

1 Article

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Interfacial Characterization by Pull-Out Test of

3 Bamboo Fibers Embedded in Poly(lactic acid)

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26 **Abstract:** In this work, the apparent shear strength at the interface between a bamboo fiber and the
27 surrounding poly(lactic acid) (PLA) matrix is quantified. A method for processing pull-out test
28 samples within a controlled embedded length is proposed and the details of the test procedure are
29 presented, along with a critical discussion of the results. Two series of samples are considered:
30 untreated and mercerized bamboo fibers from the same batch, embedded in the same polyester
31 matrix. Electron and optical microscopy are used to observe the fiber-matrix interface before and
32 after the test, and to identify the failure mode of each sample, especially as regards the occurrence
33 of fibrillation in the fiber bundles. The values of apparent interfacial shear strength are calculated
34 only for regular fibers successfully pulled out from the matrix, and reported with their statistical
35 variations. Mercerization, whose efficiency was proven by Fourier Transform InfraRed (FTIR)
36 spectroscopy, did not appear though to improve the quality of the interface ($\tau_{app} = 7.0 \pm 3.1$ MPa
37 for untreated fibers and $\tau_{app} = 5.3 \pm 2.4$ MPa for treated fibers).

38 **Keywords:** bamboo biomass; biodegradable composites; pull-out; surface treatments; mercerization

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40

1. Introduction

41 Processing 100% green composites implies that biosourced (biodegradable) polymeric resins
42 can be conveniently reinforced by natural materials, such as plant bast fibers, for example jute,
43 hemp, flax and bamboo fibers [1-5]. Bamboos are one of the most abundant ligno-cellulosic plants
44 produced in the world [6]. There are so many different species of bamboos, and some of them grow
45 so fast (up to 120 cm per day), that no other source of vegetable fibers could be considered more
46 renewable. On the other side, it appears not obvious to control from a botanical point of view the
47 supply of bamboo from tropical forests, due to their degradation, especially when classified as

48 “ambiguous lands”, hence in principle State-owned yet used by low income people for harvesting
49 e.g., bamboo shoots [7]. For this reason, a possibility which has been explored is for example the
50 extraction of lignin from steam exploded bamboo for the production of epoxy resins [8].

51 A more valuable yet sustainable perspective is nonetheless the possibility to produce
52 composites by using bamboo fibers as the reinforcement for biodegradable matrices [9]. In this
53 regard, the use of bamboo fibers, also due to the variable quality of supply, cannot always be
54 recommended, because the difficult predictability of their properties has an effect also on those of
55 composites produced with them. For example, due to the variable presence of defects in the fibers,
56 introducing longer fibers in the load direction does not always result in substantial and repeatable
57 improvement of composites performance, as e.g. a study on bamboo fiber/starch-based composites
58 reported [10]. A further issue, which heavily contributes to the variability of bamboo fibers
59 properties, is that, as it is the case for all ligno-cellulosic fibers, their morphology can be very
60 variable in terms of diameter, hollow size and geometry, elliptical shape of the section, etc. [11-13].

61 Polymers synthesized from naturally sourced monomers, such as poly (lactic acid) (PLA), have
62 in contrast quite controllable properties and are therefore very suitable for the production of fully
63 sustainable composites with vegetable fibers: a significant amount of literature does exist on these
64 materials [14]. A major aspect to be controlled is therefore the quality of the fiber-matrix interface,
65 that can be measured on fiber composites by a number of possible methods, among which is
66 pull-out testing [15].

67 An early study on flax fiber pull-out from polyethylene matrix proposed that the same
68 methods used in the case of traditional fibers, such as glass or carbon fibers, could work as well,
69 despite the peculiar structural characteristics of lignocellulosic fibers [16]. Following this, pull-out
70 testing proved suitable to offer sufficiently reliable values of the apparent interface shear strength
71 on a number of different lignocellulosic fibers [17]. Pull-out tests of sisal fibers embedded at 3 mm
72 depth in polyester blocks 10 mm side and then stretched to failure confirmed, regardless a very
73 large standard deviation, the effectiveness of mercerization in improving fiber-matrix interface
74 strength [18].

75 As for bamboo fibers, the significant influence of environmental factors on the adhesion
76 strength between fiber and matrix has already been highlighted [19]. However, in general, the
77 measurement of interface strength has found so far only quite limited coverage in literature, despite
78 the fact that substantial issues are still perceived in the achievement of sufficient properties for
79 PLA/bamboo fiber composites [2, 6, 11, 20]. Recent work pointed out that information about
80 practical adhesion of bamboo fibers with thermoplastic polymer matrices could be obtained from
81 different parameters from pull-out tests, such as interfacial shear stress, radial tensile stress and
82 theoretical work of adhesion: the study indicated a better interface for bamboo fibers with
83 polyvinylidene fluoride (PVDF) rather than with polypropylene (PP), although much weaker than
84 for glass fibers [21].

85 The measurement of apparent shear strength can be not completely accurate, since the pull-out
86 process may be friction-dominated in bamboo fiber systems, and friction is not considered by this
87 parameter [22]. Accurate measurements of interfacial adhesion in bamboo fibers are also
88 cumbersome for other reasons, such as the variable adhesion of filaments to form bundles (which
89 makes them easily prone to fibrillation) and the need to account also for cross-sectional complex
90 shapes and variable sizes (which requires multiple measurements of the diameter across the same
91 section). Notwithstanding these limitations, it is worth considering whether the application of a
92 simple method for measuring the apparent interfacial shear strength with values of diameter
93 obtained under reasonable assumptions would supply any suitable indication for the improvement
94 of composite properties. This approach may be simplistic in comparison with other approaches,
95 such as local analysis and computational predictions [23], well adapted when both the matrix and
96 the fibers have quite regular and predictable properties. However, when it comes to natural
97 materials, whose supply is not subjected to a rigorous quality control from the producer as for
98 synthetic ones, but only possibly to some selection of the most suitable samples, predictability is a
99 real issue: for this reason, experimental approaches, which give access to the average properties of

100 the entire population under sensible assumptions, can provide reasonably good estimates of the
101 properties. In spite of this, in general terms the positive influence of maleinisation and silane
102 treatment on polypropylene/bamboo composites interfacial shear strength was highlighted [24].

103 In this work, measurements of the apparent interfacial shear strength were carried out by
104 performing pull-out tests on bamboo technical fibers embedded in thin PLA matrices. Fibers
105 belonging to the same batch were used either as received or after mercerization, embedded in the
106 same polymeric matrix. A procedure was used to obtain samples with an improved control over the
107 embedded length, and a critical approach to the experimental results was used to select and
108 interpret them.

