

1 Article

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Efficient Shielding of Polyplexes using 3 Heteroterechelic Polysarcosines

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16 **Abstract:** Shielding agents are commonly used to shield polyelectrolyte complexes, e.g. polyplexes,
17 from agglomeration, precipitation in complex media, like blood, and thus enhance their circulation
18 times *in vivo*. Since up to now primarily poly(ethylene glycol) (PEG) has been investigated to shield
19 non-viral carriers for systemic delivery, we report on the use of polysarcosine (pSar) as a potential
20 alternative for steric stabilization. A redox-sensitive, cationizable lipo-oligomer structure
21 (containing two cholanic acids attached via a bioreducible disulfide linker to an oligoaminoamide
22 backbone in T-shape configuration) was equipped with azide-functionality by solid phase
23 supported synthesis. After mixing with small interfering RNA (siRNA), lipopolyplexes formed
24 spontaneously and were further surface-functionalized with polysarcosines. Polysarcosine was
25 synthesized by living controlled ring-opening polymerization using an azide-reactive dibenzo-aza-
26 cyclooctyne-amine as an initiator. The shielding ability of the resulting formulations was
27 investigated with biophysical assays and by near-infrared fluorescence bioimaging in mice. The
28 modification of ~100 nm lipopolyplexes was only slightly increased upon functionalization. Cellular
29 uptake into cells was strongly reduced by the pSar shielding. Moreover, polysarcosine-shielded
30 polyplexes showed enhanced blood circulation times in bioimaging studies compared to unshielded
31 polyplexes and similar to PEG-shielded polyplexes. Therefore, polysarcosine is a promising
32 alternative for the shielding of non-viral, lipo-cationic polyplexes.33 **Keywords:** shielding agent, polysarcosine, biodistribution, click-chemistry, lipopolyplex, nucleic
34 acid carrier

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1. Introduction

37 Therapeutic nucleic acids are powerful tools, which can be used to specifically control gene
38 expression inside cells [1-5]. For several diseases, including severe metastatic tumors, systemic
39 delivery is required to achieve therapeutic effect. Naked oligonucleotides have limited stability in
40 biological fluids because they are actively targeted and degraded by nucleases. Although this issue
41 might be addressed by the incorporation of chemical modifications [6,7], the renal clearance of small
42 oligonucleotides or siRNA usually occurs within a few minutes, which limits the time to reach their
43 desired site of action [8,9]. Liposome-based formulations, lipo-polymer micelles, and polymer-based
44 complexes increase the size usually beyond the renal cut-off and thus enhance circulation times,
45 whenever a stealth-like corona protects the systems from unspecific aggregation [6,10-21]. Various

46 polycations, cationic lipids and combinations with helper lipids are used to form polyplexes
47 [4,14,16,17,22-24], lipoplexes or lipid nanoparticles (LNPs) [1,11,25-30]. Precise editing of the
48 components' chemical structure enables the fine-tuning of a carrier's stability and size, but also other
49 properties, which are important for the delivery process like cellular uptake, endosomal escape
50 ability, and cell tolerability [31-34].

51 Solid phase-supported synthesis (SPS) is a very convenient and precise way to optimize a
52 delivery system in a step-wise manner [18,35]. Recently, we developed our own customized amino
53 acids, such as succinoyl tetraethylene pentamine (Stp), which contains of short defined repeats of the
54 diaminoethane motif prepared in boc/fmoc protected form. With artificial building blocks, natural α -
55 amino acids and fatty acids we sequentially synthesized monodisperse cationic oligomers via SPS,
56 which are highly adaptable to different demands in the field of gene delivery [8,12,18,31-33,36-39].
57 By precise incorporation of a bioreducible cleavage site between the cationic and a lipophilic block,
58 for instance, it was possible to destabilize polyplexes only after reaching the cytoplasm of the cell
59 [39]. Thereby the carrier system remained stable in serum and transfection efficiency as well as cell
60 viability could be increased in certain cell lines.

61 Besides size and stability, the surface character of a nanoparticle is of utmost importance for its
62 systemic delivery. Shielding agents attached to the surface prevent interactions with neighboring
63 particles and/or blood components, which usually leads to extended circulation in the body's
64 bloodstream [40-42]. Already in 1990 it could be demonstrated that polyethylene glycol (PEG) could
65 extend the blood circulation half-life of systemically administered liposomes from <30 min to several
66 hours [40]. Its hydrophilic character enables PEG to generate a hydrated shell covering the
67 nanoparticles and thereby sterically reduce unwanted interactions with biomolecules or other poly-
68 or lipoplexes [43]. PEG is the most prominent shielding agent and has often been used to shield
69 cationic polyplexes in numerous applications [44-49]. A major drawback, however, is that more and
70 more researchers in academia or industry observe immune responses towards PEGylated
71 nanoparticles [43,50-55]. For this reason several new alternatives were evaluated for shielding, such
72 as natural proteins [56], oligosaccharides [57,58], poly(*N*-(2-hydroxypropyl)methacrylamide)
73 (pHPMA) [58-60], hydroxyethyl starch (HES) [61] or polypeptides (poly(glutamic acid) [62],
74 poly(hydroxyethyl-L-asparagine) [63], poly(hydroxyethyl-L-glutamine) [63], prolin-alanin-serin
75 motif (PAS) [64,65]). Nevertheless, according to the Whiteside's rules for protein resistant surfaces an
76 ideal alternative to PEG should mimic its chemical properties, being a hydrophilic, non-charged
77 polymer and a weak hydrogen acceptor without donor properties, which is not the case for all above-
78 mentioned polymers. In contrast, polysarcosine fulfills all the described criteria and has already
79 demonstrated protein resistant properties on various surfaces [66-68]. In addition, it can be also
80 synthesized by living controlled ring opening polymerization of the corresponding *N*-
81 carboxyanhydrides (NCA) [69,70]. However, *in vivo* data on polysarcosine is rarely reported in
82 literature [71]. In contrast to polypeptides, the side chain of polypeptoids is situated at the nitrogen
83 rather than the α -carbon, in the case of pSar the nitrogen is methylated. As a result, polysarcosine
84 adopts a random coil conformation in aqueous solution and possesses a comparable second virial
85 coefficient and molecular weight dependency like PEG [72]. All these properties provide a high
86 resistance against protein adsorption [73] and make it in theory an ideal material for shielding
87 electrostatic complexes *in vivo* [74]. Importantly, it has been reported that polysarcosine has so far
88 demonstrated neglectable complement activation or immunogenicity in mouse, rat and rabbit animal
89 models [75,76]. And pSar-shielded polyplexes, micelles, colloids and nanohydrogels demonstrated
90 the absence of aggregation in human serum [77-80].

91 In the current work, we have incorporated azide domains into a previously described redox-
92 sensitive T-shaped bis-(cholanic acid amido) oligoaminoamide siRNA carrier system [39] and used
93 strain-promoted azide-alkyne cycloaddition (SPAAC) reaction to equip the surface of lipopolyplexes
94 with ~8 kDa polysarcosine (DP=119) chains. We report on the ability of polysarcosine to shield siRNA
95 lipoplexes and analyzed the *in vivo* stability and biodistribution after intravenous administration into
96 mice. In a second approach, we modified the system with a folate ligand to target the folate receptor
97 overexpressed on certain cancer cells [81-86].

