

1 Article

2 Design PV Tracking System according to Efficiency 3 in Function of Orientation

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13 **Abstract:** This article proposes a new photovoltaic (PV) solar tracker design based on the
14 advantage that installation latitude offers according to efficiency in function of orientation (EFO) of
15 PVs. First, is described a methodology to let incorporate a low-precision, low-cost and
16 high-availability solar tracking mechanism and control system. The design methodology considers
17 the installation location (latitude and azimuth) as a starting point for establishing an adequate
18 angular range of EFO, simultaneity the aspects of available technology and the knowledge accords
19 to developer. Finally, the design technique is experimentally validated by the implementation of a
20 solar tracker at latitude of 28° longitude of 109° and evaluates the efficiency on a specific day.
21 According to result the feasibility of this type of solar tracker for latitudes close to or greater than 30°
22 is highlighted, given that this tracking system costs 30% less than traditional commercial systems as
23 slew drive with its incorporation of lower-resolution azimuth tracking mechanisms. It also increases
24 collection efficiency by 26%, just as continuous or time-based dual-axis solar trackers do, without the
25 more complex controls and mechanisms of these designs.

26 **Keywords:** PV Solar Tracker, Design Methodology, Efficiency Function of Orientation

27

28 1. Introduction

29 Photovoltaic (PV) systems frequently incorporate solar tracking systems to maintain their
30 orientation so that the incident solar radiation is normal to the PV surface to increase collection
31 efficiency [1, 2]. Multiple solar tracking systems have been developed, and they can be divided into
32 two types: passive tracking systems, which present low resistance to wind action and feature low
33 installation and maintenance costs, with collection efficiency increases up to 23% [3], and active
34 tracking systems, which can be single-axis and have been reported to increase the collection
35 efficiency by 12-25%, following the solar trajectory along one axis, which can be horizontal, vertical,
36 polar and tilted [4,5]. Active dual-axis solar trackers have been reported to increase the collection
37 efficiency from 30-45%. In general, these dual-axis sun tracking systems can either rotate about the
38 polar and solar declination axes or the azimuth and elevation axes [5]. To achieve this design,
39 ring-rail-type structures, which are designed to support very large PV systems subjected to strong
40 winds [6], can be used mounted on pedestal or central support structures that incorporate linear
41 actuators for polar tracking and a slew drive for azimuth tracking [7,8].

42 In addition, prototypes of other dual-axis solar tracker variants have been presented. For
43 example, [9,10] introduces solar trackers that incorporate robotic actuators, and [11] presents a solar
44 tracker that incorporates complex four-bar mechanisms for solar tracking. To improve the accuracy
45 and performance of dual-axis solar trackers, electronic monitoring systems for obtaining Maximum
46 Power Point Tracking (MPPT) in tandem with solar tracking [12] have been incorporated. Other
47 approaches incorporate different control variants, such as tracking algorithms and techniques with
48 sensors, astronomical equations, diffuse logic, neural networks, time-based tracking systems and
49 Petri networks, according to the recent state-of-the-art review presented in [13,14]. Other studies
50 propose the incorporation of low-cost control devices, such as those presented in [15,16,17], among
51 others.

52
53 In addition to the control methods previously mentioned, which propose strategies and establish
54 performance evaluation mechanisms for single-axis solar tracking, and the definition of three points
55 spaced according to the sun's trajectory, either daily or annually, as demonstrated in [18,19 and 20],
56 there are also time-based tracking methods, which essentially establish a forward motion of the
57 azimuth tracking at a rate of 15 per hour during a previously established period of the day [21],
58 according to conditions at the installation site. Finally, hybrid solar tracking systems have been
59 proposed, which simultaneously incorporate time tracking and astronomical path equations, along
60 with sensors for improving the robustness of the tracking control systems, as reported in the
61 photovoltaic tracking state-of-the-art review presented recently by Nsengiyumva et al. [13].

