

1 Article

# 2 Risk Factors of Extended-Spectrum $\beta$ -Lactamase 3 Producing Enterobacteriaceae Occurrence in Farms in 4 Reunion, Madagascar and Mayotte Islands, 2016–2017

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14 **Abstract:** In South Western Indian ocean (IO), Extended-Spectrum  $\beta$ -Lactamase producing  
15 Enterobacteriaceae (ESBL) are a main public health issue. In livestock, ESBL burden was unknown.  
16 The aim of this study was estimating the prevalence of ESBL on commercial farms in Reunion,  
17 Mayotte and Madagascar and genes involved. Secondly, risk factors of ESBL occurrence in broiler,  
18 beef cattle and pig farms were explored. In 2016-2017, commercial farms were sampled using boot  
19 swabs and samples stored at 4°C before microbiological analysis for phenotypical ESBL and gene  
20 characterization. A semi-directive questionnaire was performed. Prevalences observed in all  
21 production types and territories were elevated, except for beef cattle in Reunion which differed  
22 significantly. The most common ESBL gene was the CTX-M-1 subtype. Generalized linear models  
23 explaining ESBL occurrence varied between livestock production sectors and allowed identifying  
24 main protective (e.g., water quality control and detergent use for cleaning) and risk factors (e.g.,  
25 recent antibiotic use, other farmers visiting the exploitation, pet presence). This study is the first to  
26 explore tools for antibiotic resistance management in IO farms. It provides interesting hypothesis to  
27 explore about antibiotic use in IO and ESBL transmission between pig, beef cattle and humans in  
28 Madagascar.

29 **Keywords:** Indian ocean; livestock; Extended-Spectrum  $\beta$ -Lactamase producing  
30 Enterobacteriaceae; risk factors; CTX-M; enzymes

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## 32 1. Introduction

33 Extended-spectrum  $\beta$ -Lactamase producing Enterobacteriaceae (ESBL) is a public and  
34 veterinary health burden worldwide and particularly in West Indian ocean (1). These multi-resistant  
35 bacteria have been identified as a priority in terms of epidemiological surveillance in humans and  
36 animals from the Indian Ocean Commission (IOC) state members (i.e. Comoros, Madagascar,  
37 Mauritius, Reunion, and Seychelles) and Mayotte (French oversea territory)(1).

38 ESBL are resistant to almost all beta-lactam antibiotic drugs including third generation  
39 cephalosporin (3GC), as well as other classes of antibiotics such as fluoroquinolones,  
40 aminoglycosides, sulfonamides, and cyclins, leading to the use of last-resort antibiotics (i.e.  
41 carbapenems) in ESBL infections in humans (2).

42 The occurrence of ESBL has been identified in broiler and swine farms in Europe (3-5) and the  
43 CTX-M  $\beta$ -lactamases enzymes group is the most frequently detected ESBL in livestock, especially  
44 CTX-M-1 subtype (4).

45 Selection pressure exerted by antibiotic drugs on microbiota favors carriage and persistence of  
46 ESBL in humans (hospital and community) (6, 7), livestock and pets (7-9); thus, all could act as  
47 potential reservoirs of ESBL.

48 The main known risk factor identified in ESBL occurrence in livestock was “use of 3GC or 4GC  
49 (ceftiofur, cefoperazone and cefquinome) in the last 12 months” in dairy and pig farms (10, 11).

50 Other risk factors such as storage of slurry in a pit, operating an open herd policy and infrequent  
51 cleaning of calf feeding equipment were also identified in dairy farms (4), and fish ponds in poultry  
52 farms of Vietnam (12).

53 In IOC no estimate of ESBL prevalence in livestock was available. Thus, the aim of this study  
54 was first estimating the prevalence of ESBL on beef cattle, broiler and pig commercial farms in  
55 Reunion, Mayotte and Madagascar Islands, and identify ESBL enzymes occurrence in each  
56 production type and territory. Secondly, potential risk factors of ESBL occurrence in poultry and  
57 pig farms were explored.

## 58 2. Materials and Methods

### 59 2.1. Study Population

60 Reunion and Mayotte are French overseas territories located in South Western Indian Ocean.  
61 Reunion with an area of 2512 km<sup>2</sup> is home for around 850 996 people (13). In Reunion, 156 poultry  
62 producers, 340 pig producers and 331 beef cattle producers are structured in breeding organization  
63 and could be considered as intensive or partially free ranging (Eric Cardinale, Personal  
64 Communication).

65 Mayotte with an area of 374 km<sup>2</sup> is home for around 235 132 people (13). One hundred fifty  
66 modern poultry producers and 3600 beef cattle farms are recorded in this territory (14). However,  
67 twenty poultry producers and 320 beef cattle producers are structured in breeding official  
68 organizations (Philippe Merot, Personal Communication).

69 Madagascar is the fifth largest island in the world, with a land mass of 587,000 km<sup>2</sup> and  
70 24.24 million inhabitants in 2016 (WorldBank Group, 2015). Its economy is based essentially on  
71 agriculture and tourism; producer census was not available at the Direction of Veterinary Services of  
72 the Ministry of Livestock Production (Michel Rakotoharinome, Personal Communication).

