

1 Article

# 2 Proposal for a Software Sustainability Design 3 Catalogue

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13 **Abstract:** Like other ICT communities, sustainability in software engineering is a major research  
14 and development concerns. Current research focusses on eliciting the meanings of sustainability  
15 and proposing approaches for its engineering and integration into the mainstream software  
16 development lifecycle. However, few concrete guidelines that software designers can apply  
17 effectively are available and applicable. Such guidelines are needed for the elicitation of  
18 sustainability requirements and testing software against these guidelines. This paper introduces a  
19 sustainability design catalogue to assist software developers and managers in eliciting  
20 sustainability requirements, and then in measuring and testing software sustainability. The paper  
21 reviews the current research on sustainability in software engineering which is the grounds for the  
22 development of the catalogue. Four different case studies were analyzed using the Karlskrona  
23 manifesto on sustainability design. The output from this research paper is a software sustainability  
24 design catalogue through which a pilot framework is proposed that includes a set of sustainability  
25 goals, concepts and methods. The integration of sustainability for/in software systems requires a  
26 concrete framework that exemplifies how to apply and quantify sustainability. The paper  
27 demonstrates how the proposed software sustainability design catalogue provides a step towards  
28 this direction through a series of guidelines.

29 **Keywords:** sustainability, software sustainability, information and communication technology,  
30 software design, sustainability requirement, software sustainability analysis, software  
31 sustainability guidelines, karlskrona manifesto

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## 33 1. Introduction

34 Software sustainability and sustainable software development are now recognized as an  
35 important concerns not only for researchers, but also for the entire software industry and  
36 standardization bodies such as ISO and IEEE. An IBM global CEO study shows that 47% of  
37 organizations have begun to redesign their entire software business models to incorporate  
38 sustainability [1]. Sustainable development is also driving software innovations for creating new  
39 opportunities of cutting costs, adding values and for gaining competitive advantages [2]. As  
40 software is the catalyst of economic and social activities [3] and the pillar for all industries, there is a  
41 huge pressure on the software industry from regulators and the civil society to consider green  
42 practices while developing software to support the green economy and green software that uses less  
43 energy [4].

44 The Ericsson sustainability report shows that ICT could help reduce global greenhouse Gas  
45 (GHG) emissions by up to 15%, and forecasts that by 2021, 28 billion devices will be connected with  
46 each other [5] which increase energy consumption. Another energy and carbon report from Ericsson

47 forecasts is that in 2018, 90% of the world's population will have mobile coverage, and 60% will have  
48 the ability to access high-speed LTE data networks. Such reports are clear indicator of the huge  
49 sustainability impact of ICT. Overall, the ICT sector contributes around 2% of the global CO2  
50 emissions. It is also accountable for approximately 8% of the European Union's (EU) electricity  
51 consumption and 2% of the carbon emissions from ICT devices and services [2]. It is therefore  
52 important to look at avenues of how to reduce the impact of ICT on the environment and how  
53 sustainability can be incorporated better into the software development lifecycle.

54 However, the current software development practices provide non-sufficient support for  
55 sustainability. Some of the largely used processes such as agile totally lack to address sustainability  
56 [6]. Practitioners have not yet been able to figure out where and how sustainability can be integrated  
57 efficiently and effectively. Where the sustainability ingredients should be considered? Indeed, the  
58 different sustainability dimensions have no reference framework that can assist software and  
59 application developers and designers. Researchers also highlighted the vital need to define measures  
60 of sustainability and search for avenues for their integration in the wider engineering processes [2]  
61 [7].

62 Software design is a key milestone where supporters and pioneers largely recognized the  
63 importance of sustainability. Varying perspectives have been discussed such as the design of  
64 sustainability and sustainability by design. [8]. Sustainability of software system design is crucial  
65 factor to achieve sustainability because design is a crucial and an integrating activity for software  
66 engineering [9]. Robillard presents the importance of integrating the concept of sustainability for  
67 software design into existing catalogue of design quality attributes [10]. Our research focusses on  
68 sustainability perceptions in design and design practices while proposing a method that support  
69 quantifying sustainability, its integration in the design loop. In this paper, we propose a pilot  
70 framework based on "Software Sustainability Design Catalogue (SSDC)". The SSDC is covers set of  
71 recommendations for sustainability by design. It is grounded in Karlskrona Manifesto for  
72 Sustainability Design (KMSD). The Karlskrona manifesto is a focal point with a common ground of  
73 principles and values for sustainability design in and for software systems [11- 12].

74 The concepts of sustainable and green are often used interchangeably, in many communities  
75 including software engineering. This article consider that "green software" and being "sustainable  
76 software" is not the same concern. Green is usually defined as "products, systems and services that  
77 has limited impact on human health and environment. As it will be defined in this paper,  
78 sustainability include green and it goes beyond green. It is represented by a five-pillars for  
79 environmental, social and financial as well as human and technical responsibility. For example with  
80 green, the sustainability consideration about people and social is limited to direct exposures from  
81 products or services. More and more green is being replaced by sustainability as a much broader  
82 term that looks at the implications of software products including hardware devices, systems and  
83 services used over a much longer period of time, and considers social and human alongside with  
84 financial, technical and environmental impacts as well. Overall all, the paper discusses the following  
85 questions:

- 86 • How does the Karlskrona Manifesto principles relate to software development life cycle  
87 phases (SDLC)
- 88 • What are the first, second and third order impacts of software sustainability as well the five  
89 dimension of sustainability?
- 90 • How can these principles be applied while ensuring that sustainability is fully achieved  
91 during design?
- 92 • How should these principles be integrated in the current software design practices for the  
93 current version of a software system and then for the diverse ones in the future?

94 The usage of SSDC is presented through an example to showcase how the SSDC can benefit  
95 software developers, companies, researchers using the pilot framework. Building on these studies,  
96 we will draw a roadmap for the further development and incorporation of industrial case studies  
97 into the SSDC that support developers and/or automate the use of the guidelines detailed in the

98 catalogue. The SSDC will address concerns from the five sustainability dimensions: Economic,  
99 Environment, Social, Individual and Technical [13].

100 Section 2 covers information about background and related research of sustainability in  
101 software design and development. Section 3 presents the foundation of the Karlskrona manifesto  
102 principles and its relation to software development life cycle phases. Section 4 details the structure  
103 and components of Software Sustainability Design Catalogue (SSDC). Section 5 discusses the  
104 feasibility study used in creating the SSDC and the proposed pilot framework for sustainability of  
105 software design. Section 6 provided a practical example for the usage of the SSDC and the pilot  
106 framework. Section 7 covers discussion about the benefits of the SSDC and pilot framework. Section  
107 8 contains conclusion with comments on future research work.

## 108 2. Sustainability in Software Design: Background and Related Research

109 The design of software with sustainability consideration is still an evolving area that has been  
110 discussed by different stakeholders interested in this area. There is still very few concrete  
111 sustainability guidelines for designers of software systems to apply during software development.  
112 Although design is a central phase of any software development process [9], there has been limited  
113 research work on sustainability design. Mahaux et al. [14] stated that sustainability is one of grand  
114 challenges of our civilization because of their pervasiveness, the way we design, and consequently  
115 use, software-intensive systems has a significant impact and can influence human perceptions of  
116 sustainably greatly. The most relevant related works are listed and described in the following.

117 Chitchyan et al. [15] explained that there is no single point of reference for researchers or  
118 practitioners where the sustainability measures are gathered and exemplified. Shedroff [16] also  
119 highlight the difficulty of the problem for software designers, stating that even with a systems  
120 approach, there are few existing tools that wrap core principles of sustainability together. Instead,  
121 designers must learn to patch together a series of disparate sustainability understandings, and  
122 frameworks in order to address the different dimensions of sustainability. Bibir [17] suggest that  
123 alternative design solutions can be elaborated based on sustainable design practices that use most  
124 efficient energy required over ICT's life cycle.

125 Linda Musthaler [18] also highlight the importance of software design as a key factor that can  
126 help reduce energy consumption by 30% to 90% because software provides the real energy saving  
127 that tells hardware what to do and how to function. Along the same lines, Durdik et al. [19] propose  
128 a catalogue of sustainability guidelines that incorporates all phase of system development life cycle  
129 to support project managers, software architects, and developers during system design,  
130 development, operation, and maintenance. The proposed catalogue by Durdik et al. [19] is not as  
131 detailed as the SSDC proposed in this article as it does not cover all the different sustainability  
132 dimensions (Economic, Environment, Social, Individual, and Technical), the first, second and third  
133 order of impacts of software systems and metrics/indicators to evaluate the effectiveness of  
134 guidelines in the catalogue .

