

Review

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Review

An Update on Microbial Interventions in Autism Spectrum Disorder with Gastrointestinal Symptoms

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Abstract: In the United States, autism spectrum disorder (ASD) affects 1 in 33 children and is characterized by atypical social interactions, communication difficulties, and intense, restricted interests. Microbial dysbiosis in the gastrointestinal (GI) tract is frequently observed in individuals with ASD, potentially contributing to behavioral manifestations and correlating with worsening severity. Moreover, dysbiosis may contribute to the increased prevalence of GI comorbidities in the ASD population and exacerbate immune dysregulation, further worsening dysbiosis. Over the past 25 years, research on the impact of microbial manipulation on ASD outcomes has gained substantial interest. Various approaches to microbial manipulation have been preclinically and clinically tested, including antibiotic treatment, dietary modifications, prebiotics, probiotics, and fecal microbiota transplantation. Each method has shown varying degrees of success in reducing the severity of ASD behaviors and/or GI symptoms and varying long-term efficacy. In this review, we discuss these microbiome manipulation methods and their outcomes. We also discuss potential microbiome manipulation early in life, as this is a critical period for neurodevelopment.

Keywords: autism; prebiotics; synbiotics; probiotics; diet; fetal microbiota transplant; antibiotics; inflammation; behavior; gut; brain-gut; gastrointestinal symptoms

Introduction

Autism spectrum disorder (ASD) is defined as having restricted interests and atypical social and communicative behaviors[1]. ASD individuals also face a suite of co-morbidities, with the most prominent being gastrointestinal issues (GI) which include abdominal pain, constipation and diarrhea[2]. A suggested reason for the increased prevalence of GI issues is microbial dysbiosis – a deviation of commensal microbial abundance and function – within the GI tract[3]. The most pre-dominate phyla of commensal gut microbes in humans include *Bacillota* (previously known as *Firmicutes*) and *Bacteroidetes*, followed by smaller populations composed of *Actinobacteria*, *Proteobacteria*, and *Verrucomicrobia*[4,5]. In gut biopsies from ASD children, shifts in the abovementioned phyla occur, with increased *Bacillota*, namely those part of the class *Clostridia*, and decreased *Bacteroidetes*[6]. This is opposite to what is found in stool samples, as *Bacteroidetes*, *Proteobacteria* and *Actinobacteria* are higher in severe ASD cases while *Bacillota* is lower[7,8]. In mice that received fecal transplants from ASD children, ASD like behavior, including reduced socialness and increased anxiety was observed[9]. However, in this instance, *Actinobacteria* was significantly reduced in mice that received ASD donor stool, as well as *Candidative S.*, whereas the *Temericutes* phyla was increased[9]. Based on these and similar studies, it has been hypothesized that microbial dysbiosis is one of the contributing factors in ASD etiology or pathophysiology, and therefore manipulation of the microbiome may be of critical clinical importance.

Given the importance of the gut microbiota in host physiology and health, and its influence on the central nervous system via the microbiota-gut-brain axis, it has become a popular therapeutic

target for neurological disorders. Therapeutic approaches targeting the gut microbiota in ASD are of great interest, as they are relatively easy to administer and non-invasive. Dietary supplementation of pre and probiotics, fecal microbiota transfer (FMT) therapy, as well as dietary changes and antibiotic treatments, have all been used as potential treatments in ASD for GI symptoms and aberrant behaviors. Below, we provide an updated overview of microbial-based interventions used to treat the behavioral aspects and co-occurring conditions in the context of ASD (Table 1).

Table 1. | Major clinical trials evaluating the efficacy of different microbial manipulation methods in ASD.

