

1 *Review*

## 2 **Spleen, as an optimal site for islet transplantation**

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11 **Abstract:** Islet transplantation is a cellular replacement therapy to treat severe diabetes mellitus,  
12 but its clinical outcome is unsatisfactory at present. One factor in clinical success of this therapy is  
13 selection of the most appropriate transplantation site. In this review, we review evidence showing  
14 the advantages of the spleen as a transplantation site for islets. The spleen has been studied for a  
15 long time as a candidate site for islet transplantation. Its advantages include physiological insulin  
16 drainage and regulation of immunity. Recently it has also been shown that the spleen contributes to  
17 the regeneration of transplanted islets and that splenic stem cells have the potential to differentiate  
18 into islet cells. The spleen also has some disadvantages associated with the transplantation  
19 procedure itself (bleeding, thrombosis and splenic infarction). The efficacy of transplantation is not  
20 as high as that obtained with intraportal transplantation, which is the current representative  
21 method of clinical islet transplantation. Safer and more effective methods of islet transplantation  
22 need to be established before the spleen can be effectively used in the clinic to support the  
23 engraftment of multiple transplanted islets.

24 **Keywords:** keyword 1; Spleen 2; Islet Transplantation 3; Transplant Site 4; Immunity 5; Tolerance 6;  
25 Regeneration 7; Diabetes Mellitus 8; Liver 9; Intrasplenic 10; Stem Cell  
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### 27 **1. Introduction: Islet Transplantation and the Hurdles**

28 Islet transplantation is a cellular replacement therapy to treat severe diabetes mellitus in  
29 patients who are unable to control their blood glucose even with intensive insulin treatment. Islet  
30 transplantation enables patients to receive an appropriate supply of insulin in response to changes in  
31 blood glucose levels. Islet transplantation also can prevent severe hypoglycemia and life-threatening  
32 complications including cardiomyopathy, nephropathy, retinopathy and neuropathy [1-3].

33 Although islet transplantation was first established in the clinic in the 1970s [4], the early  
34 therapeutic outcome was inadequate, and islet transplantation is still regarded as an “experimental  
35 therapy”. At the end of the 1990s, fewer than 50% of patients achieved insulin independence at two  
36 months after islet transplantation and less than 10 % after one year [5]. However, a turning point in  
37 islet transplantation was the development of an automated method for islet isolation in the  
38 mid-1980s. This method involves the progressive chemical and mechanical digestion of the pancreas  
39 in a warm collagenase solution using a digestion chamber known as a “Ricordi chamber” [6].  
40 Purification of islets from the digested pancreatic tissue is performed by density – gradient  
41 separation using a blood cell processor IBM 2991 device (sold as COBE 2991®, Terumo BCT, Inc.,  
42 Lakewood, CO, USA). This advance in digestion and islet purification has enabled the harvesting of  
43 large numbers of islets with high purity, and was important to achieving the first clinical success in  
44 islet transplantation in 1989 at Washington University in St Louis. This was a thirty six year old

45 woman with type 1 diabetes mellitus, who received transplantation of approximately 800,000 islet  
46 equivalents, achieved normoglycemia for 22 days without insulin treatment [7].

47 The other turning point was the development of an effective immunosuppressive regimen for  
48 islet transplantation. In 1990, the Pittsburg group achieved success in prolonging insulin  
49 independence for over 3 months in a clinical allogeneic islet transplantation study using tacrolimus  
50 (FK506) [8]. Tacrolimus is an inhibitor of calcineurin, which is required for T-cell receptor induction  
51 of interleukin-2 (IL-2) and for T cell proliferation. Tacrolimus has a superior safety profile compared  
52 to cyclosporine, an earlier calcineurin inhibitor [9, 10]. At the end of 1990s, the Edmonton group  
53 developed an islet transplantation protocol using the steroid-free immunosuppressive agents  
54 sirolimus, daclizumab and tacrolimus. In a study involving seven patients with severe type 1  
55 diabetes, all were able to function without insulin treatment and no episodes of hypoglycemic coma  
56 were reported [11]. Sirolimus (rapamycin) inhibits the activation of T and B cells by suppressing the  
57 multifunctional serine-threonine kinase mTOR (mammalian target of rapamycin), which is required  
58 for efficient production of IL-2 [12, 13]. Daclizumab is a monoclonal antibody directed against CD25,  
59 a component of IL-2 receptor, and thereby blocks the formation of the high-affinity IL-2 receptor.  
60 Daclizumab can prevent acute rejection by inhibiting the expansion of cytotoxic T cells [14]. The  
61 recommended protocol employed today uses antithymocyte globulin (ATG) plus the recombinant  
62 soluble tumor necrosis factor receptor protein etanercept as induction immunosuppressant agents,  
63 followed by tacrolimus or cyclosporine along with mycophenolate mofetil (an inhibitor of purine  
64 biosynthesis) for immunosuppression maintenance. The Minnesota group tested this protocol on six  
65 recipients and four of them became insulin-independent for a mean of 3 years [15].