135 Robillard [10] introduced the concept of sustainability for software design, and calls for its  
136 integration into the existing catalogue of design quality attributes. Elucidating that sustainability in  
137 software design means design decisions and its rational should clearly be reflected in the host  
138 technology in a manner that is traceable for conformance with code and resilient to fading. The  
139 author also states that there are several software design quality models that include attributes like  
140 flexibility and reusability, but there is no attribute that captures how cost-efficient are set of design  
141 decisions over time. This kind of attribute would be called sustainability.

142 Johann et al. [20] proposed a life cycle model that helps to develop green and sustainable  
143 software products. The paper uses a cradle to grave approach to analyse and indicate impacts of  
144 each software product life cycle phase (development, distribution, usage, deactivation and disposal).  
145 The model proposed by the authors is a good approach to improve design and integration of  
146 sustainability into software development processes with a life cycle approach. Mahaux et al. [21]  
147 contribute an experience report from a case of applying standard requirements engineering methods  
148 to analyse sustainability aspects.

149 Penzenstadler provides a characterization of sustainability from a software engineering  
150 perspective [6] and describes how to support different aspects of sustainability in software  
151 development processes, software system analysis for production, and usage phases of the lifecycle  
152 [13]. In the same vein, Erdélyi [22] studies the lifecycle activities of software development with a  
153 focus on environmental protection, proposing a formula to calculate software waste to encourage  
154 the development of green software. The author highlights key activities during software design and  
155 development with key factors at each stage of software design. Erdélyi [22] states how each of this  
156 factors relates to a green aspect in software development. According to Erdélyi [22], thoroughly  
157 designed and implemented software uses energy efficiently through computational and data  
158 efficiency.

159 Becker et al. [12] highlight how different research domains from past to present have been  
160 trying to tackle the issue of sustainability through collaborative work via conferences and  
161 workshops. Dick et al. propose a generic model for improving the general software development  
162 process. Although the model does not currently cover sustainability benchmarks, it provides a  
163 sound basis for its future integration [23].

164 Mahaux et al. [21] paper reports on a software project in which sustainability requirements  
165 were treated as first class quality requirements, and as such systematically elicited, analysed and  
166 documented. This is corroborated by Oyedeji et al. [24] stating the need to characterize software  
167 sustainability as a quality factor in requirements elicitation. It also highlighted some points for  
168 contributions to a sustainability requirements research agenda. Penzenstadler [25] presents a  
169 checklist and guide approach that demonstrates how to include the objective of environmental  
170 sustainability from the very early steps of software development. Penzenstadler [25] shows how  
171 green requirements engineering may be conducted within the scope of general purpose  
172 requirements engineering and accommodate the new objective of improving the environmental  
173 sustainability of software systems.

174 Roher et al. [26] paper suggests the use of sustainability requirement patterns (SRPs), which will  
175 provide software engineers with guidance on how to write specific types of sustainability  
176 requirements with the aim to overcome the barriers of incorporating environmental sustainability  
177 into the requirements engineering process. Raturi et al. [27] paper focused on how to develop  
178 sustainability as a non-functional requirement (NFR) using NFR framework informed by  
179 sustainability models and how it can be used to correctly obtain and describe sustainability related  
180 requirements of the software system to be developed.

181 Colmant et al. [28] presented research on how to improve the software-energy efficiency on  
182 multi-core systems. Their motivations were driven by the huge impact of the ICT on the world CO2  
183 emissions which represents 2%. Lami et al. [29] stated that there are few studies and suggestions  
184 about 'what' aspects of sustainability to measure and 'how' to do it with regards to ICT.

185 Calero et al. [30] highlighted that nowadays, sustainability is a key factor that should be  
186 considered in software quality models, though there has been less research channelled towards it.  
187 Seacord et al. [31] stated that planning and management of software sustainment is impaired by a  
188 lack of consistently applied, practical measures. Without these measures, it is impossible to  
189 determine the effect of efforts to improve sustainment practices.

190 The above research shows the importance of sustainability in/for software systems within the  
191 ICT domain. There is still a problem of where and how to start for designers of software systems  
192 because most designers and developers are not equipped with the right guidelines and best practice  
193 on how to design and develop software systems with sustainability considerations. The following  
194 are the main conclusions from the background and related work:

- 195 • There is no single reference point where measures of software sustainability are gathered  
196 and exemplified
- 197 • Design is key to achieve software sustainability, thus the need to show how software  
198 designers can incorporate sustainability during software design to improve ICT energy  
199 usage and CO2 emission



- 200           • The need for a framework or model to assist and guide developers during software design  
201           to incorporate sustainability requirements.

202           These conclusions are the reasons for initiating the creation of a software sustainability design  
203 catalogue (SSDC) that can be used by researchers and developers to create new frameworks, tools,  
204 guidelines and practices for software design and development. An example of such framework is  
205 the proposed pilot framework in this article (see Figure 1 and Table 6) as guide for both experienced  
206 and infant software designers during software design and development.

### 207 3. The Foundations of Sustainability by Design: The Karlskrona Manifesto

208           The Karlskrona Manifesto for Sustainability Design (KMSD) has its roots in the Third  
209 International Workshop on Requirements Engineering for Sustainable Systems (RE4SuSy)[32], held  
210 at RE'14 in Karlskrona, Sweden. Christoph Becker's paper [33] about the relationship between the  
211 concerns of sustainability and longevity provided one of the motives for the creation of the  
212 manifesto. The key goal was to blend the diverse aspects of sustainability to clarify its scope,  
213 objectives and challenges of the perceptions of sustainability leading to an interdisciplinary platform  
214 of researching sustainability [33].

215           The manifesto brings together input from researchers of various disciplines in the field of  
216 software engineering with sustainability research interests as the creators of the design manifesto  
217 [34] [11]. The Karlskrona Manifesto for Sustainability Design include nine principles of sustainability  
218 design [11]. They provide the basis for creating a reference point that can be applied during software  
219 design by different stakeholders (Table 1). The manifesto is accessible via the Web[34], where those  
220 interested in supporting the Manifesto can sign it.

221           Table 1. Description of the Karlskrona Manifesto Principles, Adapted from [11]

Principle Number	Principle	Description
P1	Sustainability is systemic	Sustainability is never an isolated property. It requires transdisciplinary common ground of sustainability as well as a global picture of sustainability within other properties
P2	Sustainability has multiple dimensions.	We have to include those dimensions into our analysis if we are to understand the nature of sustainability in any given situation
P3	Sustainability transcends multiple disciplines.	Working in sustainability means working with people from across many disciplines, addressing the challenges from multiple perspectives.
P4	Sustainability is a concern independent of the purpose of the system.	Sustainability has to be considered even if the primary focus of the system under design is not sustainability.
P5	Sustainability applies to both a system and its wider contexts.	There are at least two spheres to consider in system design: the sustainability of the system itself and how it affects the sustainability of the wider system of which it will be part of.
P6	System visibility is a necessary precondition and enabler for sustainability design.	Strive to make the status of the system and its context visible at different levels of abstraction and perspectives to enable participation and informed responsible choice.
P7	Sustainability requires action on multiple levels.	Seek interventions that have the most leverage on a system and consider the opportunity costs: Whenever you are taking action towards sustainability, consider whether this is the most effective way of intervening in comparison to

		alternative actions (leverage points).
P8	Sustainability requires to meet the needs of future generations without compromising the prosperity of the current generation	Innovation in sustainability can play out as decoupling present and future needs. By moving away from the language of conflict and the trade-off mind-set, we can identify and enact choices that benefit both present and future.
P9	Sustainability requires long-term thinking.	Multiple timescales, including longer-term indicators in assessment and decisions should be considered.

