

1 Article

2 Filament Advance Detection Sensor for Fused 3 Deposition Modelling 3D Printers

4 Enrique Soriano Heras^{1*}, Fernando Blaya Haro², José Mariá de Agustín del Burgo², Manuel Islán
5 Marcos², Roberto D'Amato²

6 ¹Dpto. de Ingeniería Mecánica. Universidad Carlos III de Madrid. Avda. de la Universidad, 30, 28911
7 Leganés-Madrid-España, esoriano@ing.uc3m.es

8 ²Escuela Técnica Superior de Ingeniería y Diseño Industrial. Universidad Politécnica de Madrid. Ronda de
9 Valencia, 3, 28012 Madrid-España
10 fernando.blaya@upm.es, jm.deagustin@alumnos.upm.es, manuel.islan.marcos@upm.es, r.damato@upm.es

11 * Correspondence: esoriano@ing.uc3m.es Tel.: Phone: +34 636 83 55 01 ORCID: 0000-0003-3309-7518

12

13 **Abstract:** The main purpose of this paper is to present a system to detect extrusion failures in Fused
14 Deposition Modelling (FDM) 3D printers by sensing that the filament is moving forward properly.
15 After several years using these kind of machines, authors detected that there is not any system to
16 detect the main problem in FDM machines. Authors thought in different sensors and used the
17 Weighted Objectives Method, one of the most common evaluation methods, for comparing design
18 concepts based on an overall value per design concept. Taking into account the obtained scores of
19 each specification, the best choice for this work is the optical encoder. Once the sensor is chosen, it
20 is necessary to design de part where it will be installed without interfering with the normal function
21 of the machine. To do it, photogrammetry scanning methodology was employed. The developed
22 device perfectly detects the advance of the filament without affecting the normal operation of the
23 machine. Also, it is achieved the primary objective of the system, avoiding loss of material, energy
24 and mechanical wear, keeping the premise of making a low-cost product that does not significantly
25 increase the cost of the machine. This development has made it possible to use the printer with
26 remains coil filament, which were not spent because they were not sufficient to complete an
27 impression and also printing models in two colours with only one extruder.

28 **Keywords:** rapid prototyping; fused deposition; filament jams; extrusion failures; photogrammetry;
29 manufacturing system

30

31 1. Introduction

32 Nowadays, the use of 3D printers has extended beyond the research laboratories. It is possible
33 to find them, more and more frequently, in houses, where it is used by a non-technical user; or in
34 factories, where an error in the operation can suppose great losses [1]. Therefore, these machines must
35 remain 100% reliable with near zero failed prints due to mechanical and electro-mechanical
36 malfunctions.

37 After several years of development and improvement, the most important failure has not been
38 corrected, the jams in the extruder. Some researches and engineers have optimized the grip force on
39 3D printer filament and even have developed novel feeding mechanisms [2-3]. This extrusion
40 problem occurs to FDM 3D printers when the filament does not move as it is desired, and may be
41 due to damage, stress, dust and small debris in filament. Nevertheless, the most common problems
42 arise from a wrong filament diameter, a braking of the filament, or simply that the filament coil is
43 over. In these cases, the printer keeps on moving but it does not deposit any material [4].

44 Although manufacturers and researches are constantly improving polymers manufacturing
45 process, including fiber spinning and injection molding, the product quality and production

46 efficiency is influenced by multiple processing and material parameters, such as the nominal shear
47 and shear history, process temperature or long chain branching, mechanisms that currently are not
48 completely understood. The control and optimization of such operations contribute to get closer and
49 closer to the nominal filament size but it still moves in fairly large tolerances [3], [5–7].

50 In this paper, we present a development to detect all these extrusion failures (may be a knot coil,
51 an extruder jamming or simply that the filament coil is over) which is proposed detecting that the
52 filament is carried forward properly. To reach this goal, it is initially thought of a mechanical switch
53 that detects when the filament fails to move, but although it seems trivial to cases in which the
54 filament breaks or runs out, it is more difficult to detect the correct advance. For this reason, we
55 propose to use a rotation encoder driven by the movement of the filament. The printer should consult
56 repeatedly, while printing, that the encoder is rotating and therefore the filament goes forward. In
57 the event that no progress is detected, the machine will stop and offer the option to change the
58 filament, reload it and continue printing with not having to discard the part.

