Article

Quantity- and Quality-Based Farm Water Productivity in Wine Production: Case Studies in Germany

Denise Petha, Katrin Drastiga* and Annette Prochnowa,b

- ^a Leibniz-Institute for Agricultural Engineering Potsdam-Bornim, Max-Eyth-Allee 100, 14469 Potsdam; denise.peth@posteo.de
- Humboldt-University of Berlin, Faculty of Life Sciences, Hinter der Reinhardtstr. 8-18, 10115 Berlin;
 Germany; aprochnow@atb-potsdam.de
- * Correspondence: kdrastig@atb-potsdam.de; Tel.: + 49 331 569 92 18

Abstract: The German wine sector has encountered new challenges in water management recently. To manage water resources responsibly, it is necessary to understand the relationship between the input of water and the output of wine, in terms of quantity and quality. The objectives of this study are to examine water use at the farm scale at three German wineries in Rhenish Hesse, and to develop and apply, for the first time, a quality-based indicator. Water use is analyzed in terms of wine production and wine-making over three years. After the spatial and temporal boundaries of the wineries and the water flows are defined, the farm water productivity indicator is calculated to assess water use at the winery scale. Farm water productivity is calculated using the AgroHyd Farmmodel modeling software. Average productivity on a quantity basis is 3.91 L wine per m³ of water. Productivity on a quality basis is 329.24 °Oechsle per m³ of water. Water input from transpiration for wine production accounts for 99.4–99.7% of total water input in the wineries, and, because irrigation is not used, precipitation is the sole source of transpired water. Future studies should use both quality-based and mass-based indicators of productivity.

Keywords: Germany; wine production; water productivity; AgroHyd Farmmodel

1. Introduction

Agricultural productivity enhancements result from implementing practices that improve soil, crop, and water management. The first step in achieving efficient water use is to identify water demand and influencing factors. Several recent case studies concerning the idea of a water footprint in the wine sector, based on the water footprint concept and Life Cycle Assessment (LCA), have been published.

The concept of the water footprint considers the amount of water used by a product during its journey along the supply chain, as well as the amount of water indirectly associated with the product's creation that is used by people or to provide a service. Several methods exist to assess the water footprint (which is based on the concept of virtual water extended by a spatiotemporal component). These techniques divide the water used or consumed into three different classes: green water (rainwater stored in the soil), blue water (freshwater available from surface and ground resources), and grey water (freshwater that is theoretically needed to dilute polluted water to reach ambient water quality standards) [1-24]. Studies dealing with the concept of the water footprint in wine production have been conducted in Portugal [3], Romania [4], New Zealand [5], and Italy [6]. In addition, one study calculated a global average value [7]. Water demand ranged from 438 to 1754 L of water to produce 1 bottle containing 0.75 L of wine (i.e., 584 to 2339 L of water per L of wine).

LCA studies in the wine-producing sector that collected information about water use have been conducted in Italy [8-11], Spain [12-16], Portugal [17], and Romania [18]. In these studies, water demand varied from 0.73 to 1065.09 L of water per bottle of wine (i.e., 0.97 to 1420.12 L per L of wine). Different methods to estimate water use lead to different results, making it difficult to compare, classify, and evaluate water demand. Determining the water footprint includes evapotranspiration from precipitation, whereas LCA only considers blue water use. The aim of LCA is to quantify all inputs and outputs throughout the life cycle of a product and to evaluate potential impacts on the environment (DIN EN ISO 14044:2006).

The method of farm water indicators [19] differs from the LCA and the water footprint approach in the way that it considers the farm scale and the amount of water necessary to build up biomass. Farm indicators calculated based on case studies can provide direct insights into water demand and suggest ways to make farm water management more efficient. Case study research for theory development and testing in operations management is one of the most powerful research methods [20-21]. Case studies generally offer a low possibility of repetition and only a narrow and limited base for generalization - this should be recognized. However, they can provide rich descriptions, explorations, and explanations of the phenomena being studied. Case studies are of particular use when little prior study has been undertaken [22].

The method presented here has not been applied to the wine sector in Germany or internationally and will be tested by using three case studies. Furthermore, the concept of water productivity defined by mass output to water input may not be appropriate for wineries, because wine is mainly defined by its product quality rather than by quantity. The product quality dictates the price of a bottle of wine, so the expected income of a winemaker is substantially influenced by the quality of the product. Therefore, it is important to perform a quality-based evaluation of water demand in wine production.

The objective of the present work is to test a method to calculate water-related indicators based on three case studies at the farm scale in German wine production. This includes the development and first application of a quality-based indicator. Farm water productivity, degree of water utilization, and specific technical water inflow are calculated for three German wine farms over the course of three years. The indicator of farm water productivity is extended to a quality basis.

2. Materials and Methods

2.1. System boundaries and data

The water productivity of wine production is analyzed at a farm scale. This system takes into consideration any physical component that belongs to the farm. Hence, vineyard area and winery buildings are part of the system [19]. The different production stages comprise the work in the vineyard and the wine cellar. The reference period is based on the period between harvest of the previous year and harvest of the current year, which includes the different crop growth stages of the vegetation period. The reference period may differ from field to field.

Farm data are collected from three wineries in southwest Germany. The three wineries were selected because they are located in the largest wine-producing region in Germany and have a mean vineyard area size representative for this region. The investigated specialist vineyards are in Rhenish Hesse, the largest wine-producing region in Germany. The main grape varieties grown on the farms are Müller-Thurgau, Riesling, Silvaner, Dornfelder, and Portugieser. The farms had a vineyard area of between 9.7 and 14.5 ha in the years considered (between 2011 and 2013), which is representative for an average Rhenish specialist vineyard, which has a size of 11.2 ha [23]. Mean harvest yield is around 10,000 L/ha [24-25]. Table 1 gives an overview of the farm characteristics.

