Facile Synthesis of High Molecular Weight Polypeptides via Surface-Initiated Vapor Deposition Polymerization

Chih-Tsung Yang^{1,†}, Jen-Chia Wu^{1,‡}, and Ying-Chih Chang^{1,2*}

¹Genomics Research Center, Academia Sinica, 128 Sec. 2, Academic Rd., Taipei, Taiwan

²Department of Chemical Engineering, Stanford University, CA 94309

[†]Current address: Future Industries Institute and ARC Centre of Excellence in Convergent Bio and Nano Science and Technology, University of South Australia, Mawson Lakes Campus, Mawson Lakes, South Australia 5095, Australia

*Current address: Cellmax Life, 18F-1 Park Street, Taipei, Taiwan

*Corresponding author email: yingchih@gate.sinica.edu.tw

Abstract

Despite huge effort has been devoted to the design of the initiators and reaction conditions, it remains challenging to synthesize high molecular weight polypeptides with conventional solution phase synthesis. In this work, surface-initiated vapor deposition polymerization (SI-VDP) was utilized to graft synthetic polypeptides poly (γ-benzyl L-glutamate) (PBLG) from polystyrene (PS) resin beads by ring-opening polymerization of *N*-carboxyanhydrides (NCAs). It was demonstrated for the first time that high molecular weight bulk PBLG (> 500,000) could be readily obtained within one hour via solvent-free synthetic method which paves the way for the synthesis of copolypeptides with high molecular weight.

Keywords: surface-initiated vapor deposition polymerization; N-carboxyanhydrides; ring-opening polymerization; poly (γ -benzyl L-glutamate); solvent-free polymerization; solid state polypeptide synthesis

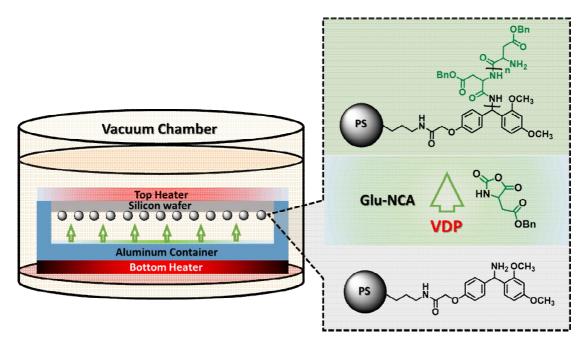
Introduction

The study of polypeptides prepared by ring-opening polymerizations (ROPs) of α-amino acid N-carboxyanhydrides (NCAs) has flourished in the past decades. ¹⁻³ As an important and promising strategy in synthetic polypeptides, significant advances aim at gaining precise control over NCAs, known as "living polymerizations". ⁴ Among them, initiators including primary amine, primary amine hydrochloride salts, ^{5,6} and *N*-trimethylsilylamines ^{7,8} proved efficient in controlling molecular weight while maintaining low polydispersity (PD) in the synthesis of polypeptides based on solution phase. Nickel catalyst mediated approach was employed to graft surface bound polypeptides from polystyrene (PS) resins, while low molecular weight (MW 22,000, PD 1.52) were measured for the split off graft PBLG. ⁹ Nickel mediated planar silicon surface has been exploited to synthesize homo and block coploypeptides and 3.6 nm-thick PBLG film was fabricated in DMF solution within 24h, ¹⁰ wherein it is still very challenging to synthesize high molecular weight (molecular weight > 200,000) polypeptides in an efficient way.

Polypeptides bearing hydroxylalkanoic acid groups such as poly L-glutamic acid (PLGA) are well known as important synthetic biodegradable polymers. High molecular weight PLGA has been extensively employed in drug delivery and other medical applications due to the biocompatibility and good mechanical properties. 11,12 The most common synthetic strategy to yield PLGA is through the ROPs of γ -benzyl-L-glutamate NCA followed by the debenzylation step. 13 To this end, the synthesis of high MW PBLG is crucial to yield PLGA with high MW. Previously, our lab developed the surface-initiated vapor deposition polymerization (SI-VDP) of N-carboxyanhydride (NCA) of α -amino acid technique to successfully fabricate polypeptide brush films from solid substrates in high vacuum, solvent-free conditions. We have reported that, by employing SI-VDP approach, a 187 nm-thick PBLG brush

