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Bumpless Transfer of Parametrized Multivariable Disturbance Observer Based Controller to Reduce Cyclic Loads of Wind Turbine

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Abstract: This paper is concerned with bump-less transfer of parametrized disturbance observer based controller (DOBC) with Individual Pitch Control (IPC) strategy for full load operation of wind turbine. Aerodynamic cyclic loads are reduced by tuning multivariable DOBC with the objective to reduce output power fluctuation, tower oscillation and drive-train torsion. Furthermore tower shadow and wind shear effect are also mitigated using parametrized controller. A scheduling mechanism between two DOBC is developed and tested on Fatigue, Aerodynamics, Structures, and Turbulence (FAST) code model of National Renewable Energy Laboratory (NREL)'s 5 MW wind turbine. The closed-loop system performance is assessed by comparing the simulation results of proposed controller with a fixed gain and Linear Parameter Varying (LPV) DOBC with Collective Pitch Control (CPC) for full load operation. It is tested with step changing wind to see the behavior of the system under step change with wind shear and tower shadow (cyclic load) effects. Also turbulent wind is applied to see the smooth transition of the controllers. It can be concluded from the results that the proposed parametrized control DOBC with IPC shows smooth transition from one controller to another by interpolation. Moreover fatigue of the gear and tower due to wind shear and tower shadow effects are reduced considerably by the proposed controller as compared to collective pitch control.

Keywords: Wind Turbine, LPV, DOBC, Multivariable

1. Introduction

The main objective for wind turbine controllers is to maximize the annual energy production and reduce the maintenance cost. Pitch-regulated variable-speed wind turbines has two operational modes: partial load and full load operations. In partial load operation, kinetic energy in the wind is not enough to achieve nominal electrical power output and the objective is to control the pitch and rotor speed to achieve the maximum aerodynamic efficiency of the wind turbine. In full load operation, kinetic energy in the wind increases resulting in increased nominal electrical power output and objective is to keep generator speed close to the nominal speed, and pitch angle is controlled to achieve nominal electrical power production. In both operating regions, it is important to reduce the fluctuations in the output power and minimize the fatigue loads of structural components like drive train, tower and pitch system with of cyclic loads. PID is used to regulate rotor speed by using pitch mechanism to reduce wind disturbance effect in Region III. An expert PID controller has been used [1] to restrain the overshoot of rotor speed and provide better performance with varying parameters

31 of the controller. Modern Control systems are based on state space model and have capability to
32 handle MIMO system in an efficient manner. Linear Quadratic Gaussian (LQG) is used for the power
33 regulation in high wind speed [2][3] for pitch regulated

34 variable speed wind turbines using collective pitch control; result showed that the LQG
35 controller has good power regulation as compared to PI control. LQG controller [4] has been
36 developed to reduce loads on wind turbine component and regulate power in the presence of
37 measurement noise using for pitch control. Torque control of Doubly Fed Induction Generator(
38 DFIG) [5] is used to reduce flicker in the output power and [6] implemented an individual pitch
39 control (IPC) strategy to mitigate the fluctuation in output power caused by wind shear and tower
40 shadow. DAC is used to model and simulate system with known disturbance waveform. DAC
41 has been used [7] to mitigate the effect of disturbances by using collective pitch control of wind
42 turbine. In [8] multivariable DAC is developed to mitigate the effect of periodic loads (wind shear
43 and tower shadow) with multiple objectives using pitch control of wind turbine. Multivariable
44 control algorithm used [9] [10] PI control for regulating generator speed and independent pitch
45 control (IPC) to reduce structural loads. Nonlinear state feedback torque control is used [11] for
46 the above-rated power operating condition of wind turbine. H_{∞} norm minimization for collective
47 and cyclic pitch has been used [12] to increase damping of the first axial tower bending mode and
48 reduced 1p fluctuations in blade root bending moments. Observer-based output feedback control for
49 uncertain systems [13] is used to minimize the energy while variable structure control law is used for
50 robustness to uncertainty and Disturbance-observer based controllers (DOBC) are reviewed [14].