62
63 However, according to the previously mentioned studies, no methodology has been presented
64 so far for the development of solar trackers that exploit the advantage offered by the degree of
65 latitude of the installation site for incorporating low-accuracy, low-cost control mechanisms and
66 systems, which also maintain the PV within an adequate range of efficiency as a function of
67 orientation (EFO) [22]. Accordingly, in the present work, a methodology based on the EFO of the
68 installation site is presented, and by incorporating tracking points during the day with a daily tilt
69 adjustment, this method facilitates the development of a low-cost solar tracker by incorporating
70 low-cost, low-resolution control mechanisms and systems based on the available technology

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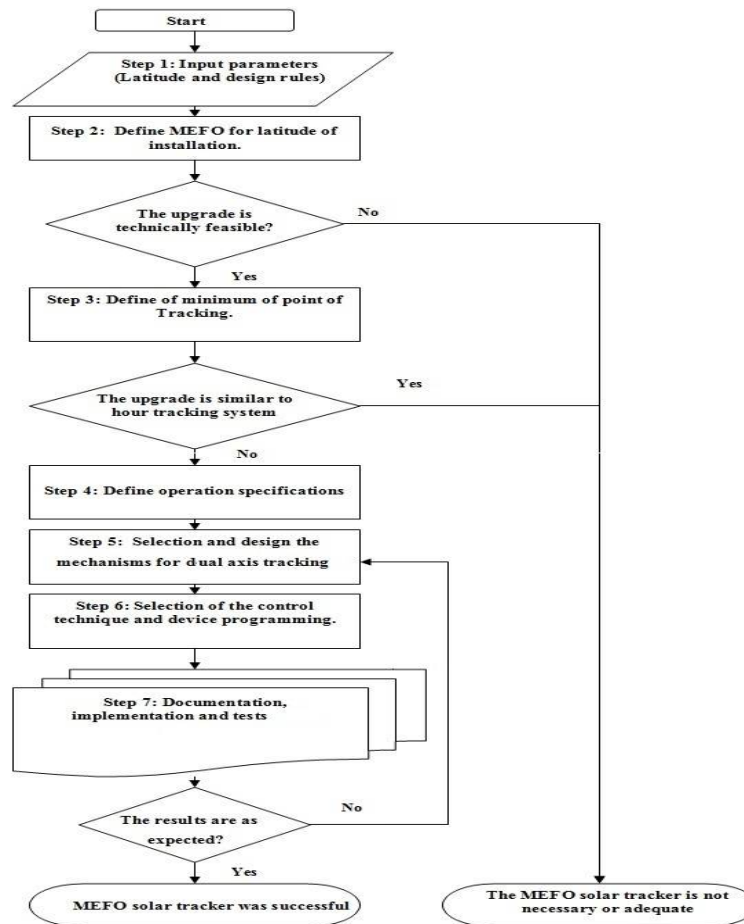
72 **2. Materials and Methods**

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74 *2.1 Methodology description*

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76 The proposed methodology has the goals of developing a strategy for the design of a low-precision,
77 high-efficiency PV tracking system and of facilitating the instrumentation and control required for
78 the prototype. This methodology is based on the Norton design methodology [23]; through relevant
79 modifications, it directs the developer during the design process, incorporating the prototype
80 instrumentation and product control requirements according to the knowledge and devices
81 available to the integrator. After applying this methodology, in addition to obtaining a functional
82 and innovative prototype solar tracker prototype and documentation is obtained by proceeding
83 through the sequential steps presented in Figure 1.



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Figure 1. EFO solar tracker methodology.

87 Step 1.- Input parameters: This step defines the parameters and design rules for a new solar tracker,
 88 including latitude of installation established according to a global positioning system (GPS) or maps,
 89 available technology and the equipment resources. This information is obtained from
 90 manufacturers, suppliers, commercial equipment sellers, and developers.

91

92 Step 2.- EFO for installation latitude: Accord conceptual operational framework is necessary to
 93 establish the EFO based on the latitude of PV installation. For example, in [22], the EFO for a location
 94 (Bergen, Sweden) corresponds to latitude of 36°. The 100% efficiency for range of tilt angles are
 95 between 28° to 48° and orientation angles between -20° to 20°. Next, it is necessary to evaluate
 96 whether it is possible to design a new solar tracker with a low-precision PV tracking system with
 97 high efficiency, or decline and pursue another method of design, as described in [13].

