

1 Article

2 Features of K-changes observed in Sri Lanka in the 3 tropics

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11 **Abstract:** General characteristics of K changes together with their fine structure associated with
12 ground flashes in Sri Lanka in the tropics are presented. It is found that on average there are about
13 2 K changes associated with each return stroke. Analysis of the fine structure of the K changes shows
14 that the K change is a chaotic pulse burst. Some of these chaotic pulse bursts start and the others
15 end as a regular pulse bursts. Sometimes the chaotic part occurs in between two regular pulse bursts.
16 This is in agreement with the recent published results that claim that chaotic pulse bursts are a
17 random superposition of regular pulse bursts. The results show that the small step fields identified
18 in the literature as K changes are the static fields associated with these pulse bursts.

19 **Keywords:** lightning flash; return stroke; K change; chaotic pulse train; regular pulse train

21 1. Introduction

22 Kitagawa [1] and Kitagawa and Kobayashi [2] were the first scientists to study what is today is
23 called K-changes. The term K-change is used today to denote relatively small step like field changes
24 that occur in the intervals between and after the return strokes and also during intra-cloud flashes.
25 By analyzing how the polarity of the K-changes vary with distance, they concluded that the field
26 change is produced by a cloud process. Kitagawa and Brook [3] analyzed the signature of these pulses
27 both in cloud and ground flashes in details and concluded that there is no difference between the K-
28 changes produced during cloud and ground flashes. Brook and Kitagawa [4] observed strong
29 emissions within 400 MHz to 1000 MHz during K-changes. Ogawa and Brook [5] proposed that K
30 changes were produced by return stroke like discharges that travel along positive leaders when they
31 encounter negative charged regions. However, the current view is that K changes are recoil negative
32 streamers that propagates along the channels of positive leaders which were cut off from their origin
33 due to channel decay [6].

34 Rakov et al. 1994 [8], analyzed the micro-scale pulses associated with K-changes. In many K-
35 changes they could not observe any micro-scale pulses. But, in K-changes where the pulses are
36 present the pulse shapes were highly variable and irregular in shape. Usually, these pulses occur at
37 the later stages of the K-changes. In a later study, Rakov et al. [9] observed regular pulse bursts,
38 similar to those observed by Krider et al. [10], in the latter stages of K changes. Interestingly, Krider
39 et al. [10] himself suggested that these bursts are probably associated with K changes.

40 In this paper, we will provide the statistics concerning the general characteristics of K changes
41 observed in Sri Lanka in the tropics together with the details of the microsecond scale pulses
42 generated during the K-change. Specifically we will demonstrate that the K-change is the static field
43 associated with a chaotic pulse burst.

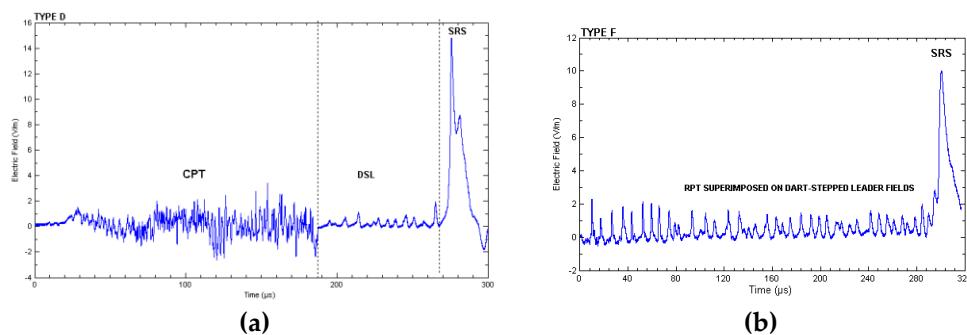
44 The paper is organized as follows. First we will describe briefly the characteristics of chaotic and
 45 regular pulse trains which are essential for our discussion. Next we will show the general
 46 characteristics of K changes and finally the microsecond scale pulses associated with them. This is
 47 followed by a discussion and conclusions.

48 **2. Chaotic pulse trains and regular pulse trains**

49 The first observation of chaotic pulse trains (CPTs) has been made by Wiedman [11]. He
 50 observed that some of the subsequent return strokes were preceded by a pulse train which is irregular
 51 in pulse shape, pulse amplitude, pulse width and pulse separation. Gomes et al. [12] demonstrated
 52 that CPTs are generated not only in the preliminary breakdown stage of subsequent return strokes
 53 but also during the cloud flashes without any connection to ground flashes. Moreover, they were
 54 observed to occur during the time interval between subsequent return strokes. Figure 1a shows an
 55 example of a CPT that occurred just before a subsequent return stroke.

56 Regular pulse trains (RPTs) were first reported by Krider et al. [10]. The individual pulses in a
 57 RPT are similar to those produced by dart stepped leaders. Krider et al. [10] suggested that these
 58 pulse bursts are generated by dart leader type discharge process taking place in the cloud. A typical
 59 example of a regular pulse burst that was followed by a subsequent stroke is shown in Figure 1b.

60 In a detailed study conducted recently by Ismail et al. [13], CPTs and RPTs generated by
 61 thunderstorm in Sweden were analyzed in details. Based on their analysis they concluded that CPTs
 62 are created by a random superposition of several RPTs generated inside the cloud. Their conclusion
 63 is strengthened by the fact that some of the CPTs start as a RPT and some of them end as a RPT. In
 64 some cases a RPT appears in the middle of the chaotic pulse train. Based on the suggestion made by
 65 Krider et al. [10] that RPTs are generated by dart-stepped leader like discharges inside the cloud,
 66 Muzafar et al. [13] concluded that CPTs are created by several dart-stepped leader like discharges
 67 propagating inside the cloud. In the sections to follow we will illustrate the connection between the
 68 K-changes and the CPTs.

