

1 (Review)

2 Unanswered Questions Regarding Sex and BMP/TGF- β signaling

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8 **Abstract:** Crosstalk between the BMP and TGF- β signaling pathways regulates many complex
9 developmental processes from the earliest stages of embryogenesis throughout adult life. In many
10 situations, the two signaling pathways act reciprocally. For example, TGF- β signaling is generally
11 pro-fibrotic whereas BMP signaling is anti-fibrotic and pro-calcific. Sex-specific differences occur in
12 many diseases including cardiovascular pathologies. Differing ratios of fibrosis and calcification
13 in stenotic valves suggests that BMP/TGF- β signaling may vary in men and women. In this review,
14 we focus on the current understanding of the interplay between sex and BMP/TGF- β signaling and
15 pose several unanswered questions.

16 **Keywords:** BMP, TGF- β , signaling, sex, chromosomes, XIST, genomic imprinting, hormones, fibrosis

18 1. Introduction

19 The distinct developmental mechanisms that bring about the dramatic differences in male and
20 female characteristics are well studied. However, the impact of sex-associated signaling on the bone
21 morphogenetic protein (BMP), transforming growth factor (TGF)- β , and other pathways in
22 developing animals and during the adult life is incomplete. Cardiac valvulogenesis is just one of the
23 many complex developmental processes where both BMP and TGF- β signals – along with WNT,
24 fibroblast growth factor (FGF), NOTCH, vascular endothelial growth factor (VEGF), and epidermal
25 growth factor (EGF) signals - orchestrate differentiation and morphology [1-3]. As in many adult
26 diseases, processes that control normal embryonic processes also are involved in valve pathologies.
27 Recent reports described dissimilarities in the ratios of fibrotic to calcified tissue in equally stenotic
28 valves from men *versus* women [4-7]. Because TGF- β signaling is generally pro-fibrotic and BMP
29 signaling is generally anti-fibrotic, we wondered if sex-associated changes in this balance contributed
30 to the skewed sex distribution of valvular heart disease [4,7-11]. Here we review the current state of
31 understanding regarding the impact of sex on BMP and TGF- β signaling and identify several
32 unanswered questions.

33
34 **2. Sex Chromosomes.** Most biological discussions of sex start with the X- and Y-chromosomes. Basic
35 mechanisms that may influence BMP and TGF- β signaling would include X- or Y-linked inheritance
36 of variant alleles and differences in X-chromosome inactivation. Of 64 mammalian BMP/TGF- β
37 ligands, receptors, canonical signal mediators, and extracellular and intracellular antagonists, only
38 *Bmp15* (GDF9B) maps to the X chromosome (Supplemental Table 1 and [12-15]). BMP15, an oocyte-
39 derived growth and differentiation factor, is essential for folliculogenesis and granulosa cell function
40 and thus female fertility [16]. Of greater overall impact to female biology, both the BMP and TGF- β
41 signaling pathways regulate a key factor in X-chromosome inactivation. This critical process assures
42 similar ratios of X to autosome gene expression between XY males and XX females. In female cells,
43 one of the two X chromosomes is transcriptionally inactivated by a mechanism involving the

44 noncoding RNA XIST. Six members of the BMP/TGF- β signaling pathway were identified in a screen
45 for X-chromosome inactivation modulators [17]. Chromatin immunoprecipitation experiments
46 determined that BMP signaling directly induced the expression of XIST. In contrast, TGF- β 1 down-
47 regulated XIST *in vitro* and *in vivo*. Antagonism between these two pathways would profoundly
48 influence X-chromosome dosage compensation and thus female biology on a cellular level [17].

49

50 **Unanswered questions.** Human X-chromosome inactivation and reactivation have profound
51 consequences on cellular reprogramming and disease [18]. Likewise, BMP/TGF- β signaling strongly
52 impacts pluripotency and differentiation. How does the upstream modulation of XIST expression by
53 BMP/TGF- β signaling impact the downstream processes affected by these pathways? Does the ratio
54 of BMP/TGF- β signaling affect the 15% of X-linked genes that escape from X-inactivation in human
55 females, many in a tissue-specific pattern [19]? What is the relationship between pathological
56 conditions that alter X-chromosome inactivation and BMP/TGF- β signaling?

