

1 Article

2 Integration of Photovoltaic Plants and 3 Supercapacitors in Tramway Power Systems

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9 **Abstract:** The growing interest in the use of energy storage systems to improve the performance of
10 tramways has prompted the development of control techniques and optimal storage devices,
11 displacement, and sizing to obtain the maximum profit and reduce the total installation cost.
12 Recently, the rapid diffusion of renewable energy generation from photovoltaic panels has also
13 created a large interest in coupling renewable energy and storage units. This study analyzed the
14 integration of a photovoltaic power plant, supercapacitor energy storage system, and railway
15 power system. Random optimization was used to verify the feasibility of this integration in a real
16 tramway electric system operating in the city of Naples, and the benefits and total cost of this
17 integration were evaluated.

18 **Keywords:** Optimization; Photovoltaic; Supercapacitor; Tramway.

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20 1. Introduction

21 The substantial increase in the use of electrified collective transport systems, which has
22 occurred in recent years in large urban centers, has made it necessary to increase the vehicle
23 frequency rate and capacity of convoys in terms of transportable passengers. This has been reflected
24 in the use of vehicles with more propulsion power, as well as lower time displacement, with
25 consequent voltage variations, which are critical factors in the proper operation of the transport
26 system. Maintaining the line voltage within certain limits is in fact dictated by the need to properly
27 power the input bus of the electrical traction drive, which is currently the most popular propulsion
28 system in metropolitan, tram, and tramway trains. Major voltage drops in the line occur mainly at
29 the acceleration stages of the convoys and are therefore directly related to the number of vehicles
30 simultaneously operating on the line and to the total power required. During the braking phases,
31 however, the kinetic energy of a convoy is converted into electrical energy and, if possible, supplied
32 to the other accelerating convoys.

33 In this context, the use of braking energy recovery storage systems located along the contact line
34 or near the passenger stations could result in significant energy savings, compensating for the costs
35 incurred for their installation. The stored energy could also help to support the contact line during
36 consecutive congestion restarts, thus reducing voltage drops and energy dissipation. Among the
37 different rail transport systems, a solution with energy storage systems can be particularly effective
38 and beneficial if applied to metropolitan, suburban, and tramway systems. In fact, these are
39 characterized by operating convoy sets that accelerate and brake in short time intervals. In such
40 applications, the placement of the energy storage system in the station is optimal because of the
41 availability of space and therefore may be preferable to on-board installation. This solution also
42 enables recovery and re-use for other convoys passing near the same station.

43 Energy storage systems are essentially made up of components for energy storage and static
44 energy converters that regulate the incoming and outgoing energy flows, ensuring high reliability
45 and high efficiency. They are capable of allowing a controlled bi-directional flow of electrical energy
46 by stepping up or down converting voltages between the feed lines and storage components.

47 Supercapacitors (SC) are a good alternative to electrochemical batteries in applications where it is
48 necessary to quickly compensate for the discontinuous power absorption of electric loads. A proper
49 storage capacity design allows the use of SCs in different practical applications.

50 Researchers are paying increasing attention to different utilizations of these technologies in
51 urban and suburban railway traction systems [1,2] because they are capable of improving the
52 efficiency by recovering the braking energy and contributing to the starting of engines or boosting
53 the contact line voltages. Their presence also allows for the under dimensioning of the power
54 feeding plant during the project or for the more intensive use of existing plants. Practical
55 applications can be either stationary or movable [3,5]. In the first case, they can be devoted either to
56 recovering energy and returning it or to smoothing electrical power peaks in the electrical supply
57 network or supporting the line voltage. Therefore, they must be installed either near suburban train
58 stations and streetcar stops (energy recovery) or at some critical points along contact lines. In
59 movable applications, they are installed on-board and, hence, are used to improve the efficiency by
60 recovering mechanical energy during braking [6,7]. The action is also useful for smoothing electrical
61 power peaks during train start-up.

62 In the following sections of this paper we will pay attention to the boosting action of electrical
63 storage systems, because this practical application can be useful in solving the feeding problems
64 arising today in many large towns. In practice, in recent years, numerous trolley cars of a new design
65 have gone into service on suburban and city lines, and their traction drives have a higher rated
66 power than any previously used streetcar designs. However, the contact lines have rarely been
67 changed or properly updated to consider the increased current drawn. As a consequence, contact
68 lines sometimes become overloaded, which results in drops in their operating voltages, sometimes
69 below their minimum allowed values. Other trolley cars travelling in the vicinity, in the same or in
70 the opposite direction and fed by the same DC source, can sometimes enter a stand-by condition as a
71 result of the protective action of their minimum voltage relays. The same problem arises in suburban
72 traction systems when passenger carrying capacities during the day are improved by increasing the
73 number of trains operating simultaneously on the same section of the traction line. To avoid this
74 situation, a good and useful solution to improve line performance and avoid undesired voltage
75 drops without substantially changing the structure of the existing contact lines is the use of SC
76 stations allotted along contact line sections [8]. Such new configurations are only capable of
77 improving the performances of old contact lines if SC sets are properly designed with reference to
78 their size and capacitance, the number and locations of the stations, and the strategies used for the
79 involved power electronics devices [9,10].

