

1 Article

2 Filter selection for optimizing spectral sensitivity of 3 broadband multispectral camera based on maximum 4 linear independence

5 Sui-Xian Li^{1*}, Da-Qi Xu², Li-Yan Zhang³ and Jun Lin²

6 ¹ Binzhou University, Binzhou, Shandong, China, 256600; leesx_72@163.com

7 ² China Centre for Resource Satellite Data and Application, Beijing, China, 100094; xudaqi@spacechina.com

8 ³ College of Resources Environment and Tourism, Capital Normal University, Beijing, China, 100048
9 zhangliyan010@126.com

10 * Correspondence: leesx_72@163.com; Tel.: +86-18600890892

11 **Abstract:** Previous research has shown that the effectiveness of selecting filter set from a large set of
12 commercial broadband filters by vector analyzing method based on maximum linear
13 independence (MLI). However, the traditional MLI is suboptimal due to predefining the first filter
14 of the selected filter set being the maximum ℓ_2 norm among all those of the filters. An exhaustive
15 imaging simulation is conducted to investigate the features of the most competent filter set. In the
16 simulation, every filter in a subset of all the filters is selected serving as the first filter in turn. From
17 the results of the simulation, we found there are remarkable characteristics for the most competent
18 filter set. Besides smaller condition number, the geometric features of the best-performed filter set
19 comprise the distinct peak of the transmittance of the first filter, the generally uniform distributing
20 of the peaks of the transmittance curve of the filters, the substantial overlapping of the
21 transmittance curves with those of the adjacent filter sets. Therefore, the best-performed filter sets
22 can be decided intuitively by simple vector analyzing and just a few experimental verifications.
23 This work would be useful for optimizing spectral sensitivity of broadband multispectral imaging
24 sensors or SFA sensors.

25 **Keywords:** spectral sensitivity optimization; filter selection; multispectral and hyperspectral
26 imaging; absorption filters; imaging simulation; color reproduction; spectral reconstruction.

27 1. Introduction

28 Multispectral imaging refers to imaging with more than three to several tens of narrowband or
29 broadband spectral channels. Since the last nineties, multispectral color imaging that spectrally
30 sampling by broadband absorption filters has been explored in multispectral imaging community
31 [1-6]. Broadband multispectral imaging takes advantage over narrow multispectral imaging in
32 reconstructing smooth spectrums of imaging scene by much less number of spectral measurements,
33 so it significantly reduces the hardware. Moreover, because the broadband techniques preserve
34 much more spectral features such as transmission or absorption peaks than narrow multispectral
35 imaging, broadband multispectral imaging may be more promising to reconstruct spectral
36 information of imaging scenes in principle.

37 There are reports about optimizing the spectral sensitivity of multispectral camera by selecting
38 filters among a larger number of commercial filters [3-10], varying the spectrum distribution of light
39 source a large number of modularly LEDs [11] or properly designing of the response curve of spectral
40 filter array (SFA) sensors [7]. Among them, selecting filter set from a large set of commercial filters is
41 an efficient and cheaper way to set up a multispectral camera [3-10, 12].

42 There are two kinds of algorithms for filter selection. One is filter vector analyzing method
43 (FVAM), which select filter set directly from a large number of filters assuming its performance in
44 spectral reconstruction by minimizing spectrometric and colorimetric errors [3, 7 and 8]. The other is
45 systematic recursion method (SRM), which search an optimal filter set exhaustively among all the
46 combinations of available filter space with some spectrometric and colorimetric indices [4-6, 9]. The

47 SRM is time-consuming because it requires large amount of data acquisition and reconstruction,
 48 while the FVAM is more straightforward due to just requiring some mathematical measurement of
 49 the filter set. Among all FVAMs, maximum linearity independence (MLI) performs better [3]. The
 50 MLI method was used to select spectral training set by Hardeberg for the first time [9], of which the
 51 insight is the transmittances matrix of the selected filter set keeping the minimum condition number.
 52 S.X. Li applied it to select filter for optimizing spectral sensitivity of multispectral camera [10]. It was
 53 verified outperforming other vector analysis methods such as maximizing orthogonality in
 54 transmittance vector space [3, 10]. However, the MLI method is limited by predefining the ℓ_2 norm
 55 of the transmittance vector of the first filter being the maximum among all those of the filters for
 56 being selected [9]. Although the traditional MLI method can assure the highest SNR (signal to noise
 57 rate) for the response of the first channel, it may not be optimal to the overall system. The insufficient
 58 of it will be discovered in this article.

59 To the best of our knowledge, there has been no further investigation of the MLI method in
 60 broadband filter selection. In the following, we will investigate the MLI method by varying the first
 61 filter through simulation of spectral imaging. From the best-performed filter set, the generalized
 62 characteristics of the most competent filter set is abstracted expectedly, which would help to
 63 optimizing the spectral sensitivity of broadband multispectral camera by FVAM simply.

64 The article is arranged as follows. We introduce MLI filter selection methods in section 2. Then
 65 experimental simulation was conducted in section 3. We abstract the features of the best-performed
 66 filter set from the results of the experimental simulation in section 4. We discuss the generalization of
 67 the results of the article in section 5. The conclusion is drawn in section 6.

68 2. MLI filter selection method

69 Contrasting to the SRM, the FVAM can be conducted by merely analyzing the features of filter
 70 transmittance vectors without considering specific linear model ¹ of multispectral camera, which
 71 contains all the factors affecting the response of the camera sensor. The FVAM works well only if
 72 some mathematical measurements for spectral transmittances of corresponding filter set is
 73 competent for color reproduction or spectral reconstruction precisely, regardless of other camera
 74 parameters.^{1,7,8}

75 In Ref. 1 and Ref. 8, several types of filters are considered to optimize the spectral sensitivity of
 76 multispectral camera by comparing their performances, including narrowband filters pairs of
 77 isolated Gaussian curve shape VS overlapped Gaussian curve shape, and both of broadband and
 78 narrowband filters selected by different selection methods of the MLI VS the *Maximum Orthogonality*
 79 *Method* (MOM). The results show that the overlapped filter set and filter set selected by MLI perform
 80 better. With same number of channels, broadband commercial available absorption filter sets
 81 selected by MLI performs better than the interference narrow ones. From the previous researches,
 82 we have shown that the broadband multispectral camera is more effective than narrowband ones
 83 with same number of spectral channels, and that transferring application of MLI from training set
 84 selection to filter selection has a great potential to further exploration.^{1,8,10}

85 The original proposal of MLI used in multispectral color imaging is relevant to training set
 86 selection, seeking minimum numbers spectral samples in a large set of collection for the most
 87 representative subset.⁹ From the perspective of linear algebra, that means the sample subset selected
 88 spans as uniformly as possible in the overall spectral space. Multispectral camera model is this:

$$89 \quad [\text{diag}(\mathbf{L}) \cdot \text{diag}(\mathbf{S}) \cdot \mathbf{T}]^T \mathbf{R} = \mathbf{C} \quad (1)$$

90 where the $\text{diag}(\cdot)$ denotes a diagonal matrix with elements of corresponding vector, and $[\cdot]^T$ denotes
 91 transpose of a matrix;

$$92 \quad \mathbf{L} = [l_1 \ \cdots \ l_i \ \cdots \ l_n]^T \quad (2)$$

93 is a column vector of light source spectral distribution with each elements corresponding to a
 94 wavelength sample;

$$95 \quad \mathbf{S} = [s_1 \ \cdots \ s_i \ \cdots \ s_n]^T \quad (3)$$

96 is a column vector of spectral sensitivity of imaging sensor;

$$\mathbf{T} = [\mathbf{T}_1 \ \dots \ \mathbf{T}_i \ \dots \ \mathbf{T}_m] = \begin{bmatrix} t_{11} & \dots & t_{1j} & \dots & t_{1m} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ t_{i1} & \dots & t_{ij} & \dots & t_{im} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ t_{n1} & \dots & t_{nj} & \dots & t_{nm} \end{bmatrix} \quad (4)$$

98 is a matrix of spectral distribution of filter transmittances with m spectral sensing channels, each
 99 column of which is a transmittance vector of a corresponding filter corresponding with n
 100 wavelength sample; \mathbf{R} and \mathbf{C} is column vector of reflectance and response of camera sensor
 101 respectively. Let

$$\mathbf{\Phi} = [\text{diag}(\mathbf{L}) \cdot \text{diag}(\mathbf{S}) \cdot \mathbf{T}]^T, \quad (5)$$

103 we can see the camera model describes a linear transform from Eq.1 to Eq.5.

