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## Article

# Speculating on the Evolutionary Pathway of the Hypothalamus-Pituitary-Thyroid Axis

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**Abstract:** Thyroid hormones are essential for regulating the metabolic rate, growth, development, and maintenance of many physiological processes in vertebrates. They are synthesized by the thyroid gland, which is an ancient organ that evolved early in vertebrate evolution. This study aims to characterize the evolutionary path of polymorphic variants associated with the hypothalamus-pituitary-thyroid (HPT) axis by comparing ancient DNA (aDNA) sequences of Late Pleistocene-Holocene hominin populations with those of modern humans. We evaluated the genetic sequences of several populations, including Neanderthals, Denisovans, Palaeolithic hunter-gatherers from European Russia and Sunghir, and Neolithic hunter-gatherers/farmers from Anatolia focusing on genes involved in the development of the thyroid gland and thyroid hormone (TH) biosynthesis, secretion, and transport. Results showed interesting variants in the *DIO2* (rs225014), *TPO* (rs4927611), and *TG* (rs2069556 and rs1133076) genes. All SNPs seemed to confirm that Neanderthals, and in part Denisovans, were physiologically hypothyroidic. Probably they had lower T3 levels due to defective production or peripheral conversion. From the moment that the most favourable alleles in terms of T3 production appear during the Paleolithic, we are inclined to assume that their selection was linked to environmental pressure. Our study supports insight into the evolutionary history of the endocrine system and can help in providing understanding into the evolution of physiological systems and their adaptation to changing environments. Understanding the evolution of thyroid hormones can also shed light on the mechanisms underlying thyroid disorders in humans.

**Keywords:** thyroid; Neanderthals; denisovas; anatomiccally modern human; hypothyroidism

## Introduction

Thyroid hormones (TH) play an essential role in growth and differentiation, control of metabolism, and other physiological functions in virtually every human tissue. Thyroxine (T4), the major prohormone secreted by the thyroid gland, is largely converted into the active hormone 3,3',5-triiodothyronine (T3) in peripheral tissues by specific enzymes called deiodinases [Deiodinase 1 (DIO1) and Deiodinase 2 (DIO2)] [1]. Circulating TH levels are regulated via a negative feedback mechanism by the hypothalamus-pituitary-thyroid (HPT) axis. Thyrotropin-releasing hormone (TRH), produced by hypothalamus, stimulates anterior pituitary to release thyroid-stimulating hormone (TSH) that, binding TSH receptor (TSHR) expressed on the thyroid follicular cells, stimulates the TH synthesis. In turn, T4 and T3 negatively regulate TSH production [2]. Hypothyroidism and hyperthyroidism are thyroid dysfunctions caused by an increase or decrease of TSH levels with or without decrease or increase of T4 levels, respectively. Hypothyroidism may be due to a number of causes including autoimmune disease, thyroid surgery, iodine deficiency, pituitary disorders and congenital diseases [3]. Congenital hypothyroidism (CH) is a thyroid hormone deficiency present at birth for permanent or transient causes [4]. Permanent CH can be primary, secondary (for TRH resistance or defects in TSH production) or peripheral [for defects in thyroid hormone transport genes, as solute carrier family 16 member 2 (*SLC16A2*) and solute carrier family 16 member 10 (*SLC16A10*), or resistance to TH]. Primary CH is related to conditions of dysgenesis or dyshormonogenesis, caused by alterations in genes involved in the growth and

development of thyroid and in the TH biosynthesis and secretion, respectively [4]. Genes associated with dysgenesis are thyroid transcription factor-1 (*TTF-1*), thyroid transcription factor-2 (*TTF-2*), paired box 8 (*PAX-8*) and *TSHR*, while dyshormonogenesis is associated with gene defects in thyroperoxidase (*TPO*), thyroglobulin (*TG*), dual oxidase 2 (*DUOX2*), sodium-iodide symporter (*NIS*), solute carrier family 5 member 5 (*SLC5A5*), and pendrin (*SLC26A4*) [5,6]. In addition to known mutations, the role of single nucleotide polymorphisms (SNPs) has been also investigated in CH. Different studies reported as polymorphic variants in *TPO*, *TSHR* and *TG* genes can represent a genetic risk factor for dishormonogentic CH [7–10].

