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## Article

# The Predictive Role of microRNA 142-5p, microRNA 182-3p, and microRNA 99a-3p in Disease-Free Survival and Overall Survival in Patients with Locally Advanced Rectal Cancer After Neoadjuvant Chemoradiation Therapy and Radical Surgery

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**Abstract. Background/Objectives:** MicroRNAs (miRNAs) are likely to play a significant role in the prediction of rectal cancer response to chemoradiation therapy, offering insights that complement other biological tumour markers. The study aimed to perform miRNA profiling in rectal cancer tissues in patients with good (GR) and bad response (BR) to neoadjuvant chemoradiation therapy (nCRT), select the potentially clinically relevant ones, and further evaluate their relationship with subsequent disease outcomes and survival prognosis. **Methods:** A total of 40 selected patients with locally advanced rectal cancer who received nCRT and following surgical treatment in the period from 2016 to 2021 were involved. Two study groups were created – GR and BR according to the Dworak tumour regression grading (TRG) system. The identification of 752 miRNAs was conducted in rectal cancer tissue. **Results:** Six upregulated miRNAs were set as clinically significant within the selected samples and subsequently validated in the BR and GR groups. MiR-142-5p, miR-182-3p, and miR-99a-3p exhibited statistical significance in validation. The results showed that BR to nCRT, lower expression of miRNA-142-5p and miR-99a-3p, and higher expression of miR-182-3p were associated with a trend toward worse local recurrence-free survival, distant metastases-free survival, and overall survival in comparison to GR. **Conclusion:** MiRNAs may potentially serve as clinical biomarkers in prediction of disease-free survival and overall survival in patients with rectal cancer.

**Keywords:** rectal cancer; biomarker; micro-RNA; chemoradiation therapy; treatment response; miRNA 142-5p; miRNA 182-3p; miRNA 99a-3p

## 1. Introduction

Rectal cancer is a highly prevalent type of cancer, accounting for approximately 10–30% of newly diagnosed colorectal cancers (CRC) [1]. CRC is the third most commonly diagnosed malignancy and the second leading cause of cancer-related deaths in the world, with approximately 1.9 million new cases and 935 000 deaths each year [2]. It is a heterogeneous disease that develops via stepwise

accumulation of well-characterized genetic and epigenetic alterations [3]. Accurate staging of rectal cancer is crucial as it can influence the choice of treatment strategies [4]. If early rectal cancer is managed by surgical resection alone, then more advanced cases demand neoadjuvant (preoperative) combination of chemoradiation therapy to reach the tumour downstaging or downshifting in order to provide safe resection margins and reduce the risk of local recurrence [5]. However, responses to this therapy can vary widely among patients, influenced by a multitude of factors including genetic makeup, tumour biology, and the tumour microenvironment [6-8]. As a result, we encounter a spectrum of tumour reactions – from non-response to complete-response to therapy. Studies show that clinical complete response (cCR) after neoadjuvant chemoradiation therapy (nCRT) can be obtained in 10-40% of cases, and pathological complete response (pCR) is observed in 15-30% of rectal cancer patients [9, 10]. Patients with a pCR to nCRT have lower rates of local recurrence, improved survival as compared to patients who don't achieve pCR. The 5-year recurrence-free survival rates are 90.5%, 78.7% and 58.5% for patients with complete, intermediate and poor response [11]. Furthermore, patients with pCR after nCRT have improved distant metastatic rates compared to poor responders to nCRT – 7-10.5% and 26-31%, respectively [12, 13].

Understanding the differences of good and bad responders is not just an academic pursuit; it has profound implications for clinical practice. By identifying the underlying mechanisms that drive varied responses, healthcare providers can tailor treatment strategies more effectively, optimizing therapeutic outcomes and minimizing unnecessary side effects.

There are many studies with variable degrees of clinical significance looking for potential biomarkers that allow to differentiate good responders from bad responders to nCRT [14-16]. MiRNAs represent a promising avenue for enhancing the prediction of response to nCRT in rectal cancer, providing a complementary approach to existing biological tumour markers. MiRNAs are small (18–25 nucleotides) single-stranded and non-coding RNAs that downregulate gene expression at the post-transcriptional level through binding to target mRNAs and triggering their degradation or translational blocking [17]. They play a key role in the regulation of biological processes, such as apoptosis, cell differentiation, development, and proliferation, and it is believed that up to 30% of human genes are regulated by miRNAs [18-20]. Given the great impact of miRNAs on gene expression, it is not surprising that miRNA deregulation contributes to the initiation, progression, and dissemination of any type of human tumour [20-22]. They can act as oncogenic miRNAs (onco-miRNAs) or tumour suppressor miRNAs, depending on the function of the targeted mRNA [13]. Both the overexpression of specific onco-miRNAs and silencing of tumour suppressor miRNAs have been associated with the tumorigenesis of rectal cancer by inhibiting key components of the main signalling pathways altered in this disease. The overexpression of a miRNA can be due to the amplification of its coding gene or augmented transcription, while miRNA downregulation can be caused by epigenetic silencing, deletion of its coding gene, or defective biogenesis [18]. MiRNAs can be secreted into bodily fluids with minimal degradation, present a high stability during storage. All of these advantages facilitate their use in the clinical setting and miRNAs are emerging as stable and non-invasive biomarkers regarding diagnosis, staging, and prognosis in rectal cancer management [18, 20, 23]. Although several miRNAs have already been described as biomarkers predictive of responses to nCRT in rectal cancer patients, there are a lack of robust established markers available in the clinical routine [20, 24, 25]. A review by De Palma et al. in 2020, who was assessing 61 articles, identified a total of 77 miRNAs that are holding a predictive value, however, only six miRNAs (let-7f, miR-21, miR-145, miR-622, miR-630, and miR-1183) exhibited significant differences in two or more independent studies [26].

The aim of our study was miRNA profiling in rectal cancer tissue and establishment of their potential association with further prognosis in rectal cancer patients.

## 2. Materials and Methods

### 2.1. The Study Characteristics

A retrospective study was conducted in which patients with morphologically and radiologically verified stage II and III rectal adenocarcinoma, who received nCRT followed by surgical treatment during the period from 2016 to 2021 at Pauls Stradiņš Clinical University Hospital (Riga, Latvia), were selected and were either alive or deceased at the time of the study's initiation. A signed informed consent form for participation in the study was obtained from each patient or their family member (if the patient had deceased). Exclusion criteria were as follows: if progression to metastatic disease was detected during or after nCRT, patients with cCR and pCR after nCRT, if non-radical surgical treatment had been performed, if the patient/patient's relative (if the person was deceased) did not agree to participate in the study.

## 2.2. Collection of the Tissue Samples

Tissue samples were acquired as part of planned treatment at the hospital. The study did not impose an additional burden on the patients. Tissue samples were obtained from the operative material. The study groups were formed based on the post-operative pathomorphosis of the tumour in the radically resected surgical material, using the Dworak classification (Table 1), which was assessed by two pathologists.

**Table 1.** Dworak classification (adapted from [27]).

Dworak	Explanation
0	No response.
1	Minimal response to the treatment (predominant tumour tissue with slight fibrosis, vasculopathy; fibrosis <25% of the tumour mass).
2	Moderate response to the treatment (fibrotic changes dominate, separate tumour groups are observed; fibrosis 25-50% of the tumour mass).
3	Almost complete response to the treatment (some tumour cells in fibrotic tissue with/without presence of mucin; fibrosis >50% of the tumour mass).
4	Complete response to the treatment (no tumour cells, only fibrotic tissue or acellular mucin collections).