First Author & Year	Method	Therapy	Study Length	N	Behavioral Outcomes	GI Changes	Microbiome Changes	Other Changes	Ref
Sandler et al., 2001	Antibiotic	Vancomycin	8 weeks, 3x daily	ASD = 11 (3 – 7 years)	↑ communication and behavior	N/A	↓ anaerobic cocci	Behavioral improvements diminished within 2 weeks of treatment	10
Inoue et al., 2019	Prebiotic	PHGG	2-15 months	ASD = 13 (4-9 years)	↓ irritability	↓ constipation	↓ α-diversity ↓ <i>Streptococcus</i> , <i>Odoribacter</i> , <i>Eubacterium</i> ↑ <i>Blautia</i> , <i>Acidaminococcus</i>	↓ IL-1β, IL-6	29
Grimaldi et al., 2018	Prebiotic	B-GOS and/or dietary intervention	6 weeks, daily	ASD = 13 (5 – 10 years)	A trend towards improved sleep patterns ↑ Social behavior ↓ antisocial behavior	Children on exclusion diets had improved abdominal pain and bowel movements	↑ <i>Bifidobacterium</i> spp., <i>Ruminococcus</i> spp., members of <i>Lachnospiraceae</i> , <i>Eubacterium dolichum</i> , TM7-3 family and <i>Mycobacteriaceae</i> .	Positive associations with B-GOS intake and ethanol, DMG and SCFA metabolites Negative associations between B-GOS intake and amino acids and lactate	31
Tomova et al., 2014	Probiotic	Mixture of <i>Bifidobacteri</i> <i>a</i> , <i>Lactobacillus</i> , and <i>Streptococcus</i>	4 months, 3x daily	ASD = 10 (2-9 years) Siblings = 9 (5-17 years) TD = 10 (2-11 years)	N/A	N/A	↓ <i>Bacteroidetes</i> , <i>Bacillota</i> , <i>Bifidobacterium</i> spp. and <i>Desulfovibrio</i> spp.	↓ fecal TNFα Positive association between GI Symptoms and Behavior Association between <i>Desulfovibrio</i>	40

								and restrictive/repe titive behaviors
Liu et al., 2019	Probiot ic	<i>Lactobacillu s plantarum</i> PS 128	4 Weeks	ASD = 71; 39 Placebo , 36 PS128 (7 – 15 years)	↓ Body and object use, SRS-total, anxiety, rule- breaking behaviors, SNAP-IV total scores, hyperactivity, and impulsivity (exploratory analysis only)	N/A	N/A	Behaviors improved more in 7–12-year- old children 42
West et al., 2013	Probiot ic	Delpro Supplemen t – a mixture of <i>Lactocillus</i> , <i>Lactobacillu s</i> , and <i>Bifidobacteri a</i> strains and Del- Immune V powder derived from <i>L. rhamnousus</i>	21 days,3x daily	ASD = 33(3-16 years)	↓ ATEC scores (speech/lang uage, sociability, sensory/cogni tive awareness, and physical behavior) ↓ constipati on ↓ diarrhea		N/A	Several caregivers reported that it would take longer than 21 days to see improvements. Caregivers also reported the “immunity booster” in the Delpro supplement seemed to make a difference compared to their last probiotic experiences 52
Arnol d et al., 2018	Probiot ic	VISBIOME – a mixture of <i>Lactobacilli</i> , <i>Bifidobacteri um</i> , <i>Streptococcu s</i> <i>thermophilu s</i> and starch	19 Weeks (8 daily weeks of treatmen t, 3 weeks washout, 8 weeks cross- over daily treatmen t)	ASD = 10 (3-12 years)	Trend of improved ABC irritability, ABC hyperactivity, PSI total stress and CSHQ assessments	Trend of improved PedsQL GI total score	No changes in α- diversity	↑ % of <i>Lactobacillus</i> associated with improved Peds QL Scores 53

