

1 *Type of the Paper (Article)*

## 2 **A combination of coffee compounds shows insulin- 3 sensitizing and hepatoprotective effects in a rat 4 model of diet-induced metabolic syndrome**

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15 **Abstract:** Since coffee has been shown to influence positively the metabolism of subjects with  
16 metabolic syndrome (MetS), we aimed to evaluate the short- and long-term effects of a coffee-based  
17 supplement on different features of diet-induced MetS. 24 Sprague Dawley rats were divided into  
18 control or nutraceuticals groups to receive a high-fat/high fructose diet with or without a mixture  
19 of caffeic acid (30 mg/day), trigonelline (20 mg/day), and cafestol (1 mg/day) for 12 weeks. An  
20 additional 11 rats were assigned to an acute crossover study. In the chronic experiment,  
21 nutraceuticals did not alter body weight or glycemic control but improved fed hyperinsulinemia  
22 and HOMA-IR, and plasma adiponectin levels. The impact of nutraceuticals on post-prandial  
23 glycemia tended to be more pronounced after acute administration than at the end of the chronic  
24 study. Circulating and intrahepatocellular alanine transaminase activity, assessed by  
25 hyperpolarized-<sup>13</sup>C NMR spectroscopy, were reduced by coffee nutraceuticals at endpoint. There  
26 was also a tendency towards lower liver triglyceride content and histological steatosis score in the  
27 intervention group. In conclusion, a mixture of coffee nutraceuticals improved insulin sensitivity  
28 and exhibited hepatoprotective effects in a rat model of MetS. Higher dosages with or without  
29 caffeine deserve to be studied in the future.

30 **Keywords:** coffee; dietary supplements; metabolic syndrome X; non-alcoholic fatty liver disease;  
31 adiponectin; hyperpolarized magnetic resonance spectroscopy

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### 33 **1. Introduction**

34 According to the WHO's Global Health Observatory, at least 2.8 million people die annually  
35 worldwide from the complications of excessive body fat. Calorie intake beyond the buffering capacity  
36 limit of adipose tissues will shift the lipid influx to the non-adipose tissue (ectopic) depots [1]. The  
37 ectopic deposition of triglycerides triggers a constellation of cardiometabolic perturbations which are  
38 grouped into a diagnostic entity known as the metabolic syndrome (MetS) [2]. However, obesity is a  
39 modifiable cardiometabolic risk factor that can be managed effectively by sustainable changes in  
40 lifestyle and diet [3]. As part of a healthy diet, coffee has shown potential in enhancing weight loss  
41 [4] and protecting against the development of the MetS and its hepatic component, nonalcoholic fatty  
42 liver disease (NAFLD) [5]. Yet, the range of compounds responsible for those effects has remained  
43 elusive.

44 With moderate consumption, a few coffee constituents reach bioactive levels in the human body.  
45 Among them are chlorogenic acids, caffeine, trigonelline, and diterpenes [4]. Since decaffeinated

46 coffee largely retains its metabolic efficacy [6,7], it is hypothesized that caffeine may not be essential  
47 for most of the positive cardiometabolic effects attributed to long-term coffee consumption. Esters of  
48 caffeic acid, e.g. caffeoylquinic acids (CQAs), are widely studied and demonstrated beneficial impacts  
49 on glucose and lipid metabolism [8]. It was proposed that the caffeic acid moiety of CQAs is in fact  
50 mainly responsible for the bioactivity of the molecule [9]. It also exhibits a much higher bioavailability  
51 level compared to that of the CGAs [10]. Consequently, we chose to include caffeic acid instead of  
52 CQA isomers (e.g. 5-CQA) in our study together with trigonelline and cafestol, aiming to compose a  
53 chemical combination with potential metabolic effects similar to decaffeinated coffee. Recently, we  
54 demonstrated that cafestol, a diterpene present in unfiltered coffee, has insulinotropic effects on beta-  
55 cells, stimulates glucose uptake in human skeletal muscle cells [11], and improves glycemic control  
56 in-vivo [12].

57 We hypothesized that coffee's wide range of effects on different aspects of glucose and lipid  
58 metabolism cannot be attributed to a single compound but rather to a combination of key  
59 constituents. To put this hypothesis on trial, we combined chemicals from three main groups of coffee  
60 compounds and studied their effects on weight gain, insulin resistance (IR), and liver steatosis in a  
61 rat model of diet-induced MetS.

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## 63 2. Materials and Methods

64 2.1. Animal model. Sprague Dawley rats (n=35) were purchased from Taconic A/S (Ejby,  
65 Denmark), caged individually and allowed to acclimatize for a week with free access to regular food  
66 and water. Animals were kept in a facility with reversed 12-hour light-dark cycles between 21 °C and  
67 24 °C and relative humidity of 55% -70%. Animals were maintained, handled, and terminated by  
68 qualified personnel in keeping with the EU provisions and the Danish Act on the Protection of  
69 Animals, with regular inspections from the Danish Animal Experiments Inspectorate (registration  
70 number: 2015-15-0201-00592).

71 2.2. Diet. A custom-made version of D12492 (D16030909, Research Diets, Inc., New Brunswick,  
72 NJ, USA) in which the whole carbohydrate content was replaced by fructose was used as a high-  
73 fat/high-fructose (HFHF) diet to induce obesity and IR. This diet contained 35% W/W fat (60% of total  
74 calories), 26% W/W protein, and 26% W/W fructose, and was dispensed every other day to minimize  
75 rancidity. High fructose in HF food makes it more similar to a typical Western diet and supposedly  
76 potentiates its metabolically deleterious effects [13].

77 2.3. Intervention. The intervention comprised of dietary supplementation of 30 mg of caffeic  
78 acid, 20 mg of trigonelline, and 1 mg of cafestol per day per rat. Caffeic acid and trigonelline were  
79 dissolved in a fixed amount of 80 °C water (40-50 ml/rat, depending on the consumption) and given  
80 to the rats as drinking water after cooling down. Chemical solutions were made every day to avoid  
81 significant chemical degradation. Cafestol is not soluble in water and was hence dispensed once daily  
82 via a small food bolus prepared by dissolving 1 mg of cafestol in 50 µL of absolute ethanol and adding  
83 it to a small piece of puffed corn. The intervention started simultaneously with HFHF dieting. An  
84 interspecies dose conversion based on body surface area (BSA) normalization method was performed  
85 assuming the approximate BSA of a rat to be 0.06 m<sup>2</sup> and of human to be 1.69 m<sup>2</sup>. Caffeic acid,  
86 trigonelline hydrochloride, and cafestol acetate were purchased from Sigma-Aldrich (St. Louis, MO,  
87 USA).