80 In order to achieve a satisfactory braking energy recovery, it is necessary to consider that the
81 amount of energy that can actually be recovered during vehicle braking and reuse is only a part of
82 the kinetic energy owned by the vehicle itself at the start of braking. This part is smaller than the
83 power losses incurred in converting the kinetic energy into electric energy and transferring it to the
84 storage components. In practice, the efficiency of the electrical traction motor during generator
85 operation is very high. The leaks in static converters are very low, because of their high efficiency.
86 Joule leakage losses in the conductors between the storage systems and contact lines increase
87 considerably with the distance between the storage device and the point where the vehicle brakes,
88 because braking is a short-term phenomenon and is generally constrained to deceleration values that
89 are easily acceptable to passengers. Consequently, the power and current values involved are
90 relevant. These losses can, therefore, also counteract the energy recovery benefits. Therefore, the use
91 of energy recovery storage systems for tramcars can only be effective with the on-board installation
92 of the systems themselves. Their arrangement along the contact lines may be useful when they are
93 used to support contact line voltages. In our opinion, this last goal can be achieved, together with an
94 optimal recovery of braking energy, by integrating the recharge of stationary SC energy storage sets
95 with energy from local photovoltaic (PV) sources, appropriately installed along the rail path.

96 There have been few recent papers that dealt with the application of PV sources to urban
97 railway networks. In [11], the authors investigated the performance of solar PV modules mounted

98 on the roof of a rail coach to quantify the reduction in diesel consumption of the end-on generation
99 system that powered the electrical load in the new generation coaches.

100 The roof coach installation of the panels was proposed in [12], which introduced a technical
101 scheme for the auxiliary power supply system of a passenger train based on PV and battery energy
102 storage. This auxiliary power has to be injected into the DC power supply system in order to obtain
103 an annual output power payback, energy conservation, and emissions reduction [13,14]. Moreover,
104 [15] presented the concept of an embarked power source using SCs that were charged by means of a
105 combination of a roof-placed PV source and an aerogenerator, which supplied power to the DC link
106 of the main traction drive. The design and energy management strategies were applied to a
107 miniature train in the laboratory.

108 In [16,17], the potentials, peculiarities, and prospects of using solar power generation systems
109 on the platform roofs of railway stations were analyzed for power injection into the main electrical
110 grid. The prospects for realizing a solar generation system with lithium ion batteries for a local
111 station called a “zero-emission station” were also shown to realize a more eco-friendly traction
112 power supply system.

113 Ultimately, in [18,20], the feasibility and application modes of a fixed PV generation system
114 with an auxiliary SC or battery storage equipment was studied for urban rail transit. The AC
115 grid-connected mode and DC grid-connected mode were presented, and the grid-connected
116 topology and energy management strategy were developed. The intrinsic capability of saving
117 regenerative energy with a consequent increase in the energy savings of the systems and reduction
118 in the voltage drop was highlighted.

119 This paper proposes a procedure for the optimal integration of PV power plants, SC storage
120 systems, and railway power systems. The PV modules are installed on the available surfaces of
121 shelters positioned along the track. In order to use a modular approach for the storage systems, each
122 shelter is also provided with SC units. The modular distribution of storage systems makes it possible
123 to improve the discharge phase, reduce the losses in the connection to the railway power system,
124 and improve the control because it is possible to act in a different manner in the various nodes of the
125 railway systems. The approach utilized for the optimal integration is based on the use of a random
126 optimization procedure. This is based on a minimization cost function that takes into account the
127 cost of the entire system and can solve the mathematical problems with integer variables. Some
128 simulations were performed taking into account the case of a tramway of Naples (Italy) and using
129 the software Matlab© and Simulink©.

130 2. The Photovoltaic Power Plant

131 The considered tramway track, which is shown in Fig. 1, is approximately 1.8 km long and has
132 five stops placed 300 m apart. The total time needed to pass over this track is about 300 s. A yellow
133 circle in Fig. 1 indicates the presence of one shelter, while the green circles correspond to two
134 shelters. The green circles are also positioned at the initial and final stops of the track. At each
135 shelter, it is possible to install five PV panels with the characteristics reported in Table 1.

