

1 Article

2 Sliding Mode with Exponential Reaching Law

3 Control of Double-Fed Induction Generator Based

4 Wind Turbine

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11 Abstract:

12 The main objective of this paper is to continue the development of activities of basic and
13 applied research related to wind energy and to develop methods of optimal control to
14 improve the performance and production of electrical energy from wind. A new control
15 technique of Double fed induction generator for wind turbine is undertaken through a
16 robust approach tagged nonlinear sliding mode control (SMC) with exponential reaching
17 law control (ERL). The SMC with ERL proves to be capable of reducing the system
18 chattering phenomenon as well as accelerating the approaching process. A nonlinear case
19 numerical simulation test is employed to verify the superior performance of the ERL
20 method over traditional power rate reaching strategy. Results obtained in
21 Matlab/Simulink environment show that the SMC with ERL is more robust, prove
22 excellent performance for the control unit by improving power quality and stability of
23 wind turbine.

24 **Keywords:** Doubly fed induction generator; Variable speed wind turbine; Power control; Sliding
25 Mode Control (SMC) ; Sliding Mode Control (SMC) with Exponential Reaching Law (ERL) ;

26 1. Introduction

27 Wind energy is a free, renewable resource, so no matter how much is used today, there will still
28 be the same supply in the future. Wind energy is also a source of clean, non-polluting, electricity.
29 Unlike conventional power plants, wind plants emit no air pollutants or greenhouse gases [1].

30 The latest generation wind turbines operate at variable speed. This type of operation makes it
31 possible to increase the energy efficiency, to lower the mechanical loads and to improve the quality
32 of the electrical energy produced.

33 A Doubly Fed Induction Generator (DFIG) is an electrical asynchronous three-phase machine
34 with open rotor windings which can be fed by external voltages. The typical connection scheme of
35 this machine is reported in Fig. 1. The stator windings are directly connected to the line grid, while
36 the rotor windings are controlled by means of an inverter [2]. This solution is very attractive for all
37 the applications where limited speed variations around the synchronous velocity are present, since
38 the power handled by the converter at rotor side will be a small fraction (depending on the slip) of
39 the overall system power. In particular, for electric energy generation applications, it is important to
40 note that the asynchronous nature of the DFIG allows producing constant frequency electric power

41 with a variable mechanical speed, in addition reduced copper losses and wider operational range are
 42 obtained with respect to standard squirrel-cage induction machine [3].

43 The sliding mode control (SMC) approach has heretofore been used for many dynamic control
 44 systems due to its simplicity of implementation and their robustness against both plant uncertainties
 45 and external disturbances, suitable transient performance and quick response.

46 The SMC has gain considerable concerns in the application of DFIG control. In [4], a dynamical
 47 sliding mode power control scheme is proposed for DFIG. In [5], the SMC method is employed to
 48 control the rotational speed in the rotor side as well as the dc-link voltage in the grid side of a wind
 49 energy conversion system; a model predictive control based SMC is proposed to control a
 50 three-phase grid-connected converter and the grid current total harmonic distortion and the
 51 switching losses are largely mitigated in [6].

52 One major bug of using SMC in practical applications is the chattering. Chattering causes
 53 several damages to the mechanical components. Different methods were proposed to minimize
 54 chattering such as by replacing the sign function with saturation [7] or using Quasi-SMC and fuzzy
 55 mathematics [8]. The combination of a sliding mode control algorithm and fuzzy logic developing
 56 for robot manipulator's trajectory [9].
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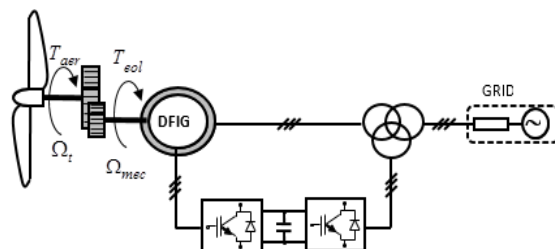


Figure 1. The typical connection scheme of DFIG

58 This paper aims to propose a SMC control scheme based on a novel exponential reaching law
 59 (ERL), the objective of this paper is the control of active and reactive powers in the variable speed
 60 wind turbine. The nonlinear sliding mode control with an exponential reaching law is used to
 61 achieve our objective. In [4] we has used the conventional sliding-mode control to control the
 62 power produced by a wind turbine, but in this paper, we will use the same method but by
 63 employing an exponential reaching law (ERL) proposed by Fallaha et al. [10] to calculate the control
 64 law.