59 **2. Review of extruder-filament sensors used for current 3D printers**

60 *2.1 Mechanical sensor*

61 Mechanical sensors have been widely implemented in 3D printers, majority of them use a
62 mechanical button to stay on while filament is detected could easily detect filament end or breakage
63 to stop the printing. It is possible to find some detection systems using mechanical filament breakage
64 sensors, but this kind of systems does not solve the main problem, which is a filament jam, due to the
65 state of the switch would not change.

66 *2.2 Load cell sensor*

67 As the extruder feeds the filament to the hot end, the extruder is effectively pushing against the
68 filament causing the extruder to apply extra load on the load cell. Load cells have strain gauges
69 attached that change in electrical resistance when under different loads. This resistance change
70 provides small voltage levels that can be amplified and then read by an analogue to digital converter.
71 Unfortunately, load cell sensor could make it difficult to calibrate without a suitable weighing
72 platform and stand.

73 *2.3 Rotary encoder*

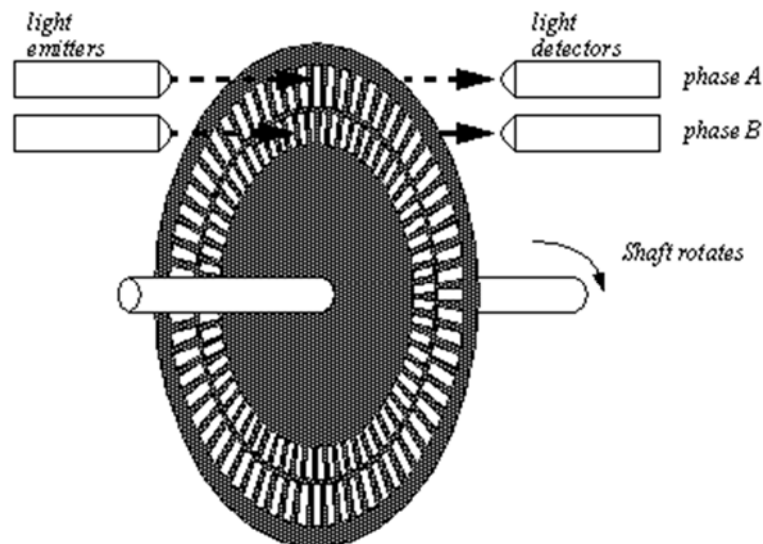
74 A rotary encoder, is an electro-mechanical device that converts the angular position or motion
75 of a shaft or axle to an analog or digital code [8]. There are two main types: absolute and incremental.
76 The output of absolute encoders indicates the current position of the shaft, making them angle
77 transducers. The output of incremental encoders provides information about the motion of the shaft,
78 which is typically further processed elsewhere into information such as speed, distance and position.
79 Encoder may have mechanical problems due to the high accuracy that must be taken to fabricate
80 them. Environmental pollution can be a source of interference in optical transmission. They are
81 particularly sensitive to shock and vibration devices, and their operating temperature is limited by
82 the presence of electronic components.

83 *2.3.1 Mechanical encoder*

84 Mechanical encoders have an axis that spins internally thus activating different pins depending
85 on the direction of rotation and speed. Although this type of encoder firstly seems easy to use, the
86 resistance of the rotation axis is not desired. It could increase the resistance of the filament feed and
87 it could affect the proper operation of the extruder.

88 *2.3.2 Optical encoder*

89 The principle of operation of an optical encoder is based on the so-called photo couplers.
 90 These are small chips consisting of a diode as a photo emitter and a transistor which performs
 91 the tasks of photoreceptor (see Figure 1). This element is responsible for detecting the
 92 presence/absence of light through a concentric axis, it is manufactured with slots that allow
 93 the light to go through the disc to obtain the final measure [9].



94

95

Figure 1. Principle of operation of an optical encoder

96

3. Filament auto-detection system development

97

3.1 Election of the sensor

98 The Weighted Objectives Method is one of the most common evaluation methods for comparing
 99 design concepts based on an overall value per design concept [10]. The biggest disadvantage of using
 100 other methods like the Datum method or the Harris profile is that the scores per criterion cannot be
 101 aggregated into an overall score of the design alternative. This makes a direct comparison of the
 102 design alternatives difficult. The Weighted Objectives Method does exactly this: it allows the scores
 103 of all criteria to be summed up into an overall value per design alternative.

