

1 Article

2 A Speculative Model of Thermally Forced Quasi-Geostrophic Flow 3 in an Unbounded Ocean

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14 **Abstract:** Starting with a hypothetical geostrophic zonal current in an unbounded ocean, the
15 investigation points out the response of this simple system to a thermal forcing, applied to the free
16 surface and consistent with the maintenance of the geostrophic balance. The main result is the
17 formation of a meridional component of the current, according to the Sverdrup relation, such that the
18 full velocity vector rotates clockwise for heating and anticlockwise for cooling to adjust eventually in
19 the initial zonal direction for large depths.

20 **Keywords:** Geophysical Fluid Dynamics, Geostrophic flows; Thermal forcing; Analytical model

21

22 1. Introduction

23 In the large-scale ocean circulation the effect of the thermal forcing is prevalingly indirect. It
24 reveals itself essentially through the overlying wind field which is ultimately the response of the
25 atmosphere to the horizontal component of the air density gradient due to solar heating. This is the
26 origin of the wind-driven circulation. On the other hand, a direct effect concerns with the heat flux at
27 the air-sea interface, of seasonal or meteorological origin, the latter being able to induce a marked
28 convective circulation on a local scale. Besides the "extreme" cases cited above, in which the forcing
29 has a drastic influence, one can conceive a system in which a less intense heating/cooling at the sea
30 surface makes rise to a weak disturbance superimposed to a hypothetical unperturbed circulation.
31 In this context, most of the existing literature deals with numerically solved high-resolution models
32 of circulation in rotating closed basins [1], [2] and papers quoted therein. However, remarkable
33 analytical contributions are found in Barcilon, V., and J. Pedlosky, 1967 [3], Whitehead and Pedlosky
34 ,2000 [4], and Pedlosky (2003) [5]. The aim of this investigation is to explore the interplay between
35 the heating/cooling of a thin sub-superficial layer of an unbounded ocean and the consequent
36 mechanical reaction of the quasi-geostrophic flow field induced by the redistribution of sea-water
37 density inside the water column. The heat flux is weak enough to preserve the dominance of the
38 geostrophic balance in the system. The method resorts (i) to a thermodynamic equation which links
39 together the time rate of heat transfer into/out the ocean surface with the ageostrophic vertical current
40 and (ii) to the Sverdrup relation which connects the vertical current to the meridional transport. In
41 the framework of an analytic approach to above model, only a local solution seems to be accessible.
42 In any case the latter solves *exactly* the vertical structure of the geostrophic flow and allows to

43 elucidate the physics of the interplay. The main effect of the thermal forcing on a hypothetical
 44 unperturbed westward zonal current is the addition of a small meridional component. In particular,
 45 the velocity vector rotates clockwise for heating and anticlockwise for cooling. For increasing depth
 46 the current undergoes a further counter-rotation, far smaller than the first one, to adjust eventually
 47 in the initial zonal direction for large depths.

48 2. The link between thermal forcing and dynamics

49 In this section a relationship between the time rate of heat transferred into, or released by, a salinity
 50 conserving sea-water volume and the vertical current taking place in the same volume is inferred.

51 Consider first the linearized equation of state $\rho = \rho(\theta)$, where ρ is the density and θ is the
 52 temperature of sea-water, which yields (see, for instance, eq. (2.17) of [6])

$$53 \quad (1) \quad \frac{\partial \rho}{\partial \theta} = -\rho_0 \alpha_\theta$$

54 In (1) ρ_0 is the density around which the equation is linearized and α_θ is the coefficient of thermal
 55 expansion. Then assume the thermodynamic equation

$$56 \quad (2) \quad \frac{D\rho}{Dt} = \left(\frac{\partial \rho}{\partial \theta} \right)_{S=S_0} \frac{\dot{Q}}{c_p}$$

57 which comes from the first principle for an incompressible fluid (see, for instance, eq. (2.50) of [6]). In

58 (2) S_0 is the constant value of salinity, \dot{Q} is the time rate of transferred, or released, heat into sea-
 59 water and c_p is its specific heat at constant pressure. Equations (1) and (2) yield

$$60 \quad (3) \quad \frac{D\rho}{Dt} = -\frac{\rho_0 \alpha_\theta}{c_p} \dot{Q}$$

61 The fundamental role of equation (3) lies in the fact that it includes implicitly the vertical velocity in
 62 the advective term of the Lagrangian derivative and, explicitly, the time rate of heat transfer; thus (3)
 63 links the dynamics of the flow with the thermal forcing which acts on it. Owing to the prevailing
 64 geostrophic and hydrostatic balances governing geophysical flows, the density field is suitably
 65 expressed as (see, for instance, eq. (2.554) of [6])

$$66 \quad (4) \quad \rho = \rho_s(z) \left[1 + r \rho'(\mathbf{x}', t') \right]$$