Table 1. Farm characteristics

	Farm size	Form of marketing (bulk wine/bottled wine)	Grape variety (white wine/red wine)
Winery I	9.6 ha	40%/60%	66%/34%
Winery II	14.3 ha	45%/55%	73%/27%
Winery III	9.4 ha	50%/50%	81%/19%
Rheinhessen Statistisches Landesamt Rheinland-Pfalz, 2011, 2015]	11.2 ha (in 2010)	n/a	69%/31% (in 2013)

Soil data are provided by the Harmonized World Soil Database [28]. The dominant soil types in the analyzed region are loamy sand and sandy loam (Ls3, Sl4). Climate data are obtained from weather stations of Agricultural Meteorology, run by the Centre of Rural Services in Rhineland-Palatine [27]. The vineyards were a minimum of 200 m and a maximum of 7 km from the weather station. Mean annual temperature and precipitation for the balance periods (starting in October of the previous year, and ending in September of the balance reference year) for 2011, 2012, and 2013 are shown in Table 2.

Table 2. Weather data for the three farms investigated

	Mean	annual ter [°C]	mperature	Pred	cipitation	[mm]
	2011	2012	2013	2011	2012	2013
Winery I	10.6	10.8	9.9	504	483	559
Winery II	10.6	10.8	9.9	504	483	559
Winery III	11.2	11.3	10.4	524	531	598

The timeframe of modeling, including start and endpoints, is presented in Table 3. Local climate data, obtained from climate stations run by the Centre of Rural Services in Rhineland-Palatine [27], crop data from various sources, and soil data provided by the Harmonized World Soil Database [26], and the vineyard areas were combined in a modeling unit for detailed calculation of the local hydrologic

processes: evaporation from soil, transpiration, rainfall interception loss, and percolation. In this study, 550 modeling units were obtained from the vineyard areas. Water flows were calculated for each modeling unit on a daily basis for three years. For each modeling unit, daily data on temperature, precipitation, relative air humidity, sunshine duration, and wind speed from the next closest station were used.

Table 3. The timeframe of the modeling start (one day after harvest in the previous year) and modeling end (day of harvest in the current year). Some modeling units had different start and end dates. The number of modeling units matches the number of vineyard areas.

Winery		2011	2012	2013
Winery I	Start	9/21/10-10/6/10	9/4/11–10/5/11	9/25/12-10/18/12
	End	9/3/11-10/4/11	9/24/12-10/17/12	10/1/13-10/21/13
	Number of modeling units	43	43	38
Winery II	Start	9/12/10-10/5/10	9/5/11–10/5/11	9/19/12–10/17/12
	End	9/4/11-10/4/11	9/18/12-10/16/12	9/26/13-10/23/13
	Number of modeling units	72	72	71
Winery III	Start	9/8/10-10/17/10	9/9/11-10/12/11	9/21/12-11/1/12
	End	9/8/11–10/11/11	9/2/12-10/31/12	10/1/13-10/25/13
	Number of modeling units	72	71	68

2.2 Calculation of farm water productivity

2.2.1 Definition of farm water productivity

Generally, productivity is defined as output per unit of input. In the case of farm water productivity, the input is water and the output may be defined as the mass of products, amount of food energy, or amount of income generated [19]. In the present case study, we use mass as the indicator and develop a quality-based indicator. Calculating an energy- or income-based indicator in the case of wine would not be suitable for two reasons. First, wine is not a basic foodstuff but a luxury product that does not satisfy the human food energy demand. Second, the price span of a bottle of wine is very wide and mainly depends on the wine quality.

Water inflow (W_{inflow}) is defined as the sum of water that enters the system, including precipitation, surface flows, subsurface flows, and technical water (tap water and irrigation water) [19]. Furthermore, per Prochnow et al. [19], the water input includes all water flows that contribute to the generation of output. Therefore, the transpiration from precipitation, the technical water, and the indirect water demand are summarized. The sum of those fractions of water inflow that are used for crop growth within the farm boundaries: transpired water stemming from precipitation (W_{prectrans}), plus all water inflow via technical means (W_{tech}), plus indirect water use referring to pre-chains (e.g., for feed production) (W_{indirect}) is defined as Water input (W_{input}).

$$W_{input} = W_{prec-trans} + W_{tech} + W_{indirect}$$
 (1)

Water transpired by plants (W_{transp}) is equal to transpired water stemming from precipitation ($W_{prec-trans}$) if no irrigation water is applied. In this case, the productive water (W_{prod}) equals the water transpired by plants (W_{transp})

Calculating farm water productivity based on mass reveals how much mass output is produced per unit water input. Output is defined as wine in liters. To calculate farm water productivity based on mass (L/m³), the following formula is used:

$$FWPmass = \frac{Mass_{output}}{W_{input}}$$
 (2)

Because quality is the decisive parameter in wine production, an additional indicator is introduced to account for the quality of the output. The new quality-based indicator for farm water productivity considers the must weight as a quality indicator. In Germany, the must weight defines the wine quality classification. A higher must weight indicates higher quality. In Germany, must weight is measured in degrees Oechsle (°Oe), a measure of the "specific gravity of a sugar solution" (in this case, wine must after harvest). Measurement is performed by a refractometer, calibrated in degrees Oechsle at a temperature of 20°C [28]. Other countries use different measurement units [29]. For example, Austria uses the Klosterneuburger Mostwaage (°KMW). Other scales include degrees Brix (°Bx) and degrees Baumé (°Bé) [28].