88 2.4. Chronic study design. 24 six-week-old rats were randomly assigned into two groups,  
89 control, and intervention (referred to as the nutraceuticals group hereafter). During the 12-week  
90 intervention period, body weight and food consumption were measured every 2nd week, and blood  
91 glucose was monitored at weeks 5, 9, and 12 using a glucometer (FreeStyle Precision, Abbott GmbH  
92 & Co. KG, Wiesbaden, Germany). A blood sample was obtained at baseline (tail), week 6 (tail), and  
93 endpoint (retro-orbital). To convert whole blood to plasma values, glucometer-measured glucose was  
94 multiplied by 1.12. HOMA-IR at week 6 was calculated by the formula: glucose mmol/L × insulin  
95 mU/L / 22.5 [14]. At week 10, hyperpolarized nuclear magnetic resonance (NMR) imaging was  
96 performed (described below). After one week of recovery, rats underwent an oral glucose tolerance

97 test (OGTT) by gastric gavage of 2 g/kg of D-glucose. Blood glucose was measured before and 30, 60,  
98 120, and 180 minutes after the gavage. At the end of the study, rats were anesthetized by  
99 intraperitoneal injection of 50 mg/kg of sodium pentobarbital and retro-orbital blood samples were  
100 obtained and urine was collected from the bladder. Liver and pancreas tissue was harvested and  
101 fixed by immersion in 4% formaldehyde and stored at 4°C until paraffin embedding (see the  
102 histopathological examination section). Rats were terminated by exsanguination at the end of the  
103 procedure.

104 2.5. Acute study design. 11 rats with an average age of 12 weeks were maintained under the  
105 same conditions and diet as the rats from our chronic study for 12 weeks. After 8 hours of fasting,  
106 animals were randomly divided into two groups and received pellets, either plain or containing half  
107 of the daily dosage of coffee nutraceuticals in the chronic study, 90 minutes before an OGTT (the  
108 protocol is described above). After a wash-out period of one week, the groups were switched and the  
109 same protocol followed in a cross-over fashion. At the end of the study, rats were euthanized by  
110 intraperitoneal injection of sodium pentobarbital (100 mg/kg).

111 2.6. Immunoassays and reagents. Whole blood glycated hemoglobin (HbA<sub>1c</sub>) (collected in EDTA  
112 tubes), and plasma glucose, lipoproteins, and alanine aminotransferase (ALT) were quantified by  
113 Cobas c111 analyzer (Roche Diagnostics, Mannheim, Germany). A sensitive rat RIA kit (EMD  
114 Millipore, Billerica, MA, USA) was used to measure insulin and NEFA-HR2 assay (Wako Chemicals  
115 GmbH, Neuss, Germany) to determine NEFAs concentrations. Plasma adiponectin was quantified  
116 by AssayMax ELISA kit (Assaypro, St. Charles, MO, USA) and IL1b by Cloud-Clone Corp (Houston,  
117 TX, USA). For liver triglycerides content measurement, 50 mg of frozen liver tissue per sample was  
118 weighed on dry ice and its saponified extract was extracted using a published protocol [15].  
119 Triglycerides levels were then quantified by Cobas c111 analyzer.

120 Caffeic acid, trigonelline hydrochloride, cafestol acetate, and ferulic acid were purchased from  
121 Sigma-Aldrich (St. Louis, MO, USA) and isotope-labeled hippuric acid (Ring-13C<sub>6</sub>, 99%) from  
122 ReseaLife Chem Science (Burgdorf, Switzerland). HPLC-grade acetonitrile, methanol and acetic acid  
123 were purchased from Fluka (Sigma-Aldrich, St. Louis, MO, USA).

124 2.7. Histopathological studies. The right anterior lobe of the liver was harvested from live  
125 anesthetized animals and fixed immediately in 10% neutral buffered formalin. Tissues were then  
126 embedded in paraffin and cut into 4-mm slices using an automatic microtome. Sections were  
127 mounted on slides and stained with hematoxylin and eosin, and Masson-trichrome. Samples were  
128 scanned at low-power and studied in-detail in five random medium-power fields. A representative  
129 field was randomly selected from each group to make the photographs. Steatosis was categorized as  
130 large-droplet macrovesicular, small-droplet macrovesicular, and microvesicular, and graded on the  
131 scale of 0-3 based on the percentage of hepatocytes containing fat vacuoles: grade 0 <5%, grade 1 = 5-  
132 33%, grade 2 = 34-66%, and grade 3 >67%. All histologic analyses were performed by an experienced  
133 histopathologist in a blinded manner.

134 2.8. Hyperpolarized-MR spectroscopy examination. Rats with a weight range of 400 to 660 grams  
135 were scanned in a 3T GE clinical system (GE Healthcare, Milwaukee, WI, USA) equipped with a dual  
136 tuned <sup>13</sup>C/<sup>1</sup>H volume rat coil (GE healthcare, Brøndby, DK). Hyperpolarized [1-<sup>13</sup>C]pyruvate was  
137 prepared and polarized in a SpinLab system (GE Healthcare, Milwaukee, WI, USA) in accordance  
138 with the standard protocol [16]. In brief, rats were anesthetized with sevoflurane (3% sevoflurane in  
139 2 L/min air) and a tail vein catheter (0.4 mm) was inserted for injection of [1-<sup>13</sup>C]pyruvate, upon full  
140 polarization (>35%) 1.5 mL (37° C, pH 7.4) isotonic [1-<sup>13</sup>C]pyruvate solution was injected over 15 s.  
141 Anatomical <sup>1</sup>H imaging used for positioning the <sup>13</sup>C imaging plane a T2-weighted fast spin echo  
142 sequence was used in the axial and coronal orientation covering the liver. Following the anatomical  
143 scout, an axial oblique slice-selective (10 mm, 10°) <sup>13</sup>C-dynamic time series with a repetition time of  
144 1 s (total 120 sec, one image/sec). The sequence was initiated before the injection of [1-<sup>13</sup>C]pyruvate.  
145 Each individual peak area was fitted using a general linear model fit on the time-domain data,  
146 followed by a model-free ratio-metric analysis of the AUC product and substrates.

147 2.9. Liquid chromatography tandem mass spectrometry (LC-MS/MS). Caffeic, ferulic, and  
148 hippuric acid (internal standard, IS) were dissolved in absolute methanol as stock working solutions

