

1 Article

2 Grating-coupled Surface Plasmon Resonance 3 (GC-SPR) Optimization for Phase-interrogation 4 Biosensing in a Microfluidic Circuit

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13 **Abstract:** Surface Plasmon Resonance (SPR) based sensors have the advantage of being label-free,
14 enzyme-free and real-time. However, their spreading in multidisciplinary research is still limited
15 and almost confined to prism-coupled devices. Plasmonic gratings, combined with a simple and
16 cost-effective instrumentation, have been poorly developed compared to prism-coupled system
17 mainly due to their lower sensitivity. Here we describe the optimization and signal enhancement of
18 a sensing platform based on phase-interrogation method, which entails the exploitation of a
19 nanostructured sensor. This technique is particularly suitable for integration of the plasmonic
20 sensor in a lab-on-a-chip platform and can be used in a microfluidic circuit to ease the sensing
21 procedures and limit the injected volume. The careful optimization of most suitable experimental
22 parameters by numerical simulations leads to a 30 to 50% enhancement of SPR response, opening
23 new possibilities for applications in the biomedical research field while maintaining the ease and
24 versatility of the configuration.

25 **Keywords:** Surface Plasmon Resonance; Biosensing; Nanofabrication; Lab-on-a-Chip; Microfluidic

26

27 1. Introduction

28 Biosensing, that is the detection of an analyte through the interaction between a biological
29 ligand and a physical transducer, is a primary scope of multidisciplinary scientific and technological
30 research [1–4]. With the advances in Photonics, a large variety of optical methods have been applied
31 to biosensing including spectroscopy, microscopy and plasmonic resonance. The development of
32 microscopic sensing platforms in combination with microfluidic technologies has opened the
33 possibility to integrate sensors and arrays in lab-on-a-chip devices [5–7].

34 A well-established approach to optical biosensing is the one based on surface plasmon
35 resonance (SPR), that is the resonant excitation of electromagnetic modes called Surface Plasmon
36 Polaritons (SPP), supported by metal-dielectric interfaces and consisting of electromagnetic waves
37 coupled to conduction electrons collective oscillations [8–11]. SPR-based sensors are widely
38 appreciated because they allow label-free real-time detection and they are a suitable approach to be
39 implemented in cost-effectively integrated devices [12–15].

40 The first approach to provide coupling between incident light and SPP for SPR biosensing is by
41 a prism; this is still the most widely used method in commercial SPR devices due to its high
42 sensitivity and ease of use [16–19]. However, the cumbersome and complicated optical system of
43 prism-based devices limits their versatility and integration capabilities in miniaturized arrays and
44 lab-on-a-chip platforms. The rival approach is the grating-based coupling (GC-SPR), in which the
45 resonance conditions are provided by diffraction of the incident light; this configuration is less
46 diffused for its lower sensitivity [20–22]. On the other hand, grating-based devices offer much higher

47 miniaturization and integration capabilities, resulting in a growing interest in this kind of sensors for
48 lab-on-a-chip applications [20, 23, 24].

49 It is thus essential to find strategies to enhance the sensitivity of these sensors. It was
50 demonstrated that a sensitivity enhancement can be achieved by working in “conical mounting”, a
51 configuration where the scattering plane is rotated of an azimuthal angle with respect to the grating
52 wavevector [25–28]. It was also observed that the symmetry breaking, due to the azimuthal rotation,
53 involves a fundamental role of the incident light polarization on SPP excitation [25, 29]. Therefore, in
54 addition to the usual angular and wavelength interrogation techniques, the unique role of
55 polarization in grating-coupled SPR devices can be exploited to perform a polarization scan. In this
56 configuration, the device reflectance is a function of the incident light polarization and variations of
57 the phase or amplitude of the resulting spectrum constitute the sensor response [30]. This method
58 allows a high resolution as well as a compact, simple and cost-effective detection setup, opening
59 remarkable possibilities for further integration in lab-on-a-chip devices, as already demonstrated
60 [31].

61 This microfluidic plasmonic chip is a starting point that requires SPR response enhancement
62 prior to biomedical applications. Here, we will investigate the grating coupler and working
63 conditions with the aim to optimize the SPR response in the case of polarization scan based
64 biosensing in aqueous environment.

65 2. Materials and Methods

66 2.1 Numerical Simulations

67 Numerical simulations were performed by Chandezon method (C-method), which is known as
68 one of the most efficient and stable algorithms developed to compute the optical response of
69 periodically patterned multilayer structures to an impinging monochromatic light beam [32, 33]. The
70 method has been extended and improved over the years and proved to be reliable in producing
71 realistic reflectance spectra in cases including conical mounting [34, 35], multilayer gratings of
72 arbitrary profile [36, 37] and also digital gratings [38]. The gratings were assumed to have a duty
73 cycle of 50% and the gold film was considered as bulk, since the transmitted component was found
74 to be negligible. The refraction index of the gold film was determined via Spectroscopic
75 Ellipsometry.