109 **2. Materials and Methods**

110 *2.1. Materials*

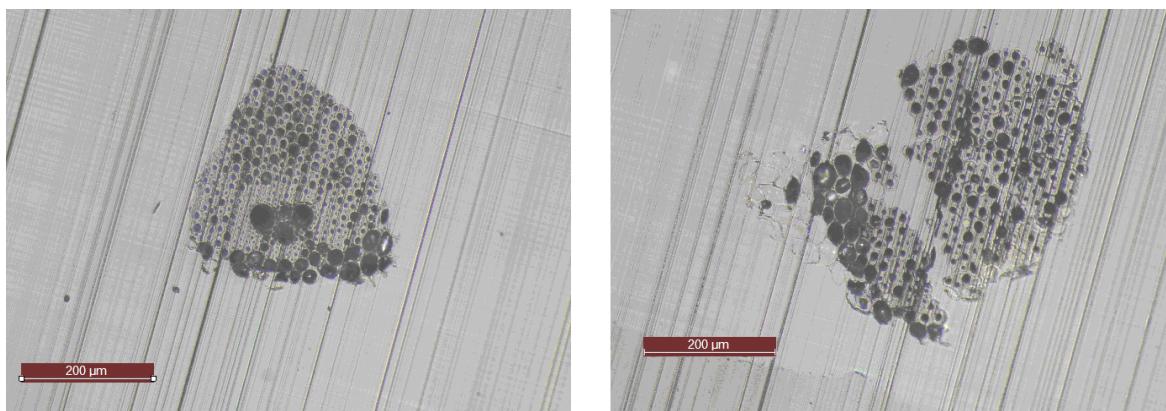
112 A commercial grade of poly (lactic acid) (PLA) denoted PLI 003, with density $\rho = 1.25 \pm 0.05 \text{ g/cm}^3$
113 and melting temperature around 145–155°C, was purchased from NaturePlast (Caen, France). Bamboo Fibers
114 Technology (Lahontan, France) imports bamboo fibers and fillers from Thailand, and proposes
115 different grades (G1 to G6, from short fibers to extremely fine particles). Only short fibers belonging
116 to grades G1 and G2 were considered in this work (fibers 5 to 150 mm long). Their tapped density is
117 90 kg/m³ and their humidity is comprised between 8 and 15% in normal ambient conditions. The
118 batch of fibers used in this work was extracted from plants grown in the wild forests of northern
119 Thailand, Province of Lamphun. Several genera and species of bamboos are recorded in Thailand
120 [25], but the major type of bamboos found throughout the country is tropical bamboo, also referred
121 to as sympodial bamboo [26]. Unfortunately, there has been no systematic survey of the vegetation
122 in Thailand so far, so that in particular, no assessment of the bamboo resources from Thailand has
123 been made [27]. Thailand lacks a proper vegetational system because the myriad of nomenclature
124 have never been sorted and even ecologists are often unable to get reliable names for their studies
125 [28]. In Thailand, wild bamboos can be found in three of the four subtypes of forest, i.e. Dry
126 Evergreen Forests (DEF), Mixed Deciduous Forests (MDF) and Dry Dipterocarp Forests (DDF). The
127 fibers used in this work were extracted from plants grown in MDF where, according to Prachayo
128 [29], only one species is found (*Dendrocalamus strictus*). It is worth mentioning, however, that
129 Thailand's MDF are a form of degraded forest comprising a mixture of evergreen and deciduous
130 trees organized in three layers, with the middle layer typically dominated by bamboos, and that it
131 has been recently found that all bamboos in MDF are sympodial, but not necessarily belonging to
132 the same species [30]; one may occasionally encounter clustered *Gigantochloa albociliata*, *Bambusa*
133 *tulda* and *Cephalostachyum pergracile* [31]. The fibers were mechanically extracted from the bamboo
134 stem by hatching, and then their size was gradually reduced from G1 to G6 by mechanical
135 grinding. Several fibers belonging to the same batch were used either as received or after
136 mercerization. Mercerization was performed with sodium hydroxide (NaOH) (Sigma-Aldrich, ACS
137 reagent $\geq 97.0\%$).

138 *2.2. Methods*

139 *2.2.1. Preparation of the samples*

143 A preliminary naked-eye inspection allowed separating regularly-sized from clearly distorted
144 and/or defective fibers. A typical cross-section of bamboo fiber bundles inside the culm presents an
145 irregular form (bean shape) with a size variation depending on their position across the bamboo
146 wall. Once extracted, each technical fiber, irrespective of its initial position, contains many
147 elementary fibers (whose cross-section is either pentagonal or hexagonal) arranged in honeycomb
148 patterns [32]. Whatever the process of extraction, natural fibers have variable cross-sectional shape,
149 even throughout the same batch. Indeed, when the fibers are extracted, they are partially broken
150 resulting in different cross-sectional shapes and sizes. Optical microscopy revealed that the fibers

151 selected from the batch used in this work were statistically dispersed, both in shape and size, with a
 152 cross-sectional shape mostly intermediate between circular and elliptical (Fig.1). Initially, the
 153 apparent diameters of the technical fibers used in this work (estimated from a side view by optical
 154 microscopy) were found to range from 200 μm to 1 mm. Some of the fibers out of the batch were
 155 also mercerized; mercerization is likely to help loosening the bundles and separating them into
 156 elementary filaments without inducing any significant modification of their structural components
 157 (crystallinity index and amount of native cellulose) [33]. For mercerization, the fibers were
 158 immersed in a water solution containing 5 wt.% sodium hydroxide (NaOH) for 24 hours at room
 159 temperature: the long period of exposure to sodium hydroxide at a not very high concentration is
 160 expected to allow obtaining fibers with modified surfaces but equivalent structural properties (this
 161 will be dealt with in Section 3). After the treatment, the fibers were recovered by a plastic tray with
 162 filter paper and washed with filtered water in a Büchner flask. Finally, the fibers were placed on a
 163 plate coated with an aluminum foil and oven dried at 80 °C for 24 hours.
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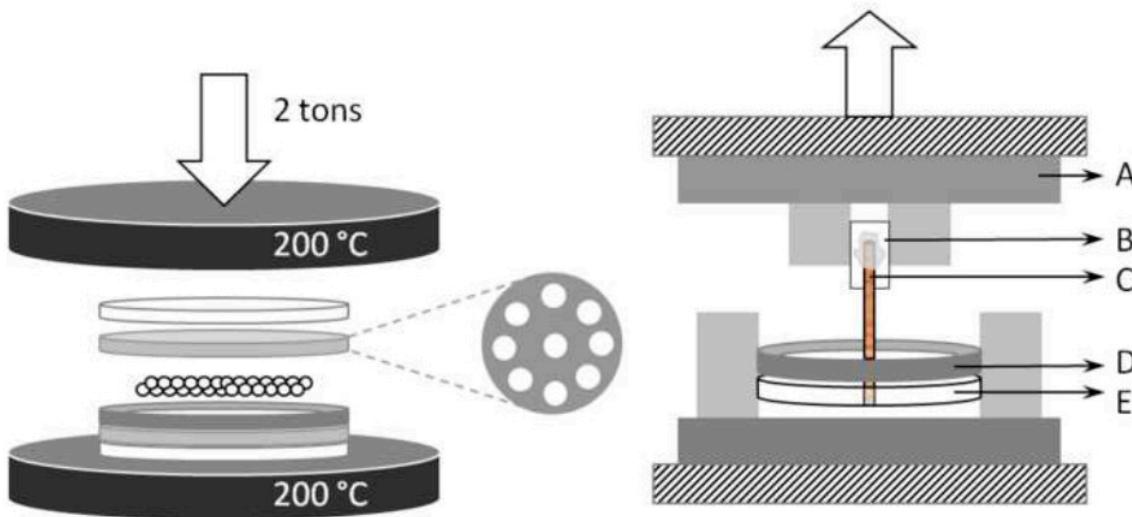
165
 166 **Figure 1.** Cross-section of two bamboo fibers randomly extracted from the batch used in the study
 167 and imaged by optical microscopy.

