

1 Review

2 Label Free Biosensor Methods in Detection of Food 3 Pathogens and *Listeria monocytogenes*

4 Rajeswaran Radhakrishnan ¹ and Palmiro Poltronieri ^{2,*}

5 ¹ Faraday Technology Inc., Englewood, OH, USA; Rajeswaran@faradaytechnology.com

6 ² ISPA-CNR, National Research Council, 73100 Lecce, Italy; palmiro.poltronieri@ispa.cnr.it

7 * Correspondence: palmiro.poltronieri@ispa.cnr.it; Tel.: +390832422609

8 **Abstract:** Food pathogens contaminate food products that allow their growth on the shelf and also
9 under refrigerated conditions. Therefore, it is of utmost importance to lower the limit of detection
10 (LOD) of the method used and to obtain the results within hours to few days. Biosensor methods
11 exploit the available technologies to individuate and provide an approximate quantification of the
12 bacteria present in a sample. The main bottleneck of these methods depend on the aspecific
13 binding to the surfaces and on a change in sensitivity when bacteria are in a complex food matrix
14 in respect to bacteria in a liquid food sample. In this review we introduce Surface Plasmon
15 Resonance (SPR), new advancements in SPR techniques, and Electrochemical Impedance
16 Spectroscopy (EIS), as label-free biosensing technologies for the detection of *L. monocytogenes* in
17 foods. The application of the two methods has made possible the detection of *L. monocytogenes*
18 with LOD of 1 log CFU/mL. Further advancement are envisaged through the combination of
19 biosensor methods with immunoseparation of bacteria from larger volumes.

20 **Keywords:** *Listeria monocytogenes*; label-free biosensors; Surface Plasmon Resonance (SPR);
21 Electrochemical Impedance Spectroscopy (EIS)

23 1. Introduction

24 Food pathogens, either anaerobic, microaerophilic or aerobic species, are contaminating food
25 processing plant surfaces or originate from food sources. Therefore, a limit has been fixed for the
26 presence of colony forming units of pathogens. *Listeria monocytogenes* can contaminate a wide range
27 of foods, including yogurt, cheeses, meat, ham, smoked salmon, poultry, seafood and vegetable
28 products, especially their surfaces. It's presence poses a real threat to ready-to-eat foods, since the
29 bacteria can survive and proliferate in adverse environmental conditions during food production
30 and storage (such as low pH, refrigerated temperatures and high salt concentration).

31 International regulation for ready-to-eat foods requires corrective actions in the presence of
32 *L. monocytogenes*: the bacteria count should be lower than 0.04 CFU g⁻¹ for food that supports the
33 growth of the microorganism, and 100 CFU g⁻¹ for food not supporting the survival. It is necessary
34 to determinate absence of *L. monocytogenes* in foods destined to infants [1-4]. Microbiological criteria
35 for *L. monocytogenes* in food safety are based on microbiology laboratory culture methods.
36 *L. monocytogenes* detection methods, i.e. UNI EN ISO 11290-1:2005, include a pre-enrichment step of
37 a food sampling followed by growth on selective solid medium: the protocol takes 4 days for
38 completion. Molecular methods have taken the lead in pathogen detection, thanks to shorter times
39 of handling, with results obtained in few hours. Real Time PCR is the main molecular method
40 applied in food analysis: Recently highly reliable diagnostic kits, such as the AFNOR validated
41 iQCheck, allowed to limit the pre-enrichment step to 18 hours, eliminating 1 day of culture,
42 combined with reliable Real Time PCR analysis kits [3-5].

43 Biosensors have been applied to pathogen detection in liquid and solid food samples, but only in
44 few cases a low Limit of Detection (LOD) in the range of the LOD achieved by PCR has been
45 obtained. Recently, performing immunomagnetic separation on pre-enrichment cultures of *L.*
46 *monocytogenes*, combined with protein chip detection, the methods obtained a good sensitivity
47 with values reaching the sensitivity of PCR analysis [3]. Therefore, it is the interest of food industry
48 players to see novel methods able to provide a fast response and high sensitivity comparable to the
49 iQcheck and AFNOR validated methods for real time diagnosis of contamination in foods [3, 4].

50 In this review we will introduce label free biosensor methods applied to bacteria detection, either
51 focused on *L. monocytogenes* [6-8], or other pathogens contaminating food products.

