

1 Article

# 2 Concentrations of carotenoids and tocopherols in 3 breast milk from urban Chinese mothers and their 4 associations with maternal characteristics: a 5 cross-sectional study

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19 **Abstract:** This study aims to quantify carotenoids and tocopherols in human milk from healthy  
20 Chinese women, and to explore their associations with region, lactation stage, and maternal  
21 socio-economic and obstetric factors. Human milk was obtained from 509 healthy mothers and the  
22 compounds of carotenoids and tocopherols were analyzed by high-performance liquid  
23 chromatography after mild saponification and solvent extraction. Socio-economic and obstetric  
24 characteristics of the mothers and their dietary intakes through a single 24-hour dietary recall were  
25 evaluated. The median content of each component [ $\mu\text{g}/100\text{mL}$ , median (interquartile range)] in  
26 colostrum and mature milk was, respectively,  $\beta$ -carotene 8.0 (4.7-15.2) and 1.8 (1.4-2.7),  
27  $\beta$ -cryptoxanthin 6.2 (2.4-12.9) and 1.8 (1.1-3.4), lutein 5.7 (2.9-10.2) and 3.4 (1.5-6.0), lycopene 6.3  
28 (4.0-9.9) and 1.4 (1.1-2.0), zeaxanthin 1.0 (0.6-1.5) and 1.0 (0.6-1.4),  $\alpha$ -tocopherol 645 (388-1176) and  
29 211 (131-321),  $\gamma$ -tocopherol 68 (48-121) and 77 (45-120). The levels of all those vitamins presented  
30 regional differences, and decreased as lactation stage increased except for zeaxanthin and  
31  $\gamma$ -tocopherol. Associations of carotenoid contents with maternal education, delivery mode, and  
32 present body mass index were found in multivariate analyses. These results suggest that some  
33 region, lactation stage, obstetric and socio-economic factors are associated with human milk  
34 concentrations of carotenoids and tocopherols in healthy Chinese mothers.

35 **Keywords:** Breast milk; Carotenoids; Tocopherols; Colostrum; Lactation stage; Cross-sectional  
36 study  
37

## 38 1. Introduction

39 According to World Health Organization, exclusive breastfeeding is recommended for the first  
40 six months of life [1], period within which breast milk is the sole source of nutrition, providing all  
41 necessary nutrients to maintain health and permit normal growth. Thereafter, complementary  
42 feeding should be introduced while breastfeeding continues up to 2 years of age or beyond, so that  
43 breast milk is still a significant source of nutrients, at least in some parts of the world [2]. The  
44 recommended micronutrient intake for infants is currently based on the amounts provided by

45 human milk from well-nourished women [3, 4]; although this has been questioned by some authors,  
46 given the high variability observed among individuals [5, 6]. Nevertheless, in absence of better  
47 studies to determine optimal intake, milk composition remains the best estimate to determine infant  
48 requirements.

49 Vitamin A designates a family of compounds having the biological activity of retinol. Vitamin  
50 A is present in breast milk in the forms of preformed retinol (as retinyl esters) but also present as  
51 provitamin A carotenoids ( $\alpha$ -carotene,  $\beta$ -carotene and  $\beta$ -cryptoxanthine). Carotenoids may  
52 represent a significant source of vitamin A for humans [7, 8] and, in particular, for the nursing infant,  
53 especially in developing countries [3, 9]. Vitamin A is required in many essential metabolic functions  
54 for the growing infant. Some of these processes, such as haematopoiesis, bone development,  
55 maintenance of epithelial cells, mucous membranes and immune functions can be supported by all  
56 forms of vitamin A (including provitamin A carotenoids); while vision and reproduction specifically  
57 require retinol [10] Since infants are born with very low reserves of vitamin A in the liver regardless  
58 on the mother's nutritional status [3], they rely entirely on breast milk to support growth and build  
59 up liver storage. In addition, non-provitamin A carotenoids (lutein, zeaxanthine and lycopene) have  
60 been associated with varied health benefits, from antioxidant to anti-inflammatory, immune or visual  
61 functions and cancer prevention [11-15].

62 Vitamin E is a family of eight naturally occurring compounds sharing a common structure,  
63 namely  $\alpha$ -,  $\beta$ -,  $\gamma$ - and  $\delta$ -tocopherol and  $\alpha$ -,  $\beta$ -,  $\gamma$ - and  $\delta$ -tocotrienol. The most frequently present in  
64 nature and the most active form is by far  $\alpha$ -tocopherol (showing 100 % vitamin E activity), followed  
65 by  $\beta$ -tocopherol,  $\alpha$ -tocotrienol and  $\gamma$ -tocopherol. Breast milk contains primarily  $\alpha$ -tocopherol,  
66 followed by smaller amounts of  $\gamma$ - and  $\beta$ -tocopherol. The main function of vitamin E in the human  
67 body is as an antioxidant, protecting fatty acids from oxidation in cell membranes and lipoproteins,  
68 but also improves immunity and prevents inflammatory conditions [16, 17].

69 Given the importance of all these compounds for the newborn and the specific risk of vitamin  
70 deficiency in lactating women and breastfed children [18, 19], the need to determine their  
71 concentrations in breast milk and how they depend on external or internal factors has been  
72 identified. A large number of reports in the composition of milk from Chinese mothers have focused  
73 on macronutrients [20-22], minerals [20, 23], and fatty acids [23-29], while only a few studies report  
74 fat soluble vitamin or carotenoid values [9, 30, 31]. Shi et al. [30] provide data on the vitamin content  
75 of milk from Chinese mothers from Inner Mongolia, which might be difficult to extrapolate to the  
76 whole country, partially due to the low number of data points and partially to the fact that it  
77 represents only a part of the country. The multinational study of Canfield et al. [9] showed  
78 differences in carotenoid patterns between countries, reflecting that each one the dietary carotenoid  
79 supply had no indication on the geographical origin of all samples, although this might be limited.  
80 Another multinational study [31] showed that, regardless of the difficulty to detect trends due to  
81 high individual variability, some carotenoids presented clear differences between countries,  
82 confirming previous results, but presenting the same drawbacks related to the limited geographical  
83 variability of the samples. Thus, considering the geographical extension and multivariate lifestyles  
84 and diet in different parts of China, it would be necessary to study the vitamin and carotenoid  
85 composition of milk from Chinese women from different regions through lactation. Vitamin  
86 composition data are available in mothers from other countries [9, 31-39] and can be used for data  
87 comparison.