Tumour pathomorphosis was evaluated in formalin-fixed, paraffin-embedded (FFPE) tissue samples, cut with an automatic microtome to a thickness of 4  $\mu$ m and prepared on slides with haematoxylin-eosin (HE) staining. FFPE tissue samples for further analysis from each patient were obtained, including 10  $\mu$ m sections from the tumour and the proximal resection line. The tissue samples were stored at -20°C until the next step of the study.

## 2.3. Formation of the Study Groups

From the initially selected 298 patients, 86 patients were included in the study who met the inclusion and exclusion criteria. Patients were divided into groups according to the Dworak classification: Dworak 0 – 4 patients, Dworak 1 – 13 patients, Dworak 2 – 32 patients, Dworak 3 – 23 patients, Dworak 4 – 14 patients. For further tissue sample analysis, two groups were formed: the bad response (BR) group, consisting of patients with no tumour response or a minimal response to nCRT (corresponding to Dworak 0 and 1), and the good response (GR) group, consisting of patients with a good response to nCRT (corresponding to Dworak 3). Considering that the Dworak 2 group was the most heterogeneous and exhibited the greatest phenotypic variability, it was not analysed further. Additionally, Dworak 4 was not evaluated, as no tumour cells were morphologically detected in that group.

## 2.4. Characteristics of the Study Groups

A total of 40 patients were included in the study: 17 patients in the BR group and 23 patients in the GR group. The patients were followed up for 3 to 98 months. All patients had morphologically verified rectal adenocarcinoma, and clinical stage evaluation was based on radiological examinations – computed tomography (CT) scans of the abdomen and thorax and pelvic magnetic resonance imaging (MRI). All patients received nCRT and completed the course. Re-staging was performed (pelvic MRI) 6-12 weeks after finishing nCRT. The treatment response was evaluated according to the mrTRG (magnetic resonance imaging tumour regression grade) classification. Radical surgery was performed in all cases. The summary of post-treatment histopathological evaluations, as well as the clinical characteristics of the patients, is summarized in Table 2.

**Table 2.** Clinical characteristics of the study groups.

	BR group N=17	GR group N=23
<b>PRE-TREATMENT PARAMETERS</b>		
<b>Gender</b>		
Male	11	12
Female	6	11
<b>Age (mean, range)</b>	66.47 (41-83)	65.52 (55-77)
<b>Stage of rectal cancer</b>		
II	3	1
III	14	22
<b>Tumour grade</b>		
Grade 1	6	9
Grade 2	10	11
Grade 3	1	3
<b>Serum CEA prior to nCRT:</b>		
CEA ≤5.5 ng/ml	15	19
CEA >5.5 ng/ml	2	4
<b>Serum CA19-9 prior to nCRT:</b>		
CA19-9 ≤33 U/ml	17	23
CA19-9 >33 U/ml	0	0
<b>Radiological parameters (pelvic MRI):</b>		
tumour length (range, mean; cm)	3-11; 6.9	3-9; 5.1
tumour circumference (range, mean; %)	50-100; 88	25-100; 65
tumour distance from anal verge (range, mean; cm)	0-14; 6.9	1,5-11; 5.7
node positive disease	14	21
<b>TREATMENT PARAMETERS</b>		
<b>Radiation therapy (range of doses; Gy):</b>		
tumour	50.4 – 54	50.4 – 54
pelvic lymph nodes	45 – 46.8	45 – 46.8
<b>Chemotherapy (type, the way of administration):</b>		
5FU, i/v	12	13
FOLFOX6, i/v	2	3
Leucovorini + 5FU, i/v	3	5

Capecitabine, p/o	0	1
Oxaliplatin, i/v	0	1
<b>Surgery:</b>		
AR	10	12
HP	3	5
APR	4	5
TR	0	1
<b>POST-TREATMENT PARAMETERS</b>		
<b>Radiological parameters:</b>		
mrTRG1	0	1
mrTRG2	0	8
mrTRG3	7	4
mrTRG4	6	3
mrTRG5	0	0
Not done or cannot be evaluated (CT-scan done instead of MRI)	4	7
<b>Morphological parameters</b>		
Tumour distance from RL (range; cm)	0.5-8	0.5-6
Tumour length (range; cm)	1.5-7.5	0.8-5
T stage		
T1	1	1
T2	5	9
T3	10	12
T4	1	1
N stage		
N0	12	16
N1	2	6
N2	3	1
Mucinous component		
≥50%	4	0
<50%	0	5
not present	13	18
Stromal desmoplasia		
mild	6	14
moderate	8	4
severe	3	5
Inflammatory infiltration		
mild	7	18
moderate	7	5
severe	3	0
Differentiation		
Grade 1	2	4

Grade 2	14	13
Grade 3	1	6
Perineural invasion		
Yes	2	4
No	15	19
Vascular invasion		
Yes	1	0
No	16	23
Lymphatic invasion		
Yes	7	7
No	10	16
<b>Serum CEA after nCRT:</b>		
CEA ≤5.5 ng/ml	17	23
CEA >5.5 ng/ml	0	0
<b>Serum CA19-9 after nCRT:</b>		
CA19-9 ≤33 U/ml	17	23
CA19-9 >33 U/ml	0	0

CEA – carcinoembryonic antigen; CA19-9 – carbohydrate antigen 19-9; Gy – gray; i/v – intravenous; 5FU – fluorouracil; FOLFOX6 – leucovorin calcium (folinic acid), fluorouracil, and oxaliplatin; AR – anterior resection; HP – Hartmann's Procedure; APR – abdominoperineal resection; TR – transanal resection; RL – resection line.

## 2.5. MiRNA Isolation from the FFPE

Extraction of total RNA (including miRNA) from FFPE tissue samples (tumour tissue and proximal resection line) was performed using the miRNeasy FFPE Kit protocol (miRNeasy FFPE Kit for microRNA Extraction, QIAGEN, ID: 217504). Measurements of total RNA concentration in the samples were conducted by fluorometry using the Qubit™ RNA BR Assay Kit protocol (Invitrogen by Thermo Fisher Scientific; Catalog No. Q10210, Q10211).

## 2.6. Profiling of miRNA

Six cases were selected from each group (BR and GR group) – a total of 12 tumour samples and 12 proximal resection margin tissue samples. The reverse transcription reaction for generating complementary DNA (cDNA) was conducted using the miRCURY LNA RT Kit (QIAGEN, ID: 339340). Reverse transcription polymerase chain reaction (RT-PCR) for miRNA profiling (a total of 752 miRNAs – listed in Appendix A, Table A1) in the selected samples was performed using the miRCURY LNA miRNA miRNome PCR Panels (QIAGEN, ID: 339322). The data obtained were analysed using the QIAGEN GeneGlobe online data analysis tool [28]. The selection of clinically significant miRNAs for further analysis in the groups was performed by comparing tumour and resection line miRNA profiles. The selected miRNAs were verified using the TaqMan Small RNA Assay. cDNA synthesis was performed with the TaqMan™ MicroRNA Reverse Transcription Kit (ID: 4366596), followed by quantification of the selected miRNAs using the TaqMan™ MicroRNA Assay (ID: 4427975) and TaqMan™ Fast Advanced Master Mix (ID: 4444558). All steps were carried out according to the manufacturer's protocol using the ViiA™ 7 Real-Time PCR System (Applied Biosystems). The selection criteria were as follows: upregulated expression in cancer tissue, quantification of miRNA (fold change of at least two times), and p-value ( $p < 0.05$ ).

