

## Article

# Comparison and Downscale of AMSR2 Soil Moisture Products with In-Situ Measurements from the SCAN-NRCS Network over Puerto Rico

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**Abstract:** A continuous spatio-temporal database of accurate soil moisture (SM) measurements is an important asset for agricultural activities, hydrologic studies, and environmental monitoring. The Advanced Microwave Scanning Radiometer 2 (AMSR2), launched in May 2012, has been providing SM data globally with a revisit period of two days. It is imperative to assess the quality of this data before performing any application. Since resources of accurate SM measurements are very limited in Puerto Rico, this research will assess the quality of the AMSR2 data by comparing with ground-based measurements and perform a downscaling technique to provide a better description of how the sensor perceives the surface soil moisture as it passes over the island. The comparison consisted of the evaluation of the mean error, root mean squared error, and the correlation coefficient. Two downscaling techniques were used and their performances were studied. The results revealed that AMSR2 products tend to underestimate. This is due to the extreme heterogeneous distributions of elevations, vegetation densities, soil types, and weather events on the island. This research provides a comprehensive study on the accuracy and potential of the AMSR2 products over Puerto Rico. Further studies are recommended to improve the AMSR2 products.

**Keywords:** soil moisture; AMSR2; remote sensing; downscale; SCAN-NRCS; passive microwave

## 1. Introduction

Soil moisture (SM) content is the quantity of water accumulated in soil pores, usually recorded as percent or volumetric ratio (e.g.  $\text{cm}^3/\text{cm}^3$ ) for different depths (e.g. 5cm, 10cm, and 25cm). SM plays an important role in the water cycle, hydrologic studies, agricultural activities, and environmental monitoring [1–6]. Hydrologic-modeling systems are very sensitive to changes in SM values for applications involving flood control and drought assessment [1]. In agricultural activities, best management practices and irrigation control can be optimized with continuous spatio-temporal SM measurements [7]. Predictions and results for environmental monitoring applications like climate change and weather forecasting have a high dependency on the accuracy of the SM data [5, 6, 8]. The spatio-temporal availability of accurate SM measurements rely on the quality of the instruments, frequency of retrieval, and management of the data [2]. There are three options for acquiring SM content; ground-based measurements, modeling predictions, and remotely sensed estimates [1, 3].

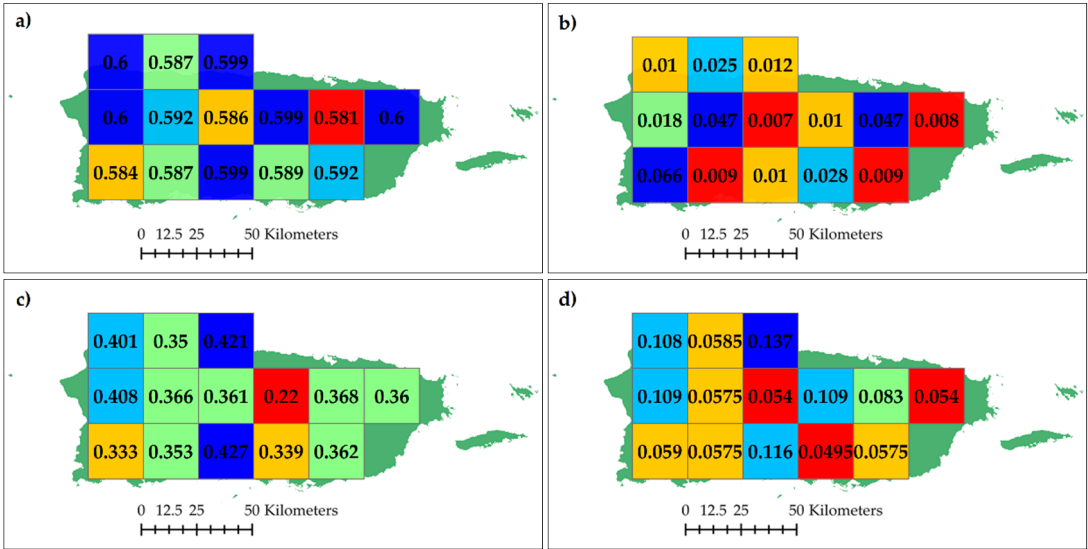
The SM measurements collected in the field, usually referred to as in-situ measurements, are commonly retrieved in high or low density networks of point measurements. The density of a network is determined by the quantity and assembling of instruments, the area of study, and the budget of the project. There are various instruments and techniques used to obtain ground-based measurements. Time Domain Reflectometry (TDR) instruments measure the soil dielectric permittivity, commonly used to quantify SM since its value increases with higher presence of water [3, 9]. The TDR instruments provide accurate SM for a specific location that can be represented as a point measure in a map. Another type of instrument used to collect in-situ SM measurements are based on cosmic-ray detection. The Cosmic-ray Soil Moisture Observing System (COSMOS) measures the quantity of fast neutrons above the land surface, which have an inversely correlated relationship with SM due to kinetic energy loss, providing an estimate of SM over a horizontal footprint with a diameter of about 600 meters [9]. This kinetic loss happens when fast neutrons collide with hydrogen atoms found in wet land surfaces [9]. While in-situ measuring provides accurate products, the instruments can be difficult to calibrate and maintain without high technical knowledge, and the availability of an adequate network that meets the requirements for each individual project is very limited [1].

Soil moisture can be simulated at different spatio-temporal resolutions using modeling systems [3]. The quality of the simulation is tied to the accuracy of the in-situ data used as the training dataset and the right implementation of a validation and optimization process [3, 10, 11]. There are a variety of modelling systems used to simulated soil moisture such as the SM Accounting, the SM Water Balance Model, and the Variable Infiltration Capacity (VIC) model [3, 12–15]. As an example of how these models work, the VIC model simulates SM based in correlations between land cover, SM storage capacity, topography, and precipitation [12, 15]. A simulation can be performed for various spatio-temporal resolutions depending on the objective of the project [3]. Though, the poor availability of ground-based SM data networks, the uncertainty of forcing data, and the model structure will affect directly the process of simulation, validation, and optimization of the modelled SM products [13, 16].