76 2.2 Grating and microfluidic system fabrication for GC-SPR

77 Grating fabrication was performed following a proven procedure already described by our
78 group, with minor adjustments [39]. In details, digital gold gratings were produced, with a period of
79 400nm and a duty cycle of 50%, on a 2.5x2.5 cm² clean microscope slide by a Laser Interference
80 Lithography (LIL) process. The high-temperature cleaning procedure has been performed where a
81 sequential oxidative desorption and complexing with H₂O₂:NH₄OH:H₂O (1:1:3) has been
82 implemented. A 5 nm Ti adhesion layer was first deposited via electron beam evaporation, followed
83 by a 40nm Au film. A polymeric stencil, made of (Poly(methyl methacrylate), PMMA), with five
84 2x2mm² areas was used during evaporation step to divide a single chip in different spots and to
85 allow the bonding of the Polydimethylsiloxane (PDMS) microfluidic system directly on glass. A
86 positive photoresist Microposit S1805 diluted into propylene glycol monomethyl ether acetate
87 (PGMEA, Microresist Technology) (2:3) was spun at 4000 rpm for 30 s on an adhesion promoter
88 (HDMS - Microchem) at 2000 rpm for 30 s. LIL in lab-made Lloyd’s configuration setup was used to
89 impress the grating’s grooves into the resist. The optimal dose for the required duty cycle was found
90 to be 65 mJ/cm², corresponding to exposure times around 10min using a 325nm He:Cd laser
91 (Kimmon Koha Co.), spatially filtered with a 10μm diameter pinhole. The incidence angle was set to
92 obtain the desired period. The resist was developed in Microposit® MF 321 for 40s and then rinsed
93 for 60s in Milli-Q water. A second 40nm-thick gold was deposited onto the nanostructured pattern,
94 after an O₂ plasma cleaning to remove any residual layer, aligning the stencils to the gold squares. A
95 final lift-off process in a 1:4 solution of isopropyl alcohol (IPA) and acetone at 70°C for 15 min

96 allowed to obtain all-metal gratings. The gratings were then characterized by Scanning Electron
97 Microscopy (SEM) resulting in a period of $396 \pm 4 \text{ nm}$ and a duty cycle of $46 \pm 1 \%$.

98 Microfluidic chambers were realized in PDMS with soft lithography and covalently bonded on
99 the SPR chip with O_2 -plasma treatment and an Air-plasma pre-cleaning of the gold surface, as
100 previously described [39]. The master was pattern transferred in a silicon substrate using a negative
101 photoresist UV-lithographed mask via Bosh dry etching, obtaining a depth of $56 \mu\text{m}$. The silicon
102 master was then replicated to PDMS to obtain the desired positive master. The surface was then
103 silanized to avoid sticking of the PDMS during replica.

104 2.3 GC-SPR Detection Setup

105 For SPR generation and detection, we used a custom-made bench setup based on phase
106 interrogation, previously adopted for other applications [30, 31]. Briefly, an incident collimated laser
107 beam at 633 nm crosses a half-wave plate mounted on a motorized rotation system, before reaching
108 the grating. The sample is mounted on a rotation stage to select the azimuth, while both the camera
109 and laser were mounted in an articulated base to allow polar angle rotation. The reference azimuth
110 corresponds to the orientation that minimizes the intensity of reflected light, i.e. resonance. This
111 angle is selected rotating the grating until the minimization condition is reached and finely tuned
112 with polarization scan around the identified position for more precise selection of the orientation.

113 The reflected light is then collected by a CMOS camera, whose exposure time is regulated in
114 order to avoid signal saturation. Real-time measurements were performed monitoring averaged
115 reflected light intensity in a selected Region of Interest (ROI) of the sensing region as a function of
116 polarization angle. The ROI was selected in order to exclude margins where fabrication
117 misalignments could generate unwanted reflection. The entire system was controlled by a
118 custom-made software. Experiments were conducted acquiring at least 10 points around maximal
119 intensity sampling every 5° . For each angular position 30 frames were averaged (camera working at
120 30fps).

121 2.4 Sensing and Functionalization experiments.

122 The sensor performance was analyzed using a model sodium chloride (NaCl, SIGMA-Aldrich)
123 solution in Milli-Q water, as a previously adopted method to evaluate SPR response to bulk
124 refractive index variation [39]. The considered condition was 200mM NaCl similar to buffers used in
125 biosensing (e.g. PBS or HBSS).

126 A standard protein-protein interaction was used as a starting point for biosensing applications
127 [31]. A 2mM aqueous solution of Biotin-PEG2kDa-SH (NANOCS) was fluxed in the microfluidic
128 chamber and SPR signal was monitored in static (stop-flow) conditions at room temperature (22°).
129 Rapid and continuous acquisition of the SPR spectra was recorded for the first 2 hours of the
130 functionalization, then additional measurements were performed after at least 8-10 hours when the
131 functionalization resulted completed in our conditions. The presented experiments were performed
132 dissolving reagents in Milli-Q water (MilliPore) to ensure repeatability of the analysis. Avidin
133 (SIGMA-Aldrich) was dissolved in Milli-Q water at $4 \mu\text{g}/\text{mL}$. Avidin biosensing was monitored for
134 at least 1 hour even though the steady state was observed after 20 minutes.