65 The advantage of the proposed approach is that allows to obtain a good reference tracking and the
 66 suppression of chatter phenomenon.

67 This paper is organized as follows. Firstly, In Section 2, the SMC conventional and SMC with ERL
 68 methods were be introduced. In Section 3, the ERL based SMC will be utilized in the control of DFIG
 69 for wind turbine generator. In Section 4, simulation will be conducted and the performance of the
 70 proposed method will be tested with the simulation results. In Section 6, the conclusion of the entire
 71 paper will be provided.

72 2. The Control Scheme

73 In this section, first we represent the theoretical structure of the simple sliding mode and also
 74 the exponential sliding mode control for the general dynamics of rigid systems.

75

76 2.1. Sliding Mode Control (SMC)

77 The SMC was widely used in the literature. This success is due to its simplicity of implementation
78 and to its robustness towards the parameter variations and the external disturbances. It is to be
79 switched with the discontinuous functions of the dynamic system the structure so that the state
80 vector to follow a trajectory $S(X) = 0$ in the state space.

81 ➤ Choice of switching surface

82 The surface of sliding is a scalar function such as the error on the variable to adjust glide to this
83 surface and aims towards the origin of the plan of phase. So, the surface represents the wished
84 dynamic behavior. We find in the literature of various forms of the surface, among which each gives
85 better performances for certain uses. J. Slotine proposes a shape of general equation to determine the
86 surface of sliding which insures the convergence of a variable towards its wished value, [5]:
87

$$88 \quad S(X) = \left(\frac{\partial}{\partial t} + \lambda_x \right)^{r-1} e(X) \quad (1)$$

89 Where: r is the relative degree of the sliding surface.

90 We can verify the stability of the sliding surface withusing the Lyapunov theorem.

$$91 \quad V(X) = \frac{1}{2} S^2(X) \quad (2)$$

92 Its derivative given by:

$$93 \quad \dot{V}(X) = S(X) \dot{S}(X) \quad (3)$$

94 ➤ Convergence condition

95 One must decrease of the Lyapunov function to zero. For this purpose, it is sufficient to assure that is
96 derivative negative. So:

$$97 \quad S(X) \dot{S}(X) < 0 \quad (4)$$

98
99 Two parts have to be distinguished in the control design procedure. The first one concern the
100 attractivity of the state trajectory to the sliding surface and the second represents the dynamic
101 response of the representative point in sliding mode. This latter is very important in terms of
102 application of non-linear control techniques, because it eliminates the uncertain effect of the model
103 and external perturbation. Among the literature, one can chose for the controller the following
104 expression:

$$105 \quad u = u_{eq} + u_n \quad (5)$$

106 Where: u_{eq} the control function is defined by Utkin and noted equivalent control, for which the
107 trajectory response remains on the sliding surface [11]. u_n is the discrete control which is
108 determined to verify the convergence condition.

$$109 \quad u_n = K \operatorname{sign}(S(X)) \quad (6)$$

110 Two major problems exist within the study of SMC theory: the chattering phenomenon and the
111 approaching speed, which largely impact the application of SMC. The appearance of the chattering
112 phenomenon near the sliding manifold is inevitable as long as the high speed switching law is
113 utilized. Thus, the appearance of chattering phenomenon restricts its domain of application due to

114 the fact it may excite some undesirable high frequency dynamics which further causes severe
 115 damages to the system. Literature [12] proposes a kind of ERL based SMC technique which can
 116 reduce the chattering phenomenon to a certain extent. In order to further accelerate the reaching
 117 speed from the initial point to the sliding manifold, a novel SMC method based on ERL will be
 118 proposed in this section.