51 Ostergaard et al. observed that the operation of wind turbines at different wind speeds require some
52 kind of gain scheduling, so they have applied Linear Parameter Varying control (LPV) to develop
53 robust controllers that cater for a both partial load and full load conditions [15]. Gain scheduling
54 strategy [16] used for multivariable controller which interpolates between unstable controllers based
55 on μ synthesis. One important step is the gain scheduling of linear controllers such that the controller
56 coefficients are scheduled with the current value of the exogenous or endogenous scheduling signal.
57 A safe bumpless transfer has been proposed [17] between two observer-based controllers for linear
58 multivariable system by the interpolation of covariance to keep the closed loop system stable and
59 bumpless transfer with integral action based on the Youla-Kucera parametrization is used for wind
60 turbine [18]. LPV design methods are investigated for wind turbine [19] and gain-scheduling (GS)
61 control techniques to floating offshore wind turbines on barge platforms is presented. Modeling and
62 controller performance evaluation are presented [20][21][22] for both low and high wind speed cases.
63 Multivariable control techniques are used to reduce the fatigue of wind turbine components with
64 CPC and IPC. LQG controller is developed to mitigate the effect of sensor noise[4], DAC with optimal
65 control theory is designed to get better stability of output power[7] and 3p harmonics generated
66 due to periodic loads are reduced using CPC [8][25]. This paper presents a systematic approach
67 to design a LPV-DOBC with IPC (LPV-IPC) for full load operation of wind turbine with multiple
68 objectives. Bumpless transfer between controllers is accomplished by interpolation of covariance of
69 linear DOBC controllers with CPC and parametrized controller is tested on FASTCode [23] model
70 of NREL's 5MW wind turbine with actuator dynamics. The closed loop performance is evaluated
71 by simulation of fixed gain DOBC with CPC (DOBC-CPC), fixed gain DOBC with IPC (DOBC-IPC)
72 and the LPV-DOBC-IPC. Simulation are performed with step changing and turbulent wind to see
73 the bumpless transfer between the multivariable Linear Time Invariant (LTI) controllers in full load
74 operation of wind turbine. Organization of the paper is that Section 2 describes the DOBC, Section 3
75 is about the bumpless transfer method. Section 4 shows simulation results and conclusions are given
76 in Section 5.

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80 2. Disturbance Observer Based Control (DOBC)

State space model of wind turbine is

$$\dot{x} = Ax(t) + Bu(t) + B_d u_d(t) \quad (1)$$

$$y(t) = Cx(t) + Du(t) \quad (2)$$

81 A, B, B_d, C, D are state transition, control input, disturbance input, measured state and output
82 matrices of the plant respectively. u is the input, x is state and y is the output vector of the system.

83 Disturbance waveform [7][8] can be represented as

$$u_d(t) = \theta z_d(t) \quad (3)$$

$$\dot{z}_d(t) = Fz_d(t); \quad z_d(0) = z_d^0 \quad (4)$$

where z_d is state of the disturbance, u_d is for disturbance. z_d^0 is the initial state of the disturbance. F is the state transition matrix and θ is the output matrix of the disturbance waveform. Kalman estimator is used to estimate the states of the plant as

$$\dot{\hat{x}}(t) = A\hat{x}(t) + Bu(t) + B_d \hat{u}_d(t) + L_x(y(t) - \hat{y}(t)) \quad (5)$$

$$\hat{y}(t) = C\hat{x}(t); \quad \hat{x}(0) = 0 \quad (6)$$

84 \hat{x} is estimated state of the plant and \hat{z}_d is estimated state of the disturbance, \hat{u} , \hat{u}_d and \hat{y} are
85 estimated input, disturbance and output respectively. It is also used to estimate the state of the
86 disturbance as

$$\dot{\hat{z}}_d = F\hat{z}_d(t) + L_d(y(t) - \hat{y}(t)) \quad (7)$$

$$\hat{u}_d(t) = \theta\hat{z}_d(t); \quad \hat{z}_d(0) = 0 \quad (8)$$

87 To mitigate the effect of disturbance, control law is

$$u = -K_x \hat{x}(t) - K_d \hat{z}_d(t) \quad (9)$$

88 L_x is the plant and L_d is the disturbance state estimation matrix, K_x is full state feedback
89 matrix and is calculated by using optimal control theory [27][28]. K_d is disturbance feedback matrix
90 calculated independently [7] to make closed loop system "disturbance free".

91 DOBC-CPC [24] can be represented as

$$K(s) = \left[\begin{array}{cc|c} A - BK_x - L_x C + L_x DK_x & B_d \theta - BK_d + L_x DK_d & L_x \\ L_d DK_x - L_d C & L_d DK_d + F & L_d \\ \hline -K_x & -K_d & 0 \end{array} \right] \quad (10)$$

92
93 DOBC-IPC [25] can be written as

$$K(s) = \left[\begin{array}{cc|c} A - BK_x - L_x C + L_x DK_x & B_d \theta_d - BK_d + L_x DK_d & L_x \\ L_d DK_x - L_d C & L_d DK_d + F & L_d \\ \hline 0 & -K_{dp} & 0 \\ -K_x & -K_{ds} & 0 \end{array} \right] \quad (11)$$

94 Where K_{dp} is the disturbance feedback to mitigate the periodic disturbance and K_{ds} is for step
95 mitigation. Disturbance feedback is the sum of periodic and step feedback matrices.

