Weight gain (g/kg/die)	0.42	0.34-0.51	<0.001	0.59	0.48-0.74	<0.001	0.57	0.49-0.65	<0.001	-	-	-
	WAZ gain	0.17	0.12-0.24	<0.001	-	-	-	0.29	0.23-0.38	<0.001	0.58	0.41-0.82	0.002
	ZMUAC gain	0.21	0.16-0.28	<0.001	0.35	0.24-0.51	<0.001	0.32	0.26-0.40	<0.001	0.44	0.33-0.59	<0.001

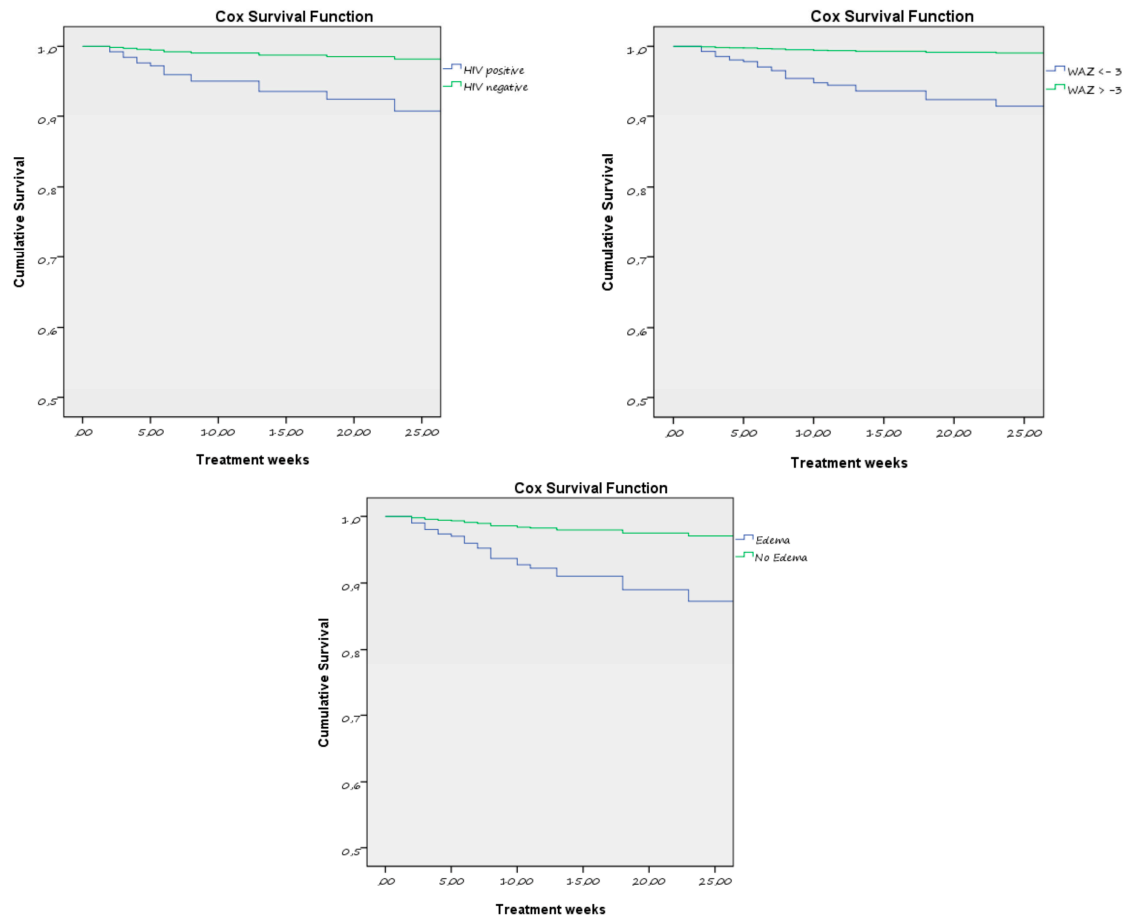
346

347 Figure 3 shows the result of the Cox survival analysis (per outcome death) by HIV status, baseline

348 WAZ and presence of edema.

349

350 **Figure 3.** Cox survival analysis. Outcome death by HIV status, WAZ <-3, presence of edema at
 351 baseline.



352

353 Discussion

354 The present analysis highlighted that well-trained and supervised community volunteers are capable
 355 of identifying and managing cases of uncomplicated malnutrition, providing accurate, reliable and
 356 trustable data [37]. These results are not easily demonstrable in community programs as discussed
 357 in field reports, where data collection and analyses are often not rigorously performed [13].
 358 Recognizing that continuous technical assistance and support, staff training and routinely
 359 monitoring and evaluation are essential to enhance program effectiveness and guarantee high
 360 standards, a first evaluation of Rainbow SFPs was conducted between 2012-14, in which good
 361 programs outcomes were generally noticed, but some areas needing improvement were identified.