Satellite-based microwave observations can retrieve SM estimates at different spatio-temporal resolutions [1, 3]. Passive microwave depends on the physical temperature and surface emissivity of the earth's surface. In principle, passive sensors, like radiometers, measure the thermal emission of the surface at the microwave wavelength, and translate that energy to brightness temperature [16, 17]. The response of the soil to an electromagnetic wave depends on its texture, surface roughness, organic matter content, iron-oxide content, and moisture content [19]. In general, radiative transfer models like the tau-omega ( $\tau$ - $\omega$ ) use the dielectric constant alongside other characteristics of the soil, such as an incident angle and brightness temperature, to estimate SM with remote sensing technologies [18, 19, 21]. Satellite-based SM products are provided at a global scale by satellite sensors such as the Soil Moisture Active Passive (SMAP) Mission from NASA (<https://smap.jpl.nasa.gov/>), the Soil Moisture and Ocean Salinity (SMOS) from the European Space Agency ([http://www.esa.int/Our\\_Activities/Observing\\_the\\_Earth/SMOS](http://www.esa.int/Our_Activities/Observing_the_Earth/SMOS)), the Advanced Microwave Scanning Radiometer - Earth Observing System (AMSR-E) (<https://nsidc.org/data/amsre>) from the Japan Aerospace Exploration Agency (JAXA), and the AMSR-2 also from JAXA ([http://suzaku.eorc.jaxa.jp/GCOM\\_W/](http://suzaku.eorc.jaxa.jp/GCOM_W/)). An advantage of the current satellite based remotely sensed SM products is the availability of global scale measurements at a continuous spatio temporal resolution [1, 7]. However, at the current state, the satellite-based products are available at coarse spatial resolutions (ranging from 3km to 40km which are not useful for small scale hydrologic modeling and agricultural applications) and due to the availability of ground-based networks the validation process for satellite-based products is very limited [1, 7, 22]. Recent studies have been working on the improvement of soil moisture estimation of satellite-based products using different algorithms that relates soil moisture with variables such as vegetation, brightness temperature, precipitation, and others [22–24].

1.1. Soil Moisture in Puerto Rico

The spatio-temporal availability and accuracy of SM measurements are key elements to acquiring the best possible outcomes in applications involving hydrologic modeling, agricultural management, and weather monitoring. Unfortunately, in Puerto Rico the resources available to retrieve accurate SM measurements are very limited. Currently, the Soil Climate Analysis Network (SCAN) of the Natural Resources Conservation Service (NRCS) has eight stations around the center and western regions of the island retrieving in-situ SM content. The data collection is available online ([www.wcc.nrcs.usda.gov](http://www.wcc.nrcs.usda.gov)) and provides over five years of hourly and daily SM data. Simulated SM is available from the GOES-PRWEB model (<https://pragwater.com/goes-puerto-rico-water-and-energy-balance-goes-web-algorithm/>) at 1km resolution, which uses a water and energy balance approach to simulate different hydrologic parameters such as surface runoff, stream flow, and SM, but has not been officially validated. Remotely sensed SM data can be retrieved from the various missions such as SMAP, SMOS, or the Global Change Observation Mission – Water 1 (GCOM-W1) satellite system. This research is based on data from the AMSR2, a sensor carried by the GCOM-W1 satellite system. The AMSR2, launched in May 2012, is a microwave radiometer that estimates SM worldwide every two days at a 25km resolution [6, 25]. The SM product can be downloaded from the Earth Observation Research Center (EORC) of Japan Aerospace Exploration Agency (JAXA) website (<https://gcom-w1.jaxa.jp>) and is available in daily or monthly basis at 10km and 25km resolutions.



**Figure 1.** Basic statistics for ascending and descending overpasses AMSR2 25km SM ( $\text{cm}^3/\text{cm}^3$ ) products from September 2012 to January 2017: a) maximum, b) minimum, c) mean, and d) standard deviation.

1.2. Scope and objectives

Since resources of accurate SM measurements are very limited in Puerto Rico, the scope of this research is to assess the quality of the AMSR2 data and perform a downscaling technique to provide a better description of how the sensor perceives the surface SM as it passes over the island. The comparison consists on the evaluation of the difference between the satellite-based and the ground-based measurements by means of the mean error (ME), the root mean squared error (RMSE), and the correlation coefficient (R). Two downscaling techniques are used and their performances are studied. The first technique used to downscale the AMSR2 SM products to a finer downscaled product consists of a simple linear equation that relates the coarse resolution AMSR2 SM with three parameters retrieved from the Moderate Resolution Imaging Spectroradiometer (MODIS). These three MODIS parameters are the Normalized Difference of Vegetation Index (NDVI), the Land Surface Temperature (LST), and the albedo. The second technique is an algorithm that relates weighted values of sand fraction, elevation, and NDVI with the AMSR2 SM product. The

performance of each method was analyzed with the hopes of providing a better method for the retrieval of SM in Puerto Rico.

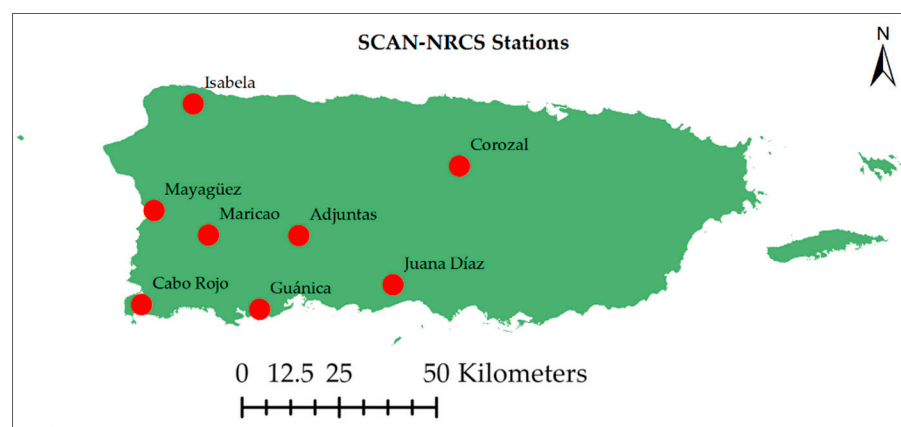
## 2. Datasets

### 2.1. AMSR2 satellite-based soil moisture data

The GCOM-W1 satellite system was launched in May 17th, 2012 to collect geophysical parameters (i.e., precipitation, sea surface temperature, and soil moisture content) and observe changes in water circulation [6, 22]. The GCOM-W1 system carries the AMSR2 sensor, which retrieves the radiometric waves emitted from Earth, data used to estimate SM at coarse spatial resolution globally with an average temporal resolution of two days [6, 27]. The SM estimates are calculated using the Land Parameter Retrieval Model (LPRM), which is based on a forward radiative transfer model that retrieves soil moisture and vegetation optical depth. The SM product provided by the AMSR2 can be retrieved from the Earth Observation Research Center (EORC) on the Japan Aerospace Exploration Agency (JAXA) website. The data can be downloaded in Hierarchical Data Format 5 (HDF5) for day and night readings, scene (referring to all the measurements taken half orbit between the North Pole and the South Pole with respect to the observation point [28]) or global map (10km and 25 km resolution), on a daily or monthly basis. For this research, all available data from September 2012 to January 2017 of the daily global AMSR2 25km resolution SM estimates values for both ascending and descending overpasses were retrieved and analyzed. To retrieve the values SM for Puerto Rico the geographical coordinates included in the original satellite data were processed using Euclidean Distance Method. The maximum, minimum, mean, and standard deviation for the ascending and descending overpasses of the AMSR2 25km resolution SM product over Puerto Rico are presented in Figure 1.