52 Biosensors often rely on label-free detection methods, such as Surface Enhanced Raman Scattering
53 (SERS), electrochemical methods, Lateral Flow Immuno-Assays, and on applications of metal
54 nanoparticles, quantum dots, and nanomaterials for surface modification [9-23]. Among the
55 detection methods providing signal enhancements at low bacteria contamination, the
56 electrochemical methods, in which signal amplification is achieved through enzymes and redox
57 cycling, have been recently extensively reviewed [17-20].

58 In this review we will focus on Surface Plasmon Resonance (SPR) and on SPR combined with
59 immunoseparation from pre-enrichment broths [1-3, 8] and on Electrochemical Impedance
60 Spectroscopy (EIS).

61

62 2.1.1. SPR methods

63 The system of optical approach based on surface plasmon resonance (SPR) is performed with a light
64 source and thin metallic material (Au). SPR is an optical technique that uses the evanescent wave
65 produced by an incident, monochromatic light beam. The light beam interacts with free electrons
66 (plasmons) in the metal film at the α angle (SPR angle) of incident light. The angle is dependent on
67 the metal-dielectric interface. The prism based Kretschmann configuration is based on the excitation
68 of a surface-bound electromagnetic wave from the metal side. The binding event between the
69 investigated antigen and the capture antibody is recorded through the detection of a shift of the
70 reflected light toward higher values of the SPR angle, or through a change in reflectance at a fixed
71 angle, measuring changes in refractive index close to the sensor surface. SPR, exploiting as capture
72 ligands either species-specific antibodies, as well as nucleic acid aptamers, has been extensively
73 applied to pathogen detection.

74 However, using SPR methods, the results have been often limited to clear solutions with inoculated
75 bacteria, and the LOD has been often too high and unsatisfactory for food safety applications. In
76 various reports, SPR immunosensor measurements detected *L. monocytogenes* and *Salmonella* spp.
77 cell suspensions at a concentration of 3 to 4 log CFU/ml [7, 24-27].

78 In addition, low analytical sensitivity is either the result of a small refractive index, slow diffusion
79 driven mass transfer, or the insufficient depth of the influenced layer. These are intrinsic problems
80 in the conventional SPR methods. For the extension of SPR applications to the food safety, either
81 new surfaces [28-30] have been tested, either new portable instruments have been developed [31].

82

83 2.1.2. SPR Imaging in multiplex: multichannel SPR biosensors

84 SPR methods are able to evaluate bacterial presence in multiplex. Grating-coupled surface plasmon
85 resonance imaging (GCSPRI) has been applied to multiplexed detection of microbes, toxins and

86 viruses. In GCSPRI, disposable grating systems are obtained by deposition of thick metal films.
87 Then, the wave is excited from the transparent material side: the sample is placed on a
88 topographically-located position on the surface [32-34]. This system does not require a prism.
89 GCSPRI was able to measure at the same time the binding of multiple regions of interest (ROIs)
90 through an array of specific capture molecules immobilized on the surface.

91

92 2.1.3. Enhancement of Sensitivity by Combining SPR with A Labeling or Capturing Method

93 Standard SPR detection has been performed at relatively high concentrations of bacteria, often
94 leading to non-specific binding. To circumvent this limitation, For this reason, improvement of the
95 SPR detection power has been achieved with an additional hybridization step. The sensitivity was
96 significantly increased through the addition of antibody-nanoparticle conjugates (gold NP), as
97 signal enhancers exerting a mass effect. The enhancement of the signal from bacteria bound to the
98 antibodies on the gold surface [35] has been applied also to other detection methods based on
99 capture antibodies bound to a gold surface, such as the Quarz Crystal Microbalance (QCM) [35].
100 As reported previously, antibody-functionalized gold nanoparticles (immuno-AuNP) have been
101 added to bacteria captured on the surface, to enhance the SPR signal, producing an increase in the
102 reflectance units, with a LOD at an *L. monocytogenes* concentration of 2 log CFU/mL [1].

103 A new, indirect method capable of enhancing SPR sensitivity is Localised Surface Plasmon
104 Resonance (LSPR) [36-38]. The LSPR approach has been applied to label-free, real-time pathogen
105 detection, and small, cost-effective LSPR biosensor systems have been constructed. Although LSPR
106 has problems similar to SPR, especially the rapid decay of surface plasmon, employing small
107 binders, such as the Fab portion of antibodies and aptamers, and by surface modification of the
108 nanoparticles, an enhanced signal has been obtained .