98

99 Step 3.- Points and trajectory for solar tracking: This section establishes a minimum number of
 100 tracking points that can be managed by day according to the EFO range established in the previous
 101 step and verified the azimuth and elevation angles range based on the solar trajectory in the
 102 installation site throughout the year. According flow diagram of Figure 1 if the solar tracker has a
 103 large number of tracking points spaced 15 per hour in a continuous interval, we recommend using a
 104 time-based tracking method, as defined in [13].

105

106 Step 4.- Operation specifications: The results of this step are the paragraphs and/or picture that
 107 describe the prototype solar tracker operation, detailing the events and actions occurring over time
 108 and including the labels of possible sensors and actuators needed for the instrumentation and
 109 control of the new solar tracker.

110

111 Step 5.- Selection and design mechanisms for dual axis tracking: This step consists primarily of the
 112 selection of mechanism in accordance with the capacities required for the solar tracker prototype,
 113 using comparison matrices that facilitate the selection of devices according to the evaluation
 114 criterion, where "H" is assigned to high values, "M" to medium values, and "L" to low values. In
 115 addition, calculations are performed on the structures and mechanisms required for develops the
 116 prototype, which are supported by computer aided design (CAD) tools and finite element analysis
 117 (FEA) and arithmetic calculations.

118

119 Step 6.- Selection of the control technique and device programming: The control technique is
 120 selected in accordance with the process to be performed (Grafcet, Petri Nets, Neural Networks,
 121 Diffuse Logic), considering the detailed description of the solar tracker prototype operation (Step 4)
 122 and the control device selected (FPGA, PLC, microcontroller, industrial PC) based on the
 123 previously selected technique. Finally, the program is developed according to the algorithm and
 124 control technique previously established in Steps 4 and 5.

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126 Step 7.- Documentation, implementation and tests: In this step, the documents required for the
 127 instrumentation, control and construction of the prototype are recollect. These are typically
 128 electrical, pneumatic or hydraulic diagrams, component and prototype construction plans,
 129 instrument specifications and program source code. Once the plans and diagrams of the new solar
 130 tracker prototype have been developed, the construction and tests are performed to determine if the
 131 upgrade fulfils the expectations for the EFO solar tracker prototype. If the results are inadequate, it is
 132 necessary to return to Step 5 to consider necessary modifications until the results of the process of
 133 obtaining the new solar tracker prototype are satisfied.

134

135 2.2. Case Application

136 Step 1.- Input Parameters: The EFO solar tracker prototype will be installed at a site located at 28°
 137 latitude and 109° longitude. In addition, it must meet the following design criteria: low cost, low
 138 maintenance, high collection efficiency and improved performance against wind action. The specific
 139 parameter values are shown in Table 1.

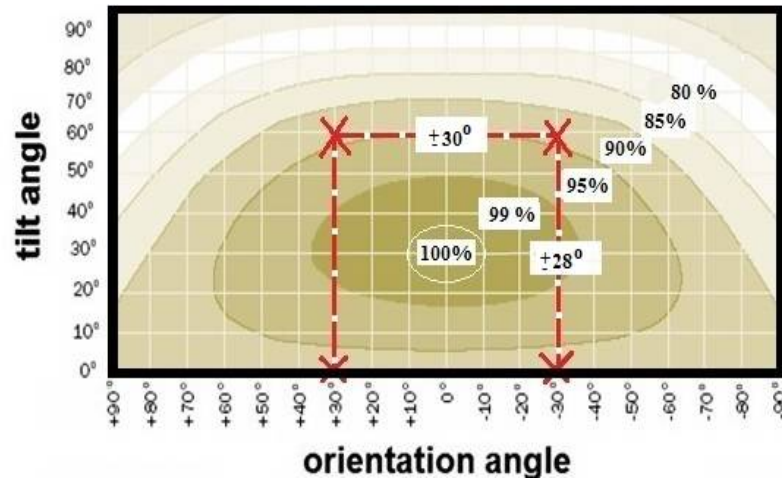
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Table 1: Solar tracker design parameters.

