1 Article

2 An agent-based modeling framework for simulating

3 human exposure to environmental stresses in urban

4 areas

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16 Abstract: The importance of predicting the exposure to environmental hazards is highlighted by 17 issues like global climate change, public health problems caused by environment stresses, and 18 property damages and depreciations. Several approaches have been used to assess potential 19 exposure and achieve optimal results under various conditions, for example, for different scales, 20 groups of people, or certain points in time. Micro-simulation tools are becoming increasingly 21 important in human exposure assessment, where each person is simulated individually and 22 continuously. This paper describes an agent-based model (ABM) framework that can dynamically 23 simulate human exposure levels, along with their daily activities, in urban areas that are 24 characterized by environmental stresses such as air pollution and heat stress. Within the framework, 25 decision making processes can be included for each individual based on rule-based behavior to 26 achieve goals under changing environmental conditions. The ideas described in this paper are 27 implemented in a free and open source NetLogo platform. A simplified modeling scenario of the 28 ABM framework in Hamburg, Germany, further demonstrates its utility in various urban 29 environments and individual activity patterns, and portability to other models, programs and 30 frameworks. The prototype model can potentially be extended to support environmental incidence 31 management by exploring the daily routines of different groups of citizens and compare the 32 effectiveness of different strategies. Further research is needed to fully develop an operational 33 version of the model.

Keywords: environmental stress; human exposure; agent-based model; air pollution; urban heat
 wave; exposure modeling; climate change

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37 **1. Introduction**

38 1.1 Human exposure to environmental stresses

Human health is closely related to the surrounding environment. People are exposed to a variety of factors that can be hazardous to health, including the physical living environment. A series of climate change-related risk factors (rising sea levels and storm surges, heat waves and droughts, typhoons and extreme precipitation, inland and coastal floods) have been and will continue to pose serious risks to human society [1]. The strength and frequency of many risk factors tends to increase. The occurrence of these hazards often stresses human health and welfare, e.g. through diseases, property damage, economic loss and ecological environment degradation. For instance, extreme

rainfall causes urban flooding which often leads to large economic losses and serious threats to urban
safety [2] while heat waves are harmful to public health, especially to vulnerable groups, which is the
most significant reason of weather-related deaths [3]; the effects of air pollution, drought, wind, snow
and freezing weather on the normal operation of the city are also becoming increasingly prominent
[4].

51 Over the last decade the combined effects of a set of environmental factors on health concerns 52 have received growing attention in research and rising awareness of the risks posed by heat waves, 53 air pollution, noise, visual and social loads, and similar phenomena [5-7]. Most studies have focused

54 on the effects of one or two of these environmental stressors and found significant effects on health

55 risk.

56 1.2 Human health in urban environments

57 Cities are a highly artificial environment, quite special and different from the natural 58 environment that humans have always been living with. Urban environments can be highly stressful, 59 where humans are exposed to multiple sources of environmental discomfort, such as air pollution, 60 high temperature, noise, odor and social burdens [8]. As a result the health and wellbeing of humans 61 can be negatively affected by the urban environment [9]. Humans in cities often cannot avoid being 62 exposed to stressors, as they must work, shop, travel, or entertain in the cities. Working or staying 63 for a long time outside is the main way of being exposed to a stressful environment, followed by 64 travelling, particularly walking and cycling [10]. Even staying indoors, people are exposed to risks of 65 high temperature, noise and air pollution, of which the effects often can penetrate into buildings.

66 The overlap of global climate change and urbanization makes cities the places where risks are 67 concentrated and intensified due to the high density of population, building, traffic and other urban 68 infrastructures [6,11]. Modern cities can improve health via the provision of services as well as 69 material, cultural and aesthetic attributes. They also offer opportunities for cost-effective 70 interventions that can serve many people. Urbanization represents both opportunity and risk, and 71 offers a fresh set of challenges for those concerned with protecting and promoting human health and 72 wellbeing. However, environmental hazards remain and new threats have emerged [12]. Urban air 73 pollution - of which a significant proportion is generated by vehicles, as well as industry and energy 74 production - is estimated to kill some 2 million people annually [13]. Such stresses can worsen in the 75 future, considering that more than half of the Earth's population currently lives in cities (54% by 76 2014), and by 2050 this proportion will rise up to 66% [14].

Over the next thirty years, most of the world's population growth will occur in cities and towns of developing countries, mainly in Africa and Asia [14]. As urban populations grow, the quality of the urban environment will play an increasingly important role in public health with respect to issues ranging from solid waste disposal, provision of safe water, sanitation and injury prevention, to the interface between urban poverty, environment and health [15].

82 1.3 Dynamics of environment exposure

83 Since humans are an active component of cities, human exposure to the urban environment is 84 strongly linked to the various processes inherent in human mobility, to the distinctly local and 85 individual characteristics (e.g. clothing type, travelling tool, physical quality) and finally to the 86 quality of the natural, built and social environment [9,16]. While people move, the environment in 87 which they are located and their exposure to the environment changes dynamically. In addition, 88 available evidence indicates that personal exposure to many pollutants is not adequately 89 characterized because the time people spend in different locations and their activities vary 90 dramatically with age, gender, occupation, and socioeconomic status [17,18]. Thus, the exposure is 91 dynamic, and the challenge for research is to analyze the complex relationships between individual 92 and its local environment, to explore new exposure mechanisms under mobility, to identify universal 93 and specific local conditions in the urban context [19].

