

Article

Assessing Uncertainty in LULC Classification Accuracy by Using Bootstrap Resampling

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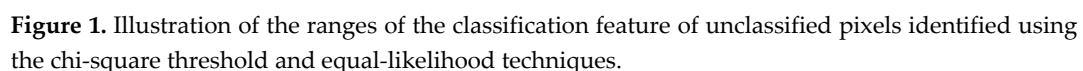
Abstract: Supervised land-use/land-cover (LULC) classifications are typically conducted using class assignment rules derived from a set of multiclass training samples. Consequently, classification accuracy varies with the training data set and is thus associated with uncertainty. In this study, we propose a bootstrap resampling and reclassification approach that can be applied for assessing not only the uncertainty in classification results of the bootstrap-training data sets, but also the classification uncertainty of individual pixels in the study area. Two measures of pixel-specific classification uncertainty, namely the maximum class probability and Shannon entropy, were derived from the class probability vector of individual pixels and used for the identification of unclassified pixels. Unclassified pixels that are identified using the traditional chi-square threshold technique represent outliers of individual LULC classes, but they are not necessarily associated with higher classification uncertainty. By contrast, unclassified pixels identified using the equal-likelihood technique are associated with higher classification uncertainty and they mostly occur on or near the borders of different land-cover.

Keywords: land-use/land-cover (LULC); uncertainty; bootstrap resampling; chi-square threshold; class probability vector (CPV); entropy

1. Introduction

Remote sensing images have been widely used for earth surface monitoring [1–8], environmental change detection [9–14], and water resource management [15–22]. Many of these applications require land-use/land-cover (LULC) classifications derived from multispectral images. Well-documented methods for supervised LULC classification include maximum likelihood classification, Bayes classification, and nearest neighbor classification. New methods involving geostatistics [7], artificial neural networks [23,24], support vector machines [25–27], and random forest algorithms [28,29] are also emerging. All supervised classification methods involve using a set of training data to establish class assignment rules for pixels of unknown classes. A confusion matrix (or error matrix), which summarizes the classification results of the training data or an independent set of reference data, can then be used to assess the classification accuracy of individual classes. However, the classification accuracies, which include the user's accuracy (UA), producer's accuracy (PA), and overall accuracy (OA), of the training or reference data presented in the confusion matrix are estimates of the true and unknown classification accuracies of the population; that is, all the pixels of the individual LULC classes. The training data are samples of individual classes and the class assignment rules are derived from the training data; thus, classification accuracy is inherently associated with uncertainty. Whether the classification accuracies presented in a confusion matrix are representative of the entire study area is dependent on many factors including ground data collection, the classification scheme, spatial autocorrelation, the sample size, and the sampling scheme [30]. In remote sensing applications, there are also needs to compare classification accuracies of different images to evaluate the relative suitability of different classification techniques for mapping. Ideally, a comparison of thematic map accuracies should address the statistical

In most applications of LULC classification, each individual pixel is assigned to one of the reference classes. If a pixel falls near the tail of the multivariate distribution established by the training data, it may be desirable to assign that pixel as *unclassified*. Assuming multivariate Gaussian (normal) distributions for reflectance-vectors of individual LULC classes, class-dependent thresholds for labeling unclassified pixels can be determined on the basis of a chi-square distribution [34]. Unclassified pixels identified using the chi-square threshold technique represent the outliers of individual LULC classes, but they do not necessarily represent pixels with nearly identical likelihoods of belonging to different LULC classes. These situations are illustrated in Figure 1 by using a one-dimensional classification feature. However, in practice, pixels with nearly identical likelihoods of belonging to different LULC classes may need to be designated as unclassified pixels. Hereafter, we refer to such pixels as *pixels of equal likelihood*. Because the joint probability densities of the classification features of different LULC classes are estimated using the selected training data, the aforementioned training data uncertainty eventually leads to uncertainty in the estimated joint probability densities of the classification features of individual LULC classes and decision rules of the LULC classification. Consequently, the identification of pixels of equal likelihood is further complicated by the uncertainty in the joint probability density estimates of the classification features.



This study has two objectives: (1) to propose an approach for assessing the uncertainty in LULC classification results resulting from uncertainty in the training data; and (2) to comparatively investigate the characteristics of unclassified pixels that are identified using the chi-square threshold technique and an equal-likelihood technique proposed in this study. In Section 2, we describe the study area and data used in this study. In Section 3, we detail the Bayes classification.

bootstrap resampling technique, application of bootstrap resampling to multispectral remote sensing images, and the assessment of LULC classification uncertainty. In Section 4, we present the LULC classification results derived from the original training data and reclassification results derived from the bootstrap-training samples. Detailed discussions on the uncertainty of various classification accuracies and the characteristics of unclassified pixels that are identified using the chi-square threshold technique and equal-likelihood technique are also included in Section 4. A summary of the findings and concluding remarks are presented in Section 5.

2. Study Area and Data

The Greater Taipei area was selected as the study area. It encompasses approximately 360 km² and has a highly populated urban area, a national park in the northeast corner, and mountains in the southeast corner. The confluence of three major rivers in northern Taiwan is in the northwest corner of the Taipei City. Advanced Land Observing Satellite (ALOS) multispectral images of the study area (acquired on 5 April 2008, by the AVNIR2 sensor) were collected. The AVNIR2 sensor acquires images in four spectral bands, namely blue (0.42–0.50 μm), green (0.52–0.60 μm), red (0.61–0.69 μm), and near infrared (NIR, 0.76–0.89 μm), at a spatial resolution of 10×10 m. All of these satellite images are preprocessed for radiometric and geometric corrections by the Japan Aerospace Exploration Agency [35]. Thus, all images were georeferenced to map-projection coordinates. A true-color image of the study area and an official land-use map obtained from the Ministry of Interior of Taiwan [36] are presented in Figure 2.

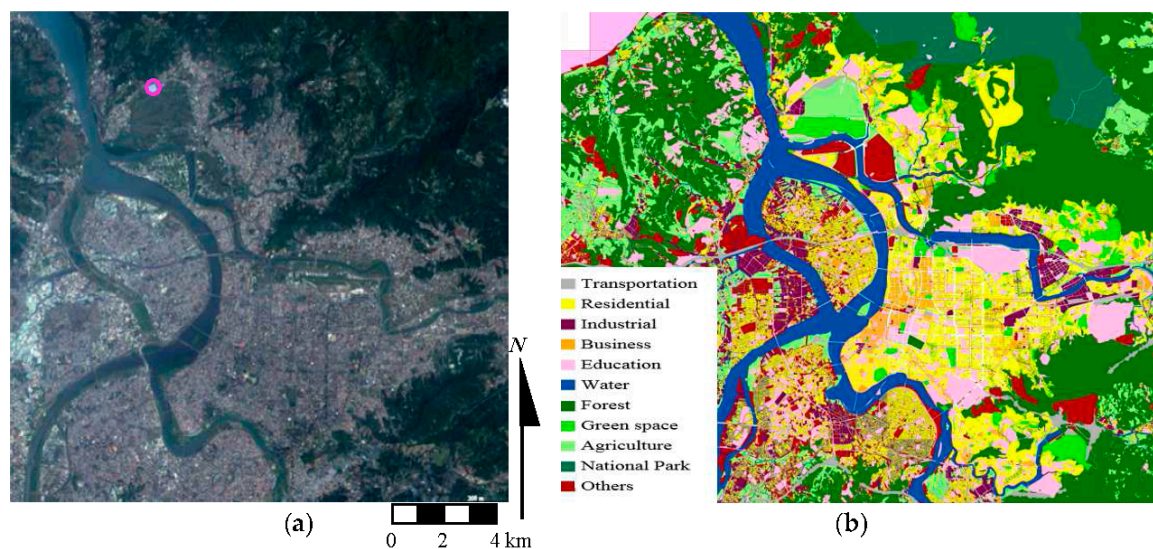


Figure 2. (a) True-color Advanced Land Observing Satellite (ALOS) image of the study area; and (b) land-use map for the year 2009 (Ministry of Interior, Taiwan). The purple-circled area, the Beitou Depot of the Taipei mass rapid transit (MRT), is identified as unclassified by the chi-square threshold technique (see details in Section 4.2.3). The coordinates of the lower-left corner of panel (a) are 121°25'50"E, 24°59'12"N.

Eleven land-use types namely transportation, residential, industrial, business, educational and cultural, water, forests, parks and green spaces, agriculture, Yang Ming Shan National Park, and others, are presented in the land-use map, which was prepared through interpreting aerial photographs and many other ancillary data. Such detailed LULC classification cannot be achieved using only remote sensing images because of the spectral similarities between LULC classes. Thus, five LULC classes, namely forest, water, grass (including shrubs), buildings, and roads (including areas with paved surfaces), were adopted for LULC classification in our study. Training data of the five LULC classes were chosen by conducting field visits and referring to the land-use map. The number of training pixels for each individual LULC class is listed in Table 1. These numbers approximate the areal percentages for individual LULC classes in the study area. To illustrate the

scattering of different LULC classes in a three-dimensional feature space, digital numbers of the green, red, and near infrared (NIR) bands were selected as classification features in this study.