SNPs may be the basis of the evolutionary process, since they are heritable and modified by natural selection. During human evolution, interaction with factors such as climatic conditions, nutrients availability, and lifestyle habits have allowed the selection of favourable features [11]. Natural selection has led to a gradual modification of genetic composition of population; individuals who were better adapted passed on their genes, including those conferring these benefits with an increasing frequency [12]. In the process of adaptation, hormones and the endocrine system certainly have played a crucial role. Thanks to genome analysis of ancestral humans [12–17] it is possible to better understand human evolution and deepen the functionality of TH in our ancestors. In a previous work, we showed an evolutionary perspective for the rs225014 (p.Thr92Ala) variant of the *DIO2* gene from Neanderthals to Anatomically Modern Humans (AMH) [18]. In fact, Neanderthals and Denisovans, with a diet characterised by low carbohydrate intake, displayed the alanine amino acid at position 92, associated with a reduced production of T3, while Modern Humans, with a high carbohydrate diet, exhibited threonine that is related to an increased production of T3 [18]. These findings have shown that the *DIO2* rs225014 variant has been positively selected under particular living conditions and food habits. Considering this evidence, we investigated other variants in genes involved in the development of thyroid gland and TH biosynthesis, secretion and transport, comparing ancestral genomes of different human populations with contemporary humans. By studying a larger panel of genes, we will have the possibility to reconstruct with increasing accuracy the changes that TH and endocrine system have undergone in the course of human evolution.

## Methods

For the present study, we analysed genomic and/or the protein sequence data for the *DIO1*, *DIO2*, *DIO3*, *TPO*, *TG*, *DUOX2*, *PAX8*, *SLC5A5*, *SLC16A2*, *SLC16A10* and *TSHR* genes. These data were extracted from publically available genome/exome sequence databases including *H. neanderthalensis*, *H. denisovans* and representatives of Anatomically Modern Humans (AMH) of Palaeolithic and Neolithic. For Neanderthals (<https://projects.ensembl.org/neandertal/>) and Denisovans (<https://www.eva.mpg.de/genetics/genome-projects/denisova/>) we referred to public databases. The Neandertal Genome Project has sequenced six samples: three specimens from the Vindija Cave in Croatia; one individual from Mezmaiskaya in the Altai Mountains (Russia), a fossil found in El Sidron cave in Asturias (Spain) and a little fraction from Neander valley (Germany). The Denisova DNA sequence derived from a phalanx bone excavated from Denisova Cave in the Altai Mountains in southern Siberia. For Palaeolithic, we employed the sequence data of 1 subject from Kostenki 14 in European Russia, dating to 38,700–36,200 years ago, one of the oldest fossils of Anatomically Modern Humans from Europe (PRJEB7618) [19], and 5 individuals from the archaeological site of Sunghir (Russia), dated to about 34.000 BP (PRJEB22592) [20]. The Neolithic population was represented by 9 central Anatolian Neolithic individuals (PRJEB14675) (available sequences from 5 subjects only) [21]. Locations of archaeological sites are depicted in Figure 1. The raw sequence data were downloaded either from the Sequence Read Archive (SRA) [22] of NCBI ([www.ncbi.nlm.nih.gov](http://www.ncbi.nlm.nih.gov)) or from the Department of Evolutionary Genetics ([www.eva.mpg.de](http://www.eva.mpg.de)) and then processed using the SRA toolkit for further analysis. A blast similarity-based search with the ncbi-blast-2.9.0+ program was carried out to extract gene sequences of ancient humans [23].