88 The changes in milk composition depending on different factors such as stage of lactation or  
89 duration of the feeding [38-40], maternal diet, supplementation and nutritional status [36, 41-48]  
90 have been demonstrated. In recent years, association studies have found other potential factors  
91 influencing the concentrations of vitamins in breast milk, including maternal socio-economic [34, 49,  
92 50], obstetric or physiological factors [34, 38, 48, 49, 51, 52]. Indeed, maternal socio-economic and  
93 obstetric factors are changing in China, such as the rate of cesarean delivery, which increased from  
94 3.4 % in 1988 to 39.3 % in 2008 [53] and to 54.5 % in 2011 [54]; as well as the increase in inappropriate  
95 gestational weight gain (GWG), partly due to over nutrition and rising of different dietary habits  
96 [55], which may have an impact on the micronutrient status of lactating women and the composition

97 of their milk. Therefore, it is deemed necessary to research the associations of carotenoids and  
98 tocopherols in breast milk with maternal characteristics, obstetric and nutritional factors in China.

99 The aims of this study were 1) to determine the composition of carotenoids and tocopherols in  
100 breast milk from healthy mothers from urban areas of China along lactation (zero to eight months) 2)  
101 to evaluate their interregional differences, and 3) to explore associations with nutrient intake. In  
102 addition, the associations with maternal socio-economic and obstetric characteristics, such as age,  
103 offspring gender, education, household income, delivery mode, body mass index (BMI), and GWG,  
104 were investigated. This study is part of the larger initiative Maternal Infant Nutrition Growth  
105 (MING) study.

## 106 2. Materials and Methods

### 107 2.1 Background of participants

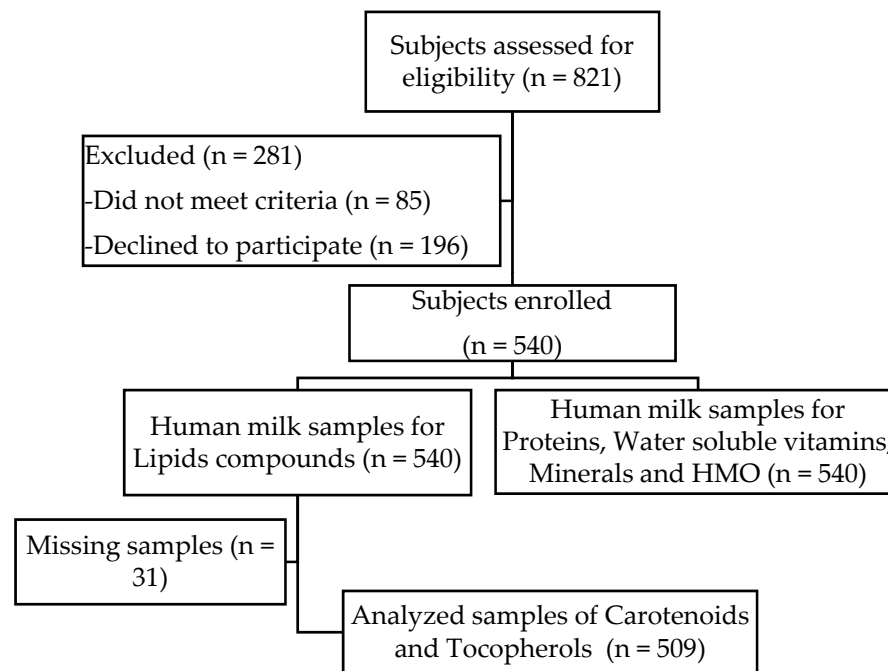
108 The MING study was a cross-sectional study designed to investigate the dietary and nutritional  
109 status of pregnant women, lactating mothers and young children aged from birth up to three years  
110 living in urban areas of China. In addition, the human milk composition of Chinese lactating  
111 mothers was characterized. The study was conducted between 2011 and 2012. Three cities (Beijing,  
112 Suzhou and Guangzhou) were chosen for the characterization of human milk according to the  
113 geographical location and status of economic development. In each city, one grade A hospital and  
114 one maternal and child care hospital were randomly selected at each site, mothers at lactation period  
115 0 to 240 days were randomly selected based on child registration information. Subjects period 0-5  
116 days were recruited at the grade A hospital, and subjects period 6-11 days and 12-30 days were  
117 contacted by phone to join the study whereas other subjects were recruited at maternal and child  
118 care hospital; if participation was dismissed a replacement was made. Recruitment, and milk  
119 sampling as well as baseline data collection were done in separate days.

120 A stratified sampling of 540 lactating mothers in six lactation periods of 0-4, 5-11, 12-30, 31-60,  
121 61-120 and 121-240 days was obtained in MING study. Eligibility criteria included women between  
122 18-45 years of age giving birth to a single, healthy, full-term gestation and exclusive breastfeeding at  
123 least until 4 months. Exclusion criteria included gestational diabetes, hypertension, cardiac diseases,  
124 or acute communicable diseases. Lactating women who had nipple or lacteal gland diseases, been  
125 using of hormone in recent three months, postpartum depression, or insufficient skills to understand  
126 study questionnaires were also excluded.

127 In this cross-sectional study, carotenoids and tocopherols were quantified in 509 breast milk  
128 samples collected at different stages from early to late lactation in healthy Chinese women from  
129 three different cities (Beijing: n = 151; Guangzhou: n = 180; Suzhou: n = 178). Figure 1 displays the  
130 recruitment flowchart from eligibility to sample analysis.