66 The outcome for clinical islet transplantation has dramatically improved over the past 50 years  
67 due to technological improvements. A report in 2005 by the Edmonton group analyzing the  
68 long-term outcomes of their 65 patients showed that approximately 80 percent of them achieved  
69 successful islet engraftment at five years after transplantation (i.e. detection of serum C-peptide and  
70 reactivity to glucose stimulation), but only 10 % of the patients remained free from insulin treatment  
71 [16]. A recent report from the Collaborative Islet Transplant Registry (CITR: a registry of clinical islet  
72 transplant cases performed in USA, Europe or Australia) indicated that the rates of insulin  
73 independence at three years after transplantation have been improving (44 % in 2007 – 2010 era vs.  
74 27 % in 1999 – 2002 era). The positive fasting C-peptide levels ( $\geq 0.3$  ng/mL) were also significantly  
75 higher in the period 2007 – 2010 versus 1999 – 2002 (90 % vs. 60 % at three years after transplantation)  
76 [17]. Moreover, it was observed that approximately 80 % of recipients who had received  $\geq 600,000$   
77 total islet equivalents achieved insulin independence, compared to 55 % who had received  $< 600,000$   
78 islet equivalents [18]. Islet transplantation is therefore now considered a practical option for treating  
79 severe diabetes mellitus in order to improve endocrinal function and to prevent hypoglycemic attack,  
80 but the current clinical outcome is still not satisfactory. The key points in obtaining a positive  
81 outcome are the acquisition of large numbers of islets from the donor pancreas, prevention of graft  
82 loss in the early stage of transplantation and maintaining engraftment for long period. Another key  
83 factor influencing engraftment is the transplant site, and the outcome of clinical islet transplantation  
84 could be further improved by utilizing a more optimal transplant site.

## 85 2. Candidate Transplantation Sites for Islets

86 What would be an optimal site for islet transplantation? We would define it by the following  
87 three criteria: 1) sites with an abundant, oxygen- and nutrient-rich blood flow, 2) sites that are  
88 privileged immunologically to minimize transplant graft loss, and 3) sites where transplantation can  
89 be performed with minimum invasiveness. To date, many organs have been assessed including the  
90 liver [19-21], renal subcapsular space [19, 20], omental pouch [22, 23], mesentery [24],  
91 gastrointestinal tract [25], skeletal muscle [26], subcutaneous tissue [26], eye [27], brain [28], testis  
92 [29, 30], bone marrow [31], thymus [32], and spleen [33]. However, it has been difficult to find a site  
93 that meets all three criteria (Table 1).

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96 **Table 1.** Candidate Islet Transplantation Sites other than Spleen.

<b>Transplant sites</b>	<b>Merits</b>	<b>Demerits</b>
<b>Liver</b>	✓ Representative site for clinical transplantation	✓ IBMIR
	✓ Relatively easy to access	✓ Innate immunity
	✓ Physiological insulin secretion	✓ Portal thrombosis and hypertension
<b>Kidney</b>	✓ The highest transplant efficacy in rodent models	✓ Difficulty in transplantation due to tight capsule in large animals
		✓ Systemic insulin release
<b>Omental pouch</b>	✓ Potential to accommodate large numbers of islets	✓ No reports
	✓ Rich vascularity	✓ No clinical trials
	✓ Physiological insulin secretion	✓ Possibility of risk associated with surgery including adhesion and ileus
<b>Mesentery</b>	✓ Rich vascularity	✓ Impossibility of graft removal without sacrificing intestinal tract
	✓ Physiological insulin drainage	
<b>Gastrointestinal tract</b>	✓ Rich vascularity	✓ Impossibility of graft removal without sacrificing intestinal tract
	✓ Physiological insulin secretion	
	✓ Possibility of endoscopic approach	
<b>Muscle and subcutaneous tissue</b>	✓ Easiest access with minimum invasion	✓ Poorest in transplant efficacy
		✓ Systemic insulin release
<b>Immune privilege site (brain, testis, eye, thymus)</b>	✓ Prevention, reduction or suppression of immunity	✓ Difficulty of clinical setting

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The liver has been used as a site for clinical islet transplantation for a long time. It is the largest organ that can accommodate large numbers of islets following a simple transplant procedure (percutaneous infusion into intrahepatic portal vein using ultrasonography under local anesthesia) [34]. On the other hand, the liver also has some problems as a transplant site. Many islets are destroyed in the early stages of transplantation due, in part, to hypoxia caused by ischemia. The isolated islets are in an avascular state throughout the process of preparation [35] and suffer from hypoxia in the hypo-oxygenized portal venous blood (the mean PO<sub>2</sub> of approximately 5 mmHg [36]) until revascularization occurs. Moreover, the islets themselves can be a cause of liver ischemia by embolizing the peripheral portal vein [37, 38]. Another issue is inflammation and immunity. The transplanted islets are frequently the subject of an innate immune response and are attacked by Kupffer cells, tissue macrophages in the liver [39, 40] as well as by natural killer cells [41], and this

109 may in turn induce an adaptive immune response. Furthermore, infusion of islets into the blood  
110 stream can trigger the instant blood-mediated inflammatory reaction (IBMIR), which can damage  
111 intraportal transplanted islets [42]. The IBMIR is triggered by the exposure of islet surface molecules  
112 during the process of islet isolation and purification [43, 44]. One such surface molecule is tissue  
113 factor (coagulation factor III), which causes the rapid binding of platelets, leading to coagulation and  
114 activation of complement systems. Most of the islets are destroyed by this reaction within 1 hour  
115 after transplantation [43]. Some immunosuppressants can be more toxic to islets in the liver, as their  
116 concentration is higher in the portal vein than in peripheral vessels [45]. Other complications of  
117 intraportal islet transplantation include portal hypertension and portal vein thrombosis. Portal  
118 hypertension can be a risk factor for post-transplant bleeding, portal vein thrombosis and sepsis [46,  
119 47]. Portal vein thrombosis is a critical complication in islet transplantation and can cause  
120 esophageal varices, splenomegaly, mesenteric ischemia, sepsis and death [48].