### 73 2.2. Sampling

74 From February to August 2016, broiler, pigs, and beef cattle farms were sampled in Reunion.  
75 Due to a foot-and-mouth outbreak in Mauritius Island, sampling had to be stopped in Reunion for  
76 sanitary purposes and reported to August 2017 for beef cattle. In Mayotte, beef cattle and broiler were  
77 sampled from September to October 2016, no pig farms were present in this territory due to mostly  
78 Muslim community representation; thus, no samples of pigs were collected. In Madagascar, sampling  
79 was performed in November 2016. Beef cattle were sampled in Antsirabe, broiler in Mahitsy and pig  
80 farms in Imerintsiatosika, known to be key production sites. It is to be noted that broiler and beef  
81 cattle farms from Mayotte and Madagascar could also raise few hen and dairy cattle in the  
82 exploitation without being the main commercial activity.

83 In each territory, almost thirty breeding farms of each livestock production sector were targeted  
84 for sampling using boot swabs Sterisox®. Number of samples depended on the house's surface area,  
85 one Sterisox® being used to cover 100m<sup>2</sup> of building. If possible all boxes were visited and livestock  
86 gathering points (e.g. water pond, watering trough) were also sampled. Number of samples per farm  
87 varies between one to five.

88 All samples were immediately maintained at 4 °C before analyses proceeded within 48 hours  
89 after reception (transport within the day for Reunion and within one week for Mayotte and  
90 Madagascar).

91 No ethical approval was needed as noninvasive sampling methods were used to identify farm  
92 ESBL sanitary status.

93 2.3. *Laboratory Investigations*

94 2.3.1. ESBL Phenotype

95 Sterisox® boot swabs were incubated 20±4h at room temperature with 100 ml of physiological  
96 water and 900 µL of Brain-Heart Infusion broth (BioMérieux SA). Ten µL of the enriched suspension  
97 was directly streaked onto selective chromogenic agar plates (ChromID-ESBL, Biomérieux, Marcy  
98 l'Etoile, France) and incubated overnight at 37°C under aerobic condition. Presumptive ESBL-  
99 producers were sub-cultured individually on Drigalski lactose agar, and bacterial species  
100 identification performed using MALDI-TOF mass spectrometry (Bruker Daltonics, Breme, Germany).

101 A positive ESBL phenotype was confirmed by the combination disc test according to the  
102 EUCAST guidelines (15). The test was considered positive if the inhibition zone diameter was ≥5 mm  
103 larger with clavulanic acid than without.

104 2.3.2. Characterization of ESBL genes

105 Ten ESBL-producing isolates were randomly selected by livestock production sector for each  
106 territory. Total DNA was extracted using the NucliSens® Easymag® system (Biomérieux, Marcy  
107 l'Etoile, France) according to the manufacturer's instructions. Extracted eluates were stored at -80°C.  
108 Molecular characterization was performed using Check-MDR CT103XL array test (Check-Points  
109 Health B.V., Wageningen, Netherlands) for identification of ESBL genes (i.e. BEL, CTX-M1 group,  
110 CTX-M2 group, CTX-M9 group, CTX-M8/25 group, GES-ESBL, PER, SHV-ESBL, TEM-ESBL, VEB)  
111 and discriminated ESBL and non-ESBL TEM and SHV variants. The assay consisted in a two-step  
112 amplification process of the ESBL target sequences, followed by a colorimetric microarray detection  
113 of the reaction products. Image analysis and interpretation were provided by Check-Points "5-2-  
114 2015" software.

115 2.4. *Questionnaire survey*

116 A semi-directive questionnaire to assess potential risk factors on farms was developed. Data  
117 regarding farm building, biosecurity measures, breeding practices including management of  
118 knackery, water quality, quarantine, and effluent, vector control, cleaning and disinfection  
119 techniques, use of antibiotics, and questions relatives to the breed like housing system and origins of  
120 animals were collected (See questionnaire annex). Answers were cross-checked by direct observation  
121 and corrected if necessary.

122 2.5. *Risk factors analyzes*

123 A farm was considered positive if almost one boot swab was found positive for ESBL presence  
124 in bacteriological analysis. A farm was considered negative if all boot swabs samples were negative  
125 for ESBL culture.

126 Explanatory variables considered for analysis were categorical. If fewer than five observations  
127 recorded in a category the variable was excluded. The variable to be explained is ESBL occurrence in  
128 the livestock production sector in each territory. Bivariate analyzes were performed using Fisher test  
129 ( $p < 0.05$ ).

130 For generalized linear models (GLM), a preliminary step aimed at evaluating association  
131 between explicative variables and ESBL farm status with bivariate analyzes in each livestock  
132 production sectors (including all three territories). Factors associated with ESBL-positivity with a p-  
133 value  $< 0.20$  were offered to a full model form multivariate analysis (GLM). The variable territory was  
134 not included in models as it was significantly associated with others variables. Interactions between  
135 variables were not including in the models. Goodness of fit test were also performed. R software was  
136 used to perform statistical analysis (<https://www.r-project.org/>).

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139 **3. Results**140 *3.1. Prevalence Observed, Bacterial Diversity, and Antibigram Results*

141 In Reunion, higher prevalences were observed in poultry farms (70.0%±16.7%) and pig farms  
 142 (53.3%±18.2) (Table 1). Prevalence differed significantly between livestock production type in  
 143 Reunion (p-value<0.001) with a lower prevalence observed in beef cattle farms (3.7%±5.1%). In  
 144 Mayotte and Madagascar, no difference in prevalence was observed between exploitation type in  
 145 each territory (p-value > 0.05).