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**Figure 1.** Study flow chart subjects enrolled

## 134 2.2 Data collection

135 All subjects completed a structured questionnaire including socio-economic and lifestyle  
 136 aspects of the mother such as household income, maternal education and age. Self-reported weight  
 137 at the beginning and at the end of pregnancy, number of gestational weeks at delivery, and delivery  
 138 mode were also recorded. Additionally, a physical examination evaluated basic anthropometric  
 139 parameters including height and weight which were explored to calculate the current BMI (kg/m<sup>2</sup>),  
 140 and BMI < 18.5, 18.5-24.9, 25.0-29.9, and ≥ 30 kg/m<sup>2</sup> were defined as underweight, normal weight,  
 141 overweight, and obese, respectively. These data was obtained to calculate the GWG. According to  
 142 the guidelines from the Institute of Medicines (IOM) in the United States [56] suggesting that  
 143 underweight women gain 12.5 kg to 18 kg, normal weight women gain 11.5 kg to 16 kg, overweight  
 144 women gain 7 kg to 11.5 kg, and obese women gain 5 kg to 9 kg respectively, inadequate, adequate,  
 145 and excessive weight gain were confirmed.

146 Dietary intake was assessed using one 24-hour dietary recall. Trained interviewers asked  
 147 lactating mothers about all foods, beverages and supplements consumed on the previous day. A  
 148 picture booklet of common foods consumed in China and measurement aids were used to estimate  
 149 the amount of foods and beverages consumed. Details about food ingredients of homemade foods or  
 150 meals eaten out were also asked and recorded. In addition, information on the use of dietary  
 151 supplements was collected, including the name and brand of the supplement, age when supplement  
 152 was first given and the amount used. A list of dietary supplements commonly used in China was  
 153 used to identify the supplements reported during the interview.

154 Data collection was done through face-to-face interviews during the day of human milk sample  
 155 collection. In addition, date of birth and gender information of the baby was collected after the data  
 156 collection since the data was not included in the initial questionnaires. Subjects were contacted by  
 157 phone and were asked to clarify these two aspects retrospectively.

## 158 2.3 Dietary assessment

159 After revision of questionnaires, food records were entered in a database and individual intakes  
 160 of vitamin A, total carotenoids, retinol, vitamin E, and α-tocopherol were processed with a food  
 161 composition database created for this study that included data from Chinese Food Composition

162 (CFC) tables 2004 & 2009 [57, 58], the Japanese Food Composition (JFC) tables 2005 [59] and branded  
163 products and supplements from China. In total, it contained information of 1773 foods with 36  
164 nutrients. Finally we also compiled nutritional information from 75 dietary supplements sold in  
165 China.

#### 166 2.4 Sample collection

167 Breast milk sampling was standardized for all subjects and an electric pump (Horigen  
168 HNR/X-2108ZB, Xinhe Electrical Apparatuses Co., Ltd) was used to sample the milk. Samples were  
169 collected at the second feeding in the morning (9-11 am) to avoid circadian influence on the  
170 outcomes. Single full breast was emptied and an aliquot of 15 mL for colostrum and 40 mL for the  
171 remaining time points was secured for characterization purposes. The rest of the milk was returned  
172 to the mother for feeding to the infant. Each sample was distributed in 1mL freezing tubes, labeled  
173 with subject number, stored at -80°C and then transported to Lausanne (Switzerland) for analysis  
174 within 6 months of collection.

#### 175 2.5 Sample preparation

176 Briefly, 5 µL of ethanol containing butylated hydroxytoluene (BHT) (79 g/L), 10 µL of an  
177 aqueous solution of deferoxamine mesylate (10 mg/mL), 4 mL methanol, and 1 mL aqueous solution  
178 of potassium hydroxide (KOH) (30 % w/w) were added successively to 1 mL of milk into a 15-mL  
179 tube. After mixing, the tube was placed for 30 minutes in a shaking water bath at 37 °C for  
180 saponification. The samples were then cooled down on ice, 5 mL of hexane containing 350 mg/L BHT  
181 added and mixed vigorously for 30 seconds. Then the tubes were centrifuged at 2500 rpm for 10 min  
182 at 4 °C and the upper organic phase transferred to a clean 15-mL tube by means of a glass Pasteur  
183 pipette. The liquid/liquid extraction process was repeated and the organic phases combined in the  
184 same tube. Once completely dried under nitrogen at room temperature, the residue was dissolved in  
185 70 µL of dioxane/ethanol (1/1, v/v) and 70 µL acetonitrile were finally added. The samples were  
186 centrifuged at 2500 rpm for 10 minutes at room temperature and transferred into adapted low  
187 volume Ultra Performance Liquid Chromatography (HPLC) vials before analysis.

#### 188 2.6 Sample analysis

189 All the compounds ( $\alpha$ -tocopherol,  $\gamma$ -tocopherol,  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein, lycopene  
190 and zeaxanthin) were determined using a Waters Acquity Ultra Performance Liquid  
191 Chromatography UPLC® system (Waters, Milford, MA, USA) equipped with a 2.1 mm × 150 mm  
192 Waters Acquity UPLC® HSS T3 column, 100 Å (particle diameter, 1.8 µm) placed in a column oven  
193 set at 35 °C, while autosampler was set at 20 °C.

194 A 5 µL-aliquot of the final extract was injected into the analytical system. The binary gradient  
195 eluting system pumped the mobile phase at a flow rate of 0.4 mL/min. Solvent A was a solution of  
196 ammonium acetate 0.05 M in water, and solvent B was a mixture of acetonitrile/diethyl  
197 ether/methanol (589/71/119, w/w/w). The eluting gradient program was: 0-20 min, 75 % B; 20-22 min,  
198 78 % B; 22-22.1 min, 80 % B; 22.1-30 min, 100 % B; 30-42 min, 100 % B; 42-42.1 min, 75 % B; 42.1-55  
199 min, 75 % B. Quantification was performed by external calibration using pure standards.  
200 Concentration of standards was determined by spectrophotometry with corrections made for  
201 chromatographic purity. Carotenoids were detected and quantified using ultra violet (UV) at  
202 different wavelengths (lycopene, 472 nm;  $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein, and zeaxanthin, 450  
203 nm), while  $\alpha$ -tocopherol and  $\gamma$ -tocopherol were detected and quantified by fluorescence ( $\lambda_{excitation}$ :  
204 298 nm,  $\lambda_{emission}$ : 328 nm). The standard calibration curve for each compound was constructed by  
205 plotting the response (Peak area) versus the concentration using a weighted linear regression model.