DESIGN PARAMETERS	VALUE
Latitude	28
Efficiency	95% - 100%
Maximum wind speed	80 km/hr
Capacity	1 kW
Cost	Lowest Available

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142 Step 2.- EFO for installation latitude: Considering the design rules established in the previous step
 143 and the EFO according to the conceptual framework for PV system performance and presented in
 144 [22], it is possible to manage tracking errors in the azimuthal axis in a range of ± 30 and tracking
 145 errors for the tilt axis in a range of ± 28 , maintaining a collection efficiency from 95-100%, as shown
 146 in Figure 2.



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Figure 2. Angle range for a PV at 28° latitude with EFO of 95-100%.

150 Step 3.- Points and trajectory for solar tracking: Considering an advance of the sun of 15° per hour
 151 and accord to azimuth EFO have a range of $\pm 30^\circ$ of defacement and hourly solar tracking of
 152 following from 6:00 a.m. to 6:00 p.m. the tracking can be divided into 3 spaced points and location
 153 according to table 2, with respect to the adjustment of solar height, it is proposed that the follow-up
 154 by means of adjust daily according to the declination considering that its greater than 16° and can
 155 managed $\pm 28^\circ$ according a solar chart for a latitude of 28° North and this mechanism is a low cost.
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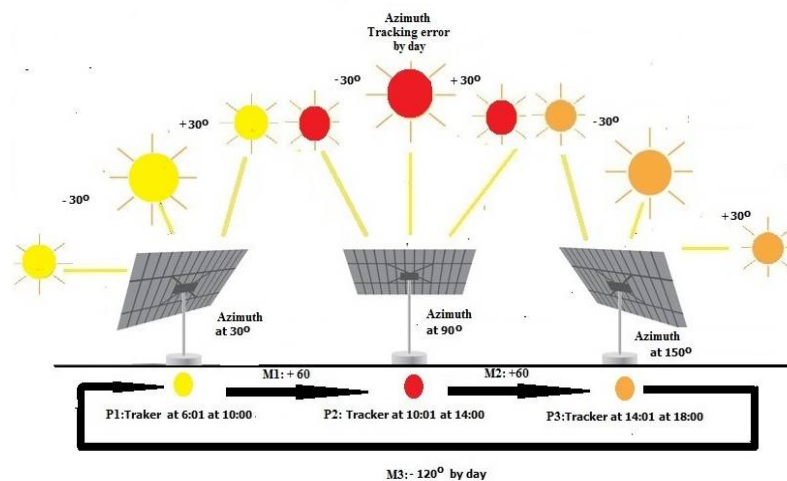
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Table 2. Azimuth Schedule of tracking

Position	Schedule of tracking (hours)	Azimuth ($^\circ$)
P1	18:01 a 10:00	30
P2	10:01 a 14:00	90
P1	14:01 a 18:00	120

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159 Step 4.- Operation specifications: These solar tracking systems follow the sun's trajectory using a
 160 three-point motion for azimuth tracking with a clockwise open-loop control system that operates
 161 from 6:00 to 18:00 over a day according to Figure 3 and use a linear actuator for tracking the
 162 declination over the year.



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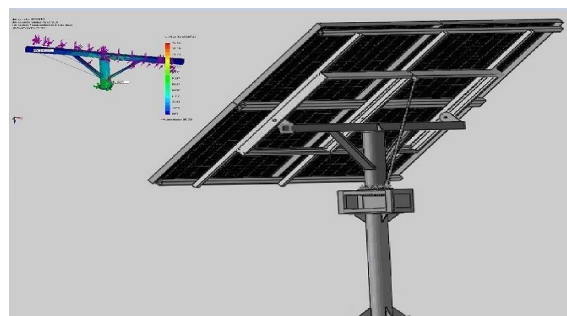
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Figure 3. General Operation descriptions for the solar tracker prototype.

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166 Step 5.- Selection and design mechanisms for dual axis tracking: The first component to design for
 167 the solar tracker is the PV system support structure, which in this case corresponds to a photovoltaic
 168 system of 1 kWe composed of four panels of 0.95 m × 1.05 m and 250 W. This supporting structure is
 169 designed based on computer-aided design software and finite element analysis CAD/CAE, in which
 170 different structures are proposed. An analysis of which structure presents better resistance against
 171 wind action is performed, using a wind speed of 120 km/hr, which is chosen based on the maximum
 172 wind speed records at the installation location [32]. According to the FEA analysis, the most
 173 appropriate structure uses structural steel (PTR-14, PTR-20) and ½" tubing, as shown in Figure 4.