168 Thin PLA disks were created by melting a given amount of dried pellets and then compressing
 169 them with a hydraulic manual press (Specac 25T). The thickness of the PLA disks was carefully
 170 controlled, as it represents a key parameter for pull-out tests (length of fiber embedded in the
 171 polymer matrix). The control over the thickness was ensured by (1) using round washers with
 172 known thicknesses (h) and internal diameters (D_i), and (2) determining the mass of PLA required to
 173 fill the volume inside each washer (Eq. (1)).

$$m = \pi \left(\frac{D_i}{2} \right)^2 h \rho \quad (1)$$

174 The procedure to obtain the PLA disks is the following: (1) two Teflon films and two
 175 aluminum foils are cut to the internal diameter of the washer; (2) both the Teflon films and the first
 176 aluminum foil are used in their pristine form, whereas the second aluminum foil is hole-punched as
 177 many times as the number of samples to be prepared; (3) a sandwich is obtained by stacking (in
 178 order) one Teflon film, the first aluminum foil, the washer filled with the PLA pellets, the second
 179 aluminum foil and another Teflon film (Fig. 2 left). The first aluminum foil acts as a support for the
 180 polymer sample along with the second aluminum foil, which is hole-punched to expose the PLA
 181 matrix in the areas where the bamboo fibers will be inserted. The sandwich is inserted between the
 182 hot plates of the hydraulic press (temperature controller set to 200°C, with the polymer not
 183 exceeding 170-175°C) and a force of 2 tons (10 to 30 MPa depending on the external diameter of the
 184 washer) is applied for 2 minutes. After cooling down to room temperature, the thickness of the
 185 disks is checked using a caliper. Four washers with different thicknesses (0.84, 0.15, 0.65 and 0.55
 186 mm) and internal diameters (34.0, 38.2, 44.6 and 44.0 respectively) were used. The PLA disks were
 187 cut to produce as many samples as the number of holes punched in the second aluminum foil. In
 188 the meantime, the fibers were held in the oven at 80°C to avoid any water uptake, as the presence of
 189

190 water is known to weaken their interface with polymers [20]. The fibers were embedded, one by
 191 one, in every PLA pre-cut disk heated between the hot plates of the press. Attention was paid to
 192 keep them as perpendicular as possible with respect to their surface and to force them to the bottom
 193 of the layer.



194
 195 **Figure 2.** Procedure to obtain and test pull-out-samples. On the left: creating PLA thin disks.
 196 Between the hot plates of the hydraulic press (stacked from the bottom to the top): a Teflon film,
 197 an aluminum foil, a washer filled with PLA pellets, a hole-punched aluminum foil and another Teflon
 198 film. On the right: placing samples on the Bose device: (A) clamp, (B) cardboard, (C) free tip of the
 199 bamboo fiber, (D) washer, (E) PLA thin disk.

200
 201 2.2.2. Characterization of the fibers and pull-out tests
 202

203 The bamboo fibers were characterized by Fourier Transform Infra Red (FTIR) spectroscopy
 204 before the alkali treatment, right after the alkali treatment (without washing) and after washing in
 205 filtered water. Prior to measurement, the fibers were dried and compacted into pellets (16 mm Ø)
 206 by the hydraulic manual press (Specac 25T). Several spectra were recorded on different areas of
 207 each pellet by a Varian 4100 FTIR device (Excalibur Series) in Attenuated Total Reflection (ATR)
 208 mode with a mono-reflection diamond crystal (Pike MIRacle). Qualitative comparisons were made
 209 to evaluate the consequences of the alkali treatment on the chemical composition of the fibers. As
 210 the cross-sectional dimensions of a given bamboo fiber can vary along its length, each fiber
 211 candidate for pull-out testing was placed between two glass slides and observed by optical
 212 microscopy (Olympus BX51/BX52). Several measurements (at least 5) were performed to determine
 213 the variation of the apparent diameter along the length of interest at distances of around 200 µm
 214 from each other. The average of the apparent diameters measured for each bamboo fiber was
 215 considered as its equivalent diameter, i.e., the diameter of a virtual fiber having a circular
 216 cross-section and the same mechanical behavior (in terms of pull-out response).

217 The pull-out tests were performed using a Bose ElectroForce 3200 device with titanium T/C
 218 grips (maximum applied force 22.5 N). The locking system was ensured by hex drive nuts, which
 219 facilitated the placement of the samples in the clamps. For each sample, the free tip of the fiber was
 220 fixed with MultiTemp ® glue on a cardboard tab, which was clamped more easily than the fiber
 221 itself. As for the PLA thin disk, a washer was placed between the clamps to prevent sliding. The
 222 load vs. displacement curves were recorded by the software WinTest. All the tests were performed
 223 with a loading rate of 0.005 mm/s. The sample placement on the Bose device is sketched in Fig. 2
 224 (right).

225 A preliminary evaluation of the possibility to perform successful pull-out tests led to the
 226 observation of two ineffective outcomes of the test: either the fibers broke before the interface failed
 227 or, less frequently, the fiber tip and/or the matrix thin disk slid between the grips. The experimental
 228 results were classed as regular or non-regular depending not only on the global shape of the curve
 229 recorded during the pull-out test, but also on the aspect of the fiber tip after extraction from the
 230 matrix and the aspect of the hole left behind. Systematic observations of the fiber tip and the holes
 231 by Scanning Electron Microscopy (SEM) were carried out after each pull-out test to decide whether
 232 the test was successful or not, therefore helping with selection and interpretation of the curves.
 233 The inspection of the fractured samples was carried out using a FEI Quanta 200 FEG high
 234 resolution field emission scanning electron microscope. The results classed as regular, where no
 235 obvious fibrillation occurred, were used to estimate the apparent interfacial shear strength, as
 236 described and discussed in Section 3.

237 **3. Results and discussion**

238 Pull-out tests consist of embedding a fiber in a matrix and then performing a tensile test by
 239 gripping the free tip of the fiber (top) and the matrix (bottom) to pull them apart (Fig.2 right). The
 240 result typically expected for this kind of test is the fiber being pulled out of the matrix without
 241 breaking, meaning that adhesive failure (debonding at the fiber/polymer interface) rather than
 242 cohesive failure (either in the fiber or in the matrix) occurs. The force vs. displacement curves are
 243 used to determine the shear strength at the interface between the fiber and the matrix using the
 244 Kelly-Tyson equation, as suggested by Greszczuk (Eq. (2)) [34]. This section may be divided by
 245 subheadings. It should provide a concise and precise description of the experimental results, their
 246 interpretation as well as the experimental conclusions that can be drawn.

$$247 \tau_{app} = \frac{F_{deb}}{\pi D_f L_{emb}} \quad (2)$$

248 where τ_{app} (MPa) is the apparent interfacial shear strength, F_{deb} (N) is the force required to
 249 debond the fiber from the matrix, $\pi \cdot D_f$ (mm) is the perimeter of the fiber as D_f (mm) is the
 250 diameter, and L_{emb} (mm) is the length of fiber embedded into the matrix.