119 2.1. Sliding Mode Control with Exponential Reaching Law

120 With the aid of a new approach of choosing K we can control the tracking error as well as
 121 preventing the chattering occurrence. We can select K by an exponential procedure [13]

$$122 \quad \dot{S} = \frac{K|S|^\alpha}{N(S)} \text{sign}(S) \quad (7)$$

123 Where

$$124 \quad N(S) = \beta + (1 - \beta)e^{-\gamma|S|^\mu} \quad (8)$$

125 α , β , γ and μ are all positive numbers, $|S|$ denotes the absolute value of S , and $0 < \alpha$, $\beta < 1$

126 It is evident that Eq. (7) has faster reaching speed than that of SMC since an exponential term exists
 127 in Eq. (7). Apart from that, when $|S|$ grows larger, the coefficient of the reaching law approaches

128 $\frac{K}{\beta}$ which is larger than $\frac{K}{\beta}$, hence, the reaching speed is faster. Meanwhile, when the trajectory

129 reaches near the sliding manifold and $|S|$ reaches 0, then the chattering phenomenon is reduced due
 130 to the existence of the exponential term and the increase of $N(S)$.

131 3. DFIG Wind Turbine Application

132 3.1 Modeling of the wind turbine and gearbox

133 Consider a wind turbine provided with blades of length R resulting in a generator through a
 134 multiplier of speed of gain G

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136 The aerodynamic power appearing at the level of the rotor of the turbine. It is given by [14]:

$$137 \quad P_t = \frac{1}{2} C_p(\lambda) \rho \pi R^2 V^3 \quad (9)$$

138 Where ρ is the air density, R is the blade length and V is the wind velocity.

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140 Knowing the speed of the turbine, the aerodynamic couple is thus directly determined by:

$$141 \quad T_{aer} = \frac{P_t}{\Omega_t} \quad (10)$$

142 The multiplier of speed, which is arranged between the wind turbine and the generator, aims at
 143 adapting the speed of the turbine, rather slow, to the one that requires the generator. It is modelled
 144 by following two equations:

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$$146 \quad \left\{ \begin{array}{l} T_g = \frac{T_{aer}}{G} \\ \Omega_t = \frac{\Omega_{mec}}{G} \end{array} \right. \quad (3)$$

147

148 Where T_g is the driving torque of the generator and Ω_{mec} is the generator shaft speed.

149 The power coefficient represents the aerodynamic efficiency on the wind turbine. It depends on
150 the characteristic of the turbine [15], [16].

151 The ratio of speed is defined as the report between the linear speed of blades and the wind speed:

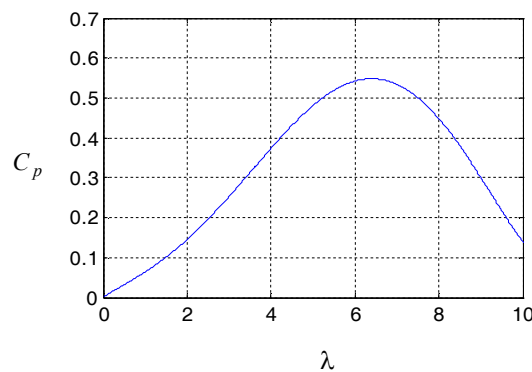
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$$153 \quad \lambda = \frac{\Omega_t \cdot R}{V} \quad (11)$$

154 A typical relationship between C_p and λ is shown in Fig. 2. It is clear from this figure that

155 there is a value of λ for which C_p is maximum and that maximizes the power for a given wind

156 speed. The peak power for each wind speed occurs at the point where C_p is maximized [17], [18].