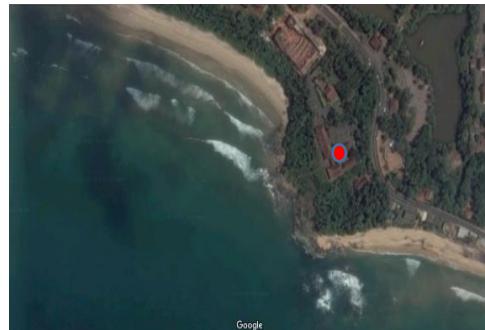


69 **Figure 1.** (a) Chaotic pulse train preceding a subsequent return stroke. (b) Regular pulse train
 70 preceding a subsequent return stroke. Adapted from [13].

71 **3. Experimental set up**

72 In this study, electric fields generated by lightning flashes were measured with a flat plate
 73 antenna system with a 30 MHz bandwidth, similar to the one used in [14]. Decay time constants of
 74 the measuring system was set to 1.0 s for the slow field and 15 ms for the fast field. The signals were
 75 recorded by a digitizer with 12-bit resolution. Data was recorded with a 6.4 ns sampling interval
 76 allowing 200 ms sample window to be captured from a single trigger. The pre-trigger delay time was
 77 set to 60 ms. The bandwidth of the digitizer (PicoScope 6402B) was 250 MHz. The measurements
 78 were conducted at the southern coast (5.9360° N, 80.5738° E) of Sri Lanka during the south - west
 79 monsoon period of 2013 (see Figure 2). Most of the flashes occurred over the sea, so the path of
 80 propagation was over salt water, except for the last few tens of meters. Hence the propagation effect

81 on the measured fields were minimal. Out of a total of 1106 ground flashes analyzed, ninety eight
 82 flashes contained hundred and sixty-five K- changes.



84 **Figure 2.** Satellite view of the measuring station (red dot) located few tens of meters from the sea.
 85 Adapted from Google maps (10/09/2017).

86 The slow field antenna system was used to identify the K-changes which occur as small steps in
 87 the static field change of the lightning flashes. Selected K-changes were used to measure the pulse
 88 duration (T_K), the time interval between the K-change and first return stroke (T_{RS1-K}), time to next
 89 return stroke form the K-change (T_{K-RS}), inter K-change interval (T_{K-K}), peak-to-peak voltage of the K-
 90 change (V_{PP}) (since the antenna is not calibrated at the site the amplitudes are given in volts) and step
 91 voltage change of K-pulse (V_{step}). The definition and procedure of measuring the above mentioned
 92 parameters are illustrated in Figure 3 and Figure 4.

93 Multiple K-changes taking place within a single inter-stroke time interval or after the final
 94 subsequent stroke were categorized as "Consecutive K-changes" and if there was only one K-change
 95 for a particular flash or within a given inter-stroke time interval, it was categorized as an "Isolated
 96 K-change".