149 and were kept at -80 °C. Calibration curves were prepared in the concentration range of 0.0122 – 6.25  
150 ng/mL in 1% acetic acid solution. IS was added to the calibration curves to a final concentration of 5  
151 ng/mL. The analyte/IS concentration ratio was plotted against the analyte/IS peak area ratio as a linear  
152 regression curve with 1/x weighting. Caffeic acid and ferulic acid were extracted from plasma  
153 samples using liquid-liquid extraction method with methanol. We used 5 µL and 10 µL of plasma for  
154 the extraction of total and free forms of the compounds, respectively. Sample hydrolysis was  
155 performed with 20 µL of enzyme mix as described [17]. Protein precipitation and compound  
156 extraction were done using 200 µL of methanol. After centrifugation for 5 minutes at 20800 g, the  
157 supernatant was transferred to a vial and dried under N2 flow at 30 °C. The precipitate was  
158 reconstituted in 500 µL H2O containing 1% acetic acid. Samples were measured on MicroLC 200  
159 Series from Eksigent/AB Sciex (Redwood City, CA, USA) equipped with a Syngi Polar-RP Column,  
160 500 mm x 1 mm with 4.0 µm particle size from Phenomenex and QTrap 5500 mass spectrometer from  
161 AB Sciex (Framingham, MA, USA). The chromatographic separation was performed according to the  
162 protocol by Nørskov et al. [17]. Solvent A was water and Solvent B was acetonitrile, both containing  
163 20 mM of acetic acid. The column was equilibrated for 2 min at 10% of solvent B. Five µL of sample  
164 was injected by an autosampler and separation was achieved by using a step gradient starting at 10%  
165 B, held constant for 0.6 min, increased to 40% over 1.5 min, kept constant for 1 min and then increased  
166 to 60 % over 1.5 min, with a flow rate of 65 µL/min and a column temperature of 30 °C. The mass  
167 spectrometer was equipped with an ESI source with the following settings operated in negative  
168 ionization mode: curtain gas 20 psig, Gas 1 60 psig, Gas 2 60 psig, temperature 475 °C, and ionization  
169 spray operated at -4500 eV. Flow injection analysis was performed to optimize the turbo V source of  
170 the instrument. The ESI source deprotonated molecules were detected in multiple reaction  
171 monitoring (MRM) mode. The compound-dependent parameters were optimized for each  
172 compound by syringe infusion of pure standard and are listed in Table S1 (Supplementary Materials).  
173 Data analysis was performed in Analyst software 1.6.2 from AB Sciex (Framingham, MA, USA).  
174 Plasma from control rats was used for validation of the method. We pooled the plasma and aliquoted  
175 in several tubes which were kept at -80 °C as quality control samples (QC). Spiked QC samples at  
176 three concentrations, low (0.195 ng/mL), medium (1.56 ng/mL) and high (6.25 ng/mL), and five  
177 replicates were used to calculate the recovery of caffeic and ferulic acids (Table S2 in the  
178 Supplementary Materials). Using QC samples, batch-to-batch variation (n=5) was calculated to be  
179 less than 10%. The matrix effects were validated in both pure solvent and QC samples and showed  
180 to have no discernible effects.

181 2.10. Statistical Methods. Data are presented as means ± standard error of the mean (SEM) unless  
182 stated otherwise. Normality of data was checked using quantile-quantile (q-q) plots and Shapiro-  
183 Wilk normality test, and variance homogeneity by the Levene's test. Independent samples Student's  
184 t-test was used to test the significance of differences between the two groups. All the analyses were  
185 performed at a two-sided significance level of 0.05 using IBM SPSS Statistics for Windows (Version  
186 22.0. Armonk, NY: IBM Corp.). Area under the curve (AUC) calculations were executed using  
187 GraphPad Prism for Windows (version 5.01, GraphPad Software, La Jolla California USA).

### 188 3. Results

#### 189 3.1. The chronic study

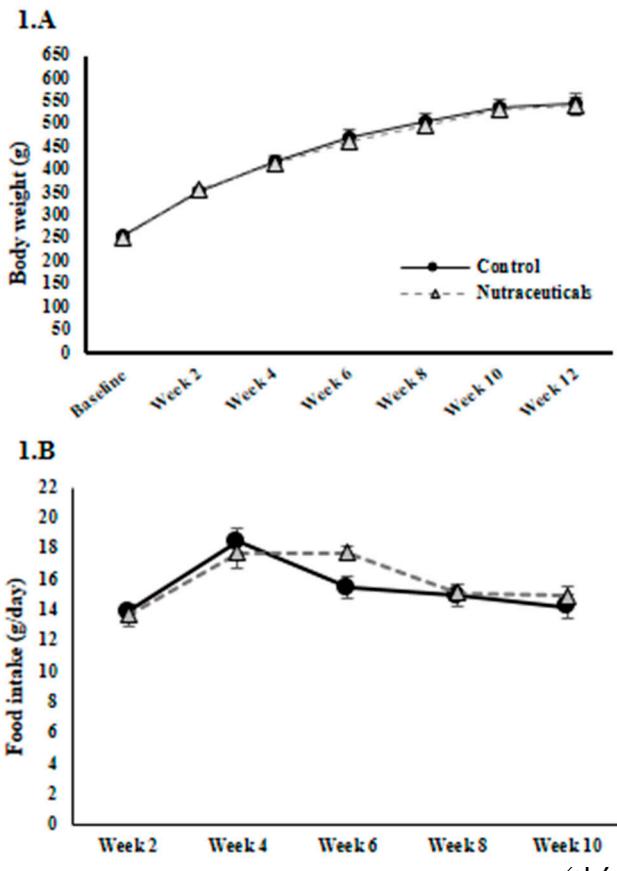
190 3.1.1. Energy homeostasis. As seen in Figure 1, rats in both groups consumed similar amounts  
191 of food per day and gained weight at a comparable rate over the intervention period.