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Figure 2. Power coefficient for the wind turbine model

158 3.1 Modeling of the Double Fed Induction Generator

159 The model of state of Park of the generator under the following matrix shape [19]:

$$160 \quad \left\{ \begin{array}{l} v_{sd} = R_s i_{sd} + \frac{d}{dt} \phi_{sd} - \omega_s \phi_{sq} \\ v_{sq} = R_s i_{sq} + \frac{d}{dt} \phi_{sq} + \omega_s \phi_{sd} \\ v_{rd} = R_r i_{rd} + \frac{d}{dt} \phi_{rd} - \omega_r \phi_{sq} \\ v_{rq} = R_r i_{rq} + \frac{d}{dt} \phi_{rq} + \omega_r \phi_{rd} \end{array} \right. \quad (12)$$

161 Where R_s and R_r are the stator and rotor phase resistances, respectively. $\omega = p \cdot \Omega_{mec}$ is the
162 electrical speed where p is the pair pole number.

163 The stator and rotor flux can be expressed as

164

$$165 \quad \begin{cases} \phi_{sd} = L_s i_{sd} + M i_{rd} \\ \phi_{sq} = L_s i_{sq} + M i_{rq} \end{cases} \quad (13)$$

$$166 \quad \begin{cases} \phi_{rd} = L_r i_{rd} + M i_{sd} \\ \phi_{rq} = L_r i_{rq} + M i_{sq} \end{cases} \quad (14)$$

167 Where i_{sd}, i_{sq}, i_{rd} and i_{rq} are the direct and quadrature stator and rotor currents, respectively.

168 The active and reactive powers exchanged with the electrical network also depend on electric variables of the
169 stator and on the rotor. In the stator, the active and reactive powers are respectively given by [19]:

$$170 \quad \begin{cases} P_s = v_{sd} i_{sd} + v_{sq} i_{sq} \\ Q_s = v_{sq} i_{sd} - v_{sd} i_{sq} \end{cases} \quad (15)$$

171 The electromagnetic torque is expressed as

$$172 \quad T_{em} = p(\phi_{sd} i_{sq} - \phi_{sq} i_{sd}) \quad (16)$$

173 4. Control Strategy of the Double Fed Induction Generator

174 4.1 Decoupling of the active and reactive powers

175 The principle of orientation of flux statorique consists in aligning the statorique flux according to
176 the axis " d " by the rotating reference table. This last constraint is favorable to have a simplified
177 model of command.

178 By setting the quadrature component of the stator to the null value as follows:

$$179 \quad \phi_s = \phi_{sd} \Rightarrow \phi_{sq} = 0 \quad (17)$$

180 Equation (6) is simplified as indicated below:

$$181 \quad \begin{cases} \phi_{sd} = L_s i_{sd} + M i_{rd} \\ 0 = L_s i_{sq} + M i_{rq} \end{cases} \quad (18)$$

182 By neglecting the stator resistance R_s

$$183 \quad \begin{cases} v_{sd} = 0 \\ v_{sq} = V_s \end{cases} \quad (19)$$

184 Using Equations (12), (13), (14) and (17) the stator active and reactive power can then be expressed
185 only versus these rotor currents as:

$$186 \quad \begin{cases} P_s = V_s i_{sq} = -V_s \frac{M}{L_s} i_{rq} \\ Q_s = V_s i_{sd} = \frac{V_s \phi_s}{L_s} - \frac{V_s M}{L_s} i_{rd} \end{cases} \quad (20)$$

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188

189 4.2 Stator Active and reactive Power Control

190 The fig.3 represents the plan of the simulation. The machine is directly connected to the network
 191 of the side statorique. Of an other, the circuit rotorique is fed through a converter to MLI. The errors
 192 between the reference powers and those measured at the level of the stator are handled by the
 193 algorithm of considered control, to design the reference tensions of the rotor. These reference
 194 tensions as well as those in the entrance of the converter to MLI are used by the technique of
 195 modulation considered for the synthesis of the signals of order for the bidirectional switches of the
 196 converter .