film can be achieved within 30 min, 14 which is in the order of MW $\sim 3.7 \times 10^5$ i.e. DP ~ 1700 , as estimated by FTIR, ellipsometry, AFM, and XPS. Additionally, the SI-VDP is a living polymerization, where the N-terminal groups of PBLG brush remain active for the subsequent SI-VDP of NCA of amino acid monomers, forming block copolypeptide brushes. 15 After the debenzylation step, the PLGA polymer brushes can be readily obtained. Despite the versatility of SI-VDP approach, it was largely limited to create "smart surfaces" in which their responsiveness to external stimuli including pH, vapor and temperature, and the cultivability of stem cells were demonstrated. $^{14,16-20}$

In this work, we intend to develop a strategy to employ the SI-VDP of NCA amino acids as a convenient approach to create high MW polypeptides. Specifically, high surface area with the cleavable initiator coated PS resin beads are used for the SI-VDP to create high density PBLG brushes in *vacuo* (Scheme 1); subsequently, the PBLG may be eluted and collected in bulk.



Scheme 1. Experimental setup for synthesis of high molecular weight PBLG on resin beads.

Experimental Section

<u>Materials.</u> Commercial solvents and reagents were purchased from Sigma-Aldrich and Acros and used as received. Anhydrous grade solvents were purchased from Acros and were used directly. Rink amide AM resins were purchased from Merck. Undoped, single-polished, wedged silicon (100) wafers (Semiconductor Wafer Inc., with a dimension of $35 \times 15 \times 0.5$ mm) were used as solid support for resin beads.

Experimental Steps. The NCA of γ -benzyl L-glutamate (Glu NCA) used for polymerizations from the SI-VDP grafting process were synthesized following the method of Daly and Poché.²¹ The NCA crude product was purified by recrystallization 3 times in a glove box with the mixture of tetrahydrofuran (THF) and hexane. PS resins (100-200 mesh, 0.62 mmol/g; 1 % DVB) was treated with 20 % piperidine/DMF for 30 min and washed with DMF (3 × 3 mL), CH₂Cl₂ (3 × 3 mL), and then dried in *vacuo*.

General procedure for vapor deposition polymerization of PBLG. 10 mg of PS resins was swollen in anhydrous THF (2 mL) for 30 min, loading on the top of silicon wafer, dried in *vacuo* for 30 min. 8 mg of Glu NCA was loaded in the aluminum container and dissolved with 0.3 mL anhydrous THF. The solution was quickly dried in *vacuo*, and the wafer was placed on the top of the container with the resin-deposited side facing downward (as shown in Scheme 1). To start the SI-VDP reaction, the chamber was evacuated to $10^{-5} - 10^{-4}$ Torr. The temperature of the top heater was raised to 67 °C for 5 min, and then the temperature of the bottom heater was raised to 95 °C for a controlled time period. After the completion of the reaction, the resin beads were removed from the silicon wafer with DMF. The beads were washed with DMF (3 × 5 mL), THF (3 × 5 mL) and then dried in *vacuo*.

Cleavage. A mixture of TFA/thioanisole/triisopropylsilane/water (85:5:5:5 by vol)

was added. After 2h, the resin was washed with TFA $(3 \times 3 \text{ mL})$.

Work-up. The combined solutions were concentrated in vacuo. The residue was washed with ether (3 \times 3 mL), dried in vacuo and analyzed by GPC and MALDI-TOF/MS.