362 The main objective of the current study was to assess Rainbow SFPs performance between 2015
363 and 2017, after two years of implementation of changes for program improvement. When analyzing
364 outcomes, performance indicators met the Sphere International Standards, either in general or when
365 considering SAM and MAM separately, with statistically significant improvements compared to
366 Rainbow 2012-14. Halving of mortality rates was the main observable goal (for total: 5.6% in
367 Rainbow 2012-14 vs 3.1% in Rainbow 2015-17, $p=0.01$; for SAM: 12.4% Rainbow 2012-14 vs
368 6.7% in Rainbow 2015-17, $p=0.006$). The average weight gain significantly increased in Rainbow
369 2015-17, reaching the upper threshold of 2g/kg/day as reported in the scientific literature for
370 programs supplementing with corn/soy blended flour (CSB) [34,35].

371

372 We believe that sharing food within the household could have been a potential explanation for poor
373 weight gain, since it is a common cultural practice especially in food insecurity contexts [38]. The
374 mean length of stay in the program for recovered children was significantly reduced as compared to
375 Rainbow 2012-14 (6 weeks of treatment less for both MAM and SAM, $p<0.001$), despite this figure
376 still exceeding the UNHCR targets, with a longer period needed for children with marasmus while
377 edema resolved more rapidly. The better weight gain due to the new food schedule providing
378 higher-quality food distribution coupled with individual nutritional counseling, allowed the
379 reduction of the mean length of stay for recovered children, as proposed in the previous study. Our
380 findings demonstrated outcomes similar to other studies evaluating community-based programs for
381 moderate malnutrition despite lower weight gain [39,40], or in some cases with a better cure rate
382 but higher mortality rates [41]. It was difficult to compare the length of stay with results from other
383 field studies, since “non-cured/non-responder” was not an outcome of Rainbow SFPs, but
384 nutritional assistance was ensured to all children until recovery occurred. Children admitted with
385 lower MUAC had higher weight gains and longer lengths of stay, so that early detection and

386 recruitment of acute malnutrition cases using MUAC could reduce lengths of stay and associated
387 treatment costs [42].

388

389 The number of children who were HIV infected decreased over years and conversely the number of
390 HIV negative children increased, reflecting the efforts of the Zambian government in promoting
391 HIV PMTCT. This observation was in line with the country report which demonstrated a decline in
392 HIV MTCT from 14.9% in 2013 to less than 9% in 2014 [43]. At the moment of data collection, the
393 country adopted and then progressively implemented, WHO Option B+, recommending lifelong
394 triple-combination ART for all confirmed HIV-infected children regardless of CD4 count and/or
395 WHO clinical stage [44]. The significant reduction in children with unknown HIV status at the time
396 of discharge reflected the large effort put into VCT for HIV for which Rainbow's community
397 volunteers were strongly trained and their knowledge on HIV/AIDS and VCT was supported.

398

399 Despite the remarkable effort of community operators in increasing the diagnosis of HIV within the
400 Rainbow 2015-17 program as compared to Rainbow 2012-14 (nearly 30% more diagnoses,
401 $p < 0.001$), the number of children who still remained with an unknown HIV status upon termination
402 of the nutritional program underscores the stigma of HIV and fear of discrimination still existent in
403 Zambia. In addition, the Cox proportional risk analysis showed that HIV infection still remained a
404 major predictor of mortality [45]. Along with the risk to survival, it is widely recognized that
405 children affected by HIV/AIDS are at serious risk of developmental delays, reported also for those
406 exposed to HIV *in utero* but born uninfected, and for those whose parents are affected by HIV
407 [46,47]. More research is needed to investigate these results within the Zambian context.

408

409 Low weight-for-age at baseline ($< -3SD$) and kwashiorkor diagnoses were other main predictors of
410 mortality. The high prevalence of kwashiorkor found at admission (20.4%) was consistent with the

411 proportion of SAM cases presented in the Zambia country report [36]. The poor gain in
412 anthropometric parameters (WAZ gain, weight gain and ZMUAC gain) during nutritional
413 rehabilitation was independently associated either with case-fatality or defaulting. Future research
414 on growth velocity should be performed in order to define better indices for predictors of child
415 mortality [48].

416

417 It is important to recognize that there is a best-practice algorithm for management of child
418 malnutrition (such as OTP for SAM children), but when CMAM is not well-divulged and widely
419 implemented, failure to act in different types of malnutrition may lead to a missed opportunity for
420 lowering child mortality. Including children underweight in SFPs allowed for early optimization of
421 nutritional status, with a positive impact on prevention of acute malnutrition [23,24]. These efforts
422 may also assist in preventing long-term morbidity impacting quality of life, development, and
423 economic prospects in adult life [49]. The Rainbow experience in the Zambian non-emergency
424 setting supports the hypothesis that monitoring and evaluation activities of CMAM, tailored to local
425 specific needs, improve sustainability and effectiveness of integrated models for prevention and
426 treatment of childhood malnutrition [50]. Integrated programs, that promote general malnutrition
427 prevention and also treatment, hold the potential to reduce the prevalence of acute malnutrition by
428 reducing incidence and enhancing treatment effectiveness [16]. There is growing evidence that
429 integrated programs provide a stronger impact on nutritional and health outcomes than either
430 intervention alone [51,52]. Nutritional rehabilitation has not been considered a stand-alone
431 intervention within CMAM: nutritional counseling, IYCF knowledge, HIV/AIDS counseling,
432 testing and diagnosis, immunization sensitization and awareness, control of infections, TB
433 prevention and screening, must be integrated in CMAM protocols, as these are critical primary care
434 elements [53,54,55]. Integration of health and nutrition packages are successful in strengthening
435 efficiency and sustainability of community-based programs which fight childhood malnutrition,

436 resulting in CMAM being effective not only in emergency contexts but also when routinely
437 delivered in non-emergency settings. Therefore full collaboration and dialogue among different
438 stakeholders dealing with malnutrition need to be constantly promoted and enhanced.

