

1 Article

## 2 Thermally Stimulated Currents in Nanocrystalline 3 Titania

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18 **Abstract:** A thorough study on the distribution of defect-related active energy levels has been  
19 performed on nanocrystalline TiO<sub>2</sub>. Films have been deposited on thick-alumina printed circuit  
20 boards equipped with electrical contacts, heater and temperature sensors, to carry out a detailed  
21 thermally stimulated currents analysis on a wide temperature range (5-630K), in view to evidence  
22 contributions from shallow to deep energy levels within the gap. Data have been processed by  
23 numerically modelling electrical transport. The model considers both free and hopping contribution  
24 to conduction, a density of states characterized by an exponential tail of localized states below the  
25 conduction band and the convolution of standard TSC emissions with gaussian distributions to take  
26 into account the variability in energy due to local perturbations in the highly disordered network.  
27 Results show that in the low temperature range, up to 200K, hopping within the exponential band  
28 tail represents the main contribution to electrical conduction. Above room temperature, electrical  
29 conduction is dominated by free carriers contribution and by emissions from deep energy levels,  
30 with a defect density ranging within 10<sup>14</sup>–10<sup>18</sup>cm<sup>-3</sup>, associated to physio- and chemi-sorbed water  
31 vapour, OH groups and to vacancy-oxygen defects.

32 **Keywords:** thermally stimulated currents; photocurrent; titanium dioxide; hopping; nanoporous  
33 film; desorption current; chemisorbed current

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### 35 1. Introduction

36 The study of the electronic transport in nanocrystalline Titanium dioxide (nc-TiO<sub>2</sub>) is motivated  
37 by its wide range of application, from catalysis to green energy systems such as Dye Sensitized Solar  
38 Cells (DSSCs) [1] and toxic gas sensing devices [2]. In fact, performances of devices based on nc-TiO<sub>2</sub>  
39 strongly depend on electron transport mechanisms, which can be very different from those  
40 dominating in the bulk single-crystal semiconductor, due to the complex morphology structure and  
41 to the huge active surface of this porous material with respect to its volume. Investigation of the  
42 electrical transport properties on nc-TiO<sub>2</sub> and its relationship with surface and bulk defects is thus  
43 strategic in the perspective of increasing their performance. A model of the electrical conductivity in  
44 nc-TiO<sub>2</sub> that takes into account all the complexities of this material (disorder, fractional  
45 dimensionality of the nanoporous material and potential barriers between the constituent  
46 nanoparticles) is still lacking; its implementation is a cumbersome task. In order to progress in this

47 direction, a systematic characterisation of defects states, in the widest range of energy and an  
48 evaluation of their concentration in the material is mandatory.

49 The thermally stimulated current technique is one of the most effective tool for characterizing  
50 electrical defects in semiconductors. In this paper, we apply this method to get an overall picture of  
51 the defect distribution in nanocrystalline Titania used in state-of-art DSSC devices.

52 The model of TSC used to interpret our experimental results, takes account of the heavily  
53 disordered microscopic nature of nc-TiO<sub>2</sub>. In this work, we adopt the model usually considered the  
54 for electrical transport in nc-TiO<sub>2</sub> which treats this material as a strongly disordered 3-D medium and  
55 neglects the potential barriers at the grain interface; than in general both the hopping and free carrier  
56 contributions must be taken into account for the electrical conductivity [3]. In a previous paper a  
57 model for the TSC at low temperature was developed starting from studies on strongly disordered  
58 semiconductors, such as amorphous semiconductors [4]. In particular, the reference model we  
59 considered is that first developed by Gu et al. [3], then extended by Baranovski et al. [5] and Nagy [6]  
60 to take into account, in addition to the trapping, the contribution to conduction of hopping electrons,  
61 in a variable range model. In our study, previous models have been extended taking into account the  
62 degeneracy, a condition that in TSC measurements in disordered media is easily attained either at  
63 low temperature or after a strong priming. In this paper, thermally stimulated current analysis [7] is  
64 used also to investigate defects related to water, OH groups and vacancy-oxygen, in view to give a  
65 quantitative contribution to elucidate their role in transport properties, nowadays still a matter of  
66 intense debate [8,9].

## 67 2. Mixed conduction model in nc-TiO<sub>2</sub>

68 In heavily disordered semiconductors, significant carrier hopping can occur between localized  
69 sites, whose energies fall within the band gap, giving a non-negligible contribution to the electrical  
70 conductivity. As a result, mixed conduction mechanisms with hopping and free carriers contributions  
71 should be taken into account when discussing the electrical transport in such a material, especially at  
72 low-to-moderate temperatures and high carrier densities.

73 As it is usually done, we consider the nc-TiO<sub>2</sub> as a strongly disordered intrinsic semiconductor  
74 whose charge carriers are electrons: then the Density of States (DoS) is modelled as the sum of the  
75 extended state contribution (i.e. the conduction band), with the typical square root dependence on  
76 energy, and the localized state contribution characterized by an exponential tail, below the  
77 conduction band, as for amorphous materials [10]. Then, the density of states in the overall energy  
78 range, putting at  $\varepsilon = 0$  the border between extended and localized states, is given by the function:

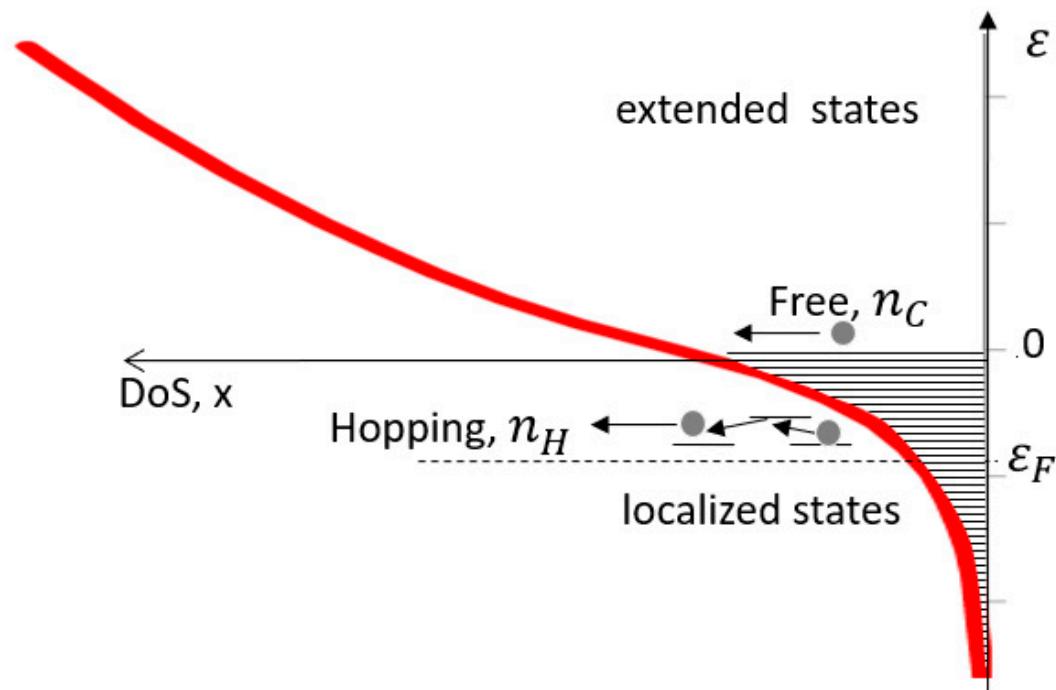
$$g(\varepsilon) = \frac{N_L}{E_L} \theta(-\varepsilon) e^{\frac{\varepsilon}{E_L}} + \theta(\varepsilon) N_{C0} \sqrt{\varepsilon + E_B}, \quad (1)$$

79 with  $\theta(\varepsilon)$  Heaviside function,  $E_L$  tailing factor,  $N_L = \int_{-\infty}^0 g(\varepsilon) d\varepsilon$  total density of localized states  
80 within the band gap,  $N_{C0}$  related to the effective density of states at the conduction band minimum  
81 ( $N_{C0} = 4 \cdot 10^{19} \text{ cm}^{-3} \text{ meV}^{-3/2}$ , in order to get at room temperature  $N_C = 5.2 \cdot 10^{21} \text{ cm}^{-3}$ , typical for TiO<sub>2</sub>). The  
82 energy parameter:

$$E_B = \left( \frac{N_L}{N_{C0} E_L} \right)^2, \quad (2)$$

83 is introduced so as to have the continuity of  $g(\varepsilon)$  at  $\varepsilon = 0$ . The DoS obtained with this procedure is  
84 shown in Figure 1.

85



86 **Figure 1.** DoS as obtained with an exponential decay tail in the forbidden gap and a square root  
 87 dependence in the conduction band region. The boundary is kept at  $\varepsilon_C = 0$ , minimum of the  
 88 conduction band. conduction is due to both free electrons in the conduction band and to hopping at  
 89 localized states.