94 Preventing and reducing harmful exposure requires understanding of exposure dynamics, in 95 particular its sources, intensity, extension, duration, process and impacts [20]. Different micro96 environments (e.g., temperature, humidity, shadow, wind) and activities (e.g. working, shopping,
97 and entertaining) lead to everyday exposure levels of people moving in the city. Yet, if the threats
98 can be so different, they could affect the same people. The challenge is thus to find innovative,
99 efficient approaches to collect, organize, store and communicate exposure data on an individual level,
100 while also accounting for the inherent spatial-temporal dynamics.

101 Models are appropriate tools to reach understanding on this issue. A dynamic individual 102 exposure model is able to evolve as the individual moves would lay the basis for an assessment of 103 the exposure level by providing reliable and standardized information on the exposed objects across 104 a vast range of human activities [21]. In this paper, we explore the different types of exposure models 105 in the urban environment, their characters and advantages, and define both their spatial and 106 socioeconomic dimensions. By identifying research gaps in recent exposure models, we emphasize 107 the capacity of agent-based model to fill the gaps and present an agent-based model prototype to 108 integrate the dynamic and individual features of human exposure in urban environments. The aim 109 of the paper is to assess the challenge of implementing a dynamic exposure model for individuals of 110 different but specific mobility within an agent-based modelling framework.

111 2. Modeling approaches for assessing environment exposures

A wide variety of exposure models are employed for assessments of human exposure to environment stresses. These existing exposure models can be broadly categorized according to their target objects: modeling of exposure sources, exposed objects (receptors), and of accumulated exposure consequences (integrated in Table 1). In this section each of these basic types of exposure model are briefly described, along with inherent strengths or weaknesses, following with an analysis of the gaps and capacities of an agent-based model.

118 2.1 Modeling of exposure sources

119 The modelling techniques adopted in current exposure models have evolved along distinct lines 120 for the various types of source [22]. An elementary step towards a modelling assessment of the 121 exposure to new compounds or pollutants (chemicals, materials) is to estimate their environmental 122 concentrations [23]. Most of these studies focusing on the concentrations of environmental risk factors 123 use mathematical models based on measurements extracted from a small number of fixed climatic 124 monitoring stations within indoor and outdoor urban types of environments [24]. Jerrett, et al. [25] 125 reviewed these models and sub-classified them as (i) proximity models, (ii) interpolation models, (iii) 126 land use regression models, (iv) dispersion models, and (v) integrated emission-meteorological 127 models. Geographical Information Systems (GIS) are often applied in these models to demonstrate 128 spatial and temporal patterns of environmental pollutants. Nevertheless, these kinds of models aim 129 to extrapolate the concentration distribution of the environmental stressors considering various 130 factors that affect patterns of distribution in the research area, mostly (part of) a city [26, and others 131 in Table 1].

132 Schnell, Potchter, Yaakov and Epstein [24] criticized such models: 1) they underestimate 133 concentrations of risk factors using limited monitoring measurements; 2) the complexity of pollutant 134 distribution patterns was hardly accurate in these models; and 3) the indoor environment was 135 ignored when using only outdoor monitoring data. Beyond Schnell's criticism, these models mostly 136 focus on a single stressor of concern and describe a few pathways through which the receptor, either 137 a human or another organism, can be exposed [27]. However, awareness is growing that exposure to 138 single stressors is the exception rather than the rule [28]. In practice, organisms are often exposed to 139 multiple stressors, e.g., extreme weather, a chemical mixture or a combination of chemical, biological 140 and physical agents. Exposure to multiple stressors may take place concurrently or sequentially, and 141 the individual stressors may or may not interact [28].

To some extent, the effect of stressor concentrations cannot really be represented by exposure models if there is no specified subject that suffers from the stressors. Moreover, due to raising concerns of people-centered urban management, to date, the monitoring of urban environments has not taken into account the dynamism of urban daily life [19]. Humans in the city are actively mobile

- 146 which influence greatly the consequence of individual exposures. Therefore, current studies intend
- 147 to combine the modeling of exposure sources with human and/or other exposed subjects [20,29].
- 148

Table 1. Selected sample models in studying human exposure to environmental stressors

