

1 Article

2 A Dislocation-Scale Characterization of the Evolution 3 of Deformation Microstructures around 4 Nanoindentation Imprints in a TiAl alloy

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11 **Abstract:** In this work, plastic deformation was locally introduced at room temperature by
12 nanoindentation on a γ -TiAl based alloy. Comprehensive analyzes of microstructures were
13 performed before and after deformation. In particular, the Burgers vectors, the line directions and
14 the mechanical twinning systems were studied via accurate electron channeling contrast imaging.
15 Accommodation of the deformation are reported and a scenario is proposed. All features help to
16 explain the poor ductility of the TiAl based alloys at room temperature.

17 **Keywords:** TiAl alloys, plasticity, nanoindentation, ECCI, EBSD

19 1. Introduction

20 Titanium aluminide alloys have attracted considerable attention due to their unique
21 combination of properties such as high specific strength and stiffness, good creep properties and
22 resistance against oxidation and corrosion [1] [2], which make them suitable candidate materials for
23 High Temperature (HT) applications [3] [4].

24 One of the main weakness of TiAl alloys is that they are brittle at Room Temperature (RT),
25 *i.e.* below their brittle-to-ductile transition temperature, which lies between 800°C and 1000°C [5].
26 Despite intense research on the HT behavior of TiAl alloys, literature suffers from a lack of
27 understanding on their RT behavior particularly on the elementary deformation mechanisms and the
28 precise role of microstructures [6] [7] [8].

29 Among the several Ti-Al alloy phases, two of them are ordered at RT [4]: γ as the major phase
30 and α_2 as a minor phase. The α_2 phase is hexagonal ($\frac{c}{a} = 0.8$) with a DO19 structure while the γ phase
31 is tetragonal with a L1₀ structure close to cubic ($\frac{c}{a} = \frac{c}{b} = 1.02$). Therefore, six order variants are possible.
32 They can be visualized as generated by a 120° rotation around the (1 1 1) plane normal [9].

33 The microstructures of γ -TiAl alloys are complex. A good compromise for balancing
34 properties between RT plasticity, high strength and good creep resistance at HT can be obtained for
35 the duplex microstructure. It is constituted of a mixture of monolithic γ grains and small lamellar
36 colonies of γ and α_2 [10] [11].

37 In dual-phase TiAl alloys, plastic deformation mainly occurs on the {1 1 1} planes of the γ
38 phase by dislocation glide or twinning. It is strongly related to the ordered L1₀ structure [12]: along
39 the $(\bar{1} 1 0)$ -directions, there is only one sort of atoms (Ti or Al). In this case, dislocations are called
40 ordinary dislocations and their Burgers vectors are $\frac{1}{2}\langle 1 1 0 \rangle$ types. Contrary, Ti-atoms and Al-atoms

41 interchange in $\langle 0\ 1\ 1 \rangle$ -directions and the so called superdislocations are $\langle 1\ 1\ \bar{2} \rangle$ and $\langle 1\ 0\ 1 \rangle$. These
42 two types of superdislocations can undergo various dissociations into superpartials *i.e.* partial
43 dislocations with the associated planar faults. In addition, true twinning along $\frac{1}{6}\langle 1\ 1\ \bar{2} \rangle\{1\ 1\ 1\}$ occurs
44 that does not alter the ordered $L1_0$ structure of the γ -TiAl. Because of the specific structure of the γ -
45 TiAl, it is relatively easy to know the direction for either slip of ordinary dislocations or for true
46 twinning when the slip/twin plane is known [12]. Note also that at RT twinning and then glide of
47 ordinary dislocations are the easiest deformation modes [2] [7] [8]. In this manner, Kauffmann *et al.*
48 suggested that increasing deformation leads to the nucleation of only a few new mechanical twins
49 since the dislocation movement becomes more dominant with increasing strain [8].