One advantage of using the must weight as a quality indicator is that quality can be measured objectively. Normally, quality is perceived subjectively by wine consumers and is therefore difficult to compare.

Farm water productivity on a quality basis (°Oe/m³) is calculated as follows:

$$FWPquality = \frac{Quality_{output}}{W_{input}}$$
(3)

2.2.2 Calculation of crop transpiration (from precipitation)

Crop transpiration is calculated by using the AgroHyd Farmmodel daily over the observation period. This software runs on the Sponge JS modeling platform [30]; at different scales, from the farm scale, using individual farm operating data, up to the regional scale water flow can be systematically quantified. Using the modeling software AgroHyd Farmmodel, water flows can be modeled and systematically quantified at different scales, from the farm scale (Brazilian broiler farms [31], to the regional scale (Feeding rations in Brandenburg [32]; Irrigation water demand in Germany [33-34]. Data from different sources (local climate, crop, soil, and farm data) are combined in modeling units to provide detailed modeling of the local hydrological processes. These processes are evaporation from soil, transpiration, rainfall, interception loss, percolation, and technical water demand in wineries. In the present study, up to 187 modeling units from the vineyard areas of the wineries were used for wine production under humid conditions each year (Table 3).

The AgroHyd Farmmodel is based on the FAO 56 dual crop coefficient method under nonstandard conditions [26]. This method requires calculating (a) the reference evapotranspiration (ET₀), (b) the potential crop transpiration (T_c), and (c) the actual transpiration T_{act} from the three different data sets for climate, plant, and soil containing regional climate data, plant-specific parameters, and regional soil data. Implemented in the AgroHyd Farmmodel are the following three steps. With regional climate data ET₀ of a grass reference surface is calculated using the FAO Penman-Monteith equation. To model T_c, the ET₀ is adjusted for the individual crop with plant-specific parameters [e.g., the plant-specific basal crop coefficient (K_{cb})]. Plant-specific parameters are provided in Table 4. To determine the water stress coefficient that reduces T_c to T_{act} a daily soil water balance approach is combined with regional soil and precipitation data. By linking the data sets on plant, soil, and climate the calculation of T_{act} incorporates the effect of daily water stress due to water-limited conditions. Furthermore, the deep percolation of water through the soil, total available soil water in the root zone, root zone depletion, evaporation, and evapotranspiration are calculated following Allen et al [35]. For a detailed description of the model, see Drastig et al. [32] and Prochnow

et al. [19]. The amount of irrigation water required to ensure maximum security of water supply to the crops grown, could be calculated using the Agrohyd Farmmodel. However, because the vineyards were not irrigated, the irrigation demand is not modeled. For calibration purposes of the AgroHyd Farmmodel single water flow components were analyzed by comparing model results to measured values for actual evapotranspiration based on lysimeter measurements in the Weimar region in Thuringia (Central Germany) for the crops spring barley, winter wheat, and potato [36] and in Hesse (Central Germany) for oat [37]. The calibration results can be seen in [33-34]. To the knowledge of the authors for the calibration of the water flows in wine production no lysimeter measurements were available.

The weather data of the weather stations of the Centre of Rural Services [27] are used to calculate the actual crop transpiration. Table 4 shows the basal crop coefficient (K_{cb}), leaf area index (LAI), rooting depth (Z_r), average fraction of available soil water (p), and plant height (h) of vine, adjusted to the climatic and specific local conditions in Germany. We adapt the LAI value of 2.3 from Lopes [39] where LAI was measured as leaf area per unit ground surface area for a German vineyard. LAI was directly measured by collecting the leaves from a sample of 16 randomized vineyard subplots with a size of 1.4 x 3.6 m. Leaf Area was then calculated by scanned images of the dried leaves.

Table 4. Plant coefficients used to calculate crop transpiration.

Plant	Basal crop coefficient [-]¹	Leaf area index [-]²	Rooting depth [m] ³	Average fraction of available soil water [-] ⁴	Plant height [m] ⁵
Vine	0.8	2.3	2.0	0.4	2.2

¹ [35] [38], ² [39-40], ³ [51], ⁴ [35] [42], ⁵ [43]

2.2.3 Technical water use

Technical water is defined as all water inflows produced by technical means and is the sum of irrigation water and tap water. Water demand in wine production includes two stages. The vineyard phase is composed of the transpiration of the plant and the technical water used for irrigation, fertilization, and pesticide application. The second phase includes water needed in the wine cellar for wine-making, washing and cleaning, bottling, and packaging, as well as the water used by employees.

None of the wineries used irrigation during the study period. Water demand for pesticide application was included in the technical water demand.

The three wineries differ in their production steps. While wineries I and II outsource the bottling of wine, winery III has its own bottling plant. Winery 1 also outsources labeling and packaging of wine bottles.

The relationship of technical water to farm size (A_{farm}) is calculated using the following formula, the specific technical water inflow [$m^3/ha/a^1$].

$$STW = \frac{W_{tech}}{A_{farm}} \tag{4}$$

The productive water (W_{prod}) includes the water flows that contribute to the generation of biomass through plants and is composed of water taken up and transpired by plants W_{transp} (m^3) that originates in precipitation and irrigation.

