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Article

# The Effect of Applied Hydrostatic Pressures in Ferromagnetic Ordered HoM<sub>2</sub> [M = (Al, Ni)] Laves Phases: A DFT Study

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## Abstract

In this study, Density Functional Theory (DFT) with Hubbard U correction calculations (DFT+U) were used to examine the ferromagnetic properties of HoM<sub>2</sub> Laves phases (M = {Al, Ni}) under external hydrostatic pressure from 0 GPa to 1.0 GPa. The resulting net magnetic moments of 8.61  $\mu_B$  (HoAl<sub>2</sub>) and 8.12  $\mu_B$  (HoNi<sub>2</sub>) align with values reported in experiments. Additionally, for both alloys, the ferromagnetic behavior remains unchanged under applied pressures from 0 GPa to 1.0 GPa. The study also confirms that the magnetic properties of the alloys are mainly influenced by the 4f electrons; 3d electrons have a slightly more significant role in HoNi<sub>2</sub> Laves phases compared to HoAl<sub>2</sub>. The contribution of electrons in *d* and *f* orbitals to the net magnetic moment of each Laves phase alloy within the specified pressure range was examined. Furthermore, the crystal geometry optimization and electronic specific heat coefficient were calculated as functions of applied pressures up to 1.0 GPa for both ferromagnetically ordered Laves phases.

**Keywords:** HoNi<sub>2</sub> and HoAl<sub>2</sub> Laves phases; Density Functional Theory (DFT); Hubbard U correction calculations (DFT+U); hydrostatic pressure effect on magnetic properties

## 1. Introduction

Experimental and theoretical research in the field of magnetocaloric-based cooling has grown over the past 25 years due to the higher energy efficiency and environmentally friendly nature of this cooling technology compared to the conventional one based on the expansion and compression of gases [1–6]. In the last few years, the interest in developing magnetic refrigerators for hydrogen liquefaction has encouraged important efforts to find, synthesize, and assess the magnetocaloric response of many families of rare earth (R) based alloys due to the significant magnetocaloric response of many compounds below the precooled reference temperature of 77 K [7–9]. Among all the alloy systems investigated, the cubic Laves phases in the RM<sub>2</sub> systems with M = {Al, Ni} stand out due to the remarkable magnetocaloric properties, especially for the heavy R elements as Ho, and Er [6–10].

Density functional theory (DFT) is a fundamental computational method in materials science, providing atomistic insights into crystals and molecules. By resolving a material's electronic structure, it enables the investigation and prediction of structure–property relationships and the underlying physicochemical phenomena in solids [11–15]. These compounds crystallize into the MgCu<sub>2</sub>-type structure (C15, space group: Fd-3m) [10], with a lattice parameter of 7.810 Å for HoAl<sub>2</sub> and 7.130 Å for HoNi<sub>2</sub> [16,17], and show Weiss-Curie temperatures  $T_C$  of 29 K and 13.4 K, respectively [18,19]. Two lattice-related characteristics of Laves phases (AB<sub>2</sub>) are that the relationship between the atomic radii of A and B atoms is between 1.05 and 1.68, and that for an atomic radius ratio ( $r_A/r_B$ ) of

1.225, the crystal structures have a higher packing density (around 71%) [10,20–24]. Green hydrogen economy needs the hydrogen storage due to hydriding properties in cell unit of Laves C15 phases. Laves intermetallics can be used to store interstitially the hydrogen by offering different positions (i.e., 3 tetrahedral interstices) [20].

Furthermore, HoAl<sub>2</sub> exhibits magnetic anisotropy characterized by the easy magnetization axis being  $\langle 110 \rangle$  for temperatures below 20 K; above this temperature, the easy axis shifts to the  $\langle 100 \rangle$  intermediate direction [18,25]. Additionally, a spin reorientation occurs at  $T = 20$  K. The hard magnetizations axis in HoAl<sub>2</sub> is  $\langle 111 \rangle$  crystal direction [19,25]. The directions of the easy, intermediate, and hard magnetization axes of HoNi<sub>2</sub> are  $\langle 100 \rangle$ ,  $\langle 110 \rangle$ , and  $\langle 111 \rangle$ , respectively [17,25–28].

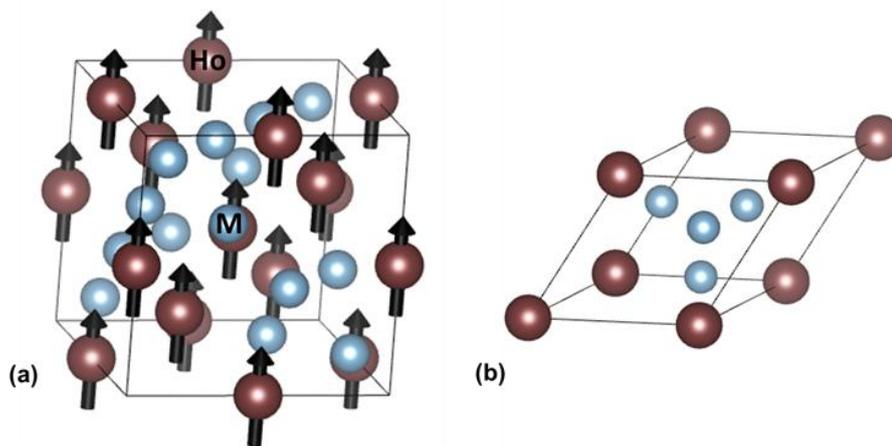
The present work investigates, through Density functional theory with Hubbard U correction calculations (DFT+U), the effect of hydrostatic pressure on the electronic and magnetic properties of the ferromagnetically ordered HoAl<sub>2</sub> and HoNi<sub>2</sub> Laves phases. The spin polarization calculations are performed along  $\langle 001 \rangle$  crystal direction. We systematically explore how electronic density of states are affected in the HoAl<sub>2</sub> and HoNi<sub>2</sub> alloys. The theoretical calculations indicate significant changes in the electronic structure under a small hydrostatic pressure of 0.1 GPa in HoNi<sub>2</sub>. A multicaloric approach in the solid-state cooling technology based on the magneto-caloric and barocaloric effects has been used [2,3]. The combination of different external fields (e.g., magnetic field and hydrostatic pressure) allows an enhancement of caloric response by tailoring the magnetic moment (related to electronic density of states) with the pressure during the magnetic phase transition. Our finding is that the net magnetic moment can be modified (drops a 22.5 %) after a 0.1 GPa hydrostatic pressure is applied. To our knowledge, the electronic and magnetic properties from ab-initio calculations under hydrostatic pressure in HoAl<sub>2</sub> and HoNi<sub>2</sub> have not yet been reported to date.

## 2. Materials and Methods

The present study was performed using Cambridge Serial Total Energy Package (CASTEP) within density functional theory framework, using BIOVIA-Materials Studio®. For the exchange-correlation, the revised Perdew-Burke-Ernzerhof (RPBE) functional was applied as part of the generalized gradient approximation (GGA). It's known that the GGA method fails to correctly describe the localized 4*f* and 3*d* electrons; therefore, the DFT+U (U-Hubbard) correction was introduced into calculations [29–31]. It is important to note that U corrections within the GGA approximation show better accuracy to investigating the magnetic and electronic structures of 4*f* and 3*d* compounds compared to the local density approximation (LDA) or hybrid functionals [32,33]. The U values of localized electrons were 2.50 eV and 6.0 eV for Ni and Ho atoms, respectively. The U value was set to 0 eV for Al atoms because the lack of localized electrons. To calculate the electronic density of states (DOS), a  $13 \times 13 \times 13$  k-mesh generated by the Monkhorst-Pack scheme was used to integrate the Brillouin zone. For the plane-wave propagation along the crystal, a cut-off energy of 500 eV was applied [34–37]. The charge convergence criteria was set at  $1 \times 10^{-6}$  eV for self-consistent field cycles. During the geometric optimization process, the compressive external stress was applied along the a, b, and c axes to consider the effect of external hydrostatic pressure using Broyden–Fletcher–Goldfarb–Shanno (BFGS) algorithm.