Billeci et al., 2022	Probiotic	VISBIOME - a mixture of <i>Lactobacilli</i> , <i>Bifidobacterium</i> , <i>Streptococcus thermophilus</i> and starch	6 months	ASD receiving probiotics c = 26 ASD receiving placebo = 20	↓ frontopolar power ↑ beta and gamma waves in the frontopolar coherence (concentration and working memory)	N/A	N/A	Negative correlation between frontopolar coherence and peripheral TNFα	48
								Decreased power related to decreased RBS-R total scores.	
Mensi et al., 2021	Probiotic	<i>Lactobacillus plantarum</i> PS 128	6 months	ASD = 131 (mean age = 7)	Improved Clinical Global Impression scores	N/A	N/A	Increase in coherence related to increased VABS-II "Writing skills"	41
								There was an association between younger age and probiotic mediated behavioral improvements	
Kang et al., 2017	FMT	2-week vancomycin treatment followed by Fecal Microbiota Transfer (1 initial high rectal or oral dose, followed by daily, oral, maintenance doses)	18 weeks (10-week treatment, 8-week observation)	ASD = 18 (7-16 years) TD = 20 (age/sex matched, no treatment)	↑ increased total scores on the CARS, SRS, ABC, and VABS-II assessment	↓ for abdominal pain, indigestion, diarrhea, and constipation ↓ GSRS scores	↑ bacterial diversity ↑ <i>Bifidobacterium</i> , <i>Prevotella</i> , and <i>Desulfovibrio</i>	No difference between oral or rectal initial doses	61
								Bacteriophage richness and evenness were largely unchanged following treatment	
Li et al., 2021	FMT	Weekly FMT, rectal or oral, therapy for 4 weeks. No vancomycin or additional	12 weeks (4 weekly treatment/8-week observation)	ASD = 40 (3-17 years) TD = 16 (age/sex matched, no	↓ CARS, SAS, and SRS total scores	↓ Hard, soft, and abnormal stools	No changes in α-diversity Reduced uniFrac distances between	↓ 5-HT, GABA, DA	63

medication given prior to treatment.	treatme nt)	ASD and donor Lower <i>Eubacterium coprostanolig enes</i> in FMT responders
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5-HT: Serotonin; ABC: Aberrant Behavior Checklist; ASD: Autism Spectrum Disorder; ATEC: Autism Treatment Evaluation Checklist; B-GOS: Biologically Active Galacto-Oligosaccharides; CARS: Childhood Autism Rating Scale; CSHQ: Child Sleep Habits Questionnaire; DA: Dopamine; DMG: Dimethylglycine; FMT: Fecal Microbiota Transfer; GABA: gamma-aminobutyric acid; GI: Gastrointestinal; GSRS: gastrointestinal symptom rating scale; IL: Interleukin; N/A: Not Applicable; PedsQL: Pediatric Quality of Life Inventory; PSI: Parenting Stress Index; RBS-R: Repetitive Behavior Scale-Revised; SAS: Social Responsiveness Scale; SRS: Social Responsiveness Scale; SCFA: Short-Chain Fatty Acids; SNAP-IV: Swanson, Nolan, and Pelham-IV-Taiwan version; TD: Typically Developing; TNF: Tumor Necrosis Factor; VABS-II: Vineland Adaptive Behavior Scales, Second Edition.

Antibiotics

Sandler and colleagues were among the first to document the use of antibiotics to correct ASD related microbial dysbiosis[10]. In their seminal study, 11 ASD children were treated with vancomycin 23 times a day for 8 weeks, followed by 4 weeks of probiotic treatment of *Lactobacillus acidophilus*, *L. bulgaricus*, and *Bifidobacterium bifidum* in an open-label trial[10]. Despite short term behavioral improvements, long term benefits were non-apparent, with some subject's behavioral improvements returning to baseline no less than 2 weeks after treatment termination. A time period much less than most placebo effects[11]. Since then, a few case reports have documented the use of metronidazole, amoxicillin, cefazolin and Bactrim (medication brand) and reported corresponding behavioral improvements, though again short-lived, in ASD children[12,13]. Antibiotic usage to treat other neurological conditions are mixed, as a recent systemic review evaluating the efficacy of antibiotics for schizophrenia found little significant impact[14]. In fact, antibiotic treatment itself has been associated with adverse neuropsychiatric events[15]. There are several reasons why antibiotic therapy in ASD may not be the most appropriate approach, including antibiotic resistance, however, these early works were important in establishing the connection in treating ASD symptomology via microbial manipulation.