136 The maximum power generated by a plant assembled on a single shelter is about 1 kW_p.
137 Considering the total number of shelters, it is possible to install 12 kW_p of PV panels along the entire
138 track. The electrical power generated by this power plant can be utilized by connecting the PV
139 panels directly to the low-voltage power system through an inverter to exchange electrical energy
140 with the power system, or by linking the panels to a storage system based on SCs and using the
141 energy during the tramway operation.

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Figure 1. Map of possible installation of photovoltaic power plant in a part of Naples tramway.

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Table 1. Electrical data of photovoltaic panels.

Quantity	Values
Maximum Power	212 W
Open circuit Voltage	36.2 V
Short circuit Current	7.93 A
Module efficiency	16.1 %

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In recent years, because of the large development of distributed generation, the management of power systems, particularly low-voltage systems, has been affected by new problems related to the voltage drop along the line and the sorting of the locally generated energy. Therefore, the necessity of integrating storage systems in the power system continues to grow. Another important problem introduced by the integration of distributed generation in the power system is the random behavior of renewable energy sources. The feasibility of PV power plants is based on a study of the annual solar radiation that is typical for a geographical location. Figure 2a shows the mean value of daily global radiation in the case considered in this paper [21], in kWh/m².

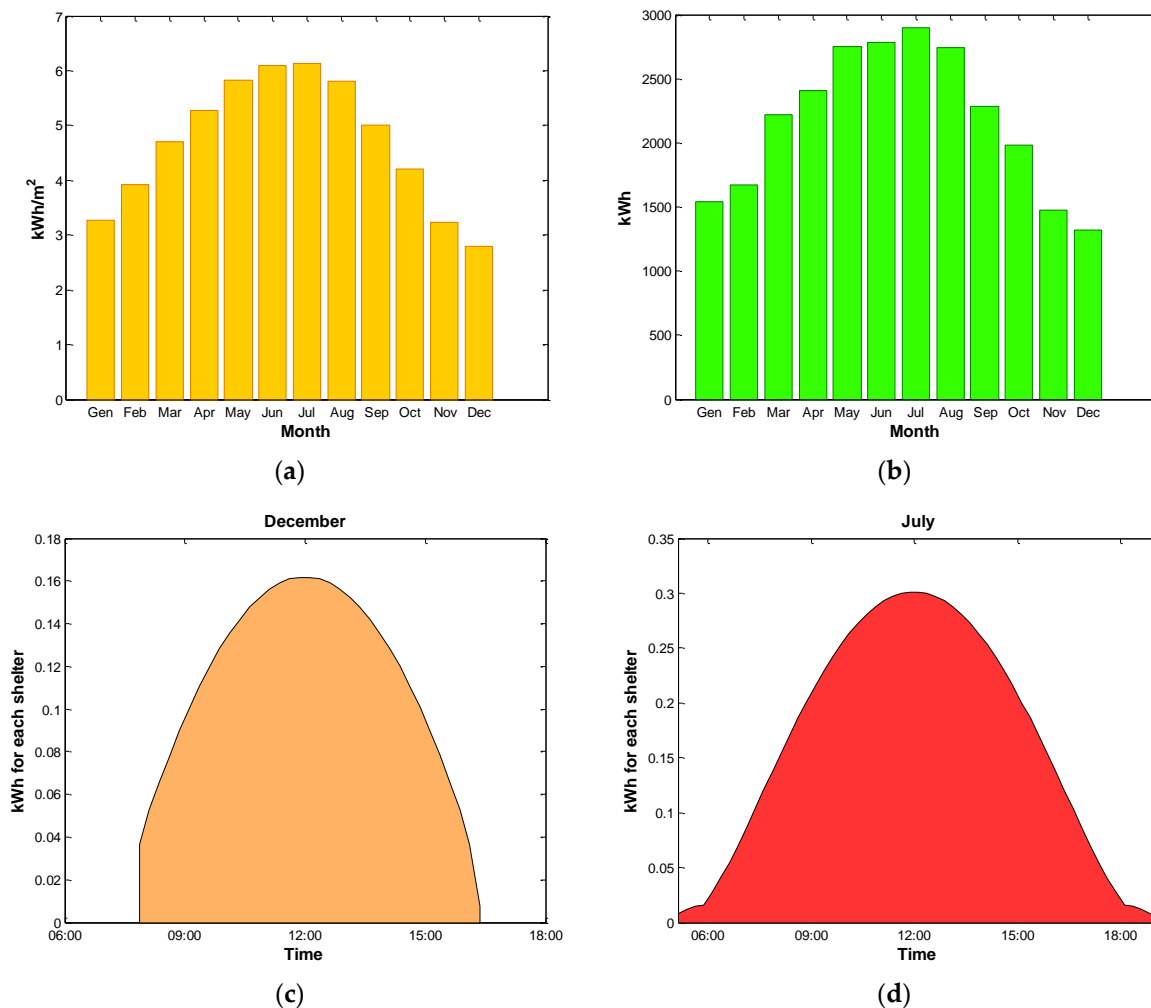
Considering the efficiency of the PV panels (Table 1), a power electronic converter global efficiency of 0.96, and the total surface of the shelters, it is possible to compute the mean value of energy (Fig. 2b) generated by the considered PV power plant.