## 2.7. Statistical Analysis

The sample size for the first discovery experiment was calculated using the R package “size power” [29]. The parameters for the matched two-sample calculation were as follows: the mean number of false positives = 1; the anticipated number of un-differentially expressed genes in the experiment = 752; power level = 0.8; fold change = 2; and the anticipated standard deviation of the difference in log-expression between matched treatment and control conditions = 0.5. The calculated minimum sample size was 5 per group.

A t-test, paired t-test, and Mann-Whitney U test were used for the analysis of verified miRNAs. Local recurrence-free survival (LRFS), distant metastases-free survival (DMFS), disease-free survival (DFS), and overall survival (OS) were estimated using the Kaplan-Meier method. The log-rank test was used to calculate any significant differences between the groups through univariate analysis. Significance levels were set at  $p < 0.05$ .

DFS (LRFS and DMFS) and OS were considered from the date of surgery to the date of any disease manifestation (local recurrence or metastasis) or patient death.

### 3. Results

#### 3.1. Profiling of miRNAs in Rectal Cancer Tissue

From 752 identified miRNAs, six miRNAs were selected (three from the BR group and three from the GR group) that had the most statistically significant results and met the selection criteria by comparing miRNA expression in tumour tissues relative to resection margin tissues: miR-665, miR-99a-3p and miR-127-5p from GR group and miR-142-5p, miR-548c-5p, miR-182-3p from BR group. MiR-151-5p was chosen for normalization, as it showed the least variation in fold changes among all tumour samples.

#### 3.2. Verification of Selected miRNA

Subsequently, the verification of the selected miRNAs was performed in other tissue samples from the BR and GR groups using the TaqMan Small RNA Assays protocol (Thermo Fisher Applied Biosystems; ID 002681, 002141, 002229, 002248, 002429, 000483, 002642). MiRNAs with  $p < 0.05$  in all statistical tests were selected as clinically significant. Accordingly, in the BR group, miR-142-5p and miR-182-3p met this criterion, and in the GR group it was miRNA-99a-3p (Table 3).

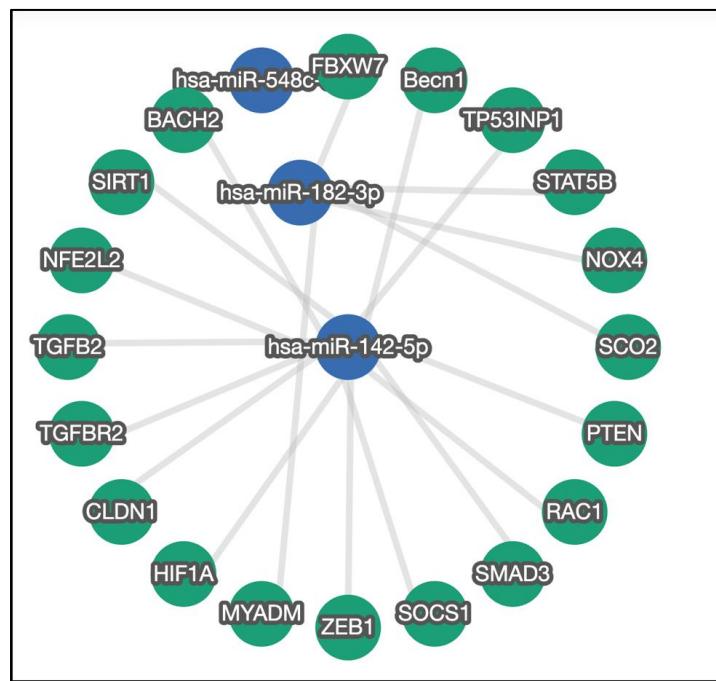
**Table 3.** The results of the statistical analysis of upregulated microRNAs (miRNAs) with a fold change of at least two times in the BR and GR group.

BR group							
Upregulated miRNA	Fold change	t-test		paired t-test		MWU test	
		T statistic	p value	T statistic	p value	U1	p value
miR-142-5p	2.56	2.47826	0.022241	3.069753	0.011846	102	0.007097
miR-548c-5p	2.56	1.700644	0.104508	2.27164	0.046441	82	0.167905
miR-182-3p	9.04	2.537534	0.019594	3.368208	0.007143	93	0.035616
miR-151-5p	0.85	0.101803	0.919927	0.134902	0.895366	58	0.895514

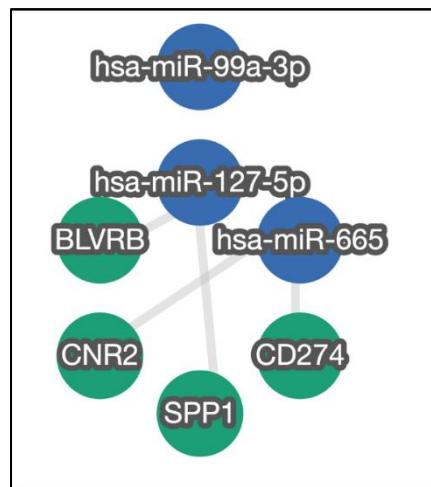
  

GR group							
Upregulated miRNA	Fold change	T-test		Paired T-test		MWU test	
		T statistic	p value	T statistic	p value	U1	p value
miR-665	2.36	-0.30221	0.76433	-0.36101	0.722538	207	0.763745
miR-99a-3p	2.52	2.353468	0.024521	2.224232	0.039969	180	0.027886
miR-127-5p	2.36	0.578669	0.566627	0.615609	0.546307	162	0.579801
miR-151-5p	0.89	0.879873	0.385105	0.890777	0.385482	207	0.303799

The string analysis of miRNA target genes showed a relationship between the selected miRNAs and several oncogenes. The string analysis of BR group miRNAs revealed more clinically significant relationships than those of GR group miRNAs (Figure 1 and Figure 2).



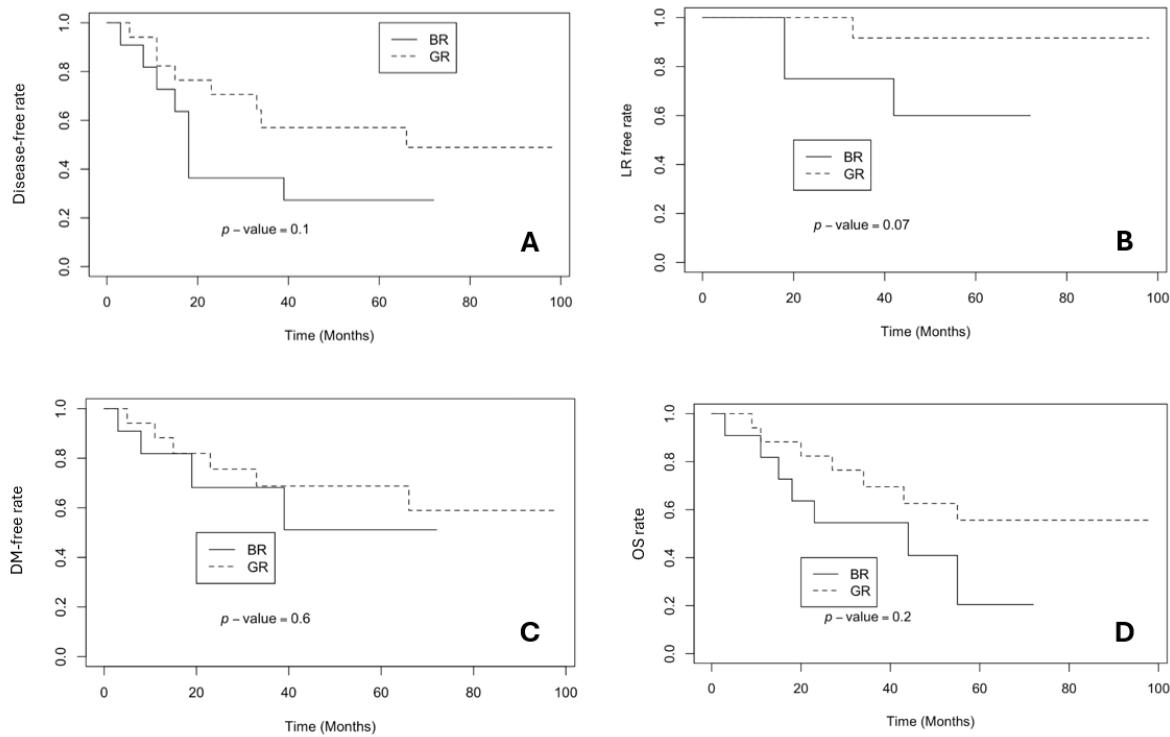
**Figure 1.** Target genes of BR group miRNAs and interaction between target gene products.