#### 206 2.7 Statistical analysis

207 The database was established by using Epi Data version 3.0, and a double data entry was  
208 carried out. For the information of demographic characteristics, the data were presented as count



209 with percentage for categorical data and median with interquartile range for continuous data with  
210 non-normal distribution. Before the progress of data analysis, Shapiro-Wilk test was used to  
211 determine whether carotenoids, and tocopherols in breast milk, and vitamins intake had a normal  
212 distribution or not. Because of non-normal distributions, median values (interquartile range) were  
213 performed to describe and ln transformations were applied when doing multivariate analysis and  
214 correlation analysis. Differences in breast milk vitamins were compared among stages of lactating  
215 period (0-4 days, 5-11 days, 12-30 days, 31-60 days, 61-120 days, and 121-240 days *postpartum*) and  
216 cities (Beijing, Suzhou, and Guangzhou cities) by using nonparametric Kruskal-Wallis test, then  
217 nonparametric Mann-Whitney U tests were employed to detect specific differences between the  
218 abovementioned groups further. According to demographic characteristics of lactating women and  
219 their offspring, comparisons in carotenoids and tocopherols concentration were carried out by using  
220 covariance analysis models adjusted with stages of lactating period and research cities. Furthermore,  
221 multivariate linear regression models were explored to research the demographic influencing factors  
222 of carotenoids and tocopherols concentrations in breast milk. To research the correlations between  
223 these contents in breast milk and dietary vitamins intake, Partial-correlation adjusted with stages of  
224 lactation and research cities were performed. All of the analyses were carried out using version SPSS  
225 20.0 (SPSS Inc. Chicago, IL, USA), and all tests were two-tailed with statistical significance set at  $p <$   
226 0.05.

### 227 3. Results

228 The socio-economic characteristics of the lactating women are summarized in Table 1. The  
229 mean age of the lactating women was  $27.4 \pm 4.0$  years. The majority of lactating women were  
230 unemployed, had completed high school, and a monthly household income representative of urban  
231 China. Although the majority of women had normal BMI at present, 44 % of them had excessive  
232 GWG and up to 48% lactating women had a cesarean delivery. According to the stage of lactating  
233 period, there were no significant differences in the socio-economic characteristics of the lactating  
234 women such as age, offspring gender, family's per capita income, current BMI, GWG, and  
235 pregnancy duration. However, it was found that less lactating women during 121-240 days  
236 *postpartum* had college education level or above when compared with the others ( $p < 0.05$ ).  
237 Meanwhile, more lactating women during 0-4 days and 31-60 days *postpartum* underwent cesarean  
238 delivery when compared with those women during 5-11 days, 61-120 days, and 121-240 days  
239 *postpartum* ( $p < 0.05$ ), and more lactating women during 31-60 days, 61-120 days, and 121-240 days  
240 *postpartum* received dietary supplement than those women during 0-4 days *postpartum* ( $p < 0.05$ ).  
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**Table 1.** Demographic characteristics of lactating mothers with different stages of lactating period.

	0-4 days (n = 77)	5-11 days (n = 89)	12-30 days (n = 73)	31-60 days (n = 90)	61-120 days (n = 90)	121-240 days (n = 90)	P-value
Age, years <sup>1</sup>							0.097
< 25	22 (28.6)	27 (30.3)	26 (35.6)	18 (20.0)	26 (28.9)	36 (40.0)	
25-30	35 (45.5)	41 (46.1)	29 (39.7)	44 (48.9)	50 (55.6)	39 (43.3)	
> 30	20 (26.0)	21 (23.6)	18 (24.7)	28 (31.1)	14 (15.6)	15 (16.7)	
Offspring gender <sup>1</sup>							0.158
Male	35 (45.5)	51 (57.3)	39 (53.4)	48 (53.3)	54 (60.0)	43 (47.8)	
Female	42 (54.5)	38 (42.7)	31 (42.5)	39 (43.3)	36 (40.0)	44 (48.9)	
Education <sup>1</sup>							0.003 *
Middle school or below	17 (22.1)	12 (13.5)	16 (21.9)	26 (28.9)	22 (24.4)	39 (43.3)	
High school	23 (29.9)	31 (34.8)	27 (37.0)	22 (24.4)	25 (27.8)	23 (25.6)	
College or above	36 (46.8)	45 (50.6)	29 (39.7)	42 (46.7)	41 (45.6)	26 (28.9)	
Family's per capita income, Yuan/month <sup>1</sup>							0.140
< 2000	16 (20.8)	19 (21.3)	16 (21.9)	24 (26.7)	26 (28.9)	31 (34.4)	
2000-4000	30 (39.0)	37 (41.6)	34 (46.6)	41 (45.6)	40 (44.4)	41 (45.6)	
> 4000	27 (35.1)	30 (33.7)	17 (23.3)	23 (25.6)	22 (24.4)	18 (20.0)	
Unclear	4 (5.2)	3 (3.4)	6 (8.2)	2 (2.2)	2 (2.2)	0 (0.0)	
Delivery mode <sup>1</sup>							0.002 *
Vaginal delivery	29 (37.7)	50 (56.2)	35 (47.9)	37 (41.1)	55 (61.1)	55 (61.1)	
Cesarean delivery	48 (62.3)	37 (41.6)	38 (52.1)	53 (58.9)	35 (38.9)	34 (37.8)	
Present BMI <sup>1</sup>							0.075
Underweight	1 (1.3)	5 (5.6)	2 (2.7)	2 (2.2)	4 (4.4)	8 (8.9)	
Normal	48 (62.3)	54 (60.7)	47 (64.4)	57 (63.3)	69 (76.7)	65 (72.2)	
Overweight	24 (31.2)	26 (29.2)	23 (31.5)	26 (28.9)	16 (17.8)	16 (17.8)	
Obesity	4 (5.2)	3 (3.4)	1 (1.4)	5 (5.6)	1 (1.1)	1 (1.1)	
Gestational weight gain <sup>1</sup>							0.300
Inadequate	17 (22.1)	11 (12.4)	14 (19.2)	17 (18.9)	19 (21.1)	26 (28.9)	
Adequate	27 (35.1)	29 (32.6)	28 (38.4)	32 (35.6)	36 (40.0)	25 (27.8)	
Excessive	33 (42.9)	48 (53.9)	29 (39.7)	41 (45.6)	34 (37.8)	39 (43.3)	
Dietary supplements intake <sup>1</sup>							0.028 *
Yes	5 (6.5)	13 (14.6)	17 (23.3)	17 (18.9)	22 (24.4)	13 (14.4)	
No	72 (93.5)	76 (85.4)	56 (76.7)	73 (81.1)	68 (75.6)	77 (85.6)	
Pregnancy duration, weeks <sup>2</sup>	39 (38-40)	39 (39-40)	39 (38-40)	39 (38-40)	39.5 (39-40)	40 (39-40)	0.332