104 The Weighted Objective Method assigns scores to the degree to which a design alternative
 105 satisfies a criterion. However, the criteria that are used to evaluate the design alternatives might differ
 106 in their importance. For example, the cost price can be of less importance than appealing aesthetics.
 107 The Weighted Objectives Method involves assigning weights to the different criteria. This allows the
 108 decision-maker to take into account the difference in importance between criteria.

109

110 The selected criteria and compared in Table 1 are the following:

111

E1. Filament detection (yes-no)

112

E2. Detecting the advance of the filament

113

E3. Not interference with normal movement of the filament

114

E4. Adaptability of the output signal

115

E5. Price

116

E6. Durability

117

118 Taking into account the scores (see Table 2), and as expected, the sensor that best meets the
 119 specifications is the optical encoder. In this work, an inexpensive bi-directional optical incremental
 120 encoder is used.

121

122

Table 1. Filament detection sensor evaluation

Sensor	E1	E2	E3	E4	E5	E6	Amount	Compensation	Weight	%
E1	X	0,0	0,0	1,0	0,5	0,5	1,5	2,5	0,167	16,67
E2	1,0	X	0,5	0,5	0,5	0,5	2,5	3,5	0,233	23,33
E3	1,0	0,5	X	1,0	0,5	1,0	3,0	4,0	0,267	26,67
E4	0,0	0,5	0,0	X	0,5	0,0	1,0	2,0	0,133	13,33
E5	0,5	0,5	0,5	0,0	X	0,5	1,5	2,5	0,167	16,67
E6	0,5	0,5	0,0	1,0	0,5	X	2,5	3,5	0,233	23,33
Total							9,5	14,5	0,967	96,67

123

Table 2. Sensors marks

Sensor 1	Mark	Satisfaction	Final mark	Sensor 2	Mark	Satisfaction	Final mark
E1	16,67	100%	16,67	E1	16,67	100%	16,67
E2	23,33	0%	0,00	E2	23,33	100%	23,33
E3	26,67	100%	26,67	E3	26,67	25%	6,67
E4	13,33	100%	13,33	E4	13,33	75%	10,00
E5	16,67	100%	16,67	E5	13,33	75%	10,00
E6	23,33	75%	17,50	E6	16,67	75%	12,50
		Total	73,33			Total	79,17
Sensor 3	Mark	Satisfaction	Final mark				
E1	16,67	100%	16,67				
E2	23,33	100%	23,33				
E3	26,67	100%	26,67				
E4	13,33	75%	10,00				
E5	13,33	75%	10,00				
E6	16,67	50%	8,33				
		Total	95,00				

124 3.2 Hardware assembly

125 3.2.1 Assembly part design

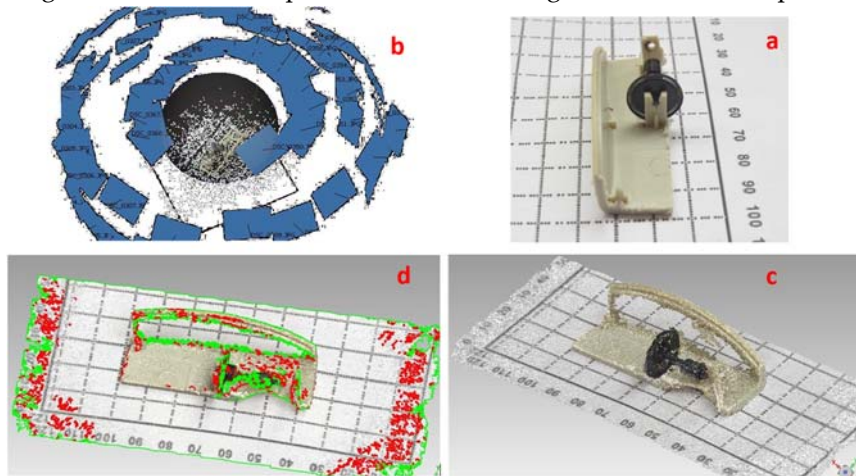
126 Once the sensor is chosen, it is necessary to design the part where it will be installed. It must be
 127 taken into account that it cannot interfere with the normal function of the machine. To do it, we will
 128 employ photogrammetry scanning methodology since it will be possible to do it in a precise way
 129 [11]. This method uses reverse engineering thus allowing to reduce the costs of the development.