**Figure 2.** Target genes of GR group miRNAs and interaction between target gene products. Target of miR-99a-3p are not found in the strong validated criteria, therefore, there is no interaction analysis.

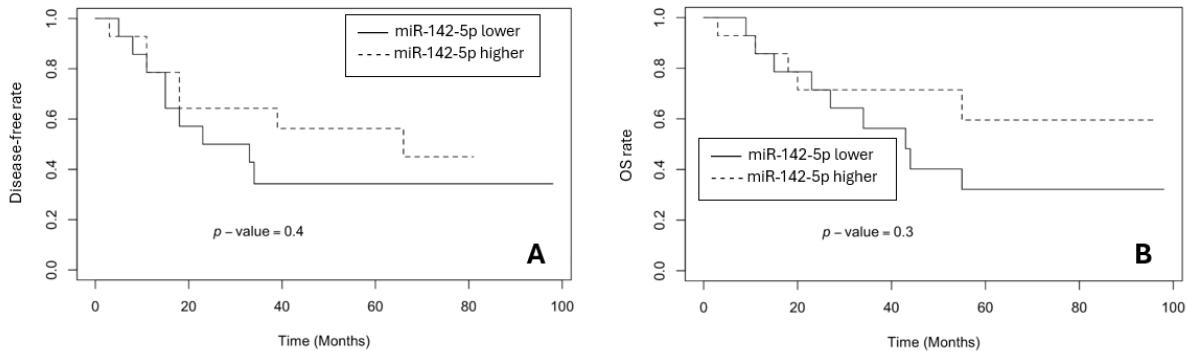
### 3.3. The Survival Rates in BR and GR Groups

The following Kaplan-Meier analysis was executed to estimate DFS, LRFS, DMFS, and OS in the BR and GR group (Figure 3).

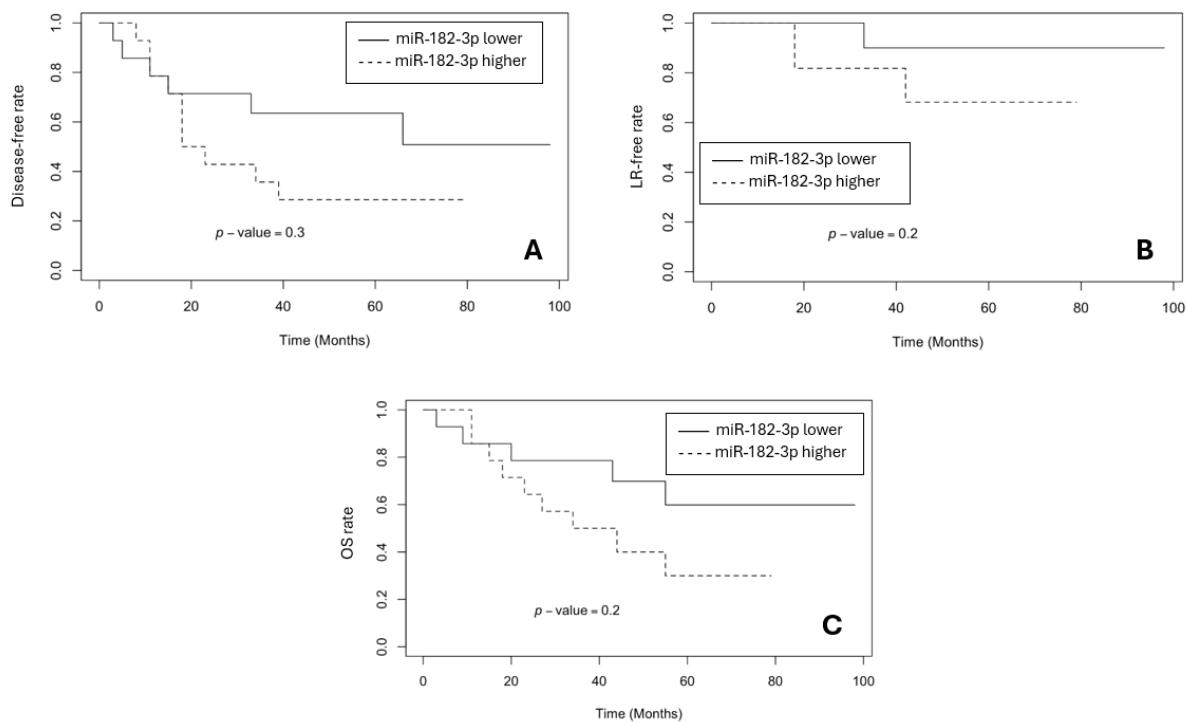


**Figure 3.** Survival results based on clinical responses (BR and GR). A – DFS; B – LRFS; C – DMFS; C – OS.

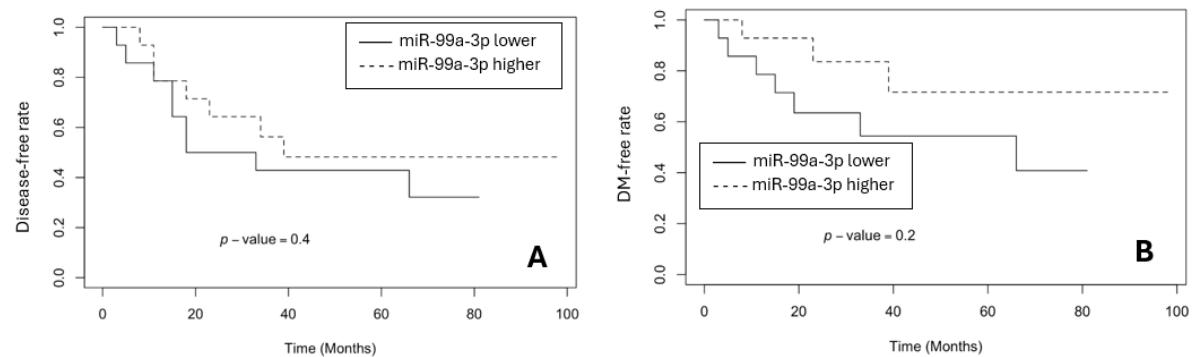
Afterwards, the population of both study groups was pooled, and the survival parameters (DFS, LRFS, DMFS and OS) were evaluated according to the expression level (lower or higher) of a particular miRNA – miR-142-5p (Figure 4), miR-182-3p (Figure 5), and miR-99a-3p (Figure 6).



**Figure 4.** Survival results based on expression level of miR-142-5p (LRFS and DMFS – data not shown). A – DFS; B – OS.



**Figure 5.** Survival results based on expression level of miR-182-3p (DMFS data not shown). A – DFS; B – LRFS; C – OS.



**Figure 6.** Survival results based on expression level of miR-99a-3p (LRFS and OS – data not shown). A – DFS; B – DMFS.