121 A common islet transplantation site in experimental studies, especially rodent, is the kidney (i.e.  
122 renal subcapsular space). There are some reports of islet transplantation into the kidney that have  
123 led to the restoration of normoglycemia. These studies have used relatively small numbers of islets,  
124 as it is difficult to transplant large amounts of islets into the human renal subcapsular space because  
125 it is rather inelastic and tight [49]. This may be why clinical progress in renal subcapsular islet  
126 transplantation has lagged [50]. Muscle and subcutaneous tissues have also been examined as  
127 candidate transplantation sites, as the transplantation procedure and biopsies can be performed  
128 easily with minimal invasion and few complications. These sites suffer from hypovascularity and  
129 hypoxia, and transplantation efficacy could be improved if these obstacles were overcome,  
130 especially in subcutaneous tissue [26]. Another problem with these sites is systemic insulin release.  
131 In general, secreted insulin from the pancreas flows into the liver via the portal vein, and therefore  
132 smaller amounts of insulin are needed to control blood glucose. This is referred to as physiological  
133 insulin secretion, as opposed to systemic insulin release. When islets are transplanted into  
134 intramuscular and subcutaneous sites, resulting in systemic insulin release, a much larger amount of  
135 insulin needs to be produced, as the insulin does not enter the portal system directly. The large  
136 amount of insulin required to be produced by the transplanted islets in order to control blood  
137 glucose is similar that required by insulin injection therapy. Another favorable islet transplantation  
138 site is the omental pouch. It has advantages in that the insulin drainage is via the portal vein, thus  
139 closer to physiological, and this site is highly vascularized [51]. There has been much progress in  
140 intra-omental pouch islet transplantation in rodent [23], dog [52] and nonhuman primate models  
141 [53]. In particular, because the omental is highly vascularized, this site has been proposed as an  
142 alternative site for encapsulated islet transplantation [54-56], but to date no clinical trials have been  
143 performed. The mesentery is also considered a candidate islet transplant site due to its rich  
144 vascularization and ability to accommodate a large number of islets, however one disadvantage is  
145 that if there is any trouble with the graft it would be difficult to remove it without damage to the  
146 intestinal tract [57]. The submucosal space of the gastrointestinal tract is another candidate site that  
147 has a rich vascular supply providing oxygen and nutrients and connects to the same portal system as  
148 the liver, spleen and pancreas [51]. Hara and colleagues have studied transplantation into this  
149 location by endoscopy in a pig model [25, 58], but there has been limited demonstration of this  
150 concept in large animal models. The brain, testis, the anterior chamber of the eye, and the thymus  
151 are the organs where the immunological response is suppressed and are thus considered "immune  
152 privileged" sites. The "immune privilege" of these sites was once assumed to be due to lack of  
153 cellular infiltration and lymphatic drainage [59], but more recently it has been shown that this is  
154 provided by a complex of immune responses [60]. For example, the brain, testis and retina-blood  
155 barrier are maintained in an immunosuppressed condition due to a cellular physical shield [60-62].  
156 In some cases, regulatory T cells (Tregs) also contribute to immune privilege. Larocque and  
157 colleagues showed that the immune response in the brain could be normally activated when  
158 CD4+CD25+ Tregs were depleted [63]. Hedger further revealed that rodent testes contain significant  
159 numbers of immunoregulatory cells, including Tregs [64]. And recently, Farooq showed that Tregs  
160 contribute to immune tolerance in the rodent anterior chamber when challenged by myelin antigen

161 [65]. Many experimental trials have investigated allo- and xenogeneic islet transplantation into  
162 immune privileged sites in using non-human animals. While such studies in animals have  
163 demonstrated the effectiveness of transplantation into immune privileged sites [27, 28, 30, 32], little  
164 has been done in a human clinical setting. In particular, the brain or eye are problematic sites for  
165 transplantation, as it would be difficult to remove a graft without damage in case of graft failure.

### 166 3. Characteristics of the Spleen as an Islet Transplant Site

167 Among the candidate islet transplant sites, the spleen may come closest to being an ideal site.  
168 The spleen is a highly vascularized organ which receives blood from the splenic artery and drains  
169 into the portal venous system. Vascularization is the most important factor determining the success  
170 of transplantation, and the spleen provides a rich oxygen and nutrition supply. Another advantage  
171 is that islets transplanted into the spleen can achieve physiological levels of insulin secretion, as  
172 insulin produced by pancreatic  $\beta$  cells flows into the portal – splenic vein (portal venous circulation)  
173 [66]. In contrast, insulin provided by a subcutaneous pump or by injection is delivered directly into  
174 systemic circulation. Recent advances in these insulin injection systems enable them to achieve close  
175 to physiological insulin release profiles (i.e. in the portal system), but there is still a limitation in day-  
176 to-day changes in insulin sensitivity [67]. As the spleen connects to the portal venous system, as does  
177 the liver and pancreas, insulin released from transplanted islets flows into the splenic vein.