### 2.2. SCAN-NRCS ground-based soil moisture data

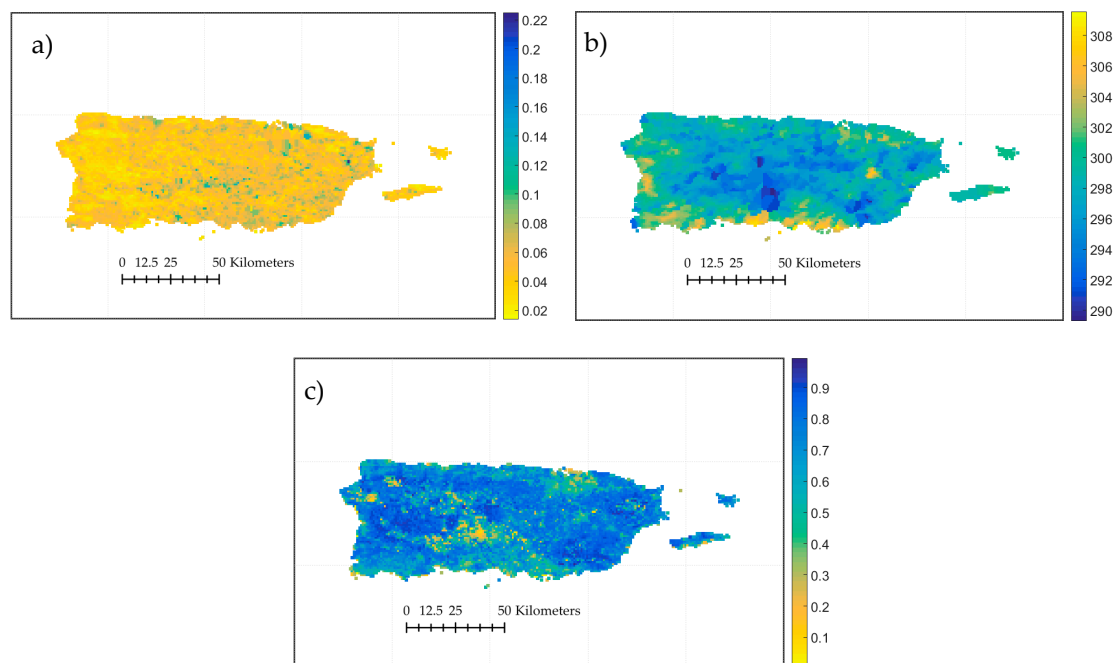
The Soil Climate Analysis Network (SCAN) project of the Natural Resources Conservation Service (NRCS) has been collecting soil moisture and soil temperature since 1991 all over the United States. At present, the project has employed over 200 stations around the United States, mainly in agricultural areas. The stations are continuously providing ground-based measurements of SM, precipitation, relative humidity, land surface temperature, solar radiation, wind velocity, wind direction, and barometric pressure. Eight SCAN-NRCS stations (Figure 2) are located over the central and western regions of Puerto Rico providing data from 2001 to the present day. The SM data provided by the SCAN network is measured hourly with TDR instruments at four different depths (5, 10, 20, and 50 centimeters). The data is offered as daily, or hourly. All available surface layer (at a depth of 5 cm) SM data for each station was retrieved for the analysis from the NRCS-NWCC (National Water Climate Center) website in comma-separated values (.csv) format.



**Figure 2.** Location of all SCAN-NRCS stations over Puerto Rico.

### 2.3. MODIS remotely sensed parameters

The MODIS sensor is a spectroradiometer that collects data for 36 different spectral bands and offers its products at a spatial resolution that varies from 500 to 5,600 meters. The products of MODIS are leaf area index, fractional photosynthetically active radiation, bidirectional reflectance distribution function, thermal anomalies and fire, temperature, emissivity, vegetation indices, gross and net primary productivity, and albedo. Two units of MODIS are currently collecting data, one is aboard the Terra satellite and the other in Aqua satellite system. These satellite systems are part of the NASA-centered international Earth Observing System (EOS). Both systems travel in a circular sun-synchronous polar orbit, a setting that allows the systems to go from north to south of Earth every 99 minutes (16 orbits per day), collecting data for the entire planet every one or two days. MODIS products of Albedo, LST, and NDVI at a 1km resolution were retrieved in HDF4 from the EOS Data and Information System (EOSDIS) website (<https://search.earthdata.nasa.gov/>) for Puerto Rico in order to perform the downscaling of a 25km resolution SM from AMRS2 to a 1km resolution SM product. A sample of the Albedo, LST, and NDVI parameters over Puerto Rico is presented in Figure 3.



**Figure 3.** MODIS product at 1km resolution over Puerto Rico: a) Albedo, b) LST (K), and c) NDVI.

## 3. Methods

Puerto Rico consists of an archipelago with a land surface area of 9,104km<sup>2</sup>. The island is mostly mountainous and the elevation at the highest point is 1,338 meters (4,390 feet) above sea level. In Puerto Rico, the soil type, land use, soil temperature, daily precipitation, impervious areas, and density of vegetation varies noticeably by the kilometer, therefore, it is expected that any average of a 25km resolution SM estimate cannot be representative of the area of coverage. The methodology of this project is meant to assess the quality of the AMSR2 products over Puerto Rico and enhance the satellite-based SM product by downscaling the coarse resolution AMSR2 SM product to a finer resolution SM product.

### 3.1. Comparison of AMSR2 with SCAN-NRCS soil moisture products

The comparison between the coarse resolution AMSR2 SM products and the SCAN-NRCS SM data was performed for all data available during September 2012 to January 2017 for both the ascending (1,148 days of data) and the descending (1,080 days of data) overpasses, which takes place around 1:30pm and 1:30am, respectively. Since the ground-based SM is offered hourly, average



values of the SCAN SM measurements between 1:00-2:00 pm and 1:00-2:00 am were computed and used compare with the AMSR2 SM products. To assess the quality of the AMSR2 SM products in terms of each SCAN-NRCS station, the location of each ground-based station was matched with the closest AMSR2 pixel centroid (Figure 4). To evaluate only the AMSR2 SM values that would better describe the area where the respective SCAN-NRCS station is located, the SM data was filtered by selecting the days when the soil moisture difference would not exceed  $\pm 0.15$ . The average distance between each SCAN-NRCS station and the AMSR2 25km pixel centroid, and the percent of pixels inside the  $\pm 0.15$  difference range were reported to analyze how these parameters affected the correlation between the remotely sensed and in-situ SM observations.