Allele/genotype frequencies for contemporary humans were obtained from the Ensemble genome browser ([www.ensembl.org](http://www.ensembl.org)). Frequencies in different extant populations were derived from the 1000 Genomes Project ([www.internationalgenome.org](http://www.internationalgenome.org)), the largest public catalogue of human

variation and genotype data, and from The Genome Aggregation Database - gnomAD (<https://gnomad.broadinstitute.org>), a resource that aggregates both exome and genome sequencing data from a wide variety of large-scale sequencing projects.



**Figure 1.** Geographical distribution of archaeological sites.

Principal component analysis (PCA) was used to obtain a dimensionality reduction, increasing the interpretability of data while preserving as much of information as possible, and improving the visualisation of multidimensional data. In this system, each dimension corresponds to a SNP and the genomes of all the individuals are included in the study. The analysis was performed using the web tool ClustVis (<https://biit.cs.ut.ee/clustvis/#mathematics>) [24].

## Results

We analysed several populations belonging to different periods and regions (Figure 1). Sequences from Neanderthal and Denisova databases, Palaeolithic hunter-gatherers from European Russia (n=1) and Sunghir (n=5) and Neolithic hunter-gatherers/farmers from Anatolia (n=5) were analysed for *DIO1*, *DIO2*, *DIO3*, *TPO*, *TG*, *DUOX2*, *PAX8*, *SLC5A5*, *SLC16A2*, *SLC16A10* and *TSHR* genes. For *DIO1*, *DIO3*, *DUOX2*, *PAX8*, *SLC5A5*, *SLC16A2* and *SLC16A10* we did not find any differences in SNPs described to be associated with congenital hypothyroidism, thyroid defects and/or inhibited enzyme activity. On the contrary, for *DIO2*, *TPO*, *TG*, and *TSHR* gene sequences displayed relevant variants. Results from alignments are described below.

### Type II Iodothyronine Deiodinase (DIO2)

We have already reported (18) that Neanderthals and Denisovans displayed only the G allele at the rs225014 SNP (c.274A>G), which encodes for an alanine on the amino acid level. We observed evidence of the AA and heterozygous AG genotypes in the population from Sunghir (Table 1), in

which the presence of the homozygous GG genotype persisted. Thus, threonine as the prevalent amino acid seems to have been established since Palaeolithic (Table 1).

**Table 1.** Results from sequence alignment for *DIO2*, *TPO*, *TG* and *TSHR* genes.

		DI O2		TPO			TG			TSHR			
Project #	Subject #	Life identifi cation	Period styl es	Origini	# indivi duals	rs22 5014	rs2175 977	rs4927 611	rs7326 09	rs2069 556	rs1802 23	rs1133 076	rs1991 517
						A>G	G>C	G>T	A>C	A>G	T>G	G>A	G>C
						p.T9 2A	p.S398 T	p.A25 7S	p.T72 5P	p.T92 A	p.S734 A	p.R253 0Q	p.E727 D
<b>Neande rthal</b>		Neande rthal			GG CC TT CC GG GG			GG CC					
<b>Deniso va</b>		Denisov a			GG n.c. GG AA GG n.c.			AA CC					
<b>PRJEB7 618</b>		Paleoli thic		Euro pean Russi a	1	AA	GC	TT	n.c.	AA	GG	GG n.c.	
<b>PRJEB2 2592</b>		SI Paleoli thic		HG Sung hir	5	AA	n.c.	GG	n.c.	AA	GG	n.c. n.c.	
<b>ERX158 5046</b>		SII Paleoli thic		HG Sung hir	5	AA	CC	GG	n.c.	AA	GG	n.c. GC	
<b>ERX158 5050-</b>		SIII Paleoli thic		HG Sung hir	5	GG	CC	GG	AC	GG	TT	n.c. GC	
<b>PRJEB1 4675</b>		SIV Paleoli thic		HG Sung hir	5	AG	GG	GG	AA	AG	TT	AA CC	
<b>ERR177 9525</b>		SV Paleoli thic		HG Sung hir	5	AA	GG	TT	CC	AA	TT	GG CC	
<b>ERR177 9533</b>		ERR177 Neolit hic		HG/ Anat F olia	9	AG	GC	GT	CC	AG	GG	AA CC	
<b>ERR177 9536-</b>		Neolit hic		HG/ Anat F olia	9	n.c.	n.c.	n.c.	CC	n.c.	TT	n.c. n.c.	
		Neolit hic		HG/ Anat F olia	9	AG	GG	GG	AC	AG	GG	GG CC	
		Neolit hic		HG/ Anat F olia	9	AA	CC	n.c.	AA	AA	GG	GG CC	
		Neolit hic		HG/ Anat F olia	9	n.c.	n.c.	n.c.	n.c.	n.c.	n.c.	n.c. GG	