67 In (4) ρ_s is the "standard" density, which retains the basic vertical structure of the overall field
 68 density while ρ' is the non dimensional contribute required by the geostrophic balance. Finally,

$$69 \quad (5) \quad r = \frac{ULf_0}{gZ} \quad O\left(\frac{ULf_0}{gZ}\right) \approx 10^{-4}$$

70 is a small quantity depending on the scales of the motion L, Z, U^1 and on the planetary constants
 71 f_0 and g . Substitution of (4) into (3) produces the desired relationship between the heat and the
 72 vertical current (see the Appendix for details) in the non dimensional form (primed variables)

$$73 \quad (6) \quad w' = \frac{\varepsilon}{S} \left(\frac{D\rho'}{Dt'} + H' \right)$$

74 In (6) w' is the vertical velocity of the fluid, ε is the Rossby number, S is the stratification
 75 parameter (unfortunately the notation is the same as salinity, but the latter will not be used in what
 76 follows) and $H' = \frac{gZ\alpha_0\dot{Q}}{U^2 f_0 c_p}$ is a non dimensional heating function.

77 3. The quasi-geostrophic potential vorticity equation at the oceanic basin 78 scale with thermal forcing

79 The quasi-geostrophic dynamics at the oceanic basin scale is widely described, for instance, in [6] and
 80 in [7]. Its basic feature is the dominance of planetary vorticity with respect to relative vorticity and
 81 this fact makes the formal aspect of the resulting governing equation of potential vorticity noticeably
 82 simple. Only the main points, not to be ignored, are recalled below. The non dimensional fields
 83 appearing in the primitive equations, that is velocity, density and pressure, are expanded in powers
 84 of the ordering parameter

$$85 \quad (7a) \quad b = \varepsilon\beta$$

86 or, alternatively,

$$87 \quad (7b) \quad b = \beta_0 L / f_0$$

88 where $\beta = \beta_0 L^2 / U$ and β_0 is the planetary vorticity gradient. At the basin scale

$$89 \quad (8) \quad O(b) = 10^{-1}$$

90 and

$$91 \quad (9) \quad O(\beta S) = 1$$

92 Thus (primes are dropped)

$$93 \quad (10) \quad \begin{aligned} (u, v, \rho, p) &= (u_0, v_0, \rho_0, p_0) + b(u_1, v_1, \rho_1, p_1) + \dots \\ w &= bw_1 + \dots \end{aligned}$$

94 where u_0 and v_0 are in geostrophic balance with the pressure gradient $\nabla_h p_0$ and

¹ The depth of the motion is represented by Z while H means a heating function.

95 (11)
$$\rho_0 + \frac{\partial p_0}{\partial z} = 0$$

96 The first key equation involving two of the fields (10) is the fundamental Sverdrup relation (widely
97 discussed, for instance, in [8])

98 (12)
$$v_0 = \frac{\partial w_1}{\partial z}$$

99 which is one of the main ingredients of this investigation. Equation (12) is also the basis of the so
100 called Sverdrup balance of the wind-driven circulation which, however, has nothing to do with this
101 model. The second equation comes from (6) by using (7a) and (10). In fact, substitution of (10) into (6)
102 gives

103 (13)
$$bw_1 + \dots = \frac{\varepsilon}{S} \left(\frac{D_0}{Dt} \rho_0 + H \right) + \dots$$

104 where $\frac{D_0}{Dt} = \frac{\partial}{\partial t} + \mathbf{u}_0 \cdot \nabla$ and $\mathbf{u}_0 = (u_0, v_0)$. The balance between the l.h.s. and the r.h.s. of (13),

105 where $O(\mathbf{H}) = 1$ (see (A11) of the Appendix), is achieved noting that, according to (7a) and to (9),

106
$$\frac{\varepsilon}{S} = \frac{\beta \varepsilon}{\beta S} = \frac{b}{\beta S} \text{ and } O\left(\frac{b}{\beta S}\right) = O(b). \text{ Thus (13) gives}$$

107 (14)
$$w_1 = \frac{1}{\beta S} \left(\frac{D_0}{Dt} \rho_0 + H \right)$$

108 Finally, recalling (11), equation (14) becomes

109 (15)
$$w_1 = -\frac{1}{\beta S} \left(\frac{D_0}{Dt} \frac{\partial p_0}{\partial z} - H \right)$$

110 At this point the vertical velocity w_1 can be eliminated from (12) and (15) in favour of the sole
111 geostrophic fields to obtain

112 (16)
$$\frac{\partial}{\partial z} \left[\frac{1}{\beta S} \left(\frac{D_0}{Dt} \frac{\partial p_0}{\partial z} - H \right) \right] + v_0 = 0$$