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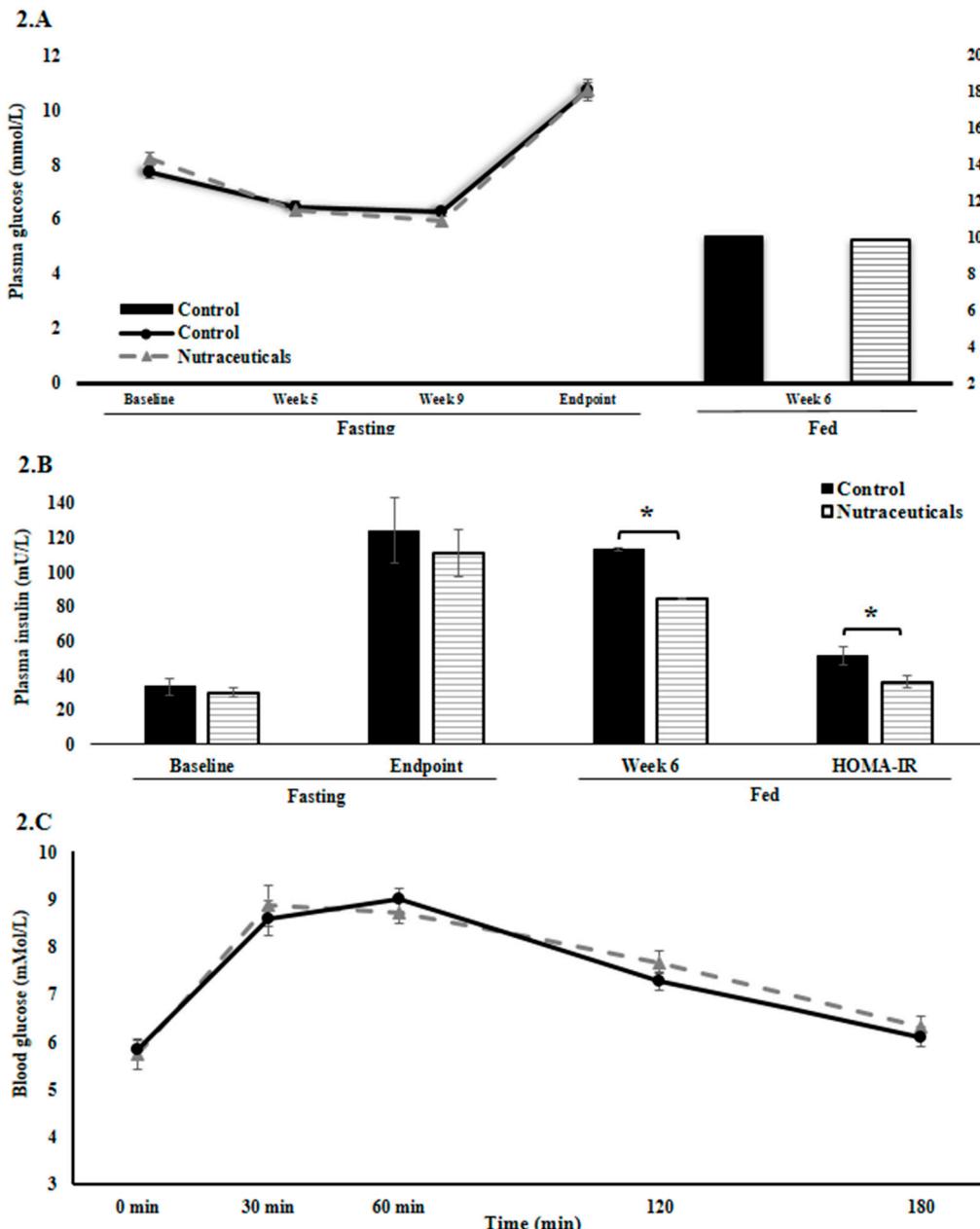
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**Figure 1.** (A) Body weight of high-fat/high-fructose-fed rats in both study groups; (B) food intake was measured in one day every second week from week 2 to 10 and presented as g/day. No significant difference was found between groups (error bars: SEM). Nutraceuticals combination consisted of caffeic acid, trigonelline, and cafestol.

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221 3.1.2. Blood glucose and IR. Blood glucose was measured four times in the fasting, and once in  
222 the fed state at mid-study (Figure 2). Rats in the two groups had similar blood glucose levels in fasting  
223 and fed states at all time points. Fasting blood glucose rose steeply from week 9 to 12 (Figure 2.A.).  
224 The average level of glycemia as measured by HbA<sub>1c</sub> was unaffected by the nutraceuticals mixture  
225 compared to the control diet (Table 1). After 12 weeks on HFHF diet, the mean fasting plasma insulin  
226 increased dramatically to 3.7 times the baseline levels in both groups. Although fasting levels were  
227 not different between groups, fed insulinemia was reduced significantly in the intervention group at  
228 mid-study ( $t=2.152$ ,  $P=0.044$ ), which led to lower HOMA-IR index in the fed state ( $t=2.283$ ,  $P=0.033$ )  
229 (Figure 2.B.). As shown in Figure 2.C, an oral glucose load caused similar plasma glucose peaks in  
230 both groups.  
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233 **Figure 2.** Insulin and glucose homeostasis indices from the chronic study. (A) The line graph presents  
 234 mean fasting plasma glucose and a bar graph shows fed plasma glucose measured at mid-study. (B)  
 235 Fasting and fed plasma insulin levels. HOMA-IR in fed state was calculated from fed glucose and  
 236 insulin values. Asterisk indicates a significant difference between groups (t-test  $P<0.05$ ). (C) Blood  
 237 glucose measured by glucometer after a glucose load during an OGTT.

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248 **Table 1.** Results of plasma biochemistry tests performed before and after the intervention presented as  
 249 mean (SEM). Significant p-values in the between-group analysis are in bold.

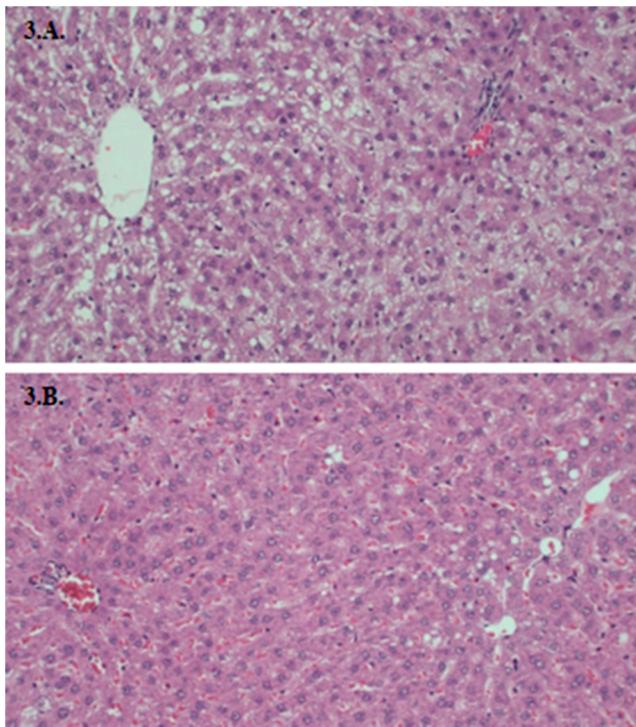
	Control group		Nutraceuticals group		P-value of change/difference
	Baseline	12 weeks	Baseline	12 weeks	
<b>Plasma lipids (mmol/L)</b>					
<b>Total cholesterol</b>	1.87 (0.08)	2.00 (0.08)	2.02 (0.10)	2.33 (0.16)	0.428
<b>HDL-C</b>	1.42 (0.05)	1.58 (0.06)	1.55 (0.07)	1.83 (0.11)	0.492
<b>LDL-C</b>	0.48 (0.03)	0.36 (0.03)	0.47 (0.03)	0.49 (0.07)	0.097
<b>Triglyceride</b>	0.36 (0.02)	0.42 (0.05)	0.37 (0.01)	0.39 (0.04)	0.675
<b>NEFAs</b>	1.01 (0.08)	0.31 (0.03)	0.91 (0.05)	0.31 (0.02)	0.268
<b>HbA<sub>1c</sub> (mmol/mol)</b>	-	23.08 (0.29)	-	23.55 (0.55)	0.451
<b>Plasma Adiponectin (μg/mL)</b>	-	4.40 (0.28)	-	5.39 (0.39)*	<b>0.048</b>
<b>Plasma IL1<sub>b</sub> (pg/mL)</b>	-	13.65 (1.88)	-	8.92 (2.46)	0.139
<b>Plasma ALT (U/L)</b>	31.20 (0.73)	27.72 (1.39)	32.78 (0.71)	22.97 (1.09)*	<b>0.004</b>
<b>Liver triglycerides content (mmol/L)</b>	-	2.00 (0.26)	-	1.62 (0.17)	0.223

\* Significantly different from the control group (P <0.05).