The mean value of achievable energy in a year is approximately 26000 kWh. Considering the high power required in traction applications, the PV power plant cannot be directly coupled to the railway lines, but is necessary to use an appropriate storage system, i.e., one based on SCs, and to integrate it in the tramway electric power system. The integration of a PV power plant in the railway system requires an appropriate analysis of the distribution of solar radiation during a day, to avoid oversizing the storage system. Solar radiation is quite variable during the day. Thus, forecasting is difficult, and it is usually evaluated using statistical data. A correct knowledge of the radiation permits the optimal sizing of the storage systems. Figures 2c and 2d report the average values of solar radiation [22] in the summer and winter months for the case considered in this paper.

Figures 2c and 2d show the obvious and substantial difference between winter and summer, which must be taken into account for the correct sizing of the energy storage systems.

The choice of a storage system with a capacity equal to the maximum recovery energy in summer is oversized with respect to the energy available in winter. Thus, it is necessary to consider the possibility of storing only a part of energy obtainable by the PV power plant and exchanging the other part with the distribution power system. The energy storage depends on the behavior of the electrical load in the considered railway systems. The total duration of a single track is about 300 s,

174 with five stops, and the total energy that can be stored in 300 s is very low. Thus, it is necessary to
 175 consider a longer interval (more tramway rides) to obtain a reasonable amount of energy, and
 176 increase the life of the storage system by reducing the number of charge and discharge cycles.



177 **Figure 2.** PV power plants solar radiation typical for the considered geographical location: (a) Mean
 178 value of daily global radiation [kWh/m²]; (b) Mean value of generated energy per month [kWh]; (c)
 179 Daily distribution of energy in December [kWh]; (d) Daily distribution of energy in July [kWh].

180 3. The Photovoltaic Power Plant

181 The integration of the SCs in the railway power system is modular. The modularity of the
 182 storage systems involves the installation of multiple SCs near the shelters along the track, which
 183 increases the system reliability, prevents the complete exclusion of the storage systems during
 184 maintenance, introduces some optimal strategies for reducing losses, and minimizes the installation
 185 cost.

186 The electric scheme of the railway power system with the PV modules and SCs is shown in Fig.
 187 3. The railway power system is fed by a transformer with a primary voltage of 20 kV. The secondary
 188 side includes a bridge rectifier that outputs a voltage of 750 V. During its performance, the voltage in
 189 the different nodes of the net can vary in the range of 600–900 V. The output of the bridge rectifier
 190 is linked to the catenary of the power system. Table 2 reports some data of the railway power system
 191 [23].

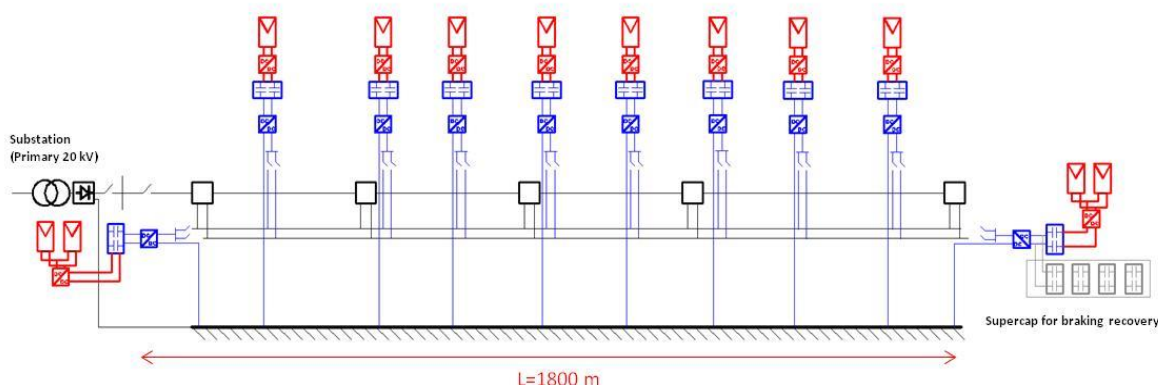
192 The PV modules are connected to the storage systems through a buck converter. In the same way,
 193 the storage systems are linked to the railway power system with a buck converter. A storage
 194 system can be installed at the end of the track to recover the energy generated by the train during
 195 braking. The electric layout of the system can be modeled as shown in Fig. 4.