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The Karlskrona manifesto principles aim to be a practical guide to the entire community like the Agile manifesto [35], the Business Rules manifesto [36], the SOA manifesto [37] [38], and the Recomputation manifesto [39]. It will support stakeholders in industry and academia (Companies, standardization organization, software practitioners, researchers and students) for promoting and developing sustainability design and practices in software development [33] [11]. The Karlskrona manifesto also serves as a facilitator for thinking about the broad effects of software on society and the need to embody longer-term thinking, ethical responsibility, and an understanding of how to integrate sustainability into the design of software systems [12].

Table 2 below shows how these Karlskrona principles can be related to software development phases [40] and at which phase a principle can be applied. Relating these principles to each software development phase will provide an avenue for better practical usage of the principles especially for evaluation of different software systems.

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**Table 2.** Karlskrona Manifesto principles in relation to SDLC Phases

SDLC Phases	Karlskrona Manifesto Principles
<b>Phase 1.</b> Project Definition	<b>P1-</b> This ensures that the project initiation considers sustainability in the overall project definition from the beginning. <b>P2-</b> Software sustainability has different dimensions that have to be considered from the beginning for better project management with different stakeholders. <b>P3-</b> Software project usually involves stakeholders from different domains, incorporating their sustainability concerns provides better management of those concerns from multiple perspectives which can help the incorporation of sustainability for the software.
<b>Phase 2.</b> User Requirements Definition	<b>P2-</b> It is important to take note of user requirements in relation to each of the sustainability dimensions in order to have better sustainability analysis during the analysis and design phase
<b>Phase 3.</b> System Requirements Definition	<b>P4-</b> It is important during elicitation of system requirements to consider sustainability concerns for the system during the requirements definition even when it is not a core part of the user requirements. <b>P5-</b> It is also important to cross evaluate the consequential impacts of the system sustainability requirements and the environment in which the system will function.
<b>Phase 4.</b> Analysis and Design	<b>P2-</b> Applying this principle provides a blueprint for system evaluation from all sustainability dimensions (Economic, environment, social, individual and technical). <b>P4-</b> At this phase, this principle helps to encourage analysis of system design based on sustainability in order to facilitate better sustainable system. <b>P6-</b> Application of this principle enables better visual and visible overview of the system from different levels of abstraction.

	<b>P8-</b> This will provide better understanding during analysis to make better choices that will help the potential users of the system in present and in future when the system evolves.
<b>Phase 5.</b> Development	<b>P2-</b> This will encourage developers during this phase to consider different sustainability dimensions especially technical, social and individual dimensions <b>P4-</b> Encourage the search for better avenues to make the system sustainable from the development perspective (developers) and also the functions of the system to aid longevity.
<b>Phase 6.</b> Integration and Testing	<b>P2-</b> Provides integration and test team to have a sustainability template that can be used to test the system for all sustainability dimensions based on the sustainability requirement output from phase 2, 3, and 4. <b>P4-</b> Application of this principle will aid consideration of sustainability in this phase even if the primary focus of system is not about sustainability.
<b>Phase 7.</b> Implementation	<b>P5-</b> Provides a beforehand reasoning for the development team to consider sustainability of the system, its production environment and when push live for use. <b>P7-</b> Based on principle 5 (P5), this principle will aid consideration of seeking the involvement of different stakeholders to make the actualization of the system sustainability possible in the production environment and when pushed live.
<b>Phase 8.</b> Sustainment / Maintenance	<b>P9-</b> This principle at this stage help to create the conscious awareness so that when the system is in live environment, there will be continuous evaluation to assess the system sustainability and think of ways for optimizing and improving sustainability of the system from the different dimensions.

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Table 2 highlights the first step towards putting the Karlskrona manifesto principles into practice of software development. The Karlskrona manifesto focused on high level principles, not techniques [12], which means there is need to exemplify the principles to show the practical usage with techniques. The following are the limitations of the manifesto that motivate the development of the software sustainability design catalogue (SSDC):

- The principles abstract and generic to serve all the possible stakeholders interested in sustainability in all the stages of the software development and management phases.
- The principles are on a high level of abstraction, missing many details for their practical usage.
- Some of them are closely related, making a trade-off among them difficult, especially for novice in the field of sustainability.
- The principles are not connected to tangible measures but serve as guide to create measures.

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#### 4. Structure of the Proposed Software Sustainability Design Catalogue

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The software sustainability design catalogue (SSDC) contains information (recommendations) that can serve as guidelines (which are concrete actions) implementing one or more of the nine principles from the manifesto. The SSDC guidelines are collected during the analysis of several case studies. The contents of the SSDC cover different types of systems that are characterized and analysed using the manifesto principles, sustainability dimensions, orders of impact, current/future applications of manifesto principles, stakeholders, their goals, and indicators that will serve as source of evaluation.

SSDC is divided into two core components with the following content (see Table 4 and 5). The first component is Sustainability Analysis of Systems using the Karlskrona Principles. This covers the practical application of the Karlskrona Principles as criteria for evaluating current systems and

259 incorporating sustainability concerns into system design and development. The following are the  
260 core elements used in the analysis:

- 261 1. Karlskrona Principle: The right principle or principles are selected from the 9 principles (see  
262 **Table 1**) for evaluation of each system category. The principles are identified using a tag of  
263 **P1 to P9** (Principle 1 to 9).
- 264 2. Goal/Requirement: This highlights the desired end result for each system category based on  
265 sustainability consideration.
- 266 3. Current Principle Usage in software: This covers the current application of the principle in  
267 existing system design and development, even if it is not explicitly stated in current system  
268 documentation.
- 269 4. Future Principle Usage in software: Based on the evaluation of the current principle  
270 application in existing system design and development, a potential usage of the principle in  
271 future system enhancement and design is suggested.
- 272 5. Stakeholders: Those responsible for implementing the goals/requirement.
- 273 6. Question: Questions characterize each goals. From each goal, a set of questions is derived  
274 that will determine if each goal is being met.
- 275 7. Indicators: Specific indicators are used to answer the questions as a way to evaluate if the  
276 goals were achieved.

277 The second component is the **sustainability dimensions according to their order of impacts**. It  
278 covers a sustainability analysis using:

- 279 I. The Orders of Impact [41], [42] cover all the positive and negative effects of software on the  
280 environment which are decomposed into three orders of magnitude. The first order  
281 impacts (Immediate effects) are about the direct effects of the development and use of  
282 software system. The second order impacts (Enabling effects) are about the indirect  
283 impacts related to the effects of using the software system in its application domain. The  
284 third order impacts (Structural effects) are the cumulative long-term effects as a result of  
285 the accumulating first and second order impacts over time.
- 286 II. The Sustainability Dimensions including: [13]
  - 287 • Economic sustainability aims at maintaining assets. For Software Engineering (SE):  
288 How can software systems be created so that the stakeholders' long term  
289 investments are as safe as possible from economic risks and increase profit?
  - 290 • Environment sustainability seeks to improve human welfare by protecting natural  
291 resources. For SE: How does software affect the environment during and after  
292 development?
  - 293 • Social sustainability means maintaining social capital and preserving the societal  
294 communities. For SE: What effects do software systems and applications have on  
295 the society (e.g. communication, interaction, government)?
  - 296 • Individual sustainability refers to the maintenance of individual human capital. For  
297 software engineering (SE), it means: How can software be created and maintained in  
298 a way that enables user friendly solution for users and also ensure developers are  
299 satisfied with their job over a long period of time?
  - 300 • Technical sustainability has the central objective of long-time usage of systems and  
301 their adequate evolution with changing conditions. For SE: How can software be  
302 created so that it can easily adapt to future change?

## 303 5. Feasibility Study and Framework

304 The feasibility study covers 4 case studies (See Table 3) of different kinds of systems used in creating  
305 the SSDC summarized in 8 Tables. The first author gathered a large set of data for analysing the four  
306 different case studies according to the Karlskrona manifesto principles and the orders of impact to  
307 create the design catalogue. The second and third authors then cross-validated the data collected.  
308 Based on the aggregated data, a first draft of catalogue was developed. Then, the proposed software  
309 sustainability design catalogue has be refined using more data using various types of applications.