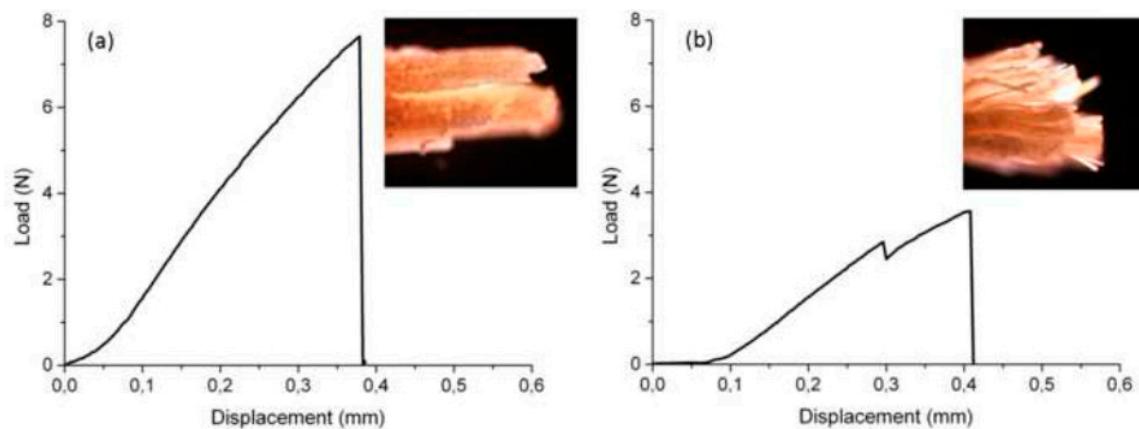
251 Eq. (2) provides a value of interfacial shear strength that is apparent because it depends on
 252 both the embedded length and the diameter of the fiber, which are usually assumed constant. A
 253 number of issues can be seen in the application of Eq. (2) to pull-out tests on vegetable fibers. Major
 254 ones would concern the fact that the section of vegetable fibers is typically non-circular, presenting
 255 at least some ellipticity if not a more complex shape, which may be not accounted for by the
 256 method of diameter measurement. In practice, considering the diameter at five different locations
 257 along the length of the fiber, as described in Section 2.2.2, led to measuring values with a standard
 258 deviation between 0.7 and 7.5% and an average standard deviation of 3.1%. As a whole, the main
 259 concentration of diameter values measured in this work reflected what reported by Rao and Rao
 260 [35], who found that most mechanically extracted bamboo fibers have a diameter between 200 and
 261 350 microns.

262 The experimental approach suggested in this work gives a reliable value for L_{emb} and the
 263 debonding force F_{deb} is measured directly: the consequence of this fact is that the fiber perimeter
 264 πD_f estimated with the assumption of a constant diameter is the parameter in Eq. (2) with the
 265 highest uncertainty. Each fiber is unique and has a shape that varies over its entire embedded
 266 length; thus, estimates of fiber perimeter can be made only indirectly at best, unless the fiber is
 267 imaged while embedded in the matrix for example using X-ray microtomography (μ -CT) [36].

268 At least five possibilities exist for estimating the contact perimeter, and each one of these has
 269 its own advantages and disadvantages. For example, the perimeter of the hole left in the PLA
 270 matrix by the pulled-out bamboo fiber could be estimated by SEM, but this approach lacks
 271 precision and does not account for the fact that the perimeter is not uniform over the entire
 272 embedded length. Another approach would be to cut the fiber before embedding and taking an
 273 image of the cross-section, but cutting obviously introduces damage, which may reduce the fiber

274 strength. Some authors assume a density and estimate the total fiber length to evaluate the
 275 perimeter by weighing a group of fibers [37], whereas others estimate the perimeter by the
 276 Wilhelmy method, which was used in [34]. Finally, assumptions about the fiber cross-sectional
 277 shape can be made, such as the projection of a virtual cross-section whose diameter could be
 278 estimated from optical microscope images at several positions along the fiber length and that would
 279 behave equivalently to the real cross-section. In this work the final choice was made, with an
 280 assumption of a circular equivalent fiber such that the perimeter is πD_f with D_f the equivalent
 281 diameter, obtained as the average of 5 values of apparent diameter measured at different places
 282 along each fiber from a side view by optical microscopy. This approach is expected to give
 283 estimates for the perimeter that are larger than reality, in a way that the final estimates for the
 284 apparent interfacial shear strength are lower than reality (conservative estimate).

285 In Eq. (2), τ_{app} was interpreted as if the fiber was loaded until debonding and then completely
 286 extracted from the matrix. F_{deb} was estimated as the maximum value of the experimental force vs.
 287 displacement curves, whereas L_{emb} was evaluated by measuring the thickness of the PLA thin disk.
 288 Fig. 3 reports some examples of the typical load vs. displacement curves obtained in this work. In
 289 all cases the fiber was completely extracted from the polymer without clearly breaking. Fig. 3 (left
 290 column) shows the ideal outcome for the pull-out tests performed in this work (smooth load vs.
 291 displacement curve until interface failure by fiber/polymer debonding). Results like these are the
 292 most appropriate to calculate F_{deb} and τ_{app} because none of the elementary fibers break during the
 293 test. On the other hand, the curves in Fig. 3 (right column) reveal that some intermediate failure
 294 occurred during the tensile part of the pull-out test. In fact, whenever the test is run on a technical
 295 fiber, the internal interfaces between the elementary fibers may debond at lower loads with respect
 296 to the outer interface, and break the bundle before debonding it from the matrix. Curves like these
 297 cannot be assuredly discarded, but on the other side cannot be used either to determine τ_{app}
 298 according to Eq. (2). In this case the stressed interface is not only the one connecting the outer
 299 surface of the technical fiber to the matrix, but also the one connecting the elementary fibers to each
 300 other within the same bundle. For this reason, even when the experimental curves seemed correct,
 301 the tip of each bamboo fiber extracted from the PLA matrix was observed by optical microscopy
 302 (example pictures on top of left and right columns in Fig. 3) to identify the failure mechanism
 303 associated with the pull-out test and to get a deeper insight in the nature of the constraints
 304 experienced by the fiber.