The stoichiometric HoM<sub>2</sub> with M = {Al, Ni} Laves phases crystallize in a cubic MgCu<sub>2</sub>-type structure with a space group Fd-3m. **Figure 1** schematically shows the crystalline and magnetic structure and primitive cell of HoM<sub>2</sub> (M = {Al, Ni}) Laves phases. In the AB<sub>2</sub> structure, the A atom (i.e., Ho) occupies the 8a Wyckoff site at (0 0 0), while the B atoms (i.e., Al and Ni) occupy the 16a Wyckoff site at (5/8 5/8 5/8) positions. For Al, Ni, and Ho, the electronic configuration is described as [Ne] 3s<sup>2</sup> 3p<sup>1</sup>, [Ne] 3s<sup>2</sup> 3p<sup>6</sup> 3d<sup>8</sup> 4s<sup>2</sup>, and [Ne] 3s<sup>2</sup> 3p<sup>6</sup> 3d<sup>10</sup> 4s<sup>2</sup> 4p<sup>6</sup> 4d<sup>10</sup> 5s<sup>2</sup> 5p<sup>6</sup> 4f<sup>11</sup> 6s<sup>2</sup>, respectively. It is important to note that for simulating HoAl<sub>2</sub> and HoNi<sub>2</sub> Laves phases, we used experimental lattice parameters instead of those obtained from minimizing the total energy as a function of volume for the crystalline structures. The used lattice parameters  $a = b = c$  were 7.810 Å for HoAl<sub>2</sub> and 7.130 Å for

HoNi<sub>2</sub> [16,17]. The ferromagnetic ordering of each compound was modeled assuming that only the rare earth atoms, specifically Ho at 8a positions, possess a magnetic moment aligned along the <001> direction. Zero magnetic moment was assumed for Al and Ni atoms, which are located at 16a positions.



**Figure 1.** Schematic representation of the MgCu<sub>2</sub> cubic crystal structure (a) and primitive cell (rhombohedral trigonal) (b) of the ferromagnetically ordered HoAl<sub>2</sub> and HoNi<sub>2</sub> Laves phases. The silver and brown spheres represent the elements Al or Ni, and the rare earth element Ho, respectively. The black arrows show that the Ho magnetic moment points along the c-axis.

### 3. Results and Discussion

#### 3.1. Electronic Properties

**Table 1** displays the lattice parameter and interatomic distances between Al-Al, Ho-Al, and Ho-Ni in the Laves phases HoM<sub>2</sub> with M = {Al, Ni}, under applied hydrostatic pressures from 0 GPa to 1.0 GPa. It is worth noting that HoAl<sub>2</sub> is more sensitive to external pressure than the HoNi<sub>2</sub> alloys. The structural stability of both HoAl<sub>2</sub> and HoNi<sub>2</sub> remains unchanged across the entire range of applied pressures. Their corresponding formation energy  $E_f$  values at  $P = 0$  GPa are  $-9.485 \times 10^3$  eV (HoAl<sub>2</sub>) and  $-13.47 \times 10^3$  eV (HoNi<sub>2</sub>). For non-zero pressures, the overall magnetic behaviors do not exhibit significant variation due to the minimal compaction in their crystal structures. All formation energy values remained nearly constant with a virtually negligible increase (less than 1%) under external pressures up to 1.0 GPa; details are shown in **Figure A1** in the Appendix section. Additionally, substituting Al atoms with Ni atoms leads to a reduction in lattice parameters, resulting in an increase in bulk modulus at applied pressures around 1.0 GPa, as detailed in **Table 1**.

**Table 1.** Diagonal component  $\sigma_{ij}$  of stress tensor, bulk modulus  $B$ , primitive unit cell volume  $V_P$  (i.e., rhombohedral trigonal), the compressive stress  $\Delta$ , and interatomic Al-Al, Ho-Al, and Ho-Ni distances obtained for the HoAl<sub>2</sub> and HoNi<sub>2</sub> Laves phases under applied hydrostatic pressures of  $0 \text{ GPa} \leq P \leq 1.0 \text{ GPa}$ .

Alloy		HoAl <sub>2</sub>							HoNi <sub>2</sub>						
P (GPa)	B (GPa)	$\sigma_{ij}$ (GPa)	a (Å)	$d_{\text{Al-Al}}$ (Å)	$d_{\text{Ho-Al}}$ (Å)	$V_P$ (Å <sup>3</sup> )	$\Delta$ (%)	B (GPa)	$\sigma_{ij}$ (GPa)	a (Å)	$d_{\text{Ni-Ni}}$ (Å)	$d_{\text{Ho-Ni}}$ (Å)	$V_P$ (Å <sup>3</sup> )	$\Delta$ (%)	
0.0	40.66	0.00005	5.652	2.826	3.314	127.675	0.000	49.79	0.00115	5.262	2.631	3.086	103.066	0.000	
0.1	45.68	0.10067	5.646	2.823	3.311	127.299	-0.294	56.58	0.10030	5.258	2.629	3.083	102.840	-0.219	
0.2	52.56	0.19968	5.640	2.820	3.307	126.908	-0.600	58.98	0.19724	5.255	2.628	3.081	102.655	-0.399	
0.3	56.69	0.29771	5.635	2.818	3.304	126.533	-0.894	60.33	0.30162	5.252	2.626	3.080	102.480	-0.569	

0.4	58.00	0.39932	5.629	2.815	3.301	126.165	-1.182	68.79	0.40011	5.248	2.624	3.077	102.241	-0.801
0.5	60.58	0.49793	5.623	2.812	3.297	125.780	-1.484	71.50	0.50638	5.245	2.623	3.076	102.072	-0.964
0.6	64.07	0.60178	5.618	2.809	3.294	125.380	-1.797	76.23	0.60287	5.242	2.621	3.074	101.868	-1.162
0.7	65.22	0.70149	5.613	2.807	3.291	125.055	-2.052	82.56	0.69933	5.239	2.620	3.072	101.703	-1.322
0.8	66.65	0.80276	5.607	2.804	3.288	124.681	-2.345	84.36	0.80034	5.235	2.618	3.070	101.479	-1.540
0.9	68.37	0.89994	5.602	2.801	3.285	124.328	-2.621	91.47	0.89917	5.232	2.616	3.068	101.321	-1.693
1.0	70.89	0.99911	5.597	2.799	3.282	123.992	-2.884	98.45	0.99998	5.229	2.615	3.066	101.132	-1.876

### 3.2. Determination of Electronic Coefficient in Specific Heat Capacity

Considering that each atom donates one electron to the Fermi gas in the solid, the free-electron number densities ( $N/V$ ) for HoAl<sub>2</sub> and HoNi<sub>2</sub> are  $1.678 \times 10^{28} \text{ m}^{-3}$  and  $2.203 \times 10^{28} \text{ m}^{-3}$ , respectively. On the other hand,  $M(\text{HoAl}_2) = 218.894 \text{ g/mol}$ ,  $\rho(\text{HoAl}_2) = 6.08 \text{ g/cm}^3$  [16],  $M(\text{HoNi}_2) = 282.316 \text{ g/mol}$ , and  $\rho(\text{HoNi}_2) = 10.33 \text{ g/cm}^3$  [38] were used.