98 **2. Materials and Methods**99 *2.1 Materials*

100 Protected Fmoc- α -amino acids, 2-chlorotriyl chloride resin, *N,N*-dimethylformamide (DMF),
101 *N,N*-diisopropylethylamine (DIPEA) and trifluoroacetic acid (TFA) for solid-phase syntheses were
102 purchased from Iris Biotech (Marktredewitz, Germany). Triisopropylsilane (TIS), 1-
103 hydroxybenzotriazole (HOBr), 5 β cholic acid. Dimethylformamide (DMF) for DBCO-PSar
104 syntheses was purchased from Acros Organics (99.8% Extra), further dried over CaH₂ and
105 fractionally distilled *in vacuo*. Folic acid (FolA) was purchased from Acros Organics (96–102% pure).
106 Triethylamine (TEA) and *N,N*-diisopropylethylamine (DIPEA) were dried over NaOH and
107 fractionally distilled *in vacuo*. (Benzotriazol-1-yl) tripyrrolidino phosphonium
108 hexafluorophosphate (PyBOP), 2-(1H-Benzotriazol-1-yl)-1,1,3,3-tetramethyluronium-
109 hexafluorophosphat (HBTU) and microreactors were obtained from MultiSynTech (Witten, Germany).
110 Cell culture media, antibiotics and fetal calf serum (FCS) were purchased from Invitrogen (Karlsruhe,
111 Germany), HEPES from Biomol GmbH (Hamburg, Germany), glucose from Merck (Darmstadt,
112 Germany), agarose (NEEO Ultra-quality) from Carl Roth GmbH (Karlsruhe, Germany), and
113 GelRedTM from VWR (Darmstadt, Germany). Cell culture 5 \times lysis buffer and D-luciferin sodium salt
114 were obtained from Promega (Mannheim, Germany). Ready-to-use siRNA duplexes were obtained
115 from Axolabs GmbH (Kulmbach, Germany): eGFP-targeting siRNA (siGFP) (sense: 5'-
116 AuAucAuGGccGAcAAcGcAdTsdT-3'; antisense: 5'-UGCUUGUCGGCcAUGAuAUdTsdT-3') for
117 silencing of eGFLuc; control siRNA (siCtrl) (sense: 5'-AuGuAuuGGccuGuAuuAGdTsdT-3';
118 antisense: 5'-CuAAuAcAGGccAAuAcAUdTsdT-3'); Cy5-labeled siRNA (Cy5-siAHA1) (sense: 5'-
119 (Cy5)(NHC6)GGAuGAAGuGGAGAuuAGudTsdT-3'; antisense: 5'-
120 ACuAAUCUCcACUUcAUCCdTsdT-3'); Cy7-labeled siRNA (Cy7-siAHA1) (sense: 5'-
121 (Cy7)(NHC6)GGAuGAAGuGGAGAuuAGudTsdT-3'; antisense: 5'-
122 ACuAAUCUCcACUUcAUCCdTsdT-3') small letters: 2'methoxy; s: phosphorothioate. All other
123 chemicals were purchased from Sigma (Munich, Germany), Iris Biotech (Marktredewitz, Germany),
124 Merck (Darmstadt, Germany) or AppliChem (Darmstadt, Germany), Acros Organics, Alfa Aesar, or
125 Fluka.

126 *2.2 Synthesis of oligomers and DBCO shielding agents*127 *2.2.1 Synthesis of oligomers*

128 See supporting information for detailed description on syntheses of oligomers.

129 *2.2.2 Synthesis of sarcosine-*N*-carboxyanhydride*

130 The synthesis was performed as described in Klinker et al. [71] Sarcosine (15.16 g, 170.2 mmol,
131 1 eq) was weighed into a pre-dried three-necked flask and dried under vacuum for 1 hour. 300 mL
132 absolute (abs) THF was added under a steady flow of nitrogen. The apparatus was connected to two
133 gas washing bottles filled with aqueous sodium hydroxide solution. Diphosgene (16.26 ml,
134 134 mmol, 0.8 eq) was added slowly via syringe. The colorless suspension was heated to 70 °C
135 yielding a clear solution after 3 hours of stirring. The solvent was evaporated under reduced pressure
136 yielding a brown oil as crude reaction product. The oil was heated to 50 °C and dried under reduced
137 pressure to obtain an amorphous solid. The crude reaction product was redissolved in 60 mL THF
138 and precipitated with 300 mL abs n-hexane. The precipitate was filtered off under N₂-atmosphere
139 and dried with a stream of dry nitrogen for 60 - 90 minutes to remove residual traces of solvents. The
140 next day, the product was dried in high vacuum for 2 hours in the sublimation apparatus and
141 subsequently sublimated at 80 – 85 °C and <1x10⁻² mbar. The product was collected from the
142 sublimation apparatus in a glove box on the same day. Colorless crystals were obtained (50 – 67 %).
143 mp = 104.3 °C; ¹HNMR (300 MHz, CDCl₃) δ [ppm] = 2.86 (s, 3H, NH-CH₃), 4.22 (s, 2H, NH-CH₂-CO).
144
145

146 2.2.3 Synthesis of DBCO-PSar

147 DBCO-PSar was synthesized using ring-opening polymerization of SarNCA as described in
148 Klinker et al. [71] In a typical experiment, 461.8 mg of SarNCA (4.012 mmol) were transferred under
149 nitrogen counter flow into a pre-dried Schlenk-tube, equipped with a stir bar and again dried in
150 vacuum for 30 minutes. The NCA was then dissolved in 3.5 ml of dry DMF. A stock solution of
151 DBCO-amine (0.074 mmol, 1/110 eq, M/I = 110) in 2 mL DMF was prepared and 1 mL of this stock
152 solution were added to the monomer solution via syringe. The solution was stirred at 40 °C and kept
153 at a constant pressure of 1.25 bar of dry nitrogen via the Schlenk-line to prevent impurities from
154 entering the reaction vessel while allowing CO₂ to escape. Completion of the reaction was confirmed
155 by IR spectroscopy (disappearance of the NCA peaks (1853 and 1786 cm⁻¹)). Directly after completion
156 of the reaction, the polymer was precipitated in cold diethyl ether and centrifuged (4500 rpm at 4 °C
157 for 15 min). After discarding the liquid fraction, new ether was added and the polymer was
158 resuspended using sonication. The suspension was centrifuged again and the procedure was
159 repeated. The polymer was then dissolved in H₂O and lyophilized to obtain a fluffy powder (279.7
160 mg, 98 %). ¹H-NMR: (400 MHz, DMSO-d₆): δ [ppm] = 0.88 – 0.79 (m, ini, 9H, -C(CH₃)₃), 2.58 - 3.11 (br,
161 3nH, N-CH₃), 3.73 - 4.57 (br, 2nH, -CO-CH₂-N), = 7.86 – 7.10 (m, 8H, benzylic protons).

162 2.2.4 Synthesis of DBCO-PSar-Ac

163 DBCO-PSar₁₁₉ (M_n = 8735 g mol⁻¹) (36 mg, 0.004 mmol), acetic anhydride (4.2 mg, 3.9 μL, 0.04
164 mmol), and triethylamine (10.7 mg, 14.6 μL, 0.08 mmol) were dissolved in absolute DMF (1 mL) and
165 stirred at 25 °C for 24 h under an argon atmosphere. Subsequently, the polymer was precipitated in
166 diethyl ether, extensively dialyzed against water (MWCO = 3500 g mol⁻¹), and lyophilized. Yield after
167 dialysis: 25 mg (69%).

168 2.2.5 Synthesis of DBCO-PSar-FolA

169 DBCO-PSar₁₁₀ (M_n = 8095 g mol⁻¹) (55.8 mg, 0.007 mmol) was separately dissolved in absolute
170 DMSO. Folic acid (30.4 mg, 0.068 mmol), HBTU (26.1 mg, 0.068 mmol), and HOEt (9.31 mg, 0.068
171 mmol) were dissolved in DMSO and cooled to 0 °C. DIPEA (17.8 mg, 24.0 μL, 0.138 mmol) was added
172 and the mixture was left to react for 30 minutes at 0 °C. The in situ formed activated ester was added
173 to the predissolved polymer and the reaction mixture was stirred at 25 °C for 24 h under an argon
174 atmosphere. The crude reaction product was purified by size exclusion chromatography in DMSO
175 using a Sephadex LH-20-packed column. The purified conjugate was lyophilized from water. Yield
176 after SEC: 31 mg (55%).