197 In this section, we develop a law command to control the stator active and reactive power.

198 Choosing the following switching functions:

$$199 \begin{cases} S_{P_s} = (P_s^* - P_s) + \lambda_P \int (P_s^* - P_s) dt \\ S_{Q_s} = (Q_s^* - Q_s) + \lambda_Q \int (Q_s^* - Q_s) dt \end{cases} \quad (21)$$

200 Where P_s^* , Q_s^* denote the reference stator active and reactive power, respectively; λ_P, λ_Q are the
 201 coefficients of the switching function. The derivatives of both switching functions are:

$$202 \begin{cases} \dot{S}_{P_s} = -\dot{P}_s + \lambda_P (P_s^* - P_s) \\ \dot{S}_{Q_s} = -\dot{Q}_s + \lambda_Q (Q_s^* - Q_s) \end{cases} \quad (22)$$

203 Based on Eq. (20), we have:

$$204 \begin{cases} \dot{S}_{P_s} = V_s \frac{M}{L_s} (\dot{i}_{rq} + \lambda_P i_{rq}) + \lambda_P P_s^* \\ \dot{S}_{Q_s} = V_s \frac{M}{L_s} (\dot{i}_{rd} + \lambda_Q i_{rd} - \lambda_Q \frac{\phi_s}{M}) + \lambda_Q Q_s^* \end{cases} \quad (23)$$

205 Considering Eqs. (12)–(13) and (14), we have

$$206 \begin{cases} \dot{i}_{rd} = \frac{1}{\sigma L_r} (v_{rd} - R_r i_{rd} + \sigma L_r \omega_r i_{rq}) \\ \dot{i}_{rq} = \frac{1}{\sigma L_r} \left(v_{rq} - R_r i_{rq} - \sigma L_r \omega_r i_{rd} - V_s \frac{gM}{L_s} \right) \end{cases} \quad (24)$$

207 Where $\sigma = 1 - \frac{M^2}{L_s L_r}$ is the scatter coefficient of the magnetic flows. Substituting Eq. (24)

208 into Eq. (23) , we have

$$209 \dot{S} = \begin{bmatrix} G_1 \\ G_2 \end{bmatrix} + [f_1 \quad f_{11}] \begin{bmatrix} v_{rd} \\ v_{rq} \end{bmatrix} \quad (25)$$

210 Wher

$$211 \dot{S} = \begin{bmatrix} \dot{S}_{P_s} \\ \dot{S}_{Q_s} \end{bmatrix} \quad (26)$$

$$212 f_1 = \frac{V_s M}{\sigma L_s L_r} \quad (27)$$

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$$214 \quad G_1 = f_2 i_{rd} + f_3 i_{rq} + f_4 \quad (28)$$

$$215 \quad f_2 = -V_s \frac{MR_r}{\sigma L_s L_r} \quad (29)$$

$$216 \quad f_3 = \frac{V_s M}{L_s} (\omega_r + \lambda_P) \quad (30)$$

$$217 \quad f_4 = \lambda_P P_s^* \quad (31)$$

$$218 \quad f_{11} = \frac{V_s M}{\sigma L_s L_r} \quad (32)$$

$$219 \quad G_2 = f_{22} i_{rd} + f_{33} i_{rq} + f_{44} \quad (33)$$

$$220 \quad f_{22} = \frac{MV_s}{L_s} (\omega_r + \lambda_Q) \quad (34)$$

$$221 \quad f_{33} = -V_s \frac{R_r M}{\sigma L_s L_r} \quad (35)$$

$$222 \quad f_{44} = -V_s \frac{gM^2}{\sigma L_s^2 L_r} + \lambda_Q Q_s^* \quad (36)$$

223 Now we substitute the reaching law in Eq. (7) into Eq. (25), which yields

$$224 \quad v_{rd} = \frac{1}{f_1} \left(\left[\frac{K|S|^\alpha}{\beta + (1-\beta)e^{-\gamma|S|^\mu}} \text{sign}(S) \right] - f_2 i_{rd} - f_3 i_{rq} - f_4 \right) \quad (37)$$

$$225 \quad v_{rq} = \frac{1}{f_{11}} \left(\left[\frac{K|S|^\alpha}{\beta + (1-\beta)e^{-\gamma|S|^\mu}} \text{sign}(S) \right] - f_{22} i_{rd} - f_{33} i_{rq} - f_{44} \right) \quad (38)$$

226 Figure. 3 shows the block diagram of the system implemented with the sliding mode control
227 (SMC) with exponential reaching law control (ERL).