Bearing in mind that in metals theory at  $P = 0 \text{ GPa}$  and  $T = 0 \text{ K}$ , the Fermi energy  $E_F$  can be calculated as follows:

$$E_F = \frac{h^2 k_B^2}{2 m_e} \left( \frac{3N}{8\pi V} \right)^{2/3} \quad (1)$$

where  $h$  and  $k_B$  are the Planck and Boltzmann constants, respectively, and  $m_e$  is the electron mass. Using equation (1) and previous values, the Fermi temperature  $T_F$  can be calculated through the formulae:

$$T_F = \frac{E_F}{k_B} \quad (2)$$

The electronic coefficient  $\gamma_e$  of specific heat capacity can be calculated using the following equation:

$$\gamma_e = \frac{\pi^2 k_B N_A}{3 T_F} \quad (3)$$

The calculated values of Fermi energy and its temperature, and the electronic coefficient  $\gamma_e$  using the equations (1), (2), and (3) are listed in **Table 2**. The  $N/V$ ,  $E_F$  and  $T_F$  values agree with those obtained for other elements such as K (i.e.,  $1.40 \times 10^{28} \text{ m}^{-3}$ ; 2.13 eV;  $2.47 \times 10^4 \text{ K}$ ), Ag (i.e.,  $5.86 \times 10^{28} \text{ m}^{-3}$ ; 5.53 eV;  $6.41 \times 10^4 \text{ K}$ ), and Cu (i.e.,  $8.47 \times 10^{28} \text{ m}^{-3}$ ; 7.06 eV;  $8.19 \times 10^4 \text{ K}$ ) [39].

**Table 2.** The calculated values of Fermi energy ( $E_F$ ), Fermi temperature ( $T_F$ ) and the electronic specific heat capacity coefficient ( $\gamma_e$ ) obtained by the equations (1), (2), and (3) for both Laves phases (HoAl<sub>2</sub> and HoNi<sub>2</sub>).

Laves phases	$E_F$ (eV)	$T_F$ ( $10^4 \text{ K}$ )	$\gamma_e$ ( $10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$ )
HoAl <sub>2</sub>	2.392	2.777	1.476
HoNi <sub>2</sub>	2.869	3.331	1.231

Another way to determine the electronic heat capacity coefficient  $\gamma_e$  for alloys is through DFT quantum calculations. The Einstein-Debye model states that  $c_p(T) = \gamma_e T + \beta_{ph} T^3$  at temperatures  $T \ll T_D$ , where  $T_D$  is the Debye temperature, and the terms  $\gamma_e T$  and  $\beta_{ph} T^3$  represent the electronic and phonon contributions to the specific heat capacity, respectively. From the Sommerfeld approximation [40], the coefficient  $\gamma_e$  can be calculated as follows:

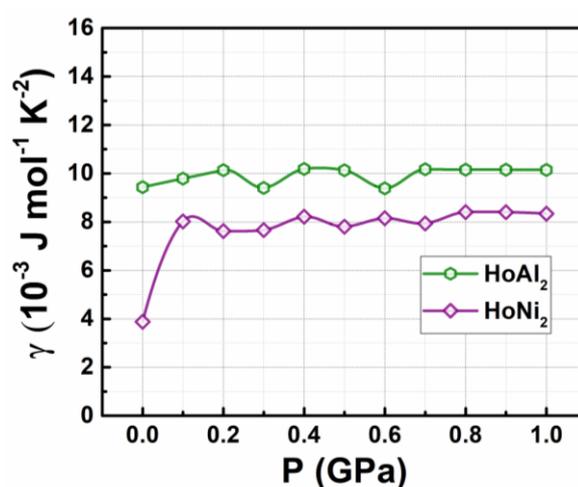
$$\gamma_e = \frac{\pi^2 k_B^2}{3} \Delta n(E_F) \quad (4)$$

where  $\Delta n(E_F)$  is the density of electrons per eV at the Fermi level. This expression applies when external hydrostatic pressure is considered.

**Figure 2** shows how the electronic specific heat capacity coefficients, calculated by eq. (4), change with increasing external hydrostatic pressures up to 1.0 GPa for the studied Laves phases. For HoAl<sub>2</sub>, the obtained  $\gamma_e$  value at  $P = 0 \text{ GPa}$  is  $9.43 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$ . This result differs from that obtained

through the electron gas model in metals (**Table 2**); the values tend to slightly increase, reaching an average of  $10.02 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$  as the applied pressure increases. Conversely, the initial value for the HoNi<sub>2</sub> alloys at 0 GPa (i.e.,  $3.87 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$ ) is like the value calculated using the gas model in metals; see **Table 2** for details ( $1.231 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$ ). Later, it increases noticeably to  $8.02 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$  with an applied pressure of 0.1 GPa and then remains nearly constant at an average value of  $8.05 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$ . At non-zero pressures, the obtained values for HoAl<sub>2</sub> and HoNi<sub>2</sub> are closer to each other, as calculated by DFT+U modelling.

Von Ranke *et al.* reported electronic specific heat capacity coefficients of  $10.6 \times 10^{-3}$ ,  $5.5 \times 10^{-3}$ ,  $4.8 \times 10^{-3}$  and  $4.6 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$  for LaAl<sub>2</sub>, LuAl<sub>2</sub>, LaNi<sub>2.2</sub>, and LuNi<sub>2</sub>, respectively [41]. De Oliveira and colleagues reported a  $\gamma_e$  experimental value of  $5.4 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$  from the  $c_p(T)$  curve for non-ferromagnetic LuAl<sub>2</sub> stating that this alloy shows the same structure as HoAl<sub>2</sub>, and a similar  $\gamma_e$  value [42]. Campoy *et al.* experimentally determined a value of  $\gamma_e = 7.0 \times 10^{-3} \text{ J mol}^{-1} \text{ K}^{-2}$  for a bulk polycrystalline HoAl<sub>2</sub> alloy from  $c_p(T)$  data [43]. The DFT and Fermi gas approach of  $\gamma_e$  values at  $P = 0$  GPa for the Laves phases alloys agree with experimental ones obtained by  $c_p(T)$  data.



**Figure 2.** Electronic specific heat capacity coefficient as a function of the applied hydrostatic pressure for HoAl<sub>2</sub> and HoNi<sub>2</sub> alloys.

### 3.3. Electronic Density of States

#### 3.3.1. Total Density of Electronic States at $P = 0$ GPa

**Figure 3** shows the total density of electronic states (DOS) obtained in ferromagnetically ordered crystal structures HoM<sub>2</sub> with  $M = \{\text{Al}, \text{Ni}\}$  Laves phases. The electronic structure for both compounds,  $s$  and  $p$  orbitals, is nearly symmetric and localized at deeper energies compared to  $d$  and  $f$  orbitals, which are at the Fermi level. The  $s$  orbitals are localized among  $-49.00 \text{ eV}$  and  $-48.00 \text{ eV}$  with a maximum of electronic density of  $3.92 \text{ e}^-/\text{eV}$  at  $-48.59 \text{ eV}$  for HoAl<sub>2</sub>. When the post-transition metal (Al) is replaced by a transition metal (Ni), the localization of  $s$  orbitals shifts toward higher energies (i.e., between  $-47.43 \text{ eV}$  and  $-46.19 \text{ eV}$ ) with a similar electronic density of  $3.87 \text{ e}^-/\text{eV}$ . The  $p$  orbitals in HoAl<sub>2</sub> are localized in the energy range of  $-24.30 \text{ eV} \leq E - E_F \leq -22.62 \text{ eV}$ , while they are positioned between  $-23.00 \text{ eV}$  and  $-21.32 \text{ eV}$  for HoNi<sub>2</sub>. Our calculations showed that the spin-up channel is shifted to lower energies compared to the spin-down channel while maintaining symmetry between them for both alloys. It is important to note that substituting Al with Ni reduces the maximum value in the DOS of  $p$  bands from  $9.53 \text{ e}^-/\text{eV}$  to  $5.95 \text{ e}^-/\text{eV}$ , and the DOS curve tends to flatten into a double peak, see the **Figures 3(a)-3(b)**.