439

440 Study limitations include the lack of common standard protocols for evaluating the effectiveness of
441 community-based programs delivered in non-emergency contexts, with Sphere indicators still being
442 the main markers for assessment of CMAM performance. Conditions for delivering CMAM
443 programs are not the same in non-emergency and emergency contexts, and for this reason, relying
444 only on Sphere guidelines to measure program performance may not be comprehensive enough
445 [56]. More research is needed to identify other field-based indicators which should be included in
446 the evaluation of the effectiveness of CMAM, such as specific indicators for underweight and
447 relapse malnutrition rates. In fact, we have identified a high proportion of relapses that need further
448 investigations, in accordance recent literature [57].

449

450 **Conclusion**

451 The Rainbow SFPs integrated approach for MAM/SAM and underweight treatment and prevention
452 is effective and sustainable for reduction of child mortality among Zambian under-5 malnourished
453 children.

454 Consistent monitoring and evaluation with identification of critical areas and adjustments made
455 accordingly, as well as continuous technical assistance and support, resulted in significant gains in
456 the process and generated positive outcomes, with consequent enhancement of program
457 performance. Our results emphasize the need for scaling-up CMAM within a multi-sectoral
458 approach in order to better fight all forms of childhood malnutrition and tackle their determinants.
459 This is especially relevant in food insecurity contexts where high burden of infectious diseases co-
460 exist. Rainbow SFPs can act as an entry point providing child growth surveillance, nutrition and

461 health promotion, and facilitate access to HIV/TB treatment and care for *Zambian* children in non-
462 emergency settings.

463

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472

473 **Authors' contributions**

474 SM performed the statistical analysis and interpretation of the data, drafted and wrote the
475 manuscript, made a substantial contribution to the local implementation. GA supervised the study at
476 local level, contributed to the interpretation of the data, provided critical comment and revision of
477 the manuscript. JKC reviewed the paper and provided critical comment, made a substantial
478 contribution to the local organization. KNS reviewed and edited the manuscript and contributed
479 critical comments. LP performed the statistical analysis, contributed to the data interpretation and
480 provided critical comment and revision of the manuscript. EB supervised the study, performed the
481 statistical analysis and the interpretation of the data, provided critical comment and revision of the
482 manuscript. All authors read and approved the final manuscript.

483

484 **Conflicts of Interest:** The authors declare no conflict of interest.

485

486 **Abbreviations**

- 487 AIDS: Acquired Immune Deficiency Syndrome
- 488 ART: Antiretroviral Therapy
- 489 ARV: Antiretroviral
- 490 CBOs: Community-based Organizations
- 491 CI: Confidence Interval
- 492 CMAM: Community-Management of Acute Malnutrition
- 493 CSB: Corn soya blended food
- 494 CTC: Community-based Therapeutic Care
- 495 DHMTs: District Health Management Teams
- 496 HAART: Highly active antiretroviral therapy
- 497 HEPS: High energy protein supplement
- 498 HIV: Human Immunodeficiency Virus
- 499 HR: Hazard Ratio
- 500 IC: Inpatient Care
- 501 IMAM: Integrated Management of Acute Malnutrition
- 502 IYCF: Infant and Young Child Feeding
- 503 MAM: Moderate Acute Malnutrition
- 504 MUAC: Mid-Upper Arm Circumference
- 505 NGOs: Non-Governmental Organizations
- 506 OR: Odds Ratio
- 507 OTP: Outpatient Therapeutic Care
- 508 PMTCT: Prevention Mother-to-Child Transmission
- 509 RUTF: Ready-to-use Therapeutic Food
- 510 SAM: Severe Acute Malnutrition

511 SD: Standards Deviation
512 SFP: Supplementary Feeding Programs
513 SPSS: Statistical packages for social sciences
514 TFP: Therapeutic Feeding Programs
515 TB: Tuberculosis
516 TDRC: Tropical Diseases Research Centre
517 UNHCR: United Nations High Commissioner for Refugees
518 UNICEF: United Nations International Children's Emergency Fund
519 VCT: Voluntary counseling and testing
520 WAZ: Weight-for-Age Z-score
521 WHO World Health Organization
522 WHZ: Weight-for-Height Z-score
523 WLZ: Weight-for-Length Z-score
524 ZMUAC: Mid-Upper Arm Circumference for Age Z-score

525

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