50 Although it is accepted that the α_2 phase does not participate to the deformation [6] [12],
51 evidences of prismatic slip $\langle 1\ \bar{2}\ 1\ 0 \rangle\{1\ 0\ \bar{1}\ 0\}$, basal slip $\langle 1\ \bar{2}\ 1\ 0 \rangle(0\ 0\ 0\ 1)$ and pyramidal slip
52 $\langle 1\ 1\ \bar{2}\ \bar{6} \rangle\{1\ \bar{2}\ 1\ 1\}$ were reported [12].

53 Among the difficulties encountered for understanding the mechanical behavior of TiAl based
54 alloys, most of our detailed knowledge on their deformation mechanisms has been deduced from
55 Transmission Electron Microscopy (TEM) observations on an electron transparent lamella [13] [7].
56 The investigation presented in this article focuses on the study of deformation mechanisms at the
57 mesoscopic scale. With an original combination of experiments, we investigate the evolution of
58 deformation microstructures at RT in the γ phase of a dual-phase bulk TiAl alloy. Because of the RT
59 brittleness of this material, plastic deformation is induced by nanoindentation. The solid confinement
60 around the indent maintains the integrity of the sample, while applying the load. The evolution of
61 the microstructures is characterized by accurate Electron Channeling Contrast Imaging (aECCI)
62 before and after deformation.

63 2. Materials and Methods

64 The fully dense Ti-46.8Al-1.7Cr-1.8Nb (at.%) sample was obtained in the form of investment
65 cast-bars (diameter 15 mm, height 230 mm) from Howmet. The as-received bars were hot isostatically
66 pressed at 1250°C and 125 MPa for 4 hours, then subjected to a homogenization treatment in a furnace
67 under vacuum at 1270°C for 24 hours [14]. Then the sample was ground using silicon carbide paper
68 and then polished with a 1 μ m diamond suspension. Finally, in order to produce a very flat surface
69 and to avoid any work hardening due to conventional grinding, a chemo-mechanical polishing has
70 been performed using a colloidal silica suspension.

71 Because deformation occurs mainly in the γ -phase [5], plastic deformation was locally
72 introduced on the γ phase by nanoindentation using the Ultra Nanoindentation Tester from Anton
73 Paar (Switzerland), equipped with a Berkovich indenter. The indents were organized in a regular
74 array of 500 μ N indents, surrounded by 20 mN indents for easier recognition.

75 Detailed characterizations of microstructures before and after deformation were performed
76 by aECCI using a Zeiss Auriga Scanning Electron Microscope (SEM) operating at 10 kV. aECCI is a
77 non-destructive method offering the ability to provide, inside a SEM, TEM-like diffraction contrast
78 imaging of sub-surface defects (at a depth of about one hundred of nanometers) on centimetric bulk
79 specimen. Defects, such as dislocations, can be characterized by applying the TEM extinction criteria
80 [15] [16]. Because the yield of BSE depends drastically on the orientation of the crystal relative to the
81 incident electron beam *i.e.* optic axis of the SEM, obtaining the crystallographic orientation of the