97 **4. Results**

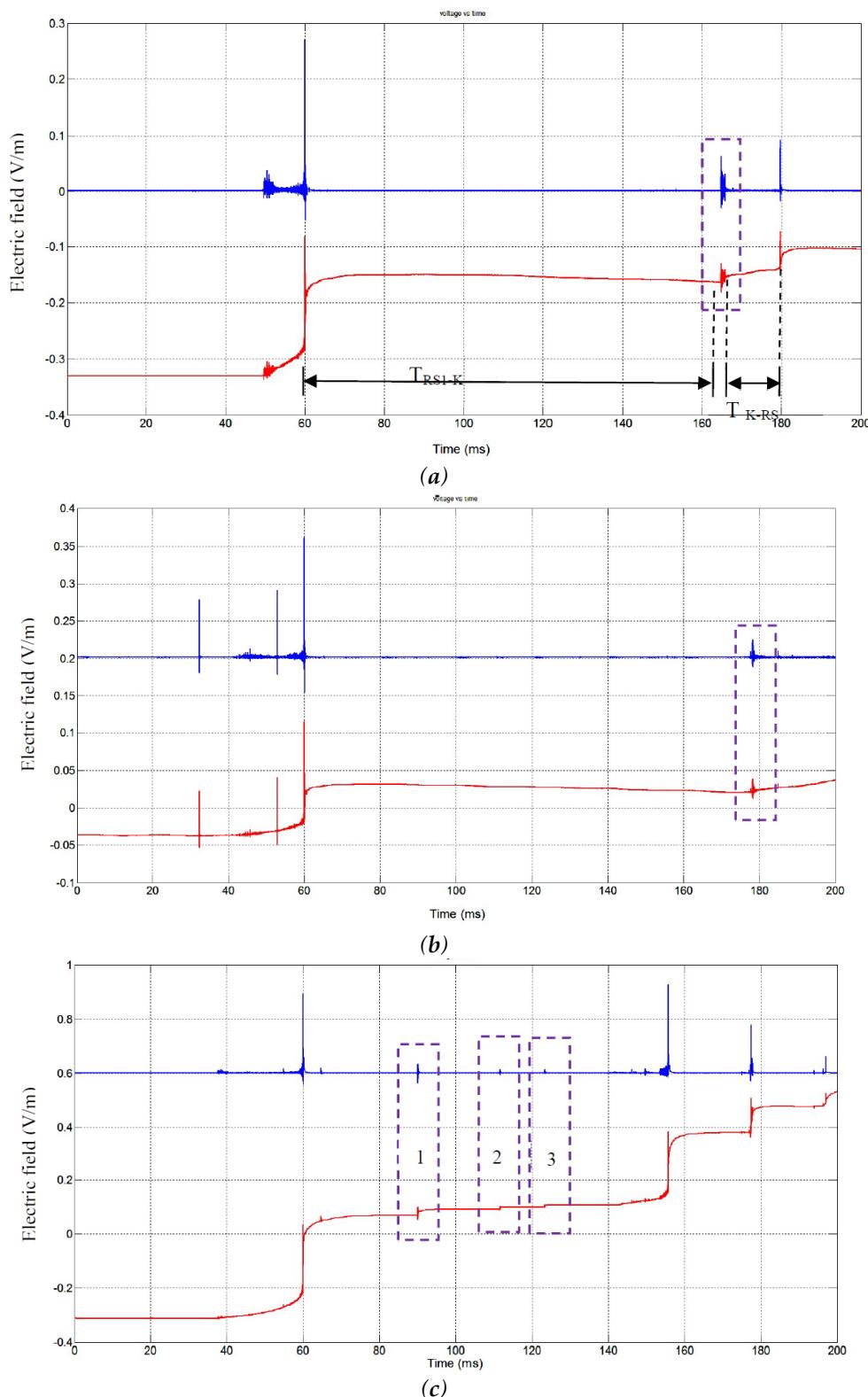
98 *4.1. Characteristics of K changes*

99 The number of K changes belonging to different categories as observed in the present study is
 100 tabulated in Table 1. In our study, out of 1106 flashes only 98 flashes contained clearly distinguishable
 101 K-changes. According to the observations, 53 flashes contained consecutive K changes and 45 flashes
 102 with isolated K changes. According to Kitagawa Brook [3] approximately three K-changes are
 103 associated with a one RS. But in the present study we observed two K-changes per RS as the most
 104 frequent value. This differences may have been caused by the difference of geographic locations
 105 where the studies were conducted. It is also possible that inadequate sensitivity and sampling rates
 106 of the measuring systems used in [3] may have misled the authors of the study to identify other pulse
 107 types as K-changes. Figure 3 shows a sample of isolated and consecutive K-changes.

108 **Table 1:** Summary of selected K-changes for the analysis.

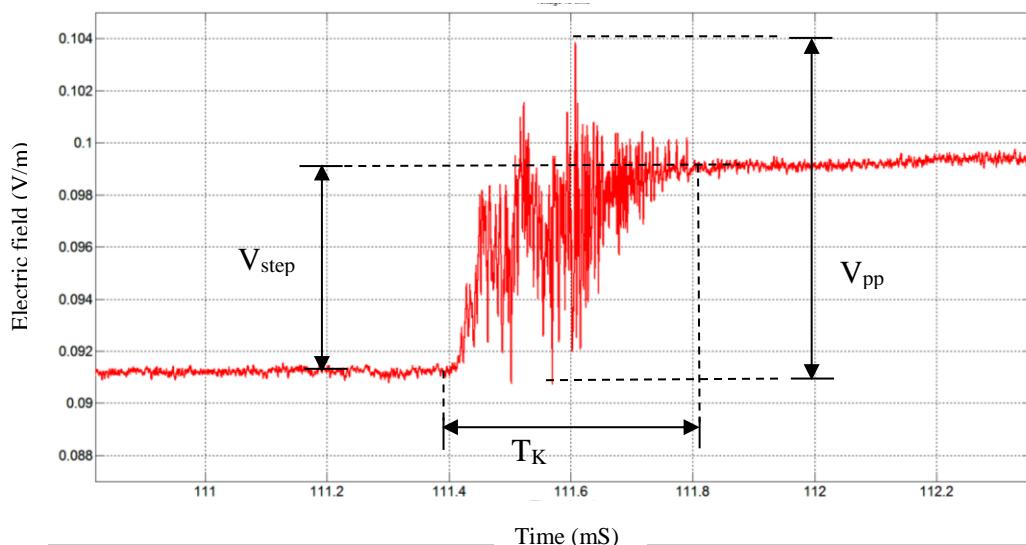
Total no. of flashes	No. of flashes with K- changes	Total no. of K - changes	No. of flashes with consecutive K -changes.	No. of Consecutive K- changes	No. of isolated K-changes.
1106	98	165	53	120	45

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Figure 3. Isolated (K-change (a) between two RS, (b) after RS) and three consecutive K-changes (c) recoded in fast and slow filed antenna systems are presented in blue and red colour. Measured parameters of T_{RS1-K} and T_{K-RS} are presented in (a) above.



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114 **Figure 4.** Frist K-change in 3 (c) in expanded scale showing the measured parameters of T_K , V_{step} and
 115 V_{pp} .

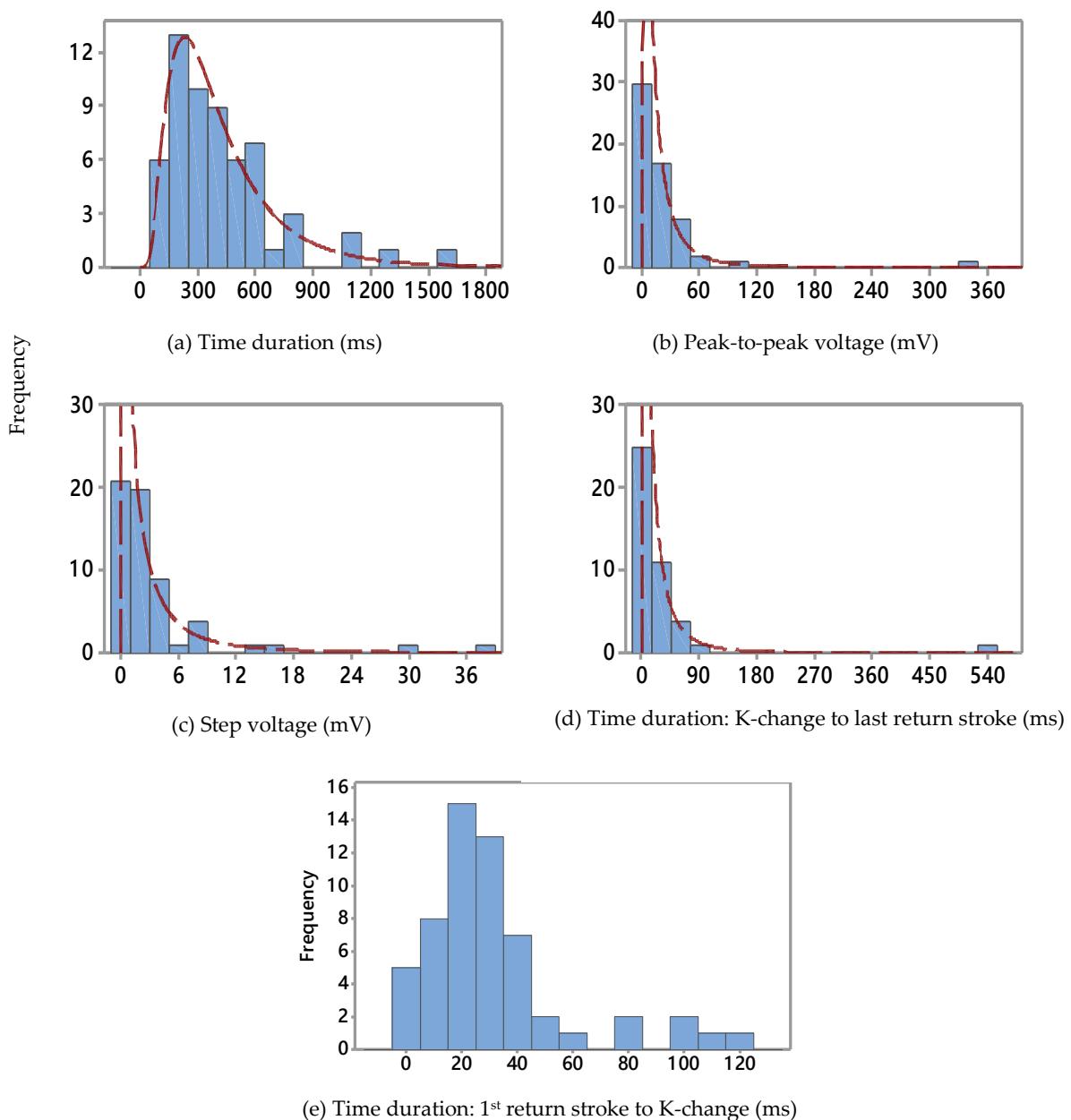
116 *4.2. Parameters of isolated K-changes*