96 3. Bumpless Transfer Method for DOBC

97 Let $G_0(s)$ and $G_1(s)$ be the detectable and stabilizable linearized plant of $G(s)$ at two operating
98 points and is scheduled [16] as

$$G_\gamma(s) = (1 - \gamma)G_0(s) - \gamma G_1(s) \quad (12)$$

99 Let $K_0(s)$ and $K_1(s)$ be the DAC controllers tuned at operating points to satisfy the desired
100 performance. L_{x0} , L_{d0} , K_{x0} and K_{d0} are the plant state estimation, disturbance state estimation,
101 plant state feedback and disturbance feedback matrices respectively for the first controller. L_{x1} , L_{d1} ,
102 K_{x1} and K_{d1} are the plant state estimation, disturbance state estimation, plant state feedback and
disturbance feedback matrices respectively for the second controller.

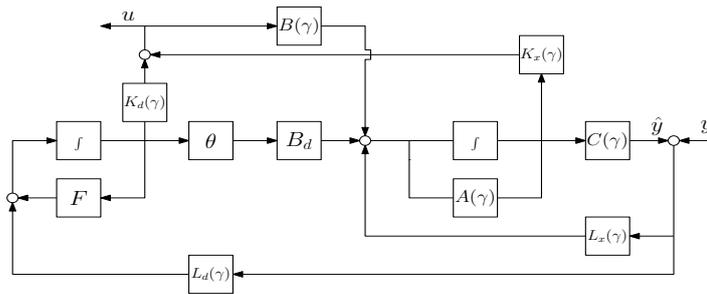


Figure 1. Parametrized DOBC Structure

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$$K_d(\gamma) = (1 - \gamma)K_{d0} - \gamma K_{d1} \quad (13)$$

$$K_x(\gamma) = (1 - \gamma)K_{x0} - \gamma K_{x1} \quad (14)$$

$$L_d(\gamma) = (1 - \gamma)L_{d0} - \gamma L_{d1} \quad (15)$$

$$L_x(\gamma) = (1 - \gamma)L_{x0} - \gamma L_{x1} \quad (16)$$

104 Then $K_\gamma(s)$ is the family of internally stable disturbance accommodated observer based
105 controllers [17] can be represented as

$$K_\gamma(s) = \left[\begin{array}{cc|c} A_{11}(\gamma) & A_{12}(\gamma) & L_x \\ A_{21}(\gamma) & A_{22}(\gamma) & L_d \\ \hline -K_x & -K_d & 0 \end{array} \right] \quad (17)$$

Where

$$A_{11}(\gamma) = A(\gamma) - B(\gamma)K_x(\gamma) - L_x(\gamma)C(\gamma) + L_x(\gamma)D(\gamma)K_x(\gamma) \quad (18)$$

$$A_{12}(\gamma) = B_d(\gamma)\theta - B(\gamma)K_d(\gamma) + L_x(\gamma)D(\gamma)K_d(\gamma) \quad (19)$$

$$A_{21}(\gamma) = L_d(\gamma)D(\gamma)K_x(\gamma) - L_d(\gamma)C(\gamma) \quad (20)$$

$$A_{21}(\gamma) = L_d(\gamma)D(\gamma)K_d(\gamma) + F \quad (21)$$

106 $\gamma \in (0, 1)$ is the scheduling parameter, \hat{v} is the estimated rotor wind speed [26] which is used for the
 107 scheduling of parametrized controller.

108 $A(\gamma), B(\gamma), B_d(\gamma), C(\gamma), D(\gamma)$ are state transition, control input, disturbance input, measured
 109 state and output matrices of the interpolated plant $G_\gamma(s)$ between the operating points respectively.