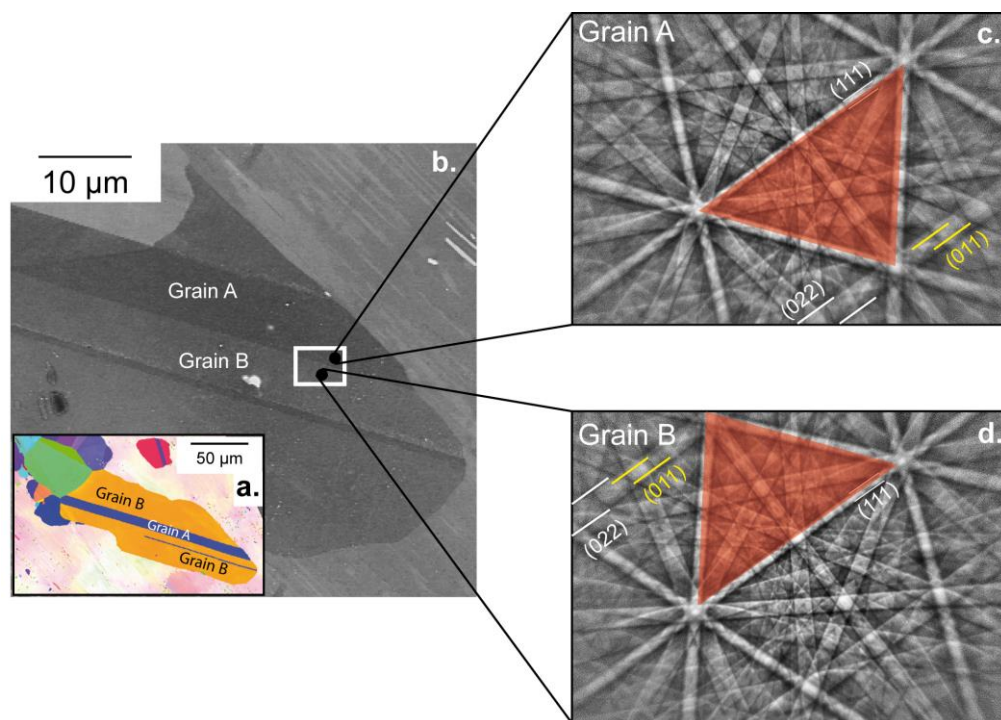
82 grain of interest with an accuracy of 0.1° is a preliminary step to aECCI [16]. The precise orientation
 83 of the crystal in the SEM coordinate system is given through Selected Area Channeling Pattern (SACP).
 84 To overcome this challenge, rocking the incident electron beam at a pivot point on the surface of a
 85 given grain of the sample provides High-Resolution Selected Channeling Patterns (HR-SACP) [17].
 86 HR-SACP cover an angular range of 4.4° and reach an accuracy for the orientation better than 0.1°
 87 with a spatial resolution less than 500 nm. Because of this small angular range, for getting the
 88 orientation of the grain of interest, the HR-SACP is superimposed on an Electron BackScattered
 89 Diffraction (EBSD) pattern simulated at 0° using "Esprit DynamicS" software from Bruker. Note that,
 90 the reason of using an EBSD pattern (acquired at 70°) simulated at 0° is that the specimen is initially
 91 placed at 0° for aECCI.

92 EBSD experiments were carried out on a Zeiss Supra 40 SEM operating at 20 kV. In order to
 93 discriminate the different order variants of γ -TiAl, fine EBSD analyses were performed at a step of
 94 75 nm with Channel 5 as the indexation software.

95 3. Results

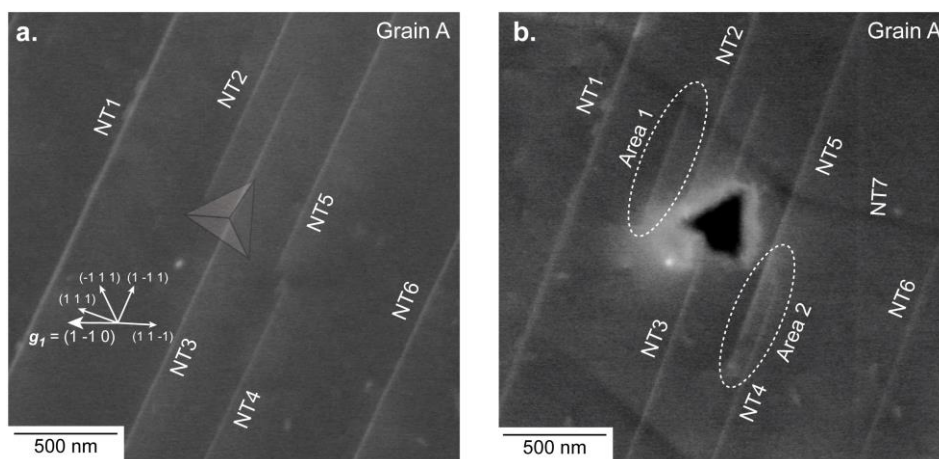
96 3.1. Characterization of the microstructure around the regions of interest

97 **Figure 1.(a)** and **Figure 1.(b)** show the microstructure around the Regions of Interest (ROI):
 98 ROI1 on grain A and ROI2 over both grains A and B. ROI1 and ROI2 are presented in **Figure 2** and
 99 **Figure 3** respectively.