177 2.2.6 Gel permeation chromatography

178 Polymer molecular weight and dispersity index were determined by gel permeation
179 chromatography (GPC). GPC in hexafluoro-2-propanol (HFIP) was performed with 3 g L⁻¹ potassium
180 trifluoroacetate (KTFA) at 40 °C. The columns were packed with modified silica (PFG columns,
181 particle size: 7 μm; porosity: 100 and 1000 Å). A refractive index detector (G 1362A RID, Jasco) and a
182 UV/vis detector (UV-2075 Plus, JASCO, λ=230 nm; λ=330nm for folic acid detection) were used to
183 detect the polymer. Molecular weights were calculated using calibration performed with PMMA
184 standards (Polymer Standards Services GmbH). Toluene was used as the internal standard.
185

186 2.2.7 UV-vis spectroscopy

187 UV-vis absorbance spectra were recorded using a spectrophotometer V-630 (Jasco) with water
188 being the solvent.
189
190
191

192 *2.3 Formation of siRNA polyplexes*

193 siRNA was dissolved in 20 mM HEPES buffered 5% glucose pH 7.4 (HBG) at a concentration of
194 50 ng/µL for *in vitro* experiments and 500 ng/µL for *in vivo* experiments. According to the indicated
195 nitrogen/phosphate (N/P) ratio, the oligomer solution was prepared in a separate tube. Only
196 protonatable nitrogens were considered in the N/P calculation. The same volume of siRNA solution
197 was added to the oligomer. The mixture was rapidly pipetted at least five times and incubated for
198 40 min at RT resulting in a polyplex solution with 25 or 250 ng of siRNA/µL respectively.

199 *2.4 Functionalization of polyplexes with DBCO reagents*

200 For functionalization of siRNA polyplexes with DBCO click agents, solutions with reagents were
201 prepared in ¼ of the volume of polyplex solutions prepared before. The concentration of the solution
202 was calculated according to the respective equivalents (eq). Equivalents represent the molar ratio of
203 shielding agent to oligomer in the polyplex solution. The reaction time was 16 h for biophysical and
204 *in vitro* assays and 4 h for *in vivo* experiment respectively.

205 *2.5 siRNA binding assays*

206 siRNA binding assays were performed analogously as described in Klein et al. [86]. A 1%
207 agarose gel was prepared by dissolving agarose in TBE buffer (10.8 g of trizma base, 5.5 g of boric
208 acid, 0.75 g of disodium EDTA, and 1 L of water) and subsequent boiling. After cooling down to
209 about 50 °C, GelRed™ was added. Formulations were prepared with 50 ng of siRNA. Samples were
210 placed into the pockets after 4 µl of loading buffer (prepared from 6 mL of glycerine, 1.2 mL of 0.5 M
211 EDTA, 2.8 mL of H₂O, 0.02 g of bromophenol blue) was added. Electrophoresis was performed at
212 70 V for 60 min.

213 *2.6 Particle size and zeta potential measurements*

214 Dynamic light scattering (DLS) measurements of polyplex solutions were performed in a folded
215 capillary cell (DTS 1070) using a Zetasizer Nano ZS with backscatter detection (Malvern Instruments,
216 Worcestershire, UK). Polyplexes were formed using 2 µg siRNA in a total volume of 80 µL. For size
217 measurements, the equilibration time was 0 min, the temperature was 25 °C and an automatic
218 attenuator was used. The refractive index of the solvent was 1.330 and the viscosity was 0.8872 mPa·s.
219 Each sample was measured 3 times. For detection of the zeta potential, the sample was diluted to
220 800 µL volume with 10 mM NaCl solution. Measurements with at least 6 runs were performed. Zeta
221 potentials were calculated by the Smoluchowski equation. Ten to fifteen sub runs lasting 10 s each at
222 25 °C (n = 3) were measured.

223 *2.7 Cell culture*

224 The mouse neuroblastoma cells (Neuro2a) were cultured in DMEM low glucose medium
225 (Sigma, Munich, Germany). As FR-expressing cell lines, human cervix carcinoma cells (KB), and
226 human cervix carcinoma cells stably transfected with the eGFP Luc (enhanced green fluorescent
227 protein/luciferase) gene (KB/eGFP Luc) were cultured in folate-free RPMI 1640 medium (Invitrogen,
228 Karlsruhe, Germany). All media were supplemented with 10% FBS, 100 U/mL penicillin, and 100
229 µg/mL streptomycin. The cells were maintained in ventilated flasks in the cell incubator at 37 °C with
230 5% CO₂ in a humidified atmosphere. Cell lines were grown to 80-90% confluence and harvested.

231 *2.8 Cell association and internalization of siRNA polyplexes measured with flow cytometry*

232 For untargeted polyplexes, Neuro2a cells were seeded in 24-well plates with 5 × 10⁴ cells/well at
233 24 h before the experiment, and fresh growth medium was provided before the experiment.
234 Polyplexes containing 1.5 µg of siRNA (including 20% Cy5-labeled siRNA) were added into each
235 well incubated for four hours at 37 °C in 5% CO₂. Cells were then incubated with 500 I.U. heparin to
236 remove polyplexes non-specifically associated to the cell surface.

237 For folate-targeted polyplexes, KB cells were seeded in 24-well plates with 5×10^4 cells/well at
238 24 h before the experiment, and fresh growth medium was provided before the experiment.
239 Polyplexes containing 1.5 μ g of siRNA (including 20% Cy5-labeled siRNA) were added into each
240 well incubated 30 min on ice for cell association or 45 min at 37 °C in 5% CO₂ for cellular
241 internalization, respectively. Cells were washed with PBS to remove free polyplexes. For cellular
242 internalization, cells were then incubated with 500 I.U. heparin to remove polyplexes non-specifically
243 associated to the cell surface.

244 Finally, cells were collected and resuspended in PBS buffer with 10% FBS. All samples were
245 measured by flow cytometry with CyanTM ADP (Dako, Hamburg, Germany) through excitation at
246 635 nm, and detection of emission at 665 nm. Dead cells were differentiated by DAPI fluorescence
247 and removed by gating in order to analyze cellular uptake of polyplexes in living cells. Data were
248 analyzed by FlowJo 7.6.5 flow cytometric analysis software.

249 2.9 Confocal laser scanning microscopy (CLSM)

250 Neuro2a cells were seeded into an 8-well Lab-Tek chamber slide (Nunc) at a density of 3×10^4
251 cells/well in 300 μ L of growth medium 24 h prior to treatment. Polyplexes were formed as described
252 using a 1.5 μ g of a mixture of 80% of siCtrl and 20% Cy5-labeled siRNA and oligomer at N/P 12 in 60
253 μ L of HBG followed by the indicated agent in 20 μ L. Cells were incubated with 220 μ L of fresh growth
254 medium and polyplex solution was applied. For the uptake study, the incubation with polyplexes
255 was at 37 °C for 4 h. The growth medium was removed, cells were washed twice with 300 μ L of PBS
256 and fixed with 4% PFA solution for 30 min at room temperature. Cell nuclei were stained with DAPI.
257 A Leica TCS SP8 confocal microscope was used for image acquisition.

258 2.10 Mouse tumor model

259 Female six- to seven-week-old nude mice, Rj: NMRI-nu (nu/nu) (Janvier, Le Genest-Saint-Isle,
260 France), were housed in isolated ventilated cages under specific pathogen-free condition with a 12 h
261 light/dark interval and were acclimated for at least 7 days prior to experiments. Food and water were
262 provided *ad libitum*. Animals were injected subcutaneously with 5×10^6 Neuro2a cells. The body
263 weight was recorded, and the tumor volume was measured by caliper and calculated as
264 $[0.5 \times (\text{longest diameter}) \times (\text{shortest diameter})^2]$. All animal experiments were performed according
265 to guidelines of the German Animal Welfare Act and were approved by the local animal ethics
266 committee.