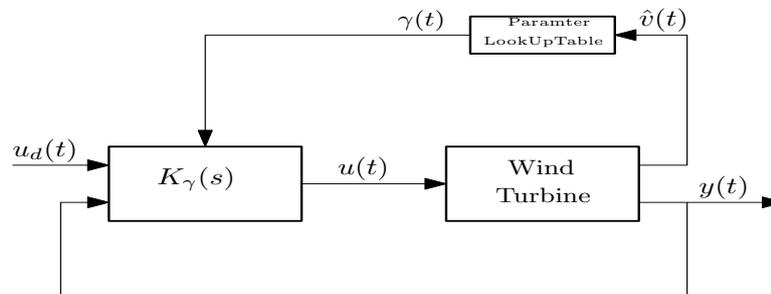


Figure 2. Closed loop System

110 4. Wind Turbine Control Simulation

111 The proposed control is tested on National Renewable Energy Laboratory (NREL)'s 5MW [29]
 112 wind turbine model. State feedback and estimator gain matrices are chosen using optimal control
 113 theory for fixed gain DOBC [8][7] at the operating points to reduce fatigue of structural components
 114 due to wind shear and tower shadow effects using CPC. The same parameters are then used for
 115 the tuning of DOBC with IBP and a scheduling method is described for smooth transition from one
 116 controller to another controller. Operating points are chosen [15] at mid wind speed for full load
 117 operation with rated generator torque as (18 m/s, 14.92deg) and (19 m/s, 16.23 deg). Wind speed
 118 used for the scheduling of controller is estimated [26] and controllers between the operating points are
 119 interpolated as illustrated in Figure 1. Closed loop system for wind turbine with actuator dynamics
 120 and parametrized controller is shown in Figure 2. The proposed controller performance is evaluated
 121 by applying step changing wind to see the behavior at the operating point and then turbulent wind
 122 generated with mean of mid wind speed for full load operation of wind turbine.

123 The closed-loop performance is assessed by simulations of the proposed LPC-IPC with fixed
 124 gain-CPC and LPV-CPC. The performance is analyzed by measuring the fluctuation in gen speed,
 125 mitigation of fatigue of drive train, reduction in pitching activity and bumpless transfer between the
 126 controller of wind turbine. Results from simulation in full load are given in Figure 3 in which the most
 127 important observation is that the generator speed variations, structural loads and pitching activity are
 128 reduced by the proposed controller as compared to other two controllers.

129 For Step analysis of the system, a step changing wind is applied to the wind turbine with wind
 130 shear and tower shadow effect. From the comparison of the results in Figure fig-3, it can be seen that
 131 LPV-IPC (proposed controller) has less fluctuation in the generator speed at step change and the
 132 periodic loads are well mitigated as compared to fixed gain-CPC and LPV-CPC. Drive-train torsion
 133 and pitching activity is reduced for step with wind shear and tower shadow effects.

134 Finally, the scheduling of the proposed controller is tested by performing a simulation with
 135 turbulent wind with mean of 18m/s and turbulence value of 10 generated from turbSim for above
 136 rated wind speed condition. The purpose of this simulation is to investigate the controller transitions
 137 along the operating trajectory. Such a simulation is given in Figure 4 from which it can be seen that
 138 the controller provides a glitch free transfer of controller for above rated wind speed condition. Also
 139 fatigue of drive-train is reduced, less pitching activity and better power regulation as compared to
 140 fixed gain and LPV DOBC controllers with CPC.

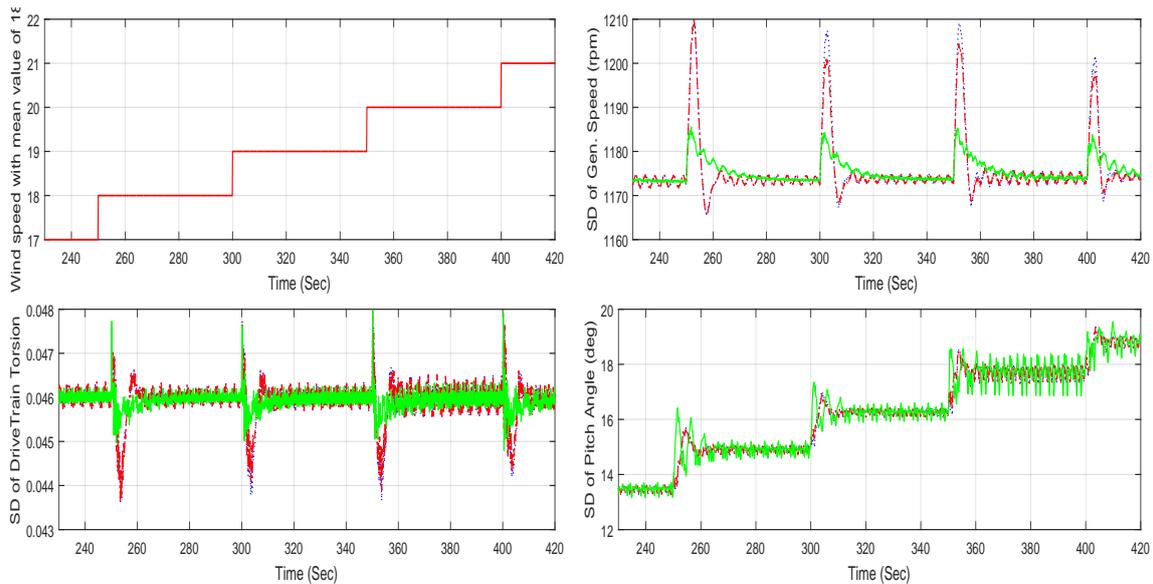


Figure 3. Step change wind simulation results.