The  $d$  and  $f$  orbitals are very close to the Fermi level. Specifically,  $d$  bands range from  $-5.2 \text{ eV}$  to  $10.0 \text{ eV}$ , while  $f$  bands range from  $-5.3 \text{ eV}$  to  $3.0 \text{ eV}$ . Both the DOS of  $d$  and  $f$  orbitals exhibit a notable asymmetry. Additionally,  $f$  bands are the most populated in both compounds. Therefore, the

localized  $f$  electrons continue to be responsible for ferromagnetic order in  $\text{HoM}_2$  ( $M = \{\text{Al}, \text{Ni}\}$ ) Laves phases. Replacing the post-transition metal Al with Ni leads to symmetry collapse of  $d$  orbitals, causing hybridization among  $d$  and  $f$  bands. This suggests that the magnetic behavior of  $\text{HoAl}_2$  arises from localized electrons in  $f$  orbitals, and both itinerant and localized electrons in  $d$  and  $f$  orbitals contribute to ferromagnetism in  $\text{HoNi}_2$ .

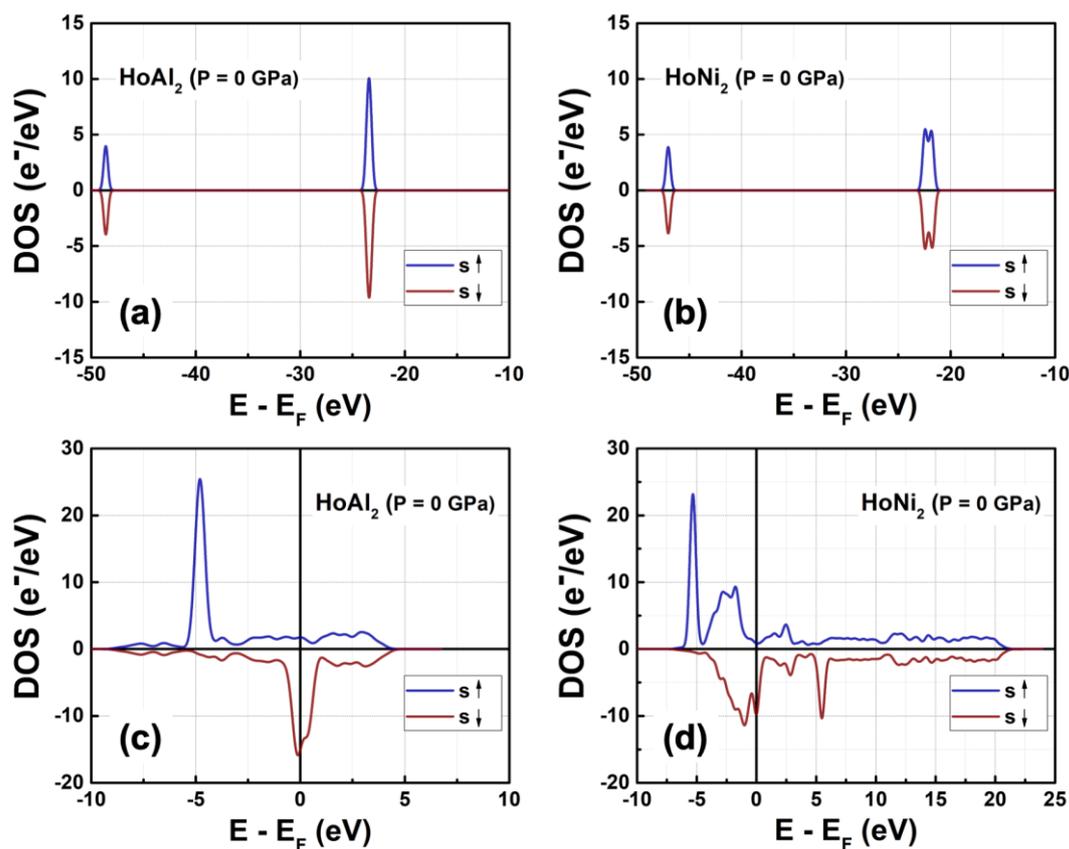
The total magnetic moments along the  $\langle 001 \rangle$  c-axis obtained for ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  Laves phases are  $8.61 \mu_{\text{B}}/\text{f.u.}$  and  $8.12 \mu_{\text{B}}/\text{f.u.}$ , respectively. These values, derived from DOS, match the single crystalline data previously reported in scientific literature:  $9.15 \mu_{\text{B}}/\text{f.u.}$  to  $9.18 \mu_{\text{B}}/\text{f.u.}$  for  $\text{HoAl}_2$  [18,25] and  $8.52 \mu_{\text{B}}/\text{f.u.}$  for  $\text{HoNi}_2$  [19]. As summarized in **Table 3**, the net magnetic moment determined for polycrystalline ribbons [16,17], and bulk/massive [44,45] samples is close to that obtained from DFT quantum calculations.

**Table 3.** Cell parameter  $a$ , calculated magnetic moment  $\mu_{\text{T}}$  and magnetization of  $\text{HoAl}_2$  and  $\text{HoNi}_2$  compared with experimental data reported in the literature.

Laves phase	Alloy type	$a$ (Å)	$\mu_{\text{T}}$ ( $\mu_{\text{B}}/\text{f.u.}$ )	$T_{\text{C}}$ (K)	$M_{\text{s}}$ ( $\text{Am}^2\text{kg}^{-1}$ )	magnetization axis	reference	
$\text{HoAl}_2$	DFT+U framework	7.810	8.61 <sup>a</sup>	-	220 <sup>a</sup>	$\langle 001 \rangle$ ; intermediate	this work	
	single-crystal	7.816 <sup>c</sup>	9.18 <sup>b</sup>	31.5	235 <sup>b</sup>	$\langle 011 \rangle$ ; easy	[18]	
		7.838	9.15 <sup>c</sup>	29.0	234 <sup>c</sup>		[25]	
	polycrystalline	bulk	7.8024	7.86	27.0	201	close to $\langle 001 \rangle$ ; intermediate	[44]
		ribbons	7.8109	7.08 <sup>ce</sup>	24.0	181 <sup>ce</sup>	close to $\langle 001 \rangle$ ; intermediate	[16]
$\text{HoNi}_2$	DFT+U framework	7.130	8.12 <sup>a</sup>	-	161 <sup>a</sup>	$\langle 001 \rangle$ ; easy	this work	
	single-crystal	-	8.52 <sup>d</sup>	13.4	168 <sup>d</sup>	$\langle 001 \rangle$ ; easy	[19]	
		bulk	7.1318	8.40	22.0		167	very close to $\langle 001 \rangle$ ; easy
	polycrystalline	ribbons	7.1497	8.02 <sup>c</sup>	13.9	159 <sup>c</sup>	close to $\langle 001 \rangle$ ; easy	[17]

Experimental data measured at temperature: <sup>a</sup>  $T = 0$  K. <sup>b</sup>  $T = 4.2$  K. <sup>c</sup>  $T = 2.0$  K. <sup>d</sup>  $T = 1.4$  K. <sup>e</sup> determined from **Figure A2**.