135 2.5 Data Acquisition and Analysis

136 The reflected light is acquired as a function of polarization angle and fitted by the harmonic
137 curve described below. The shift $\Delta\alpha_0$ is proportional to the refractive index change Δn and hence
138 used as SPR response of our biosensing system. The sensitivity of the system is defined as the ratio
139 between the phase shift and the refraction index variation ($S = \Delta\alpha_0/\Delta n$). All reported data are
140 expressed as the mean obtained from different experimental replicas, with the corresponding
141 standard error of the mean (s.e.m.). Salts concentrations and SPR response were compared to
142 numerical simulations. The kinetics of binding is well approximated by the Hill function using the
143 Orthogonal Distance Regression with Origin®. Comparison between the experimental groups was
144 made using non-paired Student's T-test, considering $P < 0.05$ statistically significant.

145 3. Results

146 3.1. Theory of phase-interrogation for GC-SPR in conical mounting

147 In conical mounting (fig. 1), the reflectance was found to exhibit a sinusoidal behaviour as a function
148 of light polarization [30] that can be fitted with the formula

$$R = f_0 - f_1 \cos(2\alpha + \alpha_0) \quad (1)$$

149 where α is the polarization, α_0 the phase parameter and f_0 and f_1 control respectively the offset
150 and amplitude of the polarization spectrum. The reflectance is minimized for $\alpha_{min} = -\alpha_0/2$. The
151 phenomenon can be understood assuming that at resonance only the electric field component lying
152 on the grating's symmetry plane is effective for SPP excitation [29], because the perpendicular
153 component is not diffracted and therefore no coupling is possible. That assumption brought to the
154 analytical determination of the polarization that minimizes the reflectance at resonance, which was
155 validated experimentally [25]. However, this model is valid only at resonance, because it assumes
156 that all the electric field component lying on the (G, n) plane is absorbed. When going out of
157 resonance due to a refractive index change, part of that field is reflected due to momentum mismatch
158 between the diffracted and plasmonic wavevectors.

159 Out of resonance, the global reflectance can be decomposed into the three reflected
160 components:

$$R = \zeta |E_{\perp}|^2 + \mu |E_{\parallel}|^2 + \xi |E_z|^2 \quad (2)$$

161 Where E_{\perp} is the component of the incident electric field that is perpendicular to the grating
162 wavevector and lays in the x,y plane of fig. 1; E_{\parallel} is the parallel component laying in the same plane,
163 while E_z is the component perpendicular to the grating surface, laying in the z-axis of fig. 1. The
164 three coefficients (ζ, μ, ξ) give the fraction of the correspondent reflected incident field. Each
165 unreflected fraction go into plasmonic excitation and intrinsic losses in the metal. At resonance, the
166 reflectance is determined only by the non-coupled perpendicular component while a variation of the
167 refraction index determines the activation of the other two components. This causes a change of the
168 overall phase at the same azimuthal and polar angles. In general, all the three dampenings are
169 expected to have a refraction index dependence. The working principle of the phase-interrogation is
170 to monitor the fitted phase parameter change.

171 3.2 Simulation study for grating line depth contribution to SPR response in phase-interrogation

172 The line depth (indicated as A) of the grating is a parameter that controls the plasmonic efficiency
173 and has an effect on the global sensitivity. For prism couplers, the reflectance around resonance can
174 be approximated by a Lorentzian form [40, 41] solving the Fresnel equations for the dielectric-metal
175 interface and the expression has been proven valid also for grating [21]:

$$R = 1 - \frac{4\Gamma_i\Gamma_r}{\Delta k_t^2 + (\Gamma_i + \Gamma_r)^2} \quad (3)$$

176 where Δk_t is the momentum mismatch between the SPP and the diffracted light momentum, null at
177 resonance, while Γ_i and Γ_r are respectively the intrinsic and radiative loss. The first only depends
178 on the intrinsic dissipation of the metal, while the latter depends on the coupling efficiency of the
179 grating [42, 43]. The (3) is null when the radiative loss equals the intrinsic loss ($\Gamma_i = \Gamma_r$),
180 corresponding to the optimal plasmonic coupling efficiency, when the energy stored in the SPP
181 mode is maximized. However, it was shown that the derivative of the reflectance over the refraction
182 index is maximized for $\Gamma_r = 1/2\Gamma_i$ [41], therefore the maximum sensitivity is not expected at the
183 best plasmonic efficiency in terms of $\partial R/\partial n$. In our phase interrogation system, we sought to

184 optimize the phase shift at given refraction index, whose dependence over R is not analytically
185 known. Thus, to study phase contribution in different condition, the use of numerical simulations is
186 fundamental.