Dietary Interventions

Several dietary interventions strategies have been proposed to help ameliorate GI and behavioral symptoms in ASD, with the most common interventions including casein, gluten, and/or carbohydrate (ketogenic)-free diets[16]. Implementing dietary interventions in young children with ASD may be challenging, due to textural/sensory sensitivities, food aversion and/or food restriction. Consequently, the nutritional profile of the child is often limited, and the microbiome is shifted towards one that is associated with the diet that is impacted by such food aversions/restrictions[17]. In a recent national survey polling 818 caregivers and adults with ASD, a healthy diet was associated with an overall benefit, with a gluten and casein-free (GFCF) and ketogenic diets being among the most popular dietary form of intervention, and also the ones with the largest perceived benefit[18]. Both GFCF and ketogenic diets were reported to improve cognition and social interaction and understanding, however, with only the ketogenetic diet improving constipation and seizures[18]. Similar findings were observed in an open-label clinical trial, where 15 ASD children were given a ketogenic gluten-free diet with medium chain triglycerides (MCT)[19]. Autism Diagnostic Observation Schdule-2 (ADOS-2) cumulative scores were reduced 3 months after the modified ketogenic diet introduction, as well as reduced ADOS-2 Social Affect. Several measures on the CARS-2 assessment were also improved, including imitation, body use, and fear and nervousness. Lastly, a modified ketogenic diet reduced BMI and the percentage of peripheral eosinophils[19]. Increased

eosinophil infiltrate into the duodenum has previously been shown in ASD, with a recent meta-analysis reporting a relationship between eosinophilic GI disorders and ASD[20,21]. Whether similar decreases in intestinal eosinophils occurs after ketogenic diet in ASD has so far not been tested.

Regarding GFCF diets, a recent meta-analysis evaluating the effectiveness of GFCF diets across 8 studies found that stereotypical behaviors and cognition were significantly improved, but not social and communication issues[22]. Other studies have found no differences or profound improvements between GFCF diets in ASD behaviors[23,24]. It is hypothesized that the benefits from exclusion diets of this nature are related to excess opioids, where gluten and casein derived peptides (gliadomorphine and casomorphine) that are functionally similar to opioids act on the central nervous system to exacerbate ASD symptoms[25]. Increased IgA and IgG antibodies targeting proteins found in whey and cow's milk have been observed in ASD, therefore it is also possible that the elimination of gluten and casein derived antigens improves behavior by reducing the severity of antibody mediated food allergies[26].

Prebiotics

Prebiotics are non-digestible compounds that benefit the host by supporting beneficial microbial growth[27]. Carbohydrates and oligosaccharides are the largest class of prebiotics, though other non-carbohydrates and dietary-related compounds can also be considered prebiotics such as plant-derived fibers, guar gum, and resistant starches[27]. Health benefits because of dietary supplementation with prebiotics have been observed to reduce severity in a variety of health conditions, including those characterized by GI issues. For instance, in individuals suffering from constipation, short-term supplementation with partially hydrolyzed guar gum (PHGG) results in increased bowel movements and beta diversity of bacterial populations[28]. Moreover, daily supplementation for two months with PHGG resulted in an increased abundance of *Blautia* and *Acidaminococcus* spp. and improved irritability[29]. PHGG also reduced GI symptoms and lowered serum levels of the proinflammatory cytokine IL-1 β . Prebiotics also impact neurological health. For instance, fructo-oligosaccharides (FOS) and galacto-oligosaccharides (GOS) have anti-depressant effects, likely though reducing cortisol levels and inflammation[30].

In ASD, the supplementation of prebiotics has generally resulted in improved behaviors, reduced quantities of potentially pathogenic microbes, and lower inflammatory markers. In a recent randomized control trial (RCT) led by Girimaldi and colleagues, supplementation with the beta-galactooligosaccharide mixture B-GOS, in combination with a gluten or casein-free diet, resulted in improved sociability scores on the Autism Treatment Evaluation Checklist (ATEC) and a trend towards reduced GI symptoms[31]. Improvements in behavior and inflammation may be due to the influence of B-GOS on bacterial populations. In a continuous culture system cultured with the fecal microbiota from ASD children, supplementation with B-GOS resulted in increased *Bifidobacteria* spp[32]. Promoting the growth of beneficial microbes can positively impact behavior, as the administration of *Bifidobacterium longum* is associated with increased social resiliency[33]. In another study, daily administration of AFO-202, a glycan produced by *Aureobasidium pullulans*, alongside L-carnosine and behavioral therapy, resulted in significant behavioral improvement and microbial shifts/re-adjustment[34]. Lower abundances of *Enterobacter* and *Desulfovibrio* species and increases in *Faecalibacterium prancer*, *B. longum*, and the CAG:124 *Bacillota* member were also found. In a separate study testing the efficacy of bovine colostrum product (BCP) and *B. Infantis* treatment, BCP alone resulted in improved abhorrent behaviors, reduced GI symptoms, and reduced frequencies of TNF α +CD8 $^{+}$ T cells[35].