117 Histogram of measured isolated K-change parameters are presented in Figure 5. As can be seen,
 118 the histograms of T_K , V_{pp} , V_{step} and T_{K-RS} have lognormal distributions but histogram of T_{R1-K} does not
 119 fall into any of the provided distributions in the software package. Summary of the statistical
 120 distributions in Figure 5 is given in Table 2. As can be seen, geometric mean of isolated K-change
 121 duration was around 350 μ s and the most frequent value is 150-250 μ s. According to Figure 5(e),
 122 isolated K-changes are most likely to occur after 15-25 ms form the first RS.

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Table 2. Summery of the statistics for the parameter distributions in Figure 6.

Parameter	Geometric mean	St. deviation	Minimum	Maximum	Most frequent value
T_K (μ s)	349.82	300.5	87.8	1599.0	150-250
V_{pp} (mV)	9.81	16.75	1.48	95.33	0-10
V_{step} (mV)	1.43	3.22	0.108	15.430	0-1
T_{R1-K} (ms)	24.59	27.03	1.93	118.10	15-25
T_{K-R2} (ms)	14.19	83.7	0.8	533.9	



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125 **Figure 5.** Histogram of the isolate K-change measured parameters, (a) T_K , (b) V_{PP} , (c) V_{step} , (d) T_{K-R2} ,
126 (e) T_{R1-K} . Red dotted line represents the lognormal distribution for the histograms in figure (a) to (d).
127 Figure (e) does not fit to any of the distributions by the provided software package.

128 *4.3. Parameters of consecutive K-changes*

129 As given in the Table 1, hundred and twenty consecutive K-changes were found in 53 ground
130 flashes and they were analyzed for T_K , time duration between starting RS to K-change of the
131 consecutive order (T_{RS-K}), time interval between consecutive K-changes (T_{K-K}), time duration between
132 the last K-change of the consecutive order and ending RS (T_{K-RS}), V_{P-P} and V_{step} .

133 Histograms in Figure 6 show the distribution of each of the measured parameters and their
134 approximate distributions (without considering the K-change or RS order) provided by the software
135 package. Statistical summary of the distributions in Figure 6 is given in the Table 3. Comparison
136 between statistical values in early studies for consecutive K-changes are presented in Table 4.