130 After taking numerous pictures of the object, they are processed using a computer software so
 131 that common points are identified on each image. A line of sight (or ray) can be constructed from the
 132 camera location to the point on the object. It is the intersection of these rays (triangulation) that/which
 133 determines the three-dimensional location of the point.

134 The result of the process is a digital tridimensional object which can be used as a model to design
 135 the rest of the parts. It is interesting to include graphic scales to get the correct dimensions of the
 136 digital model. Figure 2a shows a sample of a total of 74 images involved in the process.

137 After taking numerous pictures of the object, they are processed using a computer software so
 138 that common points are identified on each image. A line of sight (or ray) can be constructed from the
 139 camera location to the point on the object. It is the intersection of these rays (triangulation) that/which
 140 determines the three-dimensional location of the point.

141 The result of the process is a digital tridimensional object which can be used as a model to design
 142 the rest of the parts. It is interesting to include graphic scales to get the correct dimensions of the
 143 digital model. Figure 2a shows a sample of a total of 74 images involved in the process.



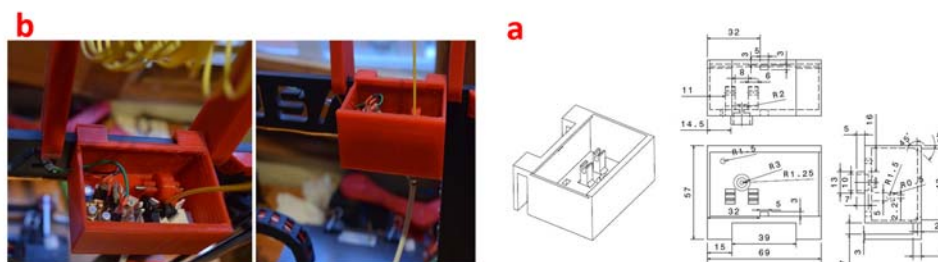
144

145

Figure 2. Images for photogrammetry process

146 The software locates the pictures and shapes a points cloud of the scanned object. The process is
 147 showed in Figure 2b and 2c where it is possible to see the pictures completely orientated and the
 148 formatted points cloud.

149 As it is possible to notice in Figure 2c, there are some defective parts. This is due to the brightness
 150 of the object, so it is necessary to perform a repair of the digital model, so it can be reached a model
 151 as similar to the real as possible. To achieve this, first of all a filter of the points is performed to remove
 152 the noise eliminating points spaced of the set a specified size. After this, different holes are detected.
 153 In this case a total of 698 of holes which 670 are closed automatically since have a small size. The
 154 remaining 28 holes are manually closed to keep the original form. An automatic reparation of errors
 155 is carried out, and finally, we get the digital solid model. Figure 2d shows an image of the process
 156 and the final model.



157

158

Figure 3. Dimensions and real product

159 Once the three-dimensional solid model is obtained, it is exported to a 3D design program for
 160 modeling the part where it will be assembled. In this way, it is possible avoid design errors that have
 161 to make too many times to iterate to find the optimal model. In Figure 3a and 3b the dimensions of
 162 the modeled part and the real product made with a 3D printer are showed.

163 3.2.2 System assembly

164 The whole system is installed on the top of the printer, so that the filament goes through the
 165 sensor. After the sensor. The filament is leaded through a Teflon tube to the hot-end, analogously to
 166 Bowden system.

167 After checking that the system does not interfere in the normal function of the machine, it is
 168 connected to the main electronic board of the printer (an Arduino Mega board).

169 3.2.3 Firmware modifications

170 Once the system is installed, it is necessary to modify the firmware of the machine, so it is
 171 possible to get the sensor signal and act accordingly. Since the encoder works asynchronously, it is
 172 necessary to use program interruptions to get the signal correctly. These interruptions will detect
 173 whether the filament is moving or is blocked. Moreover, it will be possible to calculate the speed at
 174 which the filament is advancing in order to be sure about the quantity of material deposited.