267 2.11 Biodistribution study

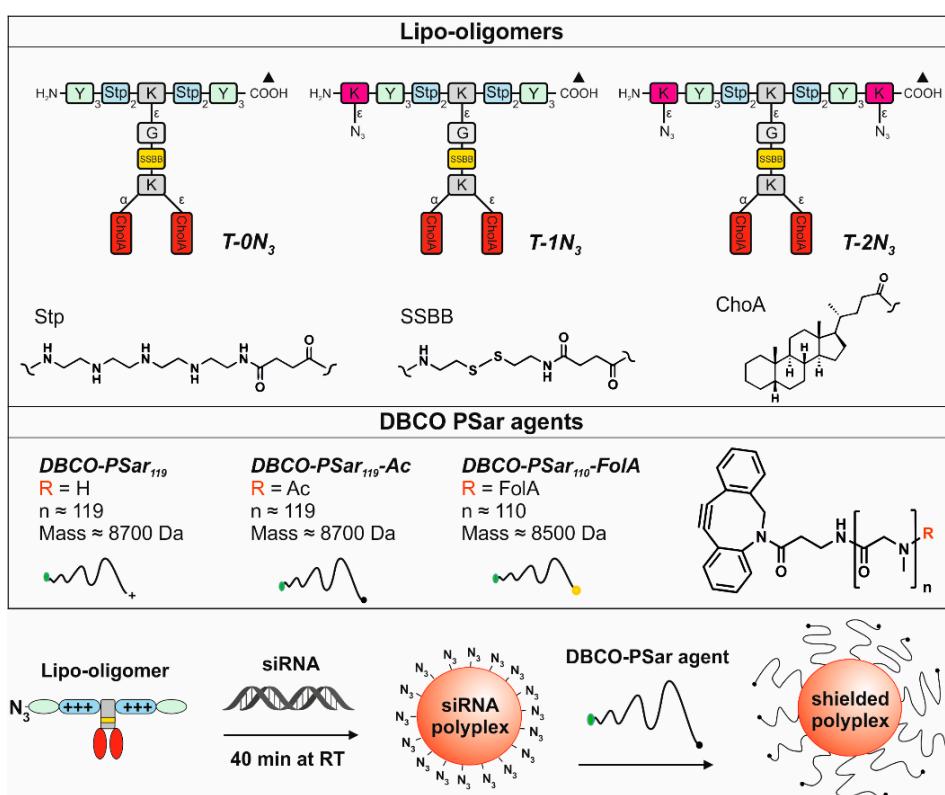
268 For near infrared (NIR) *in vivo* imaging, unlabeled control siRNA (siCtrl) was spiked with 50%
269 of Cy7-labeled siRNA (Cy7-siAHA1) in HBG. When tumors reached the size of 500 - 1000 mm³, the
270 mice (n = 2/per group) were anesthetized with 3% isoflurane in oxygen. siRNA polyplexes containing
271 50 μ g of Cy7-labeled siRNA (N/P 10) in 250 μ L (containing 100 μ L of siRNA solution, 100 μ L of
272 oligomer solution, 50 μ L of agent solution or buffer) of HBG were injected intravenously (i.v.), and
273 fluorescence was measured with a CCD camera at different time points. For evaluation of images, the
274 efficiency of fluorescence signals was analyzed after color bar scales were equalized using the IVIS
275 Lumina system with Living Image software 3.2 (Caliper Life Sciences, Hopkinton, MA, USA).

276 3. Results and Discussion

277 3.1 Design and synthesis of a lipo-oligomer for click chemistry

278 In previous work, we have established a new class of redox-sensitive lipo-oligomers prepared
279 by solid-phase supported synthesis (SPSS) to serve as carriers for siRNA delivery [39]. Beside
280 beneficial effects of lipid-based delivery systems, such as enhanced nanoparticle stability and
281 endosomal escape capability, the *in vivo* distribution is often limited to certain tissues, primarily liver,
282 lung and spleen [87-89]. In previous studies, it has been shown that T-shape oligomers similar to the

283 ones that were synthesized in this approach demonstrate the strongest retention in liver tissue [89,90].
 284 Those effects might be related to a high stickiness of cationic particles, but it is also possible that
 285 certain serum proteins, which incorporate onto nanoparticle surfaces may impair tissue specificity
 286 [88,91-93]. An efficient shielding should reduce both types of interactions and should enable a better
 287 distribution in the body. For this reason, one of the best performing candidates from redox-sensitive
 288 lipo-oligomers, T-shape structure *T-0N₃* (published as ID 992 in [39]) was chosen and extended by
 289 click-reactive azide functionality. After the formation of siRNA lipopolplexes, the particle surface
 290 was further modified with the shielding agents.
 291



292
 293 **Figure 1.** Overview of chemical compounds. Table, top: schematic illustration of sequence-defined
 294 oligomers with T-shape topology; *T-0N₃* (ID: 992 published [39]), *T-1N₃* (ID: 1073) and *T-2N₃* (ID:
 295 1086) with no, one or two terminal azidolysines K(N3). Other units of the oligomers: Y: tyrosine, K:
 296 lysine, G: glycine, Stp: succinoyl-tetraethylene-pentamine, ssbb: succinoyl-cystamine, CholA: 5 β -
 297 Cholanic acid. The broken lines represent amide linkages, the triangle (▲) is the starting point of the
 298 synthesis. IDs are unique database identification numbers. Table, bottom: structure of the shielding
 299 agents *DBCO-PSar₁₁₉*, *DBCO-PSar₁₁₉-Ac* and *DBCO-PSar₁₁₀-FolA*. Scheme of the formulation of a
 300 shielded polyplex.

301 *T-0N₃* was chosen as starting point for further modifications, because it forms stable siRNA
 302 polyplexes with sizes below 200 nm hydrodynamic diameter, which show high transfection efficiency
 303 in mouse neuroblastoma (Neuro2a) cells. This structure combines natural amino acids and artificial
 304 building blocks (Figure 1 - top). It consists of four repeats of the cationic polyamino acid succinoyl-
 305 tetraethylene-pentamine (Stp) used for complexation of nucleic acid and for endosomal buffering.
 306 Two tyrosine trimer units flanking the cationic domain stabilize the polyplex due to their
 307 hydrophobicity and π - π stacking ability. In the center of the cationic Stp units, two hydrophobic
 308 cholic acids branch off the cationic backbone (T-shape) for lipopolplex stabilization. The lipid and
 309 cationic domains are connected via a bioreducible linking unit (ssbb) [39]. In this approach, the azide
 310 function was incorporated into the oligomer during standard Fmoc solid-supported synthesis via an
 311 azidolysine residue at the N- and/or C-terminus of the backbone (structures *T-1N₃* with one azide
 312 and *T-2N₃* with two azides, Figure 1 - top). Consequently, the structure can be subsequently further
 313 modified with an alkyne-bearing functional group via click chemistry.

314 3.2 *Synthesis of DBCO-modified polysarcosine*

315 Polysarcosine is a hydrophilic, nonionic peptoidic structure with exclusively weak hydrogen
316 bond acceptor properties. As shown empirically by Whitesides and co-workers for protein-resistant
317 surfaces, these properties are essential to achieve "stealth"-like properties in a material [71,74].
318 Polysarcosine can be functionalized at its N-terminal (via post-polymerization modification) and C-
319 terminal (via functional initiators) end. It is conveniently synthesized by controlled living ring-
320 opening polymerization of α -amino acid N-carboxyanhydrides (NCA) with low dispersity index
321 ($D_{GPC} \leq 1.1$; see **Table S1**) [71]. Initiating the reaction with dibenzo-aza-cyclooctyne-amine (DBCO-
322 amine) leads to a C-terminal DBCO end group (Figure S1A) [94]. To ensure end group accessibility
323 and steric stabilization at once we aimed for a degree of polymerization of around 115, which
324 correlates with the PEG5k used as reference material. Therefore, a theoretical degree of
325 polymerization of 115 was set by the monomer to initiator ratio. The polymerization was carried out
326 under the conditions recently reported by Klinker et al. [95], NMR end group and SEC analysis (using
327 pSar standards as described by Weber et al. [72]) revealed a number average degree of polymerization
328 (DP) of 119 and a weight average DP of 8735 g mol⁻¹ (Table S1), which is within the experimental
329 error. The accuracy molecular weight determination is perfectly in line with the calculated one. The
330 synthesized polymer displays a symmetrical SEC elugram indicating a Poisson-like molecular weight
331 distribution, as expected for the amine initiated NNCA polymerization. The terminal DBCO can be
332 clearly detected in the ¹H-NMR spectra and thus can be employed for the strain promoted azide-
333 alkyne cycloadditon (SPAAC) with azides. For SPAAC no catalyst is needed, no side reactions with
334 other functional domains of the oligomer can occur, and no toxic by-products are generated [96].
335 Mixing azide-modified cationic oligomers with siRNA leads to spontaneous assembly of polyplexes.
336 Due to oligomer excess, several azide functionalities are accessible on the polyplex surface and can
337 serve as attachment points for functionalization with DBCO-modified pSar. The N-terminal free
338 amine group can further be modified with carboxylic acid-bearing molecules to introduce a second
339 functionality, e.g. targeting ligands such as folic acid or alternatively may be capped by acetylation
340 to remove the terminal amine, which is positively charged in aqueous solution of neutral pH. All
341 synthesized agents are presented in Figure 1.