The degree of water utilization (DWU) describes the relationship between productive water and total water inflow. This indicator identifies the share of water that contributes to the generation of biomass.

$$DWU = \frac{W_{\text{prod}}}{W_{\text{inflow}}}$$
 (5)

2.2.4 Indirect water

Indirect water is the volume of water used to produce feed purchased outside the farm and all other farm inputs, such as building materials, machinery, energy, fertilizers, pesticides, herbicides, ancillary materials, and so forth. The indirect water demand is not considered in the present study, because it is assumed to be negligible. Wineries do not import plant biomass (e.g., feed purchased from outside the farm); animal farming takes the highest share of indirect water demand [31-32; 44]. Water demand for the production of fertilizer, electricity, and fuel is marginal [45], as is indirect water demand of buildings [46].

2.2.4 Volume of a bottle of wine

The volume of a bottle of wine was assumed to be 0.75 L. The calculation process was:

$$FWPmass[L/m3] = FWPmass[Bottle/m3]* 0.75$$
(6)

3. Results

The water flows of the three investigated wineries are presented in Table 5. Water inflow varied 4–13% within the three investigated years from the mean of the three years. The water inflow of all wineries consists of 99.4–99.7% precipitation. The remaining water flow is the technical water. The vines were not irrigated during the observation period, so technical water is composed only of tap water. Transpiration from precipitation accounted for 98.7–99.4% of the water input.

Table 5. Water input for Winery I, II, and III.

Winery	Winery I					Winery II				Winery III		
Year	2011	2012	2013	Mean	2011	2012	2013	Mean	2011	2012	2013	Mean
Water flows [m³]												
Water inflow ^a W _{inflow}	47,529	47,145	53,900	49,525	71,254	67,994	84,164	74,471	49,463	50,682	56,532	52,226
Precipitation W _{prec}	47,322	46,952	53,651	49,308	71,008	67,750	83,927	74,228	49,148	50,367	56,217	51,911
Technical water W _{tech}	207	193	249	216	246	244	237	242	315	315	315	315
Irrigation water W _{irri}	-	-	-	-	-	-	-	-	-	-	-	-

Tap water W _{tap}	207	193	249	216	246	244	237	242	315	315	315	315
Water input ^b Winput	24,977	23,260	24,571	24,269	37,537	34,761	39,250	37,183	28,656	24,431	28,887	27,325
Transpiration stemming from precipitation W _{prec-trans}	24,770	23,067	24,322	24,053	37,291	34,517	39,013	36,940	28,341	24,116	28,572	27,010
Indirect water W _{indirect}	-	-	-	-	-	-	-	-	-	-	-	-
Productive water W _{prod}	24,770	23,067	24,322	24,053	37,291	34,517	39,013	36,940	28,341	24,116	28,572	27,010
Wtransp	24,770	23,067	24,322	24,053	37,291	34,517	39,013	36,940	28,341	24,116	28,572	27,010

^aWater inflow: Sum of water that enters the system, including precipitation, surface flows, subsurface flows, and technical water (tap water and irrigation water).^b Water input: Water flows that contribute to the generation of output. The sum of those fractions of water inflow that are used for crop growth within the farm boundaries: transpired water stemming from precipitation, plus all water inflow via technical means, plus indirect water use referring to pre-chains.

Table 6 describes the output in terms of mean yield per hectare and as must weight (an indicator of quality).

Table 6. Wineries' outputs as mean yield per hectare and the respective must weights.

	Mean yie	ld per hecta	re [hL/ha]		Mean must weight [°Oe]				
	2011	2012	2013	Mean	2011	2012	2013	Mean	
Winery I	106.2	103.6	89.9	99.9	78	87	79	81.3	
Winery II	111.4	100.2	104.1	105.2	80	89	82	83.7	
Winery III	140.2	98.3	112.8	117.1	89	88	83	86.7	
Rheinhessen	101.4	101.3	98.5	100.4	80	83	78	80.3	

a [25-27]

The resulting water input per L wine is shown in Table 7.

Table 7. Water input per liter of wine.

	Liter V	Vater Inp	ut per Lit	er Wine	Liter Technical Water per Liter Wine				
Year	2011	2012	2013	Mean	2011	2012	2013	Mean	
Winery I	249	238	304	263	2.1	2.0	3.1	2.4	
Winery II	241	248	269	252	1.6	1.7	1.6	1.6	

Winery III	220	271	286	259	2.4	3.5	3.1	3.0
Mean	237	252	286	258	2.0	2.4	2.6	2,3

The farm water indicators are shown in Tables 8 and 9. Because output and quality in 2013 were comparatively low and transpiration was high, water productivity was below average.

Table 8. Water-related indicators for Wineries I, II, and III.

Indicatora	Unit	Year	Winery I	Winery II	Winery III	Mean
		2011	4.02	4.16	4.55	4.24
FWPmass	L/m³	2012	4.21	4.04	3.69	3.98
		2013	3.29	3.71	3.50	3.50
		Mean	3.84	3.97	3.91	3.91
		2011	311.62	333.38	402.99	349.33
FWPquality	°Oe/m³	2012	365.70	361.35	332.62	353.22
		2013	259.36	304.66	291.47	285.16
		Mean	312.23	333.13	342.36	329,24
		2011	0.25	0.24	0.22	0.24
WUE	m³/L	2012	0.24	0.25	0.27	0.25
		2013	0.30	0.27	0.29	0.29
		Mean	0.26	0.25	0.26	0.26
		2011	0.52	0.52	0.57	0.54
DWU	-	2012	0.49	0.51	0.48	0.49
		2013	0.45	0.46	0.51	0.47
		Mean	0.49	0.50	0.52	0.50
		2011	21.32	17.16	33.06	23.84
STW	m³/ha/a	2012	19.88	17.02	33.49	23.46
		2013	26.92	16.53	34.28	25.91
		Mean	22.71	16.90	33.61	24.40