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Table 2. Parameters of the electrical railway system.

Quantity	Values
Substation Power	1150 kVA
Length	1.8 km
Catenary resistance	0.15 Ω/km
Nominal Voltage	750 V
Number of stations	5

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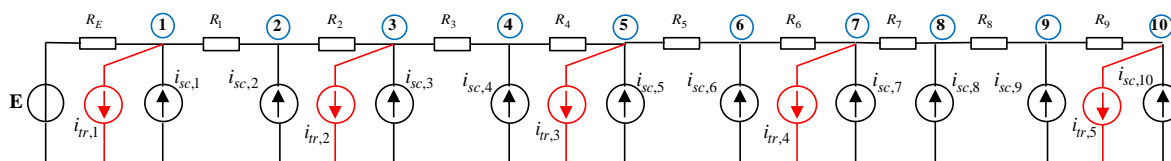


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Figure 3. Electric layout of the railway power system for the considered track: integration of photovoltaic modules and of storage systems in the circuit.

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Figure 4. Model of the electric scheme shown in figure 6.

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The output of the transformer is considered constant, and both the train and storage system units are considered to be current generators. R_E is the resistance between the substation and the first node of the net, and R_j ($j=1, \dots, 9$) are the line resistances between the different nodes. The stops are positioned along the track at nodes 1, 3, 5, 7, and 10. The mathematical model can be used for the following systems:

$$\begin{cases} V_e(t) - R_E I_E - V_1(t) = 0 \\ V_h(t) - R_h I_h - V_{h+1}(t) = 0 \quad h = 1, \dots, 8 \\ V_9(t) - R_9 I_9 - V_{10}(t) = 0 \end{cases} \quad (1)$$

$$\begin{cases} I_{sc,1}(t) \frac{V_{sc,1}(t)}{V_1(t)} + I_E(t) - [I_{tr,1}(t) S_1(t) + I_1(t)] = 0 \\ I_{sc,h}(t) \frac{V_{sc,h}(t)}{V_h(t)} + I_{h-1}(t) - I_h(t) = 0 \quad h = 2, 4, 6, 8, 9 \\ I_{sc,h}(t) \frac{V_{sc,h}(t)}{V_h(t)} + I_{h-1}(t) - [I_{tr,h}(t) S_h(t) + I_h(t)] = 0 \quad h = 3, 5, 7, 10 \end{cases} \quad (2)$$

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Function $S_h(t)$ is a function that has values of 0 when the train does not start from node h and 1 when the train starts from the considered node. The model obtained with relations (1) and (2) is valid considering a quasi-stationary performance of the system [24]. In fact, the regulation transient

212 of the chopper is considered to be very fast, and choosing an adequate stepping time for the
 213 simulation makes it possible to neglect the dynamic of the chopper and consider the output voltage
 214 to be constant.

215 4. Random Searching Optimization Problem

216 The optimal integration of PV plant and SC energy storage systems in the railway power
 217 systems is carried out by means of an optimization procedure based on a random searching
 218 algorithm. This procedure is suitable for performing the minimization of an objective function,
 219 usually depending on integer variables, starting from a set of possible solutions of unknown
 220 variables and using an iteration procedure that calculates the new value of cost functions using a
 221 random uniform distribution of unknown variables. After a certain number of iterations, the
 222 minimum (or maximum) obtained value of the objective function determines the optimal solutions.
 223 In the case considered in this paper, the optimization variables are the number of series (n_{sh}) and
 224 parallel (n_{ph}) SCs used on each shelter (it is supposed that the numbers of SCs are equal in the
 225 intermediate shelters and different at the first and last stops), the number of complete track
 226 performed by the train (n_t) during which the energy obtained by the PV cells is stored in the SCs. The
 227 last random variable utilized is the discharge power P_d of the SCs in the discharge process (divided
 228 into P_{d1} and P_{d3} for the final and initial shelters, respectively, and P_{d2} for the intermediate shelters).