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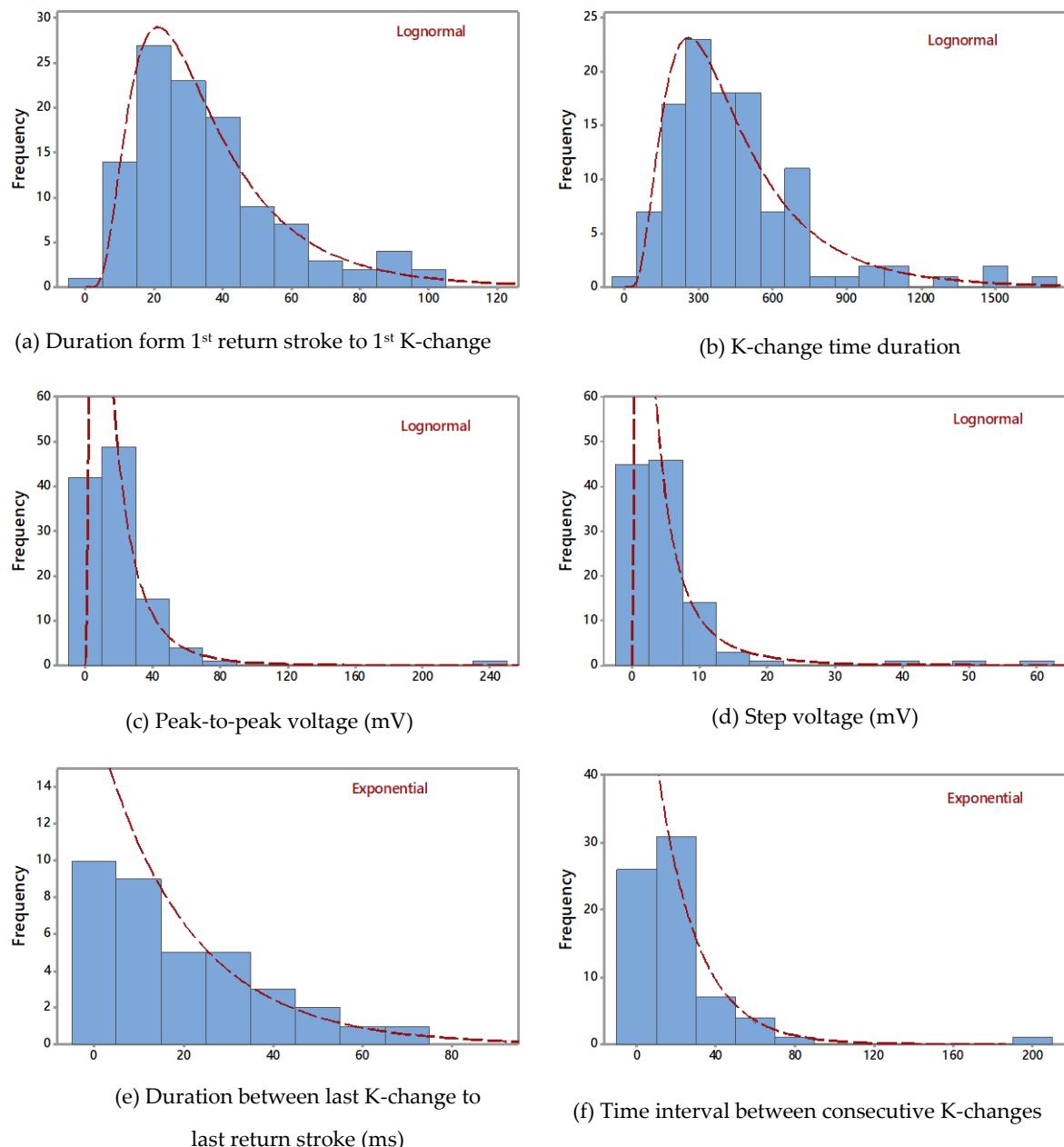
Table 3. Summary of the statistics of the distributions in Figure 6.

Parameter	Average	Geometric mean	St. deviation	Min.	Max.	Frequent value	Distribution
T _{RI-K1} (ms)	35.98	30.34	21.36	3.46	104.32	15 - 25	Lognormal
T _K (μs)	454.8	379.73	292.2	44.6	1650.0	250 - 350	Lognormal
V _{PP} (mV)	19.80	13.35	26.42	2.36	249.40	10 - 30	Lognormal
V _{step} (mV)	5.23	2.95	8.18	0.27	58.19	0 - 7.5	Lognormal
T _{K-R} (ms)	20.18	12.26	18.49	1.74	71.26	0 - 5	Exponential
T _{K-K} (ms)	20.27	11.78	26.84	0.67	198.50	10 - 30	Exponential

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Table 4. Comparison between statistical values in early studies for consecutive K-changes.

Study	T _K			T _{K-K}			Distribution
	Geo.mean (ms)	Frequent value (ms)	Average (ms)	Geo.mean (ms)	Frequent value (ms)		
(Kitagawa & Brook) [3]	-	-	8.5	-	4-6		Lognormal
(Rakov et al.) [8]	0.7	-	-	13	-		-
[15]	-	0.2 - 0.4	-	-	8 -16		-
(Brook & Kitagawa 1964) [4]	-	0.5 -0.75	-	-	-		-
(Thottapillil et al.) [15]	0.7	0.4 - 0.6	-	12.5	10 - 15		-
(Kitagwa 1962) [15]	-	-	-	-	4 - 6		-
(Miranda et al.) [16]	-	-	18.5	12	10 - 16		Lognormal



141 **Figure 6.** Histograms of consecutive K-change measured parameters (a) T_{R1-K1} , (b) T_K , (c) V_{PP} , (d) V_{step} ,
 142 (e) T_{K-R} and (f) T_{K-K} . Histograms (a) to (d) follows Lognormal distribution and (e) and (f) follows an
 143 Exponential distribution which are represented by doted red lines. .

144 By comparing the data tabulated in Table 3 and Table 4 one can observe that geometric mean of
 145 T_K in the present study (0.38 ms) is much less than the value observed by early studies (0.7 ms).
 146 Although most frequent T_K value for the present study (0.25 – 0.35 ms) agrees with the value in study
 147 [15], reported values by Kitagawa and Brook [3] and Thottapillile et al. [15] (i.e 0.5 – 0.75 , 0.4 – 0.6
 148 ms) were higher than present values. When comparing T_{K-K} , it can be noticed that average and
 149 geometric mean values (20.27 ms, 11.78 ms) of the present study closely agree with those from the
 150 study by Miranda et al. [16] (i.e 18.5 ms, 12 ms) although histograms follow different distributions.

