

Article

Synthesis and Sintering of ZnO Nanopowders

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Abstract: Nanopowders are continuously under investigation as they open new perspectives in numerous fields. There are two main challenges to stimulate their development: sufficient low-cost high throughput synthesis methods leading to a production with well-defined and reproducible properties, and for ceramics, conservation of their nanostructure after sintering. In this context, this paper presents the synthesis of a pure nanosized powder of ZnO ($d_{v50} \sim 60$ nm, easily redispersable) by using a continuous Segmented Flow Tubular Reactor (SFTR), which has previously shown its versatility and its robustness, ensuring a high powder quality and reproducibility over time. A higher scale of production can be achieved based on a “scale-out” concept by replicating the tubular reactors. The sinterability of ZnO nanopowders synthesized by the SFTR was studied, by natural sintering at 900 °C and 1100 °C, and Spark Plasma Sintering (SPS) at 900 °C. The performances of the synthesized nanopowder were compared to a commercial ZnO nanopowder of high quality. The samples obtained from the synthesized nanopowder could not be densified at low temperature by traditional sintering, whereas SPS led to a fully dense material after only 5 minutes at 900 °C, while limiting the grain growth and thus leading to a nanostructured material.

Keywords: ZnO; ceramic nanopowders; Segmented Flow Tubular Reactor (SFTR); Spark Plasma Sintering (SPS)

1. Introduction

Nanopowders with a good control on particle size distribution and particle morphology attract a lot of interest in the ceramic community, where better performances (optical properties, catalytic properties, mechanical properties, hardness...) can be expected from finer grain sizes in dense and nanostructured materials. Since the 80's when the concept of colloidal processing of ceramics appeared, the reliability of the ceramics shaping processes has improved through a better control of the suspension parameters such as its rheological behavior based on the manipulation of the interparticle forces [1],[2],[3],[4]. Most of the colloidal processes are based on the use of highly concentrated ceramic suspensions in order to reach the highest green density and finally the best properties after sintering. However nanopowders synthesis still suffer from insufficient methods leading to a low-cost production with well-defined properties. Another limitation for using nanopowders in ceramic processing is the sintering process which usually needs high temperature and long cycles to fully-densify ceramic powders leading to grain growth which is very difficult to avoid due to grain boundary motion and Ostwald ripening [5]. Therefore strong efforts have been carried out either on the development of more efficient and reliable synthesis processes, and on new sintering techniques to limit grain growth and conserve “nanoscale” properties.

In this context this paper presents a complete route for the preparation of dense and nanostructured ZnO ceramics, by a fine control from the nanopowder properties to the final sintering step. Zinc oxide is a material having a wide range of applications in ceramics, coatings, and electronic devices. This II-IV semiconductor presents a wide band-gap (3.37 eV at room temperature), and a

large exciton binding energy (60 meV), being one of the most promising candidates for room temperature UV lasers and short-wavelength optoelectronic devices. Some detailed reviews have been published previously dedicated to ZnO synthesis [6], electronic properties [7], varistor applications [8], quantum dots [9] and photonic devices [10], which show the constant interest of scientists for improving its properties in various fields [11]. ZnO was synthesized by precipitation in mild hydrothermal conditions (90 °C) using a tubular reactor: the Segmented Flow Tubular Reactor (SFTR) [12],[13]. The SFTR has been developed to overcome the problems of powder production scale-up. By generating identical micro-reactors giving a homogeneous mixing of the reactants, poor mixing and inhomogeneous reaction conditions are thus avoided. This process has proven its versatility and its robustness through the preparation of several different products (CaCO_3 [14], BaTiO_3 [15], and various oxalates [16],[17]) ensuring a high powder quality (chemical and phase composition, particle size, and shape) and reproducibility over time. The transfer of the laboratory conditions to an industrial scale of production can easily be achieved by multiplying the number of individual tubular reactors running in parallel - that is to say to “scale-out” rather than “scale-up” [18][19][20].

The sinterability of ZnO nanopowders synthesized by the SFTR was studied, by natural sintering at 900 °C and 1100 °C, and Spark Plasma Sintering (SPS) at 900 °C. The performances of the synthesized nanopowder were compared to a commercial ZnO nanopowder from Nabond Technologie Co., one of the best available in the market in terms of purity and granulometry. SPS is a recent advanced pressure and field assisted sintering technique allowing lower sintering temperatures in very fast times (a few minutes) [21],[22]. Instead of using an external heat source, pulsed current passes through the electrically conducting pressure dies generating the sintering temperature [23],[24],[25]. SPS has been used to generate dense ceramics with minimum grain growth [21], for many applications [23], such as electroceramics [26],[27], composites [28],[29], bioceramics [30], thermoelectric materials [31], transparent polycrystalline alumina (PCA) [32], or varistors [33].