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BMI, body mass index, was calculated as body weight by height squared (kg/m<sup>2</sup>). Data are expressed as medians (interquartile ranges) for continuous variables and count (percentage) for categorical variables. \* Indicates a significant difference among six stages of lactating period ( $p < 0.05$ ). <sup>1</sup> Compared by Kruskal-Wallis test. <sup>2</sup> Compared by chi-square test.

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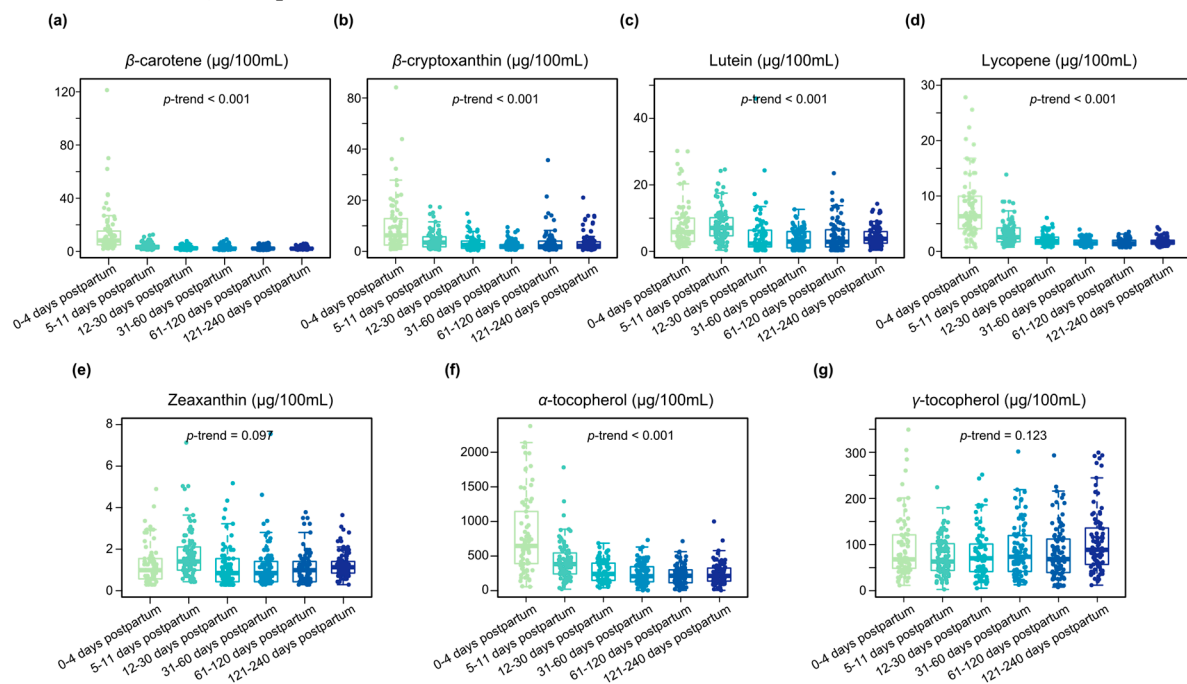
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The concentrations of the different compounds studied at different periods of lactation are shown in Figure 2 and Supplementary Table 1. As expected, significant differences ( $p < 0.001$ ) according to the different periods of lactation were observed for many compounds. The concentrations of most of them (except for lutein and zeaxanthin) were significantly higher in colostrum (0-4 days *postpartum*) than in transitional (5-11 days and 12-30 days *postpartum*) and mature milk (31-60 days, 61-120 days, and 121-240 days *postpartum*) ( $p < 0.01$ ); a decrease was

253 observed with advancement of lactation until reaching stable levels from 12 days *postpartum*.  
 254 Furthermore, lutein concentrations in milk from lactating women during 0-4 and 5-11 days  
 255 *postpartum* were significantly higher compared with those during other periods ( $p < 0.01$ ), while  
 256 zeaxanthin and  $\gamma$ -tocopherol concentrations remained stable over time.