Category	Model principles	Example models/applications	Representative References	Model features
Modeling	Estimation of the	- Global air pollution (fine	[26]	- Mainly physical
-	concentration,	particles & ozone) assessment		aspects of exposure
sources	distribution and	- Atmospheric Dispersion	[30]	sources
	transportation of	Modelling System (ADMS 5)		- Receptors ignored
	exposure sources	- Land use regression (LUR)	[31]	- Suitable in large scale
	(pollutants, heat,	models	[29]	and outdoor exposure
	humidity,	- Multimedia Exposure		- Result is a map (map
	radiations, etc.)	Assessment Modeling	[32]	set) of stressor
		- Water quality regression	[20]	concentration
		model		
		- Indicator based heat and air	[33]	
		pollution combination		
		- Scenario projections from		
		regional climate models		
Modeling	- Assess the	- Modeling exposure to natural	[34]	- Offer an overview of
or	population or	hazards like flooding, cyclone,	[35]	group exposure
assessment	area or property	droughts	[36]	- Produce relative
	that is exposed to	- Global and regional human	[37]	comparison of sub-
population	certain stressor		[38]	group's exposures
	concentrations	- Noise exposure model		- Suitable in large scale
	- compare the		[39]	and outdoor exposure
	exposure	- Traffic noise and pollution		- Result is a population
	status/level of	exposure model	[40]	or area associated with
	sub-regions or	- heat stress exposure model in		certain stressor
	sub-group of	combination with traffic model		concentration
	population			(population-weighted
				concentrations)
Modeling	- Assess the	- CARES (Cumulative and	[41]	- Focus on sampled
of	accumulation of	Aggregate Risk Evaluation		individual receptors
individual's	exposure at a	System)	[42]	- Suitable to model
exposure	series of time and	- Lifeline (exposure to pesticide)	[43]	multiple stressors
degree	locations	- Mobile-tracked traffic-related		- Limited number of
	- Simulate the	air pollution model	[24] [10]	receptors
	exposure degree	- Urban exposure in daily life	[7]	- Specific and accurate at
	of specific	routines		individual level
	receptors		[44]	- Result is an integrative
	- Mostly adopted	- GPS-based modelling of urban	[45]	degree/intensity of a
	with receptors'	exposure to air pollution		subject being exposed
	mobility and	- Modeling exposure to multi-		(time-weighted
	activity	stressors		concentrations)
		- Personalized model of		
		pesticide use		

149 2.2 Modeling of population exposure

Models of population exposure go a step further than the stressor concentration models do. These models generally assess the size of a population, the area and/or property that is exposed to certain stressor concentrations, and may also compare the exposure level of sub-regions or subgroups (Table 1). Natural hazards like flooding, sea level rise, snow avalanches, droughts are among the mostly targeted exposure sources. For example, the nation-wide exposure assessment in Austria covers river flooding, torrential flooding, and snow avalanches [35]. A mapping study of flood

156 exposure detected flood inundation areas and the affected people [46], which indicated that exposure 157 depends strongly on the temporal and spatial dynamics of the distributed population. A few studies 158 also estimated global exposure to floods and revealed the economic exposure, population exposure 159 and geographical distribution of regional exposures [34,47,48]. Overall, these modeling approaches 160 help identifying highly exposed regions and are an important and suitable tool to inform regional or 161 nation-wide adaptation. Also the impact of the structure and morphology of cities on heat stress 162 exposure of urban commuters has been investigated by combining a simple heat stress model with a 163 traffic model that can track certain groups of commuters [40].

164 Population exposure models have been widely applied to explore human exposure to air 165 pollutions. Hystad, Setton, Cervantes, Poplawski, Deschenes, Brauer, van Donkelaar, Lamsal, Martin, 166 Jerrett and Demers [36] created national pollutant models to produce estimates of population 167 exposure to five common air pollutants (PM2.5, NO2, benzene, ethylbenzene, and butadiene) in 168 Canada. Global and regional exposure to black carbon [37], metals [49] and ozone [26] were also 169 estimated using similar approaches. Besides, a noise exposure model for London indicated that over 170 1 million residents were exposed to high daytime and night-time noise levels [38]. Modeling of traffic 171 pollution exposure in Toronto revealed the highest polluted areas and periods along roadways at 172 peak levels of traffic but the highest population exposure in the central business district due to the 173 higher population density [39].

Population exposure models often place strong emphasis on the geographical distribution of populations, stressors and their estimated level or intensity of exposure, which might be called population-weighted stressor concentrations [37]. These models have advantages in identifying geographic areas, usually larger than a city, where hotspot exposures are a potential risk to human health, and are informing decision making to reduce exposure inequalities [49]. New developments in sensor technology now enable us to monitor multiple stressors and personal exposures in activity spaces and fields of varying concentration [16].

181 2.3 Modeling of individual's exposure degree

182 Individual exposure models simulate the exposure level of each receptor based on their 183 individual characteristics and within a pre-set specific route and/or space (Table 1). These approaches 184 were often seen in mobility-related exposure studies using empirical or experimental traffic data for 185 specific individuals [43,50]. Leyk, et al. (2009), presenting a spatial individual-based model prototype 186 for assessing potential pesticide exposure of farm-workers with their individual level track of 187 movement and activities. Similarly, more complex modeling tools were developed for quantification 188 of human exposure to traffic-related air pollution within distinct micro-environments by using GPS 189 trajectory analysis of the individuals in the city area [10,24]. Findings of these approaches show the 190 exposure of people to environmental sources of discomfort while performing their daily life activities 191 [7,10]. These studies suggested a shift from measuring environmental conditions in fixed monitoring 192 stations to monitoring with mobile portable sensors [44,51].

193 Individual exposure models were applied in both human models and wildlife models. Loos, 194 Schipper, Schlink, Strebel and Ragas [28] compared five human and five wildlife receptor-oriented 195 exposure models and identified their similarities regarding exposure endpoints, chemical stressors 196 and the extent of model validation, as well as the differences relate to the simulation of behavior and 197 the representation of individuals and space. In addition, an individual receptor can be considered as 198 an integrator of different stressors to which it is exposed while moving through space and time. 199 Therefore, exposure models for multiple stressors should primarily focus on the receptor, and not on 200 the stressor(s). A few studies have indeed reported the applicability of individual-oriented models in 201 modeling noise, black carbon, particle number concentrations, and multiple chemicals [41,44].