146 Comparing prevalence among poultry production in the three territories, no difference was  
 147 observed (p-value=0.94). In pig production, the prevalence differed significantly between  
 148 Madagascar and Reunion (p-value<0.005). Finally, in beef cattle the prevalence between the three  
 149 territories differed significantly (p-value<0.001).

150 **Table 1.** Prevalence of ESBLE in livestock production farms of Reunion, Mayotte and Madagascar,  
 151 2016-2017.

<b>Territory</b>	<b>N (positive)</b>	<b>EBLSE positive farms</b>	<b>p-value</b>
Reunion			<0.001
Poultry	30 (21)	70.0 % [53.3-86.7]	
Pigs	30 (16)	53.3 % [35.1-71.5]	
Beef cattle	54 (2)	03.7% [00.0-08.8]	
Mayotte			0.70
Poultry	23 (17)	73.9 % [55.6-92.2]	
Beef cattle	19 (13)	68.4 % [47.1-89.7]	
Madagascar			0.16
Poultry	30 (21)	70,0% [53.6-86.7]	
Pigs	30 (26)	86,7% [74.3-99.1]	
Beef cattle	30 (20)	66,7% [49.5-83.9]	

152 In Reunion, four different species were found among Enterobacteriaceae isolates with two species  
 153 in poultry farms, three species in pig and two beef cattle farms (Table 2.).

154 In Mayotte, Enterobacteriaceae diversity was reduced to *Escherichia coli* and *Enterobacter*  
 155 *cloacae* complex in both poultry and beef cattle production.

156 In Madagascar, an important diversity of species was found among Enterobacteriaceae isolates  
 157 with about six identified in all type of production. Species diversity varied according to the  
 158 production type with five species identified in pig production, three in beef cattle and poultry  
 159 production.

160 The main represented species in all territories and all type of production was *Escherichia coli*  
 161 with 88.0% (n=307) of all Enterobacteriaceae isolates (N=349), 94.8% (n=291) out of them being ESBL  
 162 producers (Table 2).

**Table 2.** Diversity of the ESBL bacterial species isolated in samples from livestock production farms of Reunion, Mayotte and Madagascar, 2016-2017.

	Reunion						Mayotte						Madagascar				
	Poultry		Pig		Cattle		Poultry		Cattle		Poultry		Pig		Cattle		
	N (% ESBL)	n	ESBL (%)	n	ESBL (%)	n	ESBL (%)	n	ESBL (%)	n	ESBL (%)	n	ESBL (%)	n	ESBL (%)	n	ESBL (%)
<i>Citrobacter freundii</i>	6 (100.0%)			2	2 (100.0%)									4	4 (100.0%)		
<i>Escherichia coli</i>	307 (94.8%)	145	136 (93.8%) (93,8%)	45	40 (88.9%)	2	2 (100.0)	19	19 (100.0%)	17	17 (100.0%)	28	28 (100.0%) (100,0%)	29	28 (96.6%)	22	22 (100.0%)
<i>Escherichia hermannii</i>	2 (100.0%)															2	2 (100.0%)
<i>Enterobacter cloacae complex</i>	17 (82.4%)	1	1 (100.0%)			1	0 (00.0%)	1	1 (100.0%)	1	1 (100.0%)	1	1 (100.0%)	6	6 (100.0%)	2	2 (100.0%)
<i>Klebsiella pneumoniae</i>	11 (100.0%)			2	2 (100.0%)							2	2 (100.0%)	7	7 (100.0%)		
<i>Morganella morganii</i>	6 (100.0%)													6	6 (100.0%)		

164 No phenotypic resistance to ertapenem (ETP) was identified in ESBL isolates (Table 3.). Resistance to nalidixic acid (NA) was elevated in ESBL producing *E.*  
165 *coli* in beef cattle from Reunion (50.0%) and in Madagascar both in poultry (28.6%) and pig (25.0%) farms. Resistance to ofloxacin (OFX) was the most elevated in  
166 ESBL producing *E. coli* in pig production both in Madagascar (21.4%) and Reunion (25.0%). Resistance to gentamicin (GEN) was elevated in ESBL producing *K.*  
167 *pneumoniae* in Madagascar. No resistant profile to amikacin (AKN) was identified in all territories. In ESBL producing *E. coli* triméthoprim/sulfaméthoxazole  
168 (SXT) resistance was higher in Reunion both in poultry and pig production (61.2% and 87.5% respectively). ESBL producing *E. coli* most resistant profiles to  
169 tetracycline (TE) were observed in Madagascar (i.e. 92.9% in broiler, 75.0% in pigs and 50.0% in beef cattle).

**Table 3.** Antibigram results of ESBL Enterobacteriaceae in samples from livestock production farms of Reunion, Mayotte, and Madagascar, 2016-2017.