Table 1. Numbers and proportions of training pixels of individual land-use/land-cover (LULC) classes.

LULC Classes	Forest	Water	Buildings	Grass	Roads
Number of training pixels	7005	2771	5956	2445	3924
Proportions (%)	31.70	12.54	26.95	11.06	17.75

Figure 3 is a scatter plot of training pixels of different LULC classes in the three-dimensional green–red–NIR feature space. In the figure, the training pixels of the forest and water land-cover types are more concentrated than the other land-cover types. By contrast, the training pixels of buildings and roads are widely dispersed and mutually mixed.

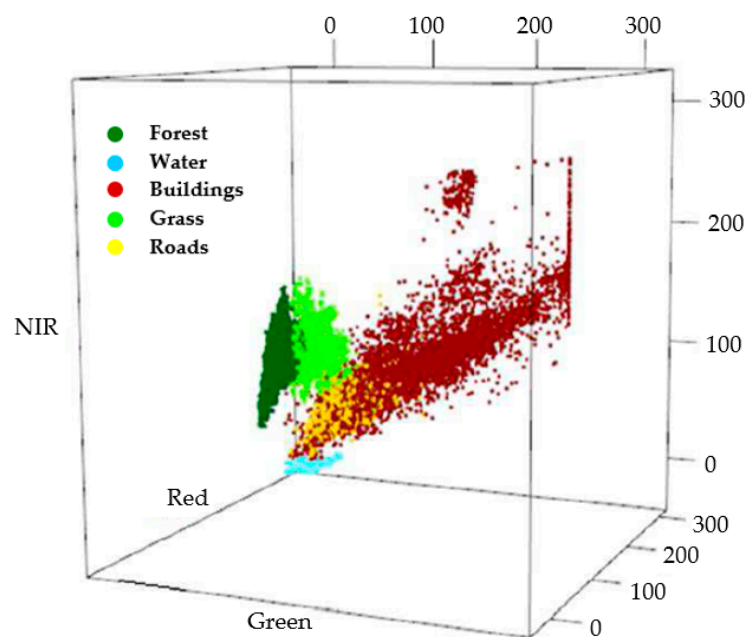


Figure 3. Scatter plot of the training pixels of LULC classes in the green–red–NIR feature space.

3. Methods

The supervised Bayes classification method was chosen for the LULC classification task in this study. The bootstrap resampling technique was also applied to the original training data set described in Section 2 to generate resampled training data sets that were used in the subsequent Bayes classification task.

3.1. Bayes Classification

In the Bayes classification method, the a priori probabilities of individual land-cover types in the study area are considered. The a priori probability of a particular class represents the probability of a randomly selected pixel belonging to that class. Although not necessary, most LULC applications assume multivariate Gaussian distributions for the classification features of different LULC types. Let $X = (x_1, \dots, x_k)^T$ be a k -dimensional feature vector of a particular pixel and let $p(\omega_i)$ ($i = 1, \dots, N$) be the a priori probabilities of N LULC classes. The joint Gaussian density of the i th class (ω_i) is expressed by

$$f(X | \omega_i) = \frac{1}{\sqrt{2\pi}^k |\Sigma_i|^{1/2}} \exp \left[-\frac{1}{2} (X - \mu_i)^T \Sigma_i^{-1} (X - \mu_i) \right] \quad (1)$$

where μ_i and Σ_i are, respectively, the mean vector and covariance matrix of the classification features of the i -th class. The class-dependent discriminant function of the Bayes classification method is defined as follows:

$$d_i(X) = \ln p(\omega_i) - \frac{1}{2} \ln |\Sigma_i| - \frac{1}{2} (X - \mu_i)^T \Sigma_i^{-1} (X - \mu_i), \quad i = 1, 2, \dots, N. \quad (2)$$

A pixel with feature vector X is assigned to the i -th class if $d_i(X)$ is the highest of all class-dependent discriminant functions; that is,

$$d_i(X) > d_j(X), \text{ for every } j \neq i. \quad (3)$$

The work of LULC classification by using multispectral remote sensing images can be perceived as the partitioning of a k -dimensional feature space into different regions associated with different LULC classes. Pixels with equal values of discriminant functions form the class boundaries in the feature space. An example of the three-class partitioning of a two-dimensional feature space by using the Bayes classification method is illustrated in Figure 4. The classification features of the individual classes in Figure 4a,b, are assumed to follow bivariate Gaussian distributions with the parameters listed in Table 2.

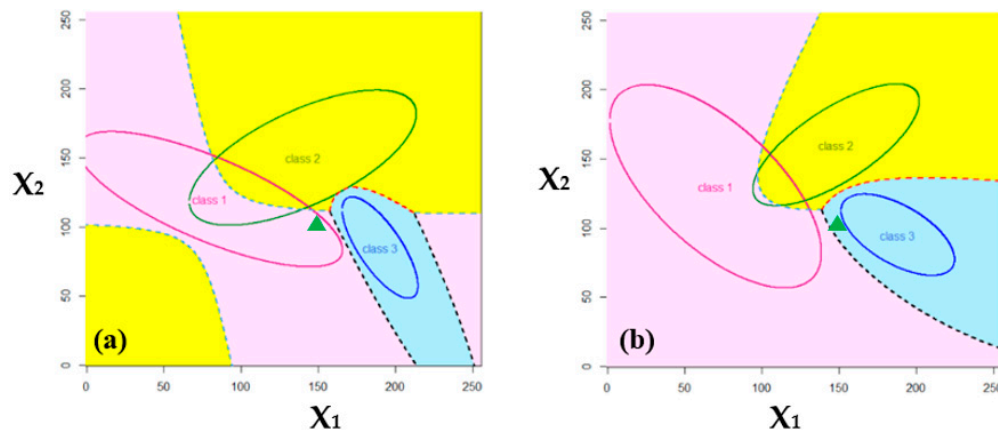


Figure 4. Exemplary illustration of the three-class partitioning of a two-dimensional feature space derived from the Bayes classification method. The classification features (X_1 and X_2) of individual classes in Panels (a) and (b) are characterized by multivariate Gaussian distributions with the parameters listed in Table 2. The ellipses represent the 95% probability contours of individual classes, and the dashed lines are the boundaries of different classes. Regions belonging to different classes are shown in different colors. A sample point (marked by \blacktriangle) is classified into different classes under different distribution parameters.

Changes in the parameters of individual classes result in changes in the class boundaries, as shown in Figure 4. In LULC classification, the parameters of the multivariate Gaussian distributions of the individual classes were estimated from the training data. Thus, uncertainty in the selection of the training data (i.e., training data uncertainty) leads to parameter uncertainty in the multivariate Gaussian distribution, which inevitably gives rise to the uncertainty in the classification results of the training data as well as all other non-training pixels in the study area. In this study, the bootstrap resampling technique was used to tackle the problem of training data uncertainty.

Table 2. Parameters of the bivariate Gaussian distributions of the individual classes in Figure 4.

	Parameters for Figure 4a			Parameters for Figure 4b		
	Class 1	Class 2	Class 3	Class 1	Class 2	Class 3
Mean vector	$\begin{bmatrix} 80 \\ 120 \end{bmatrix}$	$\begin{bmatrix} 140 \\ 150 \end{bmatrix}$	$\begin{bmatrix} 190 \\ 85 \end{bmatrix}$	$\begin{bmatrix} 70 \\ 130 \end{bmatrix}$	$\begin{bmatrix} 148 \\ 160 \end{bmatrix}$	$\begin{bmatrix} 188 \\ 95 \end{bmatrix}$
Covariance matrix	$\begin{bmatrix} 1225 & -525 \\ -525 & 400 \end{bmatrix}$	$\begin{bmatrix} 900 & 390 \\ 390 & 400 \end{bmatrix}$	$\begin{bmatrix} 100 & -112.5 \\ -112.5 & 225 \end{bmatrix}$	$\begin{bmatrix} 784 & -546 \\ -546 & 900 \end{bmatrix}$	$\begin{bmatrix} 484 & 285.1 \\ 285.1 & 324 \end{bmatrix}$	$\begin{bmatrix} 225 & -108 \\ -108 & 144 \end{bmatrix}$
A priori probability	0.25	0.45	0.3	0.25	0.45	0.3

3.2. Bootstrap Resampling and Its Application to Multispectral Remote Sensing Images

Bootstrapping, which was first introduced by Efron [37], is a statistical technique of generating random samples and estimating the distribution of an estimator of a population by sampling with replacement from a random sample or a model estimated from a random sample of that population. It amounts to treating the data as if they were the population for the purpose of evaluating the distribution of interest. Bootstrapping provides a means to substitute computation for mathematical analysis when calculating the asymptotic distribution of an estimator or statistic is difficult [38]. Bootstrap resampling has been applied to LULC classification using remote sensing images to improve the characterization of classification errors, determine the uncertainty resulting from sample site variability, and calculate the confidence limits of classification errors [39].