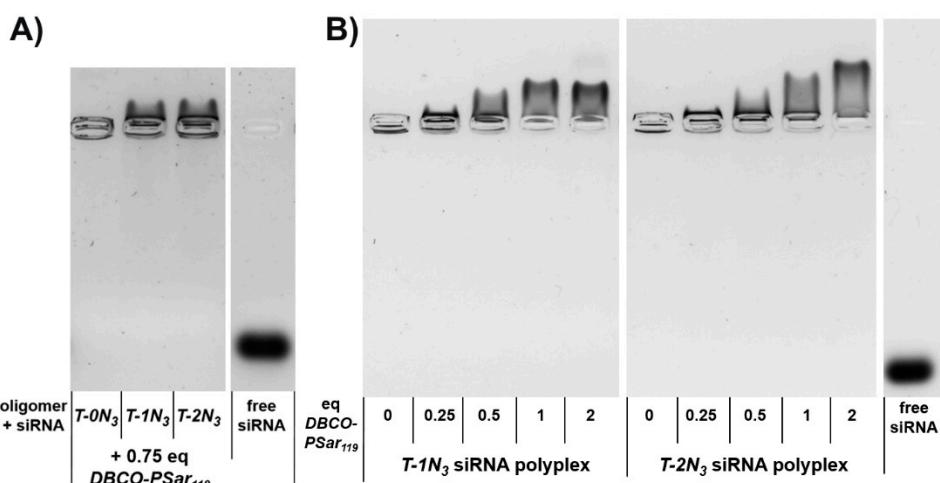
342 3.3 *Polyplex formation and pSar-shielding*

343 For polyplex formation, the structures **T-1N₃** and **T-2N₃** were incubated with siRNA for 40 min
344 with a final concentration of 25 ng siRNA/ μ L. The electrophoretic mobility of incorporated siRNA
345 was measured with an agarose gel shift assay to test the oligomers' abilities to bind nucleic acid.
346 Different N/P values depict the ratio of amines (N) of the oligomers that can be protonated to the
347 phosphates (P) of the siRNA. Like its azide-free analogue **T-0N₃** [39], the oligomers showed complete
348 retention of siRNA in the pockets of the gel at an N/P ratio of 12 (Figure S2). No changes in binding
349 ability were observed for the incorporation of one or two azides.

350 Next, the heteroteliclic polysarcosine with DBCO-end group (**DBCO-PSar₁₁₉**; Figure 1,
351 bottom) was used to react with the azides on the preformed polyplexes (N/P ratio 12) to introduce a
352 shielding layer. The SPAAC was allowed to proceed until full conversion for 16 hours (see scheme in
353 Figure 1, bottom).

354 Afterwards, the influence of the pSar shielding agent on electrophoretic mobility was evaluated
355 with respect to the number of azide functions incorporated into the polyplex-forming core structures
356 ($N_3 = 0, 1, 2$). The amount of **DBCO-PSar₁₁₉** added to the polyplexes was kept constant (Figure 2A).
357 In this experiment, only the azide-bearing polyplexes migrated in the gel towards the cathode,
358 whereas the azide-free polyplex remained in the loading pocket. This demonstrates that a covalent
359 bond connecting the shielding agent to the nanoparticle is crucial to provide this migratory effect.
360 The migration of the integrated siRNA against its own negative charge shows that it is fully shielded
361 against the force of the electric field. With increasing equivalents of **DBCO-PSar₁₁₉**, stronger
362 migration could be observed. This effect can be explained by the degree of polyplex surface
363 modification (Figure 2B). For the oligomer with only one azide functionality (**T-1N₃**), maximum
364 migration was achieved with equimolar amounts of DBCO click agent (1 eq / oligomer). More **DBCO-**

365 *PSar*₁₁₉ (2 eq) did not increase the effect. *T-2N*₃ siRNA polyplexes with two azide functionalities
 366 within the carrier also showed the maximum migration for equimolar ratios of azide to DBCO (2 eq
 367 *DBCO-PSar*₁₁₉). These findings are in line with covalent modification with PEG5k [86].
 368



369

370 **Figure 2.** Electrophoretic mobility of siRNA polyplex formulations analyzed with an agarose gel shift
 371 assay A) siRNA polyplexes formed with oligomers bearing no (*T-0N*₃), one (*T-1N*₃) and two (*T-2N*₃)
 372 azide functions incubated with 0.75 equivalents of *DBCO-PSar*₁₁₉ 1 % agarose gel, 70 V, 80 min
 373 runtime. B) Formulations with increasing equivalents (eq mol/mol) of *DBCO-PSar*₁₁₉. 0.75 % agarose
 374 gel, 100V, 80 min runtime. All polyplexes were incubated for 40 min at N/P 12, followed by *DBCO-*
 375 *PSar*₁₁₉ addition for 16 h at room temperature. The right lane shows the running distance of free
 376 siRNA not complexed by lipo-oligomers.

377 A second indirect measure for the efficiency of polyplex shielding is the zeta potential or
 378 electrochemical mobility. The latter can be determined by measuring a particle's mobility in an
 379 electric field with light scattering. In this respect, we observed that the positive zeta potential of an
 380 unshielded particle can be strongly reduced from 21 mV to 6 mV in case of *T-1N*₃ polyplexes and
 381 from 17 mV to 3 mV in case of *T-2N*₃ polyplexes, when the particle is shielded with an excess of
 382 *DBCO-PSar*₁₁₉ (Table 1). By using 0.5 eq *DBCO-PSar*₁₁₉ / oligomer, the zeta potential can already be
 383 reduced to 50%. It should be noted here that due to the *N*-terminal cationic tail group, the zeta
 384 potential always remained slightly positive.

385 As determined by single-angle dynamic light scattering (DLS), hydrodynamic diameters of the
 386 polyplexes were approximately 100 nm. With increasing amounts of *DBCO-PSar*₁₁₉, the nanoparticle
 387 size increased by up to 16 nm in diameter. pSar covering the particle surface seems to be the most
 388 plausible explanation for the increase in size.

389 **Table 1.** Particle size (z-average) and zeta potential of pSar-shielded siRNA formulations determined
 390 by a dynamic light scattering (DLS) zetasizer. siRNA polyplexes were prepared at N/P 12

siRNA formulation	eq DBCO-PSar ₁₁₉	z-average [nm]	PDI	Mean Zeta Potential [mV]
<i>T-1N</i> ₃	0	81.0 ± 5.0	0.26 ± 0.02	20.9 ± 0.9
	0.5	86.7 ± 2.8	0.24 ± 0.02	9.4 ± 0.5
	1	91.8 ± 2.9	0.26 ± 0.02	8.5 ± 0.6
	2	96.8 ± 4.0	0.27 ± 0.02	6.0 ± 1.1
<i>T-2N</i> ₃	0	90.6 ± 0.9	0.15 ± 0.03	17.2 ± 0.8
	0.5	98.7 ± 1.3	0.15 ± 0.01	7.7 ± 0.6
	1	102.3 ± 2.1	0.19 ± 0.01	6.3 ± 1.0
	2	105.1 ± 1.9	0.17 ± 0.01	2.5 ± 0.3

391

392 3.4 Evaluation of pSar-shielding agents *in vitro*

393 Through the incorporation of pSar the unspecific interaction of polyplexes with cell membranes
 394 should be efficiently reduced as already demonstrated for other stealth-like polymers, e.g. PEG. To
 395 prove our assumption we performed uptake studies with pSar-shielded polyplexes. Polyplex
 396 formulations were prepared with Cy5-labeled siRNA for this assay to follow the fluorescent cargo,
 397 incubated with neuroblastoma Neuro2a cells for 4 h at standard culture conditions and analyzed by
 398 flow cytometry. The signal intensity of cells labeled with fluorescent dye correlates with the amount
 399 of polyplexes being internalized (Table 2 and Figure S3). Unshielded material and material shielded
 400 with low equivalents of **DBCO-PSar₁₁₉** showed significant uptake into cells already after 4 h
 401 incubation time for both polyplex formulations prepared with one and two azide-bearing backbones
 402 (**T-1N₃** and **T-2N₃**). For **T-1N₃** formulations, a significant reduction in fluorescence intensity of more
 403 than 50% was observed for 1 eq of **DBCO-PSar₁₁₉** per oligomer, whereas 2 eq of **DBCO-PSar₁₁₉** were
 404 needed for **T-2N₃** formulations to reduce cell uptake (Table 2).