**Figure 4.** A sample for the matching of the SCAN-NRCS station with the closest AMSR2 SM pixel.

The AMSR2 SM values were analyzed by calculating the ME, the RMSE and the R for each station. The ME (Equation 1) is the summation of the difference between the ground based and satellite based SM divided by the total of days analyzed. The mean error is calculated as follows:

$$ME = \frac{\sum_{i=1}^N e_i}{N} = \frac{\sum_{i=1}^N [\theta_{i,G} - \theta_{i,S}]}{N} \quad (1)$$

Where is the error ( $e_i$ ), difference between the ground-based  $\theta_{i,G}$  and the satellite-based  $\theta_{i,S}$  SM and the N represents the number of days analyzed. It is important to note that the number of days analyzed changes with each station depending on the availability of the data. The RMSE is given by Equation 2:

$$RMSE = \sqrt{\frac{\sum_{i=1}^N e_i^2}{N}} = \sqrt{\frac{\sum_{i=1}^N [\theta_{i,G} - \theta_{i,S}]^2}{N}} \quad (2)$$

The RMSE represents the absolute accuracy of the AMSR2 SM compared to the SCAN-NRCS in-situ SM. The R (Equation 3) measures the relationship in variability between the observed measurements and the estimated values [29] and is calculated by:

$$R = \frac{N \sum_{i=1}^N (\theta_{i,G} \times \theta_{i,S}) - (\sum_{i=1}^N \theta_{i,G}) \times (\sum_{i=1}^N \theta_{i,S})}{\sqrt{[N(\sum_{i=1}^N \theta_{i,G}^2) - (\sum_{i=1}^N \theta_{i,G})^2] \times [N(\sum_{i=1}^N \theta_{i,S}^2) - (\sum_{i=1}^N \theta_{i,S})^2]}} \quad (3)$$

The correlation coefficient calculated as shown in the previous equation ranges from 0 to 1, where 0 is a poor estimate and 1 is the best estimate. A value of  $R \geq 0.70$  is considered as a satisfactory estimation.

### 3.2. Downscaling the AMSR2 with Albedo, LST, and NDVI

Since the AMSR2 product does not provide representative data for SM measurements over Puerto Rico, two downscaling techniques were tested to refine the 25km resolution product to a 1km resolution product. The following approaches were performed with hopes of enhancing the satellite-based continuous spatio-temporal SM measurements over Puerto Rico. The downscaling technique selected has been previously executed by [12] and first published by [30]. The technique suggests that remotely sensed SM retrieved from the AMSRE system at a 25km resolution can be downscaled to 1km resolution using a simple linear equation based on parameters calculated with a regression model that is based on three physical properties of 1km resolution retrieved from MODIS. The three physical properties are the albedo, the LST, and the NDVI. Equation 4 presents the downscaling approach with these three MODIS parameters:

$$\theta_s = \sum_{i=0}^{i=n} \sum_{j=0}^{j=n} \sum_{k=0}^{k=n} a_{ijk} V^i T^j A^k. \quad (4)$$

By establishing the number of explanatory variables  $n$  equal to 1, the equation yields to:

$$\theta_s = a_{000} + a_{100}A + a_{010}T + a_{001}V + a_{110}TA + a_{101}VA + a_{011}VT \quad (5)$$

Where  $a_{ijk}$  parameters are the connection between the fine resolution downscaled SM and the coarse resolution satellite-based SM products,  $A$  is the albedo,  $T$  is the LST, and  $V$  is the NDVI. These parameters are calculated with a multiple linear regression model that compares the aggregated values of the physical properties with the coarse resolution SM estimate. Each parameter was upscaled to match the 25km resolution of AMSR2. The upscale was performed as follows,

$$V_{25km} = \frac{\sum_{i=1}^n \sum_{j=1}^m V_{ij}}{mn}, \quad T_{25km} = \frac{\sum_{i=1}^n \sum_{j=1}^m T_{ij}}{mn}, \quad A_{25km} = \frac{\sum_{i=1}^n \sum_{j=1}^m A_{ij}}{mn}. \quad (6)$$

Where 25km is the resolution at which the physical parameters will be upscaled,  $m$  is the value for the  $i^{th}$  column of the 1km resolution grid inside the 25-kilometer resolution and  $n$  is the value for the  $j^{th}$  row of the 1km resolution grid inside the 25-kilometer resolution.

### 3.3. Downscaling the AMSR2 with sand fraction, elevation, and NDVI

As another approach for the downscaling, an algorithm was implemented to refine the AMSR2 product by distributing the coarse resolution SM product into a finer resolution product using weighted control parameters. These control parameters are sand fraction (SAND), elevation (ELEV), and NDVI and were retrieved at a resolution of 1km. The process to calculate a weighted value of each parameter in location  $ij$  inside each AMSR2 pixel consists simply in dividing each  $ij$  value by its average, as shown in the following equations:

$$SANDw_{ij} = \frac{SAND_{ij}}{SAND}, \quad ELEVw_{ij} = \frac{ELEV_{ij}}{ELEV}, \quad NDVIw_{ij} = \frac{NDVI_{ij}}{NDVI}. \quad (7)$$

These weighted values are then used to calculate the SM at each  $ij$  location by:

$$\theta_{ij} = \theta \times \frac{1}{3} \left( \frac{1}{SANDw_{ij}} + \frac{1}{ELEVw_{ij}} + NDVIw_{ij} \right) \quad (8)$$

Where  $\theta$  is the 25km AMSR2 SM and  $\theta_{ij}$  is the downscaled AMSR2 at a 1km resolution for location  $ij$ . After performing both downscales, the results were compared with the SCAN-NRCS ground-based SM following the same evaluation methodology previously mentioned.

## 4. Results and Discussion

### 4.1. Comparison of AMSR2 with SCAN-NRCS soil moisture products

All the available data from September 2012 to January 2017 of AMSR2 SM products at a resolution of 25km for both ascending and descending overpasses were compared with each SCAN-NRCS station over Puerto Rico. For the analysis, the maximum, minimum, mean, and standard deviation were calculated for each station and all AMSR2 pixels that were matched by distance as previously explained. The ascending and descending statistics results are shown in Tables 1 and 2, respectively.