Characterization. ¹H-NMR was recorded on a Bruker ULTRASHIELD-600 PLUS (600 MHz) spectrometer equipped with a Cryoprobe operating at 298 K. Chemical shifts (in ppm) were assigned according to the internal standard of CDCl₃ ($\delta = 7.24$ ppm). Attenuated total refractive (ATR) FTIR spectra were recorded with a silicon crystal plate on a Nicolet Magna-IR 860 spectrometer equipped with a MCT detector (32 scans; resolution, 4 cm⁻¹). MALDI-TOF mass spectra were measured on Bruker Ultraflex MALDI-TOF mass spectrometer equipped with a nitrogen pulsed laser (355 nm). An equal volume of BSA trypsin digested (1 pmol/μL) solution was mixed homogeneously with α-cyano-4-hydroxycinnamic acid (CHCA, 10 mg in acetonitrile/water) solution and was used as the standard for MALDI-TOF mass calibration. Each data point was collected at the average of 500 shots of the laser beam with the laser fluence of 40%. Molecular weights of synthetic polypeptides were measured by gel permeation chromatography (GPC), which was equipped with a solvent delivery system, two columns (300 × 7.8 mm, 5 µm, phenomenex), and a differential refractometer (RI). Two columns were maintained at 40 °C. THF was used as the eluent at a flow rate of 1.0 mL/min. The sample concentration for GPC measurements was 2 mg/mL THF. Pure styrene and six PS standards with molecular weights ranged from 104 to 382100 g/mol were used for calibration.

Results and Discussion

The synthetic PBLG brushes were successfully synthesized from resin beads by SI-VDP and confirmed by FTIR characterization, as shown in Figure 1. Absorption

bands for amide I at 1652 cm⁻¹ and amide II at 1547 cm⁻¹ were indicative of the α -helical conformation of synthetic PBLG on solid substrates and the distinctive absorption bands of the synthetic PBLG cleaved from resin beads are also consistent with that of the bulk PBLG²² The results of synthetic PBLG by SI-VDP were categorized into two groups according to different variables including resin amounts, reaction time, and temperature (data not shown here): (i) At a fixed temperature, we loaded resin beads with the averaged amount of 10 mg to investigate the effects of time on the synthesis of PBLG (Table 1). The obtained MW and yield are also plotted as a function of reaction time (Figure 2). The MW of synthetic PBLG was significantly increased to 1×10^6 with the prolonged reaction time from 10 min to 60 min, demonstrating the ability to synthesize high MW PBLG in an efficient way. The total yield was increased over 30 min of reaction time. The PDI in all samples are ~ 3 to ~ 5 ; it is most likely caused by the physical hindrance and inhomogeneous local monomer vapor concentration, as the resin beads were dispersed randomly on the silicon wafer in our current experimental setup.

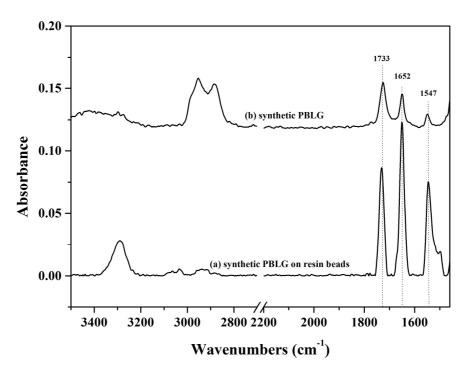


Figure 1. ATR-IR spectra of (a) grafted PBLG from PS resins, (b) synthetic PBLG cleaved from PS

resins.

Table 1. Effects of reaction time on the synthesis of $PBLG^a$

Entry	Time	Resin amount	Yield	Mn	Mw	PDI^b
	(min)	(mg)	(mg)			(Mw/Mn)
1	10	10.2	3.4	9,040	42,100	4.657
2	10	10.3	3.6	7,740	41,900	5.413
3	30	9.6	4.3	52,000	166,600	3.204
4	30	9.8	4.5	58,000	280,100	4.829
5	60	9.0	4.1	331,900	1,217,000	3.667
6	60	9.6	4.5	186,000	753,100	4.049

^a Reaction condition: 67 °C (top heater)/95 °C (bottom heater), vacuum pressure = $5 \times 10^{-5} - 1 \times 10^{-4}$ Torr. ^b Calculated by GPC (eluent: THF, c = 2 mg/mL, calibrated with polystyrene standards).