The assessment of individual exposure often aims to tell the total exposure degree or intensity with a process of moving in different space sites. Sampling approaches are generally applied to collect exposure data at different location and time, often along a planned routine in a city. The assessment shows the consequence of accumulated exposure degree that is often a function of the stressor concentration and the duration of being exposed, or so called time-weighted concentrations [52]. As

indicated in table 2, most individual exposure models don't consider human exposure as a dynamic process but as a summary over several time points/periods. This may be discussable in case of long time continuing environment threats, for example, a heat wave that lasts several days. In practice, monitoring of individual exposure is limited to studies with a small number of individuals because of the high costs and complex organization associated with the measurements [10,51]. The results are very much accurate and reliable at personal level, though they don't show a big picture of the exposure pattern of the whole city or area.

214 2.4 Research gaps and capacities of agent-based modelling

215 As shown above, dozens of studies have measured the concentrations of numerous stress 216 sources in different media to which humans are exposed. Others have catalogued the various 217 exposure pathways and identified the duration and accumulation of exposure for the general 218 population. All of this information allows better estimates of exposure. However, literature reviews 219 have demonstrated that the role of individual mobility for exposure was less explored and based on 220 limited monitoring data of personal samples [19]. The relationship between individual heterogeneity 221 and uniform group patterns, especially for peak exposure in "hot-spots" is still insufficiently 222 addressed and the contribution of mobility-related exposure is not clear [7]. In addition, the dynamic 223 process of changing exposure to various individuals requires innovative models that can identify the 224 emerging non-linear patterns of collective exposures. We hypothesize that a computer simulation 225 tool with a large number of individual random activities in different types of environments can 226 provide a better understanding of the consequences of human exposure to environmental risk factors 227 throughout the concerned space and time range.

228 To fill the research gaps and test the hypothesis, we recommend the development of an overall 229 framework for exploring the spatial and temporal variability of individual exposure concentrations 230 and emerging collective exposure patterns, a screening tool for exposure source concentrations, the 231 collection of better source and receptor data, the demonstration of exposure processes and collective 232 exposure patterns. While a few researchers have mentioned similar ideas taking into account activity 233 spaces and daily mobility in measuring environmental exposures [7,19,53], the present study is a 234 practical effort to implement them. An agent-based model (ABM) is a suitable tool to implement such 235 dynamic non-linear and collective simulations, as reviewed in existing studies on coupled human-236 nature systems [54].

An agent-based model considers the essential known and measurable aspects of an agent and acknowledges the nonlinearities and underlying dynamic processes [54-57]. An agent-based approach can make an important contribution to improving health and wellbeing, both at individual and collective group levels. In an ABM, agents are described by self-contained computer programs that interact with its environment and with one another and can be designed and implemented to describe rule-based behaviors and modes of interaction of observed social entities [55,58,59].

243 Regarding the field of environmental exposure studies, ABMs have the advantage to simulate 244 the exposure consequences of individual activities and the patterns of collective group exposures, 245 thus to suggest exposure reduction strategies accordingly. Currently, there is limited understanding 246 of the complex mobility exposure to environmental stresses in the specific urban context. There is an 247 urgent need to develop an innovative and operational approach to understanding urban health and 248 wellbeing that integrates individual characters within a mobility context. This, in turn, will help to 249 integrate substantive consideration of individual wellbeing into long-term planning, development 250 and management of urban environments. Exposure estimates to atmospheric pollutants can address 251 individuals (personal exposure) or large population groups (population exposure) and can be based 252 on direct (exposure monitoring) or indirect methods (exposure modelling). Efforts aiming at 253 providing useful global models have given rise to freely available, web-based databases, each acting 254 as a collector of the different data and models representing geophysical and meteorological risks. 255

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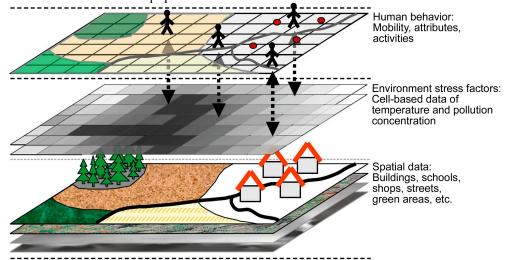
257 3 An agent-based modelling framework

An agent-based prototype model to urban environment stresses is developed in the present work for quantification of human exposure within distinct microenvironments and a novel approach based on daily routine analysis of individuals. Subsequent sections provide the context on the model environment and its implications for health, and outline a conceptual framework for the study of health and wellbeing in and between urban spaces. Finally, guidance on research criteria and the use of a systems approach is offered to prospective investigators for the development of research proposals.

265 3.1 Model structure

The model framework is structured in three overlapping layers: spatial data of the concerned urban environment, concentrations of environmental stress sources, and human activities. Figure 1

268 illustrates the ABM used in this paper.