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 251       3.1.3. Plasma lipids and cytokines. Data for the main classes of plasma lipids measured at  
 252 baseline and endpoint are presented in Table 1. There were no notable differences between groups  
 253 except for a dramatic drop in the plasma level of NEFAs in both groups compared to the baseline  
 254 (P<0.0001 in both groups). HDL-C at endpoint tended to be higher in the nutraceuticals group but  
 255 this was not statistically significant. The average adiponectin level was 18% higher in the  
 256 nutraceuticals group after 12 weeks of intervention (t=18.28, P=0.048). IL1<sub>b</sub> plasma levels in the  
 257 nutraceuticals group at endpoint was non-significantly lower (Table 1).

258       3.1.4. Liver steatosis. As displayed in Figure 3, there were mixed small- and large-droplet  
 259 macrovesicular steatotic changes in hepatocytes of the two groups. Semiquantitative scoring of  
 260 steatosis yielded a mean score of 1.42 (SD=1.31) in the control and 1.18 (SD=0.98) in the nutraceuticals  
 261 group. 58% and 36% of samples from the control and nutraceuticals groups, respectively,  
 262 demonstrated severe steatosis (grade 2 or higher). Quantitative measurement of liver triglycerides  
 263 content also confirmed the tendency of lower steatosis in the nutraceuticals group. Quantification of  
 264 the ALT in plasma showed significantly lower levels in the nutraceuticals group at endpoint (Table  
 265 1). There was limited inflammatory cells infiltration throughout the lobules in both groups and no  
 266 signs of fibrosis.

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**Figure 3.** Representative histological liver sections: (A) A sample from the control group with marked fatty change showing mixed small- and large-droplet macrovesicular steatosis. (B) A sample from the nutraceuticals group showing mild large-droplet macrovesicular steatosis.

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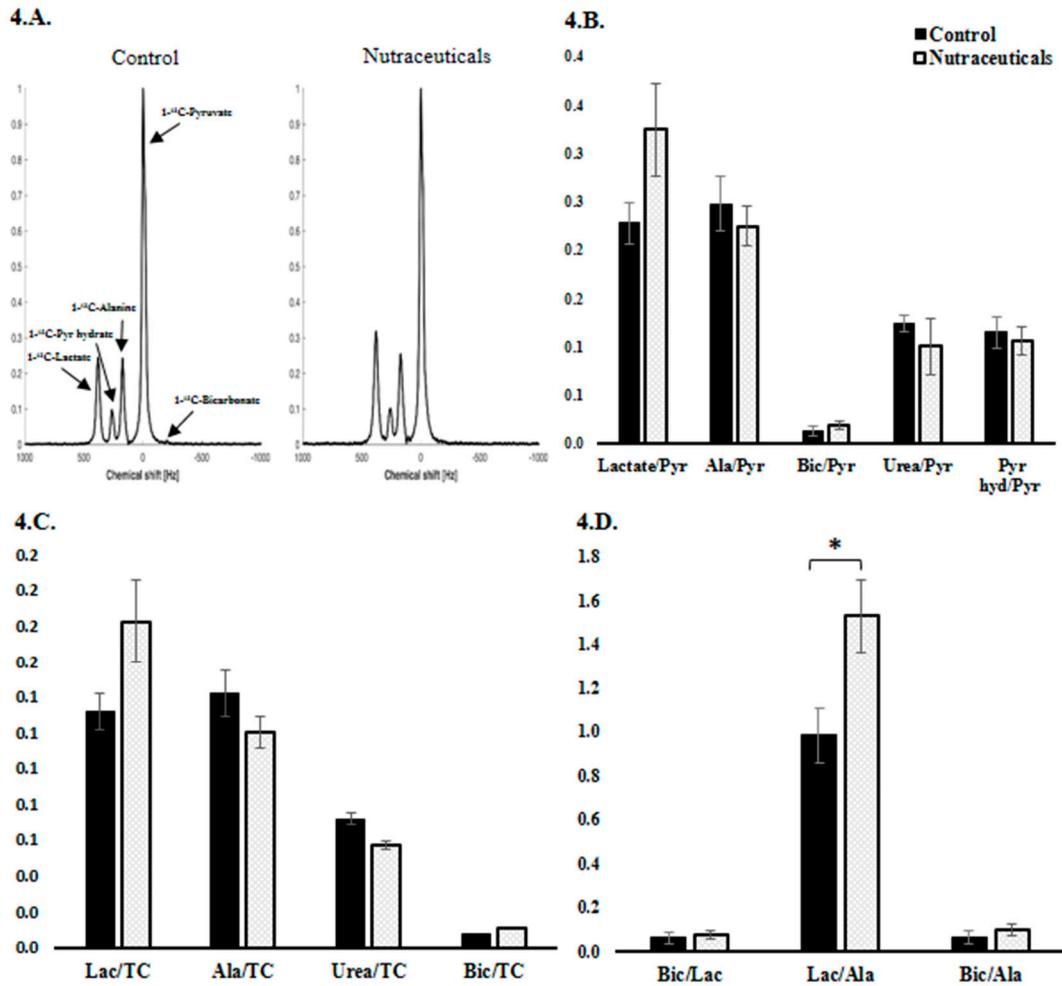
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3.1.5. Hyperpolarized-[1-<sup>13</sup>C]pyruvate MR spectroscopy. Subsequent to the rapid injection of hyperpolarized-[1-<sup>13</sup>C]pyruvate, serial MR spectra were acquired every second for one minute. The summed spectra from each group are presented in Figure 4.A. As displayed, signals from Hyperpolarized-[1-<sup>13</sup>C]pyruvate, -pyruvate hydrate, -lactate, -alanine, -bicarbonate, and -urea (thermal phantom) were identified in the spectrum. For statistical comparison between groups, the AUC of signal intensity time curves of each metabolite was calculated and normalized to pyruvate AUC and total carbon pool (Figure 4 parts B and C, respectively). What stands out is the tendency of increased lactate and decreased alanine ratios to pyruvate and total carbon in the nutraceuticals group, which gives rise to a significant shift in lactate/alanine ratio compared to the control group.