Blue line: Fixed Gain-CPC, Red line: LPV-CPC, Green line: LPV-IPC

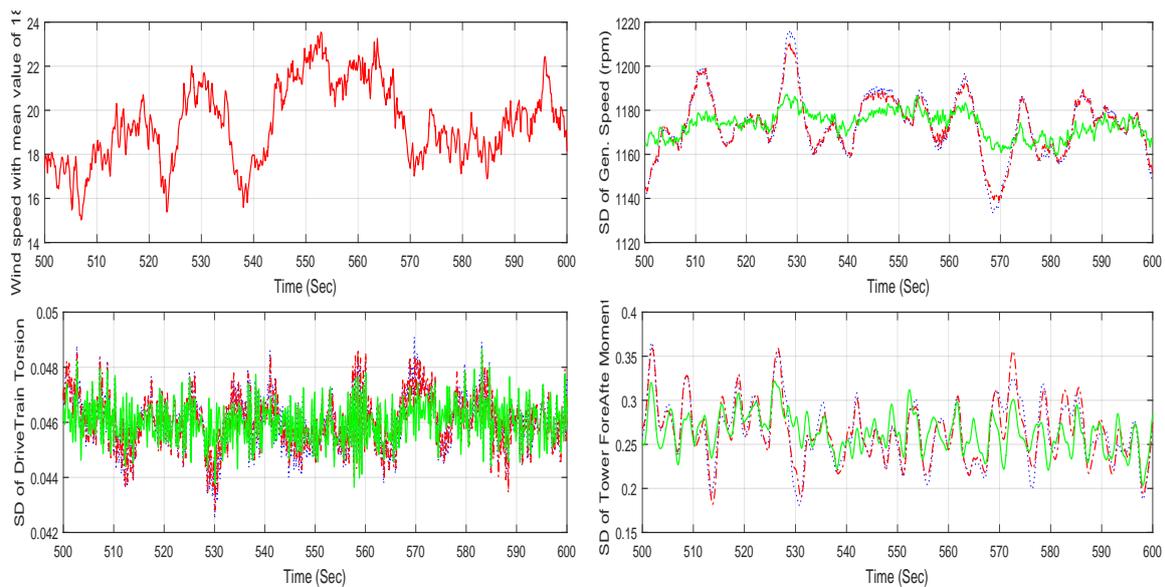


Figure 4. Turbulent wind simulation results.

Blue line: Fixed Gain-CPC, Red line: LPV-CPC, Green line: LPV-IPC

141 Results of turbulent wind simulation are summarized in Table 1. It can be inferred from the
 142 results that percentage improvement in the standard deviation of the generator speed, drive-train
 143 torsion and tower moments are respectively 9%, 2% and 2% compared to fixed gain-CPC.
 144 Furthermore 60%, 39% and 29% percentage improvement in the standard deviation of the generator
 145 speed, drive-train torsion and tower moments are observed compared to fixed gain-CPC. However
 146 better performance can be achieved by the tuning of the multivariable controller at the operating
 147 points to do better mitigation to loads of components, regulation of output power and reduced
 148 pitching activity.

Table 1. Standard Deviation of Parameters

Parameter	Fixedgain-CPC	LPV-CPC	LPV-IPC
Gen. Speed (rpm)	14.22	12.95	5.73
Torsion ($\times 10^{-3}deg$)	950	930	680
Tower Moment (KNm)	0.034	0.033	0.021

149 5. Conclusions

150 This paper has presented a systematic method for designing a parametrized DOBC with IPC for
 151 full load operations of wind turbine. The proposed controller is based on the LPV design method that
 152 provides a smooth transition between two multivariable DOBC. Controllers are interpolated between
 153 the two operating point without any bump. It is tested with step changing wind and then switching
 154 between the controllers is checked by applying turbulent wind. Analysis of the simulation results
 155 shows that the proposed controller reduced the load of drive train, gearbox and tower moment in
 156 the presence of cyclic loads and better regulation to the produced power. It should be noted that
 157 model uncertainty are not directly handled in the design formulation. The performance can be
 158 increased by retuning of the controller with objectives to reduce tower oscillations, drive train torsion,
 159 mitigate periodic aerodynamic loads and individual pitch controller can also be accommodated in the
 160 controller design for the full load operation of wind turbine.

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