100

101 **Figure 1.** (a) EBSD orientation map of the zone of interest. (b) BSE image showing the microstructure
 102 before deformation. The nanoindentation array is localized in the white rectangle. (c) and (d) EBSD
 103 patterns corresponding to grains A and B.

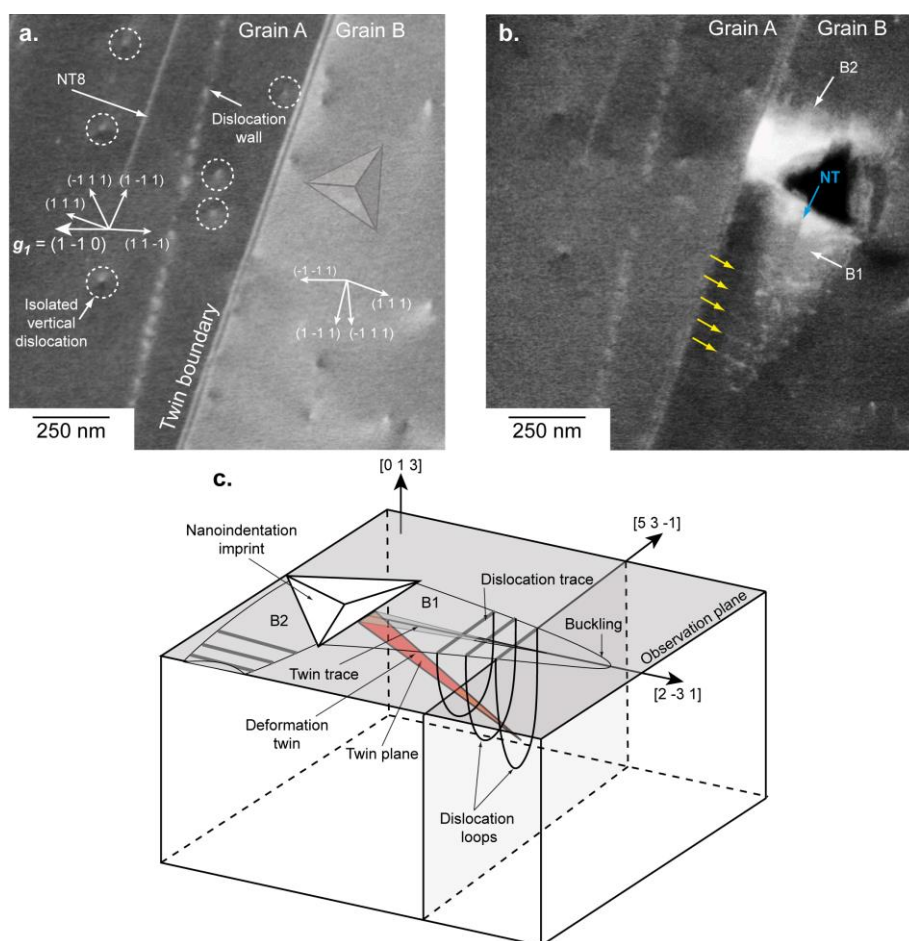


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105 **Figure 2.** ROI1 for which the surface is close to (4 5 7). (a) aECCI obtained with $g_1 = (1 \bar{1} 0)$ showing106 six $[1 \bar{1} 2](1 1 1)$ Nano-Twins (NT) and the position of the imprint (transparent Berkovich imprint).107 The white arrows indicate the trace of the $\{1 1 1\}$ planes. (b) BSE image showing the 500 μ N indent.

108 Two areas (labelled Area 1 and 2) have changed. The NT7 slightly visible in (b) comes from a neighbor

109 imprint.



110

111 **Figure 3.** ROI2, where the surface plane is near (4 5 7) for the twin A (left) and near (0 1 3) for grain112 B. The TB corresponds to the $[1 \bar{1} 2](1 1 1)$ system. (a) aECCI obtained with $g_1 = (1 \bar{1} 0)$ with the113 transparency position of the Berkovich imprint. The white arrows indicate the trace of the $\{1 1 1\}$ 114 planes. (b) Two buckling areas (labelled B1 and B2) are clearly visible around the 500 μ N indent. The

115 blue arrow points to a NT and the yellow to dislocations. (c) 3D schematic of B1 and B2.