90 Mixed electrical conductivity, in case of a n-type nc-TiO<sub>2</sub> layer, is the result of transport processes  
 91 of both hopping electrons within the band tail and free electrons in the conduction band, as depicted  
 92 in figure 1, then for the total conductivity we have:

$$\sigma = \sigma_H + \sigma_C = q\mu_H n_H + q\mu_C n_C, \quad (3)$$

93 where  $\sigma_H$  and  $\sigma_C$ ,  $\mu_H$  and  $\mu_C$ ,  $n_H$  and  $n_C$ , are conductivity, mobility and electron concentration of  
 94 hopping carriers and free carriers respectively;  $q$  is the electron charge. In (3)  $\mu_H$  is an effective  
 95 mobility that must be calculated taking into account that the hopping probability depends on the site.  
 96 Assuming the validity of the Einstein relation, the hopping mobility is related to the carrier energy  
 97 through the diffusivity  $D(\varepsilon)$ :

$$\mu(\varepsilon) = \frac{q}{K_B T} D(\varepsilon) = \frac{q}{K_B T} r(\varepsilon)^2 v, \quad (4)$$

98 with  $v$  hopping rate and  $r(\varepsilon)$  average distance between hopping sites available for hopping for carrier  
 99 with energy  $\varepsilon$ . The rate for hopping from site  $i$  to site  $j$ , respectively characterized by energies  $\varepsilon_i$  and  
 100  $\varepsilon_j$ , is given by the Miller-Abrahams [11] model:

$$v = v_0 \begin{cases} e^{-2\frac{r_{ij}}{\alpha} \frac{\varepsilon_j - \varepsilon_i}{K_B T}}, & \varepsilon_i < \varepsilon_j \\ e^{-2\frac{r_{ij}}{\alpha}}, & \varepsilon_i > \varepsilon_j \end{cases}, \quad (5)$$

101 where  $r_{ij}$  is the distance between sites at  $\varepsilon_i$  and at  $\varepsilon_j$ ,  $\alpha$  is the localization radius of the electron and  
 102  $v_0$  is the hopping frequency coefficient.

103 The localization radius can be assumed as a constant, of the order of a few angstrom, for states  
 104 deeply localized, but when approaching the boundary  $\varepsilon = 0$  with the extended states this length  
 105 diverges. Then, as the hopping probability depends exponentially on this length, the description of  
 106 the conduction for carriers localized in states near the mobility edge must take into account this  
 107 behavior. This divergence, according to several models, behaves as  $E^{-\gamma}$  with  $\gamma$  ranging between 0.5  
 108 and 1.5 [10]. Here, we assume for the localization length  $\alpha$ :

$$\alpha(\varepsilon) = \alpha_0 \left(1 - \frac{z}{\varepsilon}\right)^\gamma, \quad (6)$$

109 with  $\alpha_0$  carrier localization length of the deep states and  $z$  the energy to which  $\alpha$  starts to diverge.  
 110 The evaluation of the hopping conductivity requires some kind of approximation in order to get an  
 111 expression useful for fitting experimental data. Referring to the approach already proposed by Nagy  
 112 [6] the hopping conductivity can be calculated as:

$$\sigma_H(T) = q \int \mu \, dn = \nu_0 \frac{q^2}{K_B T} \int_{-\infty}^0 g(\varepsilon) f(e, E_F, T) r(\varepsilon)^2 e^{-2\frac{r(\varepsilon)}{\alpha(\varepsilon)}} d\varepsilon, \quad (7)$$

113 where  $r(\varepsilon)$  is an average hopping distance for a site of energy  $\varepsilon$ , given by:

$$\begin{aligned} \frac{1}{r(\varepsilon)^3} &= \frac{4\pi}{BR_L^3} \int_{-\infty}^{\varepsilon} g(\varepsilon') [1 - f(\varepsilon', E_F, T)] d\varepsilon', \\ \frac{1}{R_L^3} &= \frac{4\pi}{3} \int_{-\infty}^0 g(\varepsilon') d\varepsilon' = \frac{4\pi}{3} N_L, \end{aligned} \quad (8)$$

114 with  $f(\varepsilon, E_F, T) = \frac{1}{\frac{\varepsilon - E_F}{K_B T} + e^{\frac{\varepsilon - E_F}{K_B T}}}$  Fermi-Dirac distribution function and  $B$  the percolative limit factor, namely  
 115 the average number of site links, a parameter dependent on the system dimensionality ( $B=2.7$  for 3-  
 116 D hopping [5]).

117 The Fermi energy  $E_F$  is obtained by evaluating the total electron concentration  $n$  as the sum of  
 118 the electron density in the band tail ( $\varepsilon < 0$ ),  $n_H$ , and in the conduction band ( $\varepsilon > 0$ ),  $n_c$ ; then  $n = n_c + n_H$ ,  
 119 where:

$$n_H = \int_{-\infty}^0 g(\varepsilon) f(e, E_F, T) d\varepsilon, \quad n_c = \int_0^{\infty} g(\varepsilon) f(e, E_F, T) d\varepsilon. \quad (9)$$

120 Finally, the contribution of free electrons to the electrical conductivity is given by the typical  
 121 expression of the conductivity for electrons in the conduction band:

$$\sigma_c(T) = q \mu_c n_c = q \frac{\mu_{c0}}{(K_B T)^{3/2}} n_c, \quad (10)$$

122 with  $n_c$  as calculated from Eq. 9. A typical value for the  $\text{TiO}_2$  mobility constant is  $\mu_{c0} = 1 \text{ cm}^2 \text{meV}^{3/2} / \text{Vs}$ ,  
 123 which gives a room temperature mobility  $\mu_c = 7.5 \cdot 10^{-3} \text{ cm}^2 / \text{Vs}$  [13].

### 124 3. Rate equations for priming and thermally stimulated process

125 The rate equation for hopping and free carriers is:

$$\frac{dn}{dt} = - \left( \frac{dn_H}{dt} \right) - \frac{n_c}{\tau_c} + S(t), \quad (11)$$

126 where  $S(t)$  is the generation rate during priming (e.g. light exposure, null during the TSC scan). Here  
 127 conduction carriers decay is considered via annihilation on recombination centers or trapping from  
 128 deep levels, characterized by an active energy level within the gap. The free electron lifetime,  $\tau_c$ , is  
 129 typically dependent on the defect capture cross section  $\sigma_t$  and concentration  $N_t$ :

$$\frac{1}{\tau_c} = N_t \sigma_t < v_{th} > = N_t \sigma_t \sqrt{\frac{3K_B T}{m}}, \quad (12)$$

130 where  $m$  is the effective mass of the free carriers (in  $\text{TiO}_2$  it is about 7 times the electron mass  $m_0$  [12])  
 131 and  $< v_{th} >$  their average thermal velocity. In general, in a disordered semiconductor, defects may  
 132 have a spread in energy, so its concentration is calculated through a gaussian distribution:

$$N_t = \frac{N_{t0}}{\sqrt{2\pi} \sigma_{E_t}} \int e^{-\frac{(\varepsilon-E_t)^2}{2\sigma_{E_t}^2}} d\varepsilon, \quad (13)$$

133 The decay of the hopping carriers, in turn, is given as [5]:

$$\left(\frac{dn_H}{dt}\right)_{dec} = - \int_{-\infty}^0 \frac{g(\varepsilon)f(\varepsilon)}{\tau(T,\varepsilon)} d\varepsilon, \quad (14)$$

$$\frac{1}{\tau(T,\varepsilon)} = N_t \frac{r(\varepsilon)^2 D(\varepsilon)}{\alpha} = v_0 N_t \frac{r(\varepsilon)^4}{\alpha} e^{-2\frac{r(\varepsilon)}{\alpha}}. \quad (15)$$

134 In the following, we will describe results of decayed/fractionated Thermally Stimulated Currents  
 135 (TSC) experiments analyzed with the mixed conduction model described above. In this method, after  
 136 priming the sample only once at a low temperature, successive cycles of heating/cooling are applied  
 137 to fractionally deplete levels of lowering energy. The sample is heated up to a first maximum  
 138 temperature  $T_{stop}$  and cooled down to a first minimum temperature  $T_{start}$  then it is heated and  
 139 cooled again to higher  $T$  values and so on, eventually up to the final temperature.

140 At first, we have used the decayed TSC method to get useful information about the DoS shape.  
 141 For each scan of the decayed TSC, we extracted the couple  $(E_{act}, Q)$  with  $E_{act}$  = activation energy as  
 142 determined from the initial rise of the TSC and  $Q$  = emitted charge calculated by integrating the TSC  
 143 of the corresponding scan [15]. In this way, we obtained the DoS shape as a function of the energy by  
 144 plotting the charge released at each step as a function of the activation energy  $E_{act}$ .