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Figure 4. Proposed structure for solar tracker.

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178 Next, the tracking mechanism is selected based on the decision matrix in Table 3. The most viable
 179 option is a DC gear-motor adapted to a transmission due to its low cost and high availability.

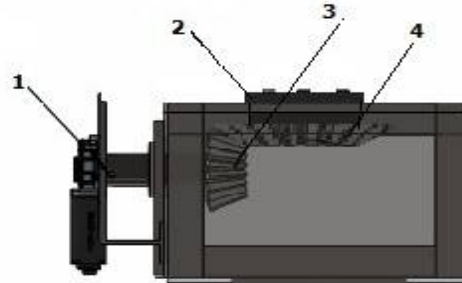
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Table 3. Actuator selection for mechanism tracking.

<i>Mechanism</i>	<i>Cost</i>	<i>Availability</i>	<i>Maintenance</i>
<i>Gear-motor transmission and Lineal actuator</i>	L	H	M
<i>Two Slew Drive</i>	M	M	L
<i>Two Motor indexed</i>	H	L	H

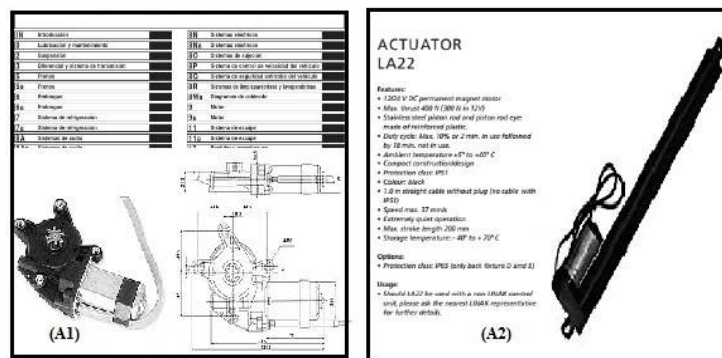
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182 Next, the transmission is designed (Figure 5), which must achieve the proposed resolution without
 183 affecting the previously proposed structure. A bevel gear transmission with a total gear ratio of 8:1,
 184 composed of a gear-motor coupling dart (1), an upper panel support (2), and bevel gears for
 185 transverse coupling (3 and 4), is proposed. The transmission uses A36 steel due to its mechanical
 186 resistance.



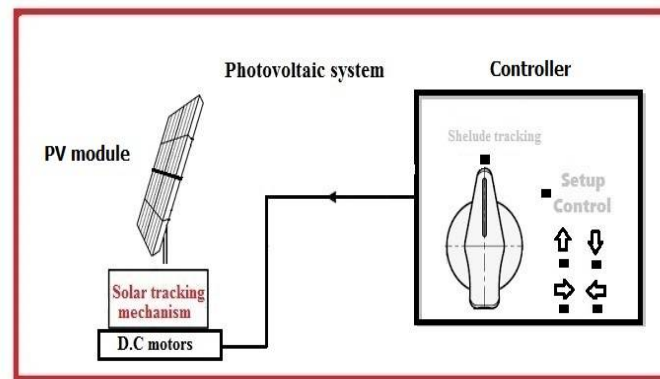
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 188 **Figure 5.** Proposed azimuth solar tracker mechanism.

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 190 According to the calculations for a gearmotor and linear actuator (such as the example shown in
 191 Figure 6, which is a worm-rack type system with a torque of 80 N/m coupled to a transmission with
 192 a step ratio of 12, 0.2 m in diameter), it is possible to withstand the torsion moments caused by wind
 193 action over the PV system area.



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 195
 196 **Figure 6.** Selected actuators.