178 The spleen is the site responsible for immune tolerance, and tends to be somewhat  
179 immunosuppressed, although this suppression is weaker than that found in immune privileged sites  
180 such as the testis or thymus. Previous studies have revealed that the spleen is involved in the  
181 suppression of T cell proliferation and antibody production following the induction of immune  
182 tolerance [68, 69]. Other studies have shown that splenic dendritic cells are a good source of  
183 suppressor cytokines, including transforming growth factor- $\beta$  (TGF $\beta$ ). The splenic T cell population  
184 was shown to include suppressor T cells [70], a cell type rebranded today as Tregs [71]. Tregs in the  
185 spleen prevent antigen presentation by dendritic cells to effector T cells, and suppress proliferation  
186 of effector T cells via production of suppressor cytokines including TGF $\beta$ , interleukin (IL) 10 and  
187 IL-35 [72]. Horton and colleagues performed intrasplenic allo-transplantation of islets into  
188 lymphoid-irradiated dogs that had received donor bone marrow transplantation before  
189 transplantation. In this study, the authors observed that islet graft function was maintained after  
190 total pancreatectomy without the use of immunosuppressants [73]. Moreover, splenocytes  
191 themselves may help regulate autoimmunity. In a previous study, we found that we could rescue  
192 non-obese (NOD) mice from a severe diabetic condition by injection of live donor splenocytes with  
193 complete Freund's adjuvant (CFA) to eliminate autoimmunity. In contrast, NOD mice that received  
194 irradiated splenocytes all became diabetic. Attack against lymphoid cells was minimal when live  
195 splenocytes were injected into CFA-infused mice [74, 75]. Thus it is not too surprising that the spleen  
196 can also protect transplanted islets from innate inflammatory responses, which are a major factor  
197 contributing to islet graft failure, as are acquired immune responses. Previously, we reported that  
198 several kinds of inflammatory cytokines, including monocyte chemotactic protein-1 (MCP-1),  
199 granulocyte-colony stimulating factor (G-CSF), and high-mobility group box 1 (HMGB1), were  
200 increased in the plasma after intraportal islet transplantation [76-78]. We also confirmed that these  
201 cytokines were significantly lower in intrasplenic transplantation in comparison with intraportal  
202 transplantation [79].

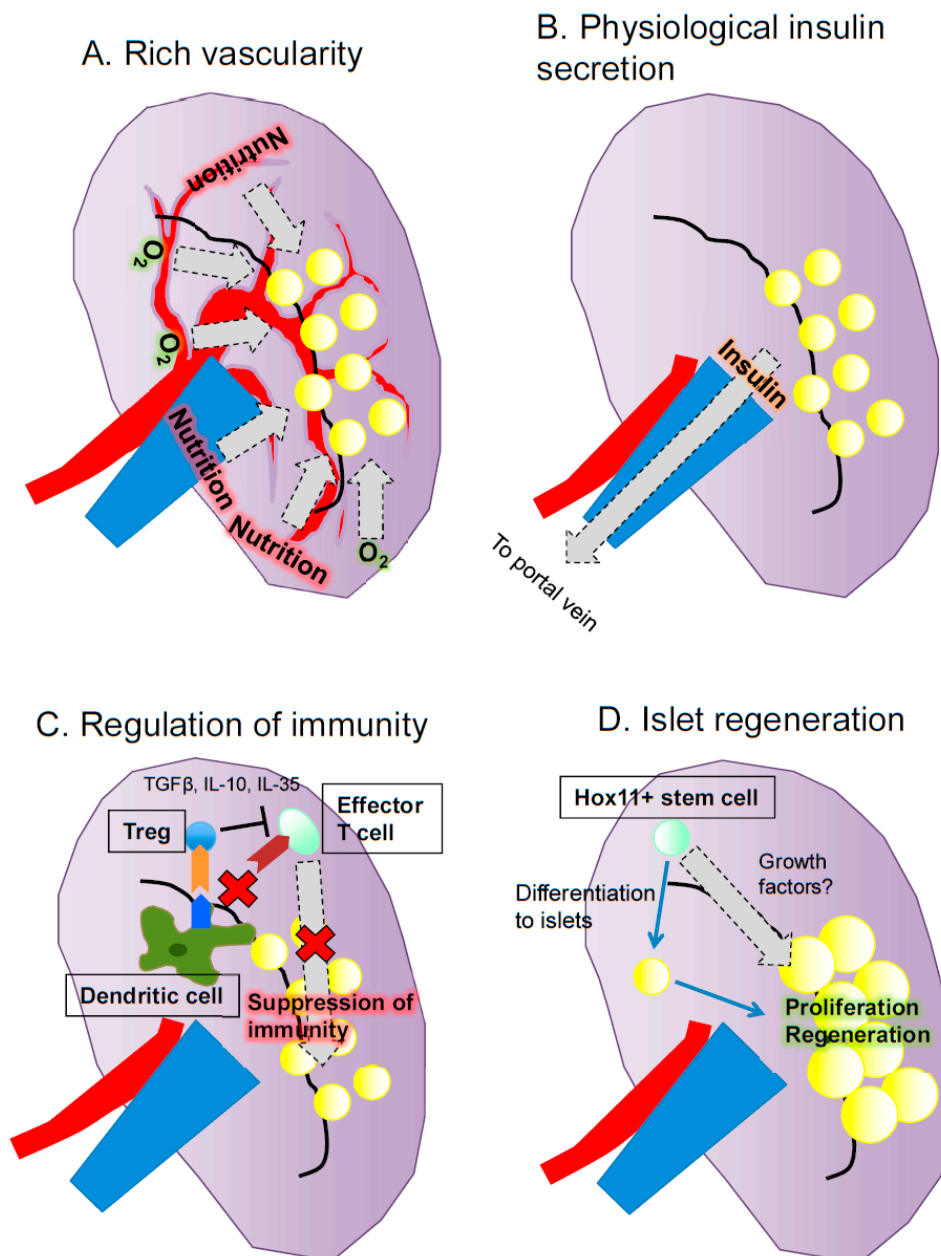
203 Interestingly, the spleen has been shown to be a reservoir of islet stem cells in diabetic mice. We  
204 confirmed that CD45- (nonlymphoid) splenocytes could develop into stem cells and further  
205 differentiate into islet progenitor cells, thus contributing to islet regeneration [74]. Moreover, we  
206 found in a subsequent study that adult mice spleens contained putative mesenchymal stem cells  
207 expressing Hox11 (known as Tlx1, a marker of splenic stem cell [80]) but not Pdx1, an early  
208 pancreatic regeneration marker, and that were CD45- in origin [81]. Lee and colleagues have  
209 provided additional evidence showing that removal of the spleen in children with severe  
210 thalassemias leads to the eventual development of insulin-dependent diabetes [82]. Thus, the spleen  
211 may facilitate the proliferation of intrasplenic transplanted islets. In 1989, Wohlrab and colleagues