104 From the above model, $\mathbf{\Phi}$ should be an orthogonal matrix if the \mathbf{R} can be precisely
 105 reconstructed. However, we wonder if MLI method could be an effective method for filter selection
 106 due to its independence to other camera parameters. If for sure, it can be helpful for setting up an
 107 optimized multispectral imaging camera.

108 The algorithm to select broadband filters by MLI is listed in Figure 1. The aim of the algorithm
 109 is to select filter sets which transmittances matrix has the minimum condition number. From Figure
 110 1, we can see that the first selected filter is supposed to be the one which corresponding vector has
 111 the maximum ℓ_2 norm; it would lead to the highest signal to noise rate in the first channel as
 112 expected. However, there exists that the next selected filters may contain the filter with highest value
 113 of ℓ_2 norm possibly if the first filter is not the maximum ℓ_2 norm, that is to say, a highest signal to
 114 noise needed not to be gained by the first channel of the broadband multispectral camera logically.
 115 That will be verified by the following experimental simulation in section 3, where we will show that
 116 the best-performed filter set is often not the first filter with maximum ℓ_2 norm.

117

Task: Find filter set of M channels with minimum condition number among K (a large number) transmittances

Step 0: Collect transmittance vector sets (\mathfrak{X}) which comprise K transmittances;

Step 1: $S=1$, select transmittance vector \mathbf{T}_1 which ℓ_2 norm is maximum value from \mathfrak{X} ;

Step 2: $S=2$, select transmittance vector \mathbf{T}_2 from rest vectors in \mathfrak{X} which satisfies transmittance matrix, $[\mathbf{T}_1, \mathbf{T}_2]$, has minimum condition number;

...

Step M: $S=M$, select transmittance vector \mathbf{T}_m from rest vectors in \mathfrak{X} which satisfies transmittance matrix, $[\mathbf{T}_1, \mathbf{T}_2, \dots, \mathbf{T}_m]$, has minimum condition number.

118

119

Figure 1. Algorithm for select broadband filters by Maximum Linearity Independence method (MLI).

120 3. Experimental simulation

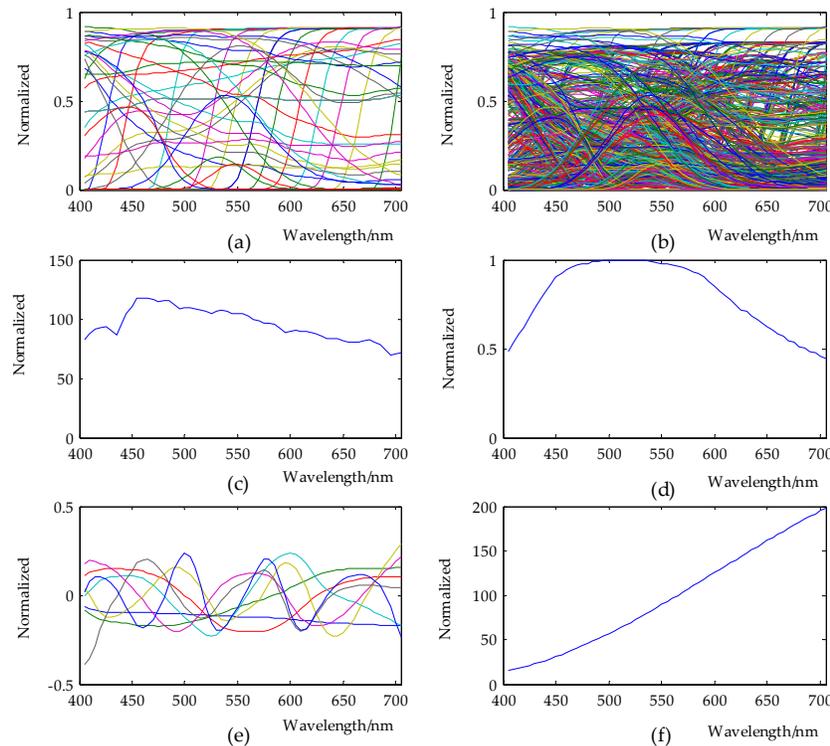
121 3.1. Datasets

122 In the next imaging simulation, datasets comprise transmittances of filter sets, spectral
 123 sensitivity of camera, illuminant and spectral cubic images. The filter datasets are obtained from
 124 datasheet of color filter glass of Hoya Group [12], which has 45 single filter transmittances (see
 125 Figure 2a). The physical constraints of the thickness and the transmittance of a filter must be
 126 concerned, because it would not be practical due to the thickness and transmitted energy if the
 127 number of the filters that stack up to form a filter combination is larger than 2. Therefore, let K
 128 denotes the number of transmittances that can be selected from the collection, which comprise single
 129 filters and combinations of two filters; and N denotes the number of original transmittance, then

$$K = N + \binom{N}{2} = 45 + \frac{45!}{2!(45-2)!} = 1035. \quad (6)$$

131 The 1035 transmittances are illustrated in Figure 2b. The CIE standard illuminant D65 and
 132 camera sensitivity of Basler 302f are displayed in Figure 2c/d. The spectral data cube with

133 320*582=186240 voxels (the axis of "Voxels" are the position coordinates for x,y and the spectral
 134 coordinate for reflectance) ,which is formed by 24 reflectance spectrums derived from spectral
 135 measurements of 24 patches of Macbeth Color Checker; each patch of which comprises 80*97
 136 voxels(see Figure 2g for its 2D display). All the spectral data contain 61 spectral measurements
 137 evenly sampled in wavelength range from 400-700 nm.



138

139

140 **Figure 2.** Visualization of data sets used for simulation. (a) Transmittances of 45 single filters. (b) All
 141 1035 transmittances of single filters and two single filter combinations (c) Spectral distribution of
 142 CIE standard illuminant D65. (d) Camera sensitivity of Basler 302f camera sensor.(e) The first
 143 eigenvectors of spectral data of Macbeth ColorChecker.(f) Spectral distribution of CIE standard
 144 illuminant A. (g) Color display of spectral data cubic of Macbeth Color Checker.

145 3.2. Experimental simulation

146 Taking each of the 45 single filters for the first filter of every desired series of selected filter set,
 147 other filters of the intended filter set are selected from the rest of 1034 filter transmittances according
 148 to algorithm listed in Figure 1; The corresponding condition numbers of the selected filter sets
 149 are computed at the same time. In the following, the word 'No. of filter' is defined as the serial
 150 number of the single 45 filters, and the 'No. of filter set series' means a filter set series containing 4
 151 to 8 filter channels of which the first filter is form the sequential 45 filters.