Let X_1, \dots, X_n be a random sample of size n from a probability distribution whose cumulative distribution function (CDF) is F_0 . The empirical CDF of X_1, \dots, X_n is denoted as F_n . Let F_0 belong to a finite- or infinite-dimensional family of distribution functions, \mathcal{F} . If \mathcal{F} is a finite-dimensional family indexed by the parameter θ , whose population value is θ_0 , we write $F_0(x, \theta_0)$ for $P(X \leq x)$ and $F(x, \theta)$ for a general member of the parametric family. Let $T_n = t(X_1, \dots, X_n)$ be a statistic and $G_n(\tau, F_0) \equiv P(T_n \leq \tau)$ denote the exact, finite-sample CDF of T_n . In addition, let $G_n(\cdot, F)$ denote the exact CDF of T_n when the data are sampled from the distribution whose CDF is F . The bootstrap estimator of $G_n(\cdot, F_0)$ is $G_n(\cdot, F_n)$ which can be estimated through the following Monte Carlo simulation procedure, in which random samples are drawn from X_1, \dots, X_n [38]:

1. Generate a bootstrap sample of size n , X_1^*, \dots, X_n^* by sampling with replacement from the random sample X_1, \dots, X_n . Note that using an asterisk to indicate bootstrap samples is customary.
2. Calculate $T_n^* = t(X_1^*, \dots, X_n^*)$.
3. Repeat Steps 1 and 2 many times and use the resultant T_n^{*i} , $i = 1, \dots, B$ to derive the empirical CDF of T_n^* ; that is,

$$G_n(\tau, F_n) = P(T_n^* \leq \tau). \quad (4)$$

When the bootstrap resampling technique is applied to remote sensing LULC classification, the training data of a particular LULC class are considered as the original sample X_1, \dots, X_n , and the bootstrap samples $(X^*)_j = (X_1^*, \dots, X_n^*)_j$ ($j = 1, \dots, B$) are generated by resampling from X_1, \dots, X_n . This process is detailed as follows.

Suppose N land-cover classes (ω_i , $i = 1, \dots, N$) are present in the study area. Let $S_1^{(i)}, \dots, S_{n_i}^{(i)}$, $i = 1, \dots, N$ represent the training pixels of the i -th class, where n_i ($i = 1, \dots, N$) is the number of training pixels. Each training pixel, for example, $S_1^{(i)}$, corresponds to a k -dimensional feature vector $X_1^{(i)} = (X_1, \dots, X_k)_1^{(i)}$. The following simulation and calculation steps are performed to generate multispectral and multiclass bootstrap training samples:

1. Obtain the bootstrap training samples $S_1^{*(i)}, \dots, S_{n_i}^{*(i)}$, $i=1, \dots, N$ by sampling with replacement from the original training samples of the individual land-cover classes (i.e., $S_1^{(i)}, \dots, S_{n_i}^{(i)}$, $i=1, \dots, N$).
2. Collect the corresponding multispectral feature vectors $X^{*(i)} = (X_1^{*(i)}, \dots, X_{n_i}^{*(i)})$, $i=1, \dots, N$ with $X_1^{*(i)} = (X_1, \dots, X_k)_1^{*(i)}$. Note that $X^{*(i)}$, $i=1, \dots, N$ represents feature vectors of one set of multispectral and multiclass bootstrap training samples.
3. Repeat Steps 1 and 2 to obtain B sets of multispectral and multiclass feature vectors of bootstrap training samples; that is, $(X^{*(i)})_j = (X_1^{*(i)}, \dots, X_{n_i}^{*(i)})_j$, $i=1, \dots, N$; $j=1, \dots, B$.
4. Estimate the parameters of the multivariate Gaussian distribution for every set of multispectral and multiclass feature vectors of the bootstrap training samples. Let estimates of the mean vector and covariance matrix of the multispectral and multiclass feature vectors be represented by $(\hat{\mu}^{*(i)})_j$ and $(\hat{\Sigma}^{*(i)})_j$, $i=1, \dots, N$; $j=1, \dots, B$, respectively.
5. For every set of multispectral and multiclass bootstrap training samples, calculate the class-dependent discriminant functions (Equation (2)) of the individual land-cover classes by using the parameters estimates from Step 4 and perform LULC classification for all pixels in the study area. Note that all bootstrap training samples are associated with known LULC classes and are treated as training data in the bootstrap-sample-based LULC classification. However, in contrast to the original training samples, these bootstrap samples are not associated with specific geographic locations in the study area.

A schematic diagram of the aforementioned bootstrap resampling and classification procedures is depicted in Figure 5. Notably, by using B sets of bootstrap training samples for LULC classification, we can assess not only the uncertainty in the classification of the bootstrap training samples, but also the uncertainty in the class assignment of individual pixels in the study area.

3.3. Assessing Classification Uncertainty by using Bootstrap Samples

The classification accuracy of the training data can be evaluated by using the training-data-based confusion matrix. In a confusion matrix, the class-dependent producer's accuracy (PA) and user's accuracy (UA), and the overall accuracy (OA) are presented. However, the training-data-based confusion matrix can assess only the classification accuracy (or errors) of the training data. Furthermore, studies have also evaluated classification accuracy by applying decision rules derived from training data to an independent set of reference data. For such applications, reference-data-based confusion matrices have been established to evaluate the classification accuracy of the reference data. When only one set or a limited number of sets of reference data are used, the reference-data-based confusion matrices are unlikely to represent the classification accuracy of the entire study area. In light of the uncertainties, several questions that require consideration in remote sensing LULC classification are as follows:

- What is the probability that a pixel that is randomly and equally likely to be selected from the set of all pixels in the study area is correctly classified? This probability is referred to as the *global* OA (as opposed to the OA of the training data set).
- Let the set of all pixels that are assigned to the i -th class be denoted as $S_A^{(i)}$. What is the probability that a pixel that is randomly and equally likely to be selected from $S_A^{(i)}$ is correctly classified? This probability is referred to as the class-specific *global* UA.
- For any specific pixel in the study area, what are the probabilities of that pixel being classified into individual LULC classes when various sources of uncertainty are considered? These probabilities are referred to as the pixel-specific (or location-specific) class probabilities.

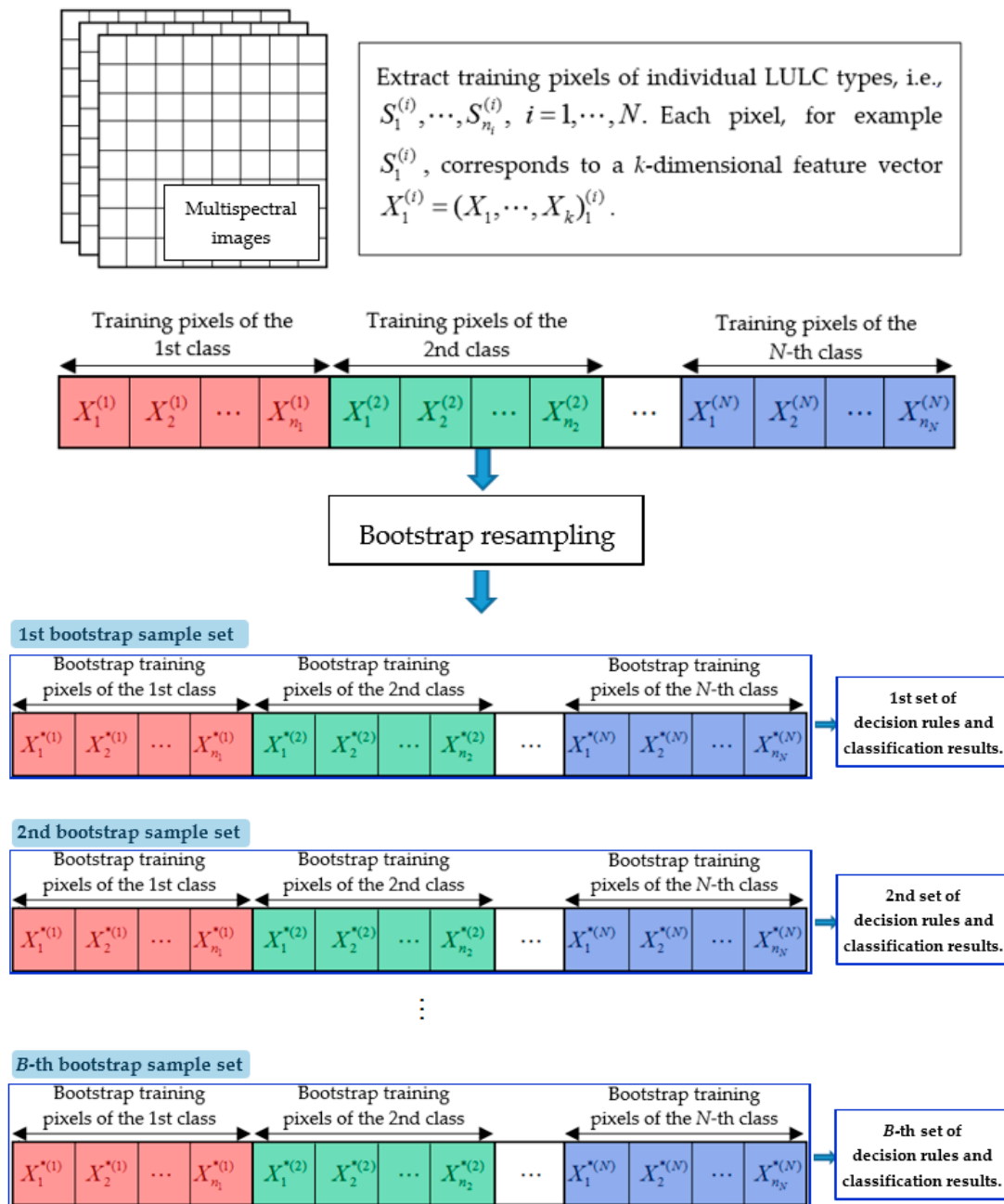


Figure 5. Schematic of bootstrap resampling of the training samples and its application to multispectral and multiclass LULC classification.