145 Then, delayed TSC measurements have been best fitted using the mixed conduction model,  
 146 considering a constant heating/cooling rate:  $\beta = \frac{dT}{dt}$ , starting from the initial condition due to priming,  
 147 stated that in between two scans there is a time delay in which the electrical state of the sample evolve  
 148 very slowly. So, assuming the initial state got from priming, it is possible to fit the entire sequence of  
 149 the delayed TSC at once. The rate equation is solved starting from an evaluation of the Fermi level,  
 150 then the distance between hopping sites, the transport energy, the hopping carrier density and the  
 151 average hopping rate are obtained and finally iterating for each temperature the calculation is  
 152 performed for the entire experimental data set.

153 In the high temperature range, above room temperature, when conductivity is mainly due to  
 154 free carriers and hopping can be neglected, in our model TSC is considered as dominated by deep  
 155 centers with discrete energy levels  $E_t$  in the forbidden gap, characterized by a capture cross section  
 156  $\sigma_n$  (for electrons) and a trap  $N_t$  concentration. So, we consider the standard TSC expression as [16]:

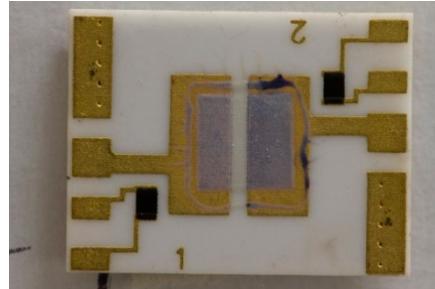
$$I_{TSC}(T) = q\mu_c \Sigma N_t F e_n(T) e^{-\frac{1}{\beta} \int_{T_i}^T e_n(T) dT}, \quad (16)$$

157 with  $e_n(T) = N_c \sigma_n v_{th} e^{-\frac{E_C-E_t}{k_B T}}$  emission constant,  $\Sigma$  surface normal to electric field  $F$ ,  $T_i$  initial  
 158 temperature of the scan Due to the disorder in the nanocrystalline material, the TSC peak usually  
 159 results in a peak broader in temperature than the standard one. This is due to the fact that the energy  
 160  $E_t$  of a defect varies within a certain range due to local morphological changes. We have taken into  
 161 account that by convoluting the TSC peak with a gaussian distribution, as given in Eq. 13.

#### 162 4. Experimental Set-up and Procedure

163 To manufacture our samples, we used a colloidal system produced by Solaronix, Switzerland,  
 164 containing about 11 % wt. nanocrystalline titanium dioxide mixed with optically dispersing anatase  
 165 particles (13/400 nm, Ti-Nanoxide D). This material is specifically developed for prototypal electrodes  
 166 in DSSCs. We deposited the nc-TiO<sub>2</sub> paste on alumina substrates having two parallel gold contacts,  
 167 7mm long and spaced 0.8mm; thickness of the film is about 1  $\mu$ m. A picture of the sample is shown  
 168 in Fig. 2. After deposition, the films have been syntherized in two steps, 30 minutes each, first at 280  
 169 °C and then at 450°C. The current-voltage characteristics of the sample showed an ohmic behaviour  
 170 in the overall investigated range (0-100V) with room temperature resistivity of the order of  $2 \times 10^8$   $\Omega$ m  
 171 [15]. In a typical DSSCs, with a 2 $\mu$ m-thick nc-TiO<sub>2</sub> film, a voltage of about 0.5V is applied and an  
 172 average electric field of about  $2.5 \times 10^5$  V/m is settled. In our TSC measurements we therefore chose to

173 apply a bias of 100V across the sample, in view to get an electric field of the same order of magnitude,  
174 considering the increased distance between our planar electrodes.  
175



176 **Figure 2.** Nc-TiO<sub>2</sub> film deposited on an alumina chip for TSC measurements.

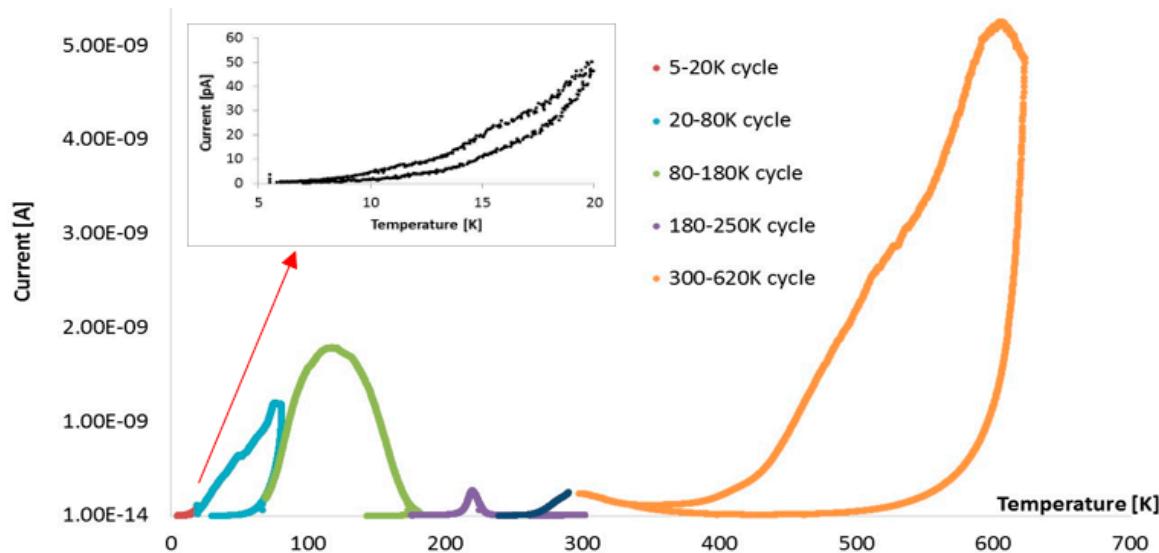
177 To perform TSC measurements in the temperature range 5-300K, the alumina substrates coated  
178 with the nc-TiO<sub>2</sub> have been placed in a sample holder equipped with a 4Ω heating resistor and a  
179 silicon temperature sensor. The sample-holder has been inserted into a dewar containing liquid He  
180 (LHe) and positioned over the LHe vapours to ensure stable temperatures down to 4.2K, minimize  
181 thermal inertia and reduce possible mismatch between the sample and the thermometer. Details of  
182 the experimental setup are given in [16]. Polarization of the sample and current reading was  
183 performed by a Keithley 6517 electrometer, the heater was biased by a TTi QL564P power supply and  
184 temperature was read by a Lakeshore DRC91C temperature controller. Priming was performed by a  
185 LED source placed in front of the sample inside the sample holder. We used two priming sources: a  
186 400 nm UV (NUV) LED and a 355 nm UV (FUV) LED, having 12 mW (typical) and 8.4 mW  
187 (maximum) output power, respectively. LEDs were driven by a Systron Donner 110D pulse  
188 generator. The light spot on the sample during illumination has a diameter of about 2mm.

189 Delayed TSC measurements have been performed as follows. We primed the sample at a low  
190 temperature T<sub>0</sub> with the LED source, biasing the sample at 100 V. Then, we waited a time interval to  
191 make fast transient effects relaxing and to get a constant temperature on the whole sample. Then,  
192 fractionated TSC analysis has been carried out performing different heating/cooling cycles up to  
193 300K. TSC has been also studied in the temperature range from 300K to 630K using a different  
194 chamber where heating / cooling is performed by a system controlling temperature, pressure and gas  
195 composition. During each TSC measurement, both in the low and high temperature ranges, the scan  
196 rate was fixed at 0.1 K/s.

## 197 5. Experimental Results and Discussion

198 Figure 3 shows a typical TSC spectrum observed in the overall range 5-630K obtained with the  
199 procedure described in the previous section. A fractionated TSC is performed up to 300K, after  
200 priming at 5K. Then, a second priming is carried out at 300K inside the high temperature TSC setup  
201 and a second TSC analysis is performed up to 630K. The low temperature analysis is divided into 4  
202 TSC fractions in the ranges: 5-20K, 20-80K, 80-180K, 180-300K, then, a unique TSC curve is measured  
203 after priming at 300K up to 630K. At last, a final cooling step from 300 to 250K is measured to close  
204 the whole cycle.