2. Materials and Methods

2.1. ZnO nanopowders

ZnO nanopowder was prepared by precipitation in mild-hydrothermal conditions using a continuous reactor: the Segmented Flow Tubular Reactor (SFTR). The details of the synthesis have been given in a previous publication [34], thus only a brief description is given here (Figure 1). The SFTR has three distinct zones: a micromixer, a segmenter, and a tubular reactor placed in a thermostatic bath here set at 90 °C. The reactants in solution pass through the micromixer where initial supersaturation is rapidly created with a mixing time of around 10 milliseconds [20]. The mixed reactants are then segmented by an immiscible solvent, here dodecane. The small suspension volumes created ($\sim 0.2 \text{ cm}^3$) provide identical ageing conditions leading to homogenous growth throughout the residence time. For the current reaction relatively short residence times of 10 minutes were used. After the tubular reactor, a heat-exchanger was used to stop the reaction, and cool down the reacting mixture to room temperature. The separation of the aqueous suspension and the immiscible fluid is then carried out in a decanting unit. The powder in the aqueous phase is collected, while the immiscible fluid is recycled for environmental and cost considerations. The reactants used for the precipitation were zinc nitrate (Solution 1) and sodium hydroxide aqueous solutions (Solution 2). The Zn solution at 0.10 M was made by dissolving $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ in ultra pure water. The NaOH solution at 0.11 M was made by diluting a titrated solution NaOH 1 M in ultra pure water. Poly(acrylic acid) (PAA Mw 2000) at 0.05 wt.% was used as a dispersant in the NaOH reaction solution to decrease the degree of agglomeration of the synthesized nanopowders [20],[33]. The collected powder was washed with ultrapure water 4 times, and then filtered and dried during 24 hours at 70 °C. The dried powder was used without further treatment.

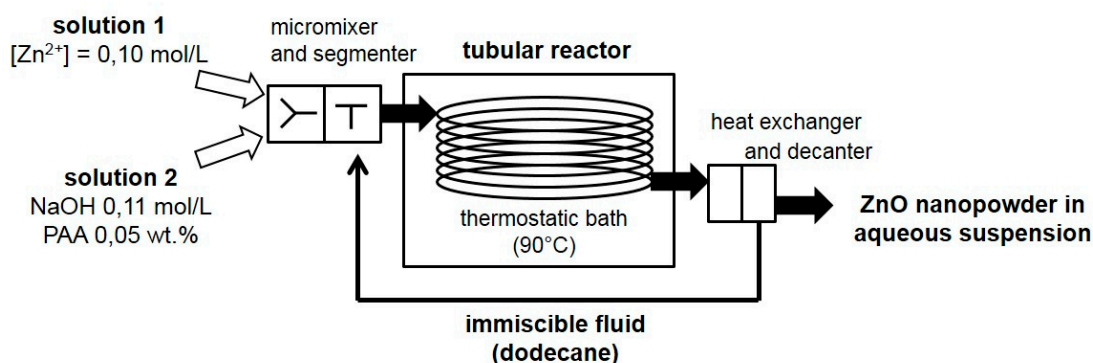


Figure 1. Schematic view of the Segmented Flow Tubular Reactor (SFTR)

The performances of the SFTR ZnO nanopowder were compared to a commercial nanopowder of high quality from Nabond Technologies Co. The specifications given by the provider are 20 nm in size, $S_{BET} > 60 \text{ m}^2/\text{g}$, and a high purity (99 %). After particle size analysis, an attrition milling was performed in order to eliminate the agglomerates observed. 30 grams of powder were added to a 500 mL attrition container, with 50 mL of water containing a dispersant (0.1 wt.% of Polyacrylic acid (PAA) $M_w = 2000$ at pH ~ 10 using NH_4OH), and 400 g of beads (1.25 mm zirconia beads). The attrition milling was performed during 1 hour at 1500 rpm. The suspension was then filtered on a 1 mm mesh to separate the milling media, and dried in an oven during 24 hours at 70 °C to recover the powder.