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Figure 1. Illustration of the agent-based model framework for environmental exposure simulation. Applied and illustrated based on Leyk, Binder and Nuckols [45]

272 In this framework, spatial data of the changing concentration patterns of the environmental 273 stress factors are the key pre-set inputs that build up the natural aspects of the system (center layer 274 in Figure 1). A specific map will be used to represent the city with buildings, streets, shops, green 275 areas, etc. (lower layer in Figure 1). Agents with initiated attributes act daily to work, rest, entertain, 276 shop, take care of children, and follow certain paths to work (top layer in Figure 1). Once the 277 prototype model is initialized, agents act on their daily life according to predetermined rules that are 278 set according to empirical studies and specific surveys. Depending on their normal lifestyles 279 (different among agents) as well as the environment stress factors of their location, they suffer or 280 reduce exposure levels. A simulation during a heat wave or air pollution event, with a period from 281 hours to days, would report a cumulative exposure level for each agent and a collective pattern of all 282 agents in the study area. The loops of agents' daily activities and the evolution of the stressful factors 283 drive the model to run step by step, so that the exposure process can be analyzed. Finally, the model 284 produces summary information that can be used to diagnose both individual and collective exposure 285 and inform relevant exposure reduction strategies. Further details of the model components are 286 introduced in the following sections.

287 3.2 Modeling environment

The modeling environment includes two parts, the natural environment of the studied city area and the stressed environment of a heat wave or air pollution event. The natural environment of the city is represented by an integrated computable map of land-use data, street and building information, key sites, and so on. Such data are usually available in GIS format.

292 In addition to data from the urban environment, geospatial data for the stressed environment 293 are needed to map the impact on agent movements. Environmental stressors such as high 294 temperatures or air pollution can be taken from measurements or from atmospheric model 295 simulations. These are usually gridded datasets with a fixed spatial resolution. The temporal 296 resolution typically varies between minutes and several hours. For simulating the exposure to 297 stressors both high spatial and temporal resolutions are desired. However, there are limits set by the 298 availability of observations, by the resolution of the models or the computing resources (e.g. disk 299 space, working memory or computing time).

300 The introduced modelling framework aims to simulate the exposure to air pollution and heat 301 stress in an urban area. Air pollution is elevated in urban areas mainly due to emissions from traffic 302 (fossil fuel driven vehicles and ships), industry and residential heating. Therefore, high 303 concentrations can be expected near big roads, harbor and industry areas. Pollutants range from 304 larger particles such as particular matter (PM) to gases such as Ozone, NOx, CO, etc. Most of them 305 are formed after several chemical reactions. Hence, chemistry models are applied to simulate the 306 concentration levels within urban areas [60]. The emissions of chemicals, which are crucial for the 307 chemistry model, are usually estimated from traffic, census, and monitoring stations.

308 It is well known that due to the heterorganic surfaces and three-dimensional structures (e.g. 309 buildings, trees, bridges etc.) temperatures and heat stresses can vary strongly within a city [61]. At 310 night-time the so called urban heat island (UHI) can develop for low wind speed and cloud cover 311 [62]. The UHI refers to higher near-surface temperatures in urban areas compared to the rural 312 surroundings. Also during the day temperatures are varying within the city. Especially, green and 313 blue areas (e.g. parks, lakes, rivers, etc.) have a cooling effect during the day. Since humans do feel 314 the environment as a combination of the meteorological variables temperature, humidity, wind speed 315 and long- and shortwave radiation, rather than temperature alone so-called biometeorological 316 indices are computed, which summarize the combined effect of the thermal environment on the 317 human heat budget of a person [63]. The developed prototype uses artificial temperature data 318 randomly chosen from a typical temperature range for Hamburg to validate the model because high-319 resolution daily or hourly temperature or heat stress data for Hamburg are only available for periods 320 with a length of 3-4 days in summer [64,65].

321 3.3 Agent attributes and behaviors

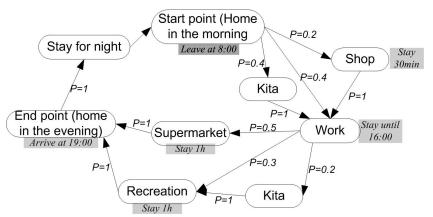
322 The urban population is quite diverse, consisting of people of different ages, gender, living and 323 working location, social background, lifestyles etc. Hence, they all show a unique behavior. 324 Modelling each urban dweller of a city like Hamburg with 1.7 million citizens is not feasible due to 325 computing constraints and more importantly due to the lack of available data. However, it is possible 326 to group people with similar attributes and behaviors to agent types based on surveys, traffic data 327 and data from public transport companies. The behavior of urban dwellers can depend on age, 328 gender, work, income, education, living and work location, access to cars or public transport, and 329 environmental conditions (e.g. rain, temperature, and pollution levels). Crowd-sourcing information 330 on detailed time-location data can also be collected for each individual at each moment by GPS-331 equipped mobile phones, offering many advantages over traditional time-location analysis, such as 332 high temporal resolution and minimum reporting burden for participants.

333 *3.4 Daily routines*

334 As mentioned before, agents have goals that they are following. These could be to go to work 335 every day, to take children to school or day care, etc. To facilitate the modeling, it is hypothesized 336 that the daily routine of a certain group of agents is uniform. This makes it possible to simulate as 337 many agent types as determined in grouping processes. According to the grouping properties of the 338 agents, the empirical data and survey data are used to generate synthetic daily routines, with agent 339 priorities for each option of the population commuting between different directions. To capture 340 variability in the travel survey and uncertainties in behavior, the synthetic daily routines can be 341 described as action probabilities or priorities *p*. An example of a synthetic daily routine for a female

agent, employed and aged 30-45 with one child is shown in Figure 2. In this example, the agent starts
the day at 8 am with standard deviation of 15 min. They then travel, via school to drop their children
off, to work with a 0.2 probability of visiting the shops for a while on route and so on. Parts of this
daily routine can be different among agents of the group, e.g. the staying time in a shop, but agents

- in this group all have to visit such many places on the route.
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Figure 2. Example of a daily routine for a female agent, employed, aged 30-45 with one child

350 4 Model implementation and results

In order to demonstrate the applicability of the ABM framework a prototype model implemented in the Netlogo platform is set-up for the city of Hamburg which simulates the exposure to air pollution of different kinds of agents living near the city center during their commute to work (Figure 3). A detailed description is given in Rühe [66]. In the following, the employed data (Section 4.1), the agent types (Section 4.2), and the model formulation (Section 4.3) are described briefly. In addition, some first results are presented (Section 4.4).