	ETP			NA			OFX			GEN			AKN			SXT			TE			ND
	S	I	R	S	I	R	S	I	R	S	I	R	S	I	R	S	I	R	S	I	R	
<b>Reunion</b>																						
<b>Broiler</b>																						
<i>E. coli</i> (N=134)	134 (100.0 %)	0 (00.0 %)	0 (00.0 %)	106 (79.1 %)	5 (03.7 %)	23 (17.2 %)	130 (97.0 %)	2 (01.5 %)	2 (01.5 %)	128 (95.5 %)	0 (00.0 %)	6 (04.5 %)	134 (100.0 %)	0 (00.0 %)	0 (00.0 %)	52 (38.8 %)	0 (00.0 %)	82 (61.2 %)	22 (16.4 %)	0 (00.0 %)	49 (36.6 %)	63 (47.5 %)
<i>E. cloacae</i> (N=1)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	
<b>Pig</b>																						
<i>E. coli</i> (N=40)	39 (97.5 %)	1 (02.5 %)	0 (00.0 %)	29 (72.5 %)	1 (02.5 %)	10 (25.0 %)	30 (75.0 %)	0 (00.0 %)	10 (25.0 %)	35 (87.5 %)	0 (00.0 %)	5 (12.5 %)	40 (100.0 %)	0 (00.0 %)	0 (00.0 %)	5 (12.5 %)	0 (00.0 %)	35 (87.5 %)	5 (12.5 %)	1 (02.5 %)	23 (57.5 %)	11 (27.5 %)
<i>C. freundii</i> (N=2)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	
<i>K. pneumoniae</i> (N=2)	1 (50.0 %)	1 (50.0 %)	0 (00.0 %)	1 (50.0 %)	0 (00.0 %)	1 (50.0 %)	1 (50.0 %)	0 (00.0 %)	1 (50.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (50.0 %)	2 (100.0 %)	1 (50.0 %)	1 (50.0 %)	0 (00.0 %)	1 (50.0 %)	
<b>Beef cattle</b>																						
<i>E. coli</i> (N=2)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (50.0 %)	0 (00.0 %)	1 (50.0 %)	1 (50.0 %)	1 (50.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)
<b>Mayotte</b>																						
<b>Broiler</b>																						
<i>E. coli</i> (N=19)	19 (100.0 %)	0 (00.0 %)	0 (00.0 %)	14 (73.7 %)	4 (21.1 %)	1 (05.3 %)	19 (100.0 %)	0 (00.0 %)	0 (00.0 %)	18 (94.7 %)	0 (00.0 %)	1 (05.3 %)	19 (100.0 %)	0 (00.0 %)	0 (00.0 %)	14 (73.7 %)	0 (00.0 %)	5 (26.3 %)	3 (15.8 %)	0 (00.0 %)	16 (84.2 %)	
<i>E. cloacae</i> (N=1)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	
<b>Beef cattle</b>																						
<i>E. coli</i> (N=16)	16 (100.0 %)	0 (00.0 %)	0 (00.0 %)	7 (43.8 %)	5 (31.3 %)	4 (25.0 %)	14 (87.5 %)	2 (12.5 %)	0 (00.0 %)	12 (75.0 %)	0 (00.0 %)	4 (25.0 %)	16 (100.0 %)	0 (00.0 %)	0 (00.0 %)	15 (93.8 %)	0 (00.0 %)	1 (06.3 %)	12 (75.0 %)	0 (00.0 %)	4 (25.0 %)	

<i>E. cloacae</i> (N=1)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)	0 (00.0 %)
<b>Madagascar</b>																					
<b>Broiler</b>																					
<i>E. coli</i> (N=28)	28 (100.0 %)	0 (00.0 %)	0 (00.0 %)	13 (46.4 %)	7 (25.0 %)	8 (28.6 %)	22 (78.6 %)	3 (10.7 %)	3 (10.7 %)	27 (96.4 %)	0 (00.0 %)	1 (3.6 %)	28 (100.0 %)	0 (00.0 %)	0 (00.0 %)	27 (96.4 %)	0 (00.0 %)	1 (3.6 %)	1 (3.6 %)	1 (3.6 %)	26 (92.9 %)
<i>E. cloacae</i> (N=1)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)
<i>K. pneumoniae</i> (N=2)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)	1 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	1 (100.0 0%)
<b>Pig</b>																					
<i>E. coli</i> (N=28)	28 (100.0 %)	0 (00.0 %)	0 (00.0 %)	13 (46.4 %)	8 (28.6 %)	7 (25.0 %)	20 (71.4 %)	2 (7.1 %)	6 (21.4 %)	28 (100.0 %)	0 (00.0 %)	0 (00.0 %)	28 (100.0 %)	0 (00.0 %)	0 (00.0 %)	28 (100.0 %)	0 (00.0 %)	0 (00.0 %)	7 (25.0 %)	0 (00.0 %)	21 (75.0 %)
<i>E. cloacae</i> (N=6)	6 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (33.3 %)	2 (33.3 %)	2 (33.3 %)	6 (100.0 %)	0 (00.0 %)	0 (00.0 %)	4 (66.7 %)	0 (00.0 %)	2 (33.3 %)	6 (100.0 %)	0 (00.0 %)	0 (00.0 %)	4 (66.7 %)	0 (00.0 %)	2 (33.3 %)	0 (00.0 %)	0 (00.0 %)	6 (100.0 0%)
<i>C. freundii</i> (N=4)	4 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	4 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	4 (100.0 0%)	1 (25.0 %)	0 (00.0 %)	3 (75.0 %)	4 (100.0 %)	0 (00.0 %)	0 (00.0 %)	1 (25.0 %)	0 (00.0 %)	3 (75.0 %)	0 (00.0 %)	0 (00.0 %)	4 (100.0 0%)
<i>M. morgani</i> (N=6)	6 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	6 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	6 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	6 (100.0 0%)	6 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	6 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	6 (100.0 0%)
<i>K. pneumoniae</i> (N=7)	7 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	3 (42.9 %)	4 (57.1 %)	5 (71.4 %)	0 (00.0 %)	2 (28.6 %)	0 (00.0 %)	0 (00.0 %)	7 (100.0 0%)	7 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	7 (100.0 0%)	0 (00.0 %)	0 (00.0 %)	7 (100.0 0%)
<b>Beef cattle</b>																					
<i>E. coli</i> (N=22)	22 (100.0 %)	0 (00.0 %)	0 (00.0 %)	15 (68.2 %)	3 (13.6 %)	4 (18.2 %)	18 (81.8 %)	3 (13.6 %)	1 (4.5 %)	21 (95.5 %)	0 (00.0 %)	1 (4.5 %)	22 (100.0 %)	0 (00.0 %)	0 (00.0 %)	21 (95.5 %)	0 (00.0 %)	1 (4.5 %)	11 (50.0 %)	0 (00.0 %)	11 (50.0 %)
<i>E. cloacae</i> (N=2)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 0%)
<i>E. hermannii</i> (N=2)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	0 (00.0 %)	2 (100.0 0%)