2.2. Sintering procedure

Both ZnO nanopowders – Nabond and SFTR – were sintered following a traditional procedure. The samples were prepared by loading 1.0 gram of powder into a matrix (internal diameter: 13 mm) and pressed at 15kN with a uniaxial pressing apparatus (Schenck, MTS Renew). Green bodies were placed in a traditional oven (Nabertherm) and heated in air at 5 °C/min, with a debinding step of 2 hours at 500 °C in order to remove the organic additives (added during synthesis for the SFTR powder, or during attrition milling for Nabond powder). Two sintering temperatures were studied: 900 °C and 1100 °C and two sintering times for Nabond powder: 2 hours, and 4 hours.

Both ZnO nanopowders – Nabond and SFTR powders – were also sintered by Spark Plasma Sintering (SPS) (Dr. Sinter 2050, Sumitomo Coal Mining Co., Tokyo, Japan). They were previously heat-treated at 500 °C to remove the organic species (heating rate: 5 °C/min, dwell: 2 hours, in air). Then 1.0 g of powder was loaded into a graphite die (internal diameter: 12 mm), the internal surface of which was covered with a graphite fiber sheet to avoid direct contact between the powder and the graphite die. The sintering temperature was measured by an optical pyrometer focused on a small cavity in the graphite die (distance between powder and cavity bottom was 5 mm). For maximum reproducibility, the sintering temperature and pressure were controlled by automatic controller units. The sintering temperature 900 °C was reached in 3 minutes, and 1100 °C in 5 minutes, after a dwell of 3 minutes at 600 °C (heating rate 100 °C/min), and maintained for 5 minutes. The uniaxial pressure was raised to the final sintering pressure of 100 MPa during the first 2 minutes, and maintained at 100 MPa over the whole process. The cooling step was very fast, so that 3 samples per hour could be easily sintered.

The sintering procedures (natural sintering and SPS) are presented in Figure 2.

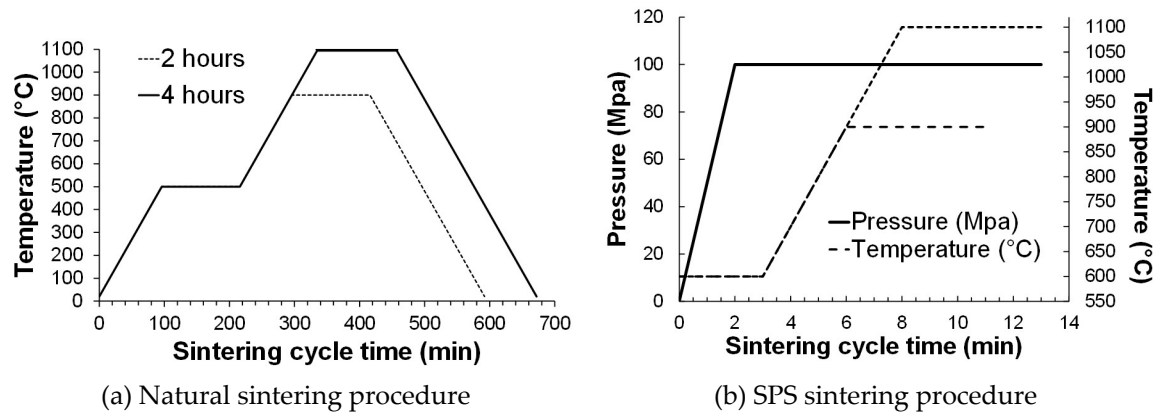


Figure 2. Sintering procedure for ZnO nanopowders (a) by the traditional way, and (b) by Spark Plasma Sintering (SPS)

2.3. Characterization methods

ZnO nanopowders as well as sintered materials were characterized by X-ray diffraction (XRD, D8 Advance Bruker, Cu-K α radiation). XRD peak broadening was used to determine the size of the primary crystallite in the powders using the Scherrer equation (Equation (1)). The instrumental broadening was determined using alumina with a large crystal size ($> 1 \mu\text{m}$).

$$d_{XRD} = \frac{K\lambda_x}{\beta_{xp}\cos\theta}, \quad (1)$$

where K is equal to 0.9 [35], λ_x is the X-ray wavelength, β_{xp} is the integral breadth of the material, calculated using $\beta_{xp} = \sqrt{(\beta^2 - \beta^2_{\text{alumina}})}$, and β the integral breadth is the ratio of the area and the height of the diffraction peak.