113 The steady version of (16) written by means of the streamfunction $\psi = p_0$, in terms of which

114
$$\frac{D_0}{Dt} \frac{\partial p_0}{\partial z} = J \left(\psi, \frac{\partial \psi}{\partial z} \right) \text{ and } v_0 = \frac{\partial \psi}{\partial x}, \text{ takes the form}$$

115 (17)
$$\frac{\partial}{\partial z} \left\{ \frac{1}{\beta S} \left[J \left(\psi, \frac{\partial \psi}{\partial z} \right) - H \right] \right\} + \frac{\partial \psi}{\partial x} = 0$$

116 By resorting to the same notation as in (17), equation (15) becomes

$$117 \quad (18) \quad w_1 = -\frac{1}{\beta S} \left[J \left(\psi, \frac{\partial \psi}{\partial z} \right) - H \right]$$

118 Equation (18) will be used to express the vertical boundary condition of the model solution in $z=0$
119 by means of ψ under the assumption of the rigid lid approximation, in accordance with [7].

120 4. The Model

121 The model aims to focus the vertical structure of the geostrophic flow by means of suitable
122 simplifications whose validity is essentially local. The system is steady and governed by a special
123 version of (17) under the following hypotheses:

- 124 • The factor $\frac{1}{\beta S}$ is a constant of the order of unity according to (9);
- 125 • The fluid is vertically included in the interval $(-\infty < z \leq 0)$;
- 126 • $H = H(z)$, $O(H) = 1$, $H(-\infty) = 0$;
- 127 • The vertical boundary condition $w_1 = 0$ in $z = 0$ is given by (18)

$$128 \quad (19) \quad J \left(\psi, \frac{\partial \psi}{\partial z} \right)_{z=0} = H(0)$$

- 129 • At large depths the current is mainly zonal

$$130 \quad (20) \quad \psi(x, y, -\infty) = \psi_0(y)$$

131 Thus, equation (17) simplifies into

$$132 \quad (21) \quad \frac{\partial}{\partial z} J \left(\psi, \frac{\partial \psi}{\partial z} \right) + \beta S \frac{\partial \psi}{\partial x} = \frac{dH}{dz}$$

133 and the model is summarized in equations (19), (20), (21). The thermal forcing can be conceived as a

134 disturbance of the zonal current $u_0 = -\frac{d\psi_0}{dy}$, where $\psi = \psi_0(y)$, which identically satisfies the

135 unperturbed motion (i.e., the motion in the absence of H) on the beta-plane. Hereafter, for

136 simplicity, the constant westward current $u_0 = -1$ will be considered, so

$$137 \quad (22) \quad \psi_0(y) = y - \bar{y}$$

138 \bar{y} being a constant. The effect of the forcing is expected to introduce a baroclinic term $\phi(x, y, z)$

139 superimposed to (22), that is to say

$$140 \quad (23) \quad \psi = y - \bar{y} + \phi(x, y, z)$$

141 Substitution of (23) into (21) yields

$$142 \quad (24) \quad \beta S \frac{\partial \phi}{\partial x} - \frac{\partial^2}{\partial z^2} \frac{\partial \phi}{\partial x} + \frac{\partial}{\partial z} J \left(\phi, \frac{\partial \phi}{\partial z} \right) = \frac{dH}{dz}$$

143 Because the r.h.s. of (24) is a function of z alone, it seems sensible to try a solution of the form

$$144 \quad (25) \quad \phi = (x - \bar{x}) \varphi(z)$$

145 where the constant \bar{x} can be identified with the longitude of the eastern boundary of the fluid
146 domain. Thus $x - \bar{x} \leq 0$. Owing to (25), the Jacobian determinant of (24) is identically zero and the
147 substitution of (25) into (24) produces the linear ODE

$$148 \quad (26) \quad \beta S \varphi - \frac{d^2 \varphi}{dz^2} = \frac{dH}{dz}$$

149 In turn, substitution of the full streamfunction (23) with (25) into (19) gives the boundary condition
150 at the upper surface in the form

$$151 \quad (27) \quad \left(\frac{d\varphi}{dz} \right)_{z=0} = -H(0)$$

152 while boundary condition (20) implies

$$153 \quad (28) \quad \varphi(-\infty) = 0$$

154 Trivially $\nabla_h^2 \psi = \nabla_h^2 [y - \bar{y} + (x - \bar{x}) \varphi(z)] = 0$, so the request that, at the basin scale, relative
155 vorticity be far smaller than planetary vorticity is satisfied *a fortiori*. Problem (26), (27), (28) can be
156 solved once $H(z)$ is given. The hypothesis

$$157 \quad (29) \quad H(z) = H_0 \exp(\lambda z)$$

158 is hereafter taken into account, where $O(H_0) = 1$ and $\lambda \gg 1$. A wide variety of functions could
159 be considered in place of (29), however the latter seems to be preferable because of its simple form
160 and because it is fit for describing the absorption process $H(z - dz) = -\lambda H(z) dz$ into sea water
161 ($z \leq 0$). Heating implies $H_0 > 0$ while cooling $H_0 < 0$. Moreover, setting $z_* = Z/z$, the quantity