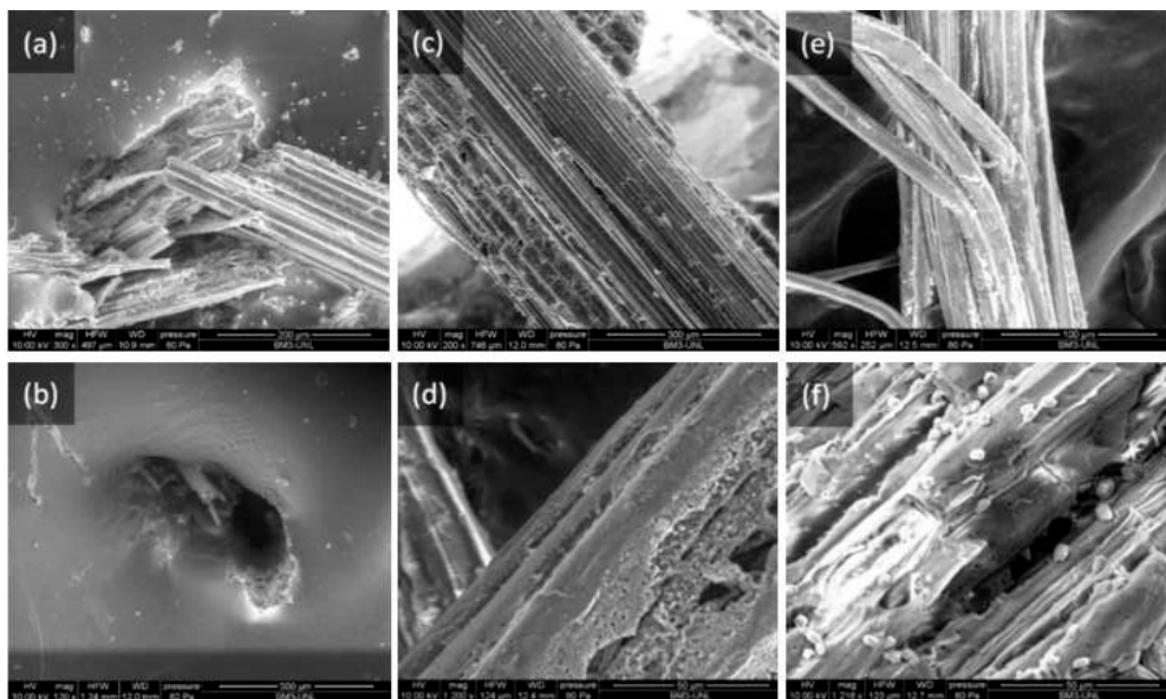
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306 **Figure 3.** Examples of typical load vs. displacement curves obtained by pull-out tests performed on
 307 bamboo fibers embedded in a PLA matrix: (left column) adhesive failure at the bamboo/PLA
 308 interface and (right column) adhesive failure combined with cohesive failure within the bamboo
 309 fiber. The pictures on top of the columns show the typical aspect of the fiber tips after the pull-out
 310 test: (on the left) regular and (on the right) non-regular fiber.

311

312 Regular fibers were defined as those that did not show an obvious fibrillation, i.e., separation of
 313 filaments, when being extracted from the polymer matrix (Fig. 3 on top of left column). Whenever
 314 fibrillation was clearly shown during fiber failure so that the tensile load could not be regarded as
 315 exclusively applied orthogonally to the fiber section, the fibers were defined as non-regular (Fig. 3
 316 on top of right column). SEM images confirmed that successful pull-out tests were most frequently
 317 obtained from regular fibers, where the fiber tip remained aligned with the bulk of it during the
 318 whole loading event. Moreover, when the fiber behavior was non-regular, a load drop was
 319 frequently observed during loading, which can be attributed to the moment when the filaments
 320 started splitting from each other, leading to fibrillation. In general, fibrillation might be considered
 321 to improve fiber-matrix adhesion; as a matter of fact, mechanically induced fibrillation has been
 322 already used on bamboo-PLA composites to make them more suitable to processing techniques,
 323 such as extrusion, pelletizing and subsequent injection molding [38]. However, when fibrillation
 324 occurs, pull-out tests usually overestimate the value obtained for the interfacial shear strength
 325 because mechanical interlocking could contribute to a stronger connection of the technical fiber
 326 (through its elementary fibers) to the polymeric matrix.

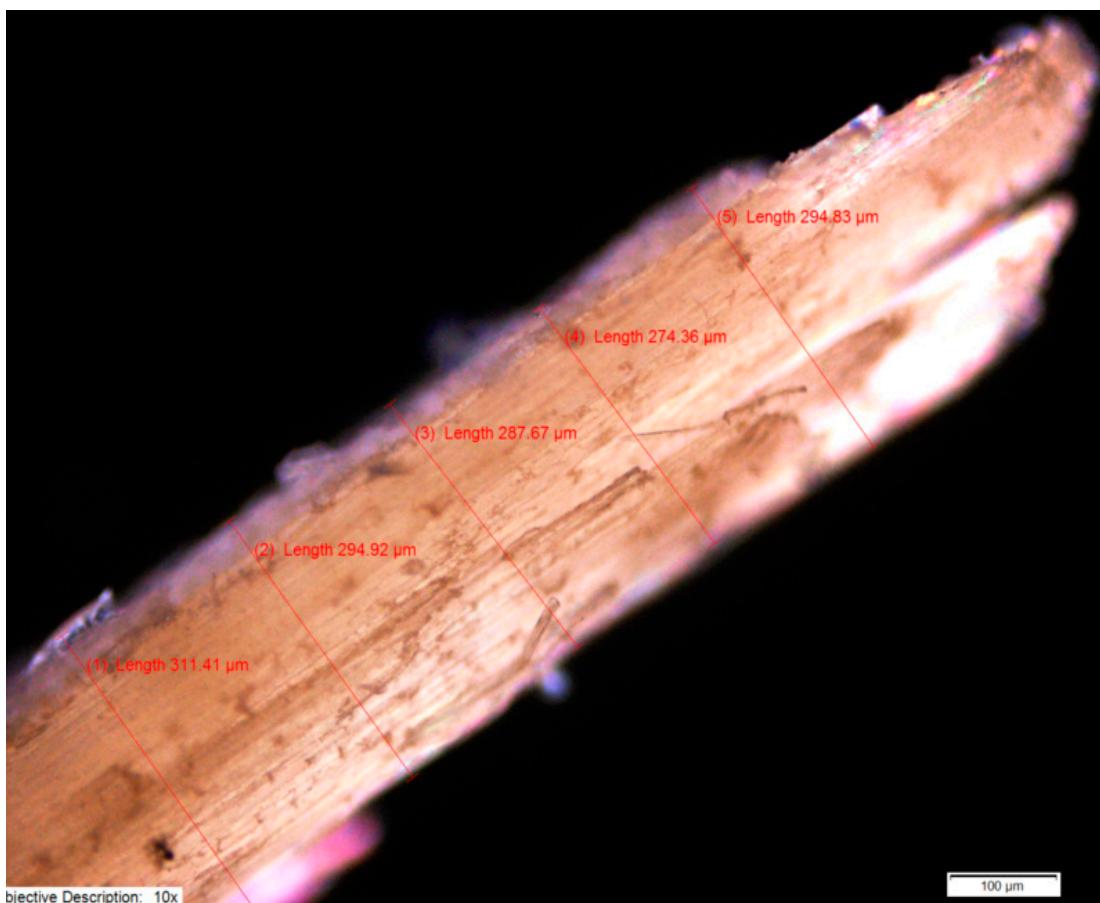
327 Fig. 4(a) shows the failure mode associated with non-regular pull-out-test curves; in this case, the
 328 technical fiber partially broke instead of getting pulled out of the matrix, meaning that the
 329 bamboo/PLA interface was not necessarily stressed to failure. In contrast, Fig. 4(b) shows the hole
 330 left in the matrix by a fiber pulled out during a regular pull-out test; here, only a few elementary
 331 fibers broke, proving that the interface actually stressed by the pulling action was essentially the
 332 one connecting the outer surface of the technical fiber to the polymer. It is worth noticing that the
 333 bamboo fiber shown in Fig. 4(a) has an apparent diameter of about 200 μm , whereas the one shown
 334 in Fig. 4(b) is much thicker (about 500 μm). This is quite typical and indicates that, to avoid
 335 fibrillation, fibers should not only be regularly-sized and possibly defect-free, but also rather thick.
 336 In fact, a balance should be found between the cross-section resisting the uniaxial tensile stress
 337 (which depends exactly on the cross-sectional shape and size of the technical fiber) and the extent of
 338 the outer surface in connection with the matrix (which depends essentially on the equivalent
 339 diameter of the fiber and on the length of it actually embedded in the polymeric matrix, L_{emb}).
 340 Considering only regular samples (12 values out of the 39 tests reported in this work), a value $\tau_{\text{app}} =$
 341 $7.0 \pm 3.1 \text{ MPa}$ was obtained.