The particle size distribution of the powders was collected using a centrifugal method (CPS, Disc Centrifuge Model DC 24,000) [36]. The span of the PSD was calculated from Equation (2), which gives an indication of the width of the PSD.

$$\text{span} = \frac{d_{v90} - d_{v10}}{d_{v50}} \quad (2)$$

Brunauer-Emmett-Teller (BET) specific surface areas S_{BET} (m^2/g) were estimated from N₂ adsorption isotherms (Micromeritics Gemini 2375). The size of the primary particles, d_{BET} (nm), were calculated by assuming spherical monodisperse particles (Equation (3)), with $\rho = 5.606 \text{ g/cm}^3$ the density of the material.

$$d_{BET} = \frac{6000}{S_{BET} \cdot \rho} \quad (3)$$

Agglomeration factor was estimated by using Equation (4):

$$F_{agg} = \frac{d_{v50}}{d_{BET}} \quad (4)$$

Powder morphology was observed by scanning electron microscopy (SEM, Quanta 450 FEG, FEI microscope). SEM samples were prepared by dispersing the powder in ethanol. One drop of the suspension was then deposited on an aluminum support and dried in air.

The microstructures of the sintered samples were observed on carbon-coated fracture surfaces by SEM (FEG JSM-7000, JEOL). The mean grains size was calculated using the intercept method on 40 grains.

Dilatometry was performed using TMA Evolution instrument, from Setaram. The samples was heated at 1200 °C with a heating rate of 10 °C/min.

Densities were measured using the Archimedes method in isopropanol ($\rho_{\text{isopropanol}} = 0.786 \text{ g/cm}^3$). The relative densities were calculated using 5.606 g/cm^3 for dense ZnO.

3. Results

3.1. Characterization of ZnO nanopowders

ZnO nanopowders were characterized and the results are reported in Table 1. The specific surface area of Nabond powder is $16.3 \text{ m}^2/\text{g}$ which is below the expected value announced by the provider ($> 60 \text{ m}^2/\text{g}$), leading to an equivalent BET diameter of 66 nm (expected value 20 nm). The powders synthesized using the SFTR process presents a high specific surface area of $78.2 \text{ m}^2/\text{g}$, and thus a smaller equivalent BET diameter of 14 nm.

Table 1. Comparison of ZnO nanopowders properties : BET, XRD and granulometry

	S_{BET} (m^2/g)	d_{BET} (nm)	d_{XRD} (nm)	$d_{\text{v}10}$ (nm)	$d_{\text{v}50}$ (nm)	$d_{\text{v}90}$ (nm)	span	F_{agg}
SFTR powder	78.2	14	24	42	63	85	0.68	4.5
Nabond powder	16.3	66	41	131	250	853	2.89	3.8
Nabond after attrition	23.8	45	41	102	248	415	1.26	5.5

Both powders show a well-defined diffractogram matching the ZnO pattern of wurtzite (ICDD 075-0576) (Figure 3). They are pure and well-crystallized, with XRD peaks relatively broad, indicating the presence of nanodomains. Their respective crystallite size could be calculated from the [100] reflection (at 31.8°) and are 41 nm for Nabond, and 24 nm for SFTR, in a range close to the value calculated by the BET method.