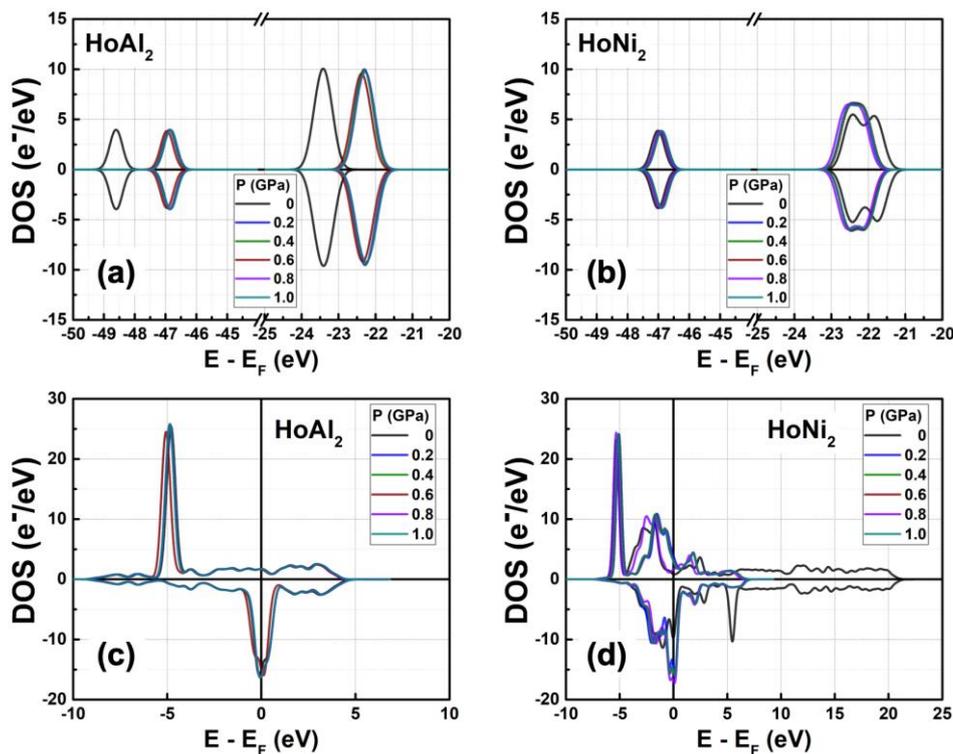
The crystal structure cell unit is reported to room temperature.



**Figure 3.** Total DOS at  $P = 0$  GPa obtained for ferromagnetically ordered (a)  $\text{HoAl}_2$  and (b)  $\text{HoNi}_2$  compounds.

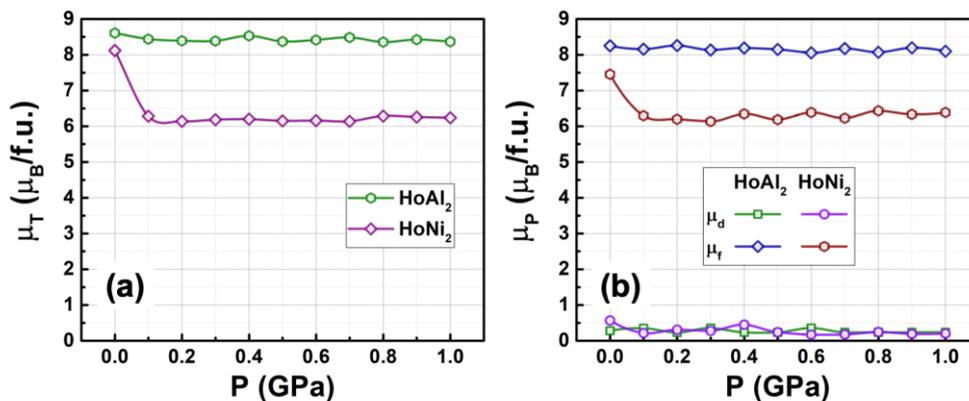
### 3.3.2. Total Electronic Density of States at $0 \text{ GPa} < P \leq 1.0 \text{ GPa}$

**Figure 4** shows the calculated DOS for ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  Laves phases under external hydrostatic pressures from 0 GPa to 1.0 GPa. For both alloys, a small increase in the external pressure applied to the crystal structure causes a shift to higher energies of the  $s$  and  $p$  orbitals. The shift is more evident in  $\text{HoAl}_2$  (**Figure 4(a)**) than in  $\text{HoNi}_2$  (**Figure 4(b)**). Meanwhile,  $d$  and  $f$  orbitals move closer to the Fermi energy level. For  $\text{HoNi}_2$ , a significant redistribution occurs in the electronic population of  $f$ -orbitals at non-zero pressure; the maximum of the spin-up channel increases from 14.26  $e^-/eV$  to 23.48  $e^-/eV$ , while the initial splitting of the spin-down channel disappears, and a maximum electronic density of  $-14.35 e^-/eV$  is observed.



**Figure 4.** Total DOS obtained for ferromagnetically ordered (a) HoAl<sub>2</sub> and (b) HoNi<sub>2</sub> crystal structures under external hydrostatic pressures between 0 GPa and 1.0 GPa. Selected curves are shown to provide visual insight.

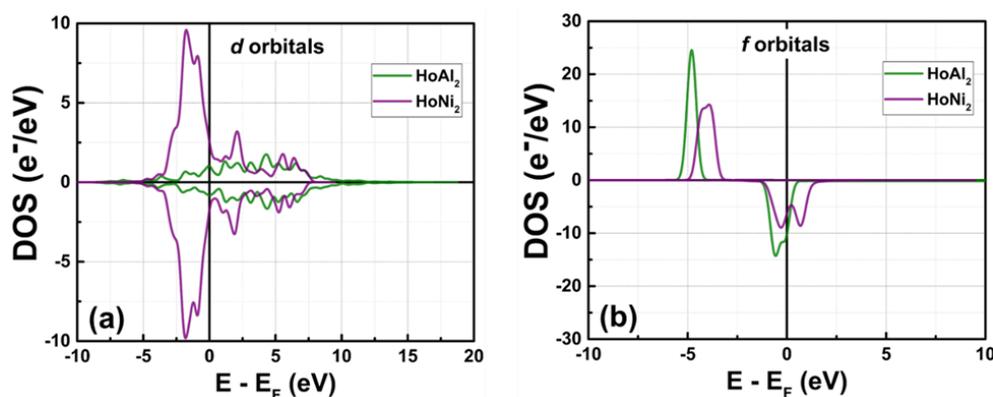
**Figure 5(a)** shows the calculated total magnetic moment versus hydrostatic pressures in the range of 0 GPa  $\leq P \leq$  1.0 GPa for ferromagnetically ordered HoM<sub>2</sub> (M = {Al, Ni}) crystal structures. For HoAl<sub>2</sub>, the total magnetic moment remains nearly constant (around a mean value of 8.49  $\mu_B$ /f.u.) as applied pressure increases up to 1 GPa. On the contrary, for HoNi<sub>2</sub>, the total magnetic moment at P = 0 GPa (8.12  $\mu_B$ /f.u.) decreases to 6.29  $\mu_B$ /f.u. at 0.1 GPa and then remains nearly constant for a non-zero applied pressure. This is a 22.5 % that DOS was modified after a 0.1 GPa, that can be useful in multicaloric approach during the magnetic phase transition [2,3]. Additionally, **Figure 5(b)** displays the calculated magnetic moment associated with *d* and *f* orbitals for both alloys. It is important to note that the magnetic order mainly results from localized *f* electrons. The itinerant electrons contribute only minimally to the magnetic moment. Moreover, for HoNi<sub>2</sub>, the magnetic contribution of 4*f* electrons decreases from 7.45  $\mu_B$ /f.u. to 6.31  $\mu_B$ /f.u. under an applied pressure of 0.1 GPa and then remains nearly constant at a non-zero value pressures.