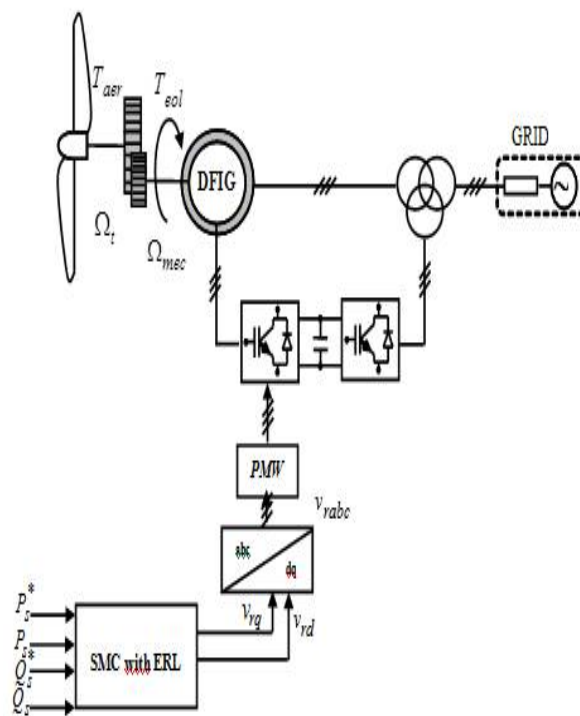


Figure 3. Configuration of the power control of the DFIG with SMC with ERL

228 **5. Results and Discussion**

229 To test the performance of the proposed ERL based SMC in wind turbine active/reactive power
 230 regulation; a comparative study is conducted under variable wind speed scenarios. A 7.5 KW DFIG
 231 wind turbine, simulation model is constructed in Matlab/Simulink. The parameters utilized are
 232 listed in Table 1.

233 Table1

234 DFIG nominal parameters

7.5 kW,	380/660 V	50 Hz,
$p=2,$	$R_s = 0.455 \Omega$	$R_r = 0.62 \Omega$
$M = 0.078 H$	$L_s = 0.084 H$	$L_r = 0.081 H$

235 TURBINE parameters

Diameter=13 m,	Number of blades = 3,
Gearbox=35	

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237 (DFIG+TURBINE) parameters

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$J=0.3125kg \cdot m^2$	$f = 0.00673 kg \cdot m^2 / s$
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240 The modeling of the wind profile requires climatic and geographical data of the concerned site, as well as the
 241 period of the year concerned by the study. Therefore, several researches have been carried
 242 out. In this work, the wind profile is modeled in deterministic form by a sum of several
 243 harmonics, around an average speed

244

$$V(t) = A + \sum_{k=1}^i a_k \sin(b_k w_v t) \quad (39)$$

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Where A is the mean value of wind speed, a_k and $b_k w_v$ are respectively the amplitude and the
 246 pulsation of the harmonic of order k .