162 $\lambda z = \frac{z_*}{Z/\lambda}$ appearing in (29) introduces the typical heating/cooling depth $Z/\lambda \ll Z$. Substitution

163 of (29) into (26) yields

$$164 \quad (30) \quad \beta S \varphi - \frac{d^2 \varphi}{dz^2} = \lambda H_0 \exp(\lambda z)$$

165 The solutions of problem (27), (28), (30) is

$$166 \quad (31) \quad \varphi(z) = \frac{H_0}{\beta S - \lambda^2} \left[\lambda \exp(\lambda z) - \sqrt{\beta S} \exp(\sqrt{\beta S} z) \right]$$

167 where $\beta S - \lambda^2 \ll 1$. Solution (31) allows to evaluate all the geostrophic fields, that is to say

$$168 \quad u_0 = -1, \quad v_0 = \varphi(z), \quad p_0 = y - \bar{y} + (x - \bar{x})\varphi(z), \quad \rho_0 = -(x - \bar{x}) \frac{d\varphi}{dz}$$

169 Note that ρ_0 has the same sign as $\frac{d\varphi}{dz}$. In turn, substitution of (31) into (18) gives the ageostrophic

170 vertical velocity

$$171 \quad (32) \quad w_1 = \frac{H_0}{\beta S - \lambda^2} \left[\exp(\lambda z) - \exp(\sqrt{\beta S} z) \right]$$

172 One can check that (31) and (32) identically satisfy equation (12). From (31), one finds $\int_{-\infty}^0 \varphi(z) dz = 0$

173 , in accordance with the relationships $w_1(0) = w_1(-\infty) = 0$ that follow from (32). In particular

$$174 \quad \varphi(0) = -\frac{H_0}{\sqrt{\beta S + \lambda}}; \text{ moreover } \varphi \text{ changes its sign, only once, at the depth}$$

$$175 \quad (33) \quad z_0 = \frac{1}{\sqrt{\beta S - \lambda}} \ln \frac{\lambda}{\sqrt{\beta S}}$$

176 Therefore, in the presence of heating, φ is negative (i.e., v_0 southward) from the free surface

177 down to z_0 and positive (i.e., v_0 northward) below z_0 . Because of the inequalities

178 $\exp(\lambda z) \leq \exp(\sqrt{\beta S} z) \forall z \in (0, -\infty)$ and $\beta S - \lambda^2 < 0$, the vertical velocity (32) has the same

179 sign as H_0 in the full water column (upward for heating and downward for cooling) and reaches

180 its extremum in (33), where the meridional velocity changes its sign. The density anomaly ρ_0 is

181 negative for heating and positive for cooling in the layer from the free surface down to the depth

182 $z = 2z_0$. Although it changes its sign below $z = 2z_0$, the total density anomaly

$$183 \quad \int_{-\infty}^0 \rho_0 dx = (x - \bar{x}) \frac{H_0}{\sqrt{\beta S + \lambda}} \text{ retains a sign opposite to that of } H_0 \text{ as in the upper layer}$$

184 $(2z_0 < z \leq 0)$. All this summarizes the dynamics of the thermal forcing. In fact heating squeezes the

185 upper portion of the fluid column and stretches the remaining part in accordance with the upward
 186 ageostrophic vertical velocity. In doing so, heating decreases the density anomaly both below the free
 187 surface and, less markedly, on the whole fluid column. In turn, because of the Sverdrup relation, the

188 meridional southward transport $M_y = \int_{z_0}^0 v_0 dz$ with a negative density anomaly takes place in the

189 upper part of the column where a direct computation yields

$$190 \quad (34) \quad M_y = -w_1(z=z_0)$$

191 while the meridional northward transport equal to $-M_y$ forms in the remaining part. The dynamics
 192 is symmetrically reverted for cooling. A further noticeable quantity describing the structure of the
 193 flow field is the angle, say δ , singled out, at each depth, by the vector $\mathbf{u}_0 = -\hat{\mathbf{i}} + \varphi(z)\hat{\mathbf{j}}$ with respect
 194 to the semi-axis $x > 0$, anticlockwise oriented. Openly

$$195 \quad (35) \quad \tan[\delta(z)] = -\varphi(z)$$

196 where the periodicity π of the tangent function must be taken into account in the inversion of (35).