212 first observed proliferation of  $\beta$  cells in intrasplenic transplanted islets at 200 days  
 213 post-transplantation. They speculated that the proliferative response was the result of a long-term  
 214 stimulation by slightly enhanced plasma glucose levels at the transplantation site [83]. We also  
 215 observed proliferation of intrasplenic islets transplanted into the renal subcapsule, and these  
 216 transplanted islets expressed both insulin and ribonucleoside-diphosphate reductase subunit M2 b  
 217 (Rrm2b) [79]. The Rrm2b gene encodes the small subunit of a p53-inducible ribonucleotide  
 218 reductase. Expression of Rrm2b may therefore contribute to proliferation of the transplanted islets,  
 219 as this gene has a role in DNA synthesis [84].

220 In summary, the spleen may be close to an optimal site for islet transplantation due to its rich  
 221 vascularity, physiological insulin secretion, regulation of immunity including autoimmunity, and  
 222 potential for islet regeneration (Figure 1).  
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224 **Figure 1.** Summary of the Characteristics of the Spleen as a Transplantation Site for Islets. The spleen has four  
 225 advantages as a site of islet transplantation: (A) rich vascularity, (B) physiological insulin secretion, (C)  
 226 regulation of immunity, and (D) potential for islet regeneration.  
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#### 229 4. Outcomes of Intrasplenic Islet Transplantation

230 The major studies on intrasplenic islet transplantation are summarized in Table 2. Historically,  
 231 intrasplenic islet transplantation has been performed since the late 1970s, when a number of trials  
 232 looking at intrasplenic islet autotransplantation into pancreatectomized dogs demonstrated that this  
 233 method could result in the recovery of endocrinal function [85-88]. This model has been used not  
 234 only for the assessment of transplantation efficacy [85, 86, 88-98], but also for the assessment of the  
 235 transplantation of cold or cryopreserved islets [99-102] and the toxicity of immunosuppressants [91,  
 236 103-106]. Other animals such as pig [107] and monkey [108-110] have also been used for islet  
 237 autotransplantation and have shown acceptable outcomes.

238 In the 1980s, some groups worked with allo- [111] and xenogeneic [112] islet transplant models.  
 239 Du Toit and colleagues performed intrasplenic allogeneic islet transplantation in pancreatectomized  
 240 dogs treated with cyclosporin and showed that survival was extended in comparison with  
 241 non-immunosuppressed dogs [111]. Moreover, this allogeneic transplant dog model helped  
 242 demonstrate the usefulness of rapamycin in transplantation [113]. Andersson reported survival of  
 243 allogeneic grafts from cultured islets for several weeks without the use of any immunosuppressants  
 244 [114]. In a xenograph model, the Washington group (Paul Lacy) succeeded in prolonging graft  
 245 survival for more than 100 days using cultured islets in a rat to mouse transplant model where the  
 246 recipients were treated with anti-rat and/or anti-mouse lymphocyte sera [112]. These findings  
 247 demonstrated the possibility of using the spleen for transplantation of allo- and xenogeneic islets.  
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249 **Table 2.** Outcomes of Intrasplenic Islet Transplantation.