229 All of the variables are strictly related to the electrical optimization of the net, because they
 230 determine the improvement of the total power dissipated in the railway system. The optimization
 231 problem is resumed in the following system:

$$\begin{aligned} \min \quad & (c_1 - c_3) \Delta E \cdot (n_{s1} n_{p1} + n_{s2} n_{p2} + n_{s2} n_{p2}) + \dots \\ & \dots + c_2 E_{loss} - c_3 E_{pv,p} \\ \text{subject to} \quad & \\ & V_{\min} \leq V_h(t) \leq V_{\max} \\ & I_{sc,h}(t) \leq I_{\max} \end{aligned} \quad (3)$$

232 In (3), c_1 , c_2 , and c_3 are weight parameters expressed in Euro/kWh related to the cost of the SCs
 233 (ΔE is the energy stored in a single SC unit), cost of the energy losses (E_{loss}), and the cost of the energy
 234 generated by the PV cells ($E_{pv,p}$). The optimization procedure takes into account the solution of a
 235 complete track section for the train with all the stops, along with the calculation and evaluation of
 236 the cost functions for each combination obtained by the procedure (Fig. 5).

237 The time dependence of variables V_h and $I_{sc,h}$ is directly considered because the optimization
 238 procedure simulates the complete railway track. The minimum and maximum voltage limits for the
 239 considered electric system are 600 V and 900 V, respectively, while the maximum discharge current
 240 depends on the type of SC adopted.

241 5. Optimization Results

242 The optimization procedure is applied to the considered railway track, and the mechanical
 243 power and speed profile of a train traveling on the railway are respectively represented in Figs. 6a
 244 and 6b. As can be noted, the profiles are typical of light railway power and speed profiles, with
 245 numerous accelerations and stops; this also shows that the current absorption presents numerous
 246 peaks, which can influence the behavior of the power electronic converter installed in the power
 247 system.

248 The power stored in the SCs is supplied during the acceleration phases in order to reduce the
 249 total power absorbed by the substation.

250 The optimization procedure was implemented considering SCs with the features reported in
 251 Table 3.

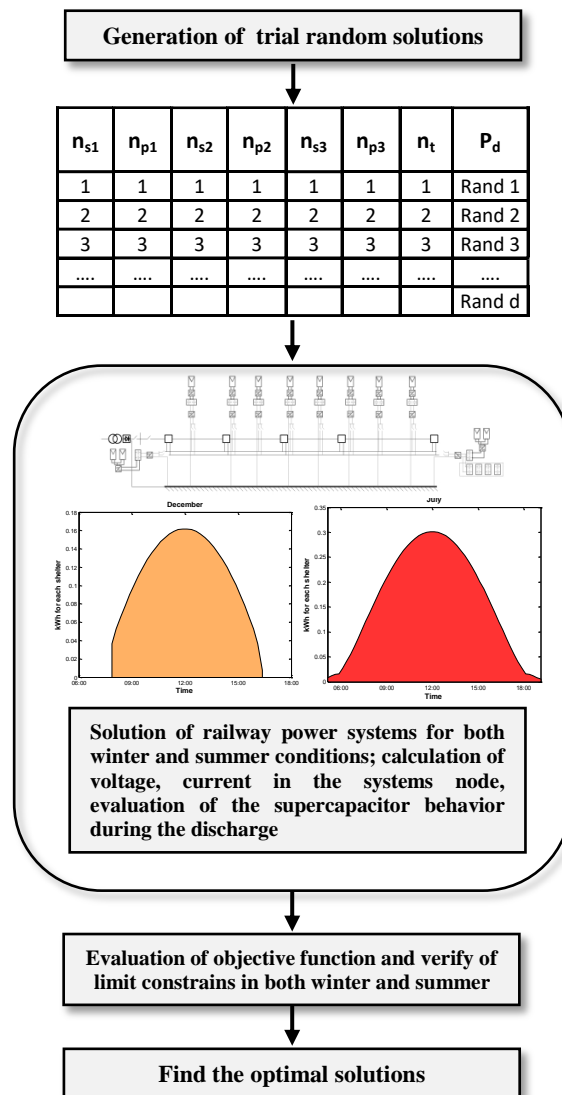
252 The random optimization procedure was carried out with 17 iterations, fixing $c_1 = 30000$, $c_2 = 0.2$,
 253 and $c_3 = 0.1$. Figures 7a and 7b show the parallel and series SCs that must be installed at the initial
 254 and terminal stops (blue) and in the intermediate shelters, while Fig. 8 shows the normalized cost

255 function with various interval recharge times for the SCs, and Fig. 9 shows the distribution of the
 256 cost function values as a function of the discharge power.