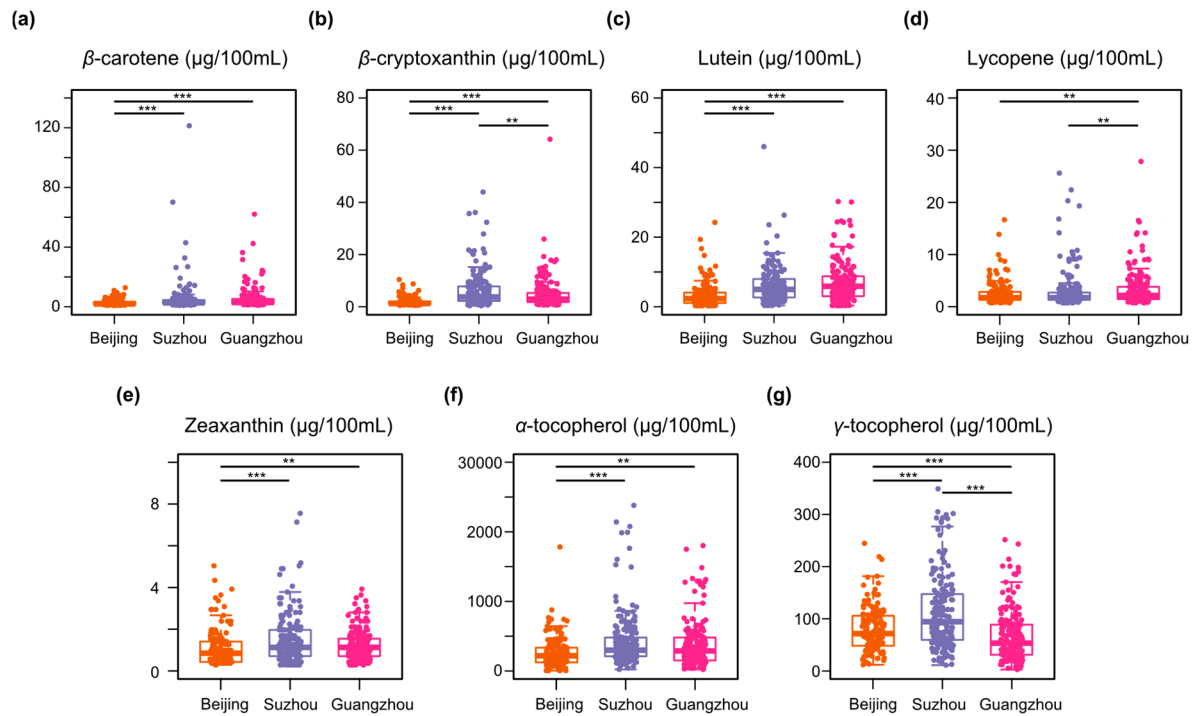


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258 **Figure 2.** Carotenoids and tocopherols of human milk at different lactation stages ( $\mu\text{g}/100\text{mL}$ ).  
 259 Analysis of variance, ANOVA. Data are presented as the median (interquartile range). Linear trends  
 260 of carotenoids and tocopherols levels along with lactation stages were tested by One-Way ANOVA  
 261 after ln transformations.

262 Carotenoids and tocopherol concentrations in breast milk from lactating women in the three  
 263 cities are shown in Figure 3 and Supplementary Table 2. The lycopene content in milk from  
 264 Guangzhou city was significantly higher compared with those from Beijing and Suzhou cities ( $p <$   
 265  $0.001$ ). Similarly, the majority of carotenoids ( $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein, and zeaxanthin)  
 266 and  $\alpha$ -tocopherol concentrations in milk from Beijing were significantly lower than those from  
 267 Suzhou and Guangzhou ( $p < 0.01$ ). While  $\gamma$ -tocopherol concentrations in mothers from Suzhou were  
 268 the highest among the three cities ( $p < 0.001$ ).





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**Figure 3.** Carotenoids and tocopherols concentration of human milk from different cities (Beijing, Suzhou, and Guangzhou cities). Data are presented as the medians (interquartile ranges). Compared by Mann-Whitney U test with adjusted alpha value ( $\alpha' = 0.01$ ). \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ .

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Comparisons of the concentrations by characteristics of lactating women and their offspring are provided in Table 2 and Supplemental Table 3. There were no significant associations detected between maternal age, offspring gender, household income, maternal GWG, dietary supplement intake, and tocopherols in breast milk ( $p > 0.05$ ). However, zeaxanthin concentrations in lactating women with vaginal delivery were significant higher compared with those with cesarean delivery ( $p < 0.05$ ). In addition, zeaxanthin concentrations in women with college education level or above was significant lower than in women with middle school education level or below ( $p < 0.01$ ). Besides,  $\beta$ -carotene and zeaxanthin concentrations associations with maternal BMI were found, which indicated that  $\beta$ -carotene concentrations in lactating women with normal BMI were higher than those with overweight, while zeaxanthin in milk from underweight lactating women were higher than those from mothers with normal BMI.

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286**Table 2.** Comparisons of the carotenoids concentration in human milk by the characteristics of lactating women.

	$\beta$ -carotene		$\beta$ -cryptoxanthin		Lutein		Zeaxanthin	
	Adjusted <sup>1</sup> $\beta$ (95%CI)	SE M	Adjusted <sup>1</sup> $\beta$ (95%CI)	SE M	Adjusted <sup>1</sup> $\beta$ (95%CI)	SE M	Adjusted <sup>1</sup> $\beta$ (95%CI)	SE M
<b>Age, years</b>								
< 25	-0.05 (-0.18, 0.08)	0.07	0.11 (-0.06, 0.28)	0.09	-0.13 (-0.35, 0.08)	0.11	-0.01 (-0.14, 0.15)	0.07
25-30	Reference		Reference		Reference		Reference	
> 30	0.10 (-0.03, 0.23)	0.07	0.11 (-0.07, 0.29)	0.09	-0.03 (-0.15, 0.19)	0.11	0.12 (-0.03, 0.27)	0.08
<b>Education</b>								
Middle school or below	Reference		Reference		Reference		Reference	
High school	0.03 (-0.11, 0.17)	0.07	-0.18 (-0.36, 0.01)	0.09	0.12 (-0.11, 0.35)	0.12	-0.14 (-0.30, 0.02)	0.08
College or above	0.09 (-0.04, 0.23)	0.07	-0.12 (-0.30, 0.06)	0.09	0.08 (-0.14, 0.31)	0.11	-0.15 (-0.31, -0.00)*	0.08
<b>Delivery mode</b>								
Vaginal delivery	0.04 (-0.07, 0.15)	0.05	0.03 (-0.11, 0.17)	0.07	0.14 (-0.04, 0.31)	0.09	0.13 (0.02, 0.25)*	0.06
Cesarean delivery	Reference		Reference		Reference		Reference	
<b>Current BMI</b>								
Underweight	0.01 (-0.25, 0.26)	0.13	-0.02 (-0.35, 0.32)	0.17	0.32 (-0.09, 0.74)	0.21	0.29 (0.01, 0.57)*	0.14
Normal	Reference		Reference		Reference		Reference	
Overweight	-0.17 (-0.29, -0.05)*	0.06	-0.16 (-0.32, 0.00)	0.08	-0.11 (-0.31, 0.09)	0.11	-0.07 (-0.21, 0.07)	0.07
Obesity	-0.24 (-0.54, 0.07)	0.16	-0.16 (-0.57, 0.24)	0.21	0.16 (-0.34, 0.66)	0.22	-0.18 (-0.52, 0.16)	0.17