342

343 **Figure 4.** SEM images of (a) a technical bamboo fiber that broke (instead of debonding) during the
344 pull-out test (apparent diameter of the fiber ca. 200 μm); (b) the hole left in the PLA matrix by a
345 bamboo fiber successfully pulled-out during the test (diameter of the hole ca. 500 μm); (c) the
346 surface of an untreated bamboo fiber (scale bar 300 μm); (d) the surface of a mercerized bamboo
347 fiber (scale bar 50 μm); (e) the loosening of a technical bamboo fiber (bundle of elementary fibers) as
348 a consequence of mercerization (scale bar 100 μm); (f) residual salts (NaOH) left behind by
349 mercerization in the absence of a proper washing procedure (scale bar 50 μm).

350 Tokoro et al. [20] used short bamboo fiber bundles (diameter 39.2 μm and length 215 μm before
351 fabrication) to obtain PLA composites by injection molding. They performed micro-droplet tests to
352 evaluate τ_{app} according to Eq. (2) and found an average value of about 4.3 MPa (estimating that
353 processing reduced both the diameter and the length to 21.3 μm and 86.3 μm respectively), but no
354 statistical variations were given about these values. A clear comparison is difficult to make, because
355 the samples used for this study have larger D_f (200-480 μm) and L_{emb} (423-790 μm) and the results
356 obtained for regular samples spread over a range going from 2.1 to 13.6 MPa. Fuentes et al. [39]
357 performed fiber pull-out tests on technical bamboo fibers embedded in poly (vinylidene fluoride)
358 (PVDF) and polypropylene (PP). The diameter of the fibers was not given, but their perimeter was
359 estimated by the Wilhelmy equation applied to dynamic contact-angle measurements. They
360 considered different L_{emb} (0.6 to 5 mm) and fit the experimental data with Greszczuk's model [35],
361 finding a value of ultimate interfacial shear strength of about 7.5 MPa for PVDF (polar) and 3.4 MPa
362 for PP (non polar). As a comparison, the value obtained here is quite close to the value obtained for
363 PVDF, which is consistent considering that PLA is a fairly polar polymer. Le Duigou et al. [40]
364 performed microbond tests on flax fibers and PLLA, and reported a value of interfacial shear
365 strength of 15.3 ± 3.3 MPa calculated according to Eq. (2) for fibers having an aspect ratio (L_{emb}/D_f)
366 of 1.3 ± 0.1 . Wong et al. [41] also used Eq. (2) to evaluate the interfacial strength between flax fibers
367 and PLLA. They obtained values in the range 8-17 MPa by pulling out fibers having D_f in the range
368 100-160 μm and L_{emb} in the range 200-500 μm . The comparison of all these values, especially when it
369 comes to D_f , would be even more difficult if the ellipticity (or even higher geometrical complexity)
370 of bamboo fiber sections were, as it should be, taken into account. In fact, this would require the
371 measurement of the exact diameter of the fractured section, an example of which is reported in
372 Figure 5. Such a procedure was not systematically pursued due to the difficulty of getting a section
373 with obvious edges and as flat as possible, and may be the object of dedicated work in the future.

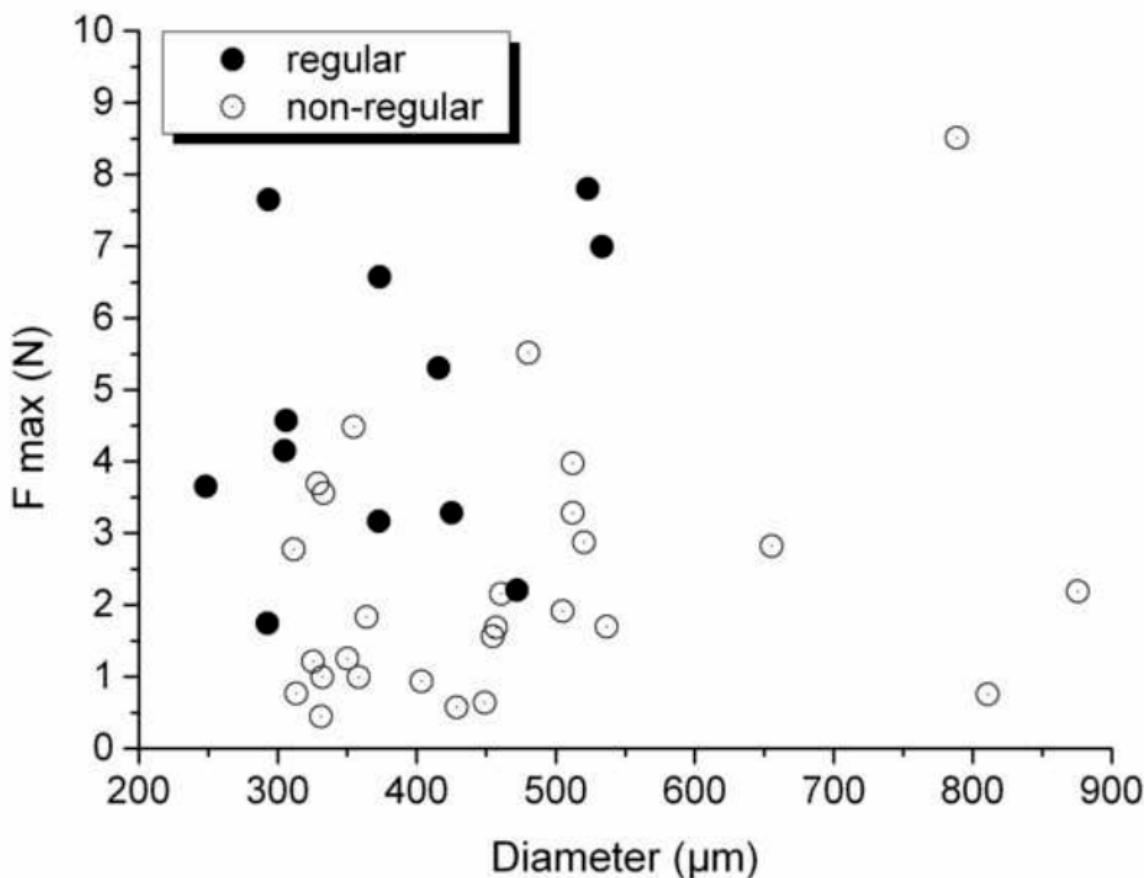


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375

Figure 5. SEM image of fractured section of a bamboo fiber with tentative measurement of diameter.