175 However, after repeated tests, it is observed that the interruptions take place very frequently,
 176 which interferes with the operation of the printer. That is why we finally choose for attending
 177 interruptions every 5 seconds, regardless when interruptions occur the rest of the time. After several
 178 tests, it is proposed that after ten seconds it will have produced at least one interrupt of the filament
 179 if it is proceeding correctly, and otherwise, ten seconds without detecting an interruption should be
 180 sufficient to stop printing due to an error in filament advancing. A new pause menu is also
 181 implemented, since error filament was not previously available.

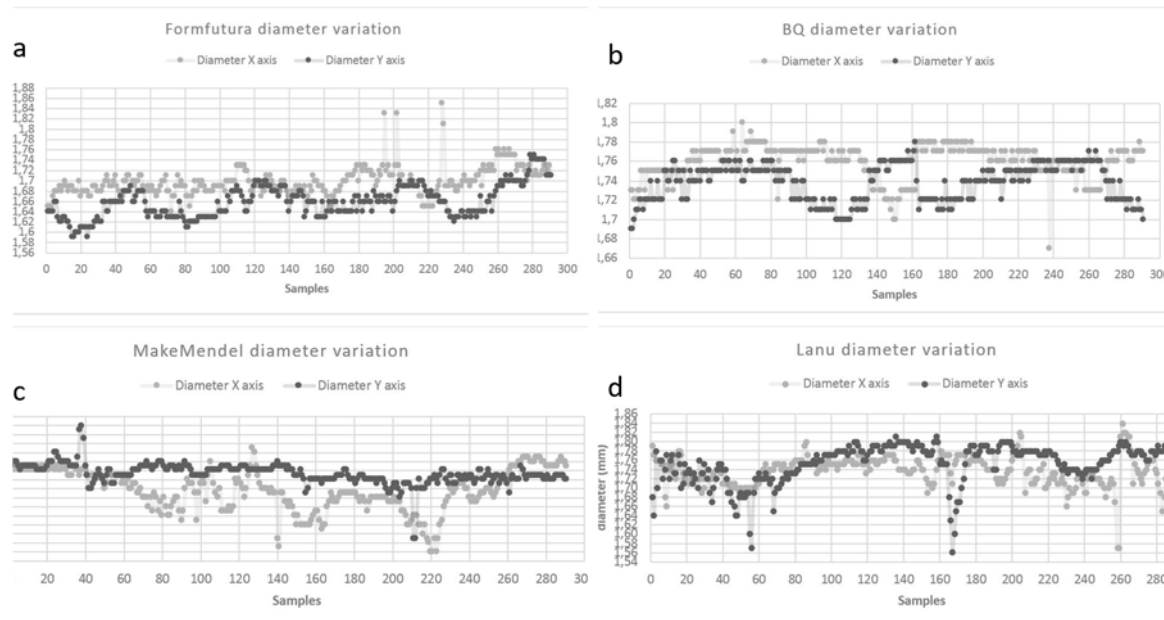
182 Once an error in advancing filament is detected, the printer activates the implemented pause
 183 mode because filament error, from which you it is possible to load and unload the actual filament to
 184 continue printing avoiding to lose the piece.

185 4. Performance evaluations

186 4.1 Filament defects

187 Although most of filament producers for 3D machines are constantly developing and improving
 188 their products, the manufacturing method has so far prevented achieving a filament with a constant
 189 diameter. This excess in diameter is sometimes too much for the machine, causing bad finishing
 190 models, jamming of the extruder, or even it could damage the extruder. To check the filament
 191 diameter of different producers, 3 meter samples were taken, every centimeter of filament using a
 192 sensor with a resolution of 0.01mm.

193 Figure 4 shows the diameter variation (whose nominal value is 1.75mm) in X and Y axis, from
 194 different filament brands (Formfutura, BQ, MakeMendel, Lanu).



195

196

Figure 4. Diameter variation

197 By analyzing these samples (see Table 3), it is possible to see that the diameter varies from 1.59
 198 mm to 1.85 mm, with an average of 1.68 mm in one case, and from 1.67mm to 1.8 mm, with an average
 199 of 1.75 mm in the other case. Both filaments may obstruct the printer extruder.

200 Any of these failures makes that after leaving the printer in operation the piece that was being
 201 created is lost and it is necessary to start again. In addition, by continuing printing without really
 202 extruded plastic, the machine consumes energy and produces an unnecessary wastage. Due to this
 203 reason, the operator must be aware of the machine as long as it is operating, ensuring that the plastic
 204 flows without problem, which is especially difficult when the piece takes several hours to be
 205 produced.