Estimating these probabilities is complex when all of the sources of uncertainty addressed in the Introduction require consideration. These probabilities cannot be exactly known, and we can estimate them only according to the classification results derived from the training data set. In this study, we focused on estimating these probabilities by considering only the training data uncertainty. A bootstrap-resampling-based approach is proposed in this study. The details of the approach are as follows:

1. Determine the a priori probabilities of individual LULC classes; that is, $p(\omega_i)$ ($i = 1, \dots, N$). These probabilities are estimated on the basis of ancillary data or the investigator's knowledge of the study area.
2. Collect training data of individual LULC classes. The proportions of training pixels of the individual LULC classes in the training data set should be consistent with the a priori probabilities of the individual LULC classes for the training-data-based classification accuracy

- and uncertainty to be representative of the entire study area or be considered estimates of the classification accuracy and uncertainty for the entire study area.
- Conduct bootstrap resampling to obtain B sets of bootstrap training samples.
 - For each set of the bootstrap training samples, determine the Bayes classification decision rules of the individual LULC classes and conduct LULC classification for the entire study area. Subsequently, establish the corresponding bootstrap-training-sample-based confusion matrices. Because bootstrap samples have different distribution parameter estimates and class-dependent discriminant functions, their confusion matrices vary among different bootstrap samples, enabling the assessment of the uncertainty in the classification accuracy.
 - For any pixel in the study area, calculate the frequency it is assigned to an individual LULC class. Let $b(i)$ represent the frequency that a particular pixel is assigned to ω_i ($i=1, \dots, N$);

then, its class probability vector (CPV) is defined as $P_{\omega} = \begin{pmatrix} p_{\omega_1} \\ \vdots \\ p_{\omega_N} \end{pmatrix} = \begin{pmatrix} b(1)/B \\ \vdots \\ b(N)/B \end{pmatrix}$. The

pixel-specific CPV represents the probabilities that a pixel will be assigned to individual LULC classes (i.e., pixel-specific class probabilities). These probabilities can then be used to characterize the location-specific classification uncertainty and generate a set of class-probability images.

- Reclassify the study area by assigning individual pixels to the class of the highest class probability. In this study, this process is referred to as *bootstrap-based LULC reclassification*.
- Identify unclassified pixels by using the predetermined threshold p_{\max}^* (for example, $p_{\max}^* = 0.9$) for the highest class probability. A pixel with class probabilities $P_{\omega}^T = \left(\frac{b(1)}{B}, \dots, \frac{b(N)}{B} \right)$ is identified as unclassified if $p_{\max} = \max \left(\frac{b(1)}{B}, \dots, \frac{b(N)}{B} \right) < p_{\max}^*$.

An analytical flowchart of the proposed LULC classification by using bootstrap-based LULC reclassification is depicted in Figure 6.

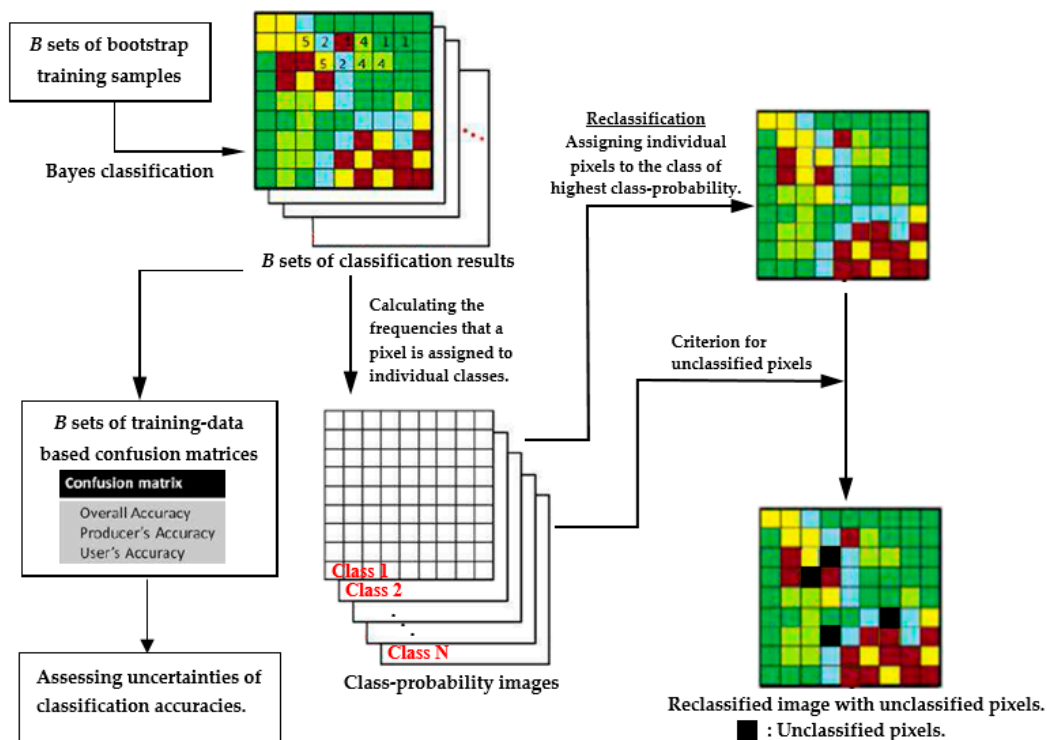


Figure 6. Flowchart of the bootstrap-based LULC reclassification approach.

4. Results and Discussion

4.1. LULC Classification Results Based on the Original Training Data Set

Derived from the original training data set (Table 1), the training-data-based confusion matrix and Bayes LULC classification results of the study area are shown in Table 3 and Figure 7a, respectively. Misclassifications primarily occurred between the forest and grass land-cover classes and between the buildings and roads land-cover classes. In particular, a significant portion (approximately 23%) of the pixels of the buildings class were misclassified into the roads class, whereas only 7.5% of the pixels of the roads class were misclassified into the buildings class.

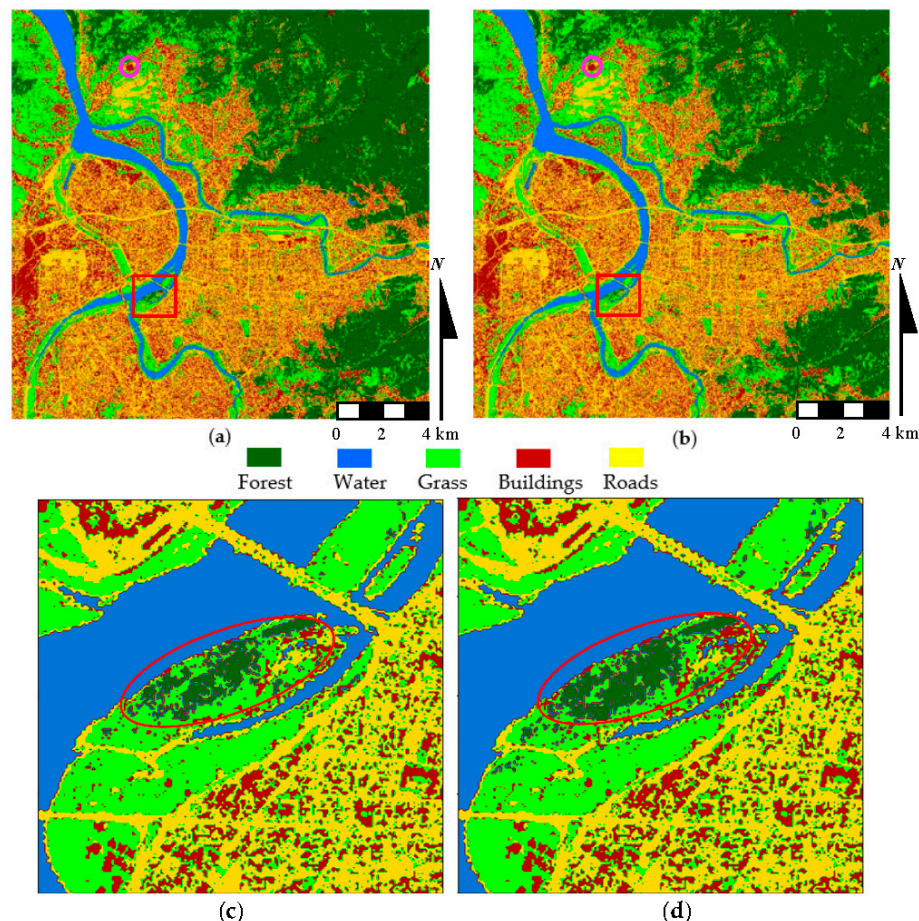


Figure 7. LULC classification results: (a) based on the original training data set; and (b) based on the bootstrap training data sets and the highest class probability. The purple-circled area is the main structure of the Beitou Depot of the Taipei MRT (see Section 4.2.3). (c,d) Magnified images of the red-square-enclosed areas in Panels (a) and (b), respectively.