116 Experimentally, the twin nature (true or pseudo twin) is determined using the high-resolution
 117 spot mode EBSD. Patterns are collected by pointing manually the electron beam at both sides of the
 118 Twin Boundary (TB). The corresponding EBSD patterns (**Figure 1.(c)** and **Figure 1.(d)**) clearly indicate
 119 that the grains A and B are true twin related: for example, the red triangle formed by the 3 bands
 120 depicted in **Figure 1.(c).(d)** and the (0 1 1) superlattice band are in symmetrical position with respect
 121 to the unchanged (1 1 1) band when going from grain A to grain B.

122 The evolution of the ROI1, before and after deformation, is presented on **Figure 2.(a)** (ECC
 123 image) and **Figure 2.(b)** (BSE micrograph). Due to a rapid contamination of the sample surface under
 124 the electron beam, controlling the channeling conditions after deformation with the required
 125 accuracy for aECCI was not possible. However, enhanced BSE images were acquired and bring the
 126 necessary information for understanding the evolution of the microstructure already fully
 127 characterized before deformation.

128 EBSD gives (42 54 73)~(4 5 7) as surface plane so that seven channeling conditions or
 129 diffracting vectors \mathbf{g} are accessible by tilting and rotating the specimen: $\mathbf{g}_1=(1 \bar{1} 0)$, $\mathbf{g}_2=(1 1 \bar{1})$,
 130 $\mathbf{g}_3=(3 \bar{1} \bar{1})$, $\mathbf{g}_4=(3 \bar{3} 1)$, $\mathbf{g}_5=(1 3 \bar{3})$, $\mathbf{g}_6=(1 \bar{3} 1)$, $\mathbf{g}_7=(4 0 \bar{2})$ (note that only the ECC image taken with \mathbf{g}_1
 131 is shown in **Figure 2.(a)**). In such conditions, all defects are expected to be in contrast. Neither
 132 dislocation nor superdislocation are observed before deformation in **Figure 2.(a)**. Only parallel linear
 133 contrasts (labelled NT) are clearly visible. In addition, they are aligned along the $\sim[2 \bar{3} 1]$ direction.
 134 Such BSE contrast are generally attributed to Nano-Twins (NT) and are consistent with $[1 1 \bar{2}](1 1 1)$
 135 as true twin system [18] [19] [20]. After deformation (see **Figure 2.(b)**), no dislocation is visible but
 136 changes clearly identifiable are localized in the vicinity of the indent (Area 1 and Area 2 in **Figure**
 137 **2.(b)**). In Area 1, near the imprint, a $[1 1 \bar{2}](1 1 1)$ deformation NT was created. At the other side of
 138 the imprint (Area 2) the NT5 extends along the $\sim[2 \bar{3} 1]$. Note that the NT7 visible in **Figure 2.(b)**
 139 comes from a neighbor imprint.

140 3.3 Microstructure evolution of the ROI2

141 ROI2 is composed by two twinned grains A (left) B (right) with their surface plane as
 142 (42 54 73)~(4 5 7) and (16 325 946)~(0 1 3) respectively (see **Figure 3.(a)**). The common direction on
 143 the sample surface for both grains A and B is $[2 \bar{3} 1]$. The $\{1 1 1\}$ -plane, which intercepts both the
 144 (4 5 7) plane and the (0 1 3) plane along $[2 \bar{3} 1]$ is the (1 1 1). Note also that a NT aligned along
 145 $\sim[2 \bar{3} 1]$ is visible (labelled NT8 in **Figure 3**) and consistent with $[1 1 \bar{2}](1 1 1)$. The vertical
 146 dislocations (*i.e.* almost perpendicular to the sample surface) either isolated or stacked into a wall in
 147 grain A (**Figure 3.(a)**) are analyzed by aECCI in order to determine their Burgers vectors. Using the
 148 diffracting conditions \mathbf{g}_1 to \mathbf{g}_7 previously mentioned with invisibility criteria leads to $\pm\frac{1}{2}[1 1 0]$ as
 149 Burgers vector.