Although the p-value in none of the groups reached the threshold of statistical confidence ( $p < 0.05$ ), a similar trend was observed in all categories – the estimated DFS, LRFS, DMFS, and OS were worse in the BR group and in cases of lower expression levels of miR-142-5p and miR-99a-3p, and higher expression of miR-182-3p. We assume that statistically significant results were not established due to the limited number of patients in groups.

#### 4. Discussion

The role of different miRNAs in behaviour of rectal cancer, tumour progression, treatment resistance, and overall patient outcomes has been described in several studies [20, 23, 30]. This study focused on the miRNA profiling in rectal cancer tissue and verification of clinically relevant ones in association with clinical response to nCRT and survival rates.

We aimed to assess the significance of each miRNA that demonstrated clinical relevance in our study; however, we recognize that miRNAs interact with various factors that may influence their regulation. The importance of miRNA upregulation versus downregulation depends on the specific

context and the biological pathways involved. Upregulation of certain miRNAs may be crucial for promoting tumour progression or resistance to therapy, while downregulation of others may be significant for tumour suppression. Therefore, both upregulation and downregulation can play critical roles in various biological processes and disease states, and their importance should be evaluated based on the specific miRNA and the associated conditions [31]. In this study, we primarily focused on upregulated miRNAs, with plans to further analyze downregulated miRNAs and their potential synergistic interactions.

It was observed that miR-142-5p was upregulated in patients with poor response to the nCRT, but its' lower expression in general was related with worse DFS and OS. The role of miR-142-5p as a possible prognostic marker of poor CRC prognosis has been presented in some studies [32-34]. The overexpression of miR-142-5p has been associated with cancer in the proximal colorectum, BRAF positive patients and biological aggressiveness of cancer [6]. The study of Kunigenas et al. in 2020 demonstrated miRNA-142-5p as a diagnostic biomarker of rectal cancer following long-course nCRT. This study showed that miR-142-5p expression levels are significantly increased in rectum tumour tissue samples following long-course nCRT compared to tumour samples collected before the nCRT and compared to adjacent normal rectum tissue. The overexpression of miR-142-5p was observed in patients who received chemotherapy compared to expression levels in the group without the treatment, suggesting that the evaluation of miR-142-5p expression levels could serve as a diagnostic biomarker of cancer therapy [7]. Another study of Shi et al. in 2015 demonstrated that expression of miR-142-5p increases during the infusion chemotherapy in stage III CRC suggesting that miR-142-5p is a potential tumour suppressor in CRC and could serve for evaluation of efficacy of infusion chemotherapy and the progress of CRC [33]. Regarding the importance of miR-142-5p in predicting the tumour response, the study of Cervena et al. in 2021 presented the association of miR-142-5p expression levels and therapy response, respectively, the patients who died within a year after the diagnosis, didn't have benefit from the therapy or had local recurrence, presented significantly lower expression levels in their second plasma sampling compared to the first one (first one taken at the time of diagnosis and the second one – a year after therapy). These findings suggest that miR-142-5p act as a tumour suppressors. Additionally, miR-142-5p was upregulated in the presence of 5-FU by SMiR-NBI (the Small Molecule-miRNA Network-Based Inference) model and this probably means that the effect of miRNA is potentiated in this way [34].

Another miRNA in the study, that was upregulated in BR group and associated with worse DFS and OS, was miR-182-3p which belongs to the family of miR-182. The evidence suggests that miR-182 has an important role as an onco-miRNA in different types of cancers by promoting tumour cell survival and metastasis. It is often upregulated in various types of tumours and may inhibit apoptosis [36]. In particular, it has been demonstrated that miR-182 plays a key role in CRC tumorigenesis. Several studies have reported that miR-182 expression is upregulated in CRC tissues compared to adjacent non-cancerous tissues [37]. The upregulation of miR-182 has been associated with advanced stage of TNM, i.e., positive regional lymph node status and high depth of tumour invasion and as well with local recurrence of the tumour [38, 39]. The study of Yang et al in 2014 suggested that a higher level of miR-182 expression significantly promotes CRC invasion, migration, and cell proliferation in vivo and in vitro [40]. A high level of miR-182 has been observed in 5-FU-resistant CRC cell lines. Upregulation of miR-182 considerably induces drug resistance, proliferation, and colony formation, and causes apoptosis to be reduced in 5-FU-resistant CRC cell lines [41].

Among the miRNAs evaluated, it was observed that miR-99a-3p was upregulated in the group of GR, and lower expression level of miR-99a-3p was associated with worse OS and DFS. The role of miR-99a-3p has been associated with various types of cancer, although the studies revealing the predictive role in CRC are limited. In a study of Pinelo et al. in 2014 expression of miR-99a-3p in stage IV CRC patient blood samples was significantly associated with progression-free survival and OS, and therefore was validated as a predictive marker for chemotherapy response [42].

In the results obtained from this study, we observed a pronounced trend regarding the significance of certain miRNAs in predicting disease behaviour; however, we were unable to achieve

statistical significance, which we attribute to several factors. First, the number of patients included in the study was limited due to the selection criteria, as well as the fact that patients with post-treatment pathomorphosis corresponding to Dworak 2 and Dworak 4 were not included. Second, the GR group, whose results were compared to the BR group, cannot be considered a completely favourable response, as it comprises patients whose postoperative morphological examination materials correspond to Dworak 3. A complete favourable response is classified as Dworak 4, where tumour cells are no longer detected, which consequently limits the analysis of this material from a tumour perspective. Lastly, it is important to note that both groups (GR and BR) still exhibit heterogeneity, which only increases during the analysis process. These factors hinder the attainment of statistical significance; nonetheless, the overall trend in the results is evident.

The ability to assess miRNA expression profiles not only enhances our understanding of tumour behaviour but also offers promising avenues for personalized medicine. By integrating miRNA analysis into clinical practice, patient stratification could be improved, enabling more tailored treatment approaches that consider individual molecular profiles. Additionally, miRNAs could serve as valuable prognostic markers, aiding in the prediction of treatment responses and survival outcomes. As research continues to unravel the intricate roles of miRNAs in rectal cancer, future studies should focus on validating these findings in larger, diverse cohorts and exploring the therapeutic potential of targeting miRNAs. Ultimately, the incorporation of miRNA profiles in clinical decision-making could transform the management of rectal cancer, paving the way for improved prognostic assessments and enhanced patient care.

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**Institutional Review Board Statement:** The study was conducted in accordance with the Declaration of Helsinki and approved by the Ethics Committee of Riga Stradiņš University (Nr. 2-PĒK-4/120/2023 on 26.01.2023.).

**Informed Consent Statement:** Informed consent was obtained from all subjects (patient or their family member if the patient had deceased) involved in the study.

**Data Availability Statement:** Raw data is available from the corresponding author upon request.

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**Conflicts of Interest:** The authors declare no conflicts of interest.