197 Step 6.- Selection control technique and device programming: According to the data previously
 198 obtained to fulfil the design requirements, minimizing the amount of movement and taking
 199 advantage of the $\pm 30^\circ$ windows for maximum efficiency, a time tracking system is proposed. This
 200 follows the sun's trajectory using three points separated by 60° in the azimuth axis (Figure 5), using a
 201 microcontroller with limited digital I/O and the capacity to perform basic arithmetic operations and
 202 manage the date and time. The tracking begins at point P1, located at 30° above the azimuth axis; the
 203 tracker remains at this point until 10:00. Then, one minute later and by activation with an actuator
 204 A1, it will move to point P2, located 60° from the previous point, where it will stay until 14:00. Once
 205 again, one minute later and by the activation of actuator A1, it will move to point P3 located at 60°
 206 from the previous point, where it will remain until 18:00. Finally, one minute later, it will return to
 207 point P1 by the action of actuator A1 to turn 120° in the opposite direction. It is desirable to
 208 incorporate a manual alignment system for the installation of the solar tracker (Figure 7).



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Figure 7. Proposed solar tracking open loop control.

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A device to perform the proposed control of the A1 activation controller (DC gear-motor) must be selected. A controller with the capacity to manage dates and hours and perform some arithmetic operations is required. We considered a programmable logic controller, a microcontroller and an industrial PC, which are compared in Table 4, which also shows the comparative criteria.

Table 4, Control device selection matrix.

Device	Cost	Availability	Maintenance
PLC	M	H	H
Microcontroller with H bridge	L	H	H
Industrial PC with output interfaces	H	L	L

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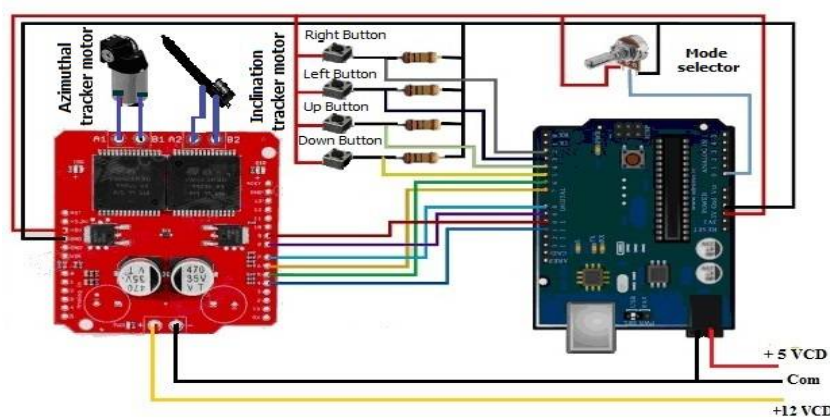
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The most viable option for the development of the control system is a system based on a microcontroller combined with an H bridge due to its low cost and its capacity to perform basic arithmetic operations and facilitate the incorporation of a manual alignment system for the installation of the solar tracker, according to the schematic shown in Figure 8.



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Figure 8. Controller connections schematic.

226 In table 5 before developing the controller, a control technique must be selected according to the
 227 characteristics and the operation of the solar tracker prototype mentioned in the previous step.

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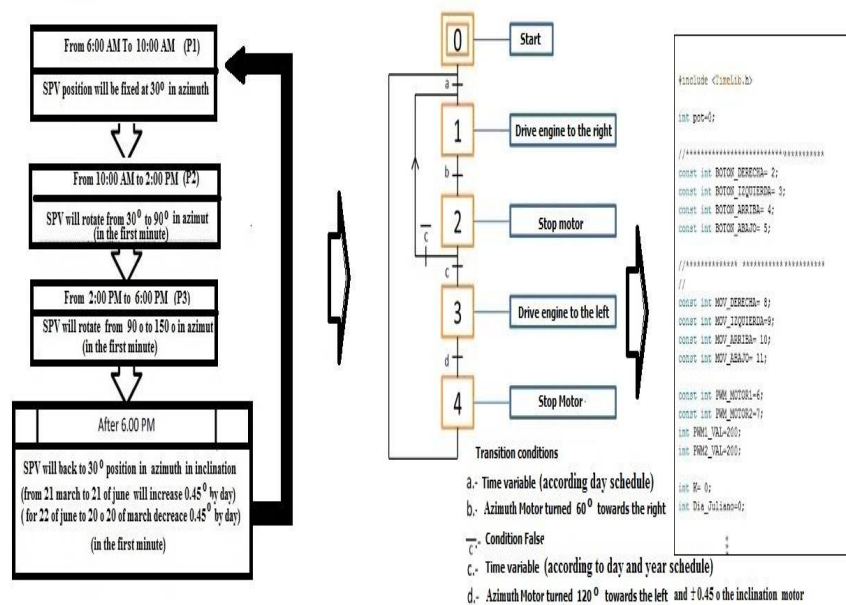
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Table 5. Selection of the control algorithm technique.