152 For every selected filter sets, imaging simulation below comprises three steps. Firstly, we
 153 compute the camera response image ($C_{Response}$) of the original reflectance image ($R_{Original}$) by
 154 adding Gaussian noise ($N_{Gaussian}$) according to Eq.7:

$$155 \quad C_{Response} = ([diag(L)diag(S)T]^T R_{Original})^T + N_{Gaussian} \quad (7)$$

156 Secondly, reconstructed spectral image ($R_{Reconstruct}$) is computed according to Eq.8:

$$157 \quad R_{Reconstruct} = \psi_0 pinv([diag(L)diag(S)T]^T R_{Original})^T \psi_0 C_{Response} \quad (8)$$

158 Where $pinv(\cdot)$ denotes pseudoinverse of a matrix, and ψ_0 is a matrix which columns are the first m
 159 eigenvectors of the spectral reflectance of Macbeth ColorChecker (m is the number of channels of
 160 multispectral camera). More generally speaking, ψ_0 represents the priori information of the
 161 reflectance of the imaging scene. Maloney and Wandell used the reconstruction algorithm for the
 162 first time, into which the insight is making use of linear approximation for the reflectance by the
 163 basis vectors of the priori spectral training set [13, 14]. Finally, we evaluate the performance of
 164 spectral reconstruction relevant to the selected filter sets.

165 As we know, a single index is not capable of evaluating both the performance of spectral
 166 reconstruction and color reproduction in multispectral imaging. Therefore, several indices, such as
 167 PSNR, GFC, CIEDE2000 and MSE often employed in multispectral community,^{2, 15-17} are adopted to
 168 appraise the performances of the selected filter sets. Considering the relatively excellent results in
 169 the following simulation computed by the expression of GFC used in literatures [16, 17] are almost
 170 equal to 1, that is to say, losing its discrimination, the embedded Matlab function of *GoodnessOfFit* are
 171 adopted to compute GFC. The formula is as follows:

$$172 \quad GFC_{NMSE} = 1 - \frac{\|R - \hat{R}\|}{\|R - mean(\hat{R})\|} \quad (9)$$

173 where the cost function is normalized root mean square error (NMSE) of the estimated (R) and the
 174 reference vector (\hat{R}). The NMSE costs vary between $-Inf$. (bad fit) to 1(perfect fit). Generally, the
 175 higher of PSNR, the more of GFC close to 1, the more of CIEDE2000 and MSE are approach to 0,
 176 the performance of corresponding filter sets is more optimal. Note that the GFCs in this article are
 177 calculated by *Matlab* function *goodnessOfFit* (RMSE) [18].

178 In the simulation, we adopt five channel numbers of multispectral camera; the numbers of
 179 spectral channels are 4, 5, 6, 7 and 8 respectively. The level of additive Gaussian noise denoted by
 180 SNR (signal to noise rate) is varied by the ten noise levels in DBs, where $SNR \in [\infty, 50, 47, 43, 40, 37,$
 181 $33, 30, 27, 23]$. The relationship of SNR and the noise variance is defined by

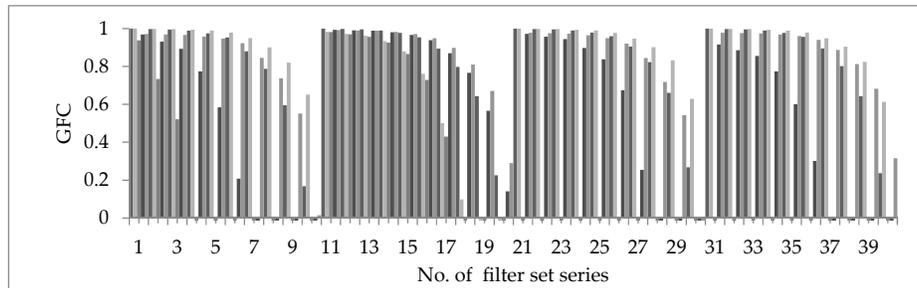
$$182 \quad \sigma = 10^{-\frac{SNR}{10}} \quad (10)$$

183 The noise is added by the *imnoise* Matlab function to the response image $C_{Response}$ described in
 184 Eq.7.

185 4. Results and analysis

186 4.1 Data reduction

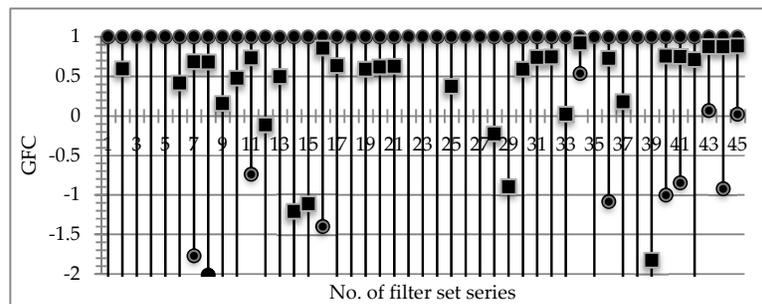
187 From the simulation, we acquired 2250 groups of results for investigation. Each of them is
 188 relevant to a number of channels and a noise levels, and contains four evaluation indices that are
 189 PNSR, GFC, CIEDE2000 and MSE. From the results, the performances of the filter sets are generally
 190 consistent with each other in that the higher SNR of noise level and the channel number are the
 191 better performance of the filter set series is. We can take the GFCs of the first four filter sets for
 192 example (see Figure 3). From Figure 3, we can see the performances of the filter sets with different
 193 series numbers differ from each other distinctly, and the general trends of performance when
 194 varying the number of channels and noise levels. However, we can hardly recognize the specific
 195 best-performed filter set among so much of items. It is necessary to find a measurement to evaluate
 196 the performance of the filter series quantitatively.



197

198 **Figure 3.** The GFCs of the first four filter series (only positive values are displayed for clarity), where
 199 each of the four groups of stems presents the performance of the corresponding filter sets under ten
 200 noise levels and four number of channels. From the left to the right of each group, ten clusters of
 201 stems illustrate the GFCs in terms of different noise levels in descending order; each of the clusters
 202 contains five numbers of the channels of multispectral camera. The numbers of channels are 8,7,6,5
 203 and 4 from the left to the right.

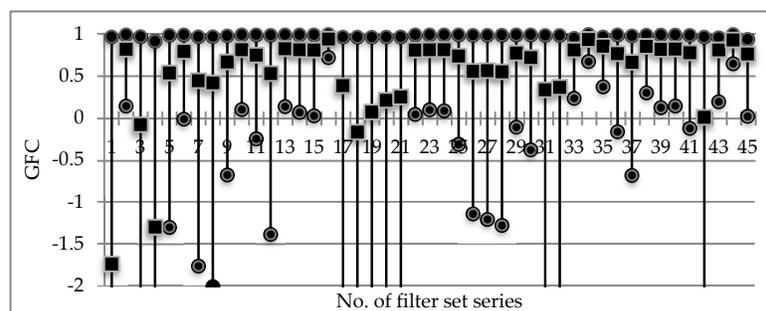
204 We have computed the mean, maximum and minimum of all the 50 datasets relevant to each
 205 one filter set series. Fig.4 shows the overall performances of the 45 series of filter sets in terms of
 206 GFC, where the upper round marker denotes the maximum value; the middle square denotes the mean;
 207 and the lower round denotes the minimum (some of the minimums with negative value less
 208 than -2 are not displayed). We can see which series of filter sets performance better intuitively. So
 209 does the filter sets with specific channels, as we can see that in Fig. 5. Those in terms of other
 210 evaluation indices have similar characteristics, which are omitted here for clarity.