310 **Table 3.** System types for feasibility studies

System Category	System Type
Cyber Physical System	Smart home system
Embedded System	Washing machine
Gaming	Angry bird
Desktop Application	Microsoft office

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312 Table 4 and 5 below covers cyber Physical System (CPS) defined as the integrations of  
 313 computation, networking, and physical processes that are tightly connect with its users. The sample  
 314 system used in the catalogue is "Smart Home." Tables 4 and 5 provide information of the SSDC for  
 315 Cyber Physical System (Smart Home) that can assist companies and software developers identify  
 316 key areas that relate to sustainability and recognize strategic avenues on how current and future  
 317 smart home solutions should be designed in a more sustainable manner. This enables them make  
 318 good sustainability decision during and after design of smart home solution. Tables 7-12 which can  
 319 be found in the appendix; cover:

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- 321 • Embedded Systems that are composed of electrical and mechanical components completely  
 322 encapsulated by the device they control. The sample case study used in this category is a  
 323 "Washing Machine"[43 - 48] (see Table 7 and 8 in appendix ).
  - 324 • Mobile Games as an application design that runs on mobile devices. The game case study  
 325 used in this category is "Angry Bird Game" [49 -53](see Table 9 and 10 in appendix ).
  - 326 • Desktop Applications that run on standalone computers. The sample application used in  
 this category is "Microsoft Office" [54 -57] (see Table 11 and 12 in appendix ).

327 **Table 4.** Sustainability Analysis of Cyber Physical System (smart home) based on Karlskrona Principles  
 328 [58 -61]

Karlskrona Principle and Goal	Current / Future Usage	Principle	Stakeholders	Question	Indicator
(P2) Cross platform compatibility	<b>Current:</b> Smart home appliances are compatible with only few other manufacturers devices in the market.  <b>Future:</b> Smart home appliances should be compatible with other devices from different manufacturers based on standard interface to avoid increase in energy usage. This can be achieved by enforcing device standards that can be used for cross platform compatibility.  Home automation appliances should be economic and at same time environmental friendly.	Smart home	Business Analyst	Can device function with other device from different manufacturer?	Device cross platform compatibility
(P4) Educate Users	Current Smart home solutions provides graphical information about energy usage but not necessarily	Smart home solutions	Software developers Business Analyst	Are users aware of their actions relating to	Usage data over time to detect changes in

	educate users on how to be energy conscious based on their daily habit over a period of time.		electrical appliances in the house or company?	user habits
	<p><b>Future:</b> User data from smart home solution should be used for educating users thereby encouraging users to behave more sustainably.</p> <p>User data can also be used for prediction aim at optimizing the use resources such as water and energy within a household or company.</p> <p>The solutions could help interconnect other systems that can help save resource like water and electricity in a household or company.</p>			
(P9) Reduce production and solution cost	<p><b>Current:</b> There are currently few cost effective solutions that will encourage user to adopt home automation solution on the long term</p> <p><b>Future:</b> Use cheap environmental friendly resources in the production of home automation device (hardware) that can reduce production cost.</p> <p>If the cost of production reduces, the overall cost of smart home solution will also reduce which will increase its affordability among users.</p> <p>There can also be low cost solution for poor countries to assist in the use of water and energy judiciously (reduce waste).</p>	Business Analyst	Did we manage to reduce costs compared to previous years (before solution was a smart home)?	Net cost of smart home solution

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Table 4 of the SSDC (Cyber Physical System - Smart Home) highlights one important issue that standardization authorities in this domain can work on, which is the cross platform compatibility for smart home devices. Smart home appliances should be compatible with other devices from different manufacturer based on standards to avoid increase in energy usage. Smart home solutions should provide meaningful graphical information that can educate its users thereby encouraging users to behave more sustainably.

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**Table 5.** Sustainability Dimensions Order of Impacts for Cyber Physical System (smart home) [62 -65]

Order of Impacts	Environment	Economic	Technical	Social	Individual
1 <sup>st</sup>	Increase in the use of natural resources in the production of hardware for smart home devices and pollution of the environment from toxic material used in production	Creates new business opportunities for those in this sector (setup and installation of devices at home)	Pave way for improving existing technologies and development of new tools to meet new market demands for sustainable usage of these technologies	Breeds new communities of users and suppliers	Users rely on devices to control some aspects of their lives at home and in offices
2 <sup>nd</sup>	Reduce household energy consumption	Reduce household bill on energy consumption	High demand for security of user personal data (privacy).	Increase comfort, safety, flexibility, and security of user.	Demand for sustainable user friendly solution for home users
3 <sup>rd</sup>	Increase in the use of toxic material for production of hardware. Less energy consumption over a long period of time.	Decrease on cost of energy through optimized solution over time	Efficient provision of sound technical solutions to avoid technical glitch that could lead to high energy usage. Encourage innovation on how to create cost effective technologies and devices to reduce household and company energy usage	Encourage users to form communities to share data as a way of encouraging each other to be energy conscious	Induces sustainable behaviour among users

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Table 5 of the SSDC for Cyber Physical System (Smart Home) provides different insights on the direct, indirect and structural impact of home automation design and deployment from the different dimension of sustainability. From Table 3 companies and stakeholders will be able to incorporate the following sustainability goals for the design and development of home automation solutions:

- Environment: Reduce household energy consumption
- Economic: Reduce household cost on energy
- Individual: Provide user friendly solution for home users with easy to use user interface and information to induce sustainable behaviour among user.
- Technical: Provide good security for user personal data and avoid technical glitch that could lead high energy usage

- 351       • Social: Encourage users to form communities to share data as a way of encouraging each  
 352       other to be energy conscious and environmentally aware of the consequences of their  
 353       actions and inaction while using smart home solutions.

354       The application of these principles from the catalogue offers explicit goals and opportunities for  
 355       sustainability integration in system design through multiple perspectives for systems with  
 356       sustainability as its core goal and those system without sustainability as its main goal. The below are  
 357       detailed description of the principles used in providing information on how best to engineer and  
 358       think of sustainability for smart home solution (see Table 4 and 5) from the catalogue.

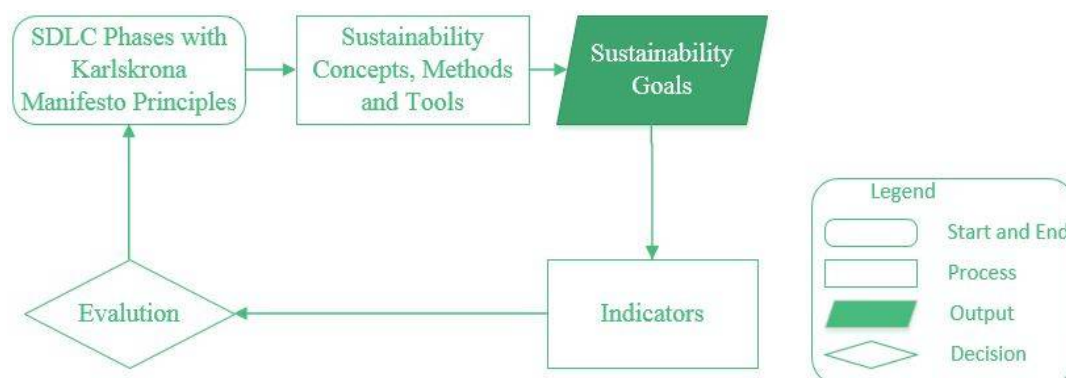
359       **Sustainability has multiple dimensions (P2):** The application of this principle provides an  
 360       overview of the fundamental issues and positive opportunities that could encourage stakeholders in  
 361       the smart home domain to cross reference in the design and development of smart home solutions.  
 362       Especially during solution requirements from users and choosing appropriate boundaries.

363       Smart home design and deployment in this domain requires getting inputs for the effect of  
 364       design solutions on the environment from natural resources used in building hardware devices,  
 365       energy consumption of the devices, social behaviour and interaction between people in family  
 366       (household), company and other places where these solutions will be deployed. This means all  
 367       sustainability dimensions (environment, economic, social, individual, technical) will be analysed for  
 368       better design output.

369       **Sustainability is a concern independent of the purpose of the system (P4):** The goal of most  
 370       smart home solutions is to provide comfort and reduce energy consumption for its users, but it is  
 371       important to consider an encompassing view of sustainability. This is to be able to get even more  
 372       benefits such as reducing pollution through the use of environmental friendly materials in  
 373       producing hardware devices used for smart home solution. The smart home solution can be used to  
 374       educate and inform users about the negative consequential effect of their behaviour and habits. This  
 375       can help induce sustainable behaviour among users. For a smart home solution design to be effective  
 376       and meet user needs, it will require the expertise of a psychologist or at least an adequately educated  
 377       interaction designer to help provide information according to the level of comfort and technical  
 378       expertise of those in manufacturing, transportation, electrical, business and ICT discipline.