197 In fact, the geostrophic current at large depth $\mathbf{u}_0(z=-\infty) = -\hat{\mathbf{i}}$ implies $\delta(-\infty) = \pi$ and therefore,

198 according to the identity $\tan(\delta) = \tan(\delta - \pi)$, the inversion of (35) gives

$$199 \quad (36) \quad \delta(z) = \pi - \tan^{-1}[\varphi(z)]$$

200 To visualize a definite solution, the following values

$$201 \quad (37) \quad \beta S = 1, \quad H_0 = 1, \quad \lambda = 10$$

202 are hereafter fixed. Therefore

$$203 \quad (38) \quad v_0(z) = \frac{1}{99} [\exp(z) - 10 \exp(10z)]$$

$$204 \quad (39) \quad w_1(z) = \frac{1}{99} [\exp(z) - \exp(10z)]$$

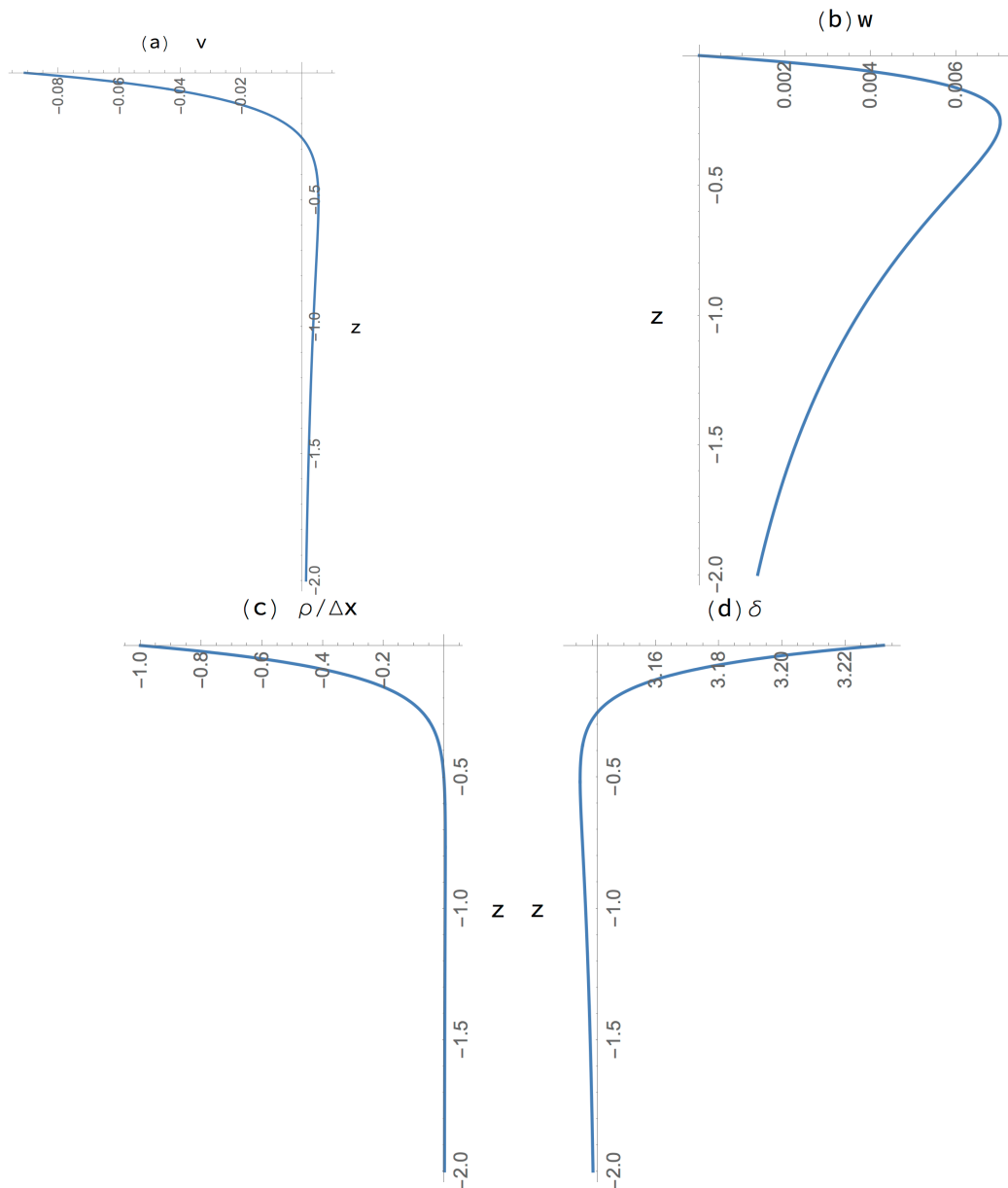
$$205 \quad (40) \quad \frac{\rho_0}{\bar{x} - x} = \frac{1}{99} [\exp(z) - 100 \exp(10z)]$$

$$206 \quad (41) \quad \delta(z) = \pi - \tan^{-1} \left\{ \frac{[\exp(z) - 10 \exp(10z)]}{99} \right\}$$