211

212

Figure 4. Overall performances of the 45 series of filter sets in terms of GFC.



213

214

Figure 5. Overall performances of the 45 series of filter sets for 6 channels in terms of GFC.

215 As we have known, we cannot tell which filter set performs better by only one index. However,
 216 if a filter set has the maximum frequency out of the best-performance filter sets collection in terms of
 217 different indices, we can reasonably conclude that the filter set is the best one. Therefore, we collect
 218 the top best-performed filter sets (for 20% in this article) in terms of the entire four indices to find the
 219 largest frequency of a filter set getting involved.

220 4.2 Data sorting and the best-performed selection with overall channels

221

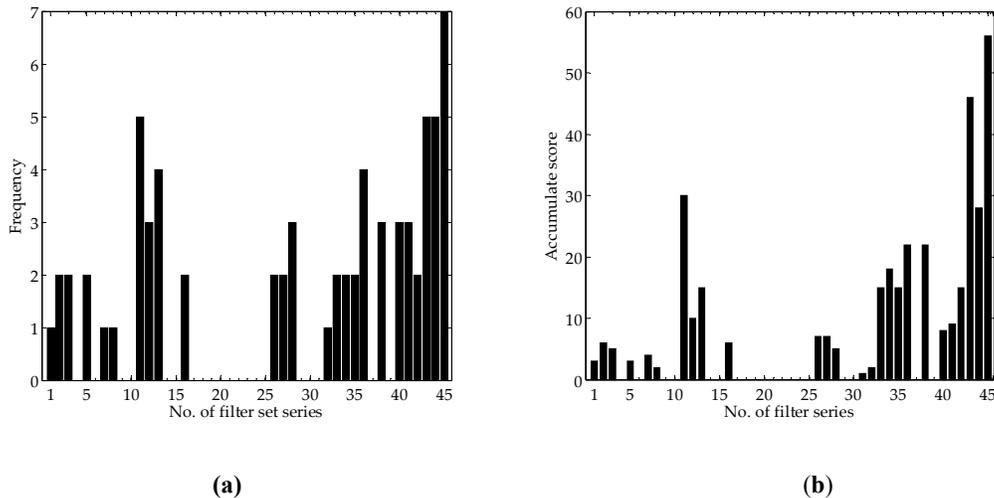
Table.1 Statistics of the top 20% best-performed filter set series.

PSNR				GFC			
No.	H-mean	No.	H-min	No.	H-mean	No.	H-min
45	37.68	45	25.10	34	0.9215	34	0.5347
44	35.87	43	21.73	45	0.8829	43	0.0649
43	35.74	11	20.54	43	0.8728	45	0.0193
11	35.55	36	20.22	44	0.8722	11	-0.7395
36	34.78	2	18.56	16	0.8536	41	-0.8475
12	34.75	7	18.04	40	0.7544	44	-0.9224
13	34.49	13	18.00	41	0.7484	40	-1.0018
28	34.34	8	17.95	32	0.7437	36	-1.0851
40	33.83	41	17.87	31	0.7364	16	-1.3993
MSE				CIEDE2000			
Series No.	L-mean	Series No.	L-min	Series No.	L-mean	Series No.	L-min
45	2.20E-03	33	5.68E-05	45	4.67	42	0.09
43	4.43E-03	38	6.27E-05	43	5.13	35	0.10
11	5.06E-03	35	6.33E-05	38	6.88	38	0.11
36	6.14E-03	42	6.33E-05	13	6.94	33	0.13
44	7.84E-03	45	6.40E-05	44	6.98	26	0.14
12	8.22E-03	3	6.41E-05	11	7.04	27	0.14
13	8.69E-03	27	6.48E-05	36	7.15	1	0.14
28	9.66E-03	26	6.49E-05	12	7.67	5	0.15
2	1.08E-02	5	6.52E-05	28	7.77	3	0.15

222 Table 1 list the results of the top 20% best-performed filter sets in terms of PSNR, GFC,
223 MSE,CIEDE2000, where the data of PSNR and GFC are sorted in descend way, and those of MSE
224 and CIEDE2000 are sorted in ascend way. All the data are derived from their corresponding
225 averages of each index, which include those of all the 10 noise levels and all the channel numbers of
226 the filter set series. In table 1, the No. denotes the No. of filter set series; H-mean denotes descend
227 order of the means; H-min denotes descend order of the minimums; L-mean denotes ascend order
228 of the means and L-min denotes ascend order of the minimums. From table 1, we can see the order
229 of the sorted data relevant to each specific filter set series is not consistent; however, there are some
230 appearing frequently. For convenient, we count the frequency of the each emerged filter set series,
231 the results are demonstrated in Figure 6 (a).From Figure 6 (a),we can see the No.45 have the most
232 frequency in the nine best-performed results, and the No.11,43,44 rank the second place. Moreover,
233 we can see from table 1 and Fig. 6 (a) that the No.2 filter set series in which the transmittance vector
234 of the first filter has the maximum ℓ_2 norm is not the best-performed filter set series.

235 Another method to decide explicitly which filter set being more optimal is score ranking
236 method. The score ranking method is designed like this: Let the first filter series in table 1 having the
237 highest score of 9, the second having 8 and so on, then we can get the cumulated scores of the filter
238 sets series. The results are figured in Fig. 6 (b).From Fig. 6(b), we can see that the above the No.11,43
239 and 44, which are ranked in the same second place in Fig.6(a) , can be discriminated numerically.

240 Especially, if there is not just one filter set series sharing a same maximum frequency, the score
241 ranking method may be irreplaceable.



242

243

244 **Figure.6** Statistics of the best-performed filter set series. (a) Frequency ; (b) Accumulative scores.

245 4.3 Best-performed selection with single channel number

246 We have computed statistics of the top 20% best-performed filter sets with 4-8 channels respectively
247 in terms of PSNR, GFC, MSE, and CIEDE2000. All the data are derived from their corresponding
248 averages of each index, which comprise those with all the 10 noise levels. In this section, we give
249 the performances of filter sets in terms of different channel numbers. The data are processed by
250 score ranking method in the same way as in section 4.2, and the results together with those of the
251 overall channels in section 4.2 are listed in table 2, where the best-performed filter set series and the
252 highest cumulative scores are printed in bold.

253 **Table 2.** Accumulative scores of the nine best-performed filter sets or series with D65.

No.	scores	No.	scores	No.	scores	No.	scores	No.	scores	No.	scores
4 channels		5 channels		6 channels		7 channels		8 channels		all channels	
45	43	38	48	44	57	44	50	45	57	45	56
43	42	16	42	45	41	35	47	34	31	43	46
38	33	44	39	11	37	38	38	12	31	11	30
16	31	45	31	16	36	45	32	28	29	44	28
36	30	13	30	40	30	43	27	33	28	38	22
13	27	35	20	43	27	34	22	43	28	36	22
29	23	34	18	42	21	33	18	13	24	34	18
35	23	40	14	33	19	25	16	27	23	42	15
44	16	43	13	34	16	27	14	42	15	35	15

254 4.4 Comparison with the performance of best selection and the past selection

255 We have computed the ℓ_2 norms for the transmittance vectors of the 45 filters, and revealed
256 that that of the No.2 filter was the maximum. From table 2, we can see the No.44 and 45 filter set
257 series have same maximum accumulative scores (57). But the No. 44 filter set series has the
258 maximum accumulative scores and a smaller number of channels (6 channels) comparing with those

259 of the No.45 filter set series (8 channels). Therefore, we display the results of the No.44 and No.2
 260 filter set series with 6 channels in table 3 for comparison. From table 3, we can see the performance of
 261 the No.44 is greatly improved comparing to the No.2 .The same conclusion can also be made from
 262 table 2, in which all the filter set series listed outperform the No.2 filter set series.