n.c. not covered.

### Thyroperoxidase (TPO)

*TPO* gene sequence was analysed, and three polymorphisms were found: rs2175977 c.1193G>C (p.Ser398Thr); rs4927611 c.796G>T (p.Ala257Ser) and rs732609 c.2173A>C (p.Thr725Pro). For rs2175977, Neanderthal displayed the CC genotype corresponding to threonine at position 398 (Table 1). The region was not covered in the Denisova database. Both hunter-gathered populations from the

Palaeolithic had GG, CC or GC genotypes. Similar findings were observed for the populations from Neolithic (Table 1). For rs4927611, Neanderthals were homozygous for the rare allele T (corresponding to serine), whereas Denisova were homozygous for the allele G (corresponding to alanine). In the analysed populations, the alanine appeared for the first time in the group of hunter-gatherers from Sunghir during the Paleolithic (Table 1) and confirmed its presence during Neolithic (Table 1). The last SNP, rs732609, was present in the Neanderthal population as CC genotype (proline). On the contrary, Denisova displayed the AA genotype (threonine). During the Palaeolithic, in the hunter-gatherer population from Sunghir we observed a coexistence of AA, CC and AC genotypes. Again, the three genotypes were present in Anatolia during the Neolithic in hunter-gatherer/farmers population.