Technique to elaborate the control mechanism	Hardware requirements	Complexity	Knowledge
Grafset	M	M	H
Petri nets	H	H	L
Flow Diagrams	L	L	M

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231 The Grafset technique is selected, mainly because the authors have a large amount of knowledge in
 232 applying this technique. Figure 9 illustrates the Grafset technique used to develop the program for
 233 the low-cost microcontroller selected in the previous step.



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Figure 9. Controller programming process.

237 Step 7.- Documentation, implementation and tests

238 a) Documentation

239 To proceed with the development of the solar tracker prototype, it is necessary to generate
 240 documentation as; the specification of the actuators (Figure 6), the microcontroller electronic circuit
 241 diagram (Figure 8), the source code of the solar tracker control program (Figure 9), and the structural
 242 plans of the tracking system (Figure 10).

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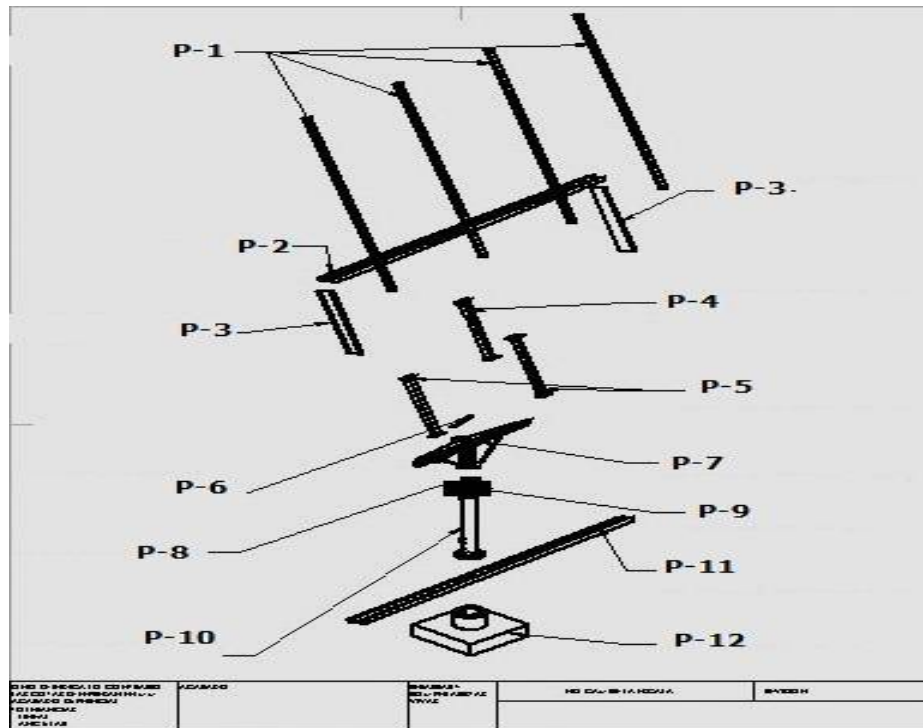


Figure 10. Plans for the proposed structure.

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247 b) Implementation

248 The final implementation of the EFO solar tracker prototype is shown in Figure 11, which shows the

249 location of the control system and the A1, A2 actuators. The actuator A1 and mechanism according

250 to [24] have a 30% low cost than a slew drive uses for solar tracker [25].



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Figure 11. Solar tracker prototype and components.