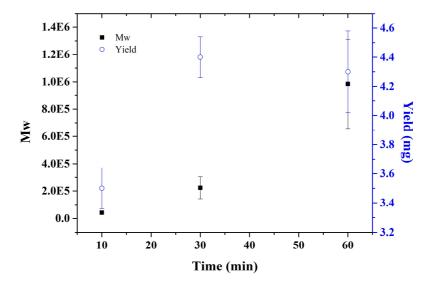


Figure 2. Plot of obtained molecular weight and yield of PBLG as a function of time.

(ii) We further examine the effect of resin amount to the final MW and yields. As shown in Table 2 and Figure 3, at a fixed 60 min reaction time, with the increase of resin amounts from 5.8 mg to 9.6 mg, the yields of PBLG were increased by \sim 150 %, and the average Mn were also increased from the order of 10^5 to \sim 10⁶. It is also worth noted that PD distribution of synthetic PBLG resemble that of polycondensates, with typical PD $\geq 2.0.^{23,24}$

Table 2. Effects of resin amounts on the resulting PBLG^a

Entry	Resin amount	Yield	Mn	Mw	PD^b
	(mg)	(mg)			(Mw/Mn)
1	5.8	2.8	77,500	198,100	2.556
2	5.8	2.7	191,300	359,100	1.877
3	9.0	4.1	331,900	1,217,000	3.667
4	9.6	4.5	186,000	753,100	4.049
5	14.0	4.6	188,500	778,200	4.128
6	15.7	5.4	559,800	1,012,000	1.808

^a Reaction condition: 67 °C (top heater)/95 °C (bottom heater), $5 \times 10^{-5} \sim 1 \times 10^{-4}$ Torr. 60 min reaction time ^b Calculated by GPC (eluent: THF, c = 2 mg/mL, calibrated with PS standards).

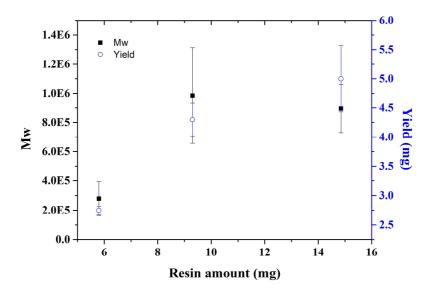


Figure 3. Plot of obtained molecular weight and yield of PBLG as a function of resin amount.

MALDI-TOF mass spectroscopy was employed to gain the mechanistic insight of SI-VDP process (Figure 4). Two periodic values of PBLG were observed as a result of two different chain terminations. In series 1 (denoted as white diamond), the periodic m/z values of 2011, 2230, 2449 and 2668, respectively, were observed. These signals are located with 219 Da, the molecular weight of the repeat unit of PBLG, and with a primary amine end group. In series 2 (denoted as black diamond), they included the same repeat unit of PBLG but with a lactam termination. This may be because during the heating process of polymerization, PBLG can undergo an intramolecular termination step leading to a 5-membered lactam structure which was formed by intramolecular nucleophilic attack of the terminal amine group to the benzyl ester in the side chain.²⁵ The MALDI-TOF mass data shown were in good agreement with the assumption. The major products of the synthetic PBLG has a primary amine chain end and even the lactam structure can be hydrolyzed by alkaline to generate primary amine which is capable of grafting the block copolypeptides on resin beads in the

future. Additionally, considering the efficiency in producing PBLG of MW > 300,000 (DP \sim 1400), the VDP system is 72 times faster than the amine initiators used previously in solution phase.¹³

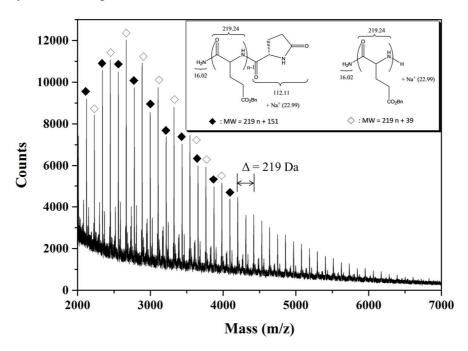


Figure 4. MALDI-TOF mass spectrum of synthetic PBLG prepared by VDP.