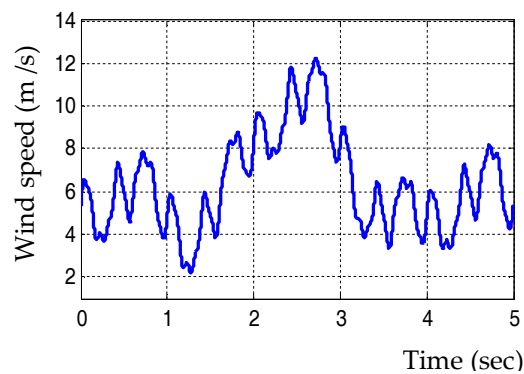


Figure 4. Variable wind speed

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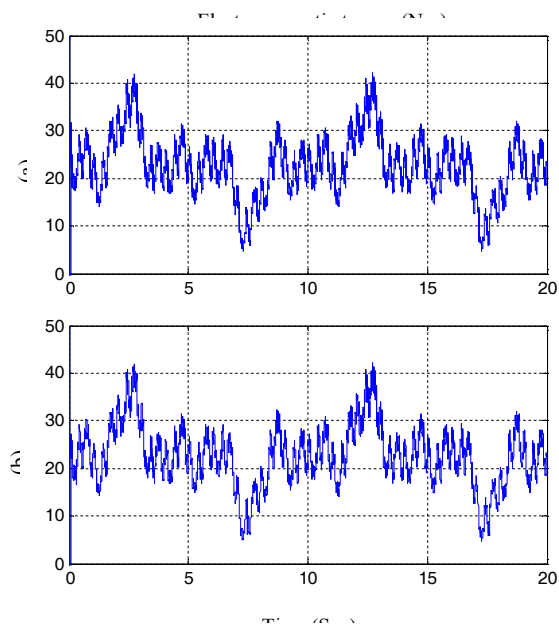


Figure 5. Electromagnetic torque tracking results:

(a) Classical SMC; (b) ERL based SMC

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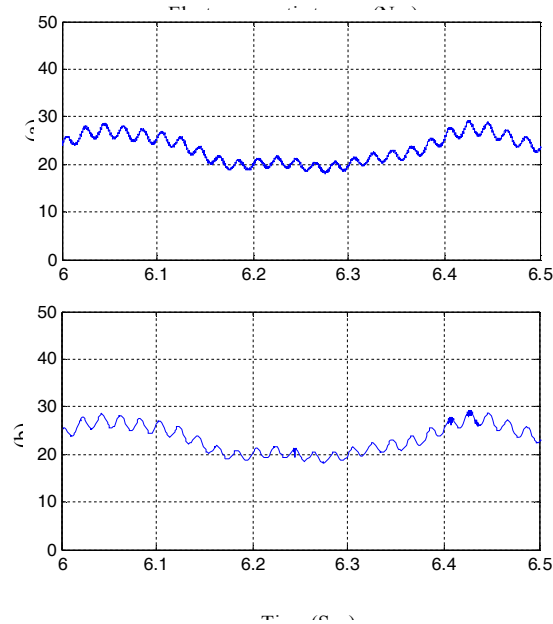


Figure 6. Partially enlarged images of Figure 5.

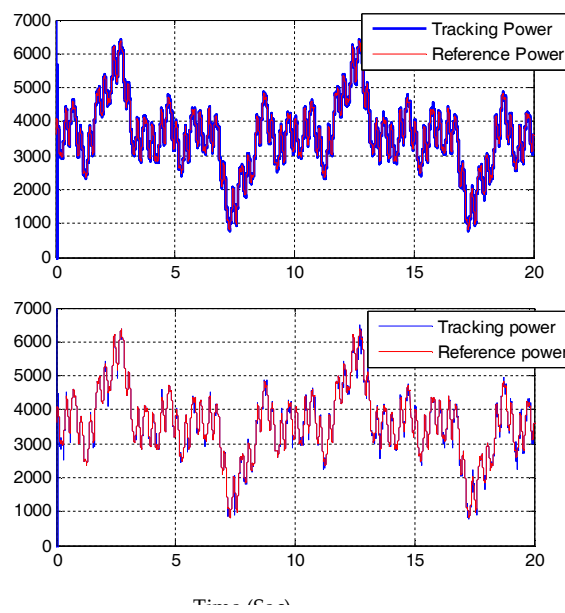


Figure 7. Active power tracking results(a) Classical SMC; (b) ERL based SMC

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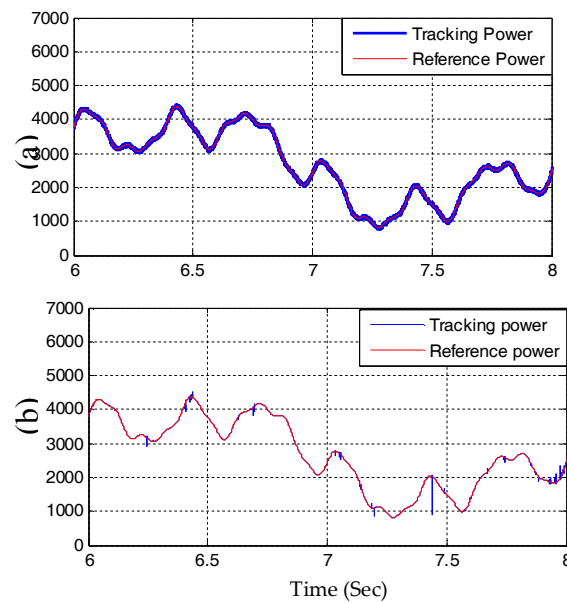