## Abbreviations

The following abbreviations are used in this manuscript:

miRNAs	micro ribonucleic acids
nCRT	neoadjuvant chemoradiation therapy
GR	good response
BR	bad response
TRG	tumour regression grading
CRC	colorectal cancer
cCR	clinical complete response
pCR	pathological complete response
onco-miRNAs	oncogenic miRNAs
FFPE	formalin-fixed, paraffin-embedded
HE	haematoxylin-eosin
CT-scan	computed tomography scan
MRI	magnetic resonance imaging
CEA	carcinoembryonic antigen
CA19-9	carbohydrate antigen 19-9

Gy	gray
i/v	intravenous
5FU	fluorouracil
FOLFOX6	leucovorin calcium (folinic acid), fluorouracil, and oxaliplatin
AR	anterior resection
HP	Hartmann's Procedure
APR	abdominoperineal resection
TR	transanal resection
RL	resection line
cDNA	complementary DNA
LRFS	local recurrence-free survival
DMFS	distant metastases-free survival
DFS	disease-free survival
OS	overall survival

## Appendix A

**Table A1.** The list of miRNAs identified in the selected samples (12 tumour samples and 12 proximal resection margin tissue samples); performed using the miRCURY LNA miRNA miRNome PCR Panels (QIAGEN, ID: 339322).

hsa-miR-7-5p	hsa-miR-16-5p	hsa-miR-99b-5p	hsa-miR-654-5p	hsa-miR-631
hsa-miR-217-5p	hsa-miR-98-5p	hsa-miR-431-5p	hsa-miR-545-3p	hsa-miR-34c-5p
hsa-miR-337-5p	hsa-miR-185-5p	hsa-miR-23b-3p	hsa-miR-29b-2-5p	hsa-miR-211-5p
hsa-miR-328-3p	hsa-miR-25-3p	hsa-miR-367-3p	hsa-miR-491-5p	hsa-miR-454-3p
hsa-miR-374b-3p	hsa-miR-765	hsa-miR-505-3p	hsa-miR-92b-3p	hsa-let-7f-5p
hsa-miR-143-3p	hsa-miR-24-3p	hsa-miR-18a-5p	hsa-miR-665	hsa-miR-30e-5p
hsa-miR-623	hsa-miR-369-5p	hsa-miR-92a-3p	hsa-miR-506-3p	hsa-miR-34a-5p
hsa-miR-520c-3p	hsa-miR-425-5p	hsa-miR-500a-5p	hsa-miR-363-3p	hsa-miR-663a
hsa-miR-557	hsa-miR-590-5p	hsa-miR-887-3p	hsa-miR-132-3p	hsa-miR-518e-3p
hsa-miR-218-5p	hsa-miR-760	hsa-miR-491-3p	hsa-miR-651-5p	hsa-miR-29b-3p
hsa-miR-136-5p	hsa-miR-574-3p	hsa-miR-423-3p	hsa-miR-628-3p	hsa-miR-658
hsa-miR-127-5p	hsa-miR-130b-3p	hsa-miR-126-3p	hsa-miR-432-5p	hsa-miR-572
hsa-miR-140-5p	hsa-miR-30c-5p	hsa-miR-421	hsa-miR-154-3p	hsa-miR-802
hsa-miR-31-3p	hsa-miR-133b	hsa-miR-376b-3p	hsa-miR-27a-3p	hsa-miR-521
hsa-miR-20b-3p	hsa-miR-524-5p	hsa-miR-302c-3p	hsa-miR-376c-3p	hsa-miR-433-3p
hsa-miR-325	hsa-miR-23a-3p	hsa-miR-625-3p	hsa-miR-940	hsa-miR-660-5p
hsa-miR-509-3-5p	hsa-miR-193b-3p	hsa-miR-339-5p	hsa-miR-22-5p	hsa-let-7c-5p
hsa-miR-210-3p	hsa-miR-501-5p	hsa-miR-873-5p	hsa-miR-224-5p	hsa-miR-28-5p
hsa-miR-199b-5p	hsa-miR-518c-5p	hsa-miR-323a-3p	hsa-miR-885-5p	hsa-miR-324-5p
hsa-miR-194-5p	hsa-miR-130a-3p	hsa-miR-181d-5p	hsa-miR-320a-3p	hsa-miR-219a-5p
hsa-let-7g-5p	hsa-miR-933	hsa-miR-125a-5p	hsa-miR-18b-5p	hsa-miR-19b-3p
hsa-miR-203a-3p	hsa-miR-379-5p	hsa-miR-129-5p	hsa-miR-187-3p	hsa-miR-526b-5p
hsa-miR-181a-3p	hsa-miR-452-5p	hsa-miR-492	hsa-miR-516b-5p	hsa-miR-215-5p
hsa-miR-137-3p	hsa-miR-589-5p	hsa-miR-20a-5p	hsa-miR-302c-5p	hsa-miR-30b-5p
hsa-miR-551b-3p	hsa-miR-141-3p	hsa-miR-374b-5p	hsa-miR-548b-3p	hsa-miR-184
hsa-miR-524-3p	hsa-miR-342-3p	hsa-miR-302d-3p	hsa-miR-186-5p	hsa-miR-422a
hsa-miR-486-5p	hsa-miR-668-3p	hsa-miR-346	hsa-miR-199a-5p	hsa-miR-199a-3p