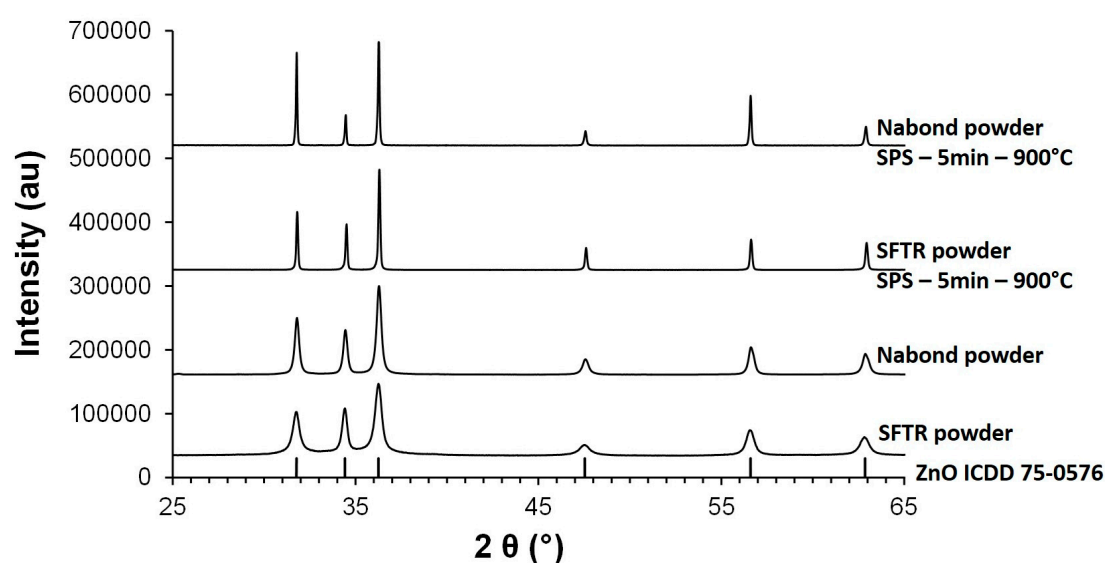


Figure 3. XRD patterns of ZnO nanopowder synthesized using the SFTR process, commercial Nabond nanopowder, and after sintering by Spark Plasma Sintering (SPS) 5 minutes at 900 °C

The particle size distribution of ZnO nanopowders was measured by a centrifugal method (CPS) (Figure 4). The SFTR powder is a well-dispersible powder with a low degree of agglomeration and a very low median size $d_{v50} = 63$ nm. The span of the distribution is also very low (0.68). Nabond powder presents a higher particle size with $d_{v50} = 249$ nm, and presents a significant tail of agglomerates between 0.5 and 1.0 μm , leading to a higher span of 2.89. This tail of agglomerates was significantly reduced by an attrition milling, the median size remaining constant at 248 nm..

The morphology of the nanopowders is presented in Figure 5. Commercial Nabond nanopowder is made of particles of 100-200 nm, most of them are equiaxed and a small part is slightly elongated. The precipitated SFTR powder is composed of roundish particles of 50-70 nm, which is in good accordance with the granulometry measurement.

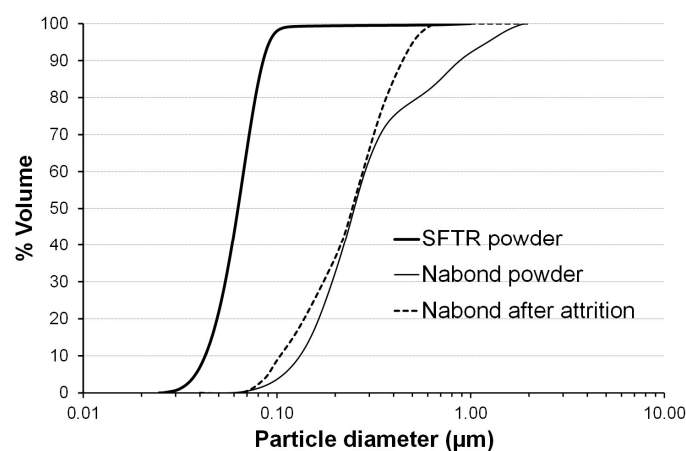


Figure 4. Particle size distribution of ZnO nanopowders synthesized using the SFTR process, and commercial Nabond, as received, and after an attrition treatment