Table 3. Confusion matrix of LULC classification by using the original training data set.

Assigned Classes	Referenced Classes						User's Accuracy (%)
	Forest	Water	Buildings	Grass	Roads	Sum	
Forest	6676	0	1	167	0	6844	97.55
Water	0	2763	1	0	0	2764	99.96
Buildings	2	3	4595	19	225	4844	94.86
Grass	327	0	28	2259	49	2663	84.83
Roads	0	5	1331	0	3650	4986	73.20
Sum	7005	2771	5956	2445	3824	22,101	
Producer's accuracy (%)	95.30	99.71	77.15	92.39	93.02		Overall accuracy 90.24

4.2. Bootstrap-Based LULC Reclassification Results

Bootstrap resampling of the original training data set was implemented in this study, yielding B sets of bootstrap training samples. As illustrated in Figure 6, the Bayes LULC classification results vary among the bootstrap training data sets. Because the uncertainty of classification accuracy was evaluated on the basis of B sets of bootstrap-training-data-based confusion matrices, we investigated the effect of the number of bootstrap samples (B) on the uncertainty in the LULC classification accuracy. We repeatedly generated bootstrap training data sets with the total number of bootstrap samples; specifically, B varied from 10 to 1000 in increments of 10. On the basis of B sets of training-data-based confusion matrices, we calculated the mean and standard deviation for each of the UA, PA, and OA. Figure 8 shows that the mean classification accuracy remains nearly constant, regardless of the value of B . By contrast, the standard deviation of the classification accuracy changes with the number of bootstrap samples for $10 \leq B \leq 400$ but remains approximately constant for $B \geq 500$. These results indicate that, based on our original training data set, at least 500 sets of bootstrap training samples must be used when assessing the uncertainty of classification accuracy. Therefore, the subsequent analysis of the classification accuracy was based on the results obtained from 500 sets of bootstrap training samples, and this is also considered in the discussion of the classification results and uncertainty assessment.

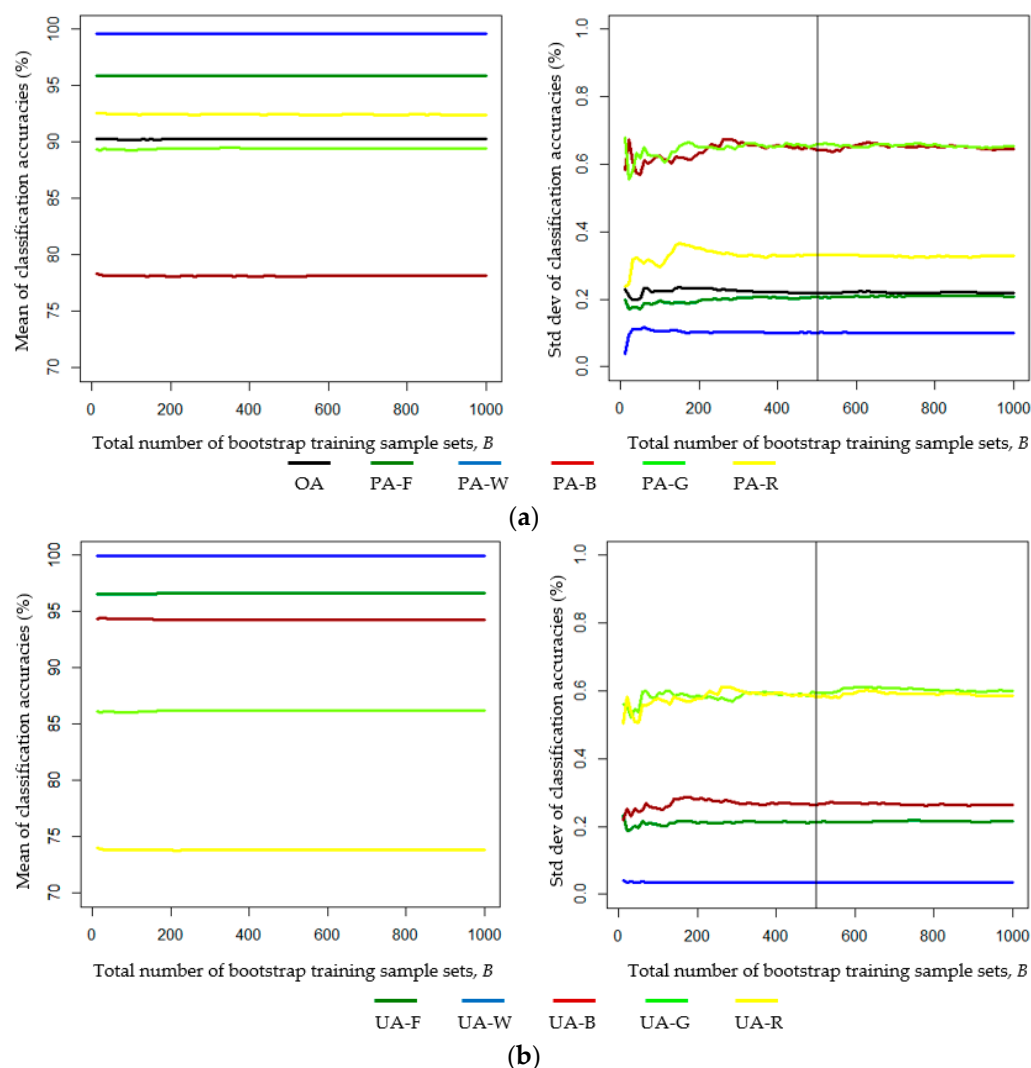


Figure 8. Means and standard deviations of the classification accuracy values derived from multiple sets of bootstrap training samples and their corresponding confusion matrices: (a) Overall accuracy (OA) and producer's accuracy (PA); and (b) User's accuracy (UA). Note: The letters F, W, B, G, and R represent forest, water, buildings, grass, and roads, respectively.

4.2.1. LULC Reclassification and Uncertainty of the Classification Accuracy

Bayes LULC classification by using 500 sets of bootstrap training samples yielded a total of 500 confusion matrices. The variations of PA and UA are depicted in Figure 9. Both the forest and water LULC classes were associated with high (>95%) classification accuracy and lower uncertainty in PA and UA because of their highly concentrated feature values in the feature space (see Figure 3). By contrast, the feature values of the grass, building, and roads classes were more scattered in the feature space and, therefore, were associated with higher uncertainty in PA and UA. Generally, under the training data uncertainty, the PA and UA of individual LULC classes in our study do not vary by more than 5%. The OA of the 500 sets of confusion matrices varied within only a very small range (89.52%–90.88%). Assuming that the proportions of the training pixels of individual LULC classes are consistent with the a priori probabilities of the individual LULC classes, the global OA and the class-specific global UA can be estimated using the mean values of the OA and class-specific UA of the 500 bootstrap-training-samples-based confusion matrices, respectively. In this study, the global OA was estimated as 90.25%, and the class-specific global UAs of the forest, water, buildings, grass, and roads were estimated as 96.62%, 99.96%, 94.30%, 86.20%, and 73.81%, respectively.