Finally, as compared with our previous study on the synthesis of PBLG grafting from planar substrates, shown in Figure 5, we used "solvent quench" approach to promote molecular bundles of PBLG with the average tilt angle $<\theta>$ of 30° stretching from silicon surface normal. The film thickness visualized by ellipsometry was approximate 300 nm (DP \sim 2300, i.e. MW \sim 5.0 \times 10⁵). The MW range of synthetic bulk PBLG is consistent with that on silicon wafer, suggesting that VDP system could be utilized in grafting high MW PBLG using various solid substrates.

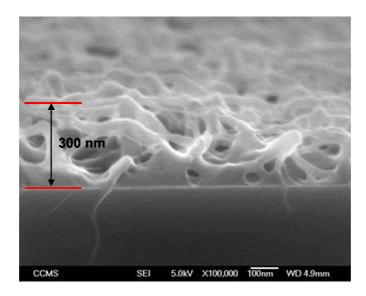


Figure 5. SEM image of "quenched" PBLG grafted on silicon wafer.

Conclusions

In this paper, a new synthetic method that employs NCAs developed in order to overcome challenges in the synthesis of high MW bulk polypeptides that conventional strategies fail to achieve. It demonstrated the rapid polymerization to yield PBLG in *vacuo*, completing within 1h. Precise controlled PDI and the synthesis of copolypeptides are the future studies using our optimized system.

Acknowledgement

We thank Ministry of Science and Technology, Taiwan (MOST104-2113-M -001-015-MY3) and Academia Sinica for financial support. Dr. Mo-Yuan Shen (Stanford) for the comments and suggestions.

References

- (1) Kricheldorf, H. R. Polypeptides and 100 years of chemistry of alpha-amino acid N-carboxyanhydrides *Angew. Chem. Int. Edit.* **2006**, *45*, 5752-5784.
- (2) Deng, C.; Wu, J.; Cheng, R.; Meng, F.; Klok, H.-A.; Zhong, Z. Functional polypeptide and hybrid materials: Precision synthesis via α-amino acid N-carboxyanhydride polymerization and emerging biomedical applications *Prog. Polym. Sci.* **2014**, *39*, 330-364.
- (3) Wibowo, S. H.; Sulistio, A.; Wong, E. H.; Blencowe, A.; Qiao, G. G. Polypeptide films via N-carboxyanhydride ring-opening polymerization (NCA-ROP): past, present and future *Chem. Comm.* **2014**, *50*, 4971-4988.
- (4) Zhao, W.; Gnanou, Y.; Hadjichristidis, N. Fast and Living Ring-Opening Polymerization of α-Amino Acid N-Carboxyanhydrides Triggered by an "Alliance" of Primary and Secondary Amines at Room Temperature *Biomacromolecules* **2015**, *16*, 1352-1357.
- (5) Dimitrov, I.; Schlaad, H. Synthesis of nearly monodisperse polystyrene-polypeptide block copolymers via polymerisation of N-carboxyanhydrides *Chem. Comm.* **2003**, 2944-2945.
- (6) Dimitrov, I.; Kukula, H.; Colfen, H.; Schlaad, H. Advances in the synthesis and characterization of polypeptide-based hybrid block copolymers *Macromol. Sy.* **2004**, *215*, 383-393.
- (7) Lu, H.; Cheng, J. J. Hexamethyldisilazane-mediated controlled polymerization of alpha-Amino acid N-carboxyanhydrides *J. Am. Chem. Soc.* **2007**, *129*, 14114-+.
- (8) Lu, H.; Cheng, J. J. N-trimethylsilyl amines for controlled ring-opening polymerization of amino acid N-carboxyanhydrides and facile end group functionalization of polypeptides *J. Am. Chem. Soc.* **2008**, *130*, 12562-+.
- (9) Witte, P.; Menzel, H. Nickel-mediated surface grafting from polymerization of alpha-amino acid-N-carboxyanhydrides *Macromol. Chem. Phys.* **2004**, *205*, 1735-1743.
- (10) Sparks, B. J.; Ray, J. G.; Savin, D. A.; Stafford, C. M.; Patton, D. L. Synthesis of thiol-clickable and block copolypeptide brushes via nickel-mediated surface initiated polymerization of alpha-amino acid N-carboxyanhydrides (NCAs) *Chem. Comm.* **2011**, *47*, 6245-6247.
- (11) Duro-Castano, A.; Conejos-Sánchez, I.; Vicent, M. J. Peptide-based polymer therapeutics *Polymers* **2014**, *6*, 515-551.
 - (12) Baumgartner, R.; Kuai, D.; Cheng, J. Synthesis of controlled,