**Figure 5.** (a) Total magnetic moment and (b) contributions from electrons in the  $d$  and  $f$  orbitals to the magnetic moment in ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  Laves phases, plotted as a function of external hydrostatic pressure ( $0 \text{ GPa} \leq P \leq 1.0 \text{ GPa}$ ). The  $\mu_T$  and  $\mu_P$  values are obtained from the total and partial DOS, respectively.

### 3.3.3. Electronic Partial Density of States at $P = 0 \text{ GPa}$

The obtained partial density of electronic states (PDOS) corresponding to  $d$  and  $f$  orbitals is shown in **Figure 6**. Once a transition metal like Ni replaces the post-transition metal Al in the crystalline structure, the electronic population of  $3d$  electrons increases, while the density of electrons in  $4f$  orbitals decreases, resulting in a broadened peak of the DOS curve. The contributions per orbital to the total magnetic moment are listed in **Table 4**. At  $P = 0 \text{ GPa}$ , electrons localized at  $d$  orbitals contributed less to the net magnetic moment in the crystal structure  $\text{HoAl}_2$  (i.e.,  $0.28 \mu_B/\text{f.u.}$ ) compared to  $\text{HoNi}_2$  (i.e.,  $0.57 \mu_B/\text{f.u.}$ ). Therefore, the magnetic behavior of the ferromagnetically ordered  $\text{HoAl}_2$  crystal structure is just due to unpaired electrons localized at  $f$  orbitals. When Al is fully replaced by Ni, the number of unpaired electrons in the  $d$  orbital increases; as a result, the magnetic moment of  $\text{HoNi}_2$  arises from  $3d$  and  $4f$  electrons. Moreover,  $s$  and  $p$  orbitals shift to higher energies when Al is replaced by Ni, and  $p$  orbitals tend to decrease their maximum population, resulting in a broadened and flattened peak band. Partial DOS for  $s$  and  $p$  orbitals is shown in **Figure A3**. Finally, the contribution of electrons localized at  $s$  and  $p$  orbitals to the total magnetic moment is almost negligible for both alloys,  $\text{HoAl}_2$  and  $\text{HoNi}_2$ .



**Figure 6.** Partial DOS at  $P = 0 \text{ GPa}$  obtained for (a)  $d$  and (b)  $f$  orbitals in the ferromagnetically ordered  $\text{HoM}_2$  with  $M = \{\text{Al}, \text{Ni}\}$  Laves phases.

**Table 4.** Net magnetic moment values calculated using eq. (5) from the obtained DOS and compared with experimental data [18,19]. Electronic quantities with spin up and down, along with the difference in electronic states at the Fermi level, are shown for the ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  Laves phases along  $\langle 001 \rangle$  direction.

Alloy	$ns\uparrow$	$ns\downarrow$	$\Delta n(E_F)$ ( $e^-$ / $e^-$ )	$\mu_{S\uparrow}$ ( $\mu_B/\text{f.u.}$ )	$\mu_{S\downarrow}$ ( $\mu_B/\text{f.u.}$ )	$\mu_T^{\text{DFT+U}}$ ( $\mu_B/\text{f.u.}$ )	$\mu_s$ ( $\mu_B/\text{f.u.}$ )	$\mu_p$ ( $\mu_B/\text{f.u.}$ )	$\mu_d$ ( $\mu_B/\text{f.u.}$ )	$\mu_f$ ( $\mu_B/\text{f.u.}$ )	$\mu_P$ ( $\mu_B/\text{f.u.}$ )
	( $E_F$ ) ( $e^-$ / $e^-$ )	( $E_F$ ) ( $e^-$ / $e^-$ )									
$\text{HoAl}_2$	1.76	15.10	-13.34	31.30	-22.69	8.61 <sup>†</sup>	-0.03	0.04	0.28	8.79	9.08
$\text{HoNi}_2$	14.59	-9.11	5.48	37.08	-28.96	8.12 <sup>‡</sup>	0.11	-0.17	0.57	7.45	7.96
Experimental values of total magnetic moment $\mu_T^{\text{exp}}$ : <sup>†</sup> 9.18 $\mu_B/\text{f.u.}$ [18], and <sup>‡</sup> 8.52 $\mu_B/\text{f.u.}$ [19].											

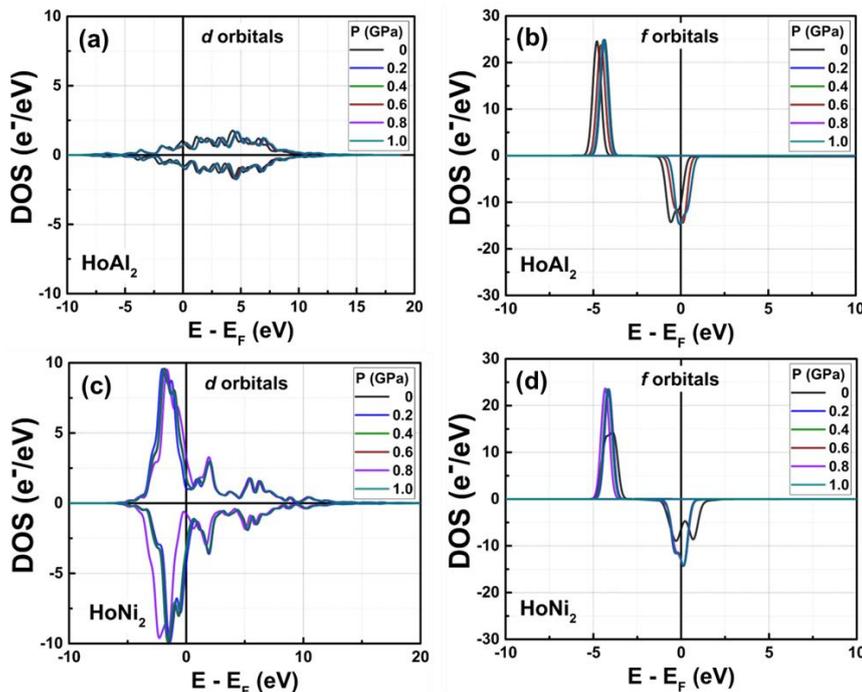
On the one hand, **Figure 6(a)** shows that the  $4d$  and  $3d$  electrons of Ho in HoAl<sub>2</sub> are located very close to the Fermi level with a very low density of states. In contrast, the  $4f$  electrons of Ho in the spin-up channel are situated at  $-4.77$  eV, far from the Fermi level, while the  $4f$  electrons in the spin-down channel are practically at the Fermi level ( $-0.7$  eV); see **Figure 6(b)**. For HoNi<sub>2</sub>, the  $3d$  electrons in both spin-up and spin-down channels are near the Fermi level (at  $-1.75$  eV). Conversely, the  $4f$  electrons of Ho at the spin-up channel are localized at  $-4.03$  eV far away from the Fermi level; in the case of the spin-down channel,  $4f$  electrons are very close to the Fermi level (from  $-1.16$  eV to  $0$  eV). Noticing that the amount of Ho  $4f$  electrons in HoAl<sub>2</sub> has larger peaks in the density of states compared to the broad, lower peaks of Ho  $4f$  electrons in HoNi<sub>2</sub>. Finally, the Ni  $3d$  electrons have a higher density of states compared to the Ho  $4d$  and  $3d$  electrons.