### Tyreoglobulin (TG)

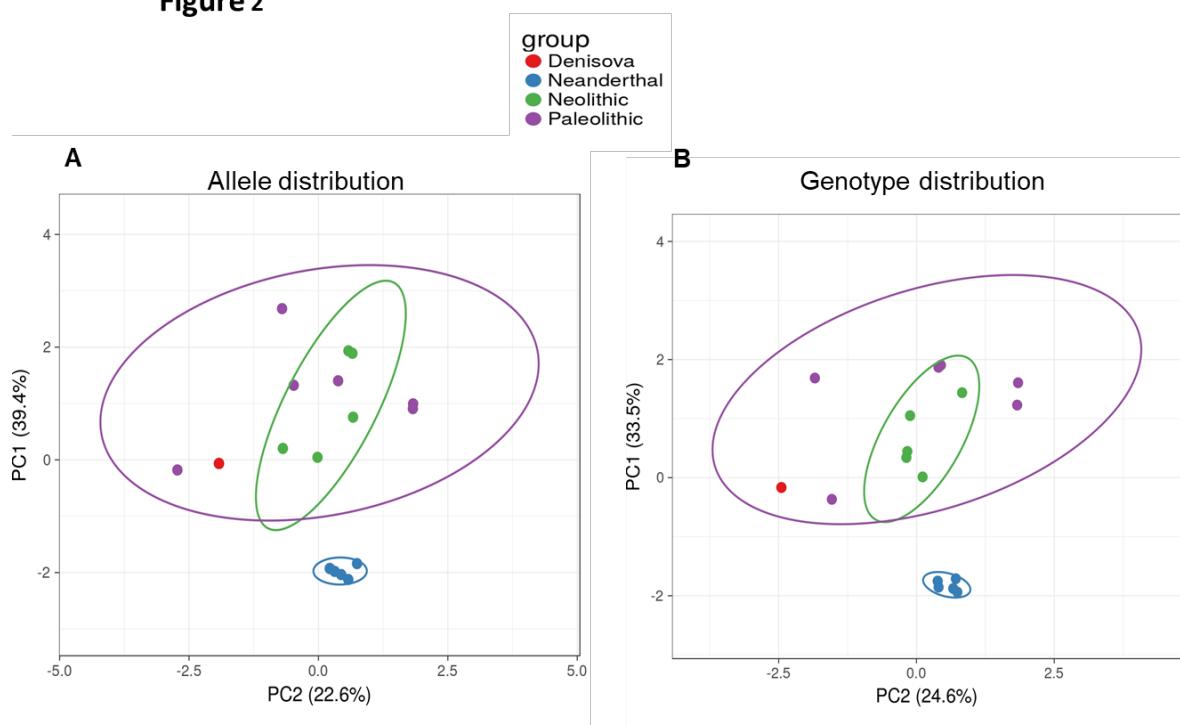
We also found three interesting SNPs for TG: rs2069556 c.3935A>G (p.Asp1312Gly); rs180223 c.2200T>G (p.Ser734Ala) and rs1133076 c.1988G>A (p.Arg986Gln). For rs2069556, Neanderthal displayed only the GG genotype and Denisova the AA. The heterozygous asset was evident starting from Palaeolithic in the population from Sunghir. In the Neolithic populations we found both the AA and GG genotypes corresponding to aspartate and glycine amino acids, respectively (Table 1). For rs180223, Neanderthal were homozygous for the allele G (alanine); Palaeolithic individuals and Neolithic population from Anatolia had both alanine and serine at residue 734 (without the evidence of heterozygous subjects) (Table 1). For the last SNP, rs1133076, Neanderthal showed the GG genotype, corresponding to arginine, while Denisovas were homozygous for the allele A, corresponding to glycine. For the other analysed populations, the sequence of this region was available for very few individuals. So, two out of ten subjects from Sunghir (Palaeolithic period) were genotyped, one showing the GG genotype and the other the AA genotype, 1 Palaeolithic from Russia homozygous for the allele G, and 3 out of 7 subjects belonging to the Neolithic, with hunter-gatherer/farmer habits, with both GG genotype (n=2) and AA genotypes (n=1) (Table 1).

### Thyroid-Stimulating Hormone Receptor (TSHR)

For TSHR we found SNP rs1991517 c.2181G>C (p.Glu727Asp). Both Neanderthals and Denisovans were homozygous for the allele C, as well as most of the analysed subjects belonging to different periods (Table 1). Being, the C allele (corresponding to aspartic acid) the most represented, according with 1000 Human Genome, we found of interest that 2 out of 10 Palaeolithic hunters-gatherers from Sunghir displayed the heterozygous G/C genotype and 1 out of 9 Neolithic hunter-gatherers/farmers from Anatolia was homozygous for the rare allele G (glutamic acid) (Table 1).

### PCA Plot

Based on the SNPs included in the study, we visualised and compared the genomes of the individuals with available sequences by plotting them in an 8-dimensional space, where each dimension corresponds to a SNP. In this multidimensional space, the closer two individuals appear, the more similar their SNP profiles are. To improve graphical visualisation, we reduced the space by principal component analysis (PCA) (24). The SNP data clearly separated *H. neanderthalensis* from Anatomically Modern Humans and from *H. denisovans* (Figure 2A and 2B), the latest showing a pattern similar to AMH. In addition, Neolithic individuals displayed a specific profile and localised in a subgroup, together with some Palaeolithic individuals (SI and SIV in Table 1). Analysis of the PCA axes also showed that the most important factors explaining the first dimension of the SNP projection separating *H. neanderthalensis* from AMH were rs225014 in *DIO2* gene and rs2069556 in *TG* gene. The second principal component was mainly explained by rs4927611 in *TPO* and rs1133076 in *TG* genes, respectively (Figure 2A and 2B).