257 The results obtained through the optimization procedures are reported in Table 4. The optimal
 258 value of the cost objective function is approximately 199 kEuro, which was practically determined
 259 by the high cost of the storage unit systems and could be reduced by the improvement and
 260 production of new SCs. The positive role of the SC is also inherent to the reduction of the peak
 261 power required for the distribution power systems. Figure 10 shows the new trend for the power
 262 required by the train.

263 In the proposed procedure, the SCs are controlled to keep the power used during the discharge
 264 process and train acceleration phases constant, but it is also possible to completely discharge the SCs
 265 in a limited time, which corresponds to the maximum value of power required.

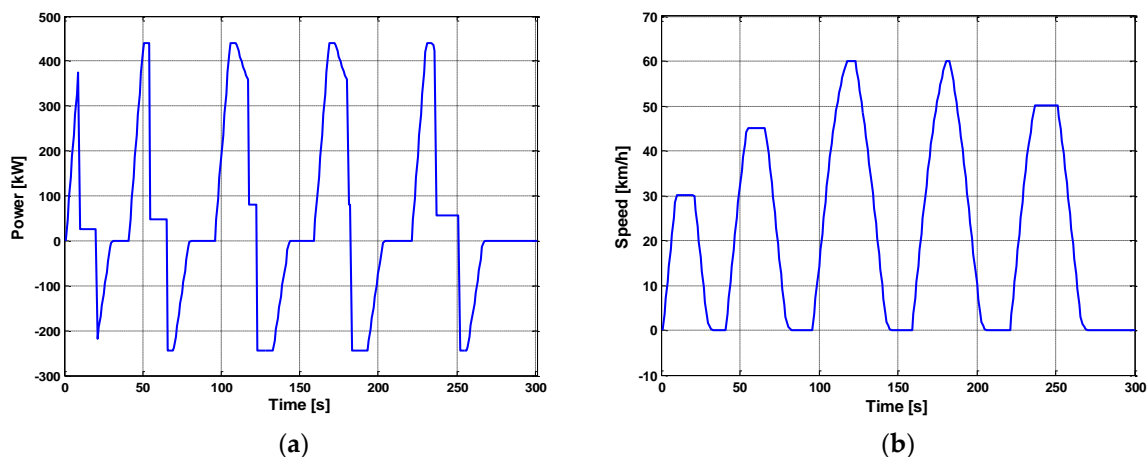
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Figure 5. Flow chart of the optimization procedure.

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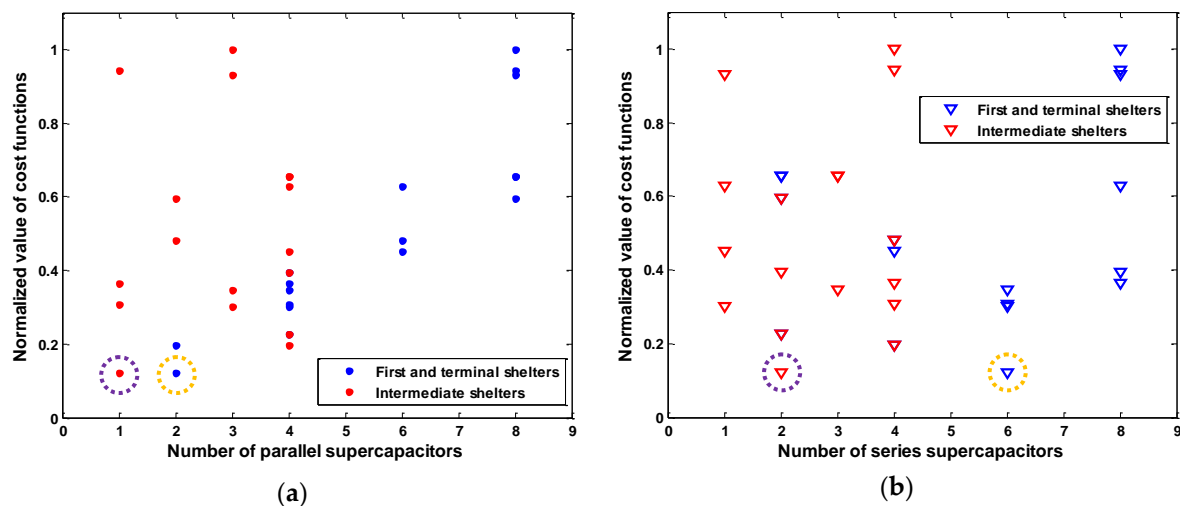
272 **Figure 6.** Mechanical power and speed profile of a train traveling on the railway: (a) Mechanical
 273 power necessary by the train; (b) Train speed along the track.

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Table 3. Datasheet of Maxwell BMOD0063 P125 B08.