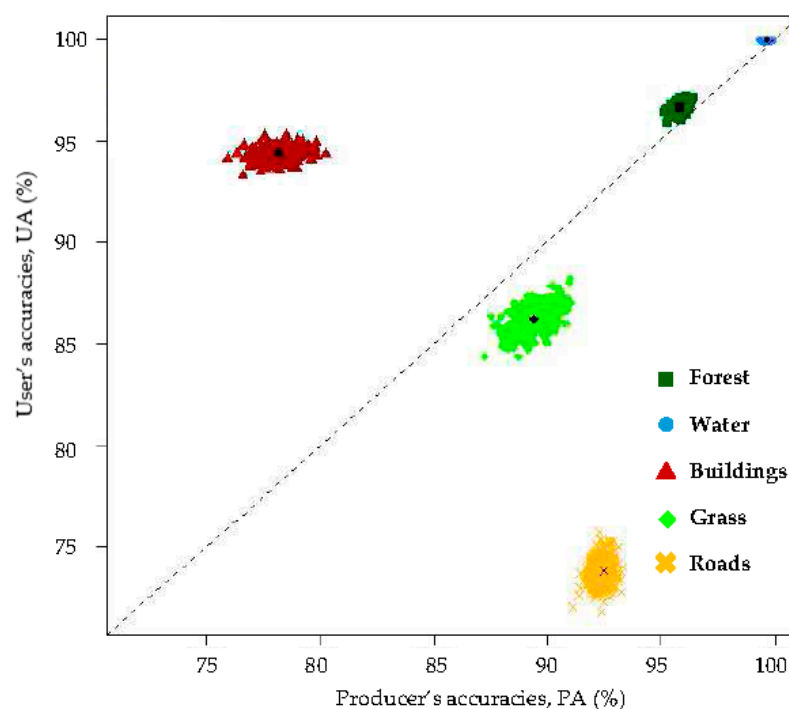


Figure 9. Uncertainties of the producer's and user's classification accuracies based on the Bayes LULC classification results derived from 500 sets of bootstrap training samples.

LULC reclassification is achieved by assigning individual pixels to classes with the highest class probability. The reclassification results (Figure 7b) are visually indistinguishable from the original classification results (Figure 7a). However, differences can be observed in Figure 7c,d, which shows magnified images of the red-square-enclosed areas in Figure 7a,b, respectively. Table 4 shows that areal coverage in the original classification and reclassification results differ by 5.33 km² and 4.79 km² for the buildings and grass classes, respectively. Areal percentages of the individual LULC classes in the original classification and reclassification results are nearly identical, and the a priori probabilities of the forest, water, buildings, grass, and roads classes are estimated as 26.41%, 4.53%, 24.89%, 20.05%, and 24.13%, respectively. However, the corresponding proportions (31.70%, 12.54%, 26.95%, 11.06%, and 17.75%, respectively) of training pixels of the individual LULC classes in the original training data set are not completely consistent with these estimates of the a priori probabilities. The effect of such inconsistency is further discussed in the following section.

Table 4. Comparison of pixel numbers and areal coverages of the individual LULC classes obtained using original classification and reclassification.

	Forest	Water	Building	Grass	Roads
<i>Original classification</i>					
No. of pixels	965,039	163,544	927,127	701,231	860,663
Areal percentage	26.68	4.52	25.63	19.38	23.79
<i>Reclassification</i>					
No. of pixels	945,607	164,173	873,766	749,180	884,878
Areal percentage	26.14	4.54	24.15	20.71	24.46
<i>Areal Coverage difference (in km²)</i>	1.9432	−0.0629	5.3361	−4.7949	−2.4215

In a confusion matrix, the class-specific PA and UA, and OA are presented and used to evaluate the LULC classification results. Among these three types of classification accuracy, the PA of a given class is calculated solely on the basis of training pixels of that class. The numbers of training pixels in other classes and their classification do not affect the PA of a given class. By contrast, calculations of the class-specific UA and OA involve the numbers of training pixels that are assigned to all individual classes. Consequently, changing the proportions of the training pixels of individual LULC classes affects the UA and the OA. For example, the training pixels of the buildings and roads LULC classes respectively account for 27% and 17% of all pixels in the training data (see Table 1). Approximately 30% (1331/4595) of the training pixels of the buildings class were misclassified into the roads class, and approximately 93% of the training pixels of the roads class were correctly classified (Table 3), resulting in 73.20% UA for the roads class. Suppose that the proportions of the training pixels of the buildings and roads LULC classes in the original training data set were changed to 32% and 12%, respectively, and the estimated parameters of the multivariate Gaussian distributions of the individual LULC classes remain the same. Under this situation, we can expect that approximately 30% of the training pixels of the buildings class would be misclassified into the roads class, and 93% of the training pixels of the roads class would be correctly classified. However, the UA of the roads class would decrease to below 73.20% because a higher number of pixels from the buildings class would be misclassified into the roads class, and the number of correctly classified pixels of the roads class would be low because of changes in the proportions of training pixels of the buildings and roads classes.

A comparison of the estimates of the class-specific a priori probabilities and the proportions of class-specific training samples in Table 1 reveals that the forest and water classes were given an excess number of training pixels (*overrepresented*), whereas the grass and roads classes were given insufficient training pixels (*underrepresented*) in the original training data set. The buildings class was adequately represented in the original training data set. The confusion matrix in Table 3 shows that the pixels that were misclassified into the grass class primarily belong to the forest class. Because the forest and grass LULC classes were respectively over- and underrepresented in the original training data set, we expect that the UA of the grass class (84.83%) in the training-data-based confusion matrix and the global UA of the grass class (86.20%) were underestimated. Similarly, most of the pixels that were misclassified into the roads class actually belong to the buildings class. The roads class was underrepresented in the original training data set, and thus the UA of the roads class (73.20%) in the training-data-based confusion matrix and the global UA of the roads class (73.81%) were also likely to be underestimated.

4.2.2. Pixel-Specific Classification Uncertainty and Identification of Unclassified Pixels

In this study, the pixel-specific CPV was used to characterize the location-specific classification uncertainty. Various measures of classification uncertainty for remote sensing LULC classification have been proposed [40,41]. In the present study, two measures (i.e., the Shannon entropy and $1 - p_{\max}$) were adopted.

The maximum class probability, p_{\max} , in the CPV of a pixel is used for LULC reclassification. The higher the p_{\max} , the lower the uncertainty in assigning a pixel to the class of the highest class probability. Thus, $1 - p_{\max}$ indicates possible confusion with other classes. However, the uncertainty measure based on p_{\max} fails to capture the entire distribution of the class probabilities because it considers only the highest class probability in the CPV [41]. By contrast, the Shannon entropy considers all class probabilities and is defined as follows:

$$H = -\sum_{i=1}^N (p_{\omega_i} \ln p_{\omega_i}). \quad (5)$$

The entropy can assume a maximum value of $\ln N$ if all classes have the same class probabilities. A pixel with $p_{\max} = 1$ is associated with zero entropy.

Empirical CDFs of the pixel-specific maximum class probability and entropy are shown in Figure 10. Approximately 93% of the pixels in the study area have $p_{\max} = 1$ and Shannon entropy $H = 0$, indicating that using different bootstrap training samples in LULC classification affected the classification results of only 7% of the pixels in the study area. A pixel with zero entropy is always classified into the same LULC class, regardless of the training data uncertainty. However, having zero entropy does not necessarily indicate that the pixel is correctly classified.

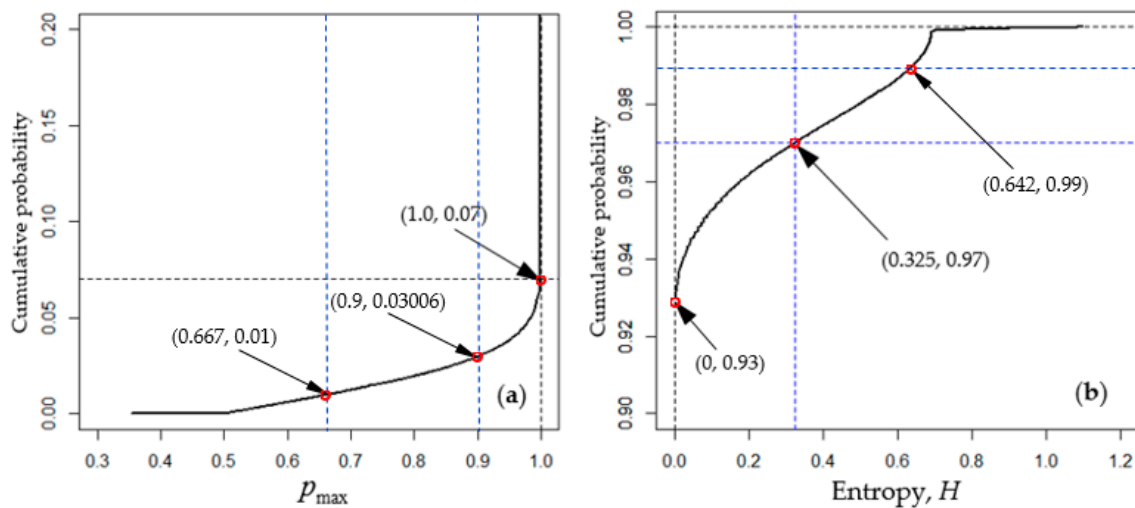


Figure 10. Empirical cumulative distribution functions of: (a) the maximum class probability (p_{\max}); and (b) the Shannon entropy (H).