**Figure 2****Figure 2.** PCA plot considering the distribution of both alleles (A) and genotypes (B).

## Discussion

The aim of this study was to characterise the evolutionary path of polymorphic variants associated with HPT axis by comparing available ancient DNA (aDNA) sequences of Late Pleistocene-Holocene hominin populations, who lived in different geographical areas and had different habits (such as Neanderthals, Denisovans), with those of AMH from Upper Paleolithic until Contemporary Humans. In this way, we have analysed a long period spanning from Paleolithic to modern times contributing to shed some light on the evolutionary history of the endocrine system. The most interesting variants that arise from our analysis were found in *DIO2*, *TPO* and *TG* genes.

Regarding rs225014 SNP in the *DIO2* gene, we have already described (18) results obtained for Neanderthal DNA isolated from three individuals found in the Vindija Cave (Croatia). In the present article, we expand the analysis to three more individuals from different area, and one individual from the Altai Mountains (Russia), a fossil found in El Sidron cave (Spain) and a fragment from Neander valley (Germany), avoiding like that the bias that fossils found at the same site might originate from genetically related individuals. Again, we found that Neanderthals (and Denisovans) had the ancestral allele (G) corresponding to Alanine, the amino acid responsible for a decreased activity of desiodase 2 enzyme (18). The A allele, associated with a more performant enzyme with increased conversion of T4 in T3, has been present since the Paleolithic and appears to be a characteristic trait of HS on all continents (Table 2).

**Table 2.** Allele distribution for each SNPs.

DIO2		TPO		TG		TSHR	
		rs21759	rs49276	rs73260	rs20695	rs18022	rs11330
rs22501	77	11	9	56	3	76	rs1991517
4 A>G	G>C	G>T	A>C	A>G	T>G	G>A	G>C
p.T92A	p.S398	p.A257	p.T725	p.D131	p.S734	p.R2530	p.E727D
	T	S	P	2G	A	Q	
ALL	A: 54 G: 46	G: 29 C: 71	G: 63 T: 37	A: 50 C: 50	A: 60 G: 40	T: 32 G: 68	G: 10 A: 54 C: 90

<b>AFR</b>	A: 54 G: 46	G: 18 C: 82	G: 61 T: 39	A: 35 C: 65	A: 93 G: 7	T: 25 G: 75	G: 20 A: 80	G: 6 C: 94
<b>AM</b>	A: 49	G: 34	G: 63	A: 56	A: 42	T: 42	G: 65	G: 14
<b>R</b>	G: 51	C: 66	T: 37	C: 44	G: 58	G: 58	A: 35	C: 86
<b>EAS</b>	A: 56 G: 44	G: 22 C: 78	G: 82 T: 18	A: 59 C: 41	A: 64 G: 36	T: 22 G: 78	G: 60 A: 40	G: 15 C: 85
<b>EUR</b>	A: 66 G: 44	G: 40 C: 60	G: 63 T: 37	A: 60 C: 40	A: 39 G: 61	T: 45 G: 55	G: 51 A: 49	G: 10 C: 90
<b>SAS</b>	A: 45 G: 55	G: 36 C: 64	G: 48 T: 52	A: 47 C: 53	A: 47 G: 53	T: 32 G: 68	G: 51 A: 49	G: 9 C: 91
<b>Anc estra 1</b>	G	G	G	C	G	G	A	C

AMR: American; AFR: African; EUR: European; SAS: South Asian; EAS: East Asian.