### 3.3.4. Electronic Partial Density of States at $0 \text{ GPa} \leq P \leq 1.0 \text{ GPa}$

For ferromagnetically ordered HoM<sub>2</sub> ( $M = \{\text{Al}, \text{Ni}\}$ ) Laves phases, the applied external hydrostatic pressure induces a shift in the energies of the  $s$ ,  $p$ ,  $d$ , and  $f$  bands. This shift is more noticeable for the  $s$  and  $p$  bands in HoAl<sub>2</sub> than in HoNi<sub>2</sub>. The PDOS obtained for  $s$  and  $p$  orbitals are shown in **Figure A4** of the Appendix section.

**Figure 7** displays the PDOS for the  $d$  and  $f$  orbitals under external hydrostatic pressures ranging from  $0$  GPa to  $1.0$  GPa. In the ferromagnetically ordered HoAl<sub>2</sub> Laves phase, the applied pressures ( $0$  GPa to  $1.0$  GPa) cause a slight rearrangement of the  $3d$  and  $4d$  Ho spin-up and spin-down channel bands. See details in **Figure 7 (a)**. The  $4f$  electrons of Ho in the spin-up channel, initially localized far from the Fermi level at  $-4.77$  eV, move slightly closer to the Fermi level as pressure increases, reaching  $-4.34$  eV at  $1.0$  GPa (**Figure 7 (b)**). Meanwhile, the  $4f$  electrons in the spin-down channel, initially localized at  $-0.70$  eV, nearly reach the Fermi level.

**Figures 7(c)** and **7(d)** illustrate that for HoNi<sub>2</sub>, the  $3d$  electrons of Ni in both spin-up and spin-down channels do not undergo significant changes as the external pressure increases from  $0$  GPa to  $1.0$  GPa. Furthermore, the  $4f$  electrons of Ho, a rare earth element, in both spin-up and spin-down channels show an increase in their maximum electronic density under non-zero applied pressure. It is important to note that the initial splitting of the spin-down channel tends to disappear. External pressure causes a redistribution of the electronic population across the orbitals for both alloys, but the most affected orbitals are the  $4f$  orbitals of HoNi<sub>2</sub>. The maximum population of electrons with spin-up at  $f$  orbitals of HoNi<sub>2</sub> increases from  $14.26 \text{ e}^-/\text{eV}$  to  $23.48 \text{ e}^-/\text{eV}$ , and their electronic density of the spin-down channel rises to  $-14.35 \text{ e}^-/\text{eV}$  near the  $E_F$  level, as shown in **Figure 7(d)**.



**Figure 7.** Partial DOS obtained for (a), (c)  $d$  and (b), (d)  $f$  orbitals of ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  crystal structures, respectively, under external hydrostatic pressures ranging from 0 GPa to 1.0 GPa (selected curves are shown for better visualization).

The net magnetic moment [40] was calculated using the following equation:

$$\mu_T = \int_{E_1}^{E_F} n_{S\uparrow}(E) dE - \int_{E_2}^{E_F} n_{S\downarrow}(E) dE \quad (5)$$

where  $E_1$  and  $E_2$  represent the starting energies of electronic states for spin-up and spin-down channels, respectively. **Table 4** presents the calculated net magnetic moment computed from the simulated DOS for ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  Laves phases, along with the contribution of electrons at  $s$ ,  $p$ ,  $d$ , and  $f$  orbitals to the total magnetic moment. When Al is fully replaced by Ni, the contribution of  $d$  electrons to the total magnetic moment slightly increases. Furthermore, the main contributor to magnetic behavior in ferromagnetically ordered  $\text{HoM}_2$  with  $M = \{\text{Al}, \text{Ni}\}$  are the  $f$  electrons.

#### 4. Conclusions

This study examined how hydrostatic pressures from 0 GPa to 1.0 GPa affect the crystal stability, electronic properties, and magnetic properties of ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  Laves phases through DFT+U calculations using the RPBE exchange-correlation functional within the GGA framework. All calculations were performed along the  $\langle 001 \rangle$  direction, and the net magnetic moment obtained remains nearly constant for ferromagnetically ordered  $\text{HoAl}_2$ , with values of  $8.61 \mu_B$  per formula unit along the intermediate magnetization axis. The ferromagnetically ordered  $\text{HoNi}_2$  Laves phases experience a reduction in their initial magnetic moment from  $8.12 \mu_B/\text{f.u.}$  to  $6.29 \mu_B/\text{f.u.}$  in the easy magnetization axis when subjected to non-zero applied pressure. The latter  $\text{HoNi}_2$  Laves phase is useful for multicaloric approach in solid state cooling by using magneto-caloric and baro-caloric effects. The magnetic moment can be modified a 22.5 % under 0.1 GPa in the range of fully reversible loading and unloading regimes in the alloy when the hydrostatic pressure is applied and released, respectively. The most affected by the applied pressures was the  $\text{HoAl}_2$  Laves phase, with a compressive stress of  $-2.88\%$ , while the  $\text{HoNi}_2$  exhibited a compressive stress of  $-1.87\%$ . The interatomic distances change very little within the pressure range studied. The ferromagnetic order persists, displaying a reorganization of the electronic states, with the  $f$  orbitals of  $\text{HoNi}_2$  Laves phases being the most affected. The stability of the ferromagnetically ordered  $\text{HoAl}_2$  and  $\text{HoNi}_2$  Laves

phases' crystal structures remains unaffected across the entire range of applied pressures, and the formation energy stays constant up to 1.0 GPa.

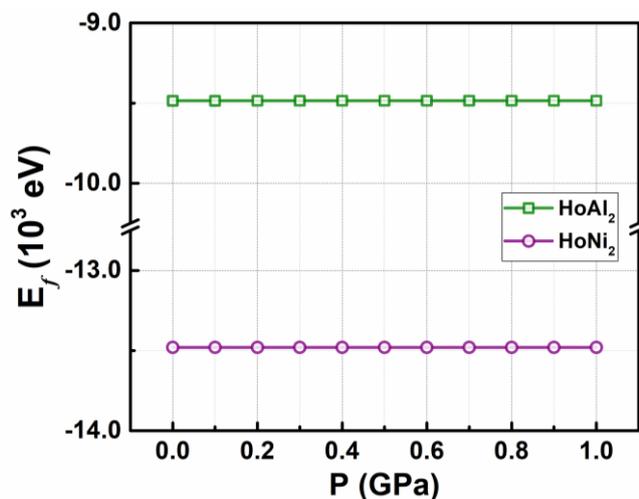
**Author Contributions:** “Conceptualization, T. López-Solenzal and C.F. Sánchez-Valdés; methodology, T. López-Solenzal and C.F. Sánchez-Valdés; software, T. López-Solenzal, M. Ramos and C.F. Sánchez-Valdés; validation, T. López-Solenzal and C.F. Sánchez-Valdés.; formal analysis, T. López-Solenzal and C.F. Sánchez-Valdés; investigation, T. López-Solenzal, D. Ríos-Jara, Manuel Ramos and C.F. Sánchez-Valdés; resources, C.F. Sánchez-Valdés; data curation, T. López-Solenzal; writing—original draft preparation, T. López-Solenzal and C.F. Sánchez-Valdés; writing—review and editing, T. López-Solenzal, D. Ríos-Jara, M. Ramos, and C.F. Sánchez-Valdés; project administration, D. Ríos-Jara; funding acquisition, D. Ríos-Jara, M. Ramos, and C.F. Sánchez-Valdés. All authors have read and agreed to the published version of the manuscript.”