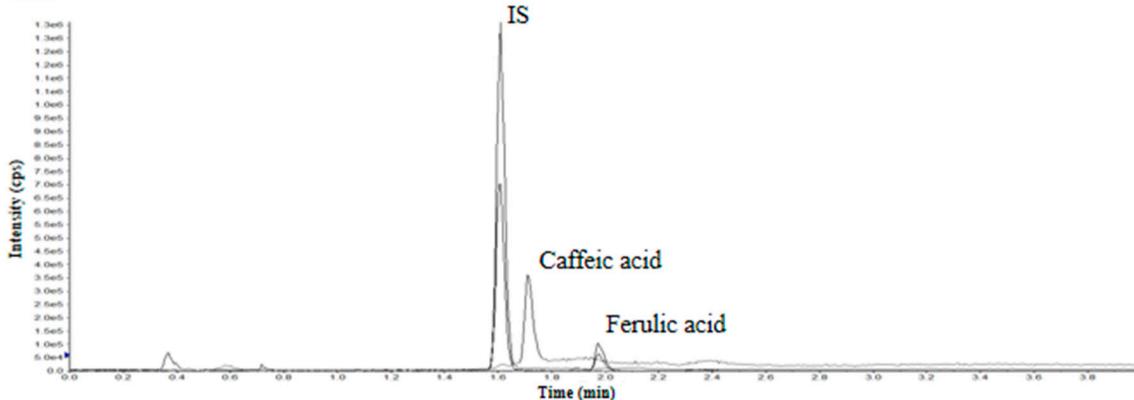


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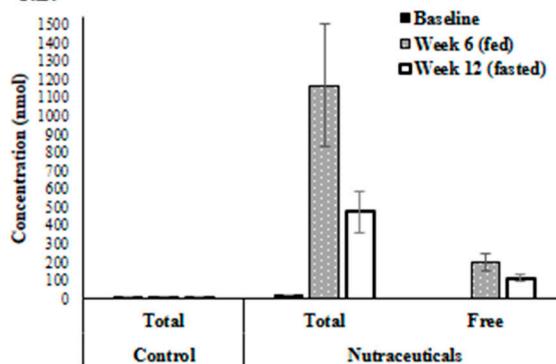
310     **Figure 4.** Liver  $^{13}\text{C}$  MR spectroscopy: (A) Average sum spectrum of each group reconstructed from the  
 311 dynamic spectra covering 120 s time span after the intravenous injection of hyperpolarized  $[1-^{13}\text{C}]$ pyruvate.  
 312 Peaks from left to right are  $[1-^{13}\text{C}]$ -lactate, -pyruvate hydrate, -alanine, -pyruvate and -bicarbonate. (B) The area  
 313 under the curve of signal intensity time curves for each metabolite normalized to  $[1-^{13}\text{C}]$ pyruvate and (C) total  
 314 carbon. (D) Comparison of liver signal intensity ratios of  $[1-^{13}\text{C}]$ pyruvate metabolites between groups. Asterisk  
 315 denotes a significant difference compared to the control group (t-test  $P<0.05$ ).  
 316

317     3.1.6. Plasma levels of caffeic acid metabolites. Quantification was performed by LC-MS/MS to  
 318 evaluate the efficiency of caffeic acid delivery in drinking water. Figure 5.A. presents an example of  
 319 the chromatographic profile of a plasma sample. The same Figures also depicts the concentrations of  
 320 total and free caffeic and ferulic acids in plasma samples taken at random time points in fed and  
 321 fasting states. As shown, caffeic acid was almost absent in samples from the control group.  
 322 Conjugated metabolites were the dominant forms of caffeic acid in both fed (~83% of total) and fasted  
 323 (~76% of total) states. Although both total and free forms tended to be higher in the fed state, the  
 324 differences were not statistically significant. The relatively high ferulic acid levels in both groups at  
 325 baseline declined in the control group in later measurements due to shifting to a diet deficient in  
 326 cereals. In contrast, ferulic acid levels amplified in the plasma of the intervention group (Figure 5.C.).  
 327 According to our data, less than 16% of the total ferulic acid on average was unconjugated in the  
 328 peripheral circulation. This ratio was not affected by the feeding state of the animals.  
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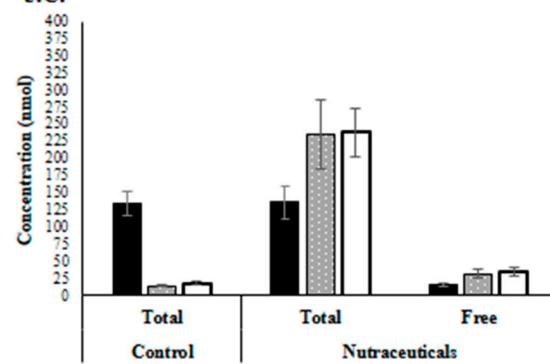
5.A.



5.B.



5.C.



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331 **Figure 5.** Quantitative HPLC-MS/MS data: (A) extracted ion chromatograms of caffeic acid, ferulic acid and  
 332 internal standard in plasma; (B) plasma levels of total and free caffeic acid and (C) ferulic acid at different time  
 333 points in both study groups calculated by an external standard calibration method. Error bars represent  $\pm$ SEM.  
 334

335 *3.2. Acute study*

336 Rats used in this part of the study had higher baseline and endpoint body weight compared to  
 337 the chronic experiment due to their higher age (Figure 6.A.). In a glucose challenge test, blood glucose  
 338 peak and incremental AUC of glucose response tended to be lowered by nutraceuticals; however,  
 339 this was not statistically significant ( $t=1.645$ ,  $P=0.117$ ) (Figure 6.B.). In contrast, plasma insulin levels  
 340 measured hourly after the glucose load were not altered by the intervention (Figure 6.C.).  
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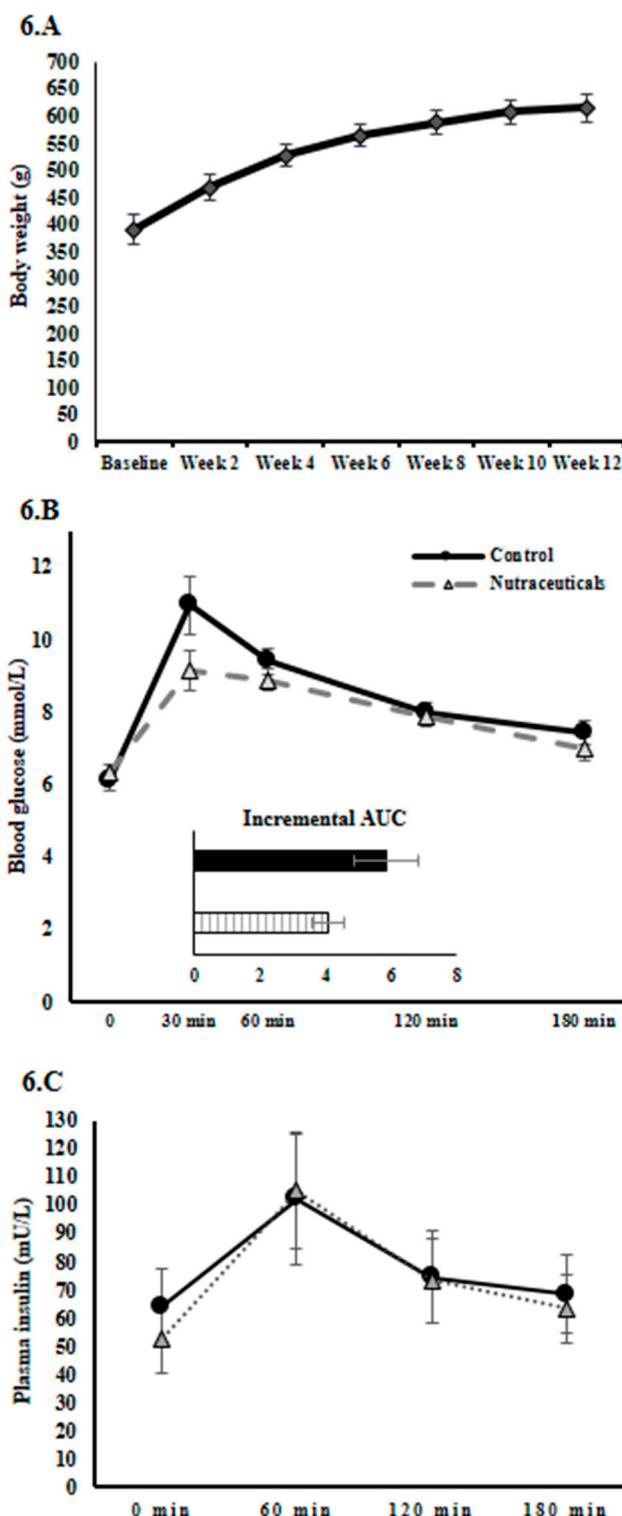
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**Figure 6.** Results from the acute cross-over study. (A) Growth chart of the 11 rats used in the acute study; (B) Mean blood glucose levels during the OGTT (started 90 minutes after receiving a placebo or medicated pellet) are plotted against time on the upper part and below a bar chart displays its incremental area under the curve in each group. (C) The insulin response measured in plasma every hour after the glucose challenge.