Regarding the rs2069556 (p.Asp1312Gly) SNP in the *TG* gene, it is interesting to note that minor allele (G) was present in Neanderthals and is now the more frequent in Eurasia, while is rare in Africa. A similar situation is also observed for the rs1133076 (p.Arg2530Gln) SNP, suggesting that these polymorphisms could be of Neanderthal origin in the European-Asiatic populations, where they asserted themselves over the other allele still predominant in Africa. The role of these SNPs remains however unclear. Both of them have been reported to be associated with iodotyrosyl coupling defect, also known as CH due to dyshormonogenesis (<https://www.ncbi.nlm.nih.gov/clinvar/RCV000280505/> and <https://www.ncbi.nlm.nih.gov/clinvar/RCV000329782/>), as “benign” variants. Their high frequencies in the modern populations seem to rule out the hypothesis of a coupling defect, resulting in ineffective formation of T4 and T3 again with a reduction in total T3 and the development of hypothyroidism [25]. However, their role still needs to be elucidated.

Similarly to what observed for *TG*, the rs4927611 (p.Ala257Ser) SNP in the *TPO* gene has been reported to be associated with dyshormonogenesis in congenital hypothyroidism (CH) [7,26–29]. In this case, structural modelling showed that the SNP is lying in the entrance of the active site of *TPO* enzyme. *TPO* is a 933 amino acid, type I transmembrane glycoprotein found as a dimer on the apical surface of thyroid follicular cells, which participates in the iodination of tyrosine residues in thyroglobulin and phenoxy-ester formation between pairs of iodinated tyrosines to generate TH. For rs4927611, the non-ancestral T allele (associated with serine), found in Neanderthals, generally represents, today, the minor allele, in all world populations, including Africa (Table 2). In *TPO*, we found also of interest the variant rs732609 corresponding to a p.Thr725Pro substitution. In this case Neanderthal (but not Denisova) displayed the ancestral allele C which represents the minor allele in modern populations except Africa. Several studies report an association of the C allele with autoimmune hypothyroidism, correlated anti-TPO levels and disease severity [7,28]. Moreover, Begum and colleagues [29], using an *in silico* approach, evaluated the p.Thr725Pro binding energy and the interactions between the crucial residues His239, Arg396, Glu399, and His494 of *TPO* protein and heme, demonstrating that the p.Thr725Pro affected the interactions more severely than the other SNPs concluding for a damaging effect on the *TPO* protein activity. Evidence of an inactivated effect of p.Thr725Pro on the enzyme was provided also at functional level [28].

It is interesting to note that these SNPs allow clearly separating *H. neanderthalensis* from Anatomically Modern Humans. On the other hand, *H. denisovans* shows a pattern quite similar to AMH, mainly to Paleolithic individuals. Denisovans in Altai are a western extension of a much larger population originating in central and southwestern Asia [30,31]. Thus, they could have adapted to a climate more similar to that typical of the regions where AMH evolved, with a diet already partially changed [32,33]. Further analyses are required to confirm this hypothesis, when more Denisova genomes become available.

Based on our genome comparisons, again Neanderthals, and in part Denisovans, appear to be physiologically hypothyroidic. Polymorphisms found in genes crucial for TH production, confirm that they may probably have lower T3 levels due to defective production or peripheral conversion. From the moment that the most favourable alleles in terms of T3 production appear during the Paleolithic, we are inclined to assume that their selection was linked to environmental pressure. Neanderthals diet was mainly based on dark meat with low intake of fruit and vegetables [34,35] and limited (but probably sufficient) intake of iodine. During the Paleolithic, the diet was more diversified and included plants (i.e. tubers, seeds, nuts, wild-grown barley, legumes, and flowers), shellfish and other smaller fish, a variety of insects and their products (i.e. honey, and honeycombs) while only a 3% of the whole diet was constituted by meat [36]. Finally, the transition to Neolithic food producers, associated with a high carbohydrate diet, may have concluded the positive selection of alleles associated with higher T3 production and their attestation in modern populations.

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