263 **Table 3.** Performance of the best-performed filter set and the past selection one for 6 channels.

Indices	PSNR		GFC		MSE		DE2000	
No.	44	2	44	2	44	2	44	2
Average	38.434	32.11	0.9318	0.1617	2.39E-03	1.72E-02	4.13	10.494

264 4.5 Presentation of the best performed MLI filter sets with different noise

265 From table 2, we can see the best filter set selected by MLI, however the specific performance of
 266 those filter sets at each noise level may be meaningful to practical application. Table 4 lists the results
 267 of performance for the filter sets. From table 4, we can see the performance of each best filter set at
 268 specific noise level, which can be a quantitative reference for practical applications.

269 **Table.4** Performances of the best filter sets.

Noise /DB	∞	50	40	37	33	30	27	23
N0.45/4 channels								
PSNR/DB	42.33	41.36	38.95	37.78	35.76	33.82	31.68	28.50
GFC	0.9835	0.9823	0.9704	0.9587	0.9245	0.8689	0.7778	0.5422
MSE	6.07E-04	6.17E-04	7.11E-04	8.19E-04	1.13E-03	1.64E-03	2.64E-03	5.47E-03
DE2000	1.09	1.46	2.83	3.72	5.48	7.29	9.73	13.61
N0.38/5 channels								
PSNR/DB	43.46	42.41	39.13	37.35	34.40	31.96	29.29	25.63
GFC	0.9900	0.9880	0.9707	0.9509	0.8998	0.8159	0.6679	0.3004
MSE	3.51E-04	3.74E-04	5.85E-04	8.02E-04	1.49E-03	2.60E-03	4.82E-03	1.11E-02
DE2000	0.49	0.96	2.46	3.35	5.15	6.92	9.18	13.22
No.44/6channels								
PSNR/DB	49.40	45.72	40.56	38.33	35.12	32.46	29.69	26.11
GFC	0.9994	0.9984	0.9897	0.9799	0.9522	0.9139	0.8371	0.6556
MSE	2.54E-04	2.80E-04	5.07E-04	7.69E-04	1.52E-03	2.77E-03	5.19E-03	1.19E-02
DE2000	0.70	1.05	2.30	3.07	4.64	6.22	8.38	11.94
No.44/7channels								
PSNR/DB	50.38	46.88	40.92	38.45	34.89	32.11	29.29	25.56
GFC	0.9993	0.9984	0.9896	0.9783	0.9500	0.9071	0.8437	0.6634
MSE	1.22E-04	1.52E-04	4.22E-04	7.21E-04	1.64E-03	3.10E-03	5.93E-03	1.40E-02
DE2000	0.42	0.81	2.06	2.77	4.27	5.75	7.67	11.02
No.45/8channels								
PSNR/DB	52.92	47.91	40.94	38.32	34.52	31.69	28.85	25.10
GFC	0.9996	0.9982	0.9857	0.9724	0.9331	0.8732	0.7764	0.5173
MSE	6.40E-05	9.35E-05	3.56E-04	6.48E-04	1.54E-03	2.96E-03	5.73E-03	1.38E-02
DE2000	0.25	0.89	2.47	3.41	5.22	7.02	9.43	13.29

270 4.6 Characteristics of the best-performed MLI filter sets

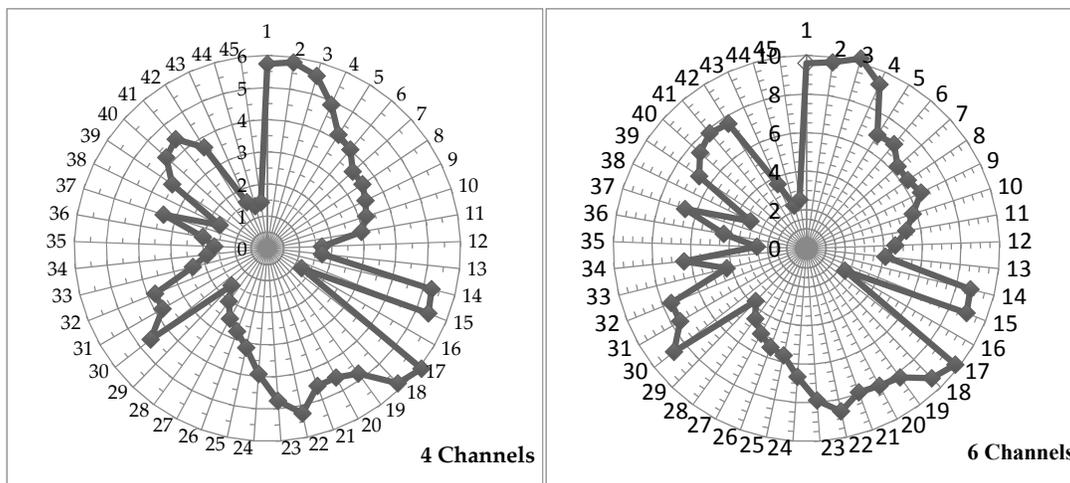
271 The condition numbers of the best-performed filter set series and those of No.2 series are listed
 272 in table 5, where the figures printed in bold are condition number of the filter sets with the
 273 best-performed channels. The condition numbers of the entire filter set series are displayed in Fig.7
 274 by radar charts.

275 From table 5, the condition numbers of the best filter sets is less than 4, although the condition
 276 numbers are not always the minimum for the best-performed filter set. From Fig7, we can see the
 277 condition numbers listed in table 5 are one of the several smallest among all the condition numbers.
 278 Therefore, it indicates that minimizing the condition number of a filter set is an essential
 279 precondition for optimizing the sensitivity of broadband camera, and the best-performed filter set
 280 must be the one with a smaller condition number than most of the condition numbers of the others.

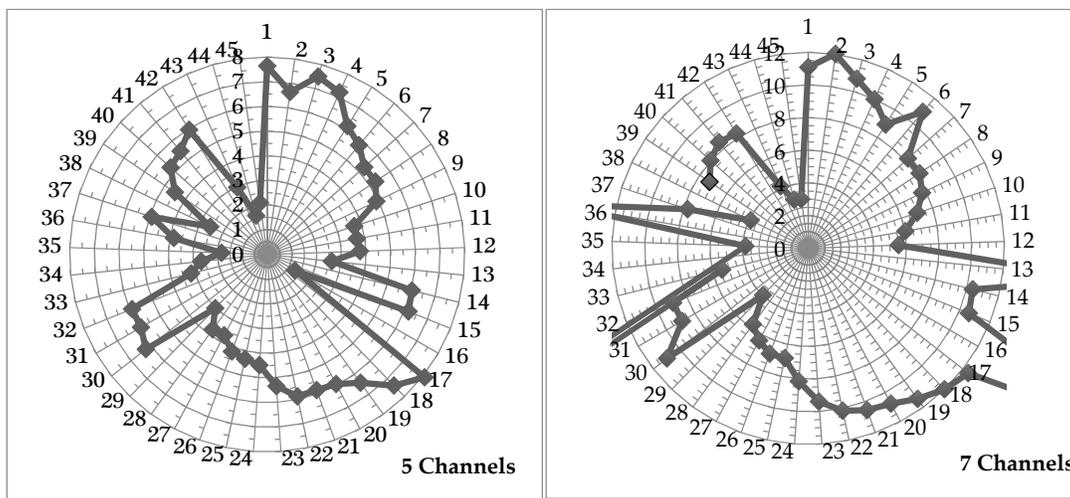
281 **Table 5.** Condition numbers of the best-performed filter set and the conventional selection.