**Table 1.** Basic statistics for each SCAN-NRCS station and the ascending AMSR2 SM products.

Ascending Station	Maximum		Minimum		Mean		Std. Deviation	
	NRCS	AMSR2	NRCS	AMSR2	NRCS	AMSR2	NRCS	AMSR2
Adjuntas	0.615	0.600	0.123	0.009	0.382	0.169	0.142	0.137
Cabo Rojo	0.357	0.599	0.108	0.009	0.173	0.137	0.051	0.138
Corozal	0.571	0.542	0.120	0.008	0.365	0.119	0.122	0.078
Guánica	0.357	0.600	0.007	0.009	0.071	0.181	0.060	0.140
Isabela	0.499	0.587	0.120	0.009	0.313	0.122	0.083	0.103
Juana Díaz	0.512	0.599	0.116	0.010	0.216	0.161	0.071	0.136
Maricao	0.415	0.599	0.081	0.009	0.199	0.137	0.080	0.138
Mayagüez	0.585	0.587	0.454	0.009	0.552	0.134	0.024	0.111

**Table 2.** Basic statistics for each SCAN-NRCS station and the descending AMSR2 SM products.

Descending Station	Maximum		Minimum		Mean		Std. Deviation	
	NRCS	AMSR2	NRCS	AMSR2	NRCS	AMSR2	NRCS	AMSR2
Adjuntas	0.633	0.577	0.119	0.021	0.379	0.164	0.139	0.109
Cabo Rojo	0.348	0.598	0.109	0.010	0.168	0.140	0.051	0.097
Corozal	0.564	0.581	0.126	0.016	0.372	0.135	0.119	0.091
Guánica	0.322	0.576	0.003	0.022	0.069	0.169	0.058	0.110
Isabela	0.478	0.584	0.116	0.012	0.313	0.154	0.085	0.103
Juana Díaz	0.512	0.586	0.110	0.016	0.213	0.163	0.075	0.111
Maricao	0.398	0.598	0.082	0.010	0.202	0.144	0.081	0.105
Mayagüez	0.584	0.577	0.454	0.025	0.551	0.157	0.024	0.104

The maximum values of both overpasses AMSR2 SM are very congruent to the NRCS stations located in mountainous areas (Adjuntas, Corozal, and Isabela) where high humidity and frequent precipitation events are common. The minimum values of both overpasses AMSR2 SM underestimates SM with respect of the NRCS readings for all stations except for Guánica. This exception takes effect because the NRCS station in Guánica is located in an area known as the “Bosque Seco”, which directly translates to “Dry Forest”, which is known to be one of the most arid regions with low humidity and low frequency of precipitation events in PR. The mean values and standard deviations for AMSR2 readings with respect to the NRCS stations during both overpasses are low and very similar, revealing a consistent tendency of all the AMSR2 readings over PR to underestimate SM.

The percent of instances where the error was inside the range of  $\pm 0.15$  (%), ME, RMSR, R and the mean distance from station to centroid (Distance) are shown in Tables 3 and 4. Overall, AMSR2 SM products for both overpasses tended to underestimate for all SCAN-NRCS stations except for the station in Guánica, which is one of the most arid regions of the island.

The percent of error inside the  $\pm 0.15$  range (%) and the mean distance of the nearest available AMSR2 pixel centroid with respect to each NRCS station (Distance) readings both are influencing the values of ME, RMSE, and R. Lower % values decreases ME and RMSE, while increasing R.



**Table 3.** Basic statistics for each SCAN-NRCS station and the ascending AMSR2 SM products.

Station	(%)	Distance (km)	ME	RMSE	R
Adjuntas	32	9.0	0.074	0.081	0.823
Cabo Rojo	74	24.9	0.079	0.087	0.273
Corozal	27	9.6	0.075	0.086	0.777
Guánica	67	18.8	0.063	0.075	0.470
Isabela	30	12.6	0.085	0.095	0.776
Juana Díaz	68	10.6	0.077	0.087	0.490
Maricao	71	11.9	0.081	0.090	0.590
Mayagüez	4	18.3	0.089	0.103	0.559

**Table 4.** Basic statistics for each SCAN-NRCS station and the descending AMSR2 SM products.

Station	(%)	Distance (km)	ME	RMSE	R
Adjuntas	33	6.1	0.076	0.087	0.765
Cabo Rojo	87	21.4	0.064	0.076	0.356
Corozal	27	10.3	0.069	0.080	0.829
Guánica	70	16.1	0.065	0.077	0.421
Isabela	40	8.8	0.083	0.093	0.758
Juana Díaz	73	10.7	0.072	0.083	0.552
Maricao	80	8.0	0.067	0.078	0.630
Mayagüez	3	14.6	0.056	0.075	0.735

If Distance is over 12 kilometers ME and RMSE increases, and R decreases. High R values were found in areas where the mean values of the NRCS SM readings in humid areas were equal or higher than 0.30, the % was equal or less than 40%, and the Distance was less than 12 kilometers. This high correlation reveals that when the AMSR2 is not underestimating SM it provides a moderately good estimate within the range of the pixel, if the mean SM in the area is equal or higher than 0.30. Low R values were found in arid areas, where the mean SM was lower than 0.30, lower Distance increased the R up to 0.630.

#### 4.2. Downscale of AMSR2 with Albedo, LST, and NDVI

The AMSR2 25km resolution SM product was downscaled to 1km resolution with a simple linear equation involving MODIS products for Albedo, NDVI, and LST. The average resultant downscaling equation for all AMSR2 data is shown in the following equation.

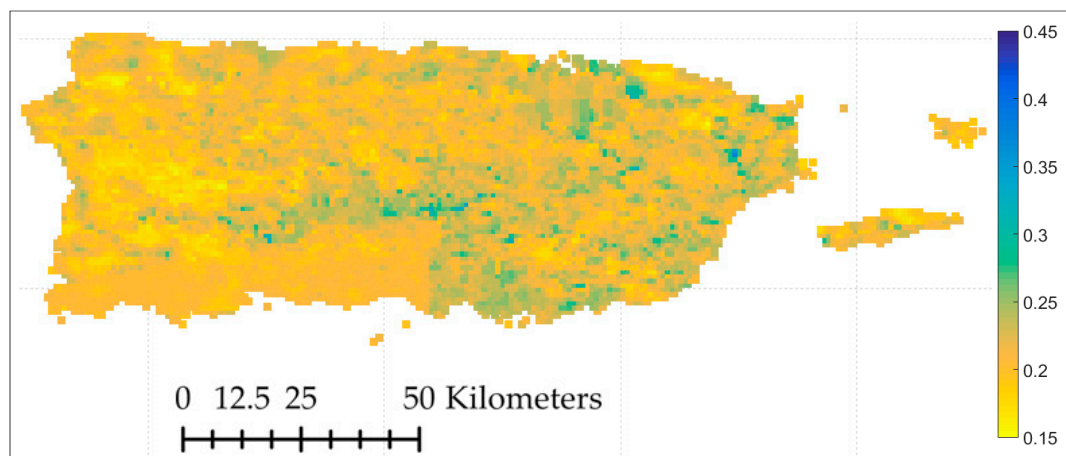
$$\theta_s = 0.1503 + 1.33 \times 10^{-4} A + 4.70 \times 10^{-5} T - 1.06 \times 10^{-6} V - 4.28 \times 10^{-7} TA + 7.31 \times 10^{-10} VA - 2.31 \times 10^{-9} VT$$