^a FWPmass = farm water productivity on a mass basis; FWPquality = farm water productivity on a quality basis; WUE = water use efficiency; DWU = degree of water utilization; STW = specific technical water inflow

Table 9. Water productivity on a mass basis [L/m³] and on a quality basis [°Oe/m³] (SD = standard deviation)

	Year	Mean	Min	Max	SD	Mean	Min	Max	SD
Winery I	2011	4.06	1.46	8.00	1.50	341.15	117.06	600.28	103.48
	2012	4.25	1.09	6.92	1.31	394.74	121.58	614.30	103.14
	2013	3.33	0.96	5.27	1.00	280.33	88.26	421.34	73.69
Winery II	2011	4.20	0.83	8.01	1.82	382.43	60.05	678.66	122.00
	2012	4.08	1.30	7.28	1.39	394.22	120.68	655.20	103.16
	2013	3.74	1.14	6.12	1.30	345.34	102.16	493.50	111.33
Winery III	2011	4.60	1.37	7.20	1.46	456.16	109.22	661.48	130.54
	2012	3.71	1.57	7.29	0.76	343.04	149.42	663.21	68.50
	2013	3.54	1.17	5.94	1.21	325.61	101.88	463.60	84.90

4. Discussion

The highest water input per liter of wine produced occurred in the year 2013 and appears to be the result of favorable weather. During that year, higher precipitation led to higher transpiration from precipitation. Water productivity on a field scale varied considerably. High-yielding grape varieties are characterized by high water productivity values. To a lesser extent, the differences in productivity are due to differing reference periods (Table 3) and different soil types. The differing reference periods may limit our ability to compare the wineries.

A reduced yield of grapes is often associated with high quality wine. It has been shown that techniques such as winter pruning or cluster thinning with which grape yield is reduced lead to higher wine quality [47-48]. Therefore, a newly developed quality-based indicator was applied to measure water productivity. This new indicator may not be applicable to international comparisons due to differences in the units used to measure quality ("degrees Grad Oechsle" in Germany). The definition of wine quality in different countries varies as well.

The degree of water utilization was close to 0.5 for all investigated wineries, but the specific technical water inflow varied between the three wineries. This finding was because winery III has its own bottling plant and therefore uses more technical water than its competitors. However, the technical water inflow is marginal in all three wineries because the fields are not irrigated and only tap water is used.

Water use efficiency [m³/L] is the reciprocal of farm water productivity. On a mass basis, it indicates how much water input is required to generate one unit of output. Water demand per bottle of wine was, on average, 194 L, including 1.8 L technical water. Because there are many methodological approaches to measuring water productivity, it is difficult to compare the results of the present study with those of previous studies. An overview of the wine sector may be found in Petti et al. [49]. For example, the concept of water footprint based on the Virtual Water concept considers the evapotranspiration of the plants, as well as the transpiration. Because the AgroHyd Farmmodel can calculate evapotranspiration as well, it is possible to provide the first comparative values for German wine (327 L per bottle). The results are shown in Table 9, subdivided into green and blue water. For the two most common approaches, the water footprint and the LCA, no comparable German study exists. In the case of water footprint, the average amount of water worldwide needed to produce one bottle of wine is 652 L [7]. Other studies reported amounts of 438 to 1754 L [3-4], compared with the calculated water demand in the present study, which is only 327 L. In most studies, additional water was used for irrigation, a practice that is not very common in German viticulture yet. Due to local climate conditions and different soil types in the observed countries, there are large variations in reported water demand. In addition, the system boundaries are not consistent with the different studies, and the present study did not consider grey water.

Some of the existing LCA studies of wine production state the technical water demand. In our analysis, average technical water demand was 1.2–2.6 L per bottle of wine, depending on the year and winery. In the studies that we compared, processing water used in the wine cellar accounted for 0.08–5.34 L [50] [14], with one extreme value of 16.8 L for an Italian organic producer [11]. The amount of water used during different steps of production varied a great deal in these studies, making it difficult to accurately compare technical water demand.

Within Germany, technical water demand may be classified per the results of a survey conducted in 2000 in the state of Rhineland-Palatinate, where water demand was reported to be 4.4 L per L of wine produced, on average [51]. The average value in the present study (1.8 L) was less than half this amount, perhaps the consequence of technical innovations in wine cellar equipment within the past decade. Nevertheless, we recommend that wineries continue to apply water-reducing measures to limit their consumption of technical water. Because precipitation is generally free of charge, while technical water is usually billed, farmers should pay special attention to water inflow by technical means.

The major share of the water input in wine production originated from transpiration from precipitation. There were large differences in water productivity on the field scale. Although wine makers cannot influence the amount of precipitation, it is possible for them to control transpiration by implementing certain cultivation measures, such as canopy management. For example, Williams and Ayars [52] emphasize the relationship between grapevine water use and the crop coefficient K_c.

5. Conclusions

Based on case studies at the farm scale in German wine production, water-related indicators were calculated. The three investigated case studies offer a low possibility of repetition and only a narrow and limited base for generalization from the total area of three wineries of about 33 ha to Germany, which has thirteen wine producing areas with a total vineyard area of 102,000 ha. However, the three case studies provide descriptions, explorations, and explanations of the water use in German specialist vineyards. The water demand of three German wineries was mainly determined by the amount of transpiration that occurred, and this water came from precipitation. Of note, the vineyards that we examined were not irrigated during the study period.