205

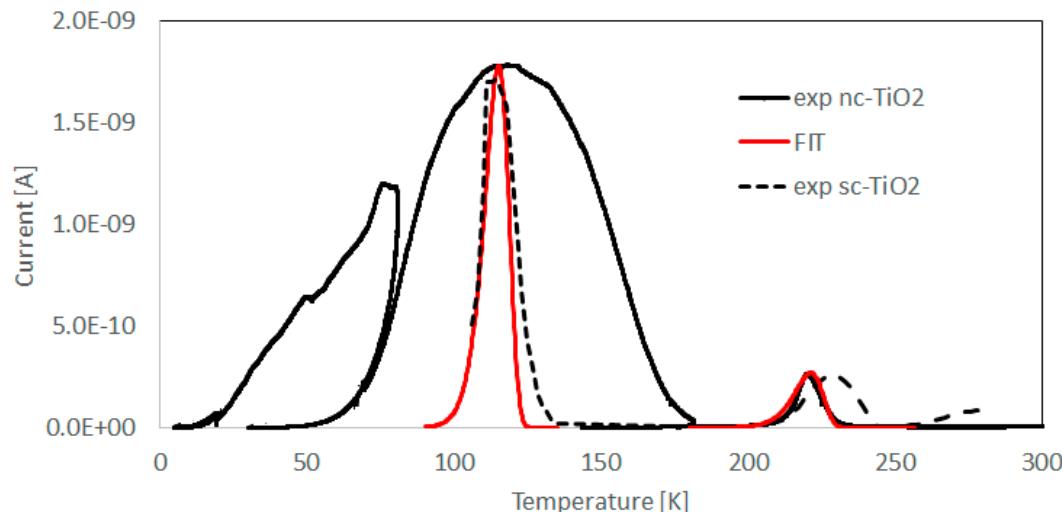


206 **Figure 3.** Fractionated TSC analysis performed in different cycles of measurements after UV priming  
 207 at 5K. Inset on the left shows an enlarged view of the 5-20K temperature range.

208 Main conductivity processes in the two temperature ranges 5-300K and 300-630K are different.  
 209 In fact, in the low T range, hopping conduction is non- negligible against free conduction. Conversely,  
 210 in the high T range conductivity is mainly due to free carriers. Moreover, in this latter case, the  
 211 influence of the surrounding gas atmosphere to the charge state of deep discrete levels in the  
 212 forbidden gap cannot be neglected. Thus, in the following, we will discuss separately results  
 213 measured below and above room temperature.

214 *5.1. TSC analysis below room temperature*

215 Figure 4 compares the TSC spectrum measured in the low T range together with TSC data  
 216 reported in past for single crystal TiO<sub>2</sub> [19].



217 **Figure 4.** Fractional TSC measured with the nc-TiO<sub>2</sub> film in the range 5-300K compared with  
 218 experimental TSC peaks reported in [19] for single crystal TiO<sub>2</sub> and with a best fit obtained  
 219 considering a standard TSC emission from discrete energy levels. The experimental peaks for sc-TiO<sub>2</sub>  
 220 have been multiplied respectively by 1700 (120K) and 500 (230K) to compare with those measured  
 221 with the nc-TiO<sub>2</sub> ones.

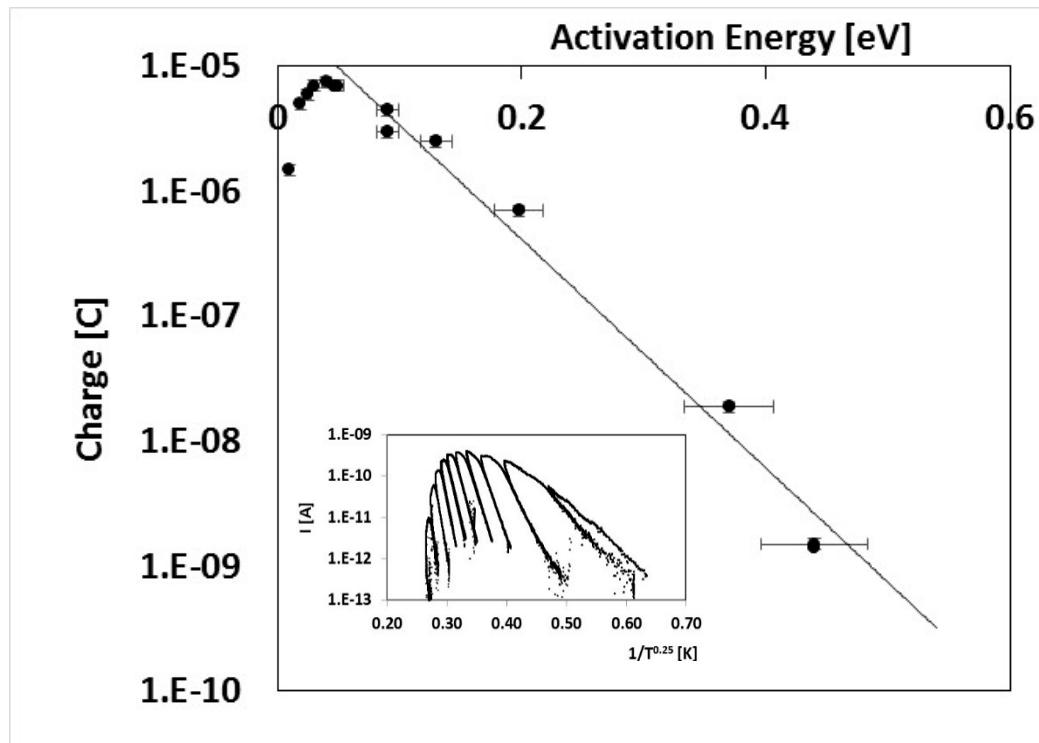
222 In single crystal  $\text{TiO}_2$ , two peaks related to two discrete energy levels at 120K and 230K are  
 223 present, which can be described in terms of standard TSC emissions [16] as given in Eq. 16. In  
 224 nanostructured  $\text{TiO}_2$ , we observe a much broader peak at 120K, which cannot be described in terms  
 225 of standard single-level TSC emission, and a peak at 220K, rather similar to the one measured in  
 226 single crystal  $\text{TiO}_2$ . In the figure, a best fit of this latter peak is shown, obtained with a standard TSC  
 227 analysis (Eq. 16), a very high capture cross section,  $\sigma_n \approx 10^{-10} \text{ m}^2$  and  $E_t \approx 0.8 \text{ eV}$ ,  $N_t \approx 10^{14} \text{ cm}^{-3}$ .

228 To investigate the origin of the broad band peaked at 120K, we then performed a measurement  
 229 in the same temperature range with more delayed heating steps (up to 10). Results are shown in the  
 230 inset of figure 5. We note that, in a standard thermally activated emission where carriers are emitted  
 231 from discrete energy levels towards the corresponding extended band, the current measured at the  
 232 foot of the TSC curve in each heating / cooling step has a dependence on temperature given by:  $I(T) \propto$   
 233  $T^2 e^{-\frac{E_{act}}{k_B T}}$ , while if hopping conduction dominates, the dependence should be (Mott's expression):  
 234  $I(T) \propto e^{-\left(\frac{T_0}{T}\right)^4}$  [20]. Inset of fig. 5 shows current measured in the range 5-150K in a Mott plot. Indeed,  
 235 the foot of the logarithmic plots at each heating/cooling stage is linear with  $T^{-1/4}$ , in agreement with  
 236 the fact that hopping conduction is prevailing in this temperature range and that, as suggested by [4],  
 237 it should be related to a band tail deforming the DoS shape close to the mobility edge.

238 As described in the previous section, to investigate the DoS shape we evaluated, for each scan,  
 239 the activation energy in the rising foot range of each peak and the corresponding total emitted charge.  
 240 The result is shown in Fig. 5, where the total charge is plotted as a function of the activation energy.  
 241 Results evidence a mono-exponential DoS:  $f(\varepsilon) = Q_L e^{\frac{\varepsilon}{E_L}}(E)$ , in the energy range 0.1-0.6eV with  $E_L$   
 242 tailing factor.