207 The plots of (38), (39), (40) and (41) are shown in panels (a), (b), (c) and (d) of Fig. 1, respectively.

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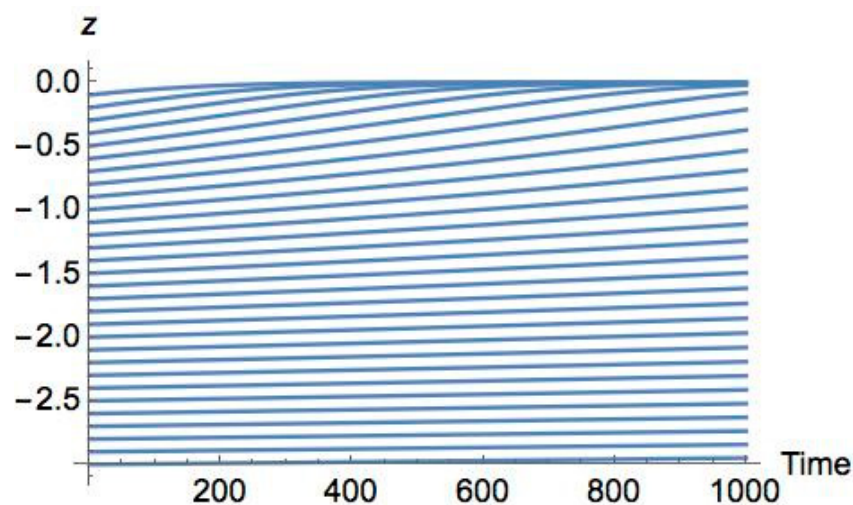
212 **Figure 1.** Panel (a) Vertical profile of the meridional geostrophic current in the presence of heating;
 213 Panel (b) Vertical profile of the a-geostrophic vertical velocity; Panel (c) Vertical profile of the ratio
 214 $\rho_0 / (\bar{X} - X)$; Panel (d) Vertical profile of the angle δ between the velocity vector and the negative
 215 x-axis, evaluated counter-clockwise. Note the small counter-clockwise rotation below Z_0 .

216 Panel (a) points out the inversion of the meridional velocity around the depth $z_0 \approx -0.256$,
 217 from southward to northward. At the same depth the a-geostrophic vertical velocity reaches its
 218 maximum, according to panel (b). For every fixed longitude X , the density anomaly induced by
 219 heating is almost exactly negative as one can ascertain from panel (c). Finally, the anticlockwise
 220 rotation of the velocity vector \mathbf{u}_0 is made evident in panel (d): in particular, the right zonal direction
 221 takes place both at $z = z_0$ and at great depths, in accordance with (28). Note the small counter-

222 rotation of \mathbf{u}_0 below z_0 . The vertical motion of a parcel, say $z = z(t)$, can be singled out by solving
 223 the problem

$$224 \quad \begin{cases} \dot{z} = bw_1(z) \\ z(t_i) = z_i \end{cases}$$

225 where the subscript i refers to the "initial" position of the parcel. A set of trajectories, each for a given
 226 z_i , is reported in Fig. 2.



227
 228 **Figure 2.** Vertical displacements of a set of parcels located at different depths at a certain initial time
 229 t_i . Note the intensification of the displacements in the course of time only for parcels initially close
 230 enough to the free surface.

231 The increasing slope of the trajectories for increasing z_i is due to the squeezing of the fluid
 232 column in the proximity of the free surface, whose effect is governed by the Sverdrup relation (12).

233 To carry out an estimate of the dimensional meridional transport M_{y*} in the layer
 234 ($z_0 \leq z \leq 0$) due to the thermal forcing, consider the dimensional version of (34) in SI units, that is

$$235 \quad (42) \quad M_{y*} = -\left(f_0 / \beta_0\right) w_*$$

236 By using the typical heating/cooling depth Z / λ and (7b) to evaluate w_* , one obtains

$$237 \quad (43) \quad O(w_*) = \frac{UZ / \lambda \beta_0 L}{L f_0} = \frac{UZ \beta_0}{\lambda f_0}$$

238 Thus, from (42) and (43) the estimate

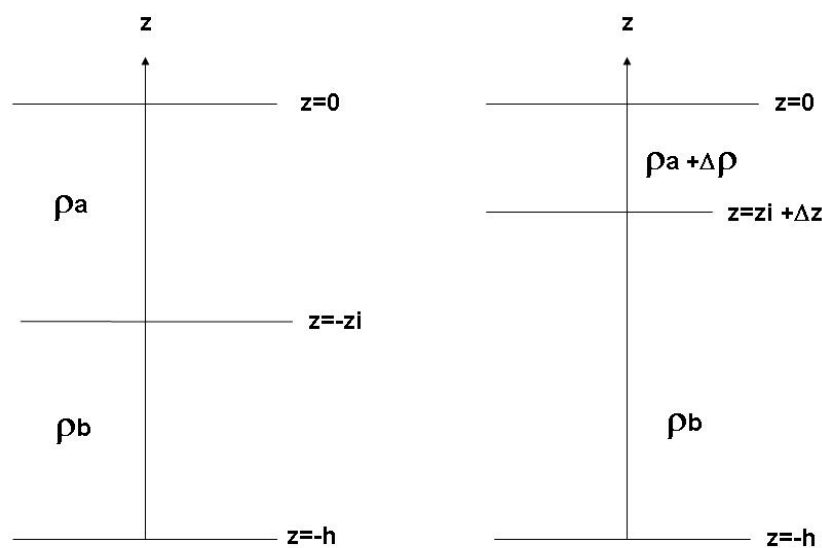
$$239 \quad (44) \quad O(M_{y*}) = \frac{UZ}{\lambda}$$