405 **Table 2.** Mean fluorescence intensity (MFI) for cellular internalization of Cy5-labeled siRNA
 406 formulations (left: **T-1N₃**; right: **T-2N₃**) shielded with increasing equivalents (eq mol/mol) of **DBCO-**
 407 **PSar₁₁₉** determined by flow cytometry.

siRNA formulation	eq DBCO-PSar ₁₁₉	MFI	siRNA formulation	eq DBCO-PSar ₁₁₉	MFI
T-1N₃	0	881.5 ± 25.5	T-2N₃	0	883.0 ± 86.0
	0.25	780.5 ± 2.5		0.25	870.5 ± 62.5
	0.5	715.0 ± 24.0		0.5	785.5 ± 38.5
	1	359.0 ± 14.0		1	602.5 ± 37.5
	2	245.5 ± 8.0		2	263.5 ± 4.5
untreated cells		2.4 ± 0.2			

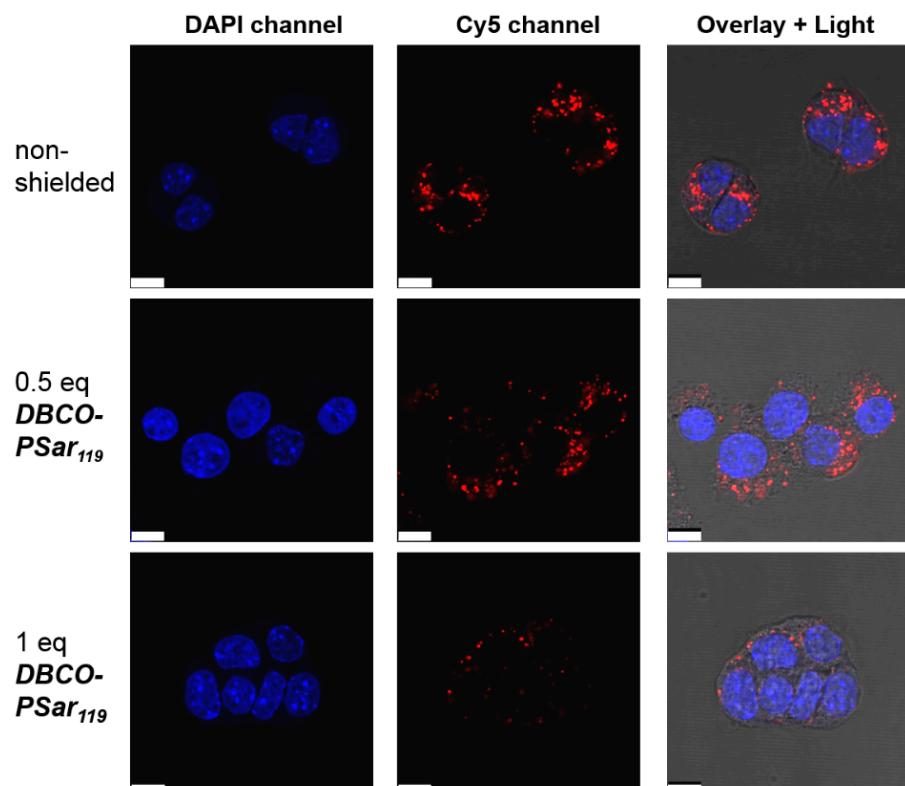
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 409 The effect on internalization can be visualized by confocal laser scanning microscopy (CLSM).
 410 Cells were incubated with **T-1N₃** siRNA formulations for 4 h and the Cy5-labeled siRNA (red)
 411 representing the localization of the polyplex was detected (Figure 3). Compared to the unshielded
 412 material, which was avidly taken up by cells, 0.5 eq of **DBCO-PSar₁₁₉** showed a slight reduction in
 413 cellular internalization. For 1 eq of **DBCO-PSar₁₁₉**, only a few polyplexes were taken up by cells,
 414 indicating a strong shielding ability. This experiment confirmed the observations made in the
 415 previously described flow cytometry studies.

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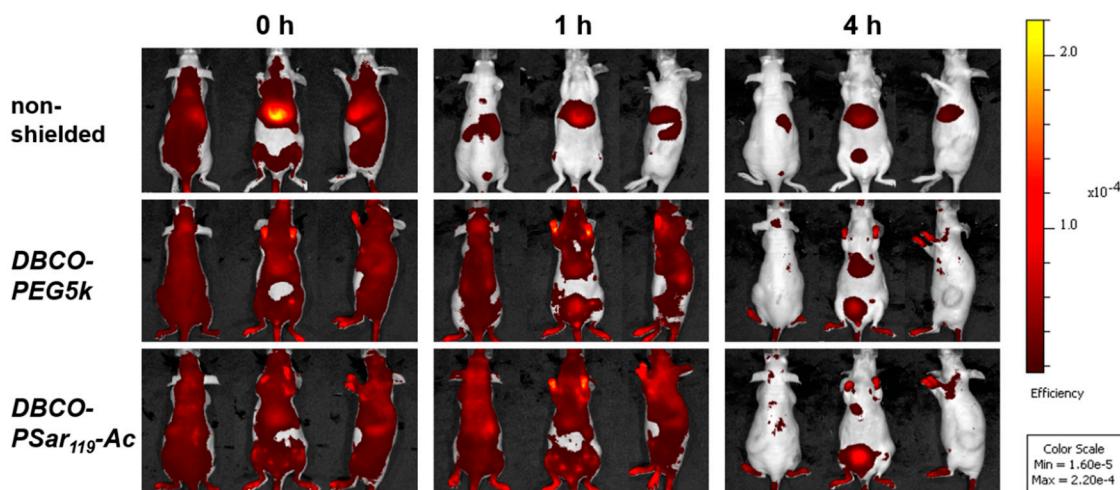
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421 **Figure 3.** Intracellular distribution of $T\text{-}1N_3$ siRNA formulations in Neuro2a-eGFP-Luc cells with
 422 increasing equivalents (eq mol/mol) of *DBCO-PSar₁₁₉* acquired by confocal laser scanning
 423 microscopy. Cells were incubated with the formulations for 4 h and washed with PBS buffer. Nuclei
 424 were stained with DAPI (blue) and siRNA was spiked with 20 % Cy5-labeled siRNA (red). The
 425 overlay image shows the merged channels and the light microscope image. Scale bar: 10 μm

426 In conclusion, covalent surface modification of polyplexes by SPAAC reduced cell binding and
 427 uptake substantially. Interestingly, the increase of azide functionalities in the $T\text{-}2N_3$ backbone did not
 428 lead to a better surface passivation of the formed polyplex. As depicted in Table 2, both polyplexes
 429 behave comparably and differ only slightly at full polysarcosinylation levels. This observation may
 430 relate to differences in microstructure between $T\text{-}1N_3$ and $T\text{-}2N_3$ based polyplexes, which seems to
 431 influence the accessibility of azide on the polyplex surface.