Channels	No.45	No.38	No.44	No.2
4	1.45	1.65	1.37	5.84
5	2.11	2.56	1.63	6.65
6	2.55	3.21	2.31	9.74
7	3.04	3.91	3.10	12.01
8	3.62	92.35	20.34	13.99

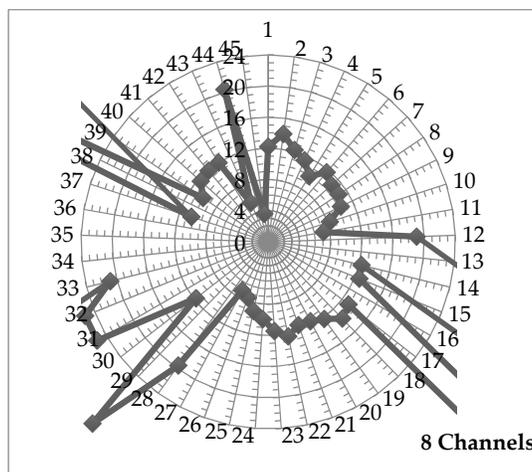
282



283

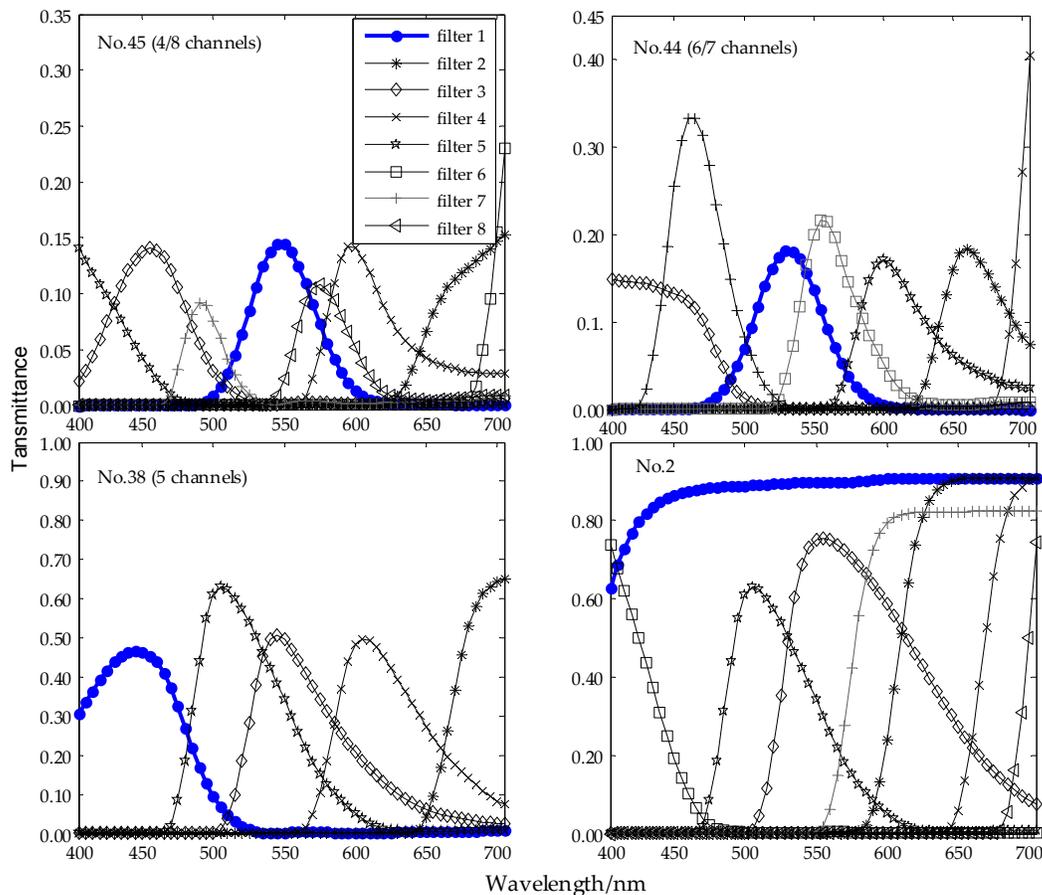


284



285 **Figure 7.** The condition numbers versus the corresponding filter sets and channel numbers.
 286 The radial coordinates denote condition numbers and the angular coordinates denote the
 287 No. of the filter sets, therefore the square markers denote the position of the corresponding
 288 filter sets. In the radar charts for 7 and 8 channels, some of the condition numbers cannot
 289 be seen because they are too large to be displayed and we are more concern with the
 290 smaller ones.

291 For further investigation, the transmittances of the best-performed filter sets in table 4 are
 292 graphed in Fig. 8, where the first filter of the filter sets is graphed in bold line with round markers.
 293 Comparing to No. 2, we can see that the first filter of the best set has a distinct transmittance peak
 294 and the peaks of the sequential filters distribute almost evenly in the wavelength range. Comparing
 295 No.38 and No.45 or N.44 when the No. of channels equals 5, we can see that the best filter set (No.38)
 296 has higher transmittances and more overlaps than the first five channels of No.44 or No.45. Similarly,
 297 we can see the consistent geometric distribution of the first 4 filters of best performed No.45 filter set
 298 versus that of the No.44. Especially we can see there is a large notch in wavelength from 580nm to
 299 630nm or so, which may be the cause of No.44 has worse performance than No.45 at the channels
 300 number being equal to 4.



301

302 **Figure 8.** Transmittances of the best-performed filter sets (from No.45, 44 and 38) and the filter set
 303 series with maximum ℓ_2 norm first filter (No.2).

304 5. Discussion

305 5.1 General applicability of the MLI method with varying imaging parameters

306 We have revealed the characteristics of the best-performed filter sets from above simulation;
 307 however, we want to know its general applicability when changing the camera parameters,
 308 especially varying the illuminant. Among the parameters related in section 2, the imaging
 309 illuminant is varying easily under real condition, for example, lighting uncontrollable outdoor
 310 imaging. Therefore, we make another simulation with the CIE standard A illuminant graphed in
 311 Fig.2f. The results correspond to table 2 are listed in table 6, which display the accumulative scores

312 of the first four best-performed filter sets or series with A illuminant; and the performance of the
 313 best-performed filters in A illuminant are listed in table 7.

314 **Table 6.** Accumulative scores of the four best-performed filter sets or series with A illuminant.