109 Long-range SPR was also combined with magnetic nanoparticles and Au nanoparticles. In the
110 detection of pathogens, the SPR propagated along a thin metal film, embedded in a symmetrical
111 layer architecture with optimised refractive index, resulting in a dark-field light-scattering imaging
112 technique: detection was achieved within 30 min [23].

113

114 2.2. EIS

115 Electrical biosensors rely solely on the measurement of currents and/or voltages to detect binding
116 [39-41]. Electrical biosensors can be further subdivided according to how the electrical
117 measurement is made, including voltammetric, amperometric/coulometric, and impedance sensors.
118 Among these, *impedance biosensors* measure the electrical impedance of an interface in AC steady
119 state with constant DC bias conditions. Most often this is accomplished by imposing a small
120 sinusoidal voltage at a particular frequency and measuring the resulting current; the process can be
121 repeated at different frequencies. The current-voltage ratio gives the impedance. This approach,
122 known as Electrochemical Impedance Spectroscopy (EIS), has been used to study a variety of
123 electrochemical phenomena over a wide frequency range [42]. If the impedance of the
124 electrode-solution interface changes when the target analyte is captured by the probe, EIS can be
125 used to detect that impedance change. Alternatively, the impedance or capacitance of the interface
126 may be measured at a single frequency. Impedance measurement does not require special reagents
127 and is amenable to label-free operation. Due to their low cost, low power, and ease of

128 miniaturization, impedance biosensors hold great promise for applications where minimizing size
129 and cost is crucial, such as point-of-care diagnostics and biowarfare agent detection.

130

131 *2.2.1. Fabrication of Impedance Sensor*

132 Impedance biosensors are fabricated by immobilizing a biorecognition molecule onto a conductive
133 and biocompatible electrode and then detecting the change in the interfacial impedance upon
134 analyte binding. Biorecognition molecules may include antibodies, receptor proteins,
135 single-stranded DNA, aptamers, or peptides. Impedance biosensors can detect a variety of target
136 analytes by simply varying the probe/bio recognition molecules used. This makes impedance
137 biosensors ideal for detection of food pathogens [43], food allergens [44], and environmental
138 monitoring of species such as endocrine disrupting chemicals (EDCs) [45].

139 The right choice of electrode materials is the key to the successful performance of any biosensor. It
140 is important to choose the right material, so that its functionalisation can be achieved with relative
141 simplicity. For electrochemical biosensors, the electrode materials are constrained by the
142 requirements for both high electrical conductivity and biocompatibility. Biomolecules often
143 denature with prolonged exposure to metal surfaces. To date, this has limited the electrode
144 materials in electrochemical biosensors primarily to gold, platinum and carbon [46]. Though the
145 immobilization of biomolecules onto carbon electrodes may provide excellent stability [47-48], they
146 have the well-known drawback of exhibiting complex electrochemistry. That mainly depends on
147 type of carbon, surface preparation and on chemical treatment [49]. Si also has been widely used
148 as a biosensor material. Although, many combinations of metal surfaces and organic molecules
149 have been studied for impedance sensing, noble metal surfaces (especially Au) have attracted the
150 the highest interest for preparing structurally well-defined chemical interfaces for biosensing.

151

152 *2.2.2. Linkage of Bio-molecules onto Au Surface*

153 There are two different ways of attaching molecules onto a gold surface. One is the direct
154 attachment method, where the biomolecules are chemically modified, such that they have -SH
155 groups as their terminal. One such example is shown in figure 1. Protein molecules can directly
156 attach to the gold surface due to the hydrophobic and electrostatic interactions between the protein
157 molecule and the gold surface [50]. While this direct attachment method serves as the simplest
158 immobilization scheme, it is not suitable for EIS based sensing due to several reasons.

159 The surface coverage might be low. This is especially true for proteins and antibodies, which differ
160 in shape, size, and orientation [51]. Direct attachment is more suitable for molecules, such as DNA,
161 which have a well defined structure [52]. It is challenging to block the active surface sufficiently.
162 Since the molecules can be randomly arranged over the gold surface, it is difficult to prevent the
163 non-specific adsorption of interfering molecules [51]. The adsorption of proteins onto the gold
164 surface can be reversible. The proteins can be easily removed from the surface using certain
165 solvents such as acetone and detergents such as Tween [53].