Authors and References	Published Year	Transplant model		Comments
Kolb E, et al. [85]	1977	Auto (dog)	✓	Achieved normoglycemia, but glucose tolerance was impaired
Feldman SD, et al. [86]	1977	Auto (dog)	✓	Achieved normoglycemia, but glucose tolerance was impaired.
			✓	Implantation into splenic pulp.
Gray BN, et al. [88]	1979	Auto (dog)	✓	Response of insulin and glucagon to arginine stimulation.
			✓	Implantation into splenic pulp.
Mehigan DG, et al. [115]	1981	Auto (dog)	✓	Assessment of quality of collagenase.
Andersson A, et al. [116]	1981	Iso (mouse)	✓	Achieved normoglycemia after transplantation of 500 islets
Steffes MW, et al. [117]	1981	Iso, Allo (mouse)	✓	A minimum of 13 weeks of nearly normal glucose levels after receiving skin grafts and spleen cells.
Du Toit DF, et al. [111]	1982	Allo (dog)	✓	Extended survival, but normoglycemia not achieved.
Janney CG, et al. [112]	1982	Xeno (rat to mouse)	✓	Prolongation of more than up to 100 days graft survival using cultured islets and

				administration of anti-mouse and/or anti-rat lymphocyte sera.
Andersson A. [114]	1982	Allo (mouse)	✓	Graft survival of several weeks with cultured islets but without immunosuppressants.
Toledo-Pereyra LH, et al. [99]	1983	Allo (dog)	✓	Graft using cryopreserved islets was not rejected for more than 60 days.
Warnock GL, et al. [118]	1983	Iso (dog)	✓ ✓	Five month graft survival. Implantation via splenic vein.
Andersson A [119]	1983	Iso (mouse)	✓	Implantation of 500 islets was sufficient to achieve normoglycemia, while implantation of 150 islets was not.
Merrell RC, et al. [89, 90]	1985	Auto (dog)	✓ ✓	Achieved normoglycemia. Implantation via splenic vein.
Kneteman NM, et al. [120]	1985	Allo (dog)	✓	Prolongation of graft survival (approximately 20 days) using cyclosporine.
Gray DW, et al. [109]	1986	Auto (monkey)	✓ ✓	Achieved normoglycemia for 6 months. The first report of a monkey model.
Gores PF, et al. [91]	1987	Auto (dog)	✓	Achieved normoglycemia for more than 30 days.
Kneteman NM, et al [105]	1987	Allo (dog)	✓	Achieved normoglycemia for more than 100 days using cyclosporine.
Hayek A, et al. [121]	1988	Iso (rat)	✓	Partially achieved normoglycemia by transplantation of 1,000 neonatal islets.
Sutton R, et al. [110]	1989	Auto (monkey)	✓	Achieved normoglycemia with reduced insulin response.
Evans MG, et al. [92]	1989	Auto (dog)	✓	The normoglycemic rate was 90 % at one month after transplantation.
van der Vliet JA, et al. [93, 94]	1989	Auto (dog)	✓	The normoglycemic rate was 63 %.
Warnock GL, et al. [95]	1990	Auto (dog)	✓ ✓	The normoglycemic rate was 63 %. Comparison between splenic



				vein and pulp as the route of transplantation. Intravenous route was superior (The normoglycemia rate was 86 %, vs. 33 %).
Ziegler B, et al. [122]	1990	Iso (rat)	✓	Achieved normoglycemia by transplantation of 1,200 islets
Korsgren O, et al. [123]	1990	Iso (mouse)	✓	Achieved normoglycemia by transplantation of 500 islets
Scharp DW, et al. [96]	1992	Auto (dog)	✓	The normoglycemic rate was 86 % at 1 year after transplantation.
Motojima K, et al. [97]	1992	Auto (dog)	✓	Normoglycemia was not achieved.
Marchetti P, et al. [98]	1993	Auto (dog)	✓	The normoglycemic rate was 90 %, and decreased to 71 % at 1 year after transplantation.
Ao Z, et al. [52]	1993	Auto (dog)	✓	The normoglycemic rate was 67 %.
Yakimets WJ, et al. [113]	1993	Allo (dog)	✓	Approximate 20 days graft survival using cyclosporine and rapamycin.
Hesse UJ, et al. [107]	1994	Auto (pig)	✓	The normoglycemic rate was 50 %.
Eizirik DL, et al. [124]	1997	Xeno, Allo (human and mouse to nude mouse)	✓	Normoglycemia was achieved by transplantation of 300 human islets into renal subcapsular space or 200 mouse islets into pulp of the spleen.
Horton PJ, et al. [73]	2000	Allo (dog)	✓	Normoglycemia achieved by pre-transplant irradiation of total lymphocytes and donor-specific bone marrow transplantation.

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While the spleen has many advantages over other transplant sites, the efficacy of transplantation has been somewhat unclear. For example, Evans and colleagues showed that transplantation efficacy into spleen was better than that of the liver or kidney in an islet autotransplantation dog model: 90% of animals achieved normoglycemia at one month for spleen compared to 33% for liver and 0% for kidney [92] (Table 3). Using fetal porcine allotransplantation and murine transplantation models, Stokes et al. showed higher transplantation efficacy for spleen compared to liver, although kidney was better [125, 126]. Many other studies have reported the superiority of spleen compared to liver [96, 107] or omental pouch [52, 127], although some groups have reported the opposite [93-95] (Table 3).