57

58 **3. Genetic imprinting** is another influential cellular process controlled by sex, in this case, that of the
59 parents. Imprinted genes are expressed on either the maternal or paternal allele, but not both. This
60 monoallelic expression causes a variant or mutated allele to produce a different phenotype based on
61 the parental origin of that imprinted gene. 250 human and 150 mouse imprinted genes were surveyed
62 (Supplemental Table 1 and [20,21]). The ligand, BMP8B, is predicted to have a paternal genetic
63 imprint in humans. The extracellular antagonist, Decorin, is maternally imprinted in mouse, but
64 not humans [21]. Furthermore, at least two imprinted long noncoding (lnc) RNAs (H19 and MEG3)
65 and their miRNA derivatives (*e.g.*, miR-675-3p and -5p) have been shown to regulate key BMP/TGF-
66 β ligands and signaling intermediaries, including BMP4, SMAD1, and SMAD5 [22,23]. These two
67 lncRNAs are imprinted in both humans and mice and have been shown to influence mesenchymal
68 stem cell lineage decisions such as myogenesis, adipogenesis, and osteogenesis that BMP/TGF- β also
69 direct.

70

71 **Unanswered questions.** The epigenetic regulation of imprinted genes controls fetal and postnatal
72 growth, with lifelong metabolic consequences such as obesity that impact health [24]. BMP and TGF-
73 β signaling govern the differentiation of cells into myoblasts, adipocytes, chondrocytes, or
74 osteoblasts. How do parentally imprinted regulators impact critical differentiation choices controlled
75 by BMP/TGF- β signaling?

76

77 **4. Hormones.** Beyond the cell-intrinsic impact of the sex chromosomes and imprinting, hormones
78 such as estrogen and androgens are essential drivers of female and male characteristics and function.
79 Furthermore, the natural developmental variation in hormonal milieu, for example during puberty,
80 pregnancy, lactation, and menopause, is substantial. In contrast to X-chromosome inactivation and
81 imprinting which alter the intrinsic nature of each cell, hormones are extrinsic factors that coordinate
82 cell behaviors on a physiological scale. Unsurprisingly, sex hormones directly regulate many
83 members of the BMP/TGF- β signaling pathways (Table 1). For example, estrogen directly induces
84 *Bmp2* and *Bmp6* transcription [25,26]. In contrast, estrogen inhibits TGF- β signaling by stimulating
85 SMAD2/3 protein degradation [27]. Testosterone was shown to significantly alter the expression of
86 20 members of the BMP/TGF- β pathway in skeletal muscle progenitors (satellite cells, [28]). Perhaps

87 fueled by the long history of reproductive endocrinology - anti-Müllerian hormone (AMH) also
 88 known as the Müllerian-inhibiting substance (MIS) was discovered in 1947 [29] – an exceedingly
 89 complex network of estrogen and androgen interactions with BMP/TGF- β pathways has been
 90 described in reproductive organs. Estrogen and androgens interact with nearly all the members of
 91 the TGF- β superfamily (TGF- β s, BMPs, activin, inhibins, anti-Müllerian hormone, growth
 92 differentiation factors (GDFs), LEFTY, and NODAL) in females [16]. The system of BMP/TGF- β
 93 signaling may be only slightly less vast in males [30,31].

94

95 **Table 1: BMP/TGF- β signaling pathway members with molecular evidence of direct regulation by**
 96 **sex-related steroids.**

Protein	Effector	Evidence	Cell or Tissue type	Reference
Ligands				
AMH (MIS)	Estrogen	Luciferase reporter assay	KK1 cells	[20]
BMP2	Estrogen	Luciferase reporter assay, ovariectomy	C3H10T1/2 cells, bone marrow mesenchymal stem cells	[25,32]
BMP6	Estrogen	Luciferase reporter assay	MCF-7, T47-D cells, and HepG2 cells	[26]
INH β A (ACTA)	Estrogen	Luciferase reporter assay	GRMO2 granulosa cells	[33]
INH β B (ACTB)	Estrogen	Luciferase reporter assay	GRMO2 granulosa cells	[33]
TGF- β 1	Dihydrotestosterone, R1881 synthetic androgen	Luciferase reporter assay, Chromatin Immunoprecipitation	PC3mm2 cells, LNCaP cells, primary osteoblasts	[34-36]
TGF- β 3	Estrogen	Chloramphenicol Acetyl Transferase (CAT) reporter assay	Human MG63 osteosarcoma cells	[37]
Extracellular Inhibitors				
Decorin	Progesterone, Dienogest synthetic progestin	Chromatin Immunoprecipitation	EMOsis cc/TERT and	[38]