379       **Sustainability requires long-term thinking (P9):** It is important to think of how the smart  
 380       home solution provided today will evolve to meet the requirement of current users and be adaptive  
 381       enough to satisfy future user needs. This will require looking at measures to capture user behaviours  
 382       over time through computational intelligence to predict future actions of users through data  
 383       generated from time to time.

384       Based on the analysis of all the case studies in the feasibility study (refer to Table 3), a pilot  
 385       framework to guide stakeholders involved in the design and development is proposed. Figure 1  
 386       provides a detailed flow of the pilot framework.  
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**Figure 1.** Pilot Framework for Sustainability of software system design based on SSDC

390       The pilot framework is the first derivative from the SSDC to assist developers incorporate  
 391       sustainability during system design and development covering the software development life cycle  
 392       (SDLC) phases. For better understanding, the pilot framework is presented below in a tabular form

393 to show contents that are involve in the framework. Table 6 contains all contents of the framework. It  
 394 is important to highlight that the indicators used in the framework (Table 6) are influenced by the  
 395 nine Karlskrona manifesto principles mapped to each of the software development life cycle (see  
 396 Table 2).

397 **Table 6.** Contents of Pilot Framework for Sustainability of Software System Design

<b>SDLC Phases and Karlskrona Manifesto Principles</b>	<b>Sustainability Goals</b>	<b>Sustainability Concepts, Methods and Tools</b>	<b>Indicators</b>
<b>Phase 1.</b> Project Definition, P1, P2 and P3	Transmaterilization, Design for sustainable efficiency, Reusability	Cradle to cradle, Biomimicry, Sustainable Business Canvas,	Carbon footprint, material footprint, end of life footprint
<b>Phase 2.</b> User Requirements Definition, P2	Increase sustainability awareness among users	Helix of Sustainability	Total number of sustainability requirements, Priority assign to sustainability requirements
<b>Phase 3.</b> System Requirements Definition, P4, and P5	Design for efficiency, sustainability awareness and Interoperability	Biomimicry, Cradle to cradle, Goal Model	Total number of system goals relating to sustainability dimensions
<b>Phase 4.</b> Analysis and Design, P2, P4, P6 and P8	Design for reuse and efficiency, localization, Interoperability	Biomimicry, Helix of Sustainability, Life cycle sustainability assessment, Social return on investment, Sustainability analysis radar chart	Number of first, second and third order impacts of system identified
<b>Phase 5.</b> Development, P2 and P4	Design for reuse, Design for module replicability, Design for efficiency, Design for sustainability awareness, Design for efficiency, Design for easy service and maintenance	Biomimicry, Cradle to cradle	Number of coding choice influenced by sustainability, number of features (functions) added to systems to inform users about sustainability through functions like eco feedback.
<b>Phase 6.</b> Integration and Testing, P2 and P4	Design for easy assembly and disassembly, Design for durability,	Cradle to cradle, Sustainability analysis radar chart, Life cycle sustainability assessment,	How much of information from sustainability analysis chart was used during integration and testing such as the number of systems functions tested against sustainability concerns such as the first order (immediate) impact and possible second order (enabling) impacts of the



			system
<b>Phase 7.</b> Implementation, P5 and P7	Design for easy use, design to induce conscious sustainability awareness, Design to educate users about sustainability, Design for easy recycle	Biomimicry, Cradle to cradle	The priority assign to sustainability by developers and the system owners /users during after implementation
<b>Phase 8.</b> Sustainment / Maintenance, P9	Proper design for serviceability, Design for easy replacement of code modules, Design for continuous user engagement through sustainability awareness	Life cycle sustainability assessment, Sustainability analysis radar chart, Cradle to cradle	Number of improvement to system based on sustainability requirements either from users feedback or developers

## 398 6. Application of the SSDC and the Pilot Framework

399 In order to showcase the application of SSDC and pilot framework, an excerpt from a Cyber  
400 Physical System (Smart Home) is used here. Consider an application scenario below:

401 *"A software engineer called Henry has the task of eliciting and documenting the requirements for a new*  
402 *smart home system. Being aware of his responsibility for the software system sustainability he creates, and its*  
403 *impacts, he takes the template of the sustainability analysis of the five dimensions and the three orders of effects*  
404 *from the design catalogue with him to meet the customer during their first meeting. The customer is curious*  
405 *about these additional analysis ideas, and Henry explains to his client what they mean and gives his client*  
406 *couple of examples. Then, together with the customer, he fills out the template applying the concepts from the*  
407 *design catalogue (SSDC) to find out what those dimensions and orders of impact mean for the smart home*  
408 *system the customer wants for his house. The information from the activity goes into the requirements analysis*  
409 *that is subsequently conducted and used as a measurement yardstick during the smart home system*  
410 *development and deployment."*

411 To showcase the use of the framework in the above scenario, the following explanation  
412 breakdown how the pilot framework for software sustainability design was used in creating the  
413 smart home system from the planning to requirement phase and finally delivery of system.

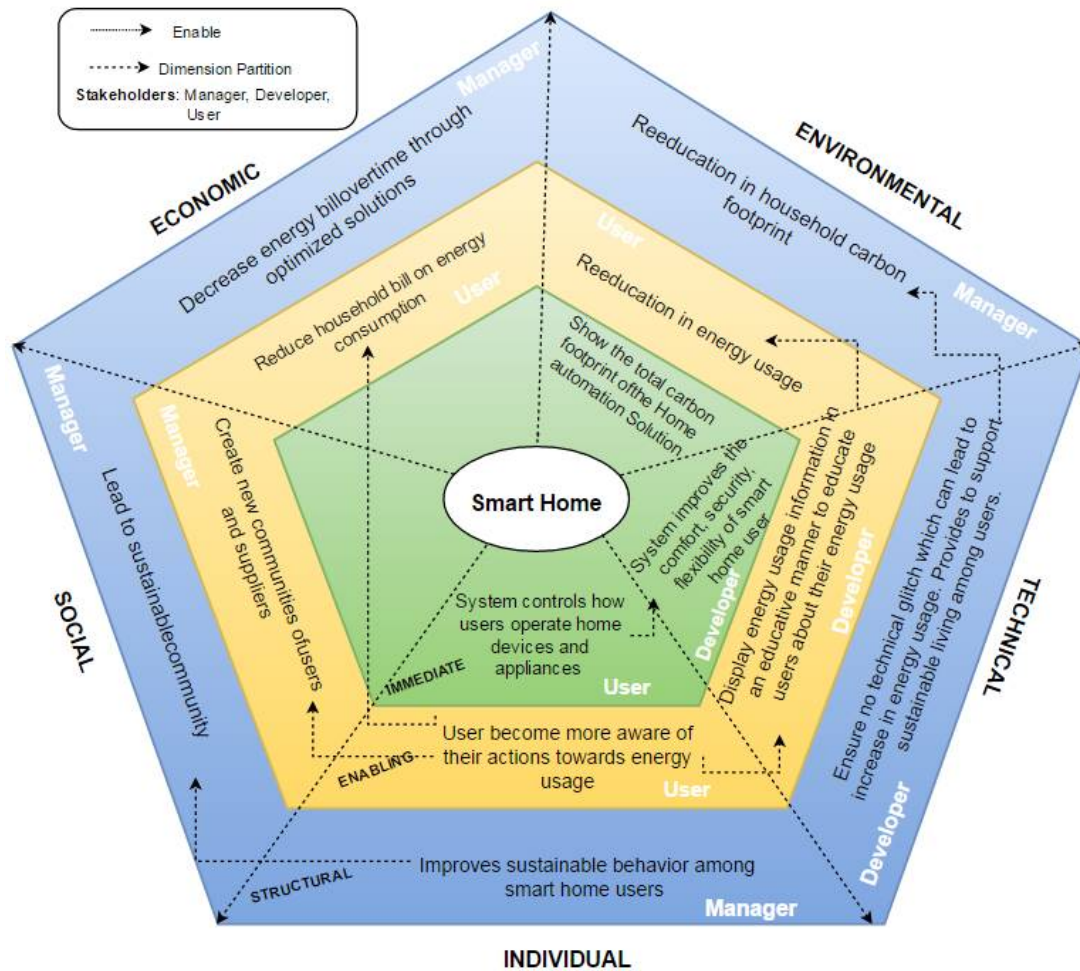
414 **Phase 1(Project Definition) with Karlskrona principles 1, 2 and 3:** Henry uses the sustainable  
415 business canvas to show value that can be generated through sustainability consideration and how it  
416 can help improve the product. Henry was able to pinpoint two sustainability goals from this phase,  
417 which is design for sustainable efficiency, and to create sustainability awareness through the smart  
418 home system by facilitating a community of users willing to share their energy usage to motivate  
419 each other.