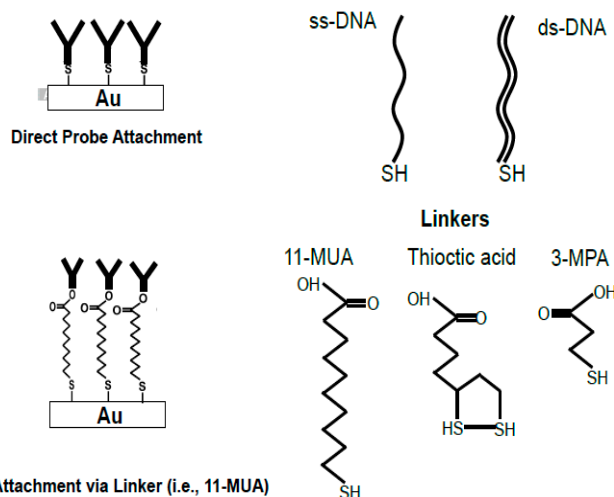


Figure 1. Direct and indirect attachment of molecules onto gold surfaces.

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168

169 One way to overcome this issue is to use linker molecules. Functional alkanethiols serve as suitable
 170 linkers. Sulphur compounds (eg., thiol compounds) have a strong affinity to gold surfaces [54]. The
 171 gold-sulphur attachment occurs due to the oxidative addition of -SH bond to the gold surface. The
 172 bonding of the -SH group to the gold surface is very strong (the bond strength is approximately
 173 10kT) [54].

174 Figure 1 shows some examples of functional alkanethiols (11-MUA, 3-MPA and thioctic acid). All of
 175 these linkers have -SH groups, which can bind to the gold surface, and a functional COOH group.
 176 This COOH group can be modified to form NHS esters, using the EDC/NHS protocol [53]. The
 177 covalent binding between the NHS ester group and the amine group in proteins is irreversible and
 178 hence the use of linker molecules enhances the stability of protein attachment to the gold surface.
 179 Furthermore, the alkanethiols form self-assembled monolayers on the gold surface. These SAM
 180 layers have a well-defined composition, structure, and thickness [54].

181

182 2.2.3. Attaching Bio-Molecules

183 To covalently attach bio-molecules (probes) such as antibodies and proteins, NHS/EDC coupling
 184 chemistry has been most commonly used to form amine-reactive sites on functionalized gold or Si
 185 electrodes. These amine-reactive sites are subsequently exposed to probes [55], resulting in protein
 186 covalently bound to the surface. Most published reports use target proteins of real-world interest
 187 but in highly purified conditions. Much effort is still required to bring about robust analysis of
 188 clinical samples using impedance biosensors to enable point-of-care applications. Key challenges
 189 include poor reproducibility, non-specific binding, and the complex and highly variable nature of
 190 clinical samples.

191

192 2.2.4. Technical challenges for Impedance Biosensors

193 Although impedance biosensors have been well studied in the academic literature [46-48], it is
 194 widely considered to have some technical limitations that have hindered their commercial
 195 introduction, including: Susceptibility to nonspecific adsorption in complex matrices, Stability and
 196 reproducibility for biomolecule immobilization onto a conductive electrode material and
 197 Complexity of impedance detection. These challenges are not only specific to impedance

198 biosensors. For example, non-specific adsorption is a common problem for all biosensor
199 transduction methods.

200

201 2.2.4.1. Susceptibility to Non-specific Adsorption

202 The most frequently cited practical concern regarding impedance biosensors is the perception that
203 this method is particularly susceptible to interference arising from non-specific adsorption.
204 Non-specific adsorption is without question a common limitation for a wide variety of different
205 biosensor methodologies [56-58]. Non-specific adsorption is typically ascribed to proteins contained
206 in a complex test matrix binding to the sensor interface through unwanted process not involving
207 biomolecular recognition. Thus non-specific adsorption can be studied by control experiments
208 using either complex test matrices or mixtures of different proteins or analytes.