hsa-miR-329-3p	hsa-miR-934	hsa-miR-151a-3p	hsa-miR-155-5p	hsa-miR-335-5p
hsa-miR-487b-3p	hsa-miR-101-3p	hsa-miR-493-3p	hsa-miR-107	hsa-miR-519a-3p
hsa-miR-138-5p	hsa-miR-539-5p	hsa-miR-122-5p	hsa-miR-302b-3p	hsa-miR-21-5p
hsa-miR-191-5p	hsa-miR-331-3p	hsa-miR-99a-3p	hsa-miR-662	hsa-miR-129-2-3p
hsa-miR-378a-3p	hsa-miR-499a-5p	hsa-miR-361-5p	hsa-miR-519d-3p	hsa-miR-26b-5p
hsa-miR-103a-3p	hsa-miR-196a-5p	hsa-miR-202-3p	hsa-miR-485-3p	hsa-miR-214-3p
hsa-miR-890	hsa-miR-888-5p	hsa-miR-125b-5p	hsa-miR-200b-3p	hsa-miR-32-5p
hsa-miR-423-5p	hsa-miR-330-3p	hsa-miR-503-5p	hsa-miR-337-3p	hsa-miR-324-3p
hsa-miR-221-3p	hsa-miR-570-3p	hsa-miR-204-5p	hsa-miR-494-3p	hsa-miR-488-3p
hsa-miR-301b-3p	hsa-miR-518c-3p	hsa-miR-30d-5p	hsa-miR-371a-3p	hsa-miR-371a-5p
hsa-miR-550a-5p	hsa-miR-200a-3p	hsa-miR-301a-3p	hsa-miR-637	hsa-miR-455-5p
hsa-miR-532-5p	hsa-miR-188-5p	hsa-miR-362-5p	hsa-miR-144-3p	hsa-miR-891a-5p
hsa-miR-99a-5p	hsa-miR-26a-5p	hsa-miR-30b-3p	hsa-miR-16-1-3p	hsa-miR-549a-3p
hsa-miR-205-5p	hsa-miR-498-5p	hsa-miR-296-5p	hsa-miR-216a-5p	hsa-miR-148a-3p
hsa-miR-518b	hsa-miR-148b-3p	hsa-miR-20b-5p	hsa-miR-424-5p	hsa-miR-146a-5p
hsa-miR-19a-3p	hsa-miR-127-3p	hsa-miR-147a	hsa-miR-921	hsa-miR-139-5p
hsa-miR-150-5p	hsa-miR-598-3p	hsa-miR-198	hsa-miR-513a-5p	hsa-miR-373-5p
hsa-miR-15a-5p	hsa-miR-96-5p	hsa-miR-375-3p	hsa-miR-140-3p	hsa-miR-149-5p
hsa-let-7d-3p	hsa-let-7d-5p	hsa-miR-517a-3p	hsa-miR-181a-5p	hsa-miR-642a-5p
hsa-miR-608	hsa-miR-135b-5p	hsa-miR-361-3p	hsa-miR-10a-5p	hsa-miR-31-5p
hsa-miR-671-5p	hsa-miR-495-3p	hsa-miR-21-3p	hsa-miR-106a-5p	hsa-miR-451a
hsa-miR-497-5p	hsa-miR-299-5p	hsa-miR-373-3p	hsa-miR-182-5p	hsa-miR-620
hsa-miR-877-5p	hsa-miR-34c-3p	hsa-miR-518f-3p	hsa-miR-370-3p	hsa-miR-27b-3p
hsa-miR-187-5p	hsa-miR-596	hsa-miR-222-3p	hsa-miR-576-5p	hsa-miR-523-3p
hsa-miR-10b-5p	hsa-miR-744-5p	hsa-miR-617	hsa-miR-425-3p	hsa-miR-374a-5p
hsa-let-7i-5p	hsa-miR-145-5p	hsa-miR-154-5p	hsa-miR-450a-5p	hsa-miR-92a-1-5p
hsa-miR-202-5p	hsa-miR-622	hsa-miR-708-5p	hsa-miR-411-5p	hsa-miR-219a-1-3p
hsa-miR-652-3p	hsa-miR-516a-5p	hsa-let-7b-5p	hsa-miR-216b-5p	hsa-miR-1913
hsa-miR-126-5p	hsa-let-7a-5p	hsa-miR-95-3p	hsa-miR-106b-5p	hsa-miR-1245a
hsa-miR-30e-3p	hsa-miR-96-3p	hsa-miR-517c-3p	hsa-miR-22-3p	hsa-miR-522-3p
hsa-miR-181c-5p	hsa-miR-185-3p	hsa-miR-151a-5p	hsa-miR-510-5p	hsa-miR-571
hsa-miR-9-3p	hsa-miR-615-3p	hsa-miR-502-5p	hsa-miR-212-3p	hsa-miR-323a-5p
hsa-miR-548c-3p	hsa-miR-128-3p	hsa-miR-345-5p	hsa-miR-525-5p	hsa-miR-592
hsa-miR-152-3p	hsa-miR-766-3p	hsa-miR-509-3p	hsa-miR-542-5p	hsa-miR-487a-3p
hsa-miR-93-5p	hsa-miR-206	hsa-miR-134-5p	hsa-miR-576-3p	hsa-miR-1249-3p
hsa-miR-365a-3p	hsa-miR-298	hsa-miR-382-5p	hsa-miR-583	hsa-miR-25-5p
hsa-miR-29c-3p	hsa-miR-193a-5p	hsa-miR-490-3p	hsa-miR-483-3p	hsa-miR-922
hsa-miR-372-3p	hsa-miR-449b-5p	hsa-miR-200c-3p	hsa-miR-582-5p	hsa-miR-124-5p
hsa-miR-133a-3p	hsa-miR-520d-5p	hsa-miR-30a-5p	hsa-miR-183-5p	hsa-miR-1264
hsa-miR-124-3p	hsa-miR-192-5p	hsa-miR-181b-5p	hsa-miR-33b-5p	hsa-miR-504-5p
hsa-miR-190a-5p	hsa-miR-29a-3p	hsa-miR-33a-5p	hsa-miR-193a-3p	hsa-miR-138-1-3p
hsa-miR-302a-3p	hsa-miR-18a-3p	hsa-miR-195-5p	hsa-miR-153-3p	hsa-miR-502-3p
hsa-miR-595	hsa-miR-383-5p	hsa-miR-874-3p	hsa-let-7e-5p	hsa-miR-490-5p

hsa-miR-602	hsa-miR-9-5p	hsa-miR-135a-5p	hsa-miR-409-3p	hsa-miR-567
hsa-miR-223-3p	hsa-miR-142-5p	hsa-miR-26a-2-3p	hsa-miR-100-5p	hsa-miR-18b-3p
hsa-miR-627-5p	hsa-miR-363-5p	hsa-miR-146b-5p	hsa-miR-629-5p	hsa-miR-125a-3p
hsa-miR-34b-3p	hsa-miR-147b-3p	hsa-miR-412-3p	hsa-miR-484	hsa-miR-653-5p
hsa-miR-410-3p	hsa-miR-197-3p	hsa-miR-1-3p	hsa-miR-429	hsa-miR-891b
hsa-miR-17-5p	hsa-miR-597-5p	hsa-miR-299-3p	hsa-miR-30c-2-3p	hsa-miR-144-5p
hsa-miR-376a-3p	hsa-miR-326	hsa-miR-142-3p	hsa-miR-518a-3p	hsa-miR-1538
hsa-miR-514a-3p	hsa-miR-15b-5p	hsa-miR-338-3p	hsa-miR-340-5p	hsa-miR-384
hsa-miR-512-5p	hsa-miR-105-5p	hsa-miR-584-5p	hsa-miR-508-3p	hsa-miR-196b-3p
hsa-miR-449a	hsa-miR-196b-5p	hsa-miR-377-3p	hsa-miR-381-3p	hsa-miR-649
hsa-miR-143-5p	hsa-miR-892a	hsa-miR-141-5p	hsa-miR-520h	hsa-miR-518d-3p
hsa-miR-1207-5p	hsa-miR-10b-3p	hsa-miR-1269a	hsa-miR-769-5p	hsa-miR-569
hsa-miR-943	hsa-miR-122-3p	hsa-miR-501-3p	hsa-miR-612	hsa-miR-125b-1-3p
hsa-miR-675-3p	hsa-miR-100-3p	hsa-miR-15b-3p	hsa-miR-1237-3p	hsa-miR-218-2-3p
hsa-miR-200b-5p	hsa-miR-769-3p	hsa-miR-146b-3p	hsa-miR-1908-5p	hsa-miR-519c-3p
hsa-miR-519e-5p	hsa-miR-300	hsa-miR-222-5p	hsa-miR-1260a	hsa-miR-554
hsa-miR-942-5p	hsa-miR-518e-5p	hsa-miR-601	hsa-miR-182-3p	hsa-miR-938
hsa-miR-450b-3p	hsa-miR-489-3p	hsa-miR-924	hsa-miR-365b-5p	hsa-miR-1243
hsa-miR-553	hsa-miR-937-3p	hsa-miR-29a-5p	hsa-miR-508-5p	hsa-miR-708-3p
hsa-miR-605-5p	hsa-miR-381-5p	hsa-let-7a-2-3p	hsa-miR-671-3p	hsa-miR-1185-5p
hsa-miR-24-2-5p	hsa-miR-640	hsa-miR-520f-3p	hsa-miR-941	hsa-miR-512-3p
hsa-miR-23a-5p	hsa-miR-148b-5p	hsa-miR-101-5p	hsa-miR-23b-5p	hsa-miR-587
hsa-miR-27b-5p	hsa-miR-29c-5p	hsa-miR-520a-3p	hsa-miR-591	hsa-miR-603
hsa-miR-759	hsa-miR-499a-3p	hsa-miR-548m	hsa-miR-26b-3p	hsa-miR-1184
hsa-miR-770-5p	hsa-let-7f-1-3p	hsa-miR-517-5p	hsa-miR-519b-3p	hsa-miR-20a-3p
hsa-miR-585-3p	hsa-miR-382-3p	hsa-miR-448	hsa-miR-30d-3p	hsa-miR-588
hsa-miR-376a-5p	hsa-miR-609	hsa-miR-1296-5p	hsa-miR-518d-5p	hsa-miR-455-3p
hsa-miR-507	hsa-miR-10a-3p	hsa-miR-1537-3p	hsa-miR-212-5p	hsa-miR-582-3p
hsa-miR-520b-3p	hsa-miR-106a-3p	hsa-miR-920	hsa-miR-520e-3p	hsa-miR-409-5p
hsa-miR-302f	hsa-let-7e-3p	hsa-miR-1247-5p	hsa-miR-646	hsa-miR-452-3p
hsa-miR-28-3p	hsa-miR-580-3p	hsa-miR-19b-2-5p	hsa-miR-519e-3p	hsa-miR-19b-1-5p
hsa-miR-875-5p	hsa-miR-761	hsa-miR-558	hsa-miR-626	hsa-miR-610
hsa-miR-219a-2-3p	hsa-miR-643	hsa-miR-106b-3p	hsa-miR-26a-1-3p	hsa-miR-511-5p
hsa-miR-1183	hsa-miR-618	hsa-miR-1258	hsa-miR-190b	hsa-miR-200c-5p
hsa-miR-758-3p	hsa-miR-221-5p	hsa-miR-619-3p	hsa-miR-1471	hsa-let-7a-3p
hsa-miR-1244	hsa-miR-513b-5p	hsa-miR-208a-3p	hsa-miR-548l	hsa-miR-135a-3p
hsa-miR-566	hsa-miR-411-3p	hsa-miR-17-3p	hsa-miR-586	hsa-miR-520a-5p
hsa-miR-1256	hsa-miR-19a-5p	hsa-miR-136-3p	hsa-miR-103b	hsa-miR-1468-5p
hsa-miR-516a-3p	hsa-miR-338-5p	hsa-miR-877-3p	hsa-miR-488-5p	hsa-miR-628-5p
hsa-miR-548c-5p	hsa-miR-1914-3p	hsa-miR-935	hsa-miR-129-1-3p	hsa-miR-552-3p
hsa-miR-496	hsa-miR-323b-5p	hsa-miR-224-3p	hsa-miR-192-3p	hsa-miR-145-3p
hsa-miR-876-3p	hsa-miR-548i	hsa-miR-624-3p	hsa-miR-632	hsa-miR-378a-5p
hsa-miR-532-3p	hsa-miR-541-3p	hsa-miR-767-5p	hsa-miR-181a-2-3p	hsa-miR-7-1-3p