## 172 3.2. ESBL Enzymes Identification

173 The most common ESBL gene identified in all territories and production type was the CTX-M-1  
 174 subtype which accounted for 54.4% (n=49) of all *E. coli* isolates tested (N=90), followed by CTX-M-15  
 175 (31.1%, n=28) (Table 4.). The higher diversity in ESBL gene was found in poultry production from all  
 176 IOC territories.

177 **Table 4.** ESBL genes identified in ten *E. coli* isolated from poultry, pig and beef cattle production farms  
 178 in Reunion, Mayotte and Madagascar, 2016-2017.

Territory / Production type	<i>E. coli</i> tested	ESBL genes identified (%)						
		CTX-M-1 group				CTX-M-9 group	SHV	TEM
Subtype		CTX-M-1	CTX-M-3	CTX-M-15	CTX-M-32			
<b>Reunion</b>								
Poultry	32	29 (90.6%)					1 (3.1%)	2 (6.3%)
Pigs	10	7 (70.0%)	1 (10.0%)	2 (20.0%)				
Beef cattle	2	-	-	-	-	-	-	-
<b>Mayotte</b>								
Poultry	9	7 (77.8%)	1 (11.1%)	1 (11.1%)				
Beef cattle	7	1 (14.3%)		5 (71.4%)	1 (14.3%)			
<b>Madagascar</b>								
Poultry	10	5 (50.0%)		2 (20.0%)		3 (30.0%)		
Pigs	9			9 (100.0%)				
Beef cattle	9			9 (100.0%)				

179 3.3. Explanatory Factors of ESBL Occurrence in Livestock Sectors Production in Reunion, Madagascar and  
 180 Mayotte, 2016-2017

181 Univariate ORs for the occurrence of ESBL in each livestock production sectors and territory was  
 182 presented in (Table 5.). Recent building age was associated with an increased risk of ESBL occurrence  
 183 in broiler production in Reunion. In pig production, changing shoes/boots before entering the  
 184 building was observed as a risk factor of ESBL occurrence whereas rodent control by an external  
 185 society and two disinfections between next batch were associated with a decreased probability of  
 186 ESBL occurrence.

187 In Madagascar, absence of chick introduction in the farm (self-production) in broiler farms was  
 188 a protective factor of ESBL occurrence. Clearing around the farm was associated with a decreased  
 189 risk of ESBL occurrence in beef cattle production.

190 **Table 5.** Bivariate explanatory factors of ESBL occurrence in livestock from Reunion, Mayotte and  
 191 Madagascar, 2016-2017.

Country	Livestock	Variable	OR, IC95%	p-value
Reunion	Broiler	Recent building age (<1999)	12.72 [1.25-671.77]	0.01
		Change clothes before entering house/pen	6.52 [0.92-80.50]	0.05
		Change shoes/boots before entering house/pen	13.62 [1.35-716.37]	0.01
	Pigs	Rodent control by a society	0.11 [0.01-0.75]	0.01
		Lightning in the building	0.18 [0.01-2.13]	0.04
		Two disinfections before next batch	0 [0-0.92]	0.04
		Beef cattle cows	-	-
Madagascar	Broiler	Chicks produced in the farm	0 [0.00-0.91]	0.02
	Pigs	Use of antibiotic for prophylaxy	0.09 [0.00-1.36]	0.05
	Beef cattle	Clearing around the farm	0 [0.00-0.94]	0.03
		Clean condition around the farm	0 [0-1.94]	0.003
Mayotte	Broiler	Distance from another poultry farm (>500 m)	13.39 [0.79-883.37]	0.04
	Beef cattle	-	-	-



192 Generalized linear models explaining ESBL occurrence (all territories included) varied between  
 193 livestock production sectors (Table 6). In broiler, “water quality control” was identified as a protective  
 194 factor (OR: 0.12); the best model selected the variables “distance to another farm”, “foot bath at  
 195 entrance”, “water quality” and “water storage tank” (AIC: 93.98).

196 In pig production, “other farmers visiting the farm”, “soaking the surface”, “detergent use for  
 197 cleaning” and “antibiotic use recently” were identified in the best model (AIC: 65.09).

198 For beef cattle, the best model kept “livestock size”, “antibiotic use”, “disinfection”, “clearing  
 199 around the farm”, “pet presence” and “water storage tank” (AIC: 83.53).