Figure 8. Partially enlarged images of Figure 7.

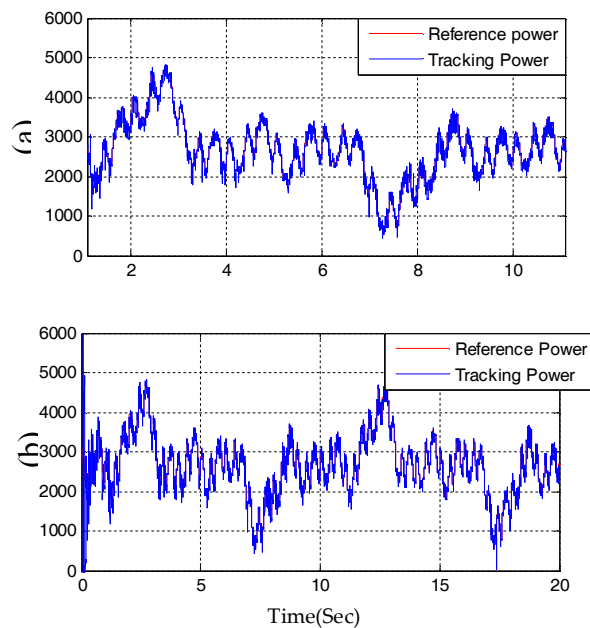


Figure 9. Reactive power tracking results: (a) Classical SMC; (b) ERL

In this section, we have chosen to compare the performances of the DFIG with two different controllers. The Sliding Mode Control will be first tested and will be the reference compared to the other: Sliding Mode Control with exponential reaching law control (ERL).

Figures. 5–9 show the simulations results of the two methods in electromagnetic torque control, active and reactive power tracking.

As it can be figured out from Figures. 5 and 9 that the performance of the proposed ERL based SMC is better than that of the classical SMC in tracking the electromagnetic torque, Active and reactive power. Furthermore, the chattering phenomenon is largely reduced. Fig. 6 shows the

358 partially enlarged image of Figure. 5 from $t = 6$ s to $t = 6.5$ s. It is evident that the curve of the ERL
359 based SMC tracks the reference value precisely with little chatters. When utilizing the conventional
360 SMC approach, high frequency chatters with high amplitude exist. These chatters are caused by the
361 discontinuous switching function of the control input.

362 When the ERL based SMC approach is utilized, the discontinuous components of the control input
363 have relatively higher gains, making the chatters more distinctive. The discontinuous component of
364 the control input automatically decreases to an acceptable level and the sliding stage becomes much
365 more smooth and precise.

366 6. Conclusion

367 In this paper, we have presented a complete system to produce electrical energy with a
368 doubly-fed induction generator by the way of a wind turbine.

369 Once the complete model of the wind chain is validated, we spent optimizing active and reactive
370 power injected to the grid. In this context, two robust control strategies have been studied and
371 compared to show the improvement of the quality of energy and energy efficiency, particularly
372 under the influence of the variation of wind parameters of the wind turbine.

373 The control of the machine has been presented in order to regulate the active and reactive powers
374 exchanged between the machine and the grid. We propose a DFIG wind turbine control technique
375 with a novel sliding mode control technique under variable wind speed based on novel exponential
376 reaching law (ERL). The proposed SMC is supposed to reduce the level of chattering phenomenon
377 and accelerate the reaching process, which has been verified by the numerical simulation of a
378 nonlinear controlled system.

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