379

380 **4. Discussion**

381 We studied the effects of a combination of coffee nutraceuticals on the key parameters of diet-  
 382 induced MetS in rats using a range of standard and state-of-the-art assessment methods. HFHF-fed  
 383 rats appropriately reflect the Western diet-induced MetS and NAFLD. Since a similar chemical  
 384 combination has never been used in an *in-vivo* setting, we compared our findings to the previous  
 385 studies that used decaffeinated coffee and a comparable animal model (Table 2).

386

387 **Table 2.** A comparison of intervention dosage and duration of the present study and selected published  
 388 studies on hydroxycinnamic acids and/or decaffeinated coffee extract. Human equivalent dosage enables  
 389 the comparison between different species used in different studies. For the present study, the dosage of  
 390 both caffeic acid and total compounds are presented to facilitate the comparison.

	Model species	Intervention duration (weeks)	Intervention	Dosage (mg/day)	Human equivalent dosage
Present study	Sprague-Dawley rat	12	Chemicals combination	51	1428
			CA	30	840
				3	507
Song et al., 2014	C57BL/6N mouse	11	DCBE	9	1521
				27	4563
			CGA	4.5	761
Peng et al., 2015	Sprague-Dawley rat	12	CGA	7	196
				32	896
Mubarak et al. 2013	C57BL6 mouse	12	CGA	0.3	51
Li Kwok Cheong et al., 2014	C57BL6 mouse	12	DCBE	15	2535
Ho et al., 2012	C57B6SJL mouse	20	DCBE	2.8	473
Jia et al., 2014	C57BL/6J mouse	9	DCBE	60	10140
Shearer et al., 2007	Sprague-Dawley rat	4	DCBE	1600	44800

Assumptions: human/mouse conversion factor = 169; human/rat conversion factor = 28; HF-fed mouse body weight = 35 g; mouse food intake = 3 g/day; Acronyms: CA: caffeic acid; DCBE: decaffeinated coffee bean extract; CGA: chlorogenic acids

391  
 392 Rats received the nutraceuticals in a dosage corresponding to high-moderate coffee  
 393 consumption (6-8 cups/day) in an adult human. Half of this amount was used as a single-dose in the  
 394 acute study. A continuous delivery method via drinking water was favored over single daily dosing  
 395 due to a relatively short plasma half-life of caffeic acid and trigonelline. Comparing the plasma levels  
 396 of metabolites achieved by continuous administration of 30 mg of caffeic acid in 24 hours with the  
 397 levels reported previously after giving a 25-mg single dose [18], indicates a reasonable delivery of  
 398 compounds via drinking water in the present study.

399 The observation that coffee nutraceuticals did not alter weight gain rate and food intake in our  
 400 study can be elucidated under the light of Song et al. [7] findings. The authors have proposed the  
 401 minimum effective dosage of decaffeinated coffee bean extract (DCBE) on energy homeostasis to be  
 402 0.3% W/W of food, approximately equal to 9 mg per day in a mouse which is higher than the dosage  
 403 we used in the present study (Table 2). Pure 5-CQA, the most abundant CQA isomer in coffee,  
 404 however, was effective in comparable dosage to caffeic acid in our formulation which points to a  
 405 higher efficacy [7]. Studies which used lower concentrations [19,20] or shorter intervention periods  
 406 [21] did not detect any significant effect on weight development. Two obesity-related cytokines were  
 407 measured at endpoint: adiponectin and IL<sub>1b</sub>. Adiponectin is an adipokine released from the adipose  
 408 tissues in response to caloric restriction. There is evidence suggesting that adiponectin is positively  
 409 associated with insulin sensitivity and negatively correlated with body weight and fat accumulation  
 410 [22]. Higher secretion or reduced clearance of adiponectin after long-term consumption of coffee  
 411 nutraceuticals indicates that these chemicals may favorably influence adipose tissue metabolism and  
 412 modify the development of some obesity complications e.g. the IR. Similar positive association  
 413 between coffee consumption and plasma adiponectin was also reported in humans [23]. IL<sub>1b</sub>, a pro-  
 414 inflammatory cytokine, was quantified to evaluate the potential anti-inflammatory properties of  
 415 coffee compounds. However, in the present study, no significant effect was detected.

416 The considerable increase in fasting blood glucose in both groups after week 9 was plausibly  
 417 driven by an increased IR and glucose intolerance induced by HFHF diet as shown previously [13].  
 418 The fact that lower levels of insulin in the intervention group controlled fed blood glucose in  
 419 comparable levels to the controls points indirectly to higher insulin sensitivity, which was supported  
 420 by the HOMA-IR calculations. Supposedly, the effect size of our nutraceuticals mixture was not big  
 421 enough to be reflected in other insulin sensitivity tests such as the OGTT and fasting insulinemia.

422 This is roughly similar to what other authors reported with lower or comparable intervention dosages  
423 [7,20,24]. The role of treatment duration on glucose homeostasis can be inferred from the significant  
424 improvement of glucose tolerance with long-term administration of a relatively low dose of DCBE in  
425 a study by Ho et al. [19] and insignificant changes with short-term treatment with high doses [25].  
426 After acute oral administration of coffee nutraceuticals, we observed an insignificant decline in  
427 glucose and no effect on insulin response. It was reported previously that 36 mg of 5-CQA given by  
428 gavage together with a mixed meal, decreased glucose but not insulin response in nonobese rats [26].  
429 Pretreatment with intravenous caffeic acid (1 mg/kg) diminished glycemia after a parenteral load of  
430 glucose in healthy rats [27]. The relatively low oral bioavailability of caffeic acid may explain the  
431 inferior effectiveness of oral versus intravenous administration.