This study might improve the wine production at farm scale by applying of a quality reflecting indicator in wine production. This allows the incorporating of the quality aspects in wine production. It is important to remember that wine is a quality product. Therefore, we recommend using the quality-based indicator of water productivity developed and applied here in addition to the mass-based indicator in assessments of water productivity, degree of water utilization, and specific technical water inflow.

Ranges of the indicators Farm Water Productivity and Degree of Water Utilization will be derived from further investigations. These ranges may help to improve water use through single and combined farming measures for improving the water use in specialist vineyards. Indicators of known technologies and practices -identified via farm demonstration plots or a combination of on-farm experiments and simulation modeling are a useful way for the improving of water productivity in general. It has to be taken into account, that the indicators are affected by environmental circumstances in crop production (beside effects of different methods for the calculation) like climate, year and harvest date, and characteristics of the grape varieties.

The present study had some limitations. The AgroHyd Farmmodel did not consider green cover or other cover crops. Studies have shown that cover crops can affect the water demand of grapevines, especially as competitors for water consumption. Hofmann [53] noted that water stress in vineyards that have cover crops can result in quality and yield losses. The AgroHyd Farmmodel will be continuously expanded further. Specific attention will be given to uncertainty analysis, which is missing in this study.

In addition, the present study did not assess young grapevines, because no plant coefficients exist for them in the scientific literature. One of the defining characteristics of young vines compared with older vines is that young vines have shorter roots. Therefore, they cannot obtain water from deeper soil layers, especially when they are affected by water stress in hot summers. Furthermore, the yields from young plants are low or zero during the first few years, corresponding to very low water productivity values.

The surface runoff characteristics of steep vineyards were not considered in the AgroHyd Farmmodel, which might influence the resulting values for water productivity. In steep-slope vineyards, surface runoff can be reduced, for example by cover crops [53].

Further research is needed to determine plant coefficients for different grape varieties, steep-slope vineyards, and young plants to account for their characteristics while modeling water demand. Moreover, future research should address how water productivity with regard to quality products can be applied in an international context and how irrigation would affect farm water productivity.

Acknowledgments

The authors gratefully acknowledge financial support by the Leibniz Competition within the Joint Initiative for Research and Innovation of the Leibniz Association (Grant Number: SAW-2011-ATB-5).

Author Contributions: A. Prochnow developed the idea; D. Peth collected the data; D. Peth and K. Drastig analyzed the data; D. Peth, A. Prochnow, and K. Drastig wrote the paper.

Conflicts of Interest: The authors declare no conflict of interest.

Abbreviations

The following abbreviations are used in this manuscript:

Afarm: farm size

DWU: degree of water utilization

FWPmass: farm water productivity on a mass basis FWPquality: farm water productivity on a quality basis

 K_{cb} : basal crop coefficient

LAI: leaf area index

Massoutput: farm output on a mass basis p: average fraction of available soil water Qualityoutput: farm output on a quality basis

STW: specific technical water inflow

Windirect: indirect water

Winflow: water inflow, sum of water that enters the system

Winput: water input, water flows that contribute to the generation of farm output

W_{irri}: irrigation water W_{prec}: precipitation

W_{prec-trans}: transpiration stemming from precipitation

 W_{prod} : productive water W_{tech} : technical water

W_{transp}: water taken up and transpired by plants

Z_r: rooting depth

References

[1-53]

- 1. Aldaya, M.M.; Chapagain, A.K.; Hoekstra, A.Y.; Mekonnen, M.M. *The water footprint assessment manual: Setting the global standard*. Routledge: **2012**.
- 2. Chapagain, A.; Hoekstra, A.Y. Water footprints of nations, value of water research report series no. 16. UNESCO-IHE, Delft, The Netherlands: **2004**.
- 3. De Pina, L.A.B.; Dias, A.C.; Neto, B.; Arroja, L.; Quinteiro, P. In *The water footprint of wine production in portugal: A case study on vinho verde*, 6th International Conference on Industrial Ecology (ISIE 2011 Conference), Berkeley, USA, 2011; Poster session proceedings of the 7-10. June **2011**, Ed. University of California: Berkeley, USA.
- 4. Ene, S.A.; Teodosiu, C.; Robu, B.; Volf, I. Water footprint assessment in the winemaking industry: A case study for a romanian medium size production plant. *Journal of Cleaner Production* **2013**, 43, 122-135.
- 5. Herath, I.; Green, S.; Horne, D.; Singh, R.; McLaren, S.; Clothier, B. Water footprinting of agricultural products: Evaluation of different protocols using a case study of new zealand wine. *Journal of Cleaner Production* **2013**, *44*, 159-167.
- 6. Lamastra, L.; Suciu, N.A.; Novelli, E.; Trevisan, M. A new approach to assessing the water footprint of wine: An italian case study. *Science of the Total Environment* **2014**, *490*, 748-756.
- 7. Mekonnen, M.M.; Hoekstra, A.Y. The green, blue and grey water footprint of crops and derived crop products. *Hydrology and Earth System Sciences* **2011**, *15*, 1577-1600.
- 8. Ardente, F.; Beccali, G.; Cellura, M.; Marvuglia, A. Poems: A case study of an italian wine-producing firm. *Environmental Management* **2006**, *38*, 350-364.
- 9. Benedetto, G. The environmental impact of a sardinian wine by partial life cycle assessment. Wine Economics and Policy 2013, 2, 33-41.Notarnicola, B.; Tassielli, G.; Nicoletti, G. Life cycle assessment (LCA) of wine production. Environmentally-friendly Food Processing 2003, 306, 326.
- 10. Pizzigallo, A.C.I.; Granai, C.; Borsa, S. The joint use of lca and energy evaluation for the analysis of two italian wine farms. *Journal of Environmental Management* **2008**, *86*, 396-406.
- 11. Aranda, A.; Zabalza, I.; Scarpellini, S. Economic and environmental analysis of the wine bottle production in spain by means of life cycle assessment. *International Journal of Agricultural Resources, Governance And Ecology* **2005**, *4*, 178-191.
- 12. Gazulla, C.; Raugei, M.; Fullana-i-Palmer, P. Taking a life cycle look at crianza wine production in Spain: Where are the bottlenecks? *International Journal of Life Cycle Assessment* **2010**, *15*, 330-337.
- 13. Jimenez, E.; Martinez, E.; Blanco, J.; Perez, M.; Graciano, C. Methodological approach towards sustainability by integration of environmental impact in production system models through life cycle analysis: Application to the rioja wine sector. *Simulation-Transactions of the Society for Modeling and Simulation International* **2014**, *90*, 143-161.
- 14. Vázquez-Rowe, I.; Villanueva-Rey, P.; Iribarren, D.; Moreira, M.T.; Feijoo, G. Joint life cycle assessment and data envelopment analysis of grape production for vinification in the rías baixas appellation (nw spain). *Journal of Cleaner Production* **2012**, 27, 92-102.
- 15. Villanueva-Rey, P.; Vázquez-Rowe, I.; Moreira, M.T.; Feijoo, G. Comparative life cycle assessment in the wine sector: Biodynamic vs. Conventional viticulture activities in nw spain. *Journal of Cleaner Production* **2014**, *65*, 330-341.