Pixels of higher classification uncertainty can be identified using the predetermined threshold values p_{\max}^* and H^* of the maximum class probability and the Shannon entropy, respectively. When the identification of unclassified pixels is desired, these pixels of higher uncertainty can be considered unclassified pixels. Threshold values p_{\max}^* and H^* are associated with a specified cutoff probability p_c (which represents the exceedance probability for H^* and the cumulative probability for p_{\max}^*); that is,

$$\text{Prob}(p_{\max} < p_{\max}^*) = p_c \quad (6)$$

$$\text{Prob}(H > H^*) = p_c \quad (7)$$

Figure 10 shows that at a 3% cutoff probability ($p_c = 0.03$), the values of p_{\max}^* and H^* are 0.9 and 0.325, respectively. Similarly, for $p_c = 0.01$, p_{\max}^* and H^* are 0.667 and 0.642, respectively. All pixels with a Shannon entropy exceeding H^* or with p_{\max} value lower than p_{\max}^* are designated as unclassified pixels. The two sets of unclassified pixels, identified by p_{\max}^* and H^* , respectively, are not identical because a single-value relationship between p_{\max} and H does not exist, as depicted in Figure 11. However, a single-value monotonic relationship exists between p_{\max} and the minimum conditional entropy, that is, $\min(H|p_{\max})$, as depicted by the red curve in Figure 11. The $p_{\max} \sim \min(H|p_{\max})$ single-value relationship is expressed as follows:

$$\min(H|p_{\max}) = \begin{cases} -p_{\max} \ln p_{\max} - (1-p_{\max}) \ln(1-p_{\max}), & 0.5 \leq p_{\max} < 1 \\ -2p_{\max} \ln p_{\max} - (1-2p_{\max}) \ln(1-2p_{\max}), & \frac{1}{3} \leq p_{\max} < 0.5 \end{cases} \quad (8)$$

The values of $\min(H|p_{\max})$ and H^* are similar for $\frac{1}{3} \leq p_{\max} \leq 1$. For example, given $p_{\max} = 0.667$, the corresponding values of $\min(H|p_{\max})$ and H^* are 0.636 and 0.642, respectively. Thus, in practice, substituting $\min(H|p_{\max})$ for H^* may be convenient, and the corresponding two sets of unclassified pixels, p_{\max}^* and $\min(H|p_{\max})$, are associated with similar exceedance (or cumulative) probability p_c . Notably, such unclassified pixels mostly fall near the class boundaries in the feature space and are thus referred to as unclassified pixels identified using the equal-likelihood technique (see Figure 1).

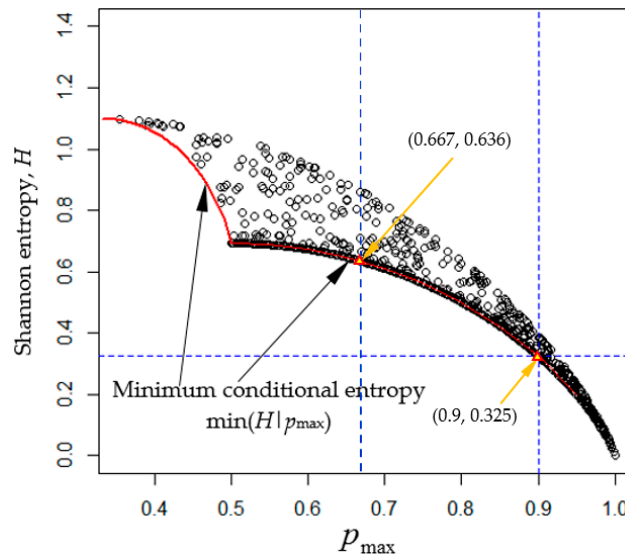


Figure 11. Relationship between the maximum class probability p_{\max} and Shannon entropy H .

4.2.3. Comparison of Unclassified Pixels Identified Using the Chi-square Threshold Technique and Equal-Likelihood Technique

In addition to the equal-likelihood technique, unclassified pixels can also be identified using the following chi-square threshold technique. In this section, we compare the characteristics of unclassified pixels identified using the two methods.

Let $X = (x_1, \dots, x_k)^T$ be the k -dimensional feature vector of a pixel that has been assigned through Bayes classification to a particular land-cover class (e.g., ω_i). Assuming the feature vector

X can be characterized by a multivariate Gaussian distribution, the well-known Hotelling's T^2 statistic is defined as follows:

$$T^2 = (X - m_i)^T S_i^{-1} (X - m_i) \quad (9)$$

where m_i and S_i are, respectively, the sample mean vector and sample covariance matrix of X . Hotelling's T^2 is distributed as a multiple of an F -distribution. However, if m_i and S_i are calculated based on random samples of a large sample size (i.e., sample size of the training data in our study), then Hotelling's T^2 can be approximated by a chi-square distribution with k degrees of freedom [42]. The chi-square threshold technique for identifying unclassified pixels can thus be implemented by choosing a threshold value v_c , which corresponds to an exceedance probability p_c of the chi-square distribution with k degrees of freedom. A value of 0.05 is commonly used for the exceedance probability p_c . In this study, digital numbers of three channels (green, red, and NIR) of the ALOS images were selected as classification features; thus, $v_c = 7.815$. Pixels with T^2 values exceeding v_c fall in the tail of the multivariate Gaussian distribution and are identified as unclassified pixels. In contrast to the equal-likelihood technique, the chi-square threshold technique identifies unclassified pixels without considering possible confusion between land-cover classes.

Unclassified pixels identified using the equal-likelihood technique with $p_{\max}^* = 0.9$ and those identified using the chi-square threshold technique with $p_c = 0.05$ are shown in Figure 12. Spatial distribution patterns of unclassified pixels identified using the two techniques differ considerably. Unclassified pixels identified using the equal-likelihood technique, which account for 3% of the total study area, are widely scattered and mostly fall on or near the boundaries of different land-cover types. By contrast, unclassified pixels identified using the chi-square threshold technique are mostly clustered, forming geometric shapes and accounting for approximately 4.5% of the entire study area. Differences in these spatial distribution patterns can be attributed to the characteristics of the two unclassified pixel identification techniques; specifically, the equal-likelihood technique identifies pixels of higher classification uncertainty (confusion between LULC classes), whereas the chi-square threshold technique identifies pixels that are outliers of the assigned LULC class. To illustrate these characteristics more clearly, scatterplots shown in Figures 13 and 14 respectively show pixels assigned to individual LULC classes and unclassified pixels identified using the chi-square threshold and equal-likelihood techniques in a three-dimensional feature space. Unclassified pixels identified using the chi-square threshold technique are far from centers of the individual classes, whereas the unclassified pixels identified using the equal-likelihood technique lie on or near the layers of the class boundaries. Taking the Beitou Depot of the Taipei MRT (purple-circled area in Figures 2, 7 and 12) as an example, the pixels of its main structure fall in the circled area of the feature space in Figures 13 and 14. Although the feature vectors of these pixels represent outliers of the multivariate Gaussian distribution of the buildings class, it is unlikely that they would be classified into other classes, regardless of the classification method used. Thus, identifying these pixels as unclassified may undermine using the chi-square threshold to define pixels that have a higher probability of misclassification. By contrast, the equal-likelihood technique did not identify pixels of the Beitou Depot as unclassified because they all had p_{\max} values exceeding 0.9 and were associated with very low classification uncertainty.

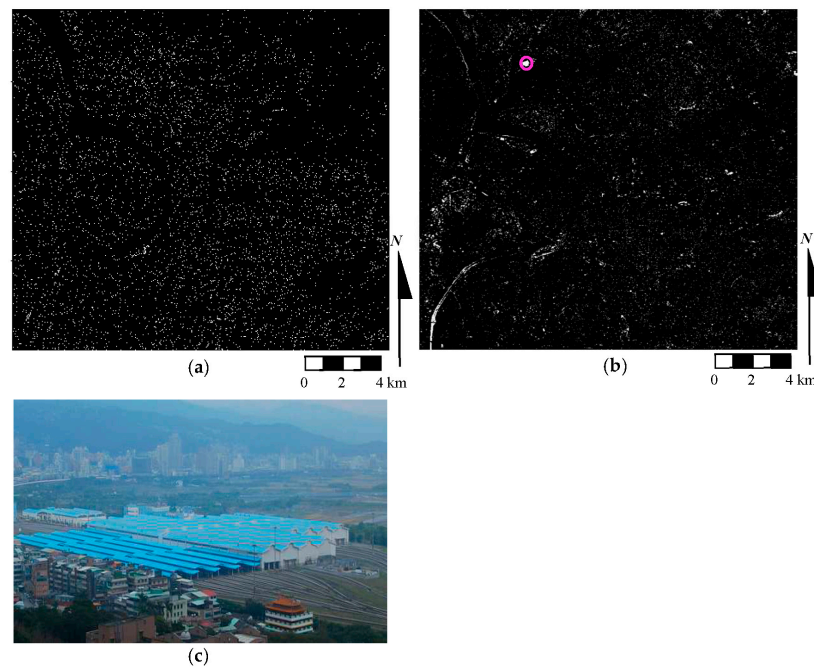


Figure 12. (a) Unclassified pixels (white) identified using the equal-likelihood technique with $p_{\max}^* = 0.9$; (b) unclassified pixels identified using the chi-square threshold technique with $p_c = 0.05$; and (c) the Beitou Depot of the Taipei MRT (i.e., the purple-circled area in (b)) (source: <https://zh.wikipedia.org/wiki/%E5%8C%97%E6%8A%95%E6%A9%9F%E5%BB%A0>).