420 **Phase 2 (User Requirements Definition) with Karlskrona principle 2:** From the information  
421 gathered in phase one and a discussion with the client, Henry was able to identify the goal of  
422 increasing the sustainability awareness among users of the system once it is created based on the  
423 sustainability helix concept. These were the indicators from this phase: percentage of reduce energy  
424 usage of the household, amount of feedback on the environment impact of energy used (CO<sub>2</sub>) by the  
425 family through eco feedback, number of suggestions provided on how to improve household energy  
426 usage based on usage patterns.

427 **Phase 3 (System Requirements Definition) with Karlskrona principles 4 and 5:** The goal in  
428 the phase of system requirements is to design for efficiency and sustainability awareness based on  
429 the overall system goal from phase 1. He uses the goal model to showcase how the system goals  
430 were broken into smaller piece based on the system requirements in order to identify requirement  
431 conflicts that might occur. Some of the smaller goals based on the overall goal in this phase includes:

432 reduce energy consumption, reduce co2 emissions, establish community of users sharing energy  
433 usage data, ensure high availability of system, and provide eco-feedback.

434 **Phase 4 (Analysis and Design) with Karlskrona principles 2, 4, 6 and 8:** In this phase, the main  
435 goals are design for easy usage, efficiency and sustainability awareness. Using the sustainability  
436 analysis chart (see Figure 2). Figure 2 portrays the sustainability analysis of the smart home system  
437 design for the first, second and third (Immediate, Enabling, and Structural) impacts of smart home  
438 solutions from the different sustainability dimensions. Information from the analysis provides  
439 avenue for evaluating while guiding different stakeholders (Managers, Developers, and Users) on  
440 the benefits to aspire for sustainability in smart home solutions.  
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**Figure 2.** Immediate, Enabling and structural effects of Smart Home Solution in Sustainability Dimension

444 The impacts described in Figure 2 are then taken into account during the requirements  
445 refinement phase such that they will actually get deployed and implemented in the system. The  
446 indicators from this phase are the immediate, enabling and structural impacts of the system  
447 identified from the sustainability analysis chart.

448 **Phase 5 (Development) with Karlskrona principles 2 and 4:** The goal of the development  
449 phase is to design a smart home system with the ability to create sustainability awareness among  
450 users and reduce household energy consumption. The sustainability concept that influences  
451 developers during this stage is biomimicry. This concept encourage developers to rethink how to  
452 create the smart home system with functions that will help reduce energy consumption of the  
453 software during usage, provide eco-feedback to users in a way to create sustainability awareness  
454 and also to be used an enabler for reducing household energy consumption. The biomimicry concept  
455 is visible in the energy UI dashboard of the smart home system where a full grown tree is used to  
456 mimic energy consumption. As the energy consumption increases, the tree leaf starts to change

457 colour to brown (indicating that is dead) and when energy consumption reduces the tree becomes  
458 more green (indicating the tree is back to life). The following are functions added to the system which  
459 are used as indicators in this phase: Percentage of energy saved during system usage, the accuracy in  
460 the eco-feedback based on the energy usage pattern of users, total number of times users shared their  
461 energy usage percentage with friends on social media, the level of user comprehension and  
462 understanding of the displayed information on CO<sub>2</sub> emission and indicator for amount of saved  
463 trees based on reduce energy usage over time.

464 **Phase 6 (Integration and Testing) with Karlskrona principles 2 and 4:** This stage goal is the  
465 design for easy assembly and disassembly using the sustainability analysis chart (see Figure 2) and  
466 indicators from the other phases as guide for integrating and testing the smart home system.

467 **Phase 7 (Implementation) with Karlskrona principles 5 and 7:** The following were goals of  
468 this phase: design for easy use, design to induce conscious sustainability awareness and design to  
469 educate users about sustainability which was influenced by the sustainability concept of  
470 biomimicry. These are used as indicators: The change in developers coding practice based on  
471 sustainability consideration, the effectiveness of functions added due to sustainability requirements  
472 such as percentage of energy saved during system usage, the accuracy in the eco-feedback based on  
473 the energy usage pattern of users, understandability of presented information on CO<sub>2</sub> emission and  
474 amount of saved trees based on reduce energy usage over time.

475 **Phase 8 (Sustainment / Maintenance) with Karlskrona principle 9:** This phase covers the  
476 long term goal of the smart home system such as design for serviceability and design for continuous  
477 user engagement through sustainability awareness (eco-feedback). The indicators used in this phase  
478 are: the efficiency of data generated in optimizing the smart home system, the effectiveness of the  
479 eco-feedback to improve user behaviour, the total percentage of energy saved over time and the  
480 backlog management index (BMI).

481 Switching to a process perspective, we now try to understand what the effects of the principles  
482 detailed in the SSDC for smart home solution (see Table 4 and 5) mean for a process engineer based  
483 the above example for smart home system. For improving the software development process, the  
484 principles considered relevant for the example at hand were principles 2 (multi-dimension), 4  
485 (independent of purpose), and 9 (long-term thinking). Principles 2, 4 and 9 are further explained  
486 here as a way to show how the Karlskrona manifesto principles influence decisions for development  
487 of the solution for the above scenario of smart home.

488 Principle 2 from the SSDC highlighted the need for cross platform compatibility, which comes  
489 during the project definition of the Software Development Life Cycle (SDLC) as an initial step to put  
490 this goal in motion throughout the whole software development. It also becomes relevant during the  
491 user requirements elicitation when speaking to different stakeholders all the way through the  
492 implementation and training.

493 Principle 4 emphasizes the need to educate smart home users about how their action and  
494 inaction affects the environment through their usage of smart home devices. This principle comes  
495 into play from the user requirements stage down to the implementation. Even if the users are not  
496 interested in sustainability, as software developers, designers and engineers, it is our responsibility  
497 to inform users about the benefit of sustainability during requirement gathering [66], as seen in the  
498 example of Henry from the earlier example in the beginning of this section. Efforts to educate users  
499 also go into the documentation processes, as at some point in the system development, the  
500 developer needs to create user documentation and it should include information about energy  
501 usage, as well as ways of saving resources.

502 Principle 9, which is about reducing production and solution cost, plays a vital role from the  
503 beginning of the SDLC in project definition and planning from management side. It is important to  
504 identify choices that benefit both the current and future users, as well as to identify how the solution  
505 can be cost beneficial to encourage more users. The business analyst takes charge of this before  
506 moving on to the user requirements stage and this issue is monitored throughout the whole project  
507 development.

508 Again, to see the practical application of this, let's consider an **application scenario:**

509 A company named Energy Life, based on the SSDC analysis decides to provide a game like menu to control  
510 smart home devices for a family named Miralles. The family only wants to reduce energy cost in their  
511 household. Mark, the deployment manager, has read the SSDC for smart home (see Table 4 and 5), pilot  
512 framework (Figure 1) Table 6 (Framework description) and the sustainability analysis for smart home solution  
513 (see Figure 2), he realizes the best way to help reduce the energy cost of the Miralles is to create an effective way  
514 to educate them about their daily habits through the smart home technologies that he will deploy in their home.

515 Mark therefore creates a game-like menu for the Miralles to control their smart home devices. It provides  
516 information about the energy consumption of each home device and notifies them of their energy usage. Within  
517 a few days the Miralles are able to see the flow of their energy usage and how their daily habits impact the  
518 unnecessarily high-energy use within their household. The game provides the family tips on how they can save  
519 energy and also based on the information about their energy usage, they are able to identify the amount of  
520 energy consumed by their washing machine. So, for example, they decide not to run a half empty washing  
521 machine again as they can see that this happens almost every day, and even with the half-load mode it would  
522 make more sense to run a full one every two days instead. The Miralles also started a new habit of reminding  
523 each other to switch off the computer, TV and other household appliances when they are not in use.