Where  $\theta_s$  is the downscaled SM, A is the albedo, T is the land surface temperature, V is the normalized difference of vegetation index, and the rest are the interactions between A, T, and V (TA, VA, and VT). The regression model achieved a moderate correlation of 0.6102 and an overall RMSE of 0.0050, indicating that the model did a good fit with the AMSR2 estimates. To reinforce this statement R-squared was calculated and the F-statistic test was performed. The R-squared was 0.037 and the p-value of the F-statistic test was less than  $10^{-4}$ , these results reinforce the statement that the model is providing a good fit. Table 5 shows that all p-values are below the significant level (5%), meaning that the null hypothesis is rejected for each coefficient, and all terms in the linear equation are significant.

**Table 5.** Calculated Values and T-Statistics of the Regression Coefficients.

Coefficient	Value	Squared Error	t-statistic	P (%)
a <sub>000</sub>	0.15	0.0053	49.12	0.0014
a <sub>100</sub>	1.33×10 <sup>-4</sup>	3.66×10 <sup>-5</sup>	2.98	0.1432
a <sub>010</sub>	4.70×10 <sup>-5</sup>	1.84×10 <sup>-5</sup>	1.97	0.1276
a <sub>001</sub>	-1.06×10 <sup>-6</sup>	6.67×10 <sup>-7</sup>	-1.66	0.1113
a <sub>110</sub>	-4.28×10 <sup>-7</sup>	1.01×10 <sup>-7</sup>	-3.24	0.1354
a <sub>101</sub>	7.31×10 <sup>-10</sup>	1.89×10 <sup>-9</sup>	-0.12	0.2927
a <sub>011</sub>	-3.20×10 <sup>-9</sup>	2.31×10 <sup>-9</sup>	0.11	0.1160

Figure 5 shows a sample for the downscaled AMSR2 SM values over PR using MODIS parameters Albedo, LST, and NDVI.

**Figure 5.** Sample of downscaled AMSR2 SM (cm<sup>3</sup>/cm<sup>3</sup>) values over PR using MODIS parameters.

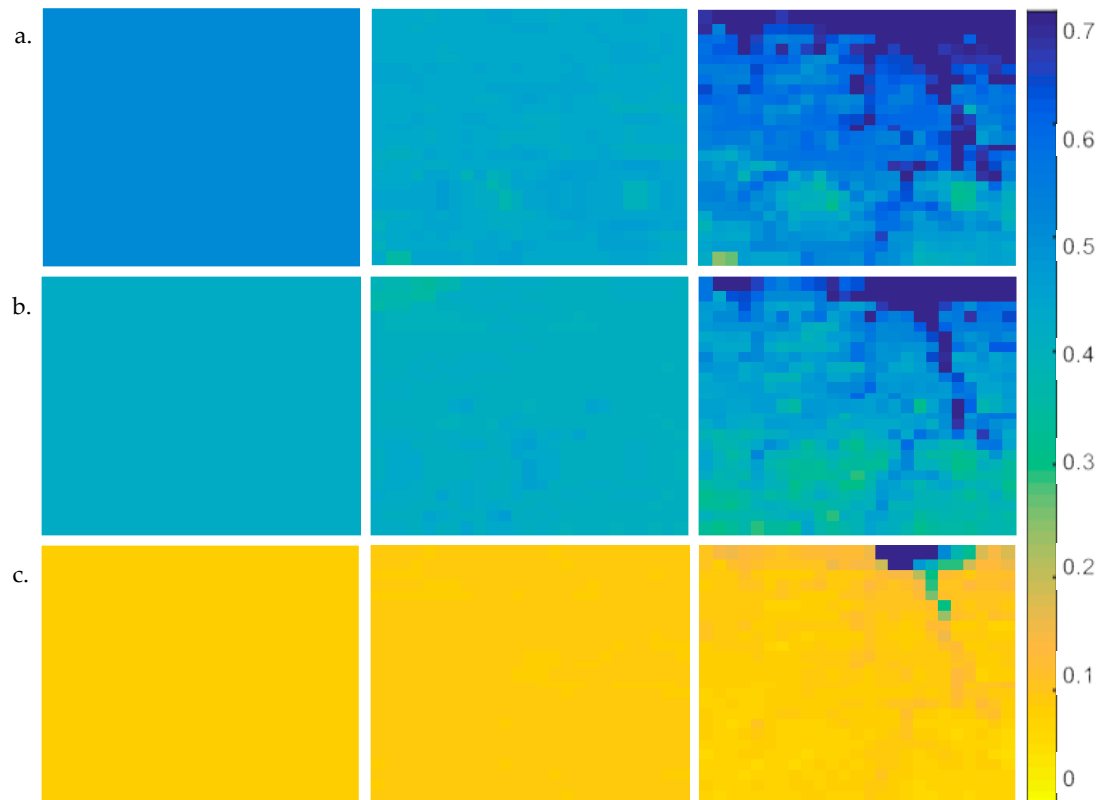
The following table (Table 6) presents the basic statistics for the comparison between the downscaled product using the MODIS parameters and the SCAN-NRCS SM measurements. In contrast with the comparison of the SCAN-NRCS measurements with the raw AMSR2 SM products, the downscaled product achieved a higher percent of SM estimates that behaves similar to the SCAN-NRCS data. In terms of R, the correlation slightly decreased. A potential solution to the decrease of correlation could be the optimization of the downscaling technique.

**Table 6.** Basic statistics for the comparison of each SCAN-NRCS station and the downscaled AMSR2 SM products using Albedo, NDVI, and LST.