209 While non-specific adsorption may cause spurious signals during impedance biosensing, several
210 methods have been employed to mitigate this, including sample dilution [59-60], adsorption of a
211 blocking reagent such as bovine serum albumin (BSA) [58], and use of a control electrode at which
212 biomolecular recognition is unlikely [61]. The utility of sample dilution depends on the particular
213 application and the desired detection limit. When a monoclonal antibody is used for biomolecular
214 recognition, a control electrode can be used with another antibody from the same animal and
215 sub-type whose antigen is unlikely to be found in the test matrix of interest. Recently, Suni et al.
216 reported impedance detection of *Listeria monocytogenes* in tomato pulp with limit of detection of 4
217 CFU/ml [43], and demonstrated that non-specific adsorption was un-measurable. Non-specific
218 adsorption was quantified by comparing the impedance change at the measurement electrode
219 (mouse monoclonal IgG₁ antibody to *L. monocytogenes*) to that at a control electrode (mouse
220 monoclonal IgG₁ antibody to GAPDH). This approach depends on the availability of adequate
221 control electrodes whose antigen is not present in the samples of interest, and with no
222 cross-reactivity to the analyte of interest. It should be noted that the use of multiple measurement
223 antibodies with different binding epitopes, and multiple control antibodies, are both relatively
224 straightforward.

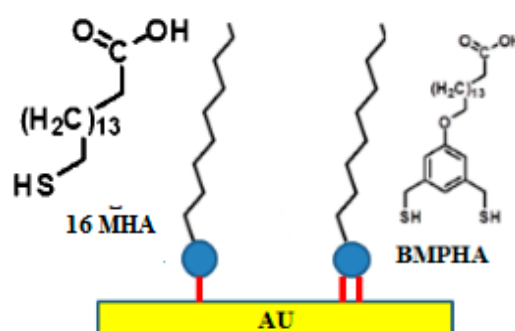
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226 2.2.4.2. Stability of Biomolecule Immobilization onto a Conductive Electrode Material

227 For impedance biosensing, biomolecule immobilization onto a conductive and biocompatible
228 electrode material is most commonly accomplished through Au-thiol self-assembly chemistry [62].
229 However, the limited stability of Au-thiol self-assembly chemistry to date limits its application to
230 impedance biosensors [63]. Depending on storage conditions, the shelf life is limited to days to
231 weeks. Durable chemistry for biomolecule immobilization is also needed for sensor calibration,
232 which often involves the use of aggressive chemicals.

233 A significant increase in stability can be achieved using multidentate thiols relative to monodentate
234 thiols [64-65]. In most cases, these multidentate alkanethiols readily generate SAMs on both flat and
235 curved gold surfaces at room temperature, and show an enhanced ability to withstand exposure to
236 elevated temperatures in thermal desorption studies. The driving force of their stability is the chelate
237 effect, which is the free energy of the entropically favored bidentate binding can be twice that of
238 monodentate binding [66]. Prof. T. Randall Lee's research group at the University of Houston
239 recently reported SAM formation on Au from the bidentate thiol
240 16-[3,5-bis(mercaptomethyl)phenoxy]-hexadecanoic acid (BMPHA) [44]. With the aforementioned

241 results in mind, Dr. Radhakrishnan, et.al, recently characterized the carboxylic acid-terminated
242 alkanethiol, BMPHA, in an effort toward the generation of highly stable carboxylic acid-terminated
243 organic thin films [67]. To provide a more complete analysis of the effectiveness of this class of
244 adsorbate, they prepared and compared this SAM against the monothiol 16-mercaptohexadecanoic
245 acid (16-MHA) based SAM. The detection limit for *Ara h 1* allergen using the BMPHA linker is
246 approximately 0.71 ng/mL (0.01 nM), which is about 10x lower than that obtained using the
247 monodendate thiol, 16-mercaptohexadecanoic acid (16 MHA). Structures of both adsorbates are
248 shown in figure 2.
249



250

251

Figure 2. Structures of two carboxylic acid terminated alkanethiols.

252

253 Other substrate materials that have been reported for impedance biosensors include carbon [68-69],
254 Si [70-71], Pt [72-73], Ti [74-75], and ITO [76-77]. Recently, degenerate (highly doped) Si was
255 reported as an alternative electrode material for impedance biosensors[78]. Degenerate Si behaves
256 as an electrical conductor, albeit a poor one, rather than a semiconductor, preventing formation of a
257 space charge layer during AC interrogation of the sensor interface. Radhakrishnan and Suni
258 illustrated results demonstrating the ability to regenerate antibody coated Si electrode during a
259 30-day trial period of storage using KSCN based solution [79]. This illustrated the potential for
260 this methodology to be used for storage of antibody-coated degenerate Si electrodes, with
261 calibration on the day they are used and reusability of same electrodes.