Figure 3. Map of commuting routes from home location (red house on the right) to work location (big red
 house in top left corner) implemented in NetLogo. The third small house represents day nursery. Each note
 represents a point where the exposure is calculated new. On each intersection agents decide which path they

use. The different colors represent the means of transport (blue=car, green=bike, yellow=public transport). Forcar and bike different routes are possible indicated by the different shades of blue and green.

362 4.1 Data preparation for the case city of Hamburg

363 In the present model, NO₂ concentration data are taken from model results from chemistry 364 transport model CityChem (Ramacher et al., 2017). The data are averaged for the summer and winter 365 of 2012 on a 250 m × 250 m grid. Values for temperature are taken from the DWD (German 366 Meteorological Service) and are randomly set at the same grid using typical ranges for summer (17 -367 24.9 °C) and winter (0 – 8.9 °C). As soon as long-term high-resolution temperature or heat stress data 368 are available, they can be implemented with the same input routine used for the N02 data. For 369 simplicity the routes as well as the home and work locations are predefined (Figure 3 and Table 2). 370 Information about the costs for taking the car were taken from ADAC (Allgemeine Deutsche 371 Automobil-Club), information about bike costs from the Federal Environment Agency 372 (Umweltbundesamt) and the costs for public transportation in Hamburg were taken from the public 373 transportation service of Hamburg, HVV homepage (www.hvv.de). With this information it is 374 possible to calculate overall costs for each path.

	Car1	Car2	Car3	Car4	Car5	Bike	Public
Time [min]	10	16	17	15	13	19	18
Length [km]	5.1	5.3	6.8	7.1	6.6	5.0	6.3
Costs [€]	1.53	1.59	2.04	2.13	1.98	0.4	1.07

Table 2. Time, length and costs for the different routes.

376 4.2 Settings of agents

377 The agent types are characterized by their different initial priorities for car, bike and public 378 transport (p1, p2, and p3), their different weights for costs, time, temperature deviation, exposure (α , 379 β , and γ), adaptation rate A and desired temperature T_{desired} (Table 3). The values are set for typical 380 urban dwellers. Therefore, artificial values are used to create meaningful citizens. This work tries to 381 represent a broader cross section of society. This is why agent types reach from college students with 382 small amounts of money available, with high weights for costs and a high priority for bike 383 transportation, to old retired people with low weights for costs and with high priority for car 384 transportation.

Table 3. Attributes of different agents.

Agent type:	Alfred	Bob	Chris	Dean	Earl	Frank	George
prioritycar	0.1	0.95	0.65	0.333	0.1	0.333	0.8
prioritybike	0.7	0.025	0.001	0.333	0.2	0.333	0.1
prioritypublic	0.2	0.025	0.3499	0.333	0.7	0.333	0.1
А	10	0.1	1.2	3	0.1	4	2
α	0.6	0.05	0.3	0.1	0.45	0.8	0.8
β	0.1	0.75	0.1	0.7	0.45	0.1	0.1
γ	0.3	0.2	0.6	0.2	0.1	0.1	0.1
Temperatureaim [°C]	23	18	21	23	23	19	28

386 4.3 Model formulation

In the model, agents are commuting to work using different means of transport (i.e. car, bike and public transport) as well as different routes, where they are exposed to different air pollution and temperature levels. The decision on which mean of transport to use is based on the priority p for the k different choices while the change of the priorities is based on a value function v which in our

391 case is the weighted sum of commuting costs cc, commuting time ct, deviation from a desired

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(1)

temperature d_t (|T-T_{desired}|) and the accumulated exposure to NO₂ e_{NO2} (Eq. 1). An exposure to NO₂ occurs if a threshold of 30 µg/m³ is reached.

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- 395
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397

405

$$v_{i,k} = -\left(\alpha_i \left(\frac{c_{ck} - c_{cmin}}{c_{cmax} - c_{cmin}}\right) + \beta_i \left(\frac{c_{tk} - c_{tmin}}{c_{tmax} - c_{tmin}}\right)\right) + \gamma_i \left(\left(\frac{d_{tk}}{d_{tmax} - d_{tmin}}\right) + \left(\frac{e_{NO2k} - e_{NO2min}}{e_{NO2max} - e_{NO2min}}\right)\right)$$

 $v_{i,k} \leq 0$

398 The parameter α , β , and γ represents the relevance of each term, which can differ between the 399 agents. The sum of all three parameters is 1. In order to make the different terms comparable they are 400 normalized with respect to their maximum and minimum. Hence, values can range from 0 to 1. For 401 simplicity the normalized exposure to high/low temperatures and the exposure to NO₂ are combined 402 into one exposure term in the current version of the model. This means that they are currently equally 403 weighted because it is not yet clear how to combine the effect of exposure to both stressors on the 404 health of the agents.