Station	Ascending				Descending			
	(%)	ME	RMSE	R	(%)	ME	RMSE	R
Adjuntas	34	0.076	0.088	0.607	33	0.074	0.085	0.725
Cabo Rojo	84	0.063	0.075	0.243	88	0.054	0.066	0.253
Corozal	35	0.071	0.082	0.731	31	0.069	0.081	0.755
Guánica	76	0.070	0.081	0.455	81	0.076	0.085	0.604
Isabela	42	0.079	0.091	0.643	42	0.079	0.090	0.661
Juana Díaz	77	0.070	0.081	0.390	80	0.062	0.074	0.424
Maricao	86	0.066	0.077	0.529	88	0.055	0.066	0.634
Mayagüez	2	0.106	0.112	0.665	2	0.074	0.085	0.807

#### 4.3. Downscale of AMSR2 with Sand Fraction, Elevation, and NDVI

This approach for the downscaling of AMSR2 was performed with an algorithm that used the weighted control parameters sand fraction, elevation, and Normalized Difference of Vegetation Index. Figure 6 shows a sample 25km pixel of the AMSR2 SM (left), the downscaled SM using MODIS parameters (center), and the downscaled SM using physical parameters (right) during a) high humidity ( $SM \geq 0.60$ ), b) moderate humidity ( $0.60 > SM > 0.25$ ), and c) low humidity ( $SM \leq 0.20$ ).



**Figure 6.** AMSR2 25km SM ( $\text{cm}^3/\text{cm}^3$ ) value (left), AMSR2 SM downscaled using MODIS (middle), and AMSR2 SM downscaled using physical parameters for a) high humidity, b) moderate humidity, and c) low humidity.

When the sand fraction is low, the value of the void fraction is higher, thus providing more space for water to infiltrate which decreases the SM. With changes in elevations, the lowest values accumulate moisture, providing higher SM values. The NDVI provides an estimation of the vegetation density in an area. When the NDVI value is high, more precipitation is intercepted and more moisture is absorbed by the vegetation, decreasing the SM in the area.

**Table 7.** Basic statistics for the comparison of each SCAN-NRCS station and the downscaled AMSR2 SM products using Sand Fraction, Elevation, and NDVI.

Station	Ascending				Descending			
	(%)	ME	RMSE	R	(%)	ME	RMSE	R
Adjuntas	32	0.080	0.091	0.820	22	0.079	0.091	0.786
Cabo Rojo	73	0.072	0.083	0.423	74	0.074	0.083	0.348
Corozal	37	0.082	0.092	0.777	40	0.081	0.090	0.699
Guánica	72	0.075	0.085	0.378	79	0.079	0.086	0.341
Isabela	42	0.084	0.093	0.672	41	0.081	0.090	0.688
Juana Díaz	71	0.074	0.085	0.389	71	0.071	0.081	0.410
Maricao	77	0.064	0.075	0.516	88	0.072	0.083	0.205
Mayagüez	29	0.088	0.095	0.965	55	0.087	0.091	0.945

The previous table (Table 7) presents the basic statistics for the comparison between the downscaled SM product using physical parameters and the SCAN-NRCS SM measurements. The outcome of the downscale in terms of correlation and percent of SM values that behaved similar to the SCAN-NRCS measurements was analogous to the results from the first downscaling. The most significant outcome from this downscale technique is the improvement of SM estimation of the Mayagüez SCAN-NRCS station. This improvement happens due to the consideration of the changes in topography, sand fraction, and NDVI in the algorithm, since this particular station is located at the bottom of a hill in an area where precipitation events are frequent.

## 5. Conclusion

The comparison of AMSR2 SM product at 25km resolution with the SCAN-NRCS stations revealed that the AMSR2 spatial coverage of 25km does not provide a good estimate of SM in Puerto Rico. The overall results of the validation show that about 49% of the AMSR2 SM estimates behaves similar to the SCAN-NRCS SM measurements with an overall correlation of 0.613. The low correlation between the coarse resolution estimate and the ground-based measurements is due to changes in vegetation density, land use, topography, precipitation, and soil properties in Puerto Rico. As well, in order to have a more robust validation additional field data is needed inside the 25km pixels.

The downscaling method using MODIS parameters provided a better fit with the AMSR2 data. The resulting SM from the linear equation that relates Albedo, LST, and NDVI from MODIS with the SM from AMSR2 showed a tendency to increase SM where a precipitation event occurred. This enhancement increased the percent of AMSR2 estimates that behaves similar to the ground-based measurements to 55%. To expand the coverage of the AMSR2, a daily average SM value was assumed for the area that was left out of the 25km grids. This assumption led to the decrease in correlation and can be modified in future research to assess this issue. The second approach, in which a weighted value of physical parameters was used, provided a better projection of how the SM is distributed throughout the pixel area, increasing in percent of AMSR2 estimates that behaves similar to the ground-based measurements to 56%. By considering sand fraction, elevation, and NDVI as variables to enhance SM estimations of the AMSR2, a significant change was observed where the R in the Mayagüez station increased from 0.647 to 0.955 and the percent of AMSR2 estimates that behaves similar to the ground-based measurements 4% to 42%.

Future research is needed to improve the downscaled products for both methodologies, such as: exploring how the addition of other variables like precipitation would affect the downscaled product, a comparison of how different vegetation indexes such as the Simple Ratio Infrared Index, or the Soil Adjusted Vegetation Index influences the quality of the downscaled products. Other future research includes the optimization of the downscaling techniques through a sensitivity analysis and the incorporation of a dense network of sensors placed inside the area of coverage of one AMSR2 pixel to provide a better validation of the AMSR2 and the downscaled SM products in Puerto Rico.

Appendix

The following section contains Puerto Rico maps for Land Use, MODIS NDVI, Elevation, Soil Types, and Precipitation with their respective references.

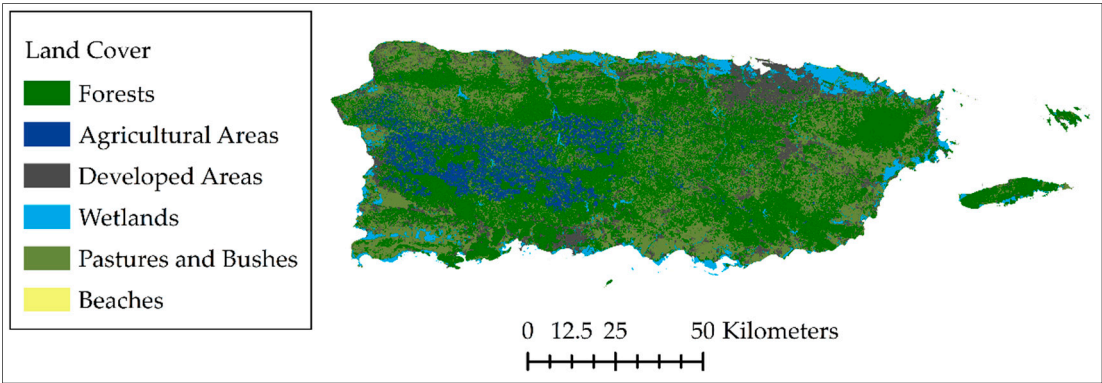


Figure 7. Land Use map for Puerto Rico (data retrieved from <http://www2.pr.gov>).