Next, the route of transplantation into the spleen needs to be considered. In the earliest studies, the pulp was used as the transplant site in the spleen [86, 88]. After various trials, the Warnock

262 group tested intrasplenic islet transplantation via the splenic vein using islet autotransplanted  
 263 pancreatomized dog model, and observed greater effectiveness versus transplantation into pulp,  
 264 achieving normoglycemia in 86 %, vs. 33 % of animals [118] (Table 2). Intravenous transplantation is  
 265 generally regarded as preferable to intrasplenic transplantation, in part because intrasplenic  
 266 transplantation carries the risk of IBMIR that can damage the transplanted islets, similar to  
 267 intraportal transplantation [51].  
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269 **Table 3.** Transplant Efficacy of Intrasplenic Islet Transplantation.

Authors and References	Published Year	Transplant model		Comments
Sutton R, et al. [110]	1989	vs. Liver (Auto, monkey)	✓	Intrasplenic transplantation showed no superiority over intraportal transplantation
Evans MG, et al. [92]	1989	vs. Liver, Kidney (Auto, dog)	✓	The transplantation efficacy was best in the intrasplenic transplanted dog model: 90% achieved normoglycemia at one month, compared to 33% for intraportal and 0% for renalsubcapsular.
van der Vliet JA, et al. [93, 94]	1989	vs. Liver (Auto, dog)	✓	The normoglycemic rate was 63 % for intrasplenic vs. 75 % for intraportal.
Warnock GL, et al. [95]	1990	vs. Liver (Auto, dog)	✓	The normoglycemic rate was 63 % for intrasplenic vs. 80 % for intraportal. ✓ Hyperglycemia after transplantation was less severe and onset was delayed.
Scharp DW, et al. [96]	1992	vs. Liver (Auto, dog)	✓	The normoglycemic rate was 86 % for intrasplenic vs. 50% for intraportal at 1 year after transplantation.
Motojima K, et al. [97]	1992	vs. Liver (Auto, dog)	✓	Normoglycemia was not achieved with either intrasplenic or intraportal transplantation.
Ao Z, et al. [52]	1993	vs. Omental pouch (Auto, dog)	✓	The normoglycemic rate was 67 % for intrasplenic vs. 50 % for intraomental transplantation.
Hesse UJ, et al. [107]	1994	vs. Liver (Auto, pig)	✓	The normoglycemic rate was 50 % for intrasplenic vs. 25 % for intraportal transplantation.

Gustavson SM, et al. [127]	2005	vs. Omental pouch (Auto, dog)	✓	Transplantation efficacy was better for intrasplenic versus intraomental pouch transplantation as assessed by glucose tolerance test.
Stokes RA, et al. [125]	2017	vs. Liver, Kidney (Allo, pig)	✓	Allo-transplant model using fetal porcine islets. Transplantation efficacy was kidney > spleen > liver.
Stokes RA, et al. [126]	2017	vs. Liver, Kidney (Iso, mouse)	✓	Iso: transplantation of 220-250 islets. The normoglycemia rate was 100 % in kidney, 29 % in spleen, 0 % in liver (subcapsular space was used in the spleen and liver transplant models).
		vs. Liver, Kidney, Portal vein, Muscle (Xeno, human to SCID mouse)	✓	Xeno: transplantation of human 2,000 islets. The normoglycemia rate was 100 % for kidney, 70 % for muscle, and 60 % for portal vein.

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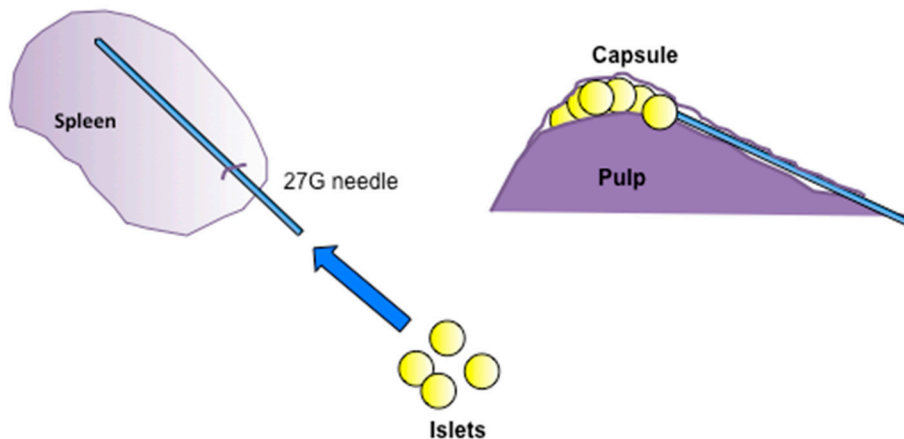
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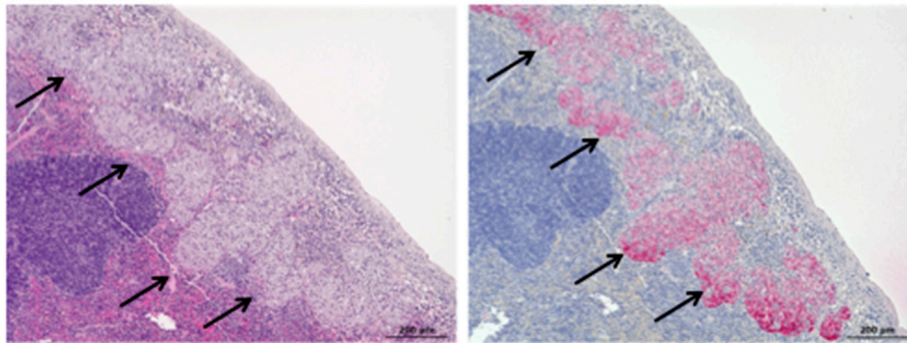
To examine the potential usefulness of the spleen as an islet transplantation site and to try to develop a better procedure for intrasplenic transplantation, we explored the “splenic subcapsular implantation technique” using a rodent syngeneic transplant model and analyzed the transplant efficacy of this method compared to intrahepatic and renal subcapsular transplantation [79]. This procedure involved direct puncture from the surface with a 27-gauge needle and implantation of islets under the splenic surface without venous or pulp injury (Figure 2).