376 The values of maximum load (F_{max}) recorded during the pull-out tests of untreated bamboo fibers
377 are shown in Fig. 6 as a function of D_f (39 values, 12 regular plus 27 non-regular). Fig. 6 provides an
378 additional criterion for the initial selection of the fibers: they should not only be regularly-sized,
379 defect-free and thick enough to resist the tensile stress applied to pull them out, but on the other
380 side also thin enough to minimize the proportion of internal interfaces (connecting the elementary
381 fibers and the fibrils to each other) with respect to the outer interface (connecting the fiber to the
382 polymer). Thicker technical fibers have more extended outer surfaces, but also many more internal
383 interfaces, which increases the probability of extraction by structural telescoping. The F_{max} values in
384 Fig. 6 are spread over a range of equivalent diameters from about 250 μm to almost 1 mm, but
385 regular tests were obtained only for samples processed with fibers having a diameter less than 500
386 μm , whatever the value of L_{emb} . As a matter of fact, Da Costa *et al.* [42] already observed that, when
387 performing tensile tests on bamboo fibers with the aim of Weibull analysis, thinner fibers showed a
388 more uniform fracture associated with lesser fibrils, while fibers with larger diameters would
389 display a relatively non-uniform fracture with participation of more fibrils. Statistically, the
390 probability that one of the many fibrils of the thicker bamboo fiber would prematurely break and
391 then act as a flaw to cause the fiber rupture at a lower stress is higher, when compared to the
392 thinner fiber. As a consequence, it is more likely that larger diameter fibers have lower interfacial
393 shear strength than the opposite.



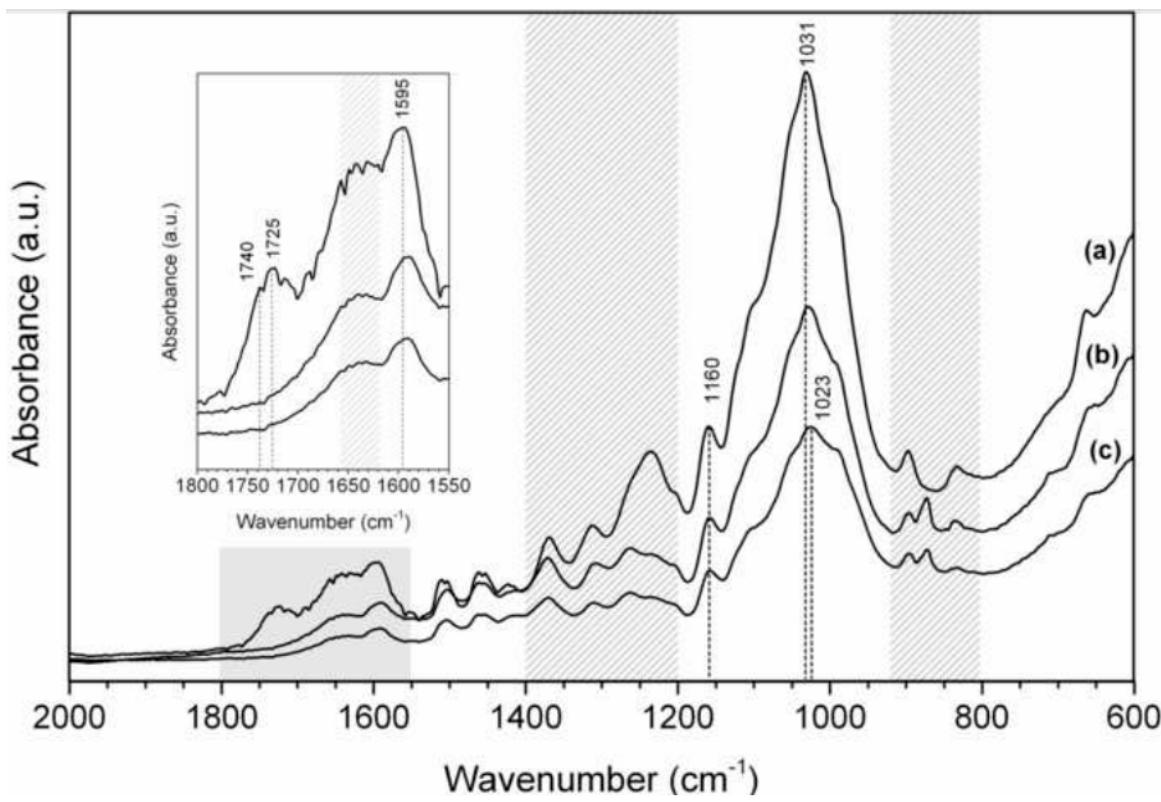
394

395 **Figure 6.** Values of F_{max} (N) recorded by pull-out test on samples made of untreated bamboo
 396 fibers embedded in a PLA matrix. The values are plotted as a function of the fiber equivalent
 397 diameter (μm) for both regular and non-regular samples.

398 Pull-out tests were also performed on mercerized bamboo fibers from the same batch. Fig. 4(c)
 399 shows the surface of an untreated bamboo fiber. Mercerization modified the surface of the fibers
 400 both topographically and chemically. Fig. 4(d) shows that roughness changed and Fig. 4(e) proves
 401 that the removal of the lignin loosened the bundles. The literature reports that NaOH solutions
 402 make the fibers swell by attacking and destroying their non-cellulosic structure [43]. Having the
 403 elementary fibers loosened is good when the objective is dispersing the bamboo fibers within a
 404 matrix to obtain the final green composite; however, in terms of pull-out tests, this condition is
 405 probably the most complicated to interpret. Unless the elementary fibers are completely isolated
 406 from each other, it is hard to quantify the extent of fiber surface actually interacting with the
 407 polymer and efficiently stressed during the pull-out test. In addition, Fig. 4(f) shows that
 408 mercerization, if not followed by a thorough washing procedure, leaves residual salts on the surface
 409 of the fibers, which is a drawback of the treatment.

410 The chemical effects of mercerization were investigated by FTIR spectroscopy. Fig. 7 shows the
 411 FTIR spectra of bamboo fibers: (a) before the treatment, (b) right after the treatment (without
 412 washing) and (c), when the treatment was followed by careful washing and filtering. The
 413 absorption bands were assigned on the basis of the data reported in the literature about different
 414 ligno-cellulosic materials (hemp hurds [44] and fibers [45], wheat straw nanofibers [46], oil palm
 415 fibers [47], bamboo fibers [48], wood [49-50]). In the range between 1800 and 800 cm^{-1} it is possible
 416 to find evidence of the chemical modification of the fibers, mostly consisting of the removal of
 417 lignin and hemicellulose and the purification of crystalline cellulose. The inset zooms in a range
 418 showing a reduction of the bands typically assigned to hemicellulose (1740 cm^{-1}) and lignin (1725 cm^{-1})
 419 and 1595 cm^{-1} , vibrations of the carbonyl groups and of the aromatic rings of phenyl propane,