			CRL-4003 cells.	
Receptors				
TGF β R1 (ALK5)	Estrogen	Luciferase reporter assay	osteoblasts	[39]
Intracellular Signal Transducers				
SMAD3	Dihydrotestosterone	Luciferase reporter assay	prostate cancer cell lines	[40]
Intracellular Inhibitors				
SMURF1	Mibolerone synthetic androgen	Chromatin Immunoprecipitation	LNCaP cells	[41]

97

98 **Unanswered questions.** A daunting web of BMP/TGF- β additive, synergistic, and antagonistic
 99 actions among members of the ligand superfamily and signal mediators occurs in reproductive
 100 tissues. Each interaction within this panapoly “may” occur in other tissues. The challenge is to
 101 identify which interactions also occur in other tissues.

102

103 **5. Crosstalk and balance.** In just the context of cardiovascular biology, sex profoundly influences
 104 heart and vascular health with estrogen generally playing a protective role [42,43]. That a “one size
 105 fits all” approach to cardiovascular treatment cannot work for men and women is now widely
 106 recognized [43]. Several cardiovascular diseases, including aortic valve stenosis, exhibit sex-specific
 107 differential levels of calcified and fibrotic tissues. The reduced blood flow associated with aortic valve
 108 stenosis is life-threatening. Unfortunately, treatment is limited to surgical replacement. Both
 109 calcification and fibrosis impair valve leaflet mobility. Studies have shown that valves from men
 110 typically have greater aortic valve calcification whereas women have a greater fibrosis score despite
 111 equal levels of stenosis and loss of function [5-7]. We postulate that the balance of BMP/TGF- β
 112 signaling may differ in the valves from males and females. Many members of the TGF- β
 113 superfamily, particularly the founding members TGF- β 1 and - β 2, but also activin A, myostatin, and
 114 BMP9, promote fibrosis in various tissues [4,44]. Others, for example, TGF- β 3, BMP2, and BMP7
 115 oppose fibrosis in multiple organs [44-54]. Different ligands often promote alternative lineage
 116 choices. For example, TGF- β 1 inhibits calcific nodule formation in aortic valves *in vivo* by inducing
 117 SOX9, a pro-chondrogenic, anti-osteogenic transcription factor [55]. On the other hand, BMP2 and
 118 its downstream effectors, *e.g.*, phosphorylated SMAD1/5/8(9), are potent pro-osteogenic signals
 119 strongly implicated in pathological calcification [56-61]. In a few models of organ fibrosis, the
 120 antagonistic nature of TGF- β and BMP signaling has been directly observed in the same tissues. In
 121 these *in vitro* and *in vivo* studies, TGF- β signaling promoted extracellular matrix synthesis and
 122 epithelial-mesenchymal transition (EMT), whereas BMP2 negatively regulated these pro-fibrotic
 123 processes [45,62,63]. Understanding this interplay between BMP and TGF- β signaling will
 124 potentially reveal potential strategies to control fibrotic, calcific, and other pathologies [44,64].

125

126 **Unanswered questions.** Clear sex-specific differences in the relative levels of calcification and
 127 fibrosis in aortic valves occur. Although BMP/TGF- β signaling strongly influences these processes,

128 few studies have addressed the regulation of these pathways in each sex. What are the relative levels
 129 of each BMP/TGF- β ligand in healthy and diseased valves in men and woman? How do sex and
 130 hormonal status influence the relative activities of signaling mediators and extracellular and
 131 intracellular antagonists of signaling? Most importantly, what therapeutic strategies may modulate
 132 the balance of BMP/TGF- β signaling optimally for each sex?