200 **Table 6.** Best model explaining ESBL occurrence in poultry, pig and cow production (including all  
 201 territories), 2016-2017.

Dependent variables	Independent variables	Adj. OR (CI95%)	p-value	AIC
Broiler occurrence (a)	Distance elev oth species >500m	3.18 (0.65-15.56)	0.15	93.68
	Distance elev oth species <500m	0.99 (0.26-4.39)	0.99	
	Foot bath at room entrance	5.89 (0.61-57.17)	0.13	
	Water quality control	0.12 (0.02-0.82)	0.03	
	Water storage tank presence	2.58 (0.85-7.79)	0.09	
Pig occurrence* (b)	Other farmers visiting	14.15 (1.17-171.35)	0.04	65.09
	Soaking surface	22.34 (1.51-330.98)	0.02	
	Detergent use for cleaning	0.12 (0.02-0.75)	0.02	
	Antibiotic use recently (< 1 year)	8.82 (1.09-71.4)	0.04	
Beef cattle occurrence (c)	Livestock size > 25	0.07 (0.02-0.28)	<0.001	83.53
	Antibiotic use recently (< 1 year)	3.94 (1.04-14.98)	0.04	
	Disinfection	0.19 (0.04-0.91)	0.04	
	Clearing around the farm	0.19 (0.04,0.91)	0.09	
	Water storage tank presence	0.38 (0.11-1.35)	0.14	
	Pet presence	6.87 (1.13-41.67)	0.04	

202 \*Reunion and Madagascar only, (a) Intercept = 0.01376, null deviance : 99.832, model d.f.= 4;  
 203 (b) Intercept = -2.7919, null deviance : 73.304, model d.f.= 3; (c) Intercept = 0.9959, null deviance :  
 204 132.027, model d.f.= 5.

#### 205 4. Discussion

206 ESBL prevalences estimated in IO farms in our study tend to be high. In broiler production  
 207 prevalence ranged from 70% (Madagascar, Reunion) to 79.9% (Mayotte) which is higher than 50.0%  
 208 reported in 2012 in Germany (16), but similar to 70.0% reported in Japan in 2007 (16). In India, in 2014,  
 209 among 87.0% of ESBL were detected in broiler farms and 42.0% in layers farms (17). High prevalence  
 210 was also observed in Dutch boiler farms (100%, n=50) in 2010-2011 (18) with on average 32 days of  
 211 antibiotic administration in broiler farms in Netherland (19). The elevated prevalence observed in IO  
 212 could be due to a large use of antibiotic drugs but currently, in 2018, no data regarding antibiotic  
 213 consumption in IOC livestock is available.

214 In pig farms production, the prevalence differed significantly between territories from 53.3% in  
 215 Reunion to 86.7% in Madagascar both higher than the 8.3% reported in pigs in Japan in 2007 (20) but  
 216 similar to 88.2% of ESBL positive farms observed in 2012 in Germany (16). The elevated prevalence  
 217 observed in Madagascar tends indicating important antibiotic use and/or misuse of antibiotics  
 218 (including over the counter). For instance, in Danish pig production farms in 2010-2011, 20% of  
 219 prevalence was observed if no cephalosporin was used (C3G and C4G) within the preceding year  
 220 and 79% if those antibiotics were used (11) highlighting that a reduced use of antibiotic could quickly  
 221 be favorable.

222 In Reunion, the prevalence of ESBL in beef cattle farms tends to be lower than data reported in  
 223 comparable designed study in Germany (Bavaria) from 2011 to 2012, with 73.3% of beef cattle farms  
 224 tested positive (21) and 54.4% in 2012 in Mecklenburg-Vorpommern (16). In Japan prevalence of  
 225 40.0% in beef cattle farms was observed in 2007 (20). These data are comparable to prevalence

226 observed in our study in Mayotte and Madagascar. Beef cattle prevalence in Reunion was low but  
227 could be higher in dairy cattle as reported in Switzerland (OR: 5.95).

228 The main represented bacterial species among ESBL isolates was *E. coli* as observed in other  
229 studies (e.g. (18, 22, 23)). This species normally accounts for up to 1% of the colonic bacteria in cattle  
230 (24). This species can serve as a reservoir of antibiotic resistance genes within the digestive tract (25).  
231 Thus, *E. coli* was finally identified as logical indicator of the extent of antibiotic resistance within  
232 microbial populations digestive tract (26) and could be also used as an indicator of ESBL trends in  
233 epidemiological surveillance in Indian Ocean and worldwide.

234 No phenotypic resistance to ertapenem in ESBL isolates was identified which is in accordance  
235 with the absence of detection of carbapenemase producing Enterobacteriaceae (CPE) in livestock in  
236 Indian Ocean in 2018 (1). However, use of CPE selective media would be more suitable for CPE  
237 detection in livestock. Resistance to nalidixic acid was elevated in ESBL producing *E. coli* in beef cattle  
238 from Reunion (50.0%) and in Madagascar both in poultry (28.6%) and pig (25.0%) productions.  
239 However, isolates resistant to nalidixic acid were not resistant to ofloxacin as observed in majority of  
240 cases (27). Finally, fluoroquinolone resistance was elevated in ESBL producing *E. coli* in pig  
241 production both in Madagascar (21.4%) and Reunion (25.0%) which could indicate a specific use of  
242 this antibiotic class use in both territories. Resistance to fluoroquinolone could be lower in Mayotte  
243 as no resistance to ofloxacin was observed but it should be confirmed as sample was reduced. Finally,  
244 resistance to fluoroquinolone observed in pig production could indicate past or present use of this  
245 critically important antimicrobial drug. Pig production was identified as the most important  
246 antibiotic consumer worldwide (28) but consumption estimates of each antibiotic classes in Indian  
247 Ocean territories is not available. French national data indicated that fluoroquinolones use in cattle  
248 production was the most important before pigs and poultry production but trends could differ in  
249 French oversea territories (29). Resistance to gentamicin was elevated in ESBL producing *K.*  
250 *pneumoniae* in Madagascar as already observed in humans in 2008 (30). Resistance to tetracycline  
251 was also high in this territory which could point out a drug overuse, particularly for widely available  
252 oral agents (1).