420 respectively). The hatched area on the inset identifies the absorption bands assigned to the bending
 421 vibration of the hydroxyl groups of water absorbed by holocellulose. The first hatched area on the
 422 main graph includes the stretching vibrations of the hydroxyl groups of water absorbed by
 423 holocellulose, but also the bending and stretching vibrations of CH and CO bonds in the aromatic
 424 rings of crystalline cellulose. The second hatched area contains the out-of-plane stretching
 425 vibrations of CH bonds in the aromatic rings of crystalline cellulose, as well as the stretching
 426 vibrations of CH and COC bonds in the β -(1,4)-glycosidic linkages between the anhydroglucosidic
 427 units. Polymorphism has already been documented for NaOH-treated cellulose [51]. The absorption
 428 band at 1160 cm^{-1} is due to crystalline cellulose (COC asymmetrical stretching). The peak between
 429 1150 and 950 cm^{-1} contains many contributions: it is particularly noteworthy the slight shift of the
 430 main peak from 1031 cm^{-1} (in-plane deformation of CH bonds from lignin) to 1023 cm^{-1} (stretching
 431 vibration of CO bonds in crystalline cellulose), which could be a further sign of successful
 432 mercerization. Additionally, the smoothest FTIR spectra were recorded on the fibers that were
 433 carefully washed and filtered (Fig. 7(c)), which is in agreement with the comment about Fig. 4(f).

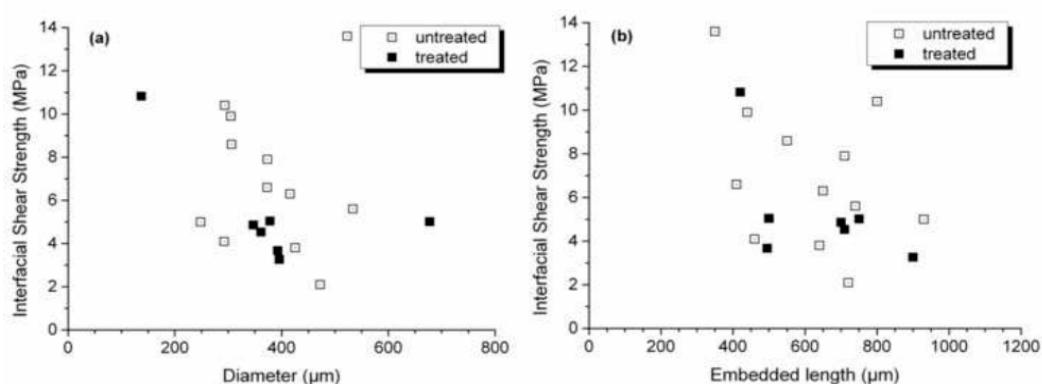


434

435 **Figure 7.** FTIR spectra obtained for (a) bamboo fibers in their pristine state (untreated), (b)
 436 mercerized bamboo fibers (treated unwashed) and (c) mercerized bamboo fibers after washing plus
 437 filtering (treated washed filtered). The inset shows a zoom of the absorption bands from 1800 to
 438 1550 cm^{-1} .

439 A total of 17 pull-out tests were performed on mercerized bamboo fibers; 7 of them were
 440 considered as regular and interpreted, resulting in a value of $\tau_{app} = 5.3 \pm 3.3\text{ MPa}$ (lower than
 441 the value obtained for the untreated fibers). It appears that mercerization does not necessarily
 442 improve the interface between ligno-cellulosic fibers and polymers, even though previous
 443 literature suggests the opposite. An attempt to evaluate the influence of mercerization on the
 444 quality of the interface was made by interpreting the statistics of the two populations of data
 445 via the student's t-test. The test gave a value of $p = 0.22$, meaning that in this case mercerization
 446 has no significant impact on the results (the limit being at 0.05). Scatter plots of the values of
 447 the apparent interfacial shear strength τ_{app} as a function of the equivalent diameter D_e and the
 448 embedded length L_{emb} are provided in Fig. 8 for both untreated and treated bamboo fibers.

449 No obvious mathematical relationships can be pointed out. Fig. 8(a) shows that, except for two
 450 points, the alkali treatment limits data dispersion by restraining the values of both the
 451 apparent diameters (350 to 400 μm) and the obtained apparent interfacial shear strength (3 to 5
 452 MPa) to narrower ranges. As for the embedded length (Fig. 8(b)), the alkali treatment had no
 453 statistical effect because Lemb depends only on sample processing, and the experimental
 454 procedure used in this work was designed with the purpose of controlling it. In conclusion,
 455 definite indications that mercerization is actually improving the interface between bamboo
 456 fibers and PLA matrix were not obtained in this work. The opposite result had been obtained
 457 on traditional oil-based polyester matrices despite the fact that the alkali treatment reduces the
 458 ductility of bamboo fibers [52]. It is proposed that the decreased ductility, together with the
 459 inherent brittleness of PLA, could have led to an early pull-out of the fibers, resulting in a
 460 weaker interface; but this would need to be confirmed in further studies.



461

462 **Figure 8.** Apparent interfacial shear strength (MPa) between PLA and bamboo fibers (both untreated
 463 and mercerized) as a function of (a) equivalent diameter (μm) and (b) embedded length (μm). Only
 464 regular samples are represented.

465 4. Conclusions

466 In this work, a procedure was described to measure the apparent interfacial shear strength
 467 from pull-out tests on both untreated and mercerized bamboo technical fibers from the same batch,
 468 embedded in the same poly(lactic acid) (PLA) matrix. It was found out that to acquire sufficiently
 469 reliable measurements of interfacial shear strength on common batches of natural fibers in use in
 470 composites, an improved control on the length of fiber embedded in the polymer matrix is needed.
 471 The results of the pull-out tests were sorted and classified on the basis of several criteria: the shape
 472 of the load *vs.* displacement curves; the aspect of the fiber tips before and after the pull-out tests; the
 473 hole left in the matrix by the pulled-out fiber. Data selection, treatment and interpretation were
 474 discussed by comparing the results obtained with reference to the occurrence or not of fibrillation
 475 during pull-out. The results showed that ideal bamboo fibers for pull-out tests not only should be
 476 regularly-sized (little or no variation of their cross-sectional shape and size as a function of the fiber
 477 length), defect-free on the outer surface and thick enough to resist the tensile stress without
 478 breaking, but also thin enough to minimize the risk of extraction by structural telescoping and the
 479 occurrence of volume defects (fiber diameter should be in the range 250–500 μm). Mercerization
 480 modified both the surface topography and chemical composition of the fibers, and eventually led to
 481 a finer control over the apparent fiber diameter, but did not improve the stress transfer at the
 482 interface with the polymer ($\tau_{\text{app}} = 7.0 \pm 3.1$ MPa and 5.3 ± 2.4 MPa for untreated and treated bamboo
 483 fibers respectively).

484

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487 **Author Contributions:** For research articles with several authors, a short paragraph specifying their individual
488 contributions must be provided. The following statements should be used "X.X. and Y.Y. conceived and
489 designed the experiments; X.X. performed the experiments; X.X. and Y.Y. analyzed the data; W.W. contributed
490 reagents/materials/analysis tools; Y.Y. wrote the paper." Authorship must be limited to those who have
491 contributed substantially to the work reported.

492 **Conflicts of Interest:** The authors declare no conflict of interest.

493

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