243

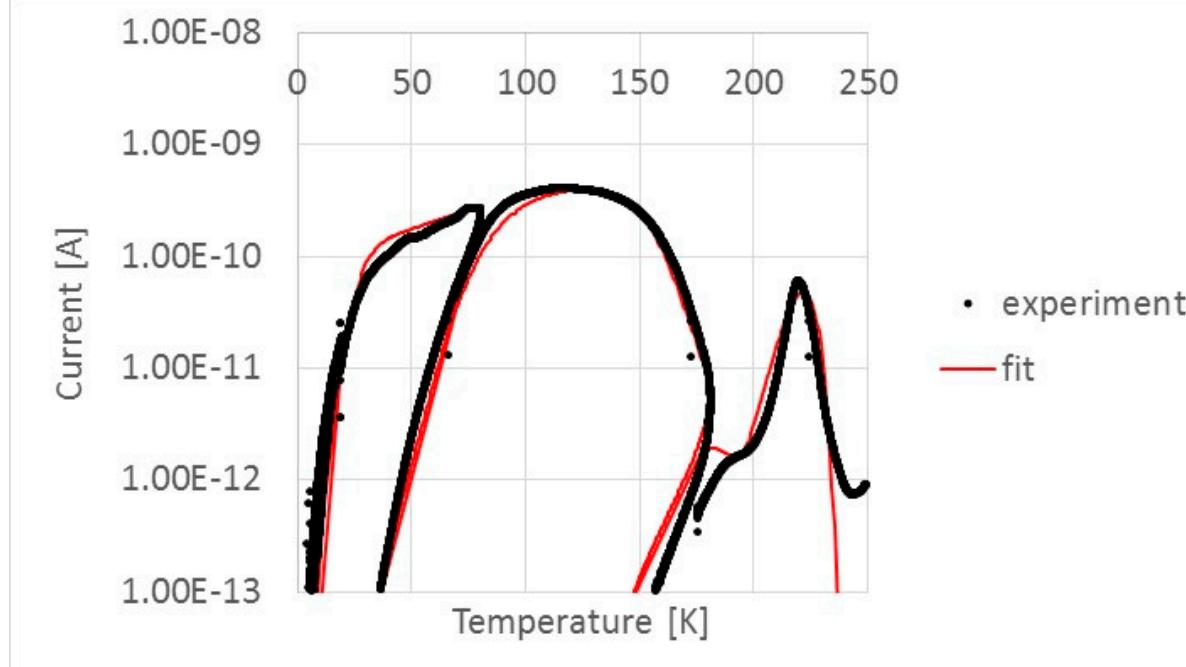
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245 **Figure 5.** Emitted charge plotted as a function of the activation energy measured in the rising range  
 246 of each peak of the fractionated TSC experiment shown in the inset. The exponential fit reflects the  
 247 trend of the band tail in the deepest range within the forbidden gap. Inset: Delayed TSC in the range  
 248 5-150K plotted as a function of  $1/T^{1/4}$  to evidence the contribution of hopping conduction in the  
 249 electrical transport.

250 Best fit gives:  $E_L = 47.5\text{meV}$ ,  $Q_L = 2.8 \times 10^{-5} \text{ C}$ , values fairly in agreement with literature [19]. We  
 251 note that in the low energy range of the plot, the charge is lower than the expected value indicated  
 252 by the exponential trend. This can be explained considering that priming could not fill all the states  
 253 in the highest part of the band tail, close to the boundary point at  $\epsilon = 0$ . As a strong evidence of this,  
 254 using a deeper UV LED (355nm) the drop appears for shallower energies with respect to the  
 255 shallower UV LED (400nm). Considering a 2mm diameter light spot and a sample thickness of about  
 256  $1\mu\text{m}$ , the effective volume involved in this process is:  $Vol \approx \pi \cdot 10^{-12} \text{ m}^3$  and a rough estimate of the  
 257 density of states is:  $N_L = \frac{Q_L}{qVol} \approx 5 \times 10^{19} \text{ cm}^{-3}$ .

258 Best-fit of TSC experimental data shown in figure 4 in the low temperature range, obtained using  
 259 our mixed conductivity model taking care of the band tail in the range down to 0.6eV plus a discrete  
 260 level at 0.8eV, is shown in Fig. 6. Of note the agreement between numerical and experimental data in  
 261 the overall range, up to almost 4 orders of magnitude of the current. A disagreement is observed at  
 262 high temperature, where the experimental current stabilize itself on the pAs range, while in the  
 263 numerical model it decreases to lower values, as a consequence of the decrease of the Fermi level  
 264 towards midgap. The pAs contribution to the current could be due to the residual presence of water  
 265 vapor physisorbed on the film surface, as discussed in the next section.



266 **Figure 6.** Experimental TSC measured in the 5-250K range and best fit obtained considering the mixed  
 267 conductivity model taking care of both hopping and free carriers conduction plus emission from a  
 268 discrete energy level at 0.8eV.

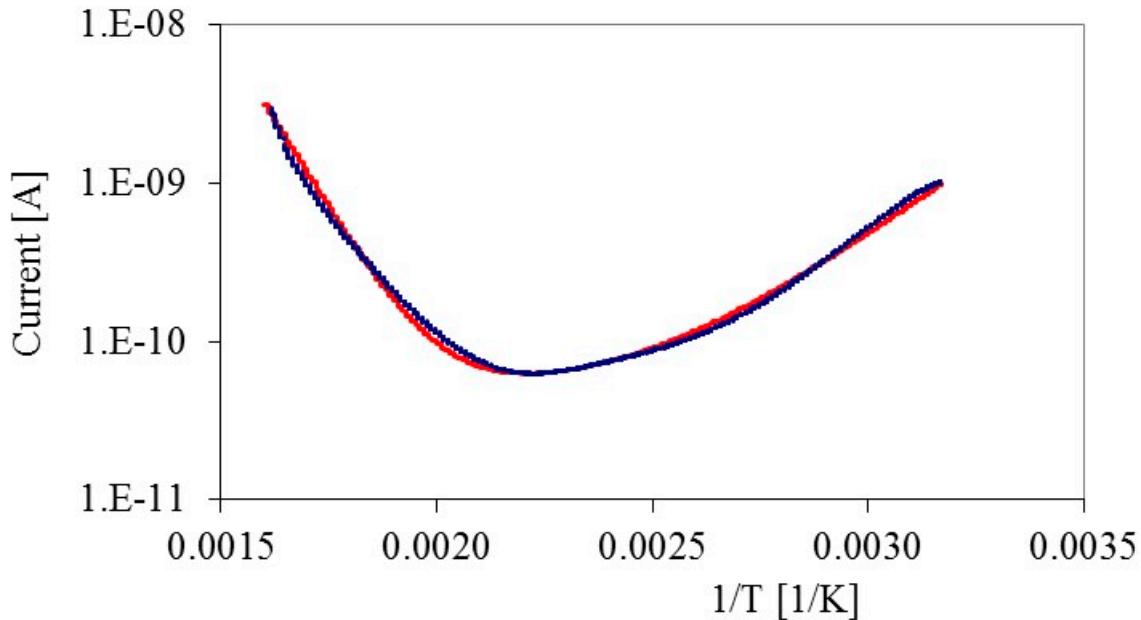
269 *5.2. TSC analysis above room temperature*

270 In this temperature range, the effect of hopping conduction should become more and more  
 271 negligible against free carrier one. Moreover, physisorption and chemisorption mechanisms at  
 272 surface should also participate to conduction. In particular, dangling bonds at the nc-TiO<sub>2</sub> surface are  
 273 capturing and releasing oxygen depending on pressure and relative humidity, these effects should  
 274 be possibly investigated separately. To this purpose, we performed different sets of measurements  
 275 as follows.

276 *5.2.1. Current vs Temperature with no priming*

277 Measurements are performed without previous priming. Using a low heating/cooling rate  
 278 (0.1K/s) as a first approximation we can assume a quasi-stationary equilibrium. The sample is kept in

279 dark with air at a pressure of 1100mb, slightly higher than ambient pressure. A typical measurement  
 280 is presented in Fig. 7.  
 281



282 **Figure 7.** Current measured as a function of reciprocal temperature during a quasi-stationary heating  
 283 process, in the range 300-600K with no priming. dark: data, red: best-fit.

284 The Arrhenius plot shows two distinct ranges: up to about 400K the current decreases increasing  
 285 the temperature T, then it increases with T. To explain this behavior we can consider the model  
 286 proposed by [22], taking account of two dominant defects, one acting as a trap, the other as a  
 287 recombination center (probably associated to dangling bonds at surface, releasing holes via a  
 288 thermally activating process). Neglecting the small contribution of the hopping, the rate equation for  
 289 the charged carriers (free electrons and holes concentrations are denoted by  $n, p$ ) is then given by:

$$\frac{dn}{dt} = N_{t1}c_1e^{-E_{t1}/K_B T} - Bnp - (n - n_0)\gamma, \quad (17)$$

290 The first term of the right side is due to emission of electrons from the trap of energy  $E_{t1}$ ,  
 291 concentration  $N_{t1}$  and frequency factor  $c_1$ . The second term describes the recombination of electrons  
 292 with holes at the recombination center. We here assume that also hole capture is a thermally activated  
 293 process:  $p = N_{t2}c_2e^{-E_{t2}/K_B T}$ , with  $N_{t2}$ ,  $E_{t2}$ ,  $c_2$  respectively concentration, energy and frequency factor  
 294 of the recombination center and  $B$  a probability coefficient. The third term in eq. (17) takes account  
 295 of other possible free electron removal mechanisms, with a coefficient  $\gamma$ , as trapping from deeper  
 296 levels.