432 *3.5 Distribution of pSar-functionalized polyplexes in vivo*

433 After the shielding ability of pSar-functionalized polyplexes could be demonstrated in
 434 biophysical and *in vitro* assays, we aim to explore the *in vivo* behavior of polysarcosinylated
 435 polyplexes. For *in vivo* biodistribution studies, the unshielded $T\text{-}1N_3$ siRNA polyplex, which showed
 436 the lowest interaction with cells, was used to prepare a formulation to which either *DBCO-PEG5k*
 437 and a formulation using acetylated polysarcosine (*DBCO-PSar₁₁₉-Ac*; Figure 1, bottom) was
 438 covalently linked by SPAAC. The acetyl end group of *DBCO-PSar₁₁₉-Ac* seems to be better
 439 comparable to the commercial methoxylated PEG agent in terms of surface polarity. The cap of the
 440 N-terminal sarcosine slightly reduced migration distance of polyplexes in the gel in comparison to
 441 the non-acetylated pSar (Table S2, Figure S4). $T\text{-}1N_3$ siRNA polyplexes were prepared with 50% Cy7-
 442 labeled siRNA and incubated with 1 eq of the respective shielding agent per oligomer for 4 h. A final
 443 concentration of 200 ng siRNA / μL was used for this experiment. 50 μg of siRNA and oligomers at
 444 an N/P ratio of 10 were used.
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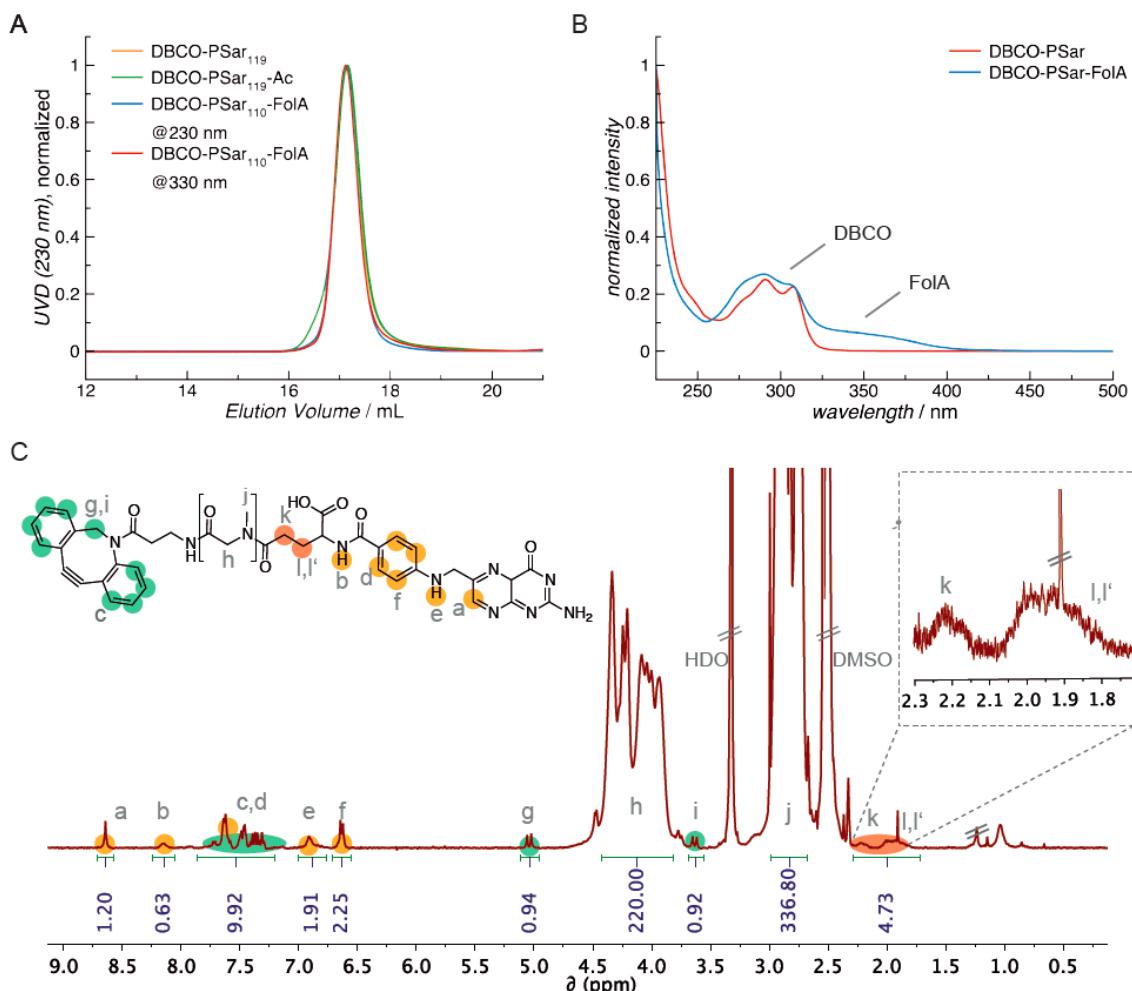
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447 **Figure 4.** Biodistribution of *T-1N₃* siRNA formulations (50 µg siRNA; 50% Cy7-labeled) in NMRI-
 448 nude mice bearing Neuro2a tumors after *i.v.* administration. NIR fluorescence bioimages show
 449 formulations with 1 eq *DBCO-PEG5k*, 1 eq acetylated *DBCO-PSar₁₁₉-Ac* or HBG buffer (non-
 450 shielded). Experiments were performed with two animals per group for time points until 60 min and
 451 one animal per group for later time points; a representative animal of each group is shown. Animals
 452 are presented in the dorsal, ventral and lateral view.

453 The formulations were injected into Neuro2a tumor-bearing mice via *i.v.* tail-vain injection and
 454 the distribution of the near infrared (NIR) fluorescent dye attached to the siRNA was monitored at
 455 various time points over 24h by bioimaging in mice (Figure 4, Figure S5). The unshielded polyplexes
 456 started accumulating in the liver after 15 min. Such a finding could also be observed for other
 457 unmodified T-shape backbone structures in previous work [89,90]. In contrast to the unshielded
 458 polyplexes, both shielded formulations showed much-extended circulation times and tumor
 459 accumulation. 60 minutes after injection of the material, the shielded formulations were still
 460 detectable in all areas of the body including the tumor site. After 4 h the intensity of the signals
 461 decreased, indicating a slow removal of polyplexes from circulation. The strongest signals remained
 462 in liver and bladder. In mice injected with shielded polyplexes, a strong signal was detected in the
 463 exposed periphery, such as the paws after more than 4 hours (Figure S5). In direct comparison,
 464 polyplexes with the *DBCO-PSar₁₁₉-Ac* and the *DBCO-PEG5k* displayed negligible differences in
 465 biodistribution, circulation time or tumor accumulation. A pronounced accumulation at the tumor
 466 site, however, could not be observed and may require further strategies to enhance tumor
 467 accessibility and retention.

468 3.6 Attachment of the targeting ligand folate to polysarcosine

469 The inhibition of unspecific cell binding is an important requirement for any specific interaction
 470 with cell surface receptors or proteins in solution. Thus, attaching a targeting ligand onto shielded
 471 polyplexes is expected to enable targeting of specific cell surface receptors. Since pSar offers the
 472 possibility to be further functionalized at its free secondary amino function, we chose folic acid (FolA)
 473 as a ligand to be conjugated to the *N*-terminus of *DBCO-PSar₁₁₀* by peptide bond formation. Folic
 474 acid is an interesting ligand, because it is a commercially available small molecule with carboxyl
 475 groups for conjugation and it is the natural ligand to the folic acid receptor (FR) overexpressed on
 476 several tumor types, e.g. prostate cancer [82-84,97-100]. The applied coupling conditions using
 477 equimolar amounts of folic acid, DBCO-PSar polymer and coupling reagents (2-(1*H*-benzotriazole-1-
 478 yl)-1,1,3,3-tetramethyluronium hexafluorophosphate (HBTU), 1-hydroxybenzotriazole (HOBT)) and
 479 the steric hindrance of the polymer avoid the formation of divalent folic acid conjugates. Concerning
 480 regioselectivity, it has been reported that both isoforms result for *N,N*-dicyclohexylcarbodiimide
 481 (DCC)-mediated amidation in DMSO or DMSO/DMF, but with an observed regioselectivity of 80%
 482 for the γ -conjugate (*DBCO-PSar₁₁₀-FolA*; Figure 1, Figure 5, Table S1) [101].