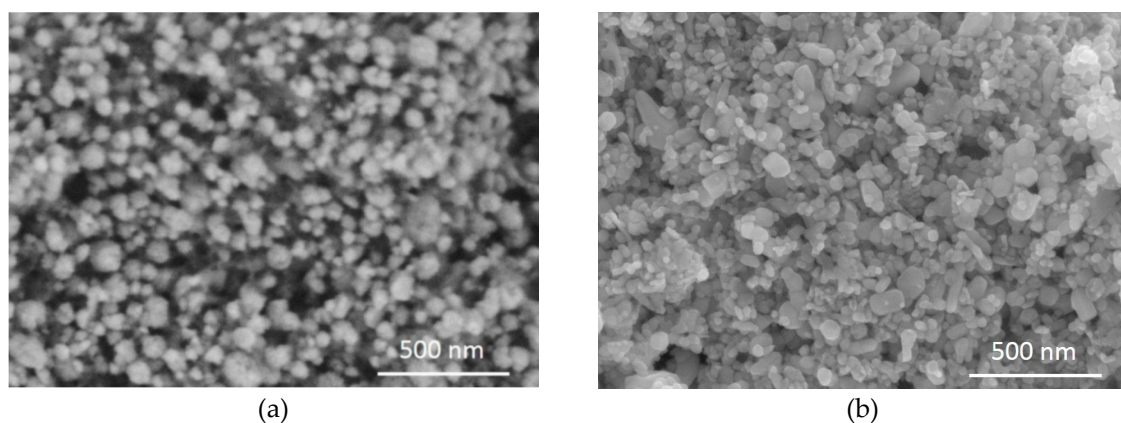


Figure 5. SEM micrographs of (a) ZnO nanopowder synthesized using the SFTR process, and (b) commercial Nabond nanopowder

3.2. Sintering behavior of ZnO nanopowders

The shrinkage behavior of ZnO during natural sintering and Spark Plasma Sintering was studied, and is presented in Figure 6. SFTR powder undergoes a high shrinkage under a natural thermal treatment and has not attained a complete shrinkage at 1200 °C; it was attributed to the

difficulty to prepare this sample because of the very small particle sizes, and thus its high reactivity. Nabond nanopowders shows a complete shrinkage at 1100 °C. During SPS treatment, for both powders, shrinkage increases until the temperature reaches 900 °C, and remains stable above 900 °C.

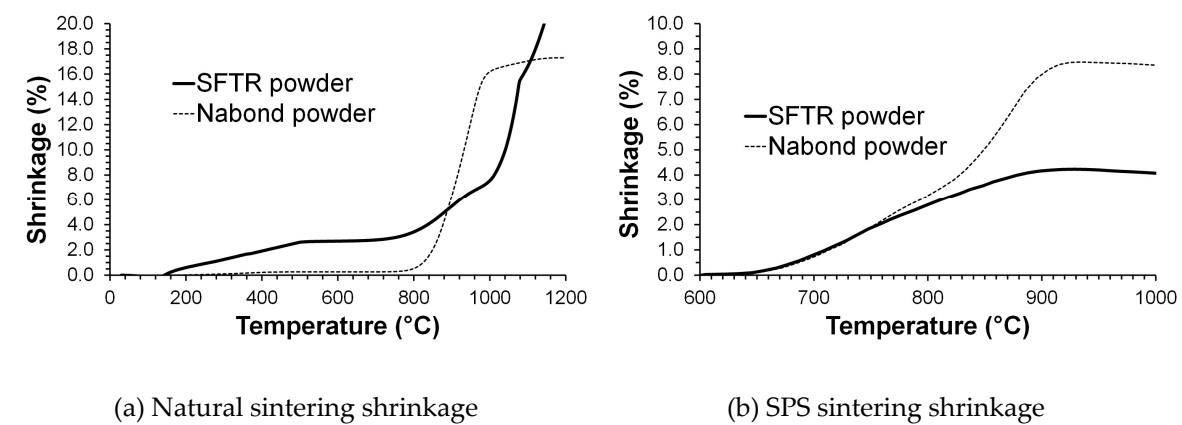


Figure 6. Shrinkage of ZnO nanopowders (a) by traditional sintering, and (b) by Spark Plasma Sintering (SPS)

Table 2. Relative densities of ZnO samples from synthesized nanopowder using the SFTR process, and commercial Nabond, by natural sintering and SPS

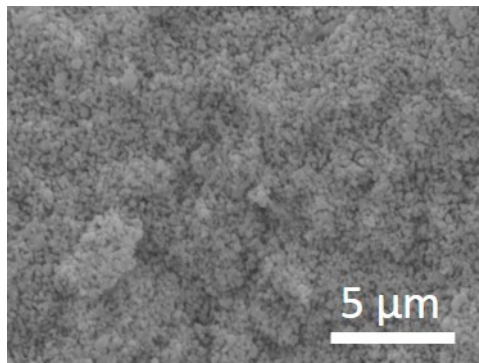
	Relative density (%)					
	Green body	Natural sintering				SPS sintering
		900 °C		1100 °C		900 °C
		2 hours	4 hours	2 hours	4 hours	5 min
<i>SFTR powder</i>	44.0	49.2	51.3	60.0	64.7	99.5
<i>Nabond powder</i>	52.0	74.6	79.7	96.9	98.0	95.1