Following Scheffran and BenDor [67] the change in priority is computed using Eq. (2).

406
$$\Delta p_{i,k} = a_i \cdot p_{i,k} \left(\frac{v_{i,k} - \sum_{l=1; l \neq k}^n v_{i,l} \cdot p_{i,l}}{\sum_{l=1; l \neq k}^n v_{i,l}} \right)$$
(2)

where *ai* is the adaption parameter of agent *i* representing how fast an agent adapts and reactsto changes. After each time step new priorities are computed (Eq. 3).

(3)

409
$$p_{i,k}(t) = p_{i,k}(t-1) + \Delta p_{i,k}(t-1)$$

410 The values for the exposure are computed during the model run while the values for costs and 411 the commuting time are currently predefined.

412 *4.4 Preliminary results*

413 In the developed model several model runs were conducted starting with model validation runs, 414 where "extreme" agents (e.g. setting $\alpha=1$, $\beta=0$, and $\gamma=0$) are used to test for consistency and 415 plausibility. Afterwards, runs under simple conditions for all agents is executed followed by one runs 416 with low costs for public transportation, runs where rain is turned on, where a construction is 417 blocking one road and runs where the effect of NO₂ in summer and winter is analyzed. The prototype 418 model is integrated for 120 days keeping the environmental conditions. It is obvious that a rain event 419 strongly affects the agents with a high *priority*bike. Once a switch to public transport occurs (Figure 4), 420 this has a negative effect on the agents' capital because costs for public transport are much higher 421 than for bike. Agents with highest priority for cars are not affected at all.

422

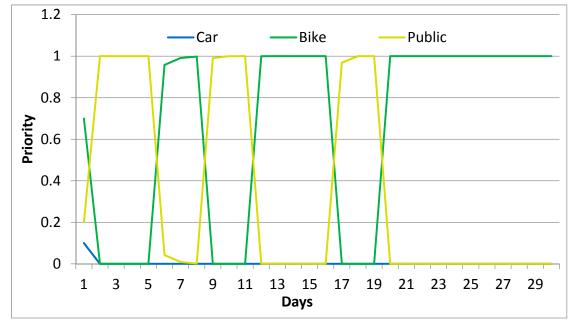
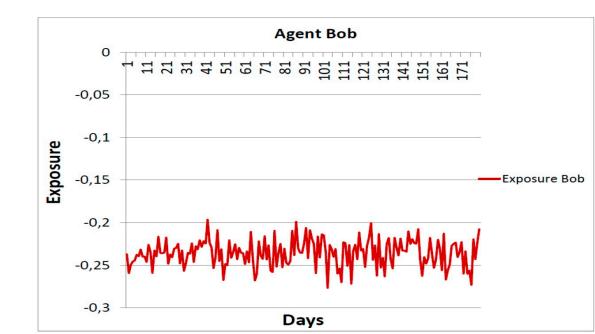


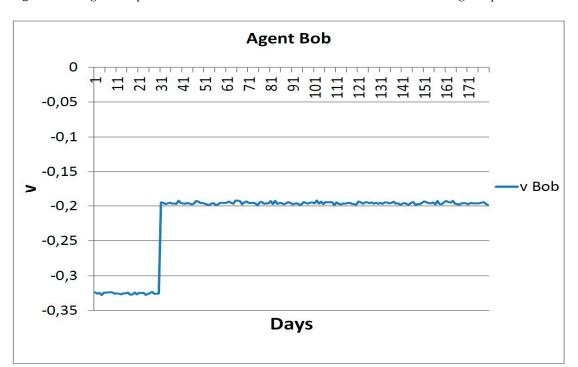
Figure 4. Changes in priorityi,k if rain is turned in and off for the agent Alfred.





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Figure 5. Changes in exposure to environmental stressors if a construction is blocking one path.



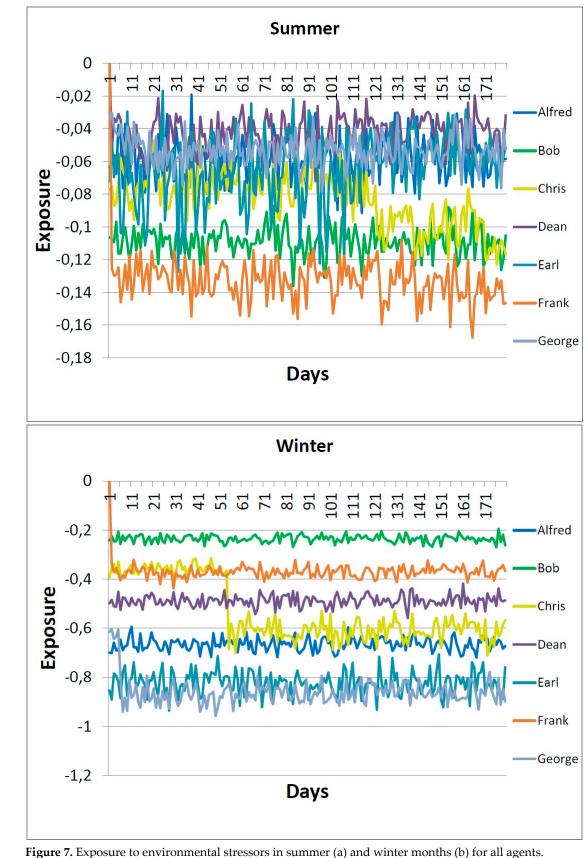
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Figure 6. Changes in v if a construction is blocking one path.