524 Later on, when the company wants to update their system, they revisit those orders of effect and the  
525 different dimensions and thought about what may and may not have changed in order to improve their system  
526 to be more efficient and effectively with regards to sustainability.

527 Base on the definition of a smart home by Nicholl et al. [67] as “dwellings that use integrated  
528 communication systems to monitor and manage the performance of the home, and to support the  
529 lifestyle choices of the occupants.”, the above scenario shows potential avenues of using automation  
530 to support sustainability in smart home solution through:

- 531 • Automatic analysis of user data to provide educative information in the GUI to induce  
532 sustainable behaviour among users,
- 533 • Alerting user through notification when electrical devices or appliances are running without  
534 being used,
- 535 • Automatic scheduling of task such as washing cloths and dishes when energy rate is low during  
536 the day, and
- 537 • Projecting when to turn on/off heating and lighting based on season and user behaviour  
538 (prediction).

539 Though the challenge of most smart homes is that most of the technology today does not have a  
540 standard interface that can facilitate cross platform compatibility as highlighted by Makonin et al.  
541 [65] and in the design catalogue (see Table 1), there is still potential to improve and overcome this  
542 challenge by smart home devices companies.

## 543 7. Discussion

544 The SSDC provides a comprehensive overview of sustainability design considerations and  
545 requirements for systems and applications in different domains. The pilot framework for  
546 sustainability of software design exemplifies the use of the SSDC. For example the sustainability  
547 analysis chart during the analysis phase of SDLC for the smart home system provides a variety of  
548 information for different stakeholders for the direct, indirect and structural effects of sustainability  
549 in smart home design and deployment. This information can then be used to create or enhance  
550 processes, methods and tools that can automate the incorporation of sustainability into the design of  
551 smart home solutions. Specifically, in Section 6 we propose use of a template for Sustainability  
552 Analysis (see Figure 2), a sustainability analysis diagram that contains a sector for each dimension,  
553 and uses the rings around the centre to depict the orders of impact.

554 The indicators from each phase of the SDLC while applying the pilot framework provide a way  
555 to evaluate the process and derivative from each of the SDLC phase influenced by different  
556 sustainability concepts which aided sustainability goals for each of the SDLC phases. The  
557 application of sustainability methods and tools used illustratively, such as sustainability business  
558 canvass, goal model and sustainability chart diagram, provides developers and engineering with a



559 way to structurally elicit and manage sustainability requirements and monitor system impacts  
560 (immediate, enabling and structural).

561 The analysis diagram allows for the elicitation of facts around the impact of the system on its  
562 application environment, society, economy, and the natural environment, and gives an overview of  
563 potential long-term consequences. Figure 2 depicts an instance of such a Sustainability Analysis  
564 diagram for the smart home solution. In addition to dimensions (sectors), orders of impact (rings),  
565 and the actual effects (black text in the fields), the roles of responsible stakeholders are identified in  
566 the white text in the fields.

567 The design catalogue and the underlying pilot framework can be beneficial for the following  
568 stakeholders interested by sustainability, its engineering and integration in/for software systems  
569 design and development:

- 570 • For companies and software developers, serve as guide on how sustainability can be  
571 incorporated into software design and development. It can also enable them to identify  
572 effects of their project on technical, economic, social, individual, and environmental  
573 sustainability. Furthermore, we support the current revision of the ACM code of ethics  
574 and propose to incorporate sustainability principles and explicitly acknowledge the need  
575 to consider sustainability as part of professional practice [11].
- 576 • For the standardization organizations can benefit from it to create future standards for  
577 software and organizational sustainability. SSDC shows areas where software  
578 applications can impact the environment and humans, and this information can help  
579 create standards that would encourage companies and stakeholders to improve existing  
580 and new applications and policies to promote sustainability.
- 581 • For Public Authorities will be able to use the information from the catalogue to enact new  
582 laws persuading industry practitioners to design software systems, application and  
583 devices in a more sustainable manner.
- 584 • For academic institutions, it helps to identifying avenues to advance research on the  
585 sustainability by design, sustainability design patterns and tools support, among others.

## 586 8. Conclusions

587 An effective sustainability engineering and integration requires clarifying the current  
588 perceptions of sustainability and defining a concrete framework for its engineering and measuring.  
589 As first milestone, this paper presented a catalogue that quantify sustainability via a series of  
590 guidelines that can be used for incorporating sustainability into the design loop.

591 By analysing how the principles defined in the Sustainability Design Manifesto can be applied  
592 for some specific systems, we are able to identify a series of guidelines and develop the foundations  
593 for a “sustainability by design” approach. First, we reviewed the current perceptions of  
594 sustainability for various types of systems. Further, based on how sustainability has been perceived  
595 in three other fields, and in light of the basic assumptions behind the Karlskrona Manifesto, the  
596 SSDC has been defined. Each guideline is defined as a set of principles, dimensions of sustainability,  
597 orders of impact, and indicators. The usage and applicability of the catalogue have been  
598 demonstrated for four types of systems.

599 Future research includes examining other types of systems and the application of the guidelines  
600 in an industry settings. This will give better insights for the development of the guidelines for  
601 different types of systems and its usages by the diverse stakeholders in the software development  
602 lifecycle. An important aspect of its validity is that the catalogue was created based on the expertise  
603 of the wide set of researchers involved in the sustainability design manifesto. Considering the fact  
604 that sustainability in software engineering is still evolving, the SSDC provides a common ground for  
605 further research. Another limitation of its validity is the industry is not yet highly involved in  
606 sustainability concerns. Consequently, the provided theoretical validation can only serve as outline  
607 for an empirical evaluation to be conducted in future.

608 The SSDC also has automation potential in the future. The design catalogue can become the  
609 basis for a recommender system. This would help developers to identify and apply effectively the



610 sustainability guidelines. However, this requires more case studies to building a knowledge base  
 611 required by a recommender system. The automation will provide a practical guide to enable  
 612 developers during the each stage of the design and development to understand and incorporate the  
 613 Karlskrona principles, sustainability goals, concepts, tools and methods with indicators that can help  
 614 in evaluation of the software system.

615 Finally, this paper provides a foundation (via the SSDC and pilot framework) for the software  
 616 engineering community to design and engineer sustainability.

617 **Author Contributions:** For S.O. conceived the idea of the paper; S.O. and B.P designed the research  
 618 methodology; Investigation and Data collection S.O.; Data Validation B.P. and A.S.; S.O. wrote the paper and all  
 619 authors contributed to reviewing all section; Review & Editing Supervision B.P and A.S.

620 **Funding:** This research received no external funding

621 **Acknowledgments:** Authors are grateful to the researchers who initiated the Karlskrona manifesto principles  
 622 which was used in this article.

623 **Conflicts of Interest:** The authors declare no conflict of interest

## 624 Appendix A

625 **Table 7.** Sustainability Analysis of Embedded System (Washing Machine) Based on Karlskrona Principles

Karlskrona Principles and Goal	Current Principle Usage	Future Usage	Principle	Stakeholders	Question	Indicator
(P 9) Efficient water usage	Current washing machine has some design features to help reduce water wastage during washing circle.	Good mechanism within the washing machine to aid efficient use of water during washing cycle and also display the amount of water saved to users. This will serve as means of educating users about water wastage. This will aid positive impact on the amount of water usage in a household.		Software Developer	Does the washing machine reduce water usage?	Washing Cycle/Total amount of water used
(P 8, 6) Energy Efficiency	Some current sets of washing machine has eco-friendly features to reduce energy usage.	One good feature to reduce energy usage of washing is to turn off or hibernate automatically after washing cycle if idle for 2 minutes. It will help reduce energy cost (P 6) and also reduce resource usage on the long term (P 8). This will help reduce energy consumption		Software Developer	Does the machine use too much energy for a single washing cycle?	Energy Efficiency (Washing Cycle /Total amount of energy used)

		when machine is idle. Incorporate the use of scheduler to the washing machine as a way to time when the washing cycle should start during the period of the day when energy cost is less.			
(P 8/9) Water efficiency	Allows collection of grey water from washing machine	Encourage reuse of greywater (with biodegradable laundry detergent) in garden watering.	Business Analyst	How much of the greywater gets reused afterwards?	Percentage (%) of reused water