The relative densities of the different samples after sintering are presented in Table 2. The relative densities of the green bodies are 44 % and 52 % for SFTR and Nabond samples respectively. Lower green density is obtained with the SFTR powder because of its particle size below 100 nm, which makes sample preparation very difficult (due to strong surface forces). Natural sintering of SFTR powder at 900 °C leads to a small increase of density towards 49.2 % after 2 hours, and 51.3 %, after 4 hours. Natural sintering at 1100°C does not allow complete densification as the density is only 60.0 % after 2 hours, and 64.7 % after 4 hours, which could be expected from the dilatometry measurement. The microstructures of the samples sintered at 900 °C and 1100 °C during 2 hours are presented in Figure 7 (a) and (c) respectively. The mean grain size remains close to the original powder grain size at 900 °C, grain growth remaining low, and the microstructure is highly porous. The mean grain size after natural sintering at 1100 °C during 2 hours is 1.2 µm ± 0.3 µm, and the microstructure is still highly porous.

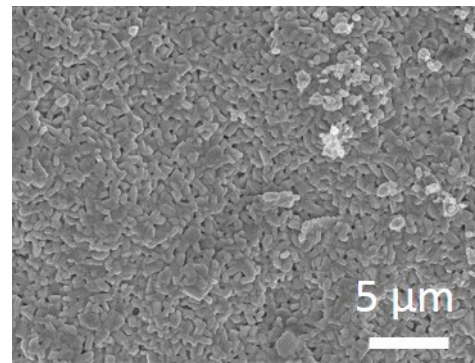
Natural sintering of Nabond powder at 900°C leads to relative densities of 74.6 % after 2 hours, and 79.7 % after 4 hours. Sintering at 1100°C leads to a relative density of 96.9 % after 2 hours and 98.0 % after 4 hours. Therefore, a minimal temperature of 1100°C is needed to get a fully-dense material from this ZnO nanopowder in a traditional oven, as reported previously by Gupta et al.[36], and in accordance with dilatometry measurement. The microstructures of the sample sintered at 900 °C and 1100 °C during 2 hours are presented in Figure 7 (b) and (d) respectively. Grain growth is

small at 900 °C as the mean grain size is $0.48 \mu\text{m} \pm 0.14 \mu\text{m}$, but important at 1100 °C, as the mean grain size is $3.3 \mu\text{m} \pm 1.4 \mu\text{m}$. Some grains are elongated, and no more porosity is observed at 1100 °C. This grain growth is similar to previous results reported in the literature by different authors [36],[37],[38].

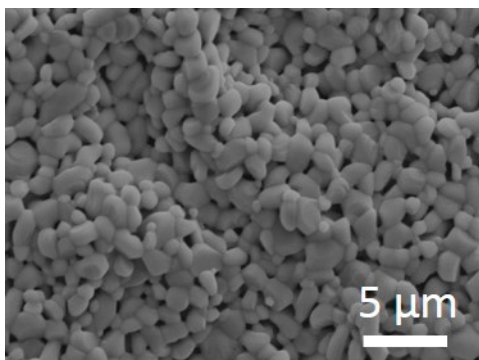
Spark Plasma Sintering (SPS) allows ZnO densification at a lower temperature, as expected from dilatometry measurements. After an SPS treatment of 5 minutes, the ZnO nanopowder synthesized by the SFTR method is fully dense, with a relative density of 99.5 %. The microstructure of this sample is presented in Figure 7 (e), showing grain sizes in the nanometric range, with a mean grain size of $0.24 \mu\text{m} \pm 0.08 \mu\text{m}$, and a regular morphology. SPS thus densifies and limits grain growth leading to a fine grain size and a narrow grain size distribution. For the Nabond nanopowder, densification was complete at 900°C by SPS but with a lower final density of 95.1 % than the 99.5 % observed for the SFTR powder. The grain growth remained low as the mean grain size is $1.0 \mu\text{m} \pm 0.5 \mu\text{m}$. Thus the same grain growth factor of around 4 was obtained with both powders. The grain size distribution is a bit broader than for the SFTR sample, and some elongated grains are also obtained, which is attributed to the initial characteristics of the powder which also showed a broader size range compared to the SFTR powder.