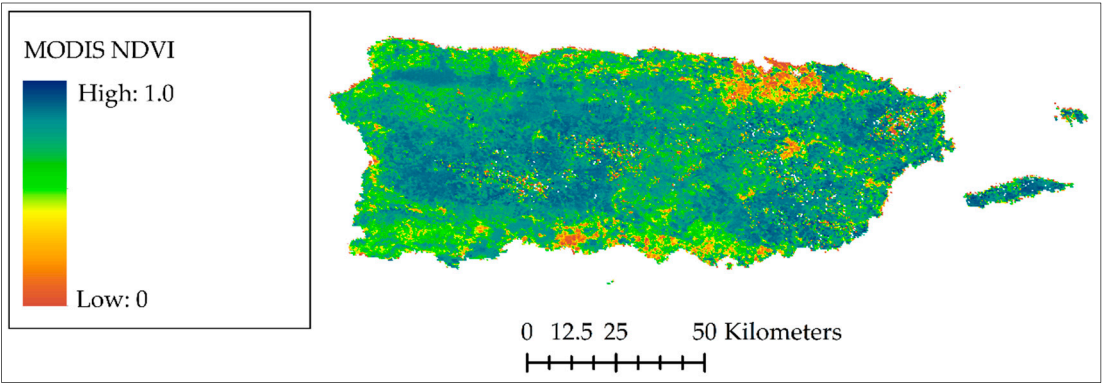


Figure 8. MODIS NDVI map for Puerto Rico (data from <https://search.earthdata.nasa.gov/search>).

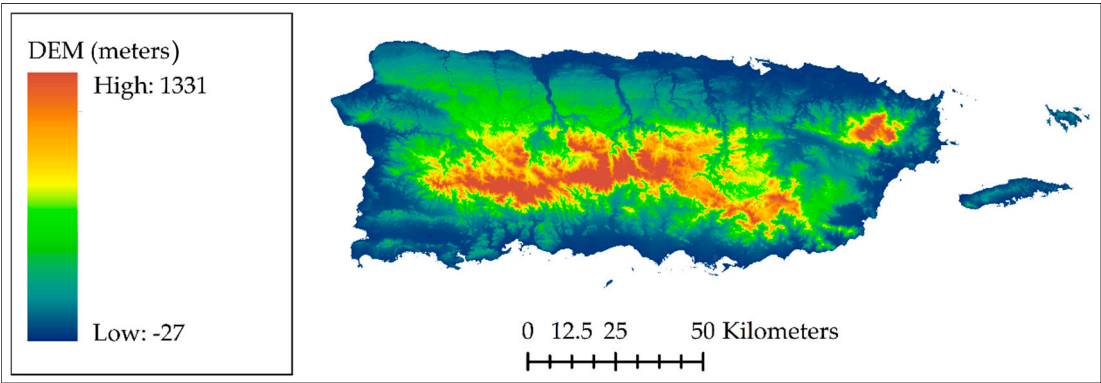
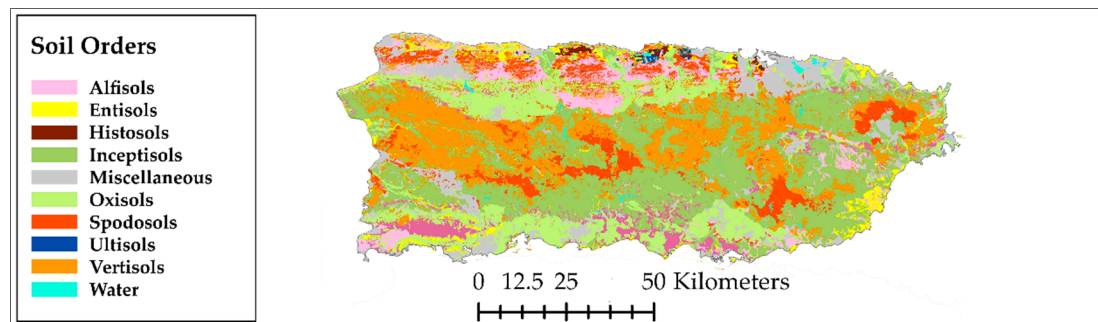
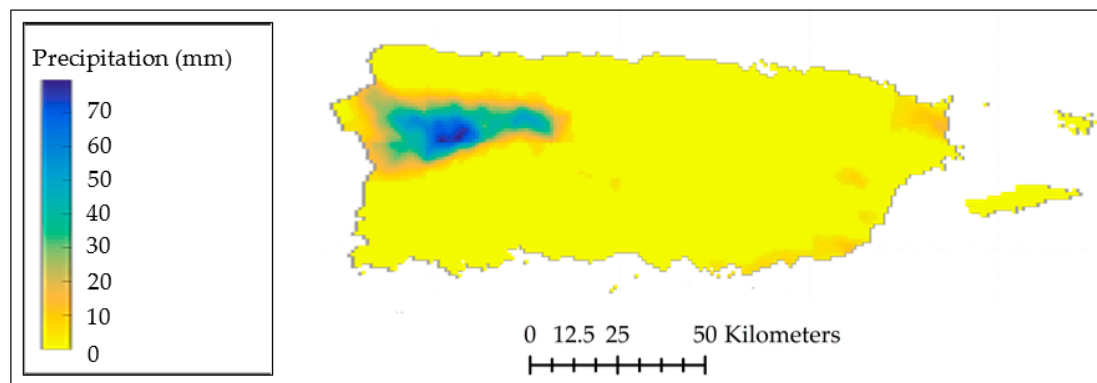


Figure 9. Elevation Data map for Puerto Rico (DEM data from <https://gdg.sc.egov.usda.gov/>).





**Figure 10.** Soil Orders map for Puerto Rico (map retrieved the Developing legume shade trees for sustainable coffee production in PR website [http://academic.uprm.edu/eschroder/Soil\\_Orders.jpg](http://academic.uprm.edu/eschroder/Soil_Orders.jpg)).



**Figure 11.** Precipitation data for Puerto Rico (data retrieved from <https://pragwater.com/tag/goes-prweb/>).

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**Conflicts of Interest:** The authors declare no conflict of interest.

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