297 During the current temperature measurements in dry fluxed air the system, is actually in a quasi-  
 298 stationary regime, so we can reasonably consider  $\frac{dn}{dt} = 0$ , then:

$$n = \frac{n_0 + \frac{N_{t1}c_1}{\gamma}e^{-\frac{E_{t1}}{K_B T}}}{1 + \frac{BN_{t2}c_2}{\gamma}e^{-\frac{E_{t2}}{K_B T}}}, \quad (18)$$

299 which gives a current dependence with temperature as:

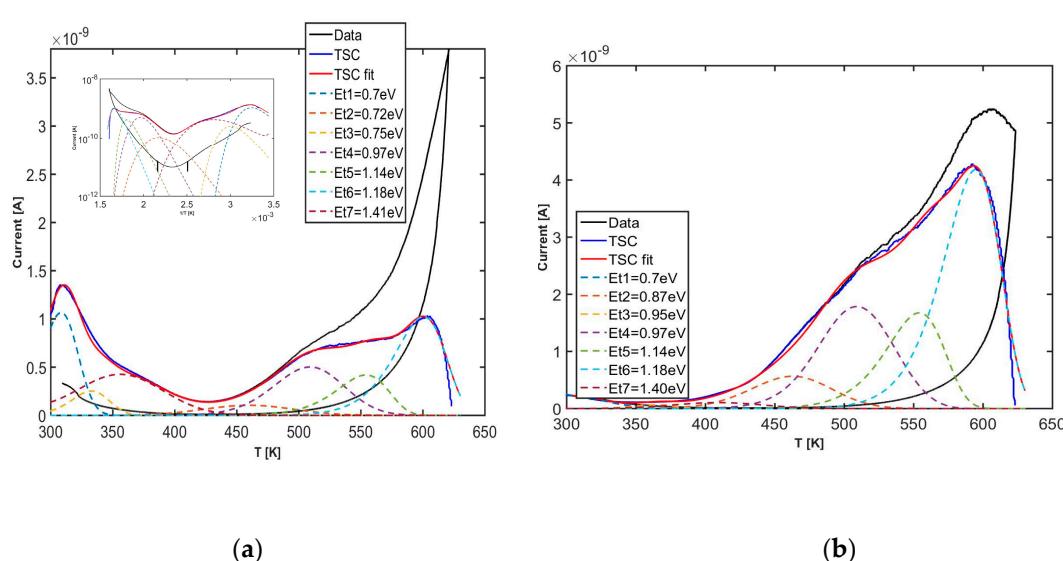
$$I_{fit} = \left( \frac{a + be^{-\frac{E_{t1}}{K_B T}}}{1 + de^{-\frac{E_{t2}}{K_B T}}} \right) F, \quad (19)$$

300 with  $a = q\Sigma\mu_c n_0$ ;  $b = q\Sigma\mu_c \frac{N_{t1}c_1}{\gamma}$ ;  $d = \frac{BN_{t2}c_2}{\gamma}$  and where again  $\Sigma$  is the surface normal to electric  
 301 field  $F$ . Best-fit of our data with Eq. 19, shown in Figure 7, is obtained with energy values:  $E_{t1} = 1.30$   
 302  $\pm 0.05$  eV and  $E_{t2} = 0.40 \pm 0.05$  eV.  
 303

304 5.2.2. TSC after storage in dark and humid environment

305 To analyze the effect of water vapor on TSC data, we first primed the sample by keeping it in a  
 306 controlled humid environment ( $rh = 20\%$ ) in dark at room temperature ( $T = 300K$ ) for selected time  
 307 intervals, up to 14 days. Then, a TSC heating/cooling cycle has been performed by fluxing dry air  
 308 with a pressure slightly higher than atmosphere, in view to measure only emissions originated  
 309 during charging in the storage period. As an example, Figures 8(a, b) show TSC experimental data  
 310 obtained after 2 and 4 days storage respectively. TSC emissions are observed within two distinct  
 311 ranges of temperatures: one from ambient temperature, up to 400K, the other one above 400K.

312 An evaluation of the main TSC components involved in these measurements has been carried  
 313 out in order to identify the origin of the emissions. Measurements show statistically broadened  
 314 emissions like the ones in Figures 8(a, b). They can be fitted using TSC peaks convoluted with a  
 315 gaussian as given in Eq. 13. Best fits have been obtained by opportunely changing trap concentration  
 316  $N_t$  for a same set of  $(E_t, \sigma_{E_t}, \sigma_n)$ , within errors, best fitting the two measurements. Up to seven energy  
 317 levels are required to fit our data. Parameters are shown in Table 1, energy levels are peaked at  $E_{t0} =$   
 318 0.7-1.14 eV and are characterized by an energy spread  $\sigma_{E_t}$  up to 70 meV. As a general trend, increasing  
 319 the storage time, peaks at low temperatures decrease their concentration  $N_t$ , while those at high  
 320 temperatures increase  $N_t$ . A source of uncertainty in the determination of concentration for the peak  
 321 at ambient temperature is due to the increasing background current observed during the cooling  
 322 stage, observed especially in Figure 8(a). This effect can indicate reversible charging/discharging of  
 323 the involved energy states, maybe due to adsorbing/ desorbing from the porous alumina substrate.  
 324



325

326 **Figure 8.** TSC after storage in ambient air ( $T = 300K$ ) in humid environment ( $rh = 20\%$ ) for (a) two  
 327 days (inset: logarithmic plot) experimental data (black); TSC with background subtracted (blue); TSC  
 328 best fit (red curve) obtained with a set of 7 peaks with energy  $E_{t0}$  given in the legend. (b) TSC  
 329 measurements after four days storage and best fit with same  $E_{t0}$  parameters as in (a).

330 To comment on the origin of these peaks, we observe that our measurements are in  
 331 agreement e.g. with temperature programmed desorption (TPD) analyses measured in past  
 332 with  $TiO_2$  after exposure to water. Four peaks at 155K, 190K, 295K, and 490-540 K were  
 333 observed by [24,25], the first three assigned to molecular desorption from multilayer,

334 second layer, and first layer states, while the higher temperature feature was assigned to  
 335 recombinative desorption. Effusion peaks from water were also observed in [26] by thermal  
 336 desorption in the 150-350K range from porous nanostructured TiO<sub>2</sub> and attributed to  
 337 physisorbed H<sub>2</sub>O, while in [27], two H<sub>2</sub>O effusion peaks were detected around 440K and  
 338 650K. Moreover, physically adsorbed and dissociated H<sub>2</sub>O molecules in nanostructured  
 339 anatase TiO<sub>2</sub> have been studied by FTIR emission spectroscopy (IES) at different  
 340 temperatures in the range 100-300 °C [28]. A 3665 cm<sup>-1</sup> band assigned to OH hydrogen  
 341 bonded (adjacent) OH groups was observed to considerably decrease when the sample was  
 342 heated from 373K to 573K, while one at 3705 cm<sup>-1</sup>, attributed to isolated OH groups, more  
 343 difficult to remove from the surface than adjacent OH groups, only slightly changed. A 3250  
 344 cm<sup>-1</sup> component attributed to the stretching vibration of water molecules that are hydrogen  
 345 bonded was considerably weakened when heated up to 423K, while the one at 3400 cm<sup>-1</sup>,  
 346 related to hydrogen-bound surface OH groups (Ti OH), became visible at this temperature.  
 347 This latter and the 1625 cm<sup>-1</sup> band (identified as the water bond-bending vibration mode)  
 348 finally disappeared at 573K. In our measurements, increasing the storage time, peaks at low  
 349 temperature are decreasing, while those at high temperature are increasing, and eventually  
 350 saturating. This can be explained considering that molecular adsorbed water, in time, is  
 351 slowly evolving into recombinative species.