A construction does only affect agents who are taking the car because one choosable path is not available anymore. A construction does not affect the exposure to environmental stressors significant but the commuting time. In Figure 5, it is visible that changes in exposure to environmental stressors are not significant for Agent Bob, who has a high priority for car (Table 4). On the other hand, Figure 6 shows that a strong change in v (Eq. 2) occurs due to a decreasing commuting time.

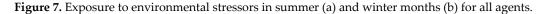
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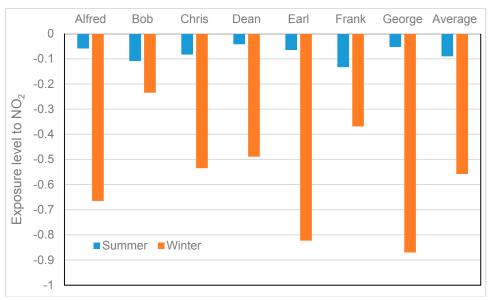


442

443 The differences in NO₂ concentration in winter and summer have a significant effect on exposure 444 to environmental stressors (Figure 8). Several studies show that NO2 concentration is higher in winter 445 than in summer [68] mainly caused by more heating processes in winter months. In the first run 446 temperature is constant but to analyze the effect of heat stress varying temperatures are introduced.

447 The model shows that 42.5 °C must be reached to have the same exposure to temperature and NO₂ 448 in winter and summer. Based on pervious temperature measurements by the German Meteorological 449 Service (DWD) it is very unlikely to reach this value in Hamburg (highest temperature ever recorded 450 at Hamburg-Fuhlsbüttel: 37.3 °C). Figure 8 lists the average exposure to heat stress using the NO2 451 data for summer and winter. To sum it up, even the highest temperatures in Hamburg are not high 452 enough to show the same exposure effect than the high NO₂ concentrations in winter months. 453 However, these simulations are idealized and it is not yet clear how to compare air pollution and 454 heat stress exposure directly e.g. with respect to health or wellbeing. Nevertheless, with the proposed

- 455 ABM both stressors can be modeled and assessed in a consistent way.
- 456



457 458

Figure 8. Individual and average normalized exposures to NO2 for summer and winter

459 5 Conclusion and outlooks

This paper presented an agent based modeling framework for dynamic micro-simulations of urban individual exposures to environmental stresses. Using the framework for the Hamburg scenario it is shown that it is flexible enough to handle a variety of input data and extend or replace algorithms. For example, heat stress data from model results could be employed, which will become available in the future.

Moreover, it is well possible to extend the prototype model. Therefore, extra algorithms should be added to each package to verify, manipulate, add or delete data items according to the purpose of the algorithm. Since for each new scenario different algorithms have to be used or implemented, it is of great interest that algorithms should be clearly separated from the data structure. They also should be easily exchangeable by others. The order in which algorithms are called should be flexible as well. The algorithms are collected into a sub-package of that data structure which they manipulate.

471 It has been argued that traditional validation methods are less appropriate for agent-based 472 models, as by their very nature, such models are simplified representations of complex reality and 473 indicate what may happen rather than what will necessarily happen. This caveat notwithstanding, 474 the validity of this model has been considered in several ways as illustrated in the modeling 475 framework and implementation sections. Nevertheless, it is only a framework. The algorithms 476 presented for modeling are basic. Resources are needed to enhance those algorithms and to validate 477 the resulting demand against behavioral issues. Within the UrbMod project (von Szombathely et al. 478 2017) data from a stakeholder survey with a focus on daily routines of urban residents in Hamburg 479 (von Szombathely et al. 2018) and from the patients' database at the University Hospital Hamburg-480 Eppendorf are collected which can be used to set more realistic values for the attributes of the agents. 481 In addition, with respect to the heat stress exposure the individual differences of the agents (age, 482 gender) and their thermal history (e.g. time spend in sunshine) could be taken into account to model

personal dynamic thermal indices, similar to Bruse [69] but for a much larger domain and period. Inthis way, the adaptive actions of an agent are linked with the exact thermal history experienced.

485 The purpose of this case study is not to simulate exact prediction of environmental events but to 486 demonstrate the utility and potential of an agent-based model to be used in an exposure analysis to 487 support environmental incident management. The conceptual approach in its current state relies on 488 simplified assumptions and interrelationships between the social and the environmental subsystem, 489 as well as artificial input data. This was necessary since real data are lacking and the complexity had 490 to be limited. The main objective, however, was to test feasibility of this approach for exposure 491 assessment and to fully understand the relevant mechanisms needed by developing a model 492 prototype. This work also shows the importance of interaction between the transportation 493 community and computer scientists. To satisfy the requirements concerning data management, data 494 processing, computational design and implementation, runtime issues, etc., it is necessary to include 495 computer knowledge into the transportation research process.

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Author Contributions: L. Emlyn Yang, Peter Hoffmann and Jürgen Scheffran designed the study; L. Emlyn
 Yang did the literature overview and constructed the modeling framework; Sven Rühe performed the prototype
 model for the Hamburg case; all authors contributed to write the paper.

- 505 **Conflicts of Interest:** The authors declare no conflict of interest.
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