Quantity	Values
Rated capacitance	63 F
Rated voltage	125 V
Stored Energy	140 Wh
Nominal Voltage	750 V
Number of passenger stations	5
Maximum series voltage	1500 V
Absolute maximum current	1900 A

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276 **Figure 7.** Supercapacitors that must be installed at the initial and terminal stops: (a) Number of
 277 parallel supercapacitor for the first and terminal shelters (blue) and the intermediate shelters (red);
 278 (b) Number of series supercapacitor for the first and terminal shelters (blue) and the
 279 intermediate shelters (red).

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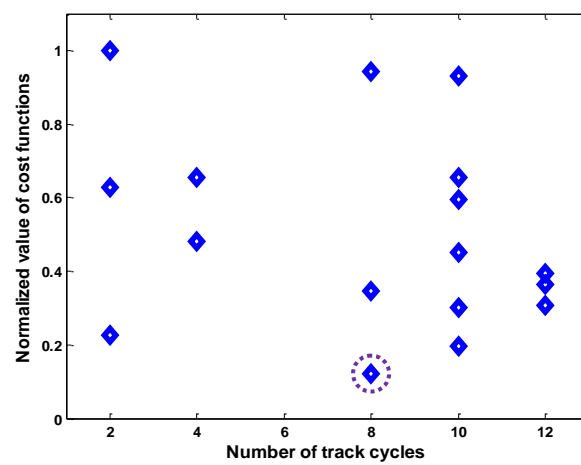
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Table 4. Results of the optimization procedure

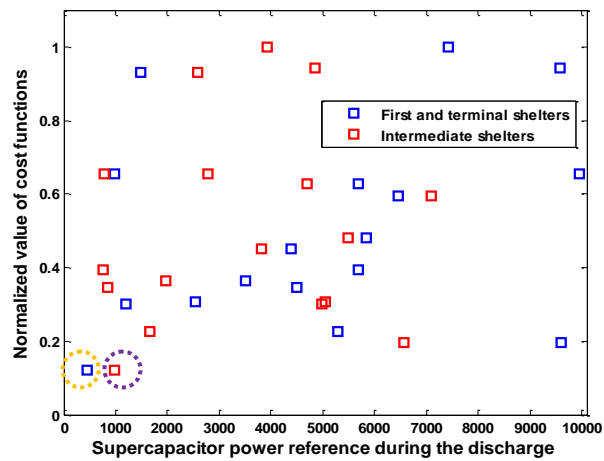
Quantity	Values
n_{p1}, n_{s1}	(2, 6)
n_{p2}, n_{s2}	(1, 2)
n_{p3}, n_{s3}	(2, 6)
n_t	8
P_{d1}	460 W
P_{d2}	970 W
P_{d3}	460 W
Value of objective function	199 kEuro

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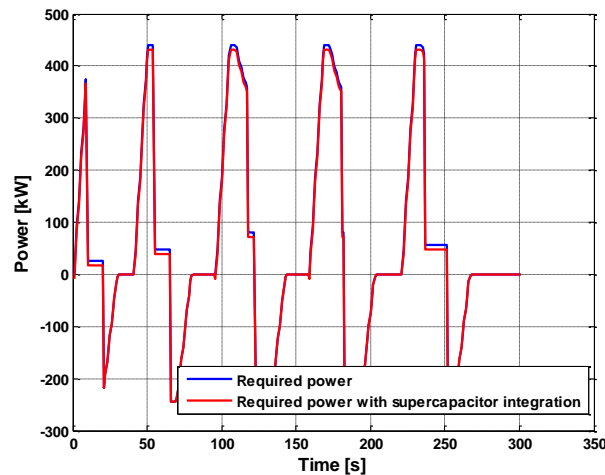
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Figure 8. Number of time interval of 15 minutes utilized for the recharge of supercapacitors.

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Figure 9. Power available for the control of supercap in the discharge process.



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Figure 10. New power profile with integration of supercapacitor charged with photovoltaic modules.

292

6. Conclusion

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The integration of PV panels, SC energy storage systems, and railway power systems was analyzed in this paper.

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This study considered a real tramway track and simulated a real train operating on that track. Starting from the analysis of the available shelters and available surface, the PV energy was calculated, and the utilization of this energy in the railway power system was determined by means of an innovative random optimization procedure.

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An appropriate random optimization procedure was proposed and implemented using the data available for the production of electrical energy in the worst and best cases during the year, in order to find the optimal size of the energy storage system, the time needed to charge the SCs with the PV panels, and the reduction of the total losses in the net.

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The procedure found the optimal value of the cost functions and demonstrated the technical feasibility of integrating the PV power plant in order to supply a railway system.

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