352 **Table 1.** Best fit trap parameters of the TSC measured after storage in humid air environment at  
 353 room temperature

peak #	E <sub>t</sub> [eV]	σ <sub>E<sub>t</sub></sub> [eV]	σ <sub>n</sub> [cm <sup>2</sup> ]	N <sub>t</sub> [10 <sup>16</sup> cm <sup>-3</sup> ] 2 days	N <sub>t</sub> [10 <sup>16</sup> cm <sup>-3</sup> ] 4 days
1-3	0.70-75	0.070	10 <sup>-20</sup> -10 <sup>-18</sup>	0.34	0.06
4	0.97	0.070	4x10 <sup>-20</sup>	0.42	0.07
5	1.14	0.020	2x10 <sup>-19</sup>	0.3	1.43
6	1.18	0.007	5x10 <sup>-20</sup>	0.82	1.15
7	1.41	0.007	7.x10 <sup>-19</sup>	0.25	1.14

354 5.2.3. TSC after illumination in He atmosphere with different pressures

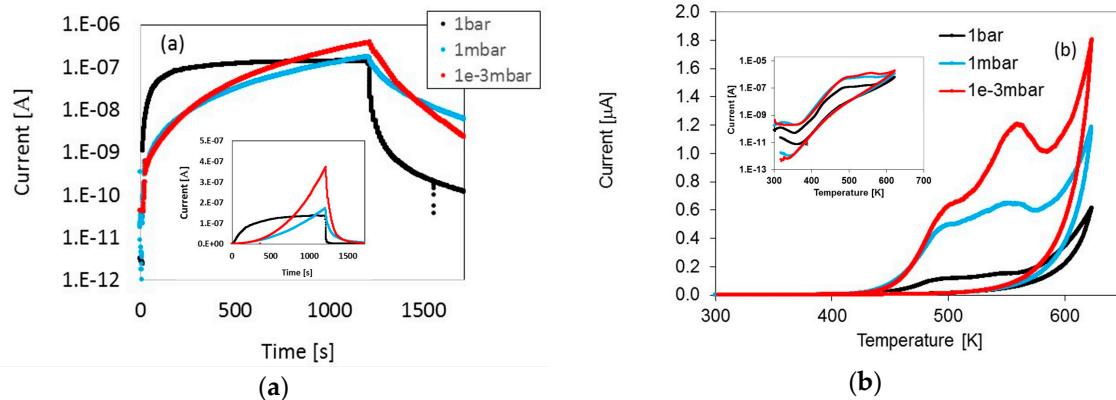
355 To evidence the effect on TSC of the oxygen-exchange at surface, we performed a set of  
 356 measurements were the sample was primed in a dry He atmosphere at different pressure, from 10<sup>-6</sup>  
 357 bar to 1bar, at room temperature (T = 300K), during illumination with a Xe lamp for a selected time  
 358 interval. Then, TSC heating/cooling cycles were carried out by fluxing dry air with a pressure slightly  
 359 higher than atmosphere, to measure only emissions originated during charging in the storage period.  
 360 In fact, it is known that oxygen vacancies can be created by annealing TiO<sub>2</sub> at elevated temperatures  
 361 in an oxygen-poor environment, such as a pure He gas atmosphere or vacuum condition [29].

362 Results of photocurrent measurements during priming are shown in Fig. 9a. TSC curves after  
 363 priming in these conditions are shown in Fig. 9b.

364

365

366



367 **Figure 9.** (a) Photocurrent measured during priming by illuminating with a Xe lamp the nc-TiO<sub>2</sub>  
 368 sample in an He atmosphere of different pressures at T = 300K. (b) TSC measured after priming with  
 369 1bar, 1mbar, 10<sup>-3</sup>mbar. Heating/cooling cycles are performed in He atmosphere with 1bar pressure.

370 While at 1bar the photocurrent is almost saturating during priming, at low pressure it increases  
 371 superlinearly, a fact that can be explained considering the creation of extra oxygen-vacancies, which  
 372 are releasing an ever increasing free carriers concentration, so favoring the passivation of deep traps  
 373 during priming. To comment on TSC curves reported in Fig. 9b (inset: logarithmic plot) we observe  
 374 that, in the case of vacuum priming, the tail in the cooling stage of increasing current below 400K  
 375 observed in case of humid environment (Figures 7 and 8) is almost absent. Then, higher TSC  
 376 emissions are observed in the high temperature range in vacuum, showing an increasing number of  
 377 passivated deep traps in the priming stage. Best fits of the TSC measurements in He atmosphere have  
 378 been performed starting with the same set of energy levels used in the previous section. They are  
 379 shown in figures 10(a-c). Measured emissions have been calculated considering TSC peaks  
 380 statistically broadened as given in Eq. 13. Results are shown in Figures 10(a,b,c) respectively for the  
 381 cases of 1bar, 10<sup>-3</sup>bar, 10<sup>-6</sup>bar. Best-fit procedure turns out in a six-fold emission, with trap parameters  
 382 listed in Table 2. The fit has been performed considering the same set of values ( $E_t$ ,  $\sigma_{E_t}$ ,  $\sigma_n$ ), within  
 383 errors, for the three measurements, and best-fitting the TSC scans obtained by subtracting the  
 384 response during cooling to the one measured during heating and by opportunely changing the trap  
 385 concentration values  $N_t$ . Trap parameters given in table 2 are in agreement with the model [30]  
 386 indicating that localized donor states originating from oxygen vacancies are located at 0.75–1.18 eV  
 387 below the conduction band of Titania.

388

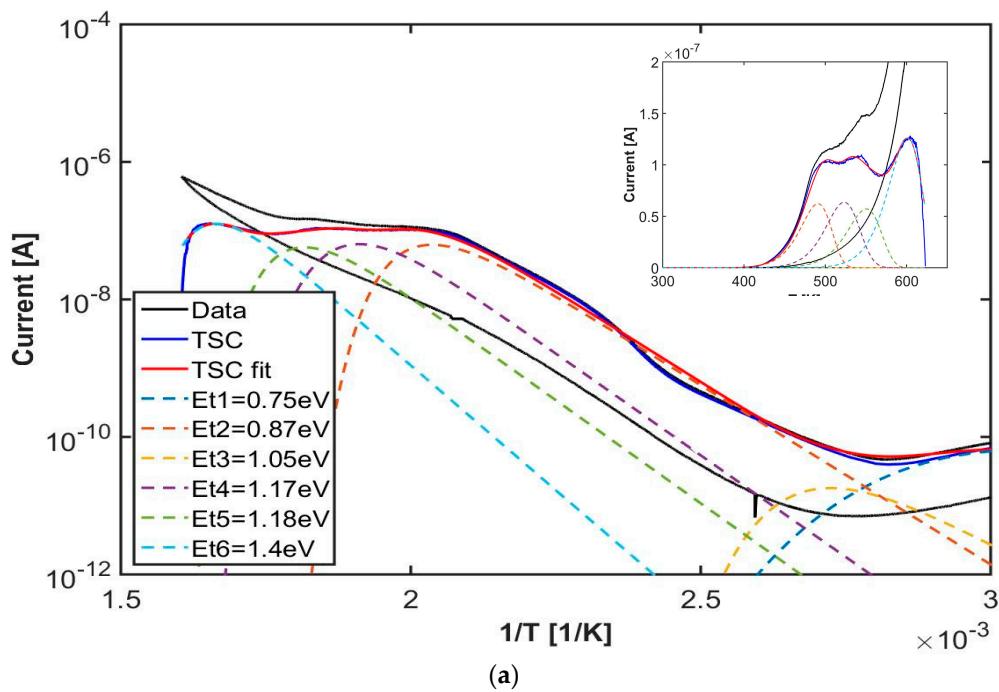
389 **Table 2.** Best fit trap parameters of the TSC measured after storage in different pressures of He  
 390 atmosphere at room temperature during illumination with a Xe lamp.

peak #	E <sub>t</sub> [eV]	$\sigma_{E_t}$ [eV]	$\sigma_n$ [cm <sup>2</sup> ]	N <sub>t</sub> [10 <sup>18</sup> cm <sup>-3</sup> ]		
				1bar	10 <sup>-3</sup> bar	10 <sup>-6</sup> bar
1	0.750	0.040	1x10 <sup>-18</sup>	1.8x10 <sup>-4</sup>	0.7x10 <sup>-3</sup>	0.5x10 <sup>-3</sup>
2	0.870	0.001	3x10 <sup>-18</sup>	0.4x10 <sup>-4</sup>	0.2x10 <sup>-3</sup>	0.3x10 <sup>-3</sup>
3	1.031	0.015	3x10 <sup>-20</sup>	0.31	1.35	1.35
4	1.166	0.030	1x10 <sup>-18</sup>	0.37	1.53	1.08
5	1.177	0.007	5x10 <sup>-20</sup>	0.38	2.87	6.21
6	1.411	0.009	7x10 <sup>-19</sup>	0.94	2.88	4.77