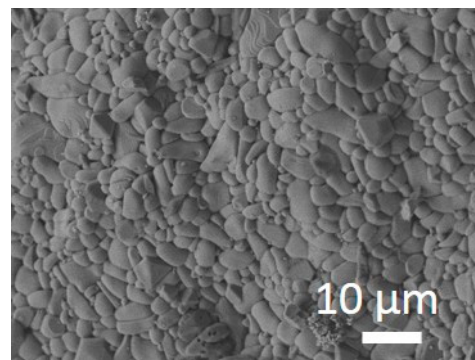
(a)



(b)



(c)



(d)

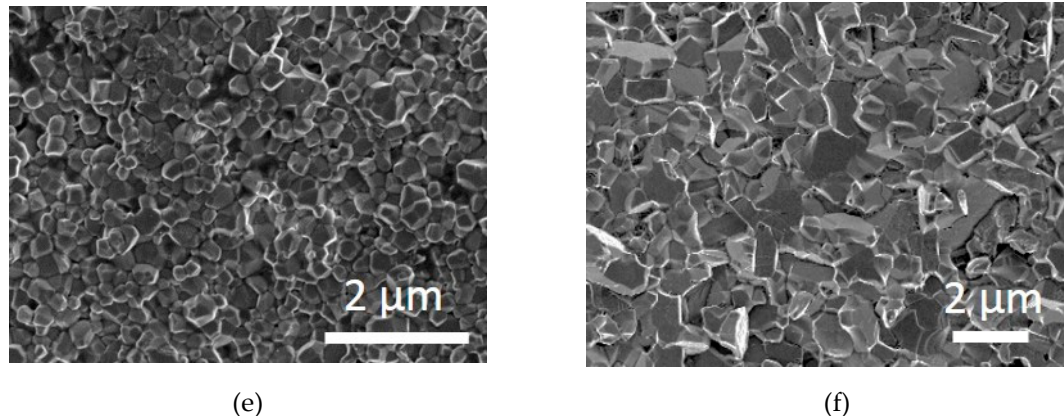


Figure 7. SEM micrographs of fractured ZnO samples after sintering (a) SFTR nanopowder sintered naturally at 900 °C 2 hours, (b) Nabond nanopowder sintered naturally at 900 °C 2 hours, (c) SFTR nanopowder sintered naturally at 1100 °C 2 hours, (d) Nabond nanopowder sintered naturally at 900 °C 2 hours, (e) SFTR nanopowder sintered by SPS at 900 °C 5 minutes, (f) Nabond nanopowder sintered by SPS at 900 °C 5 minutes.

4. Discussion

Despite their evident qualities and potentialities for multiple applications, ceramic nanopowders have to deal with two major difficulties: their limited production on the market because of insufficient developments in nanopowders synthesis processes, and their reactivity in temperature leading to grain growth, which destroys their “nano” properties. ZnO nanopowder was synthesized with the Segmented Flow Tubular Reactor (SFTR), which produces a high powder quality and is reproducible. The precipitated powder was finer, $dv_{50} \sim 60$ nm, compared to ~ 250 nm for a commercial powder, with a narrower particle size distribution, a low degree of agglomeration (whereas the commercial had to be pre-milled by attrition to remove a tail of agglomerates) and a high specific surface area. The sinterability of ZnO nanopowder synthesized by the SFTR was then studied, by natural sintering at 900 °C and 1100 °C, and Spark Plasma Sintering (SPS) at 900 °C. The samples could not be densified at low temperature by traditional sintering, whereas SPS led to a fully dense material after only 5 minutes at 900 °C, while limiting the grain growth to a factor of 4 leading to a mean grain size of $0.24 \mu\text{m} \pm 0.08 \mu\text{m}$, and a regular morphology. The same process was applied to a commercial nanopowder. Despite its high quality, it was necessary to add an attrition step to eliminate the presence of agglomerates. The SPS sintering was also efficient in limiting the grain growth and conserving fine microstructure. Nevertheless, the mean grain size of this ZnO nanopowder was higher than for the SFTR synthesis and led to a higher grain size. In conclusion the finer SFTR powder with a narrower size distribution gave a finer grain size with a narrower grain size distribution after sintering with SPS. This study illustrates that SPS can sinter to high densities and limit grain growth irrespective of the starting powder but to obtain nanostructured ceramic materials you need to combine high-quality nanopowder synthesis processes with ultra-fast sintering techniques such as SPS.

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Author Contributions: A. Aimable and P. Bowen conceived and designed the experiments; A. Aimable characterized the powders, analyzed the data and wrote the paper; M. Stuer and Z. Zhao conducted SPS experiments; H. Goure Doubi conducted natural sintering experiments.

Conflicts of Interest: The authors declare no conflict of interest. The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

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