187 The effect of the line depth was studied through C-method simulations. The line depth affects the
188 radiative loss, determining a change in the plasmonic efficiency. As predicted by the Lorentzian
189 dependence (2), it controls the full width half maximum (FWHM) of the plasmonic dip, along with
190 the minimum reflectance at resonance. This can be seen in fig. 2a, where the azimuthal spectrum is
191 reported at a fixed polar angle for different grating line depths. It is apparent that also the resonant
192 azimuth has a slight dependence over the line depth. While the sensing measurements are carried
193 out changing the polarization, azimuthal spectra are still relevant, because they represent what it is
194 done experimentally to find the resonant azimuth for a given polar angle. For high line depth, hence
195 higher radiative losses, the azimuthal spectrum is broadened, causing low variations of the
196 reflectance around resonance. This makes harder and less precise to find the actual resonance
197 position, which is taken as a reference angle.

198 Because of the dependence of the resonant angles with the line depth, the phase shift was
199 simulated at a fixed polar angle (60°) but calculating the resonant azimuth for each value of A. The
200 refraction index shift was set at 0.002 from water. In that way, it can be seen how the sensitivity
201 varies slightly at resonance with the line depth of the grating (fig. 2b). Smaller depths than the
202 maximum plasmonic efficiency ($A = 40\text{nm}$) were found to increase the phase-shift. However, the
203 sensitivity enhancement comes with a reduction of the polarization spectrum amplitudes (fig. 2c). In
204 detail, the sensitivity enhancement at $A=20\text{nm}$ is only 3.5% in respect to 40nm, while the amplitude
205 of the sinusoid in the polarization spectrum is reduced of 50% (fig. 2b,c). That is why we considered
206 a 40nm depth most suitable for biosensing experiments as a compromise between phase shift and
207 signal to noise ratio.

208 3.3. Polar angles optimization for sensitivity enhancement

209 Our SPR system performance depends on the fabrication parameters of the grating but also on
210 the working conditions of the sensing prototype. The effect of the choice of the polar angle on the
211 phase response was analyzed and tested experimentally. The simulations were performed by
212 C-method, considering a grating of 400nm period and 40nm line depth in water, with a wavelength
213 of 633nm, always calculating the resonant azimuth for the given polar angle. The polar angle is
214 relative to the air interface, so the real incidence angle is lowered according to Snell's law.

215 In fig. 3a the phase shift for different polar angles is reported as colour map varying the
216 refractive index. Moving towards grazing angles allows to obtain larger phase shifts, but technical
217 constraints of the detection prototype did not allow to explore angles over 60° from the normal.

218 Numerical predictions were tested experimentally measuring the SPR response to bulk
219 variations of refraction index from Milli-Q water to a 200mM solution of NaCl in Milli-Q water. This
220 is a standard procedure adopted to monitor SPR response, and we used a buffer similar to refraction
221 index shift to that expected in sensing application to biomedical research. We compared 3 different
222 polar angles (37° , 50° and 60°) and experimental results were overlapped to simulations. As shown
223 in figure 3b, experimental results are in good accordance with simulations. The modification of the
224 polar angle at 60° allowed us to obtain a system with an SPR response 30% larger than previous
225 reports [44].

226 3.4. Azimuthal angles optimization for sensitivity enhancement

227 After polar incidence optimization, we focused our attention on azimuthal rotation. Until now,
228 the azimuthal orientation was selected based on resonance angle. To this aim, we used numerical
229 simulations previously described to evaluate SPR response enhancement varying azimuthal
230 orientation around the resonance angle. The effect of the azimuth was studied by C-method
231 simulations at a fixed polar angle by varying the azimuth around resonance (fig.4a). The monitored
232 parameter is the fitted phase shift between $n_0=1.330$ and $n_1=1.332$. The results showed that the
233 larger SPR response is found before resonance. Thus, the resonance angle is not the best condition, in
234 particular for low refractive index changes. This is not surprising, since when monitoring the

235 reflected intensity also for prism-coupled devices the $\partial R/\partial n$ is not constant but has a maximum for a
236 specific refraction index variation [21], due to non linearity of $R(n)$ function, which is also true for
237 gratings.

238 This observation was then confirmed experimentally by measuring SPR response to bulk
239 refraction index change given by 200mM NaCl from Milli-Q water, as done for polar angle
240 optimization. Few degrees rotation scan of the azimuth angle around resonance was performed and
241 overlapping simulation results with experimental data showed good agreement (fig.4b). Careful
242 azimuthal orientation around resonance is particularly relevant in an aqueous environment,
243 increasing up to 30% the phase response for this refraction index variation.