133

134 **6. Concluding remarks.** Many factors lead to sex-specific differences in disease incidences and
 135 manifestations and to therapeutic efficacy [65]. These include cell-intrinsic genetic and cell-extrinsic
 136 physiological dissimilarities as well as environmental circumstances such as healthcare inequities
 137 [66]. Although increased attention is now paid to social and organismal contrasts between males and
 138 females, far less is known regarding the impact of sex on biochemical signaling mechanisms. Despite
 139 extensive differences in many diseases, preclinical studies often ignore sex as an important biological
 140 variable. Studies often use only male animals or fail to report sex at all. Full understanding of
 141 disease processes will only be possible when the effect of sex on signal crosstalk is elucidated. The
 142 potential reward will be therapeutic methods to fine-tune the balance of networks involving BMPs,
 143 TGF- β s, and other signals in both men and women.

144 **Supplemental Table 1: Chromosomal locations and genomic imprinting status for members of the**
 145 **BMP/TGF- β signaling pathways.**

Protein	HNGC ID	Chromosome (human/mouse)	Imprinted	Protein	HNGC ID	Chromosome (human/mouse)	Imprinted
Ligands				Extracellular Antagonists			
AMH (MIS)	464	19/10	No	BAMBI	30251	10/18	No
BMP10	20869	2/6	No	BMPER	24154	7/9	No
BMP15 (GDF9B)	1068	X/X	No	Chordin	1949	3/16	No
BMP2	1069	20/2	No	DAND5 (Coco)	26780	19/8	No
BMP3	1070	4/5	No	NBL1 (DAN)	7650	1/4	No
BMP3B (GDF10)	4215	10/14	No	Decorin	2705	12/10	Maternal for mouse, not human (verified, [21])
BMP4	1071	14/14	No	Follistatin	3971	5/13	No
BMP5	1072	6/9	No	Gremlin	2001	15/2	No
BMP6	1073	6/13	No	LTBP1	6714	2/17	No
BMP7 (OP1)	1074	20/2	No	Noggin	7866	17/11	No
BMP8A	21650	1/4	No	Sclerostin	13771	17/11	No
BMP8B (OP2)	1075	1/4	Predicted for humans	Twisted Gastrulation	12429	18/17	No

GDF1	4214	19/8	No	Receptors			
GDF11 (BMP11)	4216	12/10	No	ACVR2B	174	3/9	No
GDF15	30142	19/8	No	ACVRL1 (ALK1)	175	12/15	No
GDF2 (BMP9)	4217	10/14	No	ACVR1 (ALK2)	171	2/2	No
GDF3	4218	12/6	No	ALK4 (ACVR1B)	172	12/15	No
GDF5 (BMP14)	4220	20/2	No	AMHR2	465	12/15	No
GDF6 (BMP13)	4221	8/4	No	BMPR2	1078	2/1	No
GDF9	4224	5/11	No	BMPRIA (ALK3)	1076	10/14	No
INH α	6065	2/1	No	BMPRII (ALK6)	1077	4/3	No
INH β A (ACTA)	6066	7/13	No	TGF β R2	11773	3/9	No
INH β B (ACTB)	6067	2/1	No	TGF β R3	11774	1/5	No
INH β C (ACTC)	6068	12/10	No	Intracellular Signal Transducers			
INH β E (ACTE)	24029	12/10	No	SMAD1	6767	4/8	No
LEFTYA	3122	1/1	No	SMAD2	6768	18/18	No
LEFTYB	6552	1/1	No	SMAD3	6769	15/9	No
MSTN (GDF8)	4223	2/1	No	SMAD4	6770	18/18	No
NODAL	7865	10/10	No	SMAD5	6771	5/13	No
TGF- β 1	11766	19/7	No	SMAD9(8)	6774	13/3	No
TGF- β 2	11768	1/1	No	Intracellular Inhibitors			
TGF- β 3	11769	14/12	No	SMAD6	6772	15/9	No
				SMAD7	6773	18/18	No
				SMURF1	16807	7/5	No
				SMURF2	16809	17/11	No

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