151 *4.4. Fine structure of K change electric fields*

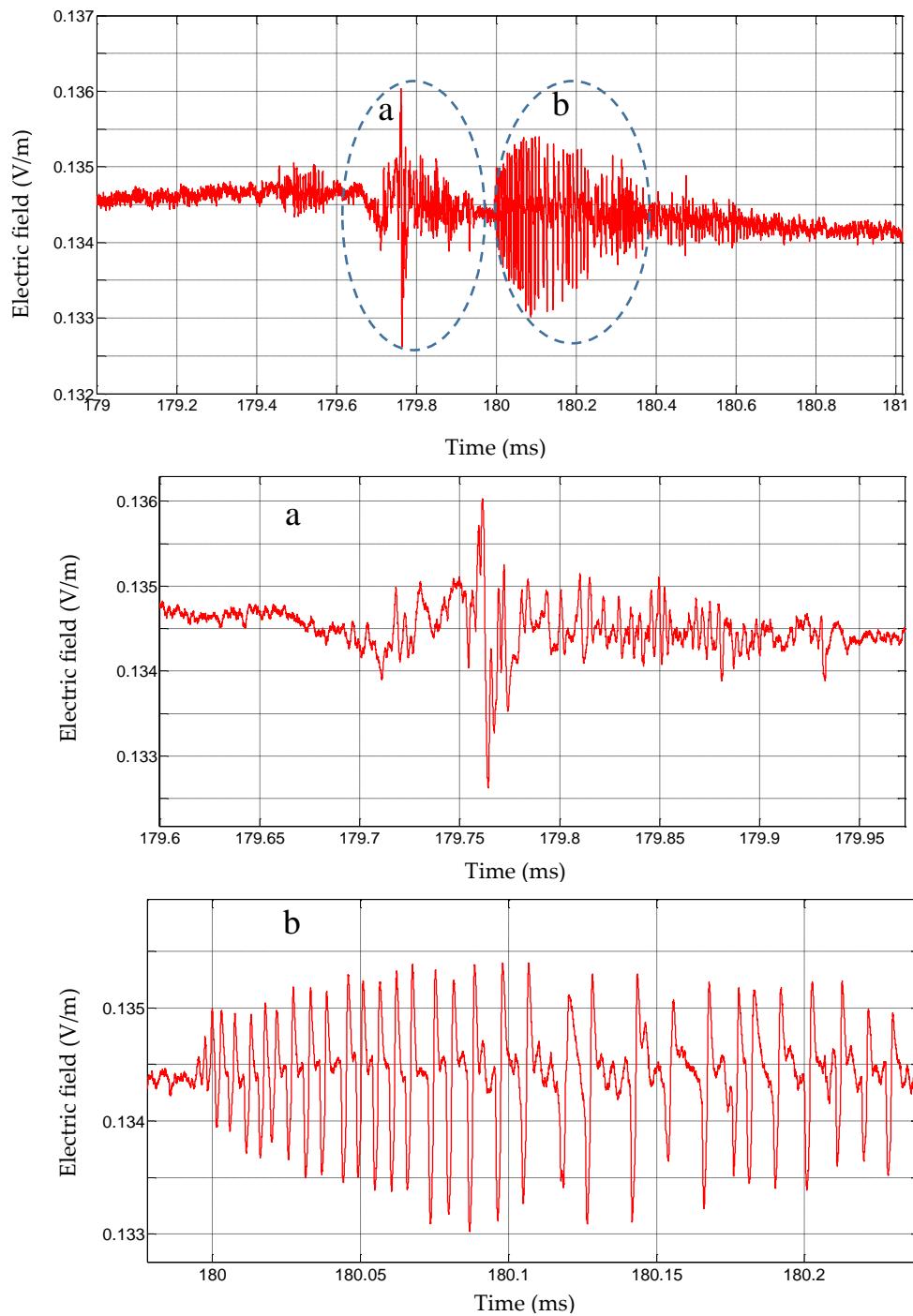
152 Appearance of the static field of the K-change pulse in the recording system highly depends on
 153 measuring system bandwidth, sensitivity and recording system's sampling rate [2]. Ishikawa noted

154 that, using system decay time constants of 300 μ s and 3 ms were not sufficient to recode the field
155 changes without distortion. This was probably why the ramp like K-change appeared as a pulse with
156 a certain rise time and decay time.

157 Several examples of the typical slow field wave shape of a K-changes from present study are
158 given in Figure 3 and the fine structure associated with it is shown in Figure 4. As can be seen, overall
159 K-changes wave shape shows a characteristic ramp or step like behavior when measured using a
160 slow field antenna. Similar observations have been reported in Figure 2 (c) of study [17] although
161 they have not specifically mentioned about the wave shape.

162 The fine structure associated with K-changes were studied previously by Rakov et al. [8,9].
163 However, the time resolution of the present study is much better than the time resolution of the data
164 available to Rakov et al. [7,8] and for this reason more details of the fine structure can be observed
165 here. Our study shows that the overall wave shape of K-changes can be described as ramp change
166 with micro-second scale pulses that start at the beginning and last almost during the total duration
167 of the K change. This is illustrated in Figure 4. Our study shows that the fine structure associated
168 with the K change is a chaotic pulse train (CPT) which starts at the beginning of the K change and
169 continue almost to the end of the K change. Indeed, all the K changes observed in our study are
170 associated with CPTs.

171 As mentioned previously, Ismail et al. [13] made a detailed analysis of chaotic pulse bursts and
172 observed that they can start or end as regular pulse bursts. Sometimes the regular pulse bursts occur
173 in the middle of the chaotic pulse burst. Our study also shows that the chaotic pulse bursts associated
174 with K changes can either start or end as regular pulse bursts. As in the study reported by Ismail et
175 al. [13] these chaotic pulse bursts can either start or end as regular pulse bursts. Sometimes a regular
176 pulse burst can occur in the middle of the chaotic pulse burst. Few more examples of the chaotic pulse
177 bursts associated with K changes observed in the present study are shown in Figures 7 to 10. Observe
178 that as mentioned before some of the chaotic pulses start as regular pulse burst and the others end as
179 a regular pulse burst.