**Figure 2.** A. Procedure of splenic subcapsular implantation technique. B. Engrafted islets (indicated by arrows) under the capsule of spleen at 28 days after transplantation. Left: hematoxylin and eosin staining, Right: immunostaining for insulin.

### A. Procedure of splenic subcapsular implantation technique



### B. Engrafted islets under the capsule of spleen



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284 Amazingly, all of the mice (n = 10) achieved normoglycemia for two months despite having  
285 received only 50 islets by intrasplenic transplantation. In contrast, none of the mice achieved  
286 normoglycemia when islets were transplanted into the liver or kidney. Thus, not only was  
287 transplantation efficacy superior to transplantation into other sites, but three to four diabetic mice  
288 could be treated by a single donor mouse using this method (i.e. 150 – 200 islets can be harvested  
289 from one donor mouse). Normoglycemia could also be achieved using as few as 25 islets  
290 transplanted into the spleen when glucose levels were also rigorously managed. By histological  
291 assessment, we observed that intrasplenic transplanted islets were enlarged in size. The  
292 transplantation efficacy of this model clearly exceeded those previously reported for intrapulp and  
293 intravenous transplantation models [95, 116]. We speculate that this is because intrapulp and  
294 intravenous transplantation involves greater tissue damage and consequent exposure of islets to  
295 blood, thus inducing IBMIR, compared to intrasplenic transplantation. In addition to preventing  
296 graft loss, intrasplenic transplantation allows the engrafted islets to access a rich oxygen and  
297 nutrition supply due to an abundant blood flow. These factors, plus the privileged immune status of  
298 this site, may be responsible for a greater success of engraftment and regeneration.

### 299 5. Conclusion: for the Future Clinical Intrasplenic Islet Transplantation

300 The first intrasplenic islet transplantation was performed in a clinical setting at the University  
301 of Leicester 20 years ago. Five chronic pancreatitis patients underwent spleen-preserving total  
302 pancreatectomy and intrasplenic islet autotransplantation, and of these, two acquired insulin  
303 independence for over a year. However, the patients who underwent this procedure suffered from  
304 high morbidity, including splenic infarction and portal thrombosis [33]. Du Toit reported that

305 intrasplenic islet transplantation was accompanied by some life-threatening complications including  
 306 subcapsular hematoma, intrasplenic necrosis and cavitation, capsular perforation, and arteriolar  
 307 thrombosis [111]. However, we believe these complications could be overcome with advances in  
 308 surgical procedures. In our opinion, implantation into the splenic subcapsular region may minimize  
 309 the risk of necrosis, thrombosis and hemorrhage by preventing venous and pulp injury.  
 310 Laparoscopic surgery could also minimize the surgical stress of the transplantation, as can  
 311 intraportal transplantation. We would suggest that with the combination of techniques described  
 312 here, intrasplenic transplantation may offer the most optimal approach to islet transplantation  
 313 among the approaches currently available.

314 In conclusion, the spleen has historically been an important site for islet transplantation, but its  
 315 utility could be greatly improved by the application of some recent novel findings and techniques.  
 316 We would advocate the development of clinical methods to optimize the safe and effective  
 317 transplantation of islets into the spleen.

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 324 manuscript and designed the Figure 2. SK checked the draft as the final version.

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## 326 Abbreviations

ATG	antithymocyte globulin
CFA	complete Freund's adjuvant
CITR	Collaborative Islet Transplant Registry
G-CSF	granulocyte-colony stimulating factor
HMGB1	high-mobility group box 1
IBMIR	instant blood-mediated inflammatory reaction
IL	Interleukin
MCP-1	monocyte chemotactic protein-1
mTOR	mammalian target of rapamycin
NOD	non-obese
Rrm2b	ribonucleoside-diphosphate reductase subunit M2 b
TGFβ	transforming growth factor-β
Tregs	regulatory T cells

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