- 16. Neto, B.; Dias, A.C.; Machado, M. Life cycle assessment of the supply chain of a portuguese wine: From viticulture to distribution. *International Journal of Life Cycle Assessment* **2013**, *18*, 590-602.
- 17. Comandaru, I.M.; Bârjoveanu, G.; Peiu, N.; Ene, S.-A.; Teodosiu, C. Life cycle assessment of wine: Focus on water use impact assessment. *Environmental Engineering and Management Journal* **2012**, *11*, 533-543.
- 18. Prochnow, A.; Drastig, K.; Klauss, H.; Berg, W. Water use indicators at farm scale: Methodology and case study. *Food and Energy Security* **2012**, *1*, 29-46.
- 19. Yin, R.K. Case study research 5th ed.; Sage Publications Ltd.: 2014.
- 20. Voss, C.; Tsikriktsis, N.; Frohlich, M. Case research in operations management. *International Journal of Operations & Production Management* **2002**, 22 195-219.
- 21. Yin, R.K. The case study method as a tool for doing evaluation. *Current Sociology* **1992**, 40, 121-137.
- 22. Rheinland-Pfalz, Statistisches Landesamt Weinbau. 2014.
- 23. Rheinland-Pfalz, Statistisches Landesamt. Vorläufige Weinmosternte 2012. 2012.
- 24. Rheinland-Pfalz, Statistisches Landesamt. Vorläufige Weinmosternte 2013. 2013.
- 25. FAO, I., ISRIC, ISSCAS, JRC. Harmonized world soil database. FA: Rom, Italien und IIASA, Luxemburg, Austria, **2012**.
- 26. Rheinland-Pfalz, Agrarmeterologie. Dienstleistungszentren ländlicher Raum in Rheinland-Pfalz. **2012**. Available online: www.am.rlp.de (accessed 10/15/2015).
- 27. Ball, D.W. Concentration scales for sugar solutions. *Journal of Chemical Education* **2006**, 83, 1489.
- 28. Hopfer, H.; Nelson, J.; Ebeler, S.E.; Heymann, H. Correlating wine quality indicators to chemical and sensory measurements. *Molecules* **2015**, *20*, 8453-8483.
- 29. Drastig, K.; Kraatz, S.; Libra, J.; Prochnow, A.; Hunstock, U. Implementation of hydrological processes and agricultural management options into the atb-modeling database to improve the water productivity at farm scale. *Agronomy Research* **2013**, *11*, 31-38.
- 30. Drastig, K.; Palhares, J.C.P.; Karbach, K.; Prochnow, A. Farm water productivity in broiler production: Case studies in brazil. Journal of Cleaner Production 2016, 135, 9-19.
- 31. Krauss, M.; Kraatz, S.; Drastig, K.; Prochnow, A. The influence of dairy management strategies on water productivity of milk production. *Agricultural Water Management* **2015**, 147, 175-186.
- 32. Drastig, K.; Prochnow, A.; Libra, J.; Koch, H.; Rolinski, S. Irrigation water demand of selected agricultural crops in germany between 1902 and 2010. *Science of the Total Environment* **2016**, 569, 1299-1314.
- 33. Drastig, K.; Libra, J.; Kraatz, S.; Koch, H. Relationship between irrigation water demand and yield of selected crops in Germany between 1902 and 2010: A modeling study. *Environmental Earth Sciences* **2016**, 75.
- 34. Allen, R.G.; Pereira, L.S.; Raes, D.; Smith, M. Crop evapotranspiration: Guidelines for computing crop water requirements. Rome, Italy, 1998 Vol. 56.
- 35. Rheinland-Pfalz, Agrarmeterologie. Dienstleistungszentren ländlicher Raum in Rheinland-Pfalz. **2015**. Available online: www.am.rlp.de (accessed 10/15/2015).
- 36. Roth, D. Wasserhaushaltsgrößen von Kulturpflanzen unter Feldbedingungen Ergebnisse der tll-Lysimeterstation; Thüringer Landesanstalt für Landwirtschaft: Jena, Germany, 2005; p 159.
- 37. Ernstberger, H., 1987. Einfluss der Landnutzung auf Verdunstung und Wasserbilanz: Bestimmung der aktuellen Eapotranspiration von unterschiedlich genutzten Standorten zur Ermittlung d.