244 3.5. GC-SPR response enhancement: Biotin-PEG-thiol functionalization and biorecognition with Avidin

245 Since this GC-SPR sensitivity enhancement study was devoted to improving biorecognition
246 experimental results, the biotin-avidin reaction was used as a reference method to analyze SPR
247 performance in a biosensing scenario. A 2mM Biotin-PEG-thiol 2kDa solution was fluxed into the
248 microfluidic cell as described in the Methods section. Biotin functionalization was monitored and
249 compared in the “pre-optimization” and “post-optimization” conditions and traces and
250 corresponding fitting curves are shown in fig. 5a.

251 The functionalization kinetics was monitored to see the avidin-biotin binding. Larger responses
252 upon optimization were clearly visible even in the first hour of the experiment. Upon saturation
253 with biotin, we obtained a 40% increase in SPR response that was in line with the salt solution
254 calibration previously shown. For completeness, two representative polarization spectra are shown
255 in fig. 5b at the $t=0$ and $t=10$ hours of the Biotin functionalization protocol, from where the $\Delta\alpha_0$ can be
256 extrapolated by fitting experimental data. Moreover, the phase shift caused by Avidin binding was
257 significantly larger, almost doubled, suggesting that lower concentrations ($<4\mu\text{g/mL}$) can be
258 detected in the optimized setup condition (fig. 5c).

259 4. Discussion

260 In this study, we took advantage of numerical methods to enhance the performance of a
261 custom-made on-bench system based on phase-interrogation for SPR sensing. From numerical
262 simulations, it was possible to optimize different parameters regarding both the grating fabrication
263 procedure and the sensing prototype. However, translation from numerical simulations to
264 experiments imposes some practical constraints: all parameters should be carefully selected to
265 ensure response enhancement without compromising signal-to-noise ratio or introducing beam
266 distortions. In GC-SPR, correspondence between grating parameters and incident laser wavelength
267 is crucial. The line depth of the grating is a parameter that influences the plasmonic efficiency and
268 sensitivity, but the two cannot be optimized simultaneously [41]. In the range of line depths
269 considered, the sensitivity does not change dramatically, making the method robust and tolerant to
270 mild variations on the fabrication procedure. The 40 nm depth, although predicted not to have the
271 best sensitivity, ensures an easier identification of the resonant azimuthal angle as well as
272 maximizing the signal-to-noise ratio of reflectance in polarization scan.

273 The major technical constraint imposed by mechanical parts of the set-up concerned polar
274 incidence. Although numerical simulations predict that higher angles than 60° would ensure a
275 further increase in sensitivity, a further grazing incidence was tested but, in addition to mechanical
276 limitations, it induced an enlargement of the laser spot, introducing unwanted aberrations,
277 compromising SPR detection. Eventually, the proper azimuthal orientation of the grating led us to
278 an increase from 30% to 50% in sensitivity. The optimal azimuthal orientation is not constant for all
279 refraction index variations since the response is not linear. Thus, upon resonance identification, the
280 most efficient orientation can be predicted by numerical simulations and adjusted prior to the
281 experiment.

282 The need for a more sensitive system, while maintaining the simplicity and cost-effectiveness of
283 the apparatus, is crucial for applications to clinically relevant biochemical interaction studies. The
284 platform here presented lays the bases for future investigations involving molecular and biophysics

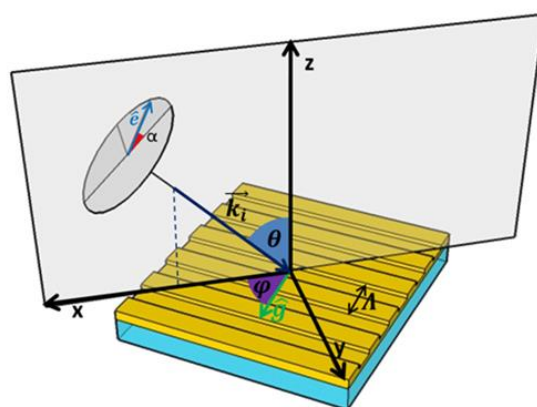
285 phenomena more complex than avidin-biotin recognition. Among others, the system is currently
 286 challenged for leukaemia cell counting and label-free leukaemia cell-drug interaction analysis [45],
 287 this latter being a field of application poorly explored with GC-SPR. This application can open new
 288 possibilities toward personalized medicine in all those clinical conditions where the number of
 289 available cells from patients is scarce and a rapid, real-time monitoring is desirable, as pediatric
 290 leukaemia. Moreover, a major advantage of gratings lays on the versatility and miniaturization
 291 capabilities of the configurations that can be fabricated. Among others, the plasmonic chip can be
 292 integrated into a system with surface acoustic waves (SAWs) to promote analyte mixing and binding
 293 [31]. Furthermore, a chip with multiple sensing areas can be exploited for multiplexed analysis
 294 without expensive microspotter systems to evaluate different analytes simultaneously [46].