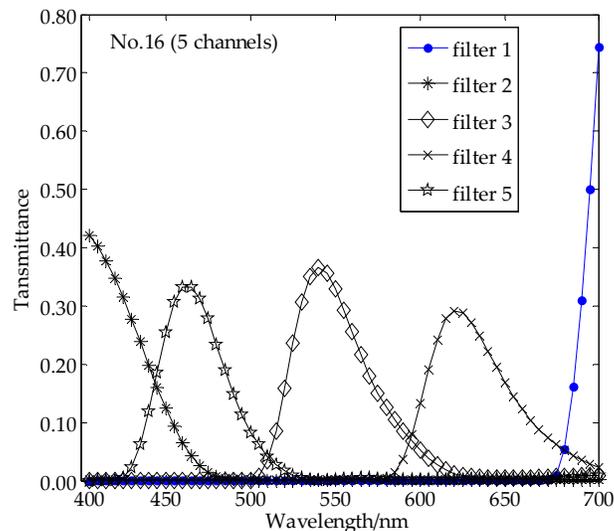
No.	scores								
4 channels		5 channels		6 channels		7 channels		8 channels	
45	43	16	50	44	52	44	50	45	57
43	40	38	42	45	43	35	46	34	31
16	36	45	39	16	38	38	37	12	31
38	33	44	37	34	31	45	31	28	29

Table.7 Performances with A illuminant of the best filter sets.

Noise /DB	∞	50	40	37	33	30	27	23
No.45/4 channels								
PSNR/DB	41.97	40.97	38.48	37.16	35.07	33.09	30.96	27.67
GFC	0.9867	0.9855	0.9737	0.9612	0.9216	0.8731	0.7819	0.5020
MSE	6.63E-04	6.76E-04	7.94E-04	9.30E-04	1.32E-03	1.97E-03	3.21E-03	6.81E-03
DE2000	0.65	1.02	2.42	3.28	4.52	5.68	7.00	8.85
No.16/5 channels								
PSNR/DB	43.46	42.41	39.13	37.35	34.4	31.96	29.29	25.63
GFC	0.9989	0.9981	0.9905	0.9824	0.9584	0.9259	0.8625	0.6799
MSE	6.32E-04	6.52E-04	8.25E-04	1.01E-03	1.57E-03	2.49E-03	4.30E-03	9.44E-03
DE2000	0.93	3.45	8.42	10.18	13.15	14.49	15.59	16.00
No.44/6channels								
PSNR/DB	40.86929	40.40447	38.37405	37.17598	34.85175	32.74033	30.30314	26.85012
GFC	0.9994	0.9984	0.9896	0.9799	0.9487	0.9140	0.8413	0.6685
MSE	3.12E-04	3.52E-04	7.07E-04	1.08E-03	2.25E-03	4.06E-03	7.81E-03	1.84E-02
DE2000	0.66	0.93	2.15	2.94	4.04	5.20	6.47	8.31
No.44/7channels								
PSNR/DB	49.84912	45.72807	39.52636	37.02057	33.49637	30.69482	27.7842	24.08812
GFC	0.9994	0.9985	0.9896	0.9804	0.9529	0.9099	0.8386	0.6561
MSE	1.38E-04	1.86E-04	6.01E-04	1.08E-03	2.47E-03	4.77E-03	9.33E-03	2.21E-02
DE2000	0.43	0.80	2.04	2.73	3.82	4.79	6.04	7.59
No.45/8channels								
PSNR/DB	53.17	47.32	39.78	37.13	33.32	30.42	27.56	23.71
GFC	0.9996	0.9982	0.9845	0.9700	0.9245	0.8718	0.7596	0.4668
MSE	6.68E-05	1.08E-04	4.79E-04	8.76E-04	2.10E-03	4.08E-03	7.96E-03	1.93E-02
DE2000	0.41	1.00	2.54	3.28	4.65	5.90	7.33	9.44

315 Comparing to table 4, the best-performed filter set in table 6 are almost the same at the
 316 number of channels equaling to 4, 6, 7 and 8, although the different light source was used. An
 317 exception is the No.16 filter set at the number channels equals 5. The transmittance curves of No.16
 318 filter series are displayed in Fig. 9, and the condition numbers of them are listed in table

319 8.Comparing the curves from Fig. 9 with those of Fig. 8, the same conclusions can be made with
 320 No.16 as that of N0.38, and so do the condition numbers in table 7. Form the ranking cumulate score
 321 table (see table 8), in fact, we can see No.38 is the closest 5 channels filter set just behind the
 322 best-performed, No.16 filter set. The performance is slightly better in A illuminant than that of D65
 323 comparing table 2 to table 8, however the best performed filter are still those with smaller condition
 324 numbers and characteristics of curve shapes . The facts that the best-performed filter sets with CIE A
 325 illuminate support our conclusion about the criterions to the best-performed filter sets derived from
 326 those with CIE D65 illuminate. In other word, the criterions to select filter set to optimizing the
 327 spectral sensitivity of broadband camera is independent to the imaging parameter, light source,
 328 which illuminate the imaging scene.



329

330 **Figure 9.** Transmittances of the best-performed filter set, No.16 (5).

331 Other imaging parameters may be changed for similar simulation such as varying the camera
 332 spectral sensitivity function and the spectral imaging scene; unfortunately, there remains no more
 333 necessary energy to do that. The generalization of the conclusions about the criterion of the
 334 competent filter set selected by MLI would be justified by the simulations with varying the light
 335 source above.

336 5.2 Two intuitive steps for MLI method to selecting filter sets

337 From the simulation above, we can select the best filter set for optimizing the sensitivity of
 338 broadband multispectral camera by the characteristics such as the condition number and the
 339 geometric distribution of the transmittance curves. However, the methodology is intuitive and still
 340 difficult to operate in practice. The reasons lie in two aspects.

341 The one is that the best-performed filter set is not always the one with the smallest condition
 342 number as it can be seen in table 5 and from comparison between table 2, table 7 and Fig.7.The
 343 other is that the characteristics of the transmittance curves of the filter set is too intuitive to be
 344 handled quantitatively. Therefore, we recommend two steps to obstacle the problem.

345 The first step is to select a subset of the filter sets with smaller condition number from the
 346 entire filter sets. Comparing table 2 and table 7 to Fig.7, we can see the best-performed filter set
 347 stays among several filter sets with the smallest condition numbers. Table 8 list the ordinal numbers
 348 of condition number sorted from small to large among 45 corresponding condition numbers for
 349 the best-performed filter sets. From table 8 we can see that the condition numbers of the
 350 best-performed filter sets are almost the closest to the most smallest; the farthest is condition
 351 numbers of No. 38 filter set, of which the ordinal number is 5 in all the 45 filter sets. Namely, if the

subset of filter sets is composed by the 5 filter sets with the smallest condition number, we can decide the best-performed filter set is in it.

The second step is to select a filter set among the subset in terms of the geometric distribution of its transmittance curves or by a few experimental explorations. The geometric distribution of the transmittance curves of a best-performed filter sets related above would give an intuitive criterion to identify the best-performed filter set from the subset conveniently, otherwise experimental explorations can be conducted with every filter set in the subset to pick out the best-performed filter set according the experimental results. Because of the number of the filter sets in the subset is less than five according to the results of this article, it would also be an efficient way to select the best-performed filter set in the subset.

Table 8. Ordinal number of the condition numbers of the best-performed filter sets with different illuminant.

Channels	4	5	6	7	8
Filter No.	45	38	44	44	45
D65	3	5	2	2	1
Filter No.	45	16	44	44	45
A	3	1	2	2	1

So far, we have investigated selecting broadband filter set from a large number of commercial filters to optimizing the spectral sensitivity of broadband multispectral imaging camera by imaging simulation. From the results of the simulation, we found the remarkable characteristics of the best-performed filter set. Besides smaller condition number of the best-performed filter set, the geometric features of it comprise the distinct peak of the transmittance of the first filter, the generally uniform distributing of the peaks of the transmittance curve of the filters and the substantial overlapping of the transmittance curves with those of the adjacent filter sets.