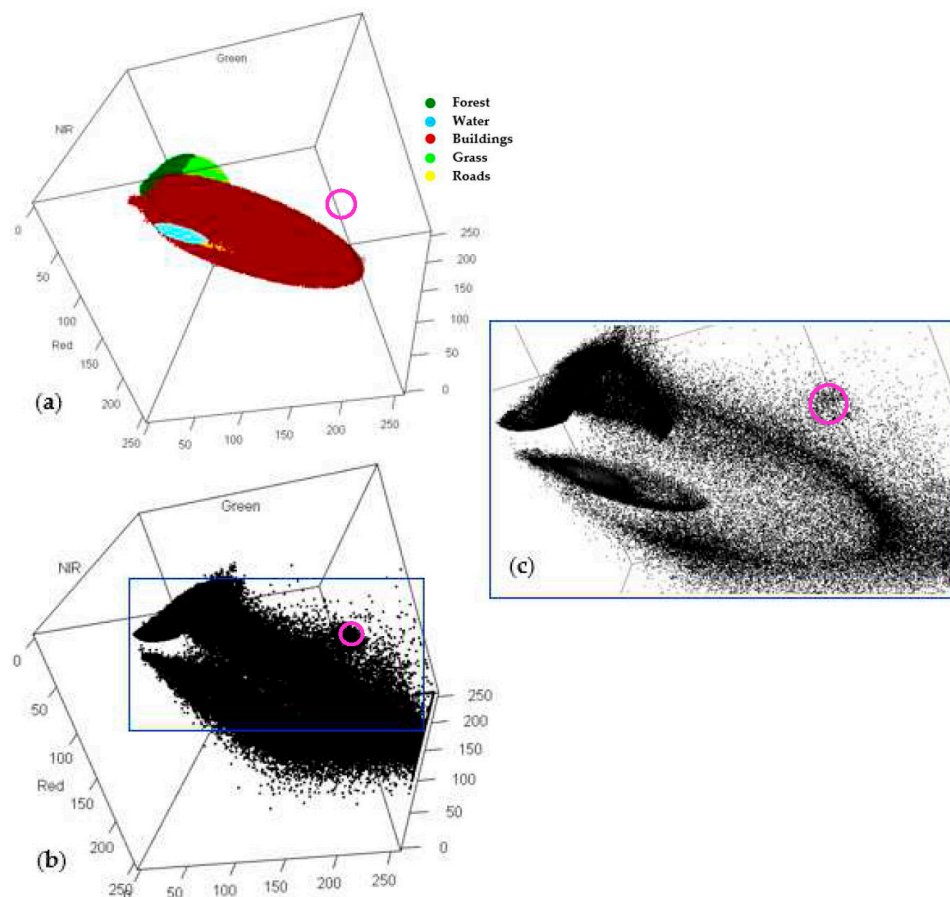


Figure 13. Three-dimensional scatterplots showing: (a) pixels assigned to individual LULC classes (excluding unclassified pixels) by the Bayes classification method; (b) unclassified pixels identified using the Bayes classification method; and (c) a zoomed-in view of the unclassified pixels.

the chi-square threshold technique with $p_c = 0.05$; and (c) details of the blue box in (b). Pixels of the Beitou Depot of the Taipei MRT (purple-circled area) were identified as unclassified pixels.

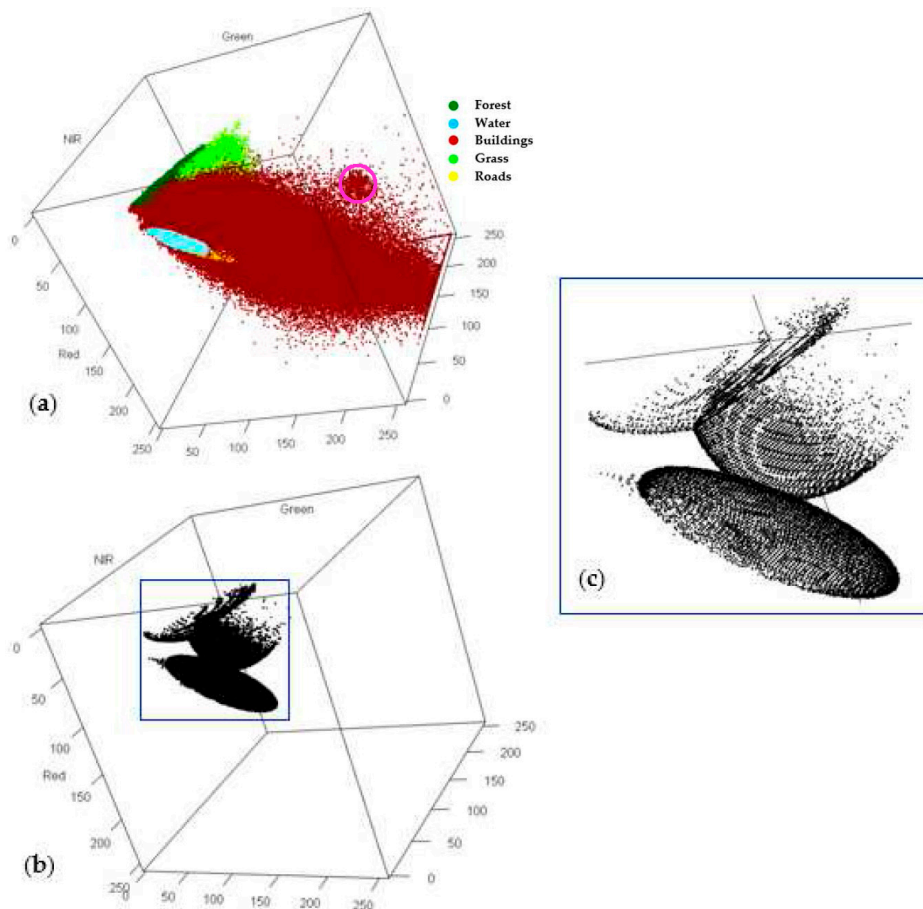


Figure 14. Three-dimensional scatterplots showing: (a) pixels assigned to individual LULC classes (excluding unclassified pixels) through reclassification; (b) unclassified pixels identified using the equal-likelihood technique with $p_c = 0.05$; and (c) details of the blue box in (b). Pixels of the Beitou Depot of the Taipei MRT (purple-circled area) were classified into the buildings class.

5. Conclusions

This study proposes a nonparametric bootstrap resampling approach for assessing uncertainty in LULC classification results. Two techniques for identifying unclassified pixels were also evaluated. The conclusions are as follows:

1. The bootstrap resampling technique can be used to generate multispectral and multiclass bootstrap training data sets.
2. The proposed bootstrap resampling and reclassification approach can be applied for assessing not only the classification uncertainty of bootstrap training samples, but also the class assignment uncertainty of individual pixels.
3. Investigating the effect of the number of bootstrap samples on uncertainty in LULC classification accuracy is advantageous. In our study, 500 sets of bootstrap training samples were sufficient for assessing the uncertainty in the classification accuracy.
4. From the results of the Bayes LULC classification based on 500 sets of bootstrap training samples, the global OA and the class-specific global UA can be estimated as the mean values of the OA and class-specific UA of the 500 bootstrap-training-samples-based confusion matrices, respectively.
5. Changing the proportions of training pixels of individual LULC classes can affect the UA and the OA. The proportions of training pixels of the individual LULC classes should be consistent

with the class-specific a priori probabilities. Training samples that over- or underrepresent certain LULC classes may result in errors in the accuracy of the global UA and OA estimates.

6. Unclassified pixels identified using the chi-square threshold technique represent the outliers of individual LULC classes but are not necessarily associated with higher classification uncertainty.
7. Unclassified pixels identified using the equal-likelihood technique are associated with higher classification uncertainty and they mostly occur on or near the borders of different land-cover types.

Acknowledgments: We express our gratitude to the Japan Aerospace Exploration Agency for providing the ALOS images through an ALOS Research Agreement (PI-355). We also acknowledge the financial support from a research project grant (NSC 101-2119-M-002-023) from the Ministry of Science and Technology, Taiwan (R.O.C.).

Author Contributions: Ke-Sheng Cheng conceived and designed the research method and framework. Lin-Hsuan Hsiao collected the satellite images and ancillary data, developed computer codes, and conducted the LULC classification. Both authors contributed to the interpretation and evaluation of the results. Ke-Sheng Cheng wrote the paper.

Conflicts of Interest: The authors declare no conflict of interest. The funding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

Abbreviations

The following abbreviations have been used in this manuscript:

LULC	Land-use/land-cover
CPV	Class-probability vector
ALOS	Advanced Land Observing Satellite
NIR	Near infrared
CDF	Cumulative distribution function
UA	User accuracy
PA	Producer accuracy
OA	Overall accuracy

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