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254 c) Test

255 The solar tracker prototype's resistance to wind was tested for a specific place (28° latitude and 109°

256 longitude) during 2017. During this period, the tracker remained operational and withstood

257 maximum wind gusts of 84 km/h according to data obtained from the weather station installed at the

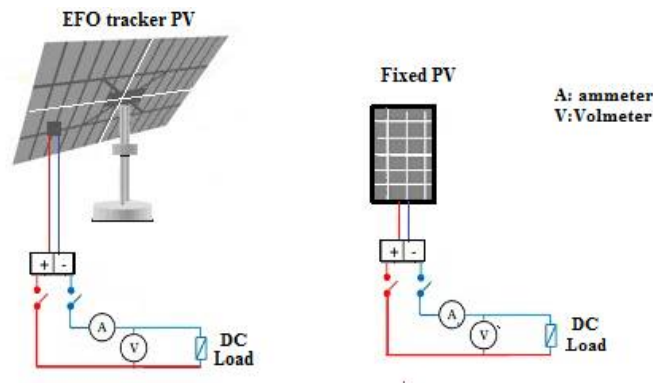
258 same location. The solar tracker efficiency was maintained within a range of 26-30%, as shown by the

259 results of the experimental test performed on May 4, 2018. The details regarding instrumentation

260 and recording of the PV efficiency measurements are provided in the result section.

261 3. Results

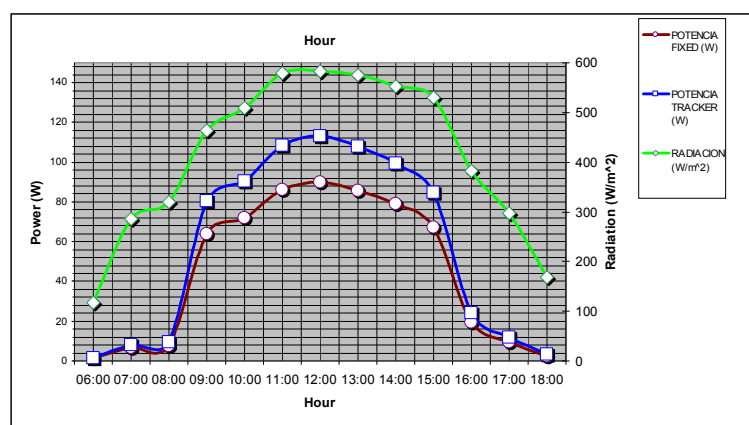
262 The efficiency measurement was performed by recording the power delivered by the fixed PV
 263 module and by another tracking PV module model ERDM 225, The measuring voltage and current
 264 was performed using the electric circuit shown in the schematic of Figure 12.
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Figure 12. Schematic of the PV power measurement.

The comparative results for the PV panel placed in a solar tracker prototype located at Cd. Obregon, Mexico (28° latitude and 109° longitude) on 04 May 2018 are shown in Figure 13. Data were captured every 30 min for the average daily production achieved by the panels performing the tracking. The tracking solar panel collected 26-35% more energy than the energy collected by the fixed photovoltaic panel.



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Figure 13. Energy produced by the photovoltaic panels (fixed and tracking).

277 4. Conclusions

278 The photovoltaic solar tracker design is based in a methodology that is recommended to use in
 279 latitudes in close proximity to 30 because the increase in collection efficiency of the solar trackers as
 280 similar to others solar trackers that incorporate better control tracking technology and more precise
 281 mechanisms but with more costly and complex and evaluating the possibility of adjusting the EFO
 282 to incorporate this type of tracker at other latitudes by means the proposes methodology.
 283

284 The proposed methodology let to develops a low cost photovoltaic solar trackers and the case of
285 latitudes close to or greater than 30° is highlighted, given that this tracking system (azimuth
286 mechanism) costs 30% less as than traditional commercial systems by means incorporation of
287 lower-resolution azimuth tracking mechanisms. It also increases collection efficiency by 26%, just as
288 continuous or time-based dual-axis solar trackers do.

289

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292

293 **Author Contributions:** All the authors have designed the experiment and worked in the
294 development of the prototype throughout the project. Jose Ruelas and Roberto Favela created and
295 designed the experiments. Jose Ruelas and Flavio Muñoz analyzed the data; Jose Ruelas and Javier
296 Ochoa wrote the article and Jose Ruelas and Juan Delfin analyze the documents.

297

298 **Conflicts of Interest:** The authors declare no conflict of interest.

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