high-molecular weight poly (L-glutamic acid) brush polymers *Biomater. Sci.* **2017**, *5*, 1836-1844.

- (13) Li, H.; Niu, Y. Synthesis and Characterization of Poly (L-glutamic acid) with High Molecular Weight *Polym. Plast. Technol. Eng.* **2012**, *51*, 1062-1067.
- (14) Wang, Y. L.; Chang, Y. C. Grafting of homo- and block co-polypeptides on solid substrates by an improved surface-initiated vapor deposition polymerization *Langmuir* **2002**, *18*, 9859-9866.
- (15) Wang, Y.; Chang, Y. C. Patterning of Polypeptide Thin Films by the Combination of Surface Initiated Vapor Deposition Polymerization and Photolithography *Adv. Mater.* **2003**, *15*, 290-293.
- (16) Chang, Y. C.; Frank, C. W. Vapor deposition-polymerization of alpha-amino acid N-carboxy anhydride on the silicon(100) native oxide surface *Langmuir* **1998**, *14*, 326-334.
- (17) Wang, Y. L.; Chang, Y. C. Synthesis and conformational transition of surface-tethered polypeptide: Poly(L-glutamic acid) *Macromolecules* **2003**, *36*, 6503-6510.
- (18) Yang, C. T.; Wang, Y. L.; Yu, S.; Chang, Y. C. I. Controlled Molecular Organization of Surface Macromolecular Assemblies Based on Stimuli-Responsive Polypeptide Brushes *Biomacromolecules* **2009**, *10*, 58-65.
- (19) Yang, C. T.; Wang, Y. L.; Chang, Y. C. Effect of Solvents and Temperature on the Conformation of Poly(beta-benzyl-L-aspartate) Brushes *Biomacromolecules* **2010**, *11*, 1308-1313.
- (20) Yang, C.-T.; Wang, Y.; Frank, C. W.; Chang, Y.-C. Chemoresponsive surface-tethered polypeptide brushes based on switchable secondary conformations *RSC Adv.* **2015**, *5*, 86113-86119.
- (21) Daly, W. H.; Poche, D. The preparation of N-carboxyanhydrides of alpha-amino-acids using bis(trichloromethyl)carbonate *Tetrahedron Letters* **1988**, *29*, 5859-5862.
- (22) Chang, Y.-C.; Frank, C. W. Grafting of poly (γ -benzyl-l-glutamate) on chemically modified silicon oxide surfaces *Langmuir* **1996**, *12*, 5824-5829.
- (23) Flory, P. J. Fundamental principals of condensation polymerization *Chem. Rev.* **1946**, *39*, 137-197.
- (24) Kricheldorf, H. R.; Schwarz, G. Cyclic polymers by kinetically controlled step-growth polymerization *Macromol. Rapid Comm.* **2003**, *24*, 359-381.
- (25) Kamei, Y.; Sudo, A.; Endo, T. Synthesis of Polypeptide Having Defined Terminal Structures Through Polymerization of Activated Urethane-Derivative of gamma-Benzyl-L-glutamate *Macromolecules* **2008**, *41*, 7913-7919.
 - (26) Wang, Y. L.; Chang, Y. C. Preparation of unidirectional end-grafted

alpha-helical polypeptides by solvent quenching J. Am. Chem. Soc. 2003, 125, 6376-6377.

(27) Wu, J. C.; Chen, C. C.; Chen, K. H.; Chang, Y. C. Controlled growth of aligned alpha-helical-polypeptide brushes for tunable electrical conductivity *Appl. Phys. Lett.* **2011**, *98*.

Table of Contents