253 The most common ESBL gene identified in *E. coli* isolates tested was the CTX-M-1 subtype  
254 (54.4%) as observed in food-producing animals in European countries (31). It is now well established  
255 that CTX-M beta-lactamase is largely situated on plasmids, which allows the horizontal transfer  
256 between enterobacteriaceae (32) and explains the actual epidemic spread of this enzyme all over the  
257 world.

258 Overall ESBL gene diversity observed was reduced in our study, it could be due to a small  
259 sample of *E. coli* tested (N=10). The more diverse ESBL genes identified was in poultry production  
260 with almost three different genes detected in each territory. Most of ESBL genes detected in poultry  
261 were of the CTX-M1 group but SHV-ESBL and TEM-ESBL genes were also identified as in Dutch  
262 broilers (18).

263 In pig production, ESBL gene diversity was higher in Reunion with CTX-M-1, 3 and 32; the  
264 situation differed from Switzerland and Danish pig production where only CTX-M1 subtype was  
265 mostly represented (11, 23). In Madagascar, all *E. coli* tested in pig and beef cattle belonged to the  
266 CTX-M-15 subtype which is the main subtype observed in humans (33, 34) and could point  
267 circulation of ESBL between reservoirs. In beef cattle from Mayotte, ESBL *E. coli* belonged to the CTX-  
268 M-1 subtype whereas more diverse genes, including SHV, were detected in cattle from Switzerland  
269 (23).

270 Finally, specific ESBL gene observed in each production type from each territory (e.g. CTX-M-1  
271 in pigs from Reunion and CTX-M-15 in Madagascar) could point a common past source of  
272 contamination by exploitation type with diffusion probably due to contact and introduction of ESBL  
273 carriers in the farm, as reported with CTX-M-14 in cattle from the United Kingdom (10). Thus, low  
274 ESBL gene diversity in each production type and territory seems pointing low  
275 introduction/exchanges of ESBL from other reservoirs and environment as stated by Dorado-Garcia  
276 (35). However, the identification of CTX-M-15 in pig, beef cattle and humans in Madagascar could  
277 point a common past ESBL source with transmission and diffusion between reservoirs. Thus, further

278 investigations, including complete genome sequencing, is needed to evaluate the hypothesis of ESBL  
279 transmission and diffusion between reservoirs in this territory.

#### 280 4.1. Risk factors of ESBL occurrence in IOC

##### 281 4.1.1. Univariate

282 In Reunion, “recent age of the building” for broiler production was significantly explaining ESBL  
283 occurrence in univariate analysis. This factor is difficult to explain as biosecurity measure should be  
284 improved in recent farms, however, it could point an increasing antibiotic drug use in modern  
285 exploitations. No study has been performed regarding antibiotic consumption and use in IOC farms.  
286 In Reunion in pig exploitations, “change of shoes/boots before entering the building” was  
287 significantly explaining ESBL occurrence as observed in poultry production in Vietnam (12). This  
288 result could be related to a confusing explanatory factor not identified in both studies. Both “rodent  
289 control” and “two disinfections before next batch” factors were significantly reducing ESBL  
290 occurrence in pig production in Reunion related to biosecurity and hygiene measures known to help  
291 controlling disease and antibiotic resistance spread (36). In this way, ESBL introduction into farms by  
292 rodent should be investigated.

293 In Madagascar, in broiler production, chick production in the farm for raising chicken was  
294 identified as significantly reducing occurrence of ESBL. This is in accordance with data from the  
295 Netherlands indicating that ESBL-producing *E. coli* were introduced in the Dutch poultry production  
296 chain through imported day-old grandparent chickens indicating a vertical ESBL transmission (37).  
297 In beef cattle production in Madagascar, “clearing around the farm” reduced significantly ESBL  
298 occurrence in exploitations, effect of this measure was difficult to explain and could be related to a  
299 confusion factor not identified here.

##### 300 4.2.2. Generalized linear models

301 In poultry, water quality control was a protective factor of ESBL occurrence. This observation is  
302 in accordance with studies on *Campylobacter* spp. which showed that electrolyzed water or  
303 chlorinated-water allowed reducing bacterial presence during poultry farm washing (38, 39).  
304 Resistant genes (i.e. *vanA*) were identified in drinking water biofilms (40) and rural surface water  
305 may become a large pool of antibiotic residues and resistant bacteria (41). As highlighted by Cox and  
306 Pavic (2009), in order to minimize transmission of enteropathogens, drinking water should be of  
307 potable quality and water should be treated with chemicals, or by filtration or reverse osmosis, to  
308 ensure freedom from enteric pathogens (42). Public water supply was identified as a protective factor  
309 of ESBL *E. coli* in pigs farms (compared to private sources) (43); this highlights the importance of  
310 controlling water quality on the farm. However, the role of water quality in the occurrence and  
311 maintenance of antibiotic resistance (both genes and resistant bacteria) in livestock production is still  
312 under investigated (most study focusing antibiotic spread thanks to wastewater) and further studies  
313 are needed.