626  
627**Table 8.** Sustainability Dimensions Order of Impacts for Embedded System (Washing Machine)

Order of Impacts	Environment	Economic	Technical	Social	Individual
1 <sup>st</sup>	Increase in the use of natural resources in the production of washing machine components such as iron, copper, Medium-density fibreboard, EPDM rubber (ethylene propylene diene monomer).	High demand for natural resources boost the economies of those natural resources	Increase demand for new technologies, tools and equipment for extracting raw materials.	New job opportunities for people	Increase the risk of having skin diseases due to toxic material exposure
2 <sup>nd</sup>	Increase in water and energy usage when using a washing machine as opposed to manual washing	High energy cost for household as a result of increase in energy usage due to ease of washing cloths (convenience factor and little manual labour).	Demand for energy and water saving mechanism in washing machine.	More job opportunities for technicians with knowledge of washing machine technologies	Increase the ease of washing for users
3 <sup>rd</sup>	Over a long time it lead to increase water usage and a culture of washing a lot	Increase in profit for washing machine production	Over the time there will be pressure to build a more energy and	Increase job creation over time both from industry for skilled	Over a long time it lead to increase water usage and a culture

(e.g., California ;) companies water efficient workers and in of washing a  
 In turn, that leads with high washing household for lot (e.g.,  
 to a higher wear demand for machine from technicians to California ;) & tear of the washing machine from to have issues of leads to a  
 hardware. machine from to have issues of leads to a  
 users. competitive washing higher wear  
 edge over machine. & tear of the  
 other hardware  
 competitors.

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Table 7 and 8 provides information on sustainability analysis of embedded system (washing machine) using the Karlskrona principles and sustainability dimensions based on usage of order of impacts. Based on output from Table 7 and 8, water and energy efficiency as key objectives of sustainability for a washing machine.

**Table 9.** Sustainability Analysis of Mobile Games (Angry Bird) Based on Karlskrona Principles

Karlskrona Principles and Goal	Current Principle Usage	Future Principle Usage	Stakeholders	Question	Indicator
(P 1) Energy Efficient	Currently game development focus more on usability and fun factor aspect than sustainability aspect.	Create the mobile game architecture with sustainability consideration. Since sustainability is systemic, it should be core of the application structure. Consider energy efficiency during game development. Incorporate Green patterns to game application development	Software Developer	Is the mobile energy Efficient?	(Energy Efficiency) useful-work done/energy used
(P 1) Reduce wear and tear of hardware	Though sustainability is not the core of current game development practices, though game developer tries to ensure optimal use of	Ensure that the mobile game during operation uses hardware resources (Memory, CPU etc.) in efficient and sustainable manner to	Software Developer	What is the impact of the game on hardware component s like CPU and RAM	Does the game use too much hardware resources?

	hardware resources to make game run faster and better on hardware (phone, computer, tablets)	reduce wear and tear.			
(P 2) Sense of belonging to a community and connectedness to other people	Current Game development provide sort of community for it users in form of forums and groups online.	There is a digital community surrounding most games and the question is how compares does to face to face communities for gamers. Create a community that make gamer's feel connected to both the digital and real world. Make gamers feel like they are part of something (people always want to belong to a tribe).	Business Analysts, Software Developer	Is there a sense of community amongst players?	Connectedness in community? Number of 'friends' in a particular gaming community?
(P9) Good user experience	Current game development incorporates user experience into their production to gain more user base and profit.	Game should use reasonable lighting effect for the display which can help reduce energy usage and also incorporate sustainability concept in the total overall design of the game. It can also add features that will educate users about sustainability.	UX and software Developer	Can users complete their task easily?	Gateway Metrics

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**Table 10.** Sustainability Dimensions Order of Impacts for Mobile Games (Angry Birds)

Order of Impacts	Environment	Economic	Technical	Social	Individual
1 <sup>st</sup>	Increase in energy usage from computers and mobile devices used for developing and testing game application	Cost of production for game development companies	Increase in demand of sophisticated hardware and software for game development	Open job opportunities for game developers	Provides avenues for leisure activities for users
2 <sup>nd</sup>	Increase in energy usage because user are using mobile phone, laptops, iPad to play game. There will also be need for charging of these devices coupled with the energy consumption when playing the game	Company makes profit from game purchase. Increase demand and user cost for hardware (computers, phones and tablets).	Demand for better graphics and quick game response from users.	Create community sense among angry bird users.	Demand for good user experience while interacting with the game
3 <sup>rd</sup>	Overtime leads to hardware wear and tear because of continuous game time from user side on computer/Phones and continuous game development from game production company side.	Increase in profit from huge user and fan base for the game company.	Demand for newer features and innovation from customers	Increase the sense of belonging in form of community among users	Lead to game addiction and lack of social interaction with outside world.

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Table 9 and 10 provides information about sustainability analysis for mobile game (Angry Bird) centred on Karlskrona principles and sustainability dimensions based on order of impacts. Table 9 provides points at energy efficiency, reduction of wear and tear of hardware and creating sense of



644 belong to community among users as key goals of sustainability for stakeholders. In addition, details  
645 from Table 10 prompts the need to aspire for these goals from all sustainability dimension:

- 646 • **Environment:** Optimize energy and computing resource consumption during game  
647 development and when users are playing game
- 648 • **Economic:** Provide continuous innovation on the game features to encourage current users  
649 to keep playing the game and attract new users and ensure game is maintainable (longevity)
- 650 • **Technical:** Ensure that game does not encourage quick hardware wear and tear and at same  
651 time has the ability to evolve with new demands of the market
- 652 • **Individual:** Good user experience while interacting with the game and serves as a medium  
653 of inducing sustainable behaviour.
- 654 • **Social:** Create good community sense among angry bird users and educate them about  
655 sustainability

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657 **Table 11.** Sustainability Analysis of Desktop Application (Microsoft Office) Based on Karlskrona  
658 Principles

Karlskrona Principles and Goal	Current Principle Usage	Future Principle Usage	Stakeholders	Question	Indicator
(P1) Incorporate sustainability into development process	Current development framework allow the use of scrum and version control	Provide a development framework that support sustainability focusing on the software and those developing the software itself.	(Development) Process Engineer	Are guidelines available?	Boolean (Yes or No)
(P8) Offer reasonable amount of features	Currently office application comes with all the features which sometimes are rarely used by users	Provide all basic features for office application and allow users to add other features when needed.	Business Analyst and Software Developer	What is the amount of changes to be made to add new features?	Rework Metric
(P9) Add green print (and let user know how many pages they save over time)	Users can print any document as their need requires	Incorporate Green print to office application that inform users whenever they want to print a document for the second time that they can skip few pages because changes were not made on those pages	Software Developer	Do people print less by using this green print button?	Number of pages printed compared to if there was no green button

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663 **Table 12.** Sustainability Dimensions Order of Impacts for Desktop Application (Microsoft Office)

Order of Impacts	Environment	Economic	Technical	Social	Individual
1 <sup>st</sup>	Reduce the amount of manual writing on papers in turn reduce the amount of papers used by people for writing.	Open new potential market for company to explore	Ensure the ability of Word application to meet new market demands from the technical implementation aspect	Creates new job opportunities for those that are expert with most of the office applications.	Provide efficient way of doing daily task such as documentation, project management and design.
2 <sup>nd</sup>	Reduce paper resource wastage	Increase in profit for Microsoft and other partner companies through sales of office application	Increase in use of computers for development of add-ons in office applications	Provides a feeling among users.	Guarantee that user will easily finish their task while using the application for their day to day work.
3 <sup>rd</sup>	It will increase energy usage over time do to ease of doing daily task on computers, phones and tablets.	Rise in company's profit through product innovation as demands changes from generation to generation	Demand for new features to meet new demands	Improve connectedness among users through collaboration online	Improves the overall ability of users completing their task (documentation, project management and design)

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665 Table 11 and 12 provides information on sustainability analysis of Desktop application  
 666 (Microsoft Office) using the Karlskrona principles and sustainability dimensions based on order of  
 667 impacts.

668 **References**

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