432 Our mixture of nutraceuticals failed to shift the rats' plasma lipid profile in contrast with what  
433 has been reported with chlorogenic acids and DCBE [7,28]. This was presumably due to the resistance  
434 of rats to the cholesterol-raising effects of cafestol [29], low dosage [7], and the lack of compounds  
435 such as melanoidins, which showed effectiveness in reducing plasma triglycerides [30]. The  
436 considerable reduction in circulating NEFAs may have been caused by the substantial  
437 hyperinsulinemia in both groups in the fasting state which can suppress the release of NEFAs from  
438 adipose tissues.

439 Effects of coffee nutraceuticals on NAFLD as a feature of the MetS is of special interest. The  
440 composition and dosage we used in this study were enough to visibly diminish the grade of fatty  
441 change in the liver and tended to reduce the fat content of hepatocytes. In previous *in-vivo* studies,  
442 higher dosages of DCBE and coffee polyphenols showed significant potency in decreasing lipid  
443 content and steatotic changes of hepatocytes in HF-fed rodents [28,30]. Data from interventional  
444 clinical research on the effects of coffee compounds on NAFLD patients is not available at this time.  
445 We also utilized Hyperpolarized-[1-<sup>13</sup>C]pyruvate MR spectroscopy in order to assess the effects of  
446 coffee compounds on intrahepatocellular carbohydrate metabolism. Hyperpolarization of <sup>13</sup>C  
447 through the process of dynamic nuclear polarization increases the signal-to-noise ratio of MR  
448 spectroscopy by more than 10,000 times in liquid-state and enables metabolic imaging of  
449 intermediary metabolites in the living subjects [31]. In our study, we spotted that the share of alanine  
450 in the pool of pyruvate metabolites in the nutraceuticals group is reduced. This change can be  
451 translated into a modified activity of ALT in the liver, which is compatible with the diminished ALT  
452 activity in the intervention rats' plasma. Since the *in-vivo* level of hepatic alanine increases with the  
453 progression of diet-induced liver steatosis [32], this finding may be a signal of a hepatoprotective  
454 mechanism of the nutraceuticals. Whether the rising trend in lactate/pyruvate ratio in the  
455 intervention group resulted from an inhibitory effect on downstream gluconeogenesis similar to  
456 what that was reported with metformin [33] or is caused by an increased lactate dehydrogenase flux  
457 secondary to ALT inhibition is yet to be elucidated. There were no significant differences in  
458 bicarbonate conversion rate, an indicator of pyruvate dehydrogenase complex activity, between  
459 groups. Knowing that fasting can influence the rate of liver pyruvate metabolism [34], we examined  
460 all animals in the fed state.

461 Critical perspectives: 1- Viewing the study retrospectively, it may be a point of contention  
462 whether replacing all carbohydrates in a HF diet with fructose makes a more effective HFHF diet  
463 compared to the standard form of HFHF or not; 2- For future research, mixing nutraceuticals with  
464 food appears to be a superior option in favor of both dosing accuracy and avoiding uncertainty with  
465 solubility and precipitation. 3- Caffeine is a significant part of the coffee chemical composition, and  
466 long-term caffeinated coffee has exhibited a multiplicity of benefits on different aspects of human  
467 health despite its aggravating short-term effects on insulin sensitivity [35]. A similar caffeinated  
468 formula might have higher long-term efficacy in improving the metabolic state of MetS subjects. 4-  
469 Higher effect size and broader impact of CQAs on IR and lipid metabolism [7,36] compared to what  
470 we observed with similar doses of caffeic acid raise a question mark regarding the generally-accepted  
471 notion of 2:1 metabolic equivalency of CQA to caffeic acid, something that warrants further  
472 investigation.

473

474 **5. Conclusions**

475 Long-term administration of a combination of nutraceuticals from the three main groups of  
 476 coffee compounds provided an improvement in insulin sensitivity in the fed state and increased the  
 477 plasma levels of adiponectin. Mitigating hepatocyte damage presented with a decline in  
 478 intrahepatocellular and circulating ALT activity points to a level of hepatoprotection against steatosis  
 479 with modest histological changes. We presume that the daily dose of nutraceuticals utilized in this  
 480 study touches upon their minimum effective dosage. Higher dosages and/or longer periods of  
 481 administration are likely to impact broader aspects of the diet-induced MetS with improved efficacy.

482 **Supplementary Materials:**

483 **Table S1.** Compound-dependent LC-MS/MS parameters, declustering potential (DP), entrance potential (EP),  
 484 collision energy (CE) and cell exit potential (CEP).

	Q1 mass	Q3 mass	DP	EP	CE	CEP
Caffeic acid	179.0	135.0	-35	-10	-21	-11
Ferulic acid quantifier	193.0	134.0	-52	-10	-21	-9
Ferulic acid qualifier	193.0	178.0	-52	-10	-17	-15
IS quantifier	184.0	139.8	-43	-10	-16	-10
IS qualifier	184.0	82.8	-43	-10	-22	-9

485

486 **Table S2.** Recovery percentile of caffeic and ferulic acid after sample pretreatment at three spiked concentrations:  
 487 low (0.195 ng/mL), medium (1.56 ng/mL) and high (6.25 ng/mL) (n=5).

	Recovery %		
	Low	Medium	High
<b>Caffeic acid</b>	69.2 ±3.6	82.9 ±2.1	74.6 ±1.2
<b>Ferulic acid</b>	100.7 ±1.9	92.4 ±1.7	88.4 ±1.0

488

489 **Acknowledgments:** Our special thanks go to Mette Hartmann and Trine Kannegaard Kristensen for their  
 490 significant contribution with handling and maintaining the animals and performing the OGTT. We are grateful  
 491 to Lene Trudsø and Eva Mølgaard Jensen for carrying out the laboratory tests and providing technical support.  
 492 We thank Henrik Vestergaard for his skillful assistance with the MR spectroscopy experiments. Authors would  
 493 also like to appreciate Professor Knud Erik Bach Knudsen and Senior Researcher Mette Skou Hedemann for  
 494 their valuable scientific and practical contributions. This project was financially supported by grants from  
 495 Innovation Fund Denmark (grant no. 1308-00028B), Danish Diabetes Academy supported by the Novo Nordisk  
 496 Foundation, and Aarhus University Research Foundation.

497

498 **Author Contributions:** PSh, KH, SG, and PBJ conceived and designed the experiments; PSh and PBJ performed  
 499 the animal experimentations; CL, HQ, and HSJ performed the MRI experiments and analyzed the data; SJHD  
 500 performed the histological examinations and contributed tools and reagents; NN performed the analytical  
 501 chemistry experiments, contributed reagents and analyzed the data; PSh and NN wrote the manuscript and SG  
 and KH contributed significantly in reviewing the first draft.

502      **Conflicts of Interest:** The authors declare no conflict of interest. The founding sponsors had no role in the design  
503      of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the  
504      decision to publish the results.

505

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