**Data Availability Statement:** The data supporting this study's findings are available from the corresponding author upon reasonable request.

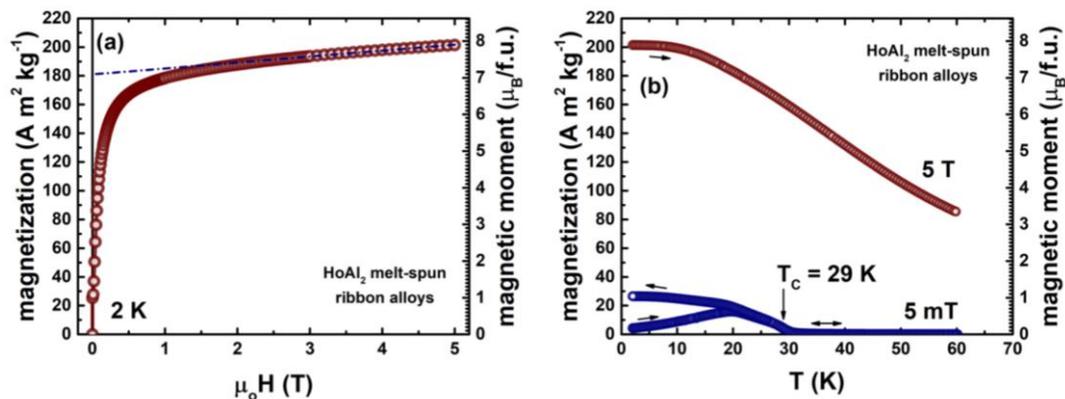
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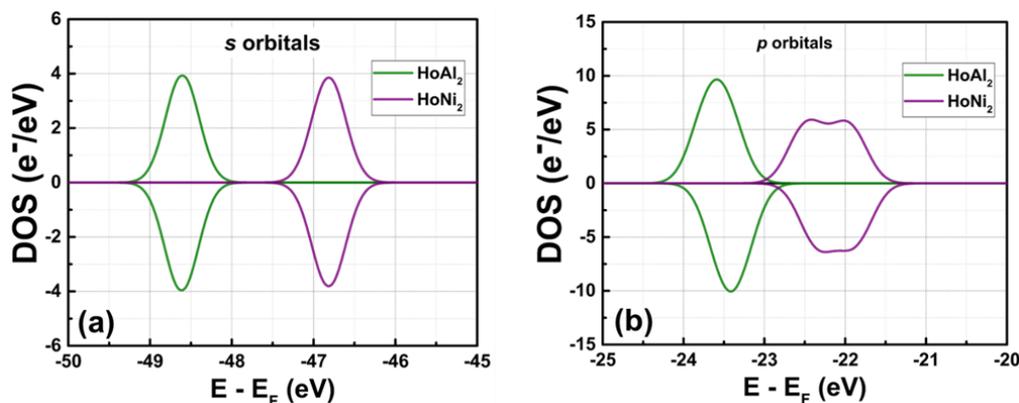
## Appendix A



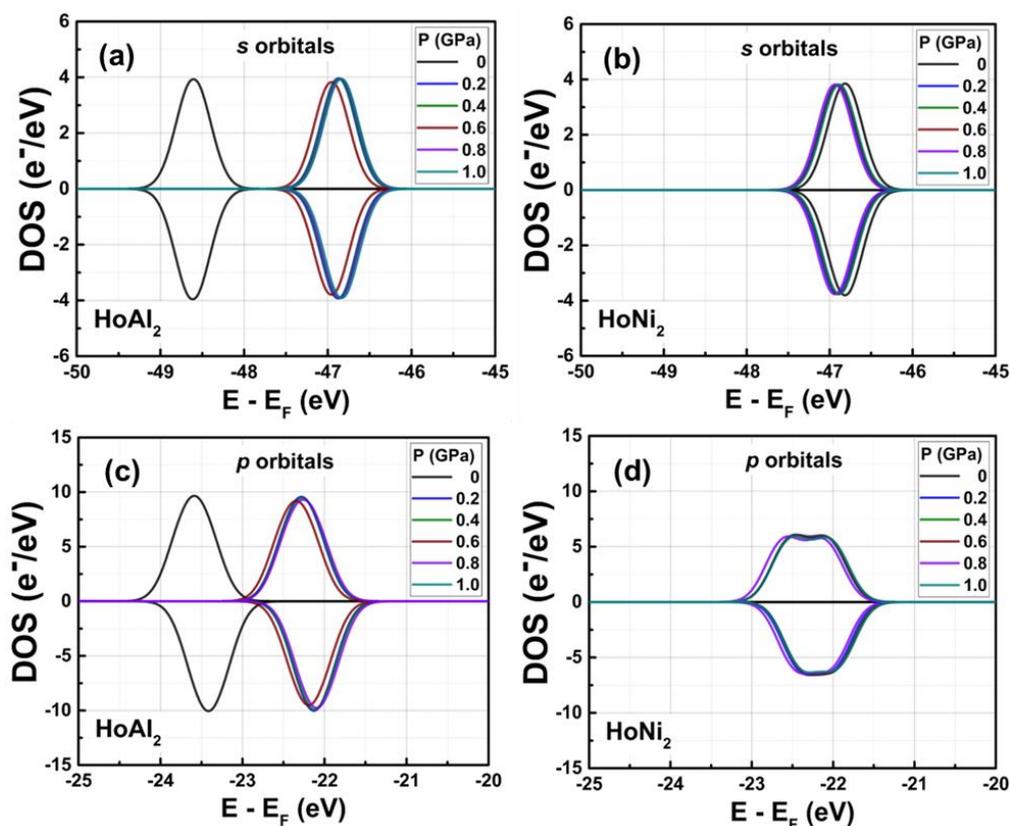
**Figure A1.** Calculated formation energy as a function of the applied hydrostatic pressure for ferromagnetically HoAl<sub>2</sub> and HoNi<sub>2</sub> Laves phases.



**Figure A2.** Magnetization isotherm at 2 K (a) and temperature dependence of magnetization measured under magnetic fields of 5 mT and 5 T (b) for HoAl<sub>2</sub> melt spun ribbons. The short-dashed line in (a) indicates the saturation magnetization extrapolated to a zero magnetic field (reported in Table 3). The magnetic moment per formula unit is calculated from the magnetization values. The measurements are performed in-plane along the major axis of the ribbon length using in Dynacool PPMS-VSM magnetometer. As pointed by the vertical arrow in (b), the sample shows T<sub>c</sub> = 29 K. More experimental details can be found in reference [16].



**Figure A3.** Partial electronic density of states at P = 0 GPa calculated for (a) s and (b) p orbitals in ferromagnetically ordered HoAl<sub>2</sub> and HoNi<sub>2</sub> Laves phases..



**Figure A4.** Partial electronic density of states calculated for  $s$  and  $p$  orbitals in ferromagnetically ordered  $\text{HoAl}_2$  ((a), (c)) and  $\text{HoNi}_2$  ((b), (d)) Laves phases within the pressure range of  $0 \text{ GPa} \leq P \leq 1.0 \text{ GPa}$ .

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