295 5. Conclusion

296 The up-to-date limitation in the exploitation of GC-SPR systems is mainly attributable to lower
 297 sensitivity of these apparatus, compared to prism-coupled systems. On the other hand, the use of
 298 gratings enables extreme miniaturization and integration of the sensing areas within lab-on-a-chip
 299 systems. Here, we investigated a GC-SPR detection setup based on phase interrogation with the
 300 biosensing area integrated in a microfluidic chamber, which has been successfully optimized to
 301 enhance global response to bulk refractive index variations and protein adhesion and recognition.
 302 The most suitable working parameters to increase the SPR response were studied with a simulative
 303 approach and then tested experimentally. This brought to an observed increase between 30 to 50% of
 304 the global response, which makes the sensing performances of gratings couplers more competitive
 305 and appealing for use. Further optimization may be obtained acting, for example, on the sensing
 306 instrumentation with the use of a more accurate servomotor.

307 The SPR response enhancement in aqueous medium reported in this work is capable to
 308 promote the integration of GC-SPR devices towards novel applications in biosensing in more
 309 complex lab-on-a-chip platforms.

310 6. Figures and Legends

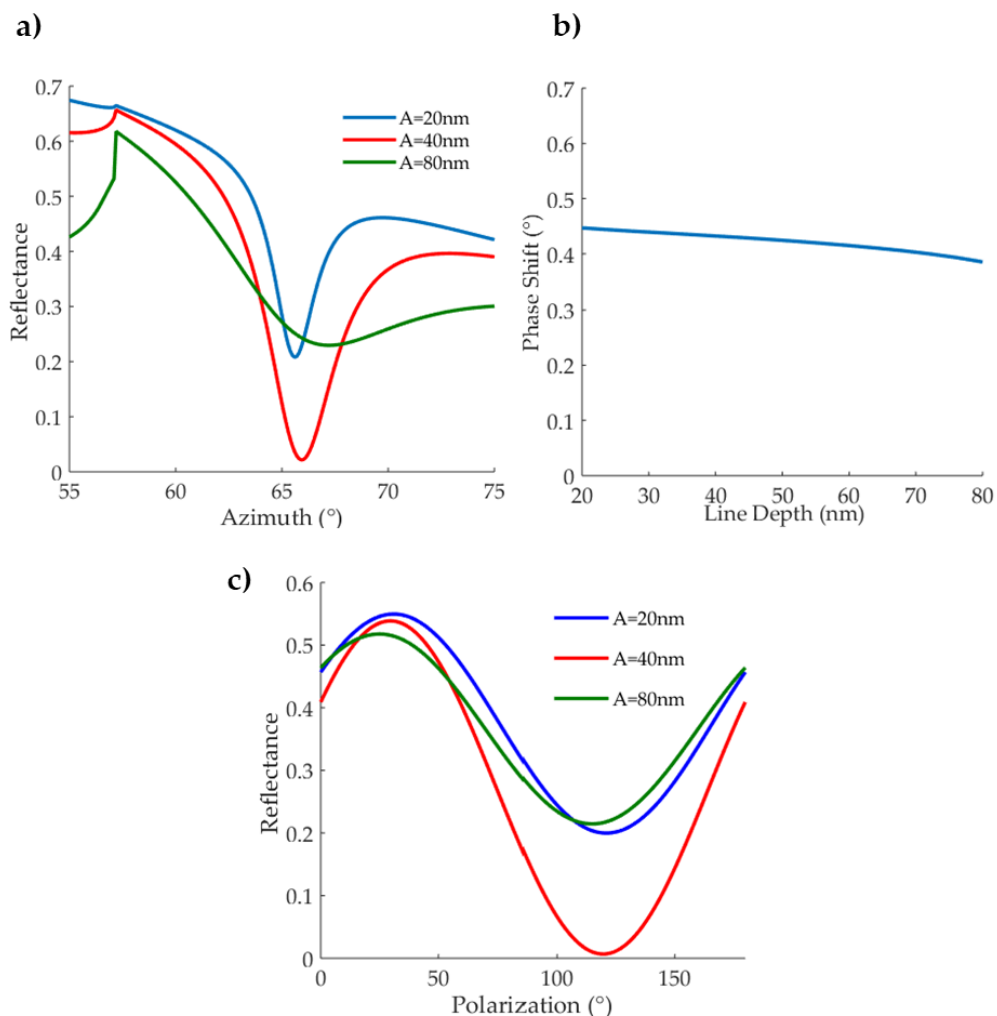


311

312 Figure 1. Conical mounting scheme

313 Schematic representation of the grating-coupled SPR system based on phase interrogation. The x
 314 and z axes lay on the scattering plane. The grating wavevector vector \hat{g} forms an angle φ
 315 with the x -axis, defined as azimuth, while the incident light wavevector \vec{k}_i is incident at an angle θ
 316 from the normal to the grating surface, defined as the polar angle. The polarization α is defined as the angle
 317 formed by the electric field vector \hat{e} from the scattering plane. Λ indicates the period of the grating.