240 follows. For instance, if $U = 10^{-2} \text{ms}^{-1}$, $Z = 10^3 \text{m}$, $\lambda = 10$, equation (44) yields $O(M_{y*}) = 1 \text{m}^2 \text{s}^{-1}$
 241 . The latter estimate is hundred times smaller than the transport of the Gulf Stream.

242

243 *Remark.*

244 If the quasi-geostrophic dynamics is disregarded, the relationship between the vertical velocity
 245 and the thermal forcing, consistent with above results, can be obtained on the basis of a simple two-
 246 layer model. Consider two configurations, the former before the action of the thermal forcing and the
 247 latter in the presence of it, according to Fig. 3 , left and right panel, respectively.



248

249 *Figure 3.* Scheme of the two-layer system to evaluate the vertical velocity of the interface for a varying
 250 density of the upper layer.

251

252 Mass conservation in a fluid column ² implies

$$253 \quad z_i \rho_a + (h - z_i) \rho_b = (z_i - \Delta z) (\rho_a + \Delta \rho) + (h - z_i + \Delta z) \rho_b$$

254 and, hence,

$$255 \quad (45) \quad z_i \Delta \rho + \Delta z (\rho_b - \rho_a - \Delta \rho) = 0$$

256 Moreover, conservation of the hydrostatic equilibrium during the process demands

$$257 \quad (46) \quad \rho_b - \rho_a > \Delta \rho$$

258 Putting $\delta_\rho = \rho_b - \rho_a$, equation (45) gives

² Mass conservation is explicitly invoked because of the 1D nature of this model.

$$259 \quad (47) \quad \Delta z = -\frac{z_i \Delta \rho}{\delta_\rho - \Delta \rho}$$

260 Division of (47) by Δt and the subsequent application of $\lim_{\Delta t \rightarrow 0}$ yields

$$261 \quad (48) \quad w_i = \frac{z_i}{\delta_\rho - \Delta \rho} \frac{\alpha_\theta \rho_0}{c_p} \dot{Q}$$

262 where $w_i = \lim_{\Delta t \rightarrow 0} \frac{\Delta z}{\Delta t}$ and equation (3) has been used. Recalling (46), equation (48) shows that the

263 vertical velocity of the interface is upward for heating and downward for cooling. This is in
264 accordance with the behaviour of w_1 in the continuum case.

265 5. Conclusions

266 In the framework of the quasi-geostrophic dynamics at the basin scale, the model of section 4 is
267 probably the simplest and exactly solvable that is able to show how heating affects locally the
268 streamfunction and how the resulting current field arises from the interplay between the thermal
269 forcing and the Sverdrup relation. If the analytic approach is released in favour of a numerical one,
270 the model equations can be made more realistic, although mathematically more complex, on the basis
271 of several generalizations, some of them being listed below:

- 272 • The stratification parameter takes explicitly into account its depth dependence;
- 273 • The heating is a function of all the coordinates;
- 274 • The unperturbed streamfunction is a prescribed function of latitude (not necessarily linear)
275 and of depth.

276 In doing so one could expect to derive a 3D current field whose validity covers a large portion of the
277 beta-plane, with the obvious exception of the westernmost area.

278 The transition from an almost conceptual model, like that of section 4, to a numerically solved
279 one introduces another question, that is to say the possibility to detect the phenomenology predicted
280 by the numerical model solution. Because the model refers mainly to the upper ocean, where wind-
281 waves and mixing are in permanent activity, the comparison of predictions with field observations
282 might be problematic. This is the case, for instance, of the Ekman's spiral which, on the other hand,
283 can be successfully simulated in a rotating tank. Reasoning in analogy, one could try to resort to a
284 rotating tank experiment to check whether the predicted phenomenology does take place or not. This
285 should be the first step to do.