287 CI, confidence interval; SEM, standard error of mean. Multivariate linear regression model  
 288 considering carotenoids in breast milk as the dependent variable and the other variables studies as  
 289 independent variables. <sup>1</sup> Adjusted for periods of lactation (0-4 days, 5-11days, 12-30days, 31-60days,  
 290 61-120days, and 121-240days *postpartum*), cities (Beijing, Suzhou, and Guangzhou cities), and other  
 291 independent influencing factors listed above. \* Indicates a significant difference when compared  
 292 with the reference ( $p < 0.05$ ).  $\beta$ -carotene:  $R^2 = 0.482$ ,  $p < 0.001$ ;  $\beta$ -cryptoxanthin:  $R^2 = 0.366$ ,  $p < 0.001$ ;  
 293 Lutein:  $R^2 = 0.282$ ,  $p < 0.001$ ; Zeaxanthin:  $R^2 = 0.124$ ,  $p < 0.001$ .

294 The results from 24-hour food intake recall showed that dietary vitamin A and total carotenoids  
 295 were not associated with all the carotenoids in breast milk when adjusted with different cities and  
 296 lactation period ( $p > 0.05$ ) (Table 3). Similarly, no significant associations were found between  
 297 vitamin E and  $\alpha$ -tocopherol intake and  $\alpha$ - and  $\gamma$ -tocopherol in human milk ( $p > 0.05$ ).  
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300**Table 3.** The associations between vitamins intake and concentrations of carotenoids and tocopherols in breast milk.

		$\beta$ -carotene	$\beta$ -cryptoxanthin	Lutein	Lycopene	Zeaxanthin	$\alpha$ -tocopherol	$\gamma$ -tocopherol
Dietary intake of vitamin A	<i>r</i>	0.022	0.026	0.027	-0.007	0.075	—	—
	<i>p</i> <sub>1</sub>	0.618	0.562	0.537	0.881	0.093	—	—
Dietary intake of total carotenoids	<i>r</i>	0.055	0.002	0.007	-0.038	0.003	—	—
	<i>p</i> <sub>1</sub>	0.220	0.963	0.880	0.398	0.948	—	—
Dietary intake of vitamin E	<i>r</i>	—	—	—	—	—	-0.083	0.006
	<i>p</i> <sub>1</sub>	—	—	—	—	—	0.063	0.885
Dietary intake of $\alpha$ -tocopherol	<i>r</i>	—	—	—	—	—	-0.033	-0.084
	<i>p</i> <sub>1</sub>	—	—	—	—	—	0.456	0.058

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<sup>1</sup>Partial-correlation was performed to analyze the correlations adjusted with cities (Beijing, Suzhou, and Guangzhou cities) and periods of lactating (0-4 days, 5-11 days, 12-30 days, 31-60 days, 61-120 days, and 121-240 days *postpartum*).

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#### 4. Discussion

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According to literature [40, 48, 60, 61], colostrum is the first milk secretion after delivery, persisting until the seventh or tenth day *postpartum*; it is followed by secretion of transitional milk from around the eighth and up to fifteenth day *postpartum*; from then, mature milk is secreted, which shows a relative stable composition. In the present study, due to the nature of its design it was difficult to exactly classify the human milk collected within 4-11 days and 12-30 days *postpartum* as either colostrum or transitional milk. On the contrary, human milk collected within 0-4 days and 30-240 days *postpartum* was clearly classified as colostrum and mature milk respectively.

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Highest concentrations of carotenoids and tocopherols were found in colostrum, after that the concentrations of the different compounds observed in milk at 12-30 days *postpartum* were close to those collected in 30-240 days *postpartum*, which may mean that the changes on carotenoids and tocopherols milk composition gradually slow-down until reaching a relatively stable level after 12 days *postpartum*. In accordance with previous studies [35, 40, 60, 61], the levels of most of the compounds ( $\beta$ -carotene,  $\beta$ -cryptoxanthin, lutein, lycopene, and  $\alpha$ -tocopherol) except for zeaxanthin and  $\gamma$ -tocopherol decreased along with lactating stage. The evolution trend of  $\gamma$ -tocopherol concentrations in our study were generally comparable with those in Japan [35] ( $0.111 \pm 0.048$  mg/100mL in 6-10 days *postpartum*;  $0.155 \pm 0.126$  mg/100mL in 11-20 days *postpartum*,  $0.105 \pm 0.059$  mg/100mL in 21-89 days *postpartum*;  $0.120 \pm 0.046$  mg/100mL in 90-180 days *postpartum*;  $0.086 \pm 0.043$  mg/100mL in 6-10 days *postpartum*).

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The carotenoid content in human milk has been studied in several multinational studies, but very few studies report data for a large lactation period (0-240 days *postpartum*). Canfield et al. [9] assessed the levels of carotenoids in human milk from nine countries (five in Asia or the Pacific Rim, three in Americas, and one in Europe) and found that the concentrations varied greatly among the countries, with only moderate disparities in  $\beta$ -carotene. Regional variability was also found in the longitudinal study of Lipkie et al [31]. In the present study, we found that the median  $\beta$ -carotene concentration in mature milk to be in the same range as that found in Australian, Canadian, Chilean, Japanese, Mexican, Filipinos, English, American and German mothers in several studies [9, 37, 39, 40]; while the median  $\beta$ -carotene concentrations in colostrum milk were lower than those reported in Germany [39] or Japan [37]. Regarding the relative distribution of carotenoids in Chinese milk, lutein

333 was found to be the major component in mature human milk, which is in agreement with data  
334 published by Lipkie et al. [31] and Canfield et al. [9]. On the contrary,  $\beta$ -carotene was the major  
335 carotenoid in colostrum, in agreement with a previous longitudinal study in the United States by  
336 Song et al. [62].

337 Differences in carotenoid content of the milk from the three different cities were also observed,  
338 milk from Beijing contained significantly lower amounts than milk from Guangzhou or Suzhou for  
339 most of carotenoids; while the concentration of lycopene in samples from Guangzhou was higher  
340 than the other cities. Taking into consideration that all the samples were collected with the same  
341 protocol and analyzed by the same laboratory, it seems reasonable to believe that the main reason  
342 for this difference are environmental factors such as dietary habits since Suzhou and Guangzhou  
343 had higher intake of fruits and vegetables compared to Beijing.