- Wasserbilanz von Einzugsgebieten in unteren Mittelgebirgslagen Hessens. Justus-Liebig-Universität-Gießen, FB Geowissenschaften, Kirchzarten.
- 38. Rupp, D. Optimierte Bewässerung auf der Basis der Bodenwasserbilanz unter Berücksichtigung örtlicher sowie regionaler Wetterdaten. . In *Qualitätsmanagement im Obst- und Weinbau* Achilles, A. , Ed. Kuratorium für Technik und Bauwesen in der Landwirtschaft e.V. (KTBL): Darmstadt, Germany, **2004**; Vol. 421.
- 39. Lopes, C.; Monteiro, A.; Ruckert, F.E.; Gruber, B.; Steinberg, B.; Schultz, H.R. Transpiration of grapevines and co-habitating cover crop and weed species in a vineyard. A "snapshot" at diurnal trends. *Vitis* **2004**, *43*, 111-117.
- 40. Siegfried W; Sacchelli M; Viret O; Wohlhauser, R.; Raisigl, U.; Huber, B. Blattflächenbezogene Dosierung von Pflanzenschutzmitteln im Rebbau. Teil i: Methoden zur Bestimmung der Blattfläche-und Laubwandentwicklung. Schweizer Zeitschrift für Obst und Weinbau 2005, 4, 13-16.
- 41. Campos, I.; Neale, C.M.U.; Calera, A.; Balbontin, C.; Gonzalez-Piqueras, J. Assessing satellite-based basal crop coefficients for irrigated grapes (vitis viniferal). *Agricultural Water Management* **2010**, *98*, 45-54.
- 42. Doorenbos, J., Pruitt., W. O. Crop water requirements guidelines for predicting crop water requirements; FAO: Rome, Italy, 1977.
- 43. Bauer, K. Weinbau. Österreichischer Agrarverlag, Wien: Wien, Austria, 2008.
- 44. Krauß, M.; Keßler, J.; Prochnow, A.; Kraatz, S.; Drastig, K. Water productivity of poultry production: The influence of different broiler fattening systems. *Food and Energy Security* **2015**, *4*, 76-85.
- 45. Krauß, M.; Kraatz, S.; Drastig, K.; Prochnow, A. The influence of dairy management strategies on water productivity of milk production. *Agricultural Water Management* **2015**, *147*, 175-186.
- 46. De Boer, I.J.M.; Hoving, I.E.; Vellinga, T.V.; Van de Ven, G.W.J.; Leffelaar, P.A.; Gerber, P.J. Assessing environmental impacts associated with freshwater consumption along the life cycle of animal products: The case of dutch milk production in noord-brabant. *International Journal of Life Cycle Assessment* **2013**, *18*, 193-203.
- 47. Döring, K.; Kraatz, S.; Prochnow, A.; Drastig, K. Indirect water demand of dairy farm buildings. *Agricultural Engineering International: CIGR Journal* **2013**, *15*, 16-22.Bravdo, B.; Hepner, Y.; Loinger, C.; Cohen, S.; Tabacman, H. Effect of crop level on growth, yield and wine quality of a high yielding carignane vineyard. *American Journal of Enology and Viticulture* **1984**, 35, 247-252.
- 48. Gil, M.; Esteruelas, M.; Gonzalez, E.; Kontoudakis, N.; Jimenez, J.; Fort, F.; Canals, J.M.; Hermosin-Gutierrez, I.; Zamora, F. Effect of two different treatments for reducing grape yield in vitis vinifera cv syrah on wine composition and quality: Berry thinning versus cluster thinning. *Journal of Agricultural and Food Chemistry* **2013**, 61, 4968-4978
- 49. Petti, L.; Arzoumanidis, I.; Benedetto, G.; Bosco, S.; Cellura, M.; De Camillis, C.; Fantin, V.; Masotti, P.; Pattara, C.; Raggi, A., et al. Life cycle assessment in the wine sector. In *Life cycle assessment in the agri-food sector*, Notarnicola, B.; Salomone, R.; Petti, L.; Renzulli, P.A.; Roma, R.; Cerutti, A.K., Eds. Springer International Publishing: Bologna, Italy, **2015**; p 390.
- 50. Fusi, A.; Guidetti, R.; Benedetto, G. Delving into the environmental aspect of a sardinian white wine: From partial to total life cycle assessment. *Science of the Total Environment* **2014**, 472, 989-1000.
- 51. Staatliche Forschungsanstalt für Landwirtschaft, Weinbau und Gartenbau Forschungsprojekt PIUS. Produktionsintegrierter Umweltschutz im Weinbau, Abschlußbericht.; Neustadt/Wstr, Germany.

- 52. Williams, L.E.; Ayars, J.E. Grapevine water use and the crop coefficient are linear functions of the shaded area measured beneath the canopy. *Agricultural and Forest Meteorology* **2005**, 132, 201-211.
- 53. Hofmann, B. Grapevine water stress risk in perennial cover-cropping systems. *Geologische Abhandlungen von Hessen* **2004**, 114, 93-104.



© 2017 by the authors; licensee Preprints, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons by Attribution (CC-BY) license (http://creativecommons.org/licenses/by/4.0/).