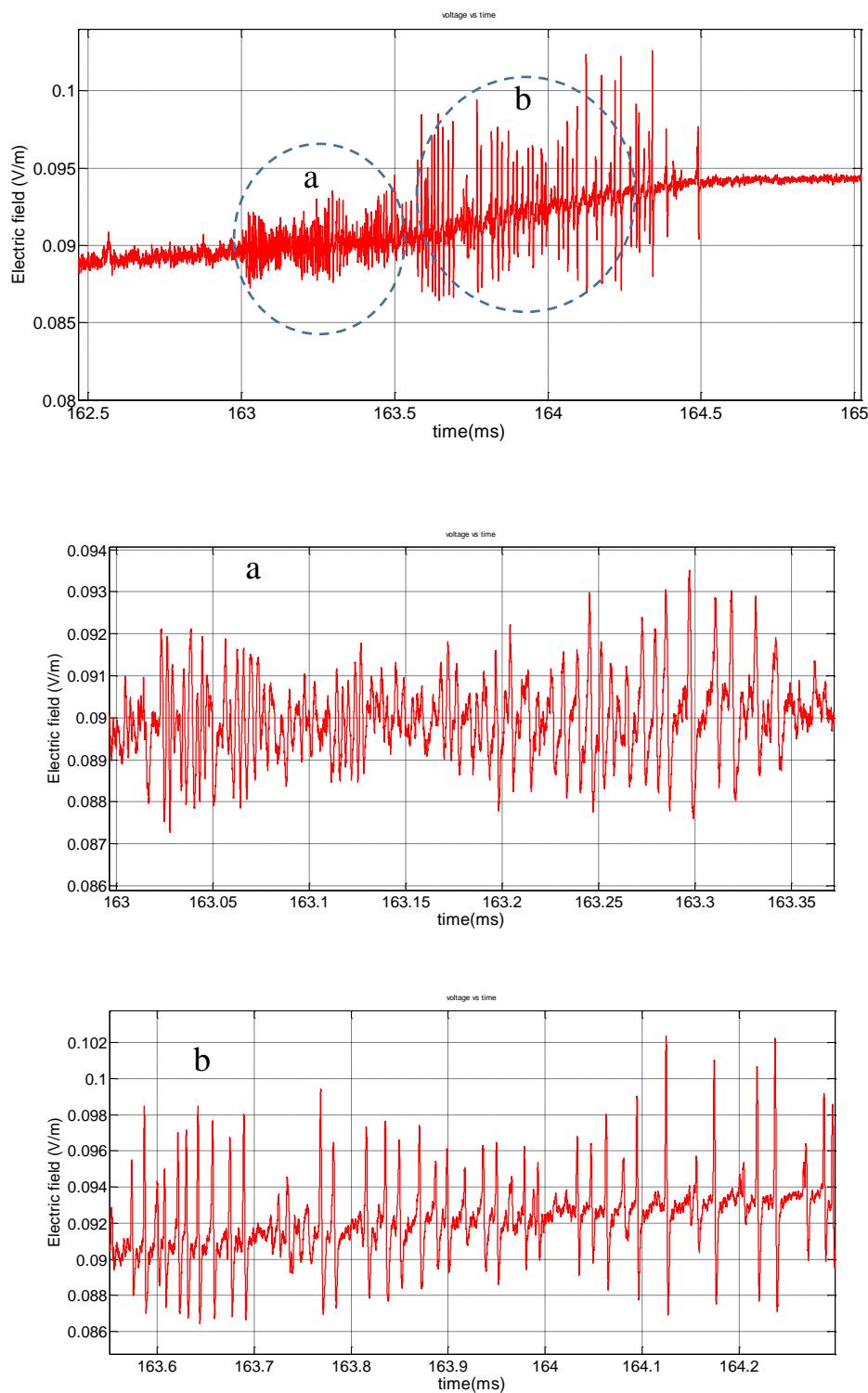
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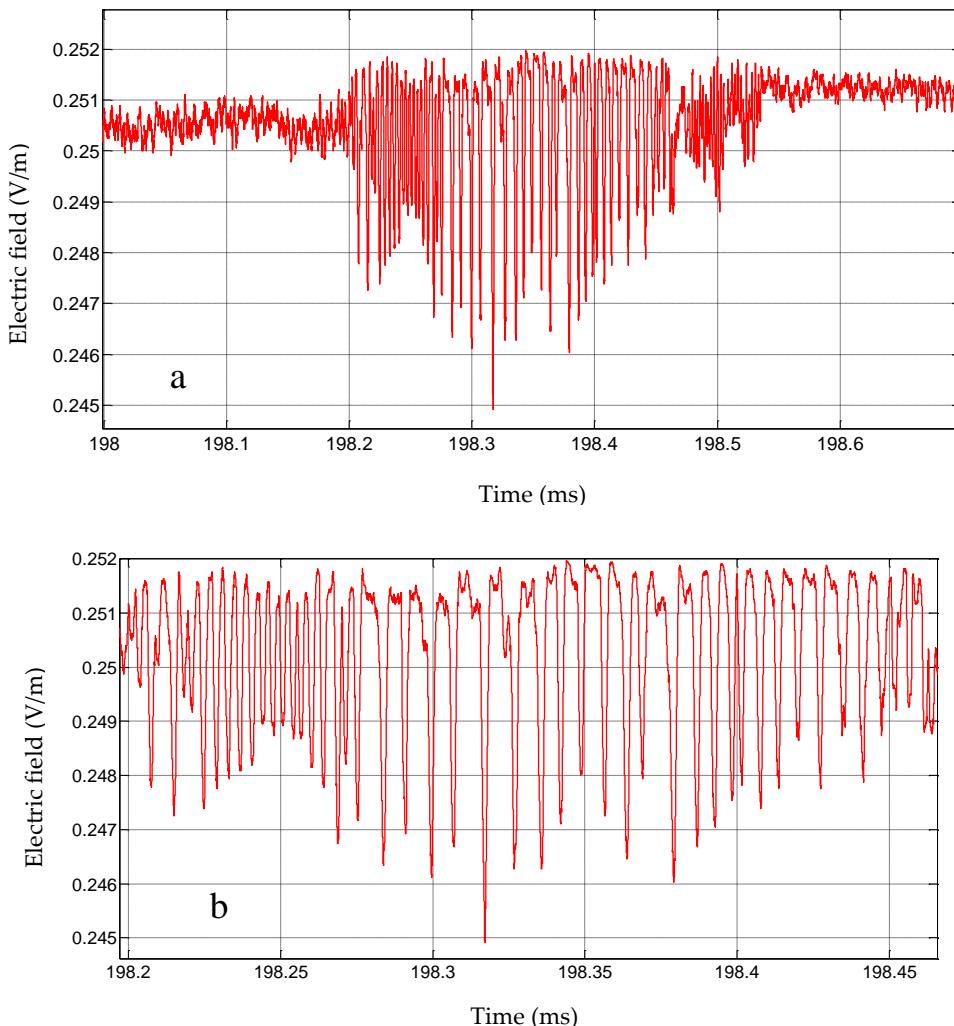
Figure 7. K change starting with chaotic pulse burst and ending with regular pulse burst circled in dotted blue lines. Figures (a) and (b) shows the expanded view of chaotic and regular pulse components.