It is worth noting that one reason of only selecting 45 single filters from 1035 filters as the first filter is to reduce the calculating pressure, and the other is that the single filter is easier to implement than the combinations in practice application. Other 990 filters achieved by combination of two single filters may be serving as the first filter; it would produce more filter set series for selected by the MLI method related above, therefore it would lead the spectral sensitivity of broadband multispectral camera to be more optimizing.

Although derived by the simulation with glass transmittance filters illustrated in Fig. 1a, the results of this paper can be applied to other types of filters, for example, the transmittance design of SFA (spectral filter array) multispectral system. We can see it as an experimental explanation to answer why the spectral transmittances would make it work well for SFA multispectral system.^{7,19}

6. Conclusions

Vector analysis method for selecting broadband filters is an efficient way to optimal spectral sensitivity of multispectral camera without time-consuming imaging simulation or experiments from commercial filters. In this paper, we introduced the background strategy and the algorithm of the MLI filter selection method; we questioned the reason why the first filter is selected by the maximum ℓ_2 norm of its transmittance vector. Then we conducted an exhaustive simulation searching for the best-performed filter set based on MLI by varying the first filter selected in turn from the entire single-chip broadband filter collection. From the results of the simulation, we found that there are filter sets selected by MLI outperforming the filter set selected by MLI with maximum ℓ_2 norm filter serving as the first selected filter as expected. The optimal filter set has distinct characteristics of smaller condition number and remarkable geometry characteristics, such as distinct peak of the transmittance of the first filter, generally uniform distributing of the peaks of the transmittance curve of the filters, and substantial overlapping of the transmittance curves with those

395 of the adjacent filter sets. The characteristics can serve as an intuitive criterion of filter vector
 396 analyzing method for optimizing the broadband multispectral imaging sensors or the spectral
 397 sensitivity of SFA sensors due to considering the variation of the noise conditions in the
 398 experimental simulation. As a future work, the characteristics of the best-performed filter set
 399 selected by MLI vector analyzing method may be modeled mathematically such that a more
 400 precisely described criterion for broadband filter selection by MLI would be put forward;
 401 furthermore, the criterion would be investigated with actual experiments using real camera(s),
 402 tested in an actual scenario.

403

404 **Acknowledgments:** The work was supported by the Talent Introduction research foundation of Binzhou
 405 University (No.801001021616), the Dual Targets for regional and industrial research foundation of Binzhou
 406 University (No.BZXYHZ20161008) and the Key Project Foundation of High Definition Satellite of CCRSDA.

407 **Author Contributions:** Sui-Xian Li wrote the manuscript and was responsible for the research design, data
 408 collection, and analysis. A-Qi Xu, Li-Yan Zhang and Jun Lin assisted in methodology development and
 409 research design and participated in the writing of manuscript and its revision.

410 **Conflicts of Interest:** The authors declare no conflict of interest.

411 References

- 412 1. Li Suixian; Zhang Liyan. Optimal Sensitivity Design of Multispectral Camera Via Broadband Absorption
 413 Filters Based on Compressed Sensing. Springer Proceedings in Physics, vol. 192, 3rd International
 414 Symposium of Space Optical Instruments and Applications, Beijing, China, Sep. 26-29,2016;Urbach H.,
 415 Zhang G. ,Eds. Springer, Cham, Switzerland,2017 .(DOI:10.1007/978-3-319-49184-4_33)
- 416 2. Shrestha, R; J. Y. Hardeberg. Spectrogenic imaging: a novel approach to multispectral imaging in an
 417 uncontrolled environment. *Opt. Express* **2014**, 22(8),pp.9123-33.
- 418 3. J. Y. Hardeberg. Filter Selection for Multispectral Color Image Acquisition. *ImagingSci.Techn.***2004**,
 419 48(2),pp.177-182.
- 420 4. F. H. Imai; S. Quan; M. R. Rosen; R. S. Berns. Digital camera filter design for colorimetric and spectral
 421 accuracy. Proc. of 3rd International Conference on Multispectral Color Science,,pp13-16,University of
 422 Joensuu,Finland,2001.
- 423 5. D. Y. Ng; J. P. Allebach. A subspace matching color filter design methodology for a multispectral imaging
 424 system. *IEEE T Image Process*,2006,15(9), pp.2631– 2643.
- 425 6. S. Quan; N. Ohta; N. Katoh. Optimization of camera spectral sensitivities. Proc. of the IS&T and SID 8th
 426 Color Imaging Conference, pp. 273-277, IS&T, Springfield, VA, 2000.
- 427 7. P. L. Vora; H. J. Trussell. Measure of goodness of a set of color-scanning filters. *J. Opt. Soc. Am. A*,1993,
 428 10(7), pp.1499-1503.
- 429 8. S. X. Li; N. F. Liao; Y. N. Sun. Optimal Sensitivity of Multispectral imaging system based on PCA,
 430 *Opto-Electronic Engineering* (published in Chinese),2006,33(3),pp.127-132.
- 431 9. J. Y.Hardeberg. Acquisition and Reproduction of Color Image: Colorimetric and Multispectral
 432 Approaches, ISBN: 1-58112-135-0, Http://www.dissertation.com,USA,2001.
- 433 10. Li Suixian. Several problems research of multispectral imaging, Dissertation for PHD degree (published in
 434 Chinese),Beijing institute of technology,2007.
- 435 11. R.Shrestha;J.Y.Hardeberg. Multispectral imaging using LED illumination and an RGB camera.21st Color
 436 and Imaging Conference Final Program and Proceedings, Society for Imaging Science and
 437 Technology,pp.8-13,2013.
- 438 12. URL:http://www.hoyaoptics.com/color_filter.Hoyo corporation USA optical division.
- 439 13. L. T. Maloney; and B. A. Wandell. Color constancy: a method for recovering surface spectral reflectance.*J.*
 440 *Opt. Soc. Am. A,Optics & Image Science* , 1986,3(1), pp.29-33.
- 441 14. M. A. López-Alvarez, J. Hernández-Andrés, E. M. Valero, and J. Romero, "Selecting algorithms, sensors,
 442 and linear bases for optimum spectral recovery of skylight," *J. Opt. Soc. Am. A*,2007,24(4), pp.942-956.
- 443 15. Xun Cao; Tao Yue; Xing Lin; Stephen Lin;Xin Yuan; Qionghai Dai; Lawrence Carin; David J. Brady'
 444 Computational Snapshot Multispectral Cameras: Toward dynamic capture of the spectral world. *IEEE*
 445 *Signal Proc. Mag.*,2016,33(5), pp.95-108.

- 446 16. Huiliang Shen, Jianfan Yao; Chunguang Li; Xin Du, Sijie Shao ; John H. Xin. Channel selection for
447 multispectral color imaging using binary differential evolution. *Applied Optics*, 2014, 53(4), pp.634-642.
448 17. Raju Shrestha; Jon Yngve Hardeberg. Spectroscopic imaging: A novel approach to multispectral imaging in
449 an uncontrolled environment. *Opt. Express*, 2014, 22(8), pp.9123-9133.
450 18. MathWorks. Available online: <https://cn.mathworks.com/help/ident/ref/goodnessoffit.html> (accessed on
451 20 June 2017)
452 19. Jean-Baptiste T; Pierre-Jean L; Pierre G and Cedric C.. Spectral Characterization of a Prototype SFA
453 Camera for Joint Visible and NIR Acquisition, *Sensors*, 2016, 16, pp.993(1-19). (DOI:10.3390/s16070993)