344 Median  $\alpha$ -tocopherol concentrations (211  $\mu\text{g}/100\text{ mL}$ ) in Chinese mature milk were lower than  
345 those found by researchers in Germany [40], Greece [63], Turkey [34], Poland [61], Canada [64], and  
346 Japan [35]. In general, the  $\alpha$ -tocopherol content in Chinese milk, not only in colostrum but also in  
347 mature milk was lower than those in industrialized countries, and similar with non-industrialized  
348 countries. The large inter-subject variation might primarily due to dietary habits, use of dietary  
349 supplements, food fortification or genetic differences among different ethnicities; or to  
350 methodological factors such as the postpartum date of collection, collection of foremilk or hindmilk,  
351 or the collection from a single breast or from both breasts.

352 To date, there is no much evidence of the tocopherols concentration in Chinese human milk. In  
353 our study, the median colostrum  $\alpha$ -tocopherol concentrations (645  $\mu\text{g}/100\text{ mL}$ ) were similar to those  
354 reported in different groups of Chinese lactating women [65, 66] and Polish women [60], but lower  
355 than those from German [40] and Brazilian [67], and higher than those of Tunisian [51], Japanese  
356 [35], and Inner Mongolia in China [30]. Our results in mature milk (30-240 days *postpartum*) align  
357 well to published data [30, 34, 35, 39, 40, 50, 60, 63, 64]. Intra-country variability was also found with  
358 the highest levels of tocopherols (26.8 mg  $\alpha$ -tocopherol) found in mothers from Suzhou.  
359 Environmental factors such as dietary intake are likely accounting for this.

360 Some nutritional, obstetric, and socio-economic factors have been implicated as being  
361 associated with the vitamins A and E concentrations in milk [34, 51, 67]. In our study, there were no  
362 associations detected between the contents of  $\beta$ -cryptoxanthin, lutein, lycopene,  $\alpha$ -tocopherol, and  
363  $\gamma$ -tocopherol and socio-economic characteristics of women and their offspring such as maternal age,  
364 household income, maternal GWG or supplements intake. This data provides evidence to suggest  
365 that the concentrations of these compounds in breast milk are independent of socio-economic  
366 conditions and nutrition in pregnancy. On the contrary, we found associations between the human  
367 milk  $\beta$ -carotene and zeaxanthin concentrations with current BMI. These inverse correlations  
368 between some of the carotenoids and current BMI may be due to the underlying mechanism that  
369 excess of body fat increases the consumption of all antioxidant elements in the diet [68] so that  
370 lactating women with higher BMI and more body fat consumed more vitamins A and E than those  
371 with lower BMI, resulting in lower carotenoids in human milk. Our results were similar with the  
372 previous finding [49] that the percentage of body fat in the lactating women was negatively  
373 associated to the concentration of vitamin A in breast milk. In addition, maternal education  
374 presented an inverse relationship with lower median concentrations of zeaxanthin among women  
375 with high levels of education. Mothers undergoing cesarean delivery presented lower zeaxanthin  
376 levels in human milk. Previous studies have associated cesarean delivery with lower colostrum  
377 protein content [69] and decreased oxidative stress in colostrum [70], suggesting that cesarean  
378 delivery may be detrimental for human milk. Considering that carotenoids contribute to the total  
379 antioxidative effect of human milk, this relationship of carotenoids and delivery mode requires  
380 further investigation to elucidate the possible causal pathways of these mechanisms including  
381 organismal regulation, nutrition, and environment.

382 Previous studies [7, 34, 49] suggested vitamins A and E in human milk were associated to  
383 maternal stores, dietary supplements, fortified foods and dietary intake. However, our results  
384 indicated that neither vitamin A nor E intakes from one 24-hours dietary recall did not associate with

385 vitamin A and E in human milk, which may be due to the inherent intake variability of one single  
386 24-hour dietary recall questionnaire, which did not allow to estimate individual's usual diet, and  
387 therefore it is likely to under or overestimate some nutrient intakes. Moreover, Jiang et al. [71] found  
388 no significant correlation between dietary constituents and  $\alpha$ -tocopherol, in line with our findings.

389 There were some limitations to the present study. Firstly, nutrient intake determined by one  
390 24-hour recall may introduce some bias by under- or overestimating long-term dietary habits. This  
391 variability may result in difficulty to accurately estimating individual's intake when compared with  
392 three days dietary recall. Secondly, little is known about the levels of vitamins A and E in maternal  
393 plasma associated with the corresponding milk, which would be better than dietary intake to assess  
394 maternal nutrient status, due to impossibility to collect such samples in our research. Thirdly, the  
395 fact that our design was a cross-sectional study, we could not collect direct evidence about changes  
396 in vitamins A and E with lactation stages, these points should be addressed in future studies.

## 397 5. Conclusions

398 The total concentrations of carotenoids ( $\beta$ -carotene and  $\beta$ -cryptoxanthin, lutein, lycopene and  
399 zeaxanthin) and vitamin E (as  $\alpha$ - and  $\gamma$ -tocopherol) were studied in human milk from healthy  
400 Chinese women. In summary, our results agree with previous studies and suggest that stage of  
401 lactation, regional differences, obstetric, and socio-economic factors may have an effect on human  
402 milk concentrations of carotenoids and tocopherol in healthy Chinese mothers. In view of the great  
403 importance of these compounds in human milk to ensure optimal growth and development of  
404 infants, research should continue to provide biological significance of such results and improve  
405 knowledge on the unique composition of human milk.

406 **Supplementary Materials:** Table S1: Carotenoids and tocopherols concentration of human milk at different  
407 lactation stages ( $\mu\text{g}/100\text{mL}$ ), Table S2: Carotenoids and tocopherols concentration of human milk from  
408 different cities (Beijing, Suzhou, and Guangzhou cities), Table S3: Comparisons of carotenoids and tocopherols  
409 concentration according to characteristics of lactating women and their offspring ( $\mu\text{g}/100\text{mL}$ ).

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