314 In pig production, recent antibiotic use, soaking surface and farm visited by others farmers were  
315 identified as risk factors and detergent use for cleaning was a protective factor of ESBL occurrence in  
316 our model.

317 In Dutch pigs farms, the presence of ESBL *E. coli* carrying pigs was not related to total antibiotic  
318 use but strongly determined by the presence or absence of cephalosporin use at the farm (43).  
319 However, in our study, no information regarding the antibiotic type used was available but further  
320 investigation should be needed as well.

321 We identified use of detergent for cleaning as a protective factor of ESBL occurrence. On dairy  
322 farms, risk factors associated with hygiene paucity were identified (e.g. storage of slurry in a pit,  
323 infrequent cleaning of feeding equipment) (10). Cleaning and disinfection processes could be a  
324 milestone in ESBL occurrence with ESBL eradication obtained in pig farms under specific disinfection  
325 procedures (36). Soaking surface practice in pig farm production could be associated with wrong

326 biosecurity practices; for instance, let water for a too short period could not allow complete cleaning.  
327 Thus, publications regarding biosecurity and hygiene measures reducing ESBL occurrence are scarce  
328 and further investigations should also be necessary.

329 To our knowledge, visiting farms by others farmers has never been identified as a risk factor of  
330 ESBL introduction. In Adler et al. (2015) frequent visits of the veterinarian increased the prevalence  
331 ratio in cattle farms in Israel (44). Visitors could contribute to ESBL introduction and could  
332 carry/share material that favor transmission pathways.

333 In beef cattle farms, recent antibiotic use, pet presence in the farm were risk factors whereas  
334 livestock size, disinfection and brushing around the farm were protective factors of ESBL occurrence  
335 in our model.

336 Antibiotic use is well identified to exert selection pressure on Enterobacteriaceae. In United  
337 Kingdom, 3rd or 4th generation cephalosporin use in dairy farms in the last 12 months were nearly  
338 4 times more likely to have ESBL *E. coli* (10) and prophylaxis purposes increased ESBL carriage in  
339 Israeli cattle (44). In our study, recent antibiotic use was identified as a risk factor of ESBL occurrence  
340 but other factors such as frequency of use, type of antibiotic used and reasons for treatment in  
341 Madagascar, Reunion and Mayotte should be investigated.

342 Pet presence in the farm was a risk factor of ESBL. This finding was in accordance with  
343 Santman-Berends et al., 2017 (45) which found cat presence as an explanatory factor of ESBL  
344 occurrence in organic herds. This observation could be due to the fact that pets could be both given  
345 antibiotics by owners or play a role of reservoir/vector of ESBL from the close environment.

346 Paradoxically, herd size was identified as a protective factor in our study. Adler et al. (2017) also  
347 reported a decreased crowdedness associated with ESBL carriage reduction in Israeli cattle farms  
348 (44). The cattle herd size was also identified as a risk factor (46) and probably be due to higher animal  
349 traffic with more cattle in the biggest farm with risk of EBLSE carrier introduction. Thus, big farms  
350 could apply stricter biosecurity and cleaning practices in our study.

351 As discussed before, disinfection seems to be a milestone in ESBL management. However,  
352 publications regarding biosecurity and hygiene measures reducing ESBL occurrence are scarce  
353 particularly in cattle production. Furthermore, only beef cattle production was investigated in the  
354 three territories but ESBL occurrence could be higher in dairy cattle as in Switzerland (46).

## 355 5. Conclusions

356 Finally, this study in IOC commercial farms pointed elevated ESBL prevalence in all production  
357 type, except beef cattle in Reunion. It highlighted probable antibiotic overuse/misuse in exploitation  
358 contributing in ESBL selection and needs to evaluate consumption and use of antibiotic drugs in IOC  
359 territories. Concrete protective and risk factors of ESBL occurrence (e.g. pet presence, detergent use  
360 for cleaning) were identified, even if further investigations are needed to reinforce these results. This  
361 study is the first to explore tools for management of antibiotic resistance in IO farms and pointed  
362 needs in biosecurity and hygiene measures identification contributing to antibiotic resistance  
363 reduction in livestock. Last but not least, it provides interesting hypothesis to explore about ESBL  
364 transmission between food-producing animals and humans in Madagascar.6. Patents

365 **Acknowledgments:** French Regional Health Agency and the European Regional Development Fund "Traquer  
366 les Risques Sanitaires dans l'Océan Indien avec une approche One Health" funded this research project. Florence  
367 Naze and Anais Etheve for support regarding laboratory experiments.

368 **Author Contributions:** For research articles with several authors, a short paragraph specifying their individual  
369 contributions must be provided. The following statements should be used E.C. and O.B. conceived and designed  
370 the experiments; M.L., M.J. collected samples in the field; A.L., G.M., A.E. S.R performed the laboratory  
371 experiments; N.G. analyzed the data and provided analysis tools; N.G. wrote the paper." Authorship must be  
372 limited to those who have contributed substantially to the work reported.

373 **Conflicts of Interest:** "The authors declare no conflict of interest. "

374

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