483

484 **Figure 5.** Characterization of DBCO-PSar ligands for post-shielding of polyplexes. A) GPC elograms
 485 of DBCO-PSar in HFIP with different end groups. B) UV-vis spectrum of DBCO-PSar and DBCO-
 486 PSar-FolA, respectively. C) ¹H NMR spectrum of DBCO-PSar-FolA in DMSO-*d*6 (400 MHz).

487 The properties of *T-1N₃* siRNA polyplexes equipped with this negatively charged ligand
 488 changed in an unexpected way. For increasing equivalents, aggregates with high polydispersity were
 489 found by DLS (Table S1). An interesting finding was the change in size from around 80 to 25 nm of
 490 nanoparticles when folic acid was involved, which indicates strong compaction of the polyion
 491 complex. For small degrees of particle modification with *DBCO-PSar₁₁₀-FolA*, the size of polyplexes
 492 did not significantly change compared to unshielded polyplexes. For higher amounts, aggregates
 493 were found in DLS measurements. Similar findings were observed for folic acid-targeted
 494 lipopolyplexes [102]. In the latter case, aggregation could be avoided by incorporation of tetra-
 495 glutamylated folic acid into the shielding agent. We observed that for further increase of *DBCO-
 496 PSar₁₁₀-FolA* to equimolar amounts, small defined particles of ~25 nm were found. This can only be
 497 explained with *DBCO-PSar₁₁₀-FolA*-induced instability following a complete rearrangement of
 498 particles into a uniform population. The folic acid's chemical properties - its hydrophobic character
 499 and negative charge - seem to play a major role in this reassembly process, since it was not observed
 500 for untargeted polysarcosine-shielded particles.

501 When testing the folate targeted formulation with *DBCO-PSar₁₁₀-FolA* on a FR-overexpressing
 502 KB/eGFP Luc cell line, we found that targeted polyplexes showed increased binding to the cell
 503 surface, which could be blocked by folic acid competition (Figure S6A) ensuring FR mediated
 504 binding. Much to our surprise, the internalization of the polyplexes into the cell was extremely low
 505 (Figure S6A+B). As a consequence, no gene silencing activity was achieved with such systems (Figure
 506 S6C). Limitations in endosomal escape, often reported as being responsible for bad transfection

507 efficiencies [103-107], can be excluded, since co-incubation with the lysosomotropic agent
508 chloroquine did not improve gene silencing activity (Figure S6D). The trafficking of the vitamin folate
509 via FR is reported to occur by a non-clathrin, non-caveolar pathway also known as CLIC/GEEC
510 endocytosis pathway [104,108]. For folate-targeted nanoparticles however, it could be demonstrated
511 that pathways like caveolae- and clathrin-mediated endocytosis occur [105,107,109]. The size and the
512 ligand density on their surface were reported to influence the cellular uptake pathway. The well
513 shielded, ~25 nm siRNA polyplexes do not seem to trigger any of the pathways in HeLa-derived KB
514 cells efficiently. Further, the bioreducible carrier *T-1N₃* within the liopolplex might be an easy prey
515 for disulfide cleavage, which was reported to occur distinctly in the extracellular environment of
516 HeLa cells [110]. The consequence of insufficient cellular uptake was a lack of gene silencing activity.
517 This effect has been observed for folate-targeted polyplexes with 3.5 kDa PEG chains before [86]. At
518 this point, we cannot provide an explanation for the observed findings and further studies need to
519 be conducted to understand the fact that specific receptor binding was achieved, while receptor
520 mediated endocytosis seems to be inhibited. In light of these *in vitro* data, a transfer of targeted
521 polyplexes to *in vivo* studies was not performed based on ethical considerations.

522 4. Conclusions

523 To investigate the use of ability of pSar to shield polyplexes and enhance their circulation times
524 and reduce unspecific interactions, we synthesized a polyplex formulation based on sequence
525 defined lipo-oligomers and applied PEG and pSar based polymers for shielding of the preformed
526 polyplexes. In previous work, a new class of redox-sensitive lipo-oligomers was successfully
527 established for siRNA delivery. [39] For this reason, one of the best performing candidates from
528 redox-sensitive lipo-oligomers was chosen and extended by a click-reactive azide functionality,
529 resulting in carrier *T-1N₃*. After the formation of siRNA lipopolplexes, the particle surface was
530 further modified with the shielding agent polysarcosine. The SPAAC could be performed between
531 the DBCO-PSar polymer and the azide-containing lipo-oligomers within the polyplex. In addition, it
532 was demonstrated that the grafting could be controlled stoichiometrically introducing a shielding
533 layer. The shielding of the formed pSar corona has been observed *in vitro* in gel retardation assays
534 and cell studies. In contrast to unmodified polyplexes, binding and cellular uptake was substantially
535 reduced for all pSar-modified systems.

536 Furthermore, biodistribution in mice revealed that 8 kDa polysarcosine can strongly expand the
537 circulation of the siRNA lipopolplexes from several minutes to hours. The difference in non-
538 shielded and shielded formulations is most pronounced at the 60 minutes time point, where in case
539 of non-shielded polyplexes, most of the polyplexes have accumulated in the liver, but stable
540 circulation is still observed for pSar-shielded polyplexes. While the biodistribution between non-
541 modified polyplexes and polysarcosylated systems differ substantially, such systems behaved
542 similar to PEGylated polyplexes *in vivo*. Therefore, we can conclude that in terms of polyplex
543 shielding pSar and PEG behave identically and can be both applied to reduce unspecific interactions
544 of lipo-oligomer polyplexes and thus enhance blood circulation substantially from minutes to hours.
545 When DBCO-PSar was, however, modified with folic acid to target cell surface receptors, not only
546 the size of polyplexes was reduced from 80 to 25 nm, but also specific binding to FR-positive KB cell
547 membranes did not boost cellular internalization. Therefore, we need to conclude that further
548 investigations are necessary to combine favorable *in vivo* shielding with efficient receptor-targeted
549 gene silencing for pSar-functionalized lipo-oligomer polyplexes.

550
551 **Supplementary Materials:** The following are available online, Figure S1: Synthesis of heteroteliclic DBCO-
552 PSar polyplex shielding agents by NCA polymerization and subsequent amidation for further introduction of
553 functionalities, Figure S2: siRNA binding ability of T-shape structures analyzed with an agarose gel shift assay,
554 Figure S3: Cellular internalization of siRNA formulations shielded with increasing equivalents of *DBCO-PSar₁₁₉*
555 determined by flow cytometry, Figure S4: Electrophoretic mobility of formulations, Figure S5: Biodistribution of
556 siRNA formulations in NMRI-nude mice bearing Neuro2A tumors after *i.v.* administration, Figure S6: Cellular
557 binding, cellular internalization and transfection efficiency of folate targeted siRNA polyplexes and untargeted

558 analogues, Table S1: Analytical data of synthesized heterotetralic DBCO-PSar ligands, Table S2: Particle size
559 and zeta potential of siRNA formulations determined with a DLS zetasizer.

560 **Author Contributions:** Philipp Klein performed chemistry of oligomers, preparation of the formulations and
561 biophysical and cell-free *in vitro* experiments. Kristina Klinker synthesized and analyzed the DBCO agents. Wei
562 Zhang performed transfections and FACS studies. Sarah Kern and Eva Kessel performed the *in vivo* experiment.
563 Ernst Wagner and Matthias Barz supervised the experimental work and contributed with scientific discussions.
564 Philipp Klein wrote the draft manuscript, Kristina Klinker, Matthias Barz and Ernst Wagner edited the
565 manuscript, all other authors checked and contributed to the finalization of the manuscript.

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571 **Conflicts of Interest:** The authors declare no conflict of interest.

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