206 Table 3. Maximum, minimum and mean of filaments

Brand	Maximum (mm)	minimum (mm)	mean (mm)
Formfutura	1,85	1,59	1,68
BQ	1,8	1,67	1,75
Lanu	1,84	1,56	1,75
MakeMendel	1,86	1,58	1,74

207 4.2 Evaluation of the implemented system

208 The installed system does not affect the print quality of the machine. It has been found that the
 209 time set for detecting advancing filament problems detects an error in time without producing false
 210 positives. In Table 4, the error is displayed on the printer.

211 Table 4. Error menu

Filament error. Push the button to change the filament.

Extract the filament when the motor stopes.

Insert the new filament and push the button.

When you see come out the filament, press the button to continue printing

212 In Figure 5 it is shown an object produced by a 3D printer where two filament feed errors were
 213 forced.



214

215 **Figure 5.** Model produced after two filament advance problems

216 5. Conclusions

217 The installed system achieves perfectly detect the advance of the filament without affecting the
218 normal operation of the machine. The final frequency to check the advancing filament (every 5s)
219 allows to detect any problem with it, and there are not errors that can appear if the sensor is checked
220 with a higher frequency. While it is true that although had been raised as a possible option to detect
221 the speed, with the sampling frequency set, it is not possible to calculate it, but meets the initial
222 objectives of troubleshooting in advancing filament.

223 This has made possible the use of the printer with remains coil filament, which had not been
224 used before because they were not sufficient to complete an impression. With this system, when the
225 filament finishes, the printer enters into a standby state waiting for the user to introduce a new
226 filament.

227 Therefore, the primary objective of the system is achieved, avoiding loss of material, energy and
228 mechanical wear, keeping the premise of making a low-cost product that does not significantly
229 increase the cost of the machine.

230 References

- 231 [1] J. M. Pearce, C. Morris Blair, K. J. Laciak, R. Andrews, A. Nosrat, and I. Zelenika-Zovko, "3-D Printing
232 of Open Source Appropriate Technologies for Self-Directed Sustainable Development," *J. Sustain. Dev.*,
233 vol. 3, no. 4, 2010.
- 234 [2] M. Fiedler, "Evaluating Tension and Tooth Geometry to Optimize Grip on 3D Printer Filament," *3D*
235 *Print. Addit. Manuf.*, vol. 2, no. 2, pp. 85–88, 2015.
- 236 [3] N. Volpato, D. Kretschek, J. A. Foggiatto, and C. M. Gomez da Silva Cruz, "Experimental analysis of an
237 extrusion system for additive manufacturing based on polymer pellets," *Int. J. Adv. Manuf. Technol.*, vol.
238 81, no. 9–12, pp. 1519–1531, 2015.
- 239 [4] C. Bell, *3D printing with delta printers*. 2015.
- 240 [5] B. N. Turner and S. A. Gold, "A review of melt extrusion additive manufacturing processes: II. Materials,
241 dimensional accuracy, and surface roughness," *Rapid Prototyp. J.*, vol. 21, no. 3, pp. 250–261, 2015.
- 242 [6] B. N. Turner, R. Strong, and S. A. Gold, "A review of melt extrusion additive manufacturing processes:
243 I. Process design and modeling," *Rapid Prototyp. J.*, vol. 20, no. 3, pp. 192–204, 2014.
- 244 [7] K. F. Ratzsch, R. Kádár, I. F. C. Naue, and M. Wilhelm, "A combined NMR relaxometry and surface
245 instability detection system for polymer melt extrusion," *Macromol. Mater. Eng.*, vol. 298, no. 10, pp.
246 1124–1132, 2013.
- 247 [8] J. S. Zinniel, R. L., and Batchelder, "Volumetric feed control for flexible filament," 2000.
- 248 [9] S. Nihommori, S. Sakagami, and T. Yaku, "Optical encoder," 2003.
- 249 [10] J. a. Stoop, "Product design: Fundamentals and methods," *Saf. Sci.*, vol. 24, no. 3, pp. 233–236, 1996.
- 250 [11] M. Egels, Y., & Kasser, *Digital photogrammetry*. CRC Press, 2003.
- 251