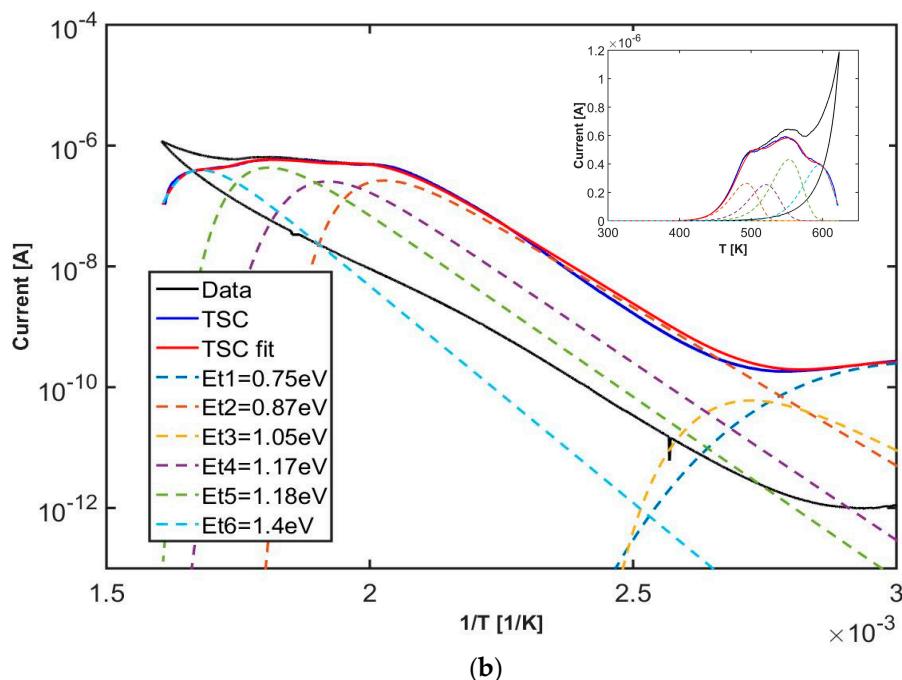
391

392 The six peaks used to best-fit our TSC curves are characterized by the same ( $E_t$ ,  $\sigma_{E_t}$ ,  $\sigma_n$ ), within  
 393 errors, used to fit TSC measurements in the humid environment (apart of the shallowest two levels  
 394 that here are present in only one component). Here, at every pressure analyzed, shallowest levels  
 395 have negligible concentrations with respect to deepest levels. Looking to plots in Figures 10, we  
 396 observe a good agreement between fit and data on a four-orders of magnitude scale. Logarithmic

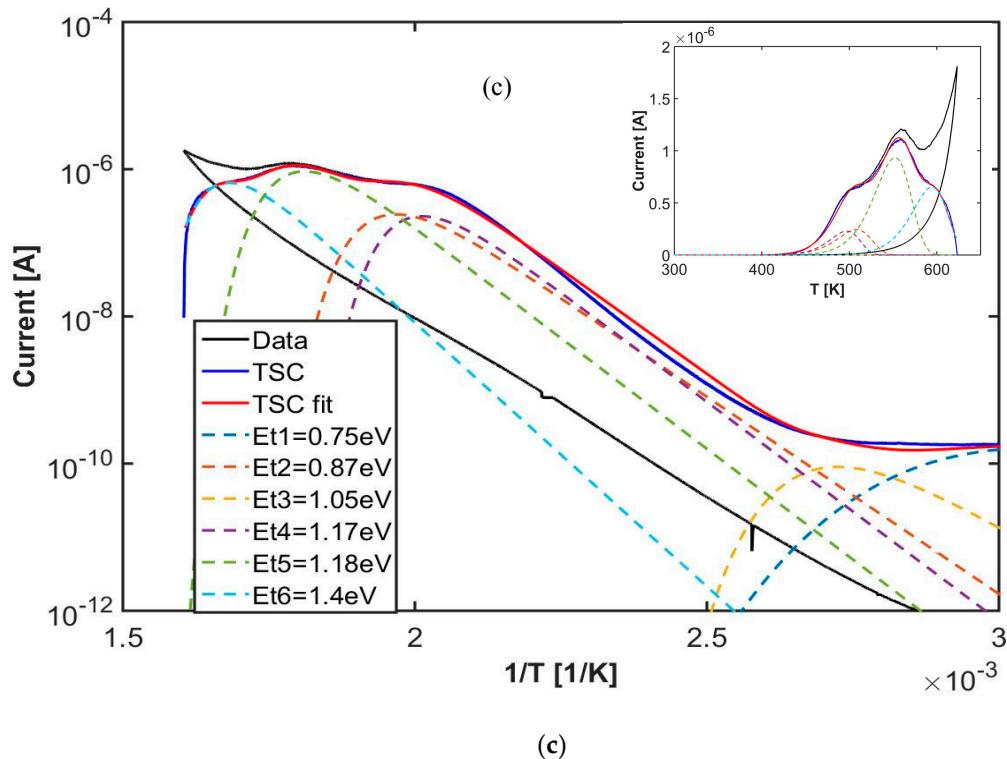
397 plots are shown as a function of  $1/T$ : the observed linear trend is in favour with our previous  
 398 observation, that hopping conduction in this high-temperature range is negligible.



(a)



(b)



399 **Figure 10.** TSC response after priming in He atmosphere with a pressure in chamber (a) 1bar; (b)  $10^{-3}$   
 400 bar (c)  $10^{-6}$  bar. TSC experimental data (black); Blue: TSC heating scan subtracted from background  
 401 (cooling stage); dotted curves: TSC peaks convoluted with a gaussian, parameters are given in table  
 402 2.

## 403 6. Conclusions

404 Nanocrystalline Titanium dioxide is widely applied as a high gap semiconducting material in  
 405 many optoelectronic devices, from solar cells to gas sensors, where its peculiar electronic properties  
 406 and high chemical reactivity play a crucial role. To attain good performances in terms of efficiency  
 407 and photoactivity, Titania is mostly used in form of porous nanocrystalline thin films, a material  
 408 characterized by a high degree of microstructural disorder, which detrimental effect in transport  
 409 properties should be taken in great care and possibly minimized.

410 The thermally stimulated current technique is one of the most effective tool for characterizing  
 411 electrical defects in semiconductors. In this paper, we have used this method to get an overall picture  
 412 of the defect distribution in nanocrystalline Titania used in state-of-art DSSC devices. The model of  
 413 TSC used to interpret our experimental results, briefly described in this work, takes account of the  
 414 heavily disordered microscopic nature of nc-TiO<sub>2</sub>. Mixed conductivity with non-negligible  
 415 contributions from hopping between localized defects grouped in a band-tail below the conduction  
 416 band is considered. Moreover, a broadening of the energy levels associated to discrete defects in the  
 417 forbidden gap, has been accounted for, by convoluting the TSC standard emission with a gaussian  
 418 distribution. Shallow-to deep energy level ranging from 0.1eV to 1.4eV have been studied via a  
 419 thermal spectroscopy spanning from 5K to 630K and interpreted with this model: main results of our  
 420 analysis can be summarized as follows.

421 An exponential DoS tail within the forbidden gap has been observed in the range 0.1-0.6eV from  
 422 the bottom of the conduction band, with energy tailing factor of about 47.5meV, characterized by  
 423 density of states of the order of  $10^{20}\text{cm}^{-3}$ . This tail is responsible for a large TSC emission visible after  
 424 priming with a UV source at 5K for temperatures up to approximately 150K. At higher temperatures,  
 425 up to room temperature and above, the hopping contribution to conduction becomes less and less  
 426 negligible against free carrier one and contributions from discrete energy levels emitting in the  
 427 conduction band become visible. Similar to single crystal TiO<sub>2</sub>, a sharp TSC peak at 220K is observed,

428 with energy 0.8eV, probably related to water adsorption. Above room temperature, dark current  
429 measured as a function of the temperature without any priming reveals to be non-negligible, as it  
430 should be due in pure intrinsic TiO<sub>2</sub> material. So, we studied it separately before any TSC analysis.  
431 Measurements as a function of temperature shows a double exponential trend, with a minimum at  
432 about 400K. To explain this behavior a model taking account of two dominant defects, one acting as  
433 a recombination center, the other as a trap has been considered. The activation energy measured in  
434 this experiment in dark, for the recombination center, is about 0.4eV a value compatible with  
435 dangling bonds at surface [23]. The trap energy, evaluated as about 1.3eV, is in the highest energy  
436 range found for trap states observed in our further analyses.

437 Then, TSC response above background current has been studied as a function of the  
438 temperature, as a spontaneous emission observed after a prolonged storage of the sample in  
439 moderately (rh=20%) humid ambient air. They are best-fitted using gaussian-broadened TSC  
440 emissions, with average energy 0.7-1.4eV, characterised by uncertainties up to 70meV. Two groups  
441 of peaks are measured, respectively below and above temperature. Peaks up to 350K are probably  
442 related to molecular desorption from multilayer, second layer, and first layer states, while the higher  
443 temperature features should be assigned to recombinative desorption [31]. Our measurements show  
444 that increasing the storage time molecular adsorbed water slowly evolves into recombinative species.  
445 Finally, to evidence the relationship between TSC emissions and vacancy-oxygen defects,  
446 measurements have been carried out after priming in inert atmosphere (He) at different pressures.  
447 Main TSC emissions, observed in the high temperature range, 400-630K, are best-fitted considering  
448 energy levels for localized energy states in the range 0.75-1.18 eV, in agreement with a model from  
449 [28] for donor states related to oxygen-vacancies below the conduction band.

450

451 **Acknowledgments:** Authors wish to heartily acknowledge Prof. Franco Bogani, from University of Florence  
452 (now retired) for helpful discussions and precious guidance . This study has been performed within the SEAR  
453 laboratory of CERTUS, University of Florence.

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