262

263 2.2.4.3. Complexity of Impedance Detection

264 Although substantial progress has been made in impedance based sensors, there are still some
265 obstacles to be overcome for them to be used towards on-site detection. Firstly, many impedimetric
266 tests would require sophisticated and often time consuming data processing to extract binding
267 related information. Expensive benchtop impedance analyzers are usually needed if high test
268 frequencies (>1 MHz) are used. Secondly, most of the reported impedance assays are conducted
269 with highly processed samples. To be viable as an on-site diagnostic system, sample preparation
270 must be simple enough to be performed on site. Thirdly, most of the currently tested systems still
271 require at least 30 minutes to perform a single assay on abundant molecules or longer time for more
272 diluted analytes. While this is an improvement over the standard enzyme-linked immunosorbent
273 assay (ELISA) method, an incubation time of half an hour or more may still be too long under some
274 circumstances. The assay time can be improved by incorporating the AC electrokinetic (ACEK)

275 microfluidics [80] which was emerged in the 1990s, with impedance sensor. The ACEK effects have
276 been intensively studied as a means to manipulate particles or macromolecules. It has been
277 demonstrated by several groups [81-84] that ACEK working with microelectrodes can induce in situ
278 concentration of particles for improved detection sensitivity and throughput. ACEK, as a particle
279 and fluid manipulation mechanism, has minimal requirements on the device fabrication and
280 operation to be incorporated into a detection system – only microelectrodes and their AC signal
281 source need to be added. ACEK effects use an AC electric field to induce particle and fluid
282 movement, so that macromolecules can be in situ concentrated onto microsensors [85]. When an
283 inhomogeneous AC electric field is applied to an aqueous solution, both particle movement and
284 microflows can be induced to transport particles. Direct particle movement can be caused by
285 dielectrophoresis (DEP), and particle can also be carried by microflows such as AC electroosmosis
286 or AC electrothermal flows to reach the microsensor. The manipulation of particles by DEP is based
287 on the difference between the particle polarizability and that of the medium solution at a certain
288 frequency. An AC electric field can generate microflows through one or both of two ACEK
289 mechanisms known as AC electroosmosis (ACEO) and AC electrothermal (ACET) effect. ACEO
290 typically dominates at low ionic strengths. The flow velocity of ACEO has been observed to
291 decrease significantly with increasing conductivity and eventually drop to zero above 0.085 S
292 m⁻¹[86, 87]. Many medical and biological applications involve the use of solution with high
293 conductivity, so the ACEO flow will be negligible. The ACET effect arises from inhomogeneous
294 heating of electrolytic fluids by passing electric current. Therefore, the ACET flow is almost
295 frequency-independent, and scales with the electrical conductivity of fluid. With planar electrodes,
296 the ACET effect will induce vortices above each electrode, and the microflows will convect the
297 embedded particles towards the electrode surface [86]. Because fluidic forces have no dependence
298 on particle size, ACET microflows will be well suited for transporting macromolecules to the
299 electrodes. Recently, Liu, et.al successfully showed that the ACET effect has played an important
300 role in increasing detection sensitivity [87]. Thus, Combining DEP and ACET effects, the
301 ACEK-based impedance sensor can be very effective in enriching nanoscale particle concentration
302 over a large range and realizing accelerated detection.

303

304 3. Conclusions

305 The application of biosensing methods, such as enhanced SPR and EIS biochips, has made possible
306 the detection of *L. monocytogenes* with LOD as low as 1 log CFU/ml [88]. Further advancement are
307 envisaged through the application of superior surface modification methods, such as the use of
308 bidentate thiol 16-[3,5-bis(mercaptomethyl)phenoxy]-hexadecanoic acid (BMPHA), the application
309 of proper solutions to stabilize the capture ligands, and the application of ACEK microflow
310 mechanisms, such as AC electroosmosis (ACEO) and AC electrothermal (ACET) effect.
311 Furthermore, an increase in the limit of detection may be achieved by combining the biosensor
312 methods with immunoseparation of bacteria from larger volumes. It is envisaged that improved
313 biosensing methods can respond to food safety issues in the shortest time possible and provide
314 safety certification to the food chain even at retailer shops and refectory level.

315

316

317 **Author Contributions:** R.R. reviewed the work done using EIS, P.P. described the techniques for SPR
318 biosensors.

319 **Conflicts of Interest:** The authors declare no conflict of interest.

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