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Figure 8. K change starting with chaotic pulse burst and ending with regular pulse burst circled in dotted blue lines. Figures (a) and (b) shows the expanded view of chaotic and regular pulse components.



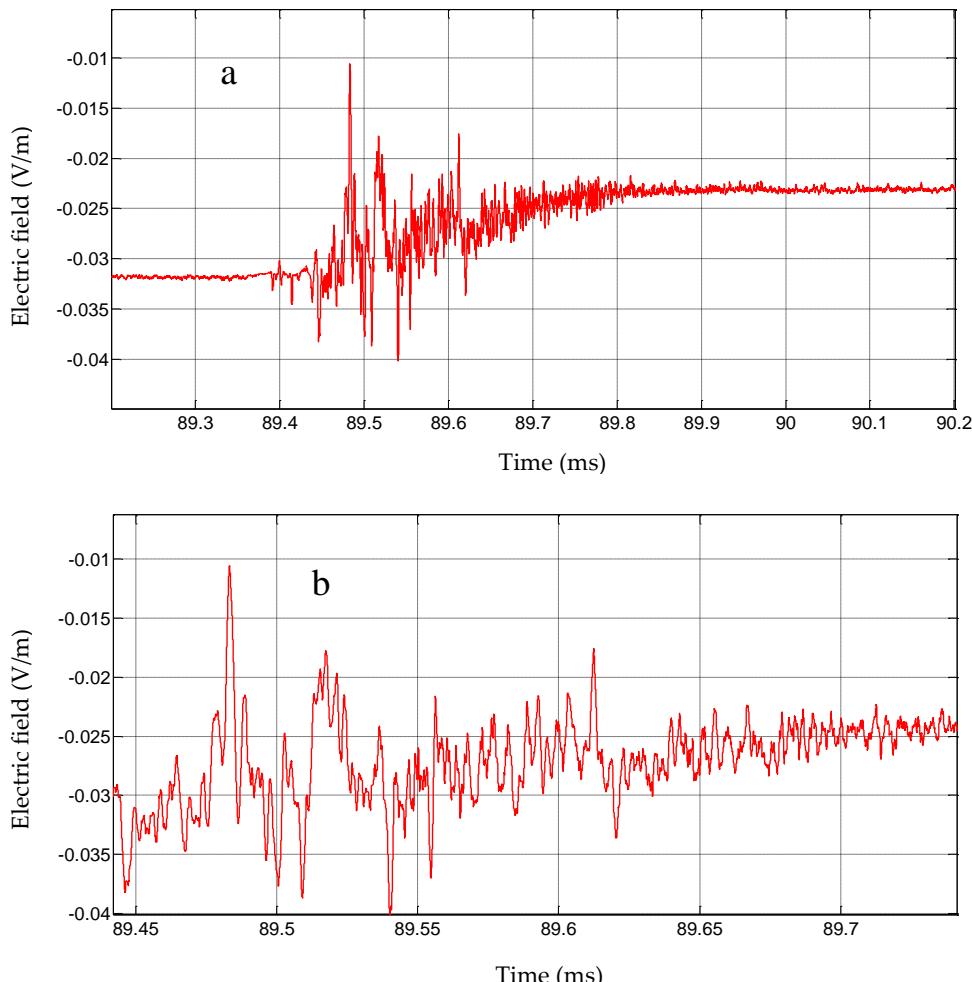
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Figure 9. (a) K- change consist of regular pulse trains. (b) Expanded view of the regular pulses.

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The results presented here show that the static field change associated with the K change can be regarded as the static field change caused by a chaotic pulse burst. In this respect the K change itself can be considered as the field change produced by a chaotic pulse burst. As mentioned earlier the chaotic pulse bursts were observed first by Weidman [11] to be associated with subsequent return strokes. According to the observations made by Shao et al. [7] there is no physical difference between the processes that initiate subsequent strokes, K changes and M components. All these different processes are associated with negative discharges originating at the ends of the cut off points of positive channels and propagating towards the point of origin of the return strokes [6]. If the discharge happens to make a contact with a partially conducting lightning channel it will lead to a dart leader that will end up as a subsequent stroke. If the discharge make contact with a lightning channel through which a continuing current is flowing it will end up as a M component. If the discharge ends up with a non-conducting lightning channel then it will appear as a K change. Since, K changes are nothing but a chaotic pulse burst there is no surprise that the chaotic pulse bursts are also associated with subsequent strokes. It is of interest in this respect to observe the fine structure associated with M components. The results presented here in combination with Shao's results indicate that the fine structure associated with M components should also consist of chaotic pulse bursts.



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Figure 10. (a) K- change consist of chaotic pulse trains. (b) Expanded view of the chaotic pulses.

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4. Conclusion

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In this paper we have presented the detailed characteristics of the K changes observed in Sri Lanka located in the tropics. The static electric field associated with the K change can be described as a ramp electric field change. The study shows that the fine structure associated with the K change is a chaotic pulse burst observed previously by lightning researchers. In this respect, one can conclude that the K change as defined in the literature is the static field associated with a chaotic pulse burst.

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Author Contributions: The study was completed with cooperation between all authors. Sankha Nanayakkara as first author prepared and carried out the experiment, collected the data, analyzed the data, and wrote the draft manuscript. Vernon Cooray contributed to the writing of the manuscript, gave the original idea and checked the validation of measurement. Mahendra Fernando, checked the analyzed data, and contributed with knowledgeable discussions and suggestions. This whole idea came from Mahendra Fernando and Vernon Cooray analysis of the chaotic pulses observed in Sri Lanka. All authors agreed with the submission of the manuscript.

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Conflicts of Interest: The authors declare no conflict of interest.

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