150 Unfortunately good channeling conditions are not reachable in the right (0 1 3) grain, resulting
 151 in the non-characterization of the isolated vertical dislocations.

152 **Figure 3.(b)** and its schematic show the ROI2 after deformation. The 500 μN indent was made in the
 153 (0 1 3) grain near the TB. Around this indent, two similar features (labelled B1 and B2 in **Figure 3.(b)**)
 154 are observed. Parallel to the TB *i.e.* in B1, a set of parallel dislocation traces is visible (yellow arrows
 155 in **Figure 3.(b)**). They are localized in an elliptic area forming a buckling (B1) extending far away from

156 the imprint in the $[2\bar{3}1]$ direction. Such buckling areas were already reported but not explained for
 157 TiAl alloys [21] [22].

158 In addition, a NT contrast (blue arrow in **Figure 3.(b)**) is observed inside B1 and it is parallel to
 159 $[2\bar{3}1]$ consistent with the $[11\bar{2}](111)$ true twinning system.
 160 Perpendicular to the TB *i.e.* along $[\bar{5}\bar{3}1]$, another buckling area B2 is observed and it cannot extend
 161 because it is blocked by the TB. In the neighbor (457) grain, no change is observed compared to the
 162 initial state, even if the TB is distorted locally where B2 is in contact. Outside both buckling areas, no
 163 other defect is observed.

164 4. Discussion

165 From observations of the evolution of microstructures of ROI1, two assessments can be made:
 166 1. at RT, twinning is observed to be the main deformation mechanism, in agreement with literature
 167 [2] [7] [8];
 168 2. deformation is observed to be localized near the indent.

169 In many materials, buckling areas such as those characterized in ROI2 are synonymous of a
 170 canalization of the deformation, generally taking its origin from the accommodation of twins [23].

171 Although the accommodation of $\frac{1}{6}\langle 11\bar{2}\rangle\{111\}$ twin by $\frac{1}{2}\langle 110\rangle\{111\}$ ordinary dislocations was
 172 already reported by TEM experiments in TiAl alloys [24] [25], no mechanism was proposed.

173 From this knowledge, and taking into account our results, we propose the following scenario (see
 174 **Figure 3.(c)**):

- 175 • Under the indent, the $[11\bar{2}](111)$ NT is formed.
- 176 • The stress concentration at the tip of the $[11\bar{2}](111)$ NT nucleates ordinary $\pm\frac{1}{2}[110]$
 177 dislocation loops gliding in the $(1\bar{1}1)$ planes. The dislocation loops will form an ellipsoid
 178 surrounding the NT thus producing lines after projection on the observation plane.
- 179 • The elliptic area or B1 will grow by adding successive dislocation loops at its extremity.
- 180 • B1 will extend until it will meet an obstacle such as the TB (for B2 for example).
- 181 • At the location where B2 intercepts the TB, a stress concentration appears. It results in a local
 182 distortion of the boundary. Therefore the TB seems to be a strong obstacle to the propagation of
 183 the deformation and at higher load it may cause microcracking at its vicinity as observed in
 184 references [25] [20] [26].

185 5. Conclusions

186 In summary, RT nanoindentation tests combine with aECCI observations before and after
 187 deformation bring novel insights into the γ -TiAl deformation mechanisms:

- 188 1. At RT, twinning is observed to be the main deformation mechanism.
- 189 2. Twinning is accommodated by ordinary dislocation mechanism leading to the canalization of
 190 the deformation.
- 191 3. TB can play the role of obstacle to the propagation of deformation to neighbor grains leading to
 192 a stress concentration at the vicinity of the boundary. Therefore, the true twin seems to be one of the
 193 weak links explaining the poor ductility of γ -TiAl at RT.

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198 the dislocation analyses. AG wrote the main manuscript. All the authors participate in the discussion and they
199 reviewed the manuscript.

200 **Conflicts of Interest:** The authors declare no conflict of interest.

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202 **References**

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