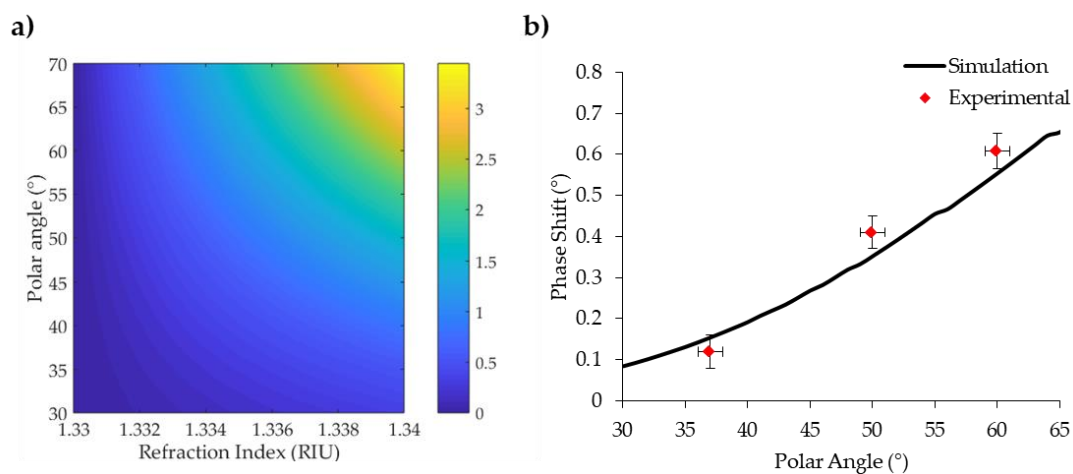
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319

320 **Figure 2. Grating line depth numerical analysis.**

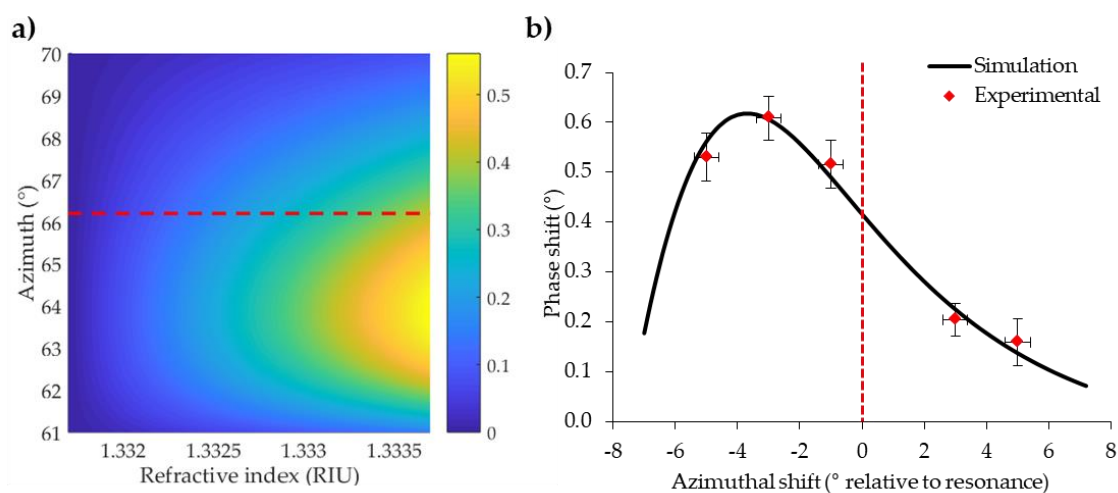
321 a) Azimuthal spectrum for 400 nm gratings at 633 nm wavelength at 60° incidence, for different
 322 grating line depths: A=20nm, A=40nm and A=80nm (see figure colour legend). b) Phase shift
 323 dependence on grating line depth at 60° polar angle, 633nm wavelength at the corresponding
 324 resonance azimuth, for a refraction index of 0.002 from pure water. C) Simulated polarization
 325 spectra in water for different grating line depth at resonance. The minimum reflectance is achieved
 326 with gratings of 40nm depth.



327

328 **Figure 3. Numerical simulations and experimental results of Polar contribution to phase shift**
 329 **enhancement.**