hsa-miR-654-3p	hsa-miR-1272	hsa-miR-559	hsa-miR-1909-3p	hsa-miR-181c-3p
hsa-miR-659-3p	hsa-miR-1205	hsa-miR-449b-3p	hsa-miR-573	hsa-miR-195-3p
hsa-miR-135b-3p	hsa-miR-544a	hsa-miR-205-3p	hsa-miR-302d-5p	hsa-miR-578
hsa-miR-641	hsa-miR-431-3p	hsa-miR-604	hsa-miR-194-3p	hsa-miR-505-5p
hsa-miR-2113	hsa-miR-621	hsa-miR-130b-5p	hsa-miR-302b-5p	hsa-miR-875-3p
hsa-miR-1254	hsa-miR-556-5p	hsa-miR-149-3p	hsa-miR-551b-5p	hsa-miR-450b-5p
hsa-miR-661	hsa-miR-1267	hsa-miR-1271-5p	hsa-miR-635	hsa-miR-876-5p
hsa-miR-362-3p	hsa-miR-379-3p	hsa-miR-92b-5p	hsa-miR-1911-3p	hsa-miR-1224-3p
hsa-miR-624-5p	hsa-miR-556-3p	hsa-miR-551a	hsa-let-7f-2-3p	hsa-miR-1539
hsa-miR-27a-5p	hsa-miR-614	hsa-miR-146a-3p	hsa-miR-155-3p	hsa-miR-663b
hsa-miR-744-3p	hsa-miR-616-5p	hsa-miR-218-1-3p	hsa-miR-105-3p	hsa-miR-1248
hsa-miR-139-3p	hsa-miR-93-3p	hsa-miR-593-5p	hsa-miR-486-3p	hsa-miR-889-3p
hsa-miR-138-2-3p	hsa-miR-1972	hsa-miR-561-3p	hsa-miR-320b	hsa-miR-1227-3p
hsa-miR-655-3p	hsa-miR-616-3p	hsa-miR-767-3p	hsa-miR-296-3p	hsa-miR-548h-5p
hsa-miR-99b-3p	hsa-miR-369-3p	hsa-miR-526b-3p	hsa-miR-7-2-3p	hsa-miR-1255b-5p
hsa-miR-581	hsa-miR-2110	hsa-miR-24-1-5p	hsa-miR-550a-3p	hsa-miR-330-5p
hsa-miR-191-3p	hsa-miR-548a-3p	hsa-let-7b-3p	hsa-miR-380-3p	hsa-miR-1238-3p
hsa-miR-32-3p	hsa-miR-634	hsa-miR-193b-5p	hsa-miR-593-3p	hsa-miR-188-3p
hsa-miR-1204	hsa-miR-320c	hsa-miR-335-3p	hsa-miR-1912-3p	hsa-miR-589-3p
hsa-miR-548j-5p	hsa-miR-636	hsa-miR-541-5p	hsa-miR-493-5p	hsa-miR-125b-2-3p
hsa-miR-555	hsa-miR-606	hsa-miR-30c-1-3p	hsa-miR-432-3p	hsa-miR-16-2-3p
hsa-miR-515-5p	hsa-miR-208b-3p	hsa-miR-629-3p	hsa-miR-454-5p	hsa-miR-650
hsa-miR-340-3p	hsa-miR-367-5p	hsa-miR-377-5p	hsa-miR-936	hsa-miR-1178-3p
hsa-miR-513a-3p	hsa-miR-520d-3p	hsa-miR-630	hsa-miR-30a-3p	hsa-miR-600
hsa-miR-34a-3p	hsa-miR-1265	hsa-miR-548d-3p	hsa-let-7g-3p	hsa-miR-599
hsa-miR-342-5p	hsa-miR-1203	hsa-miR-885-3p	hsa-miR-214-5p	hsa-miR-520g-3p
hsa-miR-639	hsa-miR-548k	hsa-miR-320d	hsa-miR-183-3p	hsa-miR-564
hsa-let-7i-3p	hsa-miR-548a-5p	hsa-miR-2053	hsa-miR-1179	hsa-miR-132-5p
hsa-miR-543	hsa-miR-1253	hsa-miR-675-5p	hsa-miR-562	hsa-miR-577
hsa-miR-645	hsa-miR-615-5p	hsa-miR-1252-5p	hsa-miR-579-3p	hsa-miR-223-5p
hsa-miR-548d-5p	hsa-miR-607	hsa-miR-548e-3p	hsa-miR-590-3p	hsa-miR-34b-5p
hsa-miR-33a-3p	hsa-miR-1208	hsa-miR-1914-5p	hsa-miR-130a-5p	hsa-miR-888-3p
hsa-miR-664a-3p	hsa-miR-302e	hsa-miR-513c-5p	hsa-miR-563	hsa-miR-424-3p
hsa-miR-1911-5p	hsa-miR-1206	hsa-miR-331-5p	hsa-miR-200a-5p	hsa-miR-339-3p
hsa-miR-33b-3p	hsa-miR-1270	hsa-miR-1182	hsa-miR-483-5p	hsa-miR-380-5p
hsa-miR-638	hsa-miR-525-3p	hsa-miR-611	hsa-miR-15a-3p	hsa-miR-647
hsa-miR-515-3p	hsa-miR-1200	hsa-miR-1181	hsa-miR-944	hsa-miR-518f-5p
hsa-miR-548n	hsa-miR-92a-2-5p			

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