286

287 **Appendix A:** proof of equation (6) and estimate of H

288 Substitution of (4) into (3) yields

$$\begin{aligned}
289 \quad (A1) \quad & \frac{D}{Dt} [\rho_s (1+r\rho')] = (1+r\rho') \frac{D\rho_s}{Dt} + r\rho_s \frac{D\rho'}{Dt} \approx w \frac{d\rho_s}{dz} + r\rho_s \frac{U}{L} \frac{D\rho'}{Dt'} \\
& = \frac{UZ}{L} w' \frac{d\rho_s}{dz} + \frac{U^2 f_0}{gZ} \rho_s \frac{D\rho'}{Dt'} = \left(\frac{UZ}{L} \frac{d\rho_s}{dz} \right) \left[w' + \frac{U L f_0}{Z^2} \frac{\rho_s}{g} \left(\frac{d\rho_s}{dz} \right)^{-1} \frac{D\rho'}{Dt'} \right]
\end{aligned}$$

290 In terms of the Brunt-Vaisala frequency square $N_s^2 = -\frac{g}{\rho_s} \frac{d\rho_s}{dz}$ one can write

$$291 \quad (A2) \quad \frac{U L f_0}{Z^2} \frac{\rho_s}{g} \left(\frac{d\rho_s}{dz} \right)^{-1} = -\frac{U}{L f_0} \left(\frac{L f_0}{Z N_s} \right)^2 = -\frac{\mathcal{E}}{S}$$

292 where \mathcal{E} is the Rossby number and S is the stratification parameter. Thus, because of (A2),
293 equation (A1) is equivalent to

$$294 \quad (A3) \quad \frac{D}{Dt} [\rho_s (1+r\rho')] = \left(\frac{UZ}{L} \frac{d\rho_s}{dz} \right) \left(w' - \frac{\mathcal{E}}{S} \frac{D\rho'}{Dt'} \right)$$

295 and, by using (A3), the full equation (3) takes the form

$$296 \quad w' - \frac{\mathcal{E}}{S} \frac{D\rho'}{Dt'} = -\frac{L}{UZ} \left(\frac{d\rho_s}{dz} \right)^{-1} \frac{\rho_0 \alpha_\theta}{c_p} \dot{Q}$$

297 which is conveniently restated as follows

$$298 \quad (A4) \quad w' = \frac{\mathcal{E}}{S} \left[\frac{D\rho'}{Dt'} - \frac{S}{\mathcal{E}} \frac{L}{UZ} \left(\frac{d\rho_s}{dz} \right)^{-1} \frac{\rho_0 \alpha_\theta}{c_p} \dot{Q} \right]$$

299 Noting that

$$\begin{aligned}
300 \quad (A5) \quad & \frac{S}{\mathcal{E}} \frac{L}{UZ} \left(\frac{d\rho_s}{dz} \right)^{-1} \frac{\rho_0 \alpha_\theta}{c_p} \dot{Q} = \frac{L f_0}{U} \frac{N_s^2 Z^2}{L^2 f_0^2} \frac{L}{UZ} \left(\frac{d\rho_s}{dz} \right)^{-1} \frac{\rho_0 \alpha_\theta}{c_p} \dot{Q} \\
& = \frac{N_s^2 Z}{U^2 f_0} \left(\frac{d\rho_s}{dz} \right)^{-1} \frac{\rho_0 \alpha_\theta}{c_p} \dot{Q} = -\frac{gZ}{U^2 f_0} \frac{\alpha_\theta}{c_p} \dot{Q}
\end{aligned}$$

301 substitution of (A5) into (A4) gives

$$302 \quad (A6) \quad w' = \frac{\mathcal{E}}{S} \left(\frac{D\rho'}{Dt'} + \frac{gZ}{U^2 f_0} \frac{\alpha_\theta}{c_p} \dot{Q} \right)$$

303 Finally, by using position

$$304 \quad (A7) \quad \frac{gZ \alpha_\theta \dot{Q}}{U^2 f_0 c_p} = H'$$

305 one ascertains that equation (6) is nothing but (A.6). By using the l.h.s. of (A7) the order of magnitude
 306 of H' can be estimated as follows. Recalling (3), equation (A7) can be restated as

$$307 \quad H' = -\frac{gZ}{U^2 f_0 \rho_0} \frac{D\rho}{Dt} \text{ and hence}$$

$$308 \quad (A8) \quad O(H') = \frac{gZ}{U^2 f_0 \rho_0} \frac{\delta\rho}{T}$$

309 where

$$310 \quad (A9) \quad \frac{\delta\rho}{T} = O\left(\frac{D\rho}{Dt}\right)$$

311 Reasonable values of the depth of the motion and of the horizontal velocity substituted into (A8) lead
 312 to

$$313 \quad (A10) \quad O(H') = 4 \times 10^7 \times \frac{\delta\rho}{T}$$

314 Keeping, for instance, $\delta\rho = 0.2 \text{ kg m}^{-3}$ and $T = 2.6 \times 10^6 \text{ s}$, that is to say $T = 1 \text{ month}$,
 315 equation (A10) gives

$$316 \quad (A11) \quad O(H') = 1$$

317

318 **Conflicts of Interest:** The authors declare no conflict of interest."

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