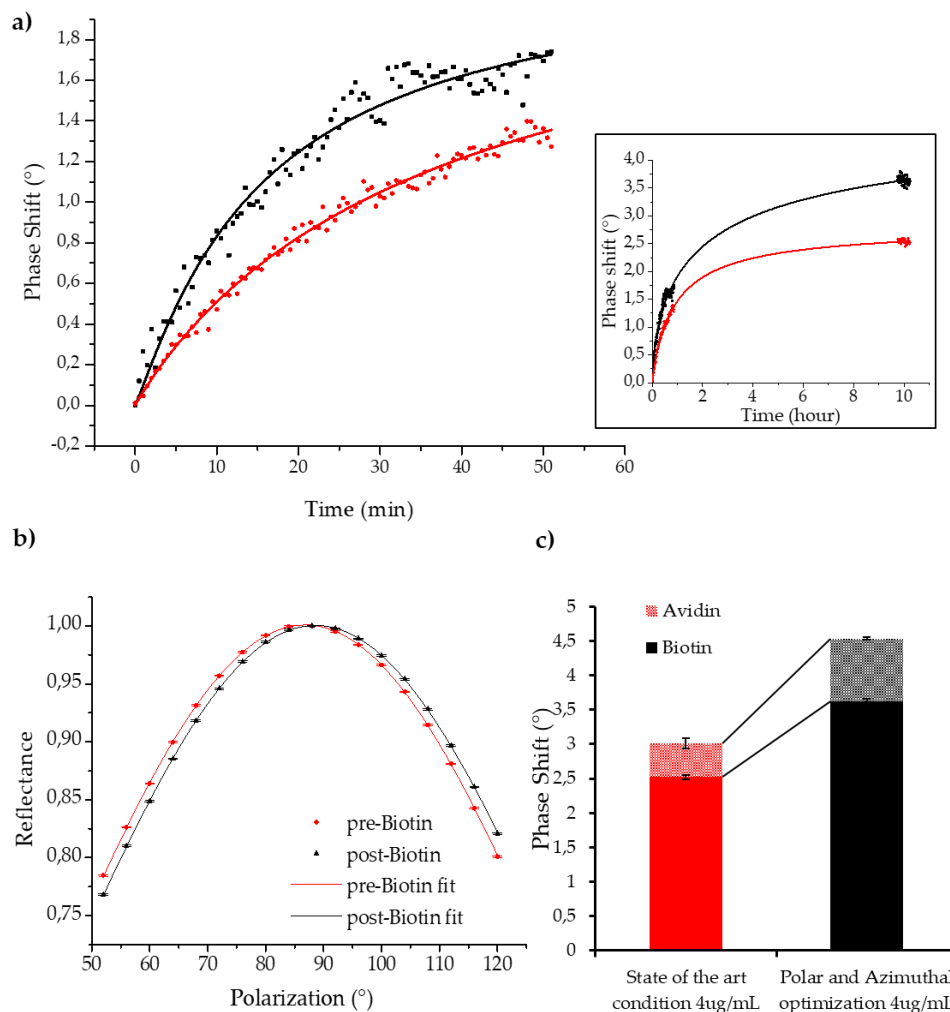
330 a) Phase shift colour-map of gold gratings with a 400nm period in water, varying the polar angle in
 331 resonance condition. Incident wavelength 633nm. b) Experimental phase shift enhancement with
 332 polar incidence angle. Red dots represent experimental data, the black line is the simulated value for
 333 the corresponding refractive index shift extrapolated from the colour-map. S.e.m of the points are
 334 shown
 335



336

337 **Figure 4. Numerical simulations and experimental results of Azimuthal contribution to phase**
 338 **shift enhancement.**

339 a) Simulated phase-variation colour map of a grating with a period of 400nm and 633nm incident
 340 wavelength. The polar angle was fixed at 60°, while the azimuth was varied around resonance. The
 341 phase variation was simulated for a set of refractive indexes starting from the water. The dotted red
 342 line represents the resonant azimuth for water. b) Experimental phase shift for a 200 mM NaCl
 343 solution from water (considered as reference), overlapped to the simulated longitudinal section
 344 profile from the colour-map for different azimuths around resonance, at 60° polar angle. The dotted
 345 red line represents the resonant azimuth for water.



346

347 **Figure 5. GC-SPR biosensing enhancement upon Polar and Azimuthal angles optimization.**

348 Biotin-SH functionalization shows higher phase shift for optimized polar incidence in the first hour

349 of the experiment (a) and after several hours when the functionalization is almost complete (inset).

350 Data were fitted with Hill curves obtaining values of the reduced $R^2 > 0.999$.351 b) Representative curves of the polar spectrum at $t=0$ and $t=10$ hours of Biotin functionalization. Avidin biorecognition over

352 biotin is reported in c), where different conditions are compared. Data are reported with s.e.m.

353

354 **Acknowledgments:** Authors S.R. and G.B. are supported by University of Padua, Department

355 of Physics and Astronomy "G. Galilei". The authors are grateful to Dr. Gianluca Ruffato for

356 developing a preliminary version of the Chandezon Matlab code used in this work, Dr. Michele

357 Massari for technical help, and Fabio Suran for coding the acquisition software.

358

359 **Author Contributions:** S.R., G.B. and F.R. conceived the experiments and interpreted data; S.R.

360 and E.G. performed the numerical simulations; S.R. and G.B. performed the experiments and

361 analyzed data; P.C. and S.R. fabricated and characterized the gratings; S.R., G.B. and E.G. wrote the

362 paper. All the authors revised and approved the manuscript.

363 **Conflicts of Interest:** The authors declare no conflict of interest. The founding sponsors had no role in the
364 design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in
365 the decision to publish the results.

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