Probiotics

Probiotics are live microorganisms that, when ingested in sufficient amounts, can confer health benefits[27]. Standard probiotic treatment includes the administration of specific bacterial strains. The most common types are *Lactobacilli* and *Bifidobacteria*. Generally speaking, probiotics occupy microbial niches or utilize nutrients that otherwise would have supported pathogenic bacterial

populations[36]. They also directly promote GI homeostasis by producing factors that promote anti-inflammatory immune cell phenotypes and indirectly by promoting GI barrier integrity[37].

Microbial dysbiosis and its resulting microbial-derived metabolites are implicated in ASD etiology and severity [38,39]. In ASD, probiotic supplementation helps balance out microbial dysbiosis and results in improved behavioral outcomes. In a study by Tamavo et al, administration of *Lactobacillus*, *Bifidobacteria*, and *Streptococcus* reduced overall *Bacillota* and *Desulfovibrio*[40]. Administration of single-strain probiotics has also generated beneficial results with improvement of ASD related behaviors. For example, daily supplementation with *Lactobacillus plantarum* PS128 in 131 ASD children from Italy generally resulted in increased attention span, communication skills and autonomy, but with no significant improvements in GI symptoms[41]. In a double-blind placebo controlled study of 36 Taiwanese ASD children, supplementation with *L. plantarum* for 4 weeks resulted in minimal behavioral improvements, with the largest improvements being related to anxiety and rule-breaking behaviors, with many of the improvements also related to participant age[42]. Differences in study outcomes may be due to geographical location, since probiotic usage may be more effective for microbiomes from one area/region/country than in those from other countries[43]. In model systems, oral supplementation with *Bacteroides fragilis* effectively reduced repetitive behaviors, anxiety, and sensorimotor gating issues in a mouse model of altered neurodevelopment induced by maternal immune activation during pregnancy[44]. In Shank3 KO mice, which have several ASD-relevant behaviors due to synaptic dysfunction and regulation, as well as gut dysbiosis and increased intestinal inflammation, treatment with *Lactobacillus reuteri* improved sociability and repetitive behaviors in male mice[45,46]. Improved behaviors were not mediated by changing the specific composition of the gut microbiota but rather through the stimulation of the vagal nerve and subsequent signaling of oxytocinergic social reward systems[46]. Targeting of the vagal nerve/oxytocin pathway may explain why the dual administration of intranasal oxytocin and *L. plantarum* S128 resulted in improved behavioral and social domains, and lower levels of the inflammatory markers SB100 and IL-1 β , in recent placebo-controlled RCT[47]. Behavioral improvements after probiotic usage may also be due to changes in brain hyperactivity, which was previously found to be improved in ASD children after six months of probiotic supplementation with VISBIOME –a proprietary blend of *Lactobacilli*, *Bifidobacterium*, *Streptococcus thermophilus*, and starch[48].

Probiotics and/or the metabolites they produce can have effects on local gut physiology include mucosal immune responses. Probiotic intake commonly results in increases in beneficial metabolites, such as short chain fatty acids (SCFA)[49]. Butyrate, a SCFA commonly produced by commercial probiotic strains, promotes the development of colonic regulatory T cells (cT_{regs}), that help maintain homeostasis and the balance between inflammatory and regulatory mechanisms, thus making butyrate a potent anti-inflammatory metabolite at mucosal surfaces[50]. Inflammation often exacerbates preexisting GI issues, which are prevalent in ASD[51]. After probiotic supplementation with 3 *Lactobacillus*, 2 *Bifidobacteria*, and 1 *Streptococcus* strains, lower fecal TNF α levels were observed in ASD children, which was also associated with fewer GI symptoms[40]. In a study by West and colleagues, daily supplementation with *Lactobacillus*, *Bifidobacteria*, and *L. ramus* lysates resulted in improved diarrhea and constipation symptoms, as well as improvements in all behavioral domains in the ATEC[52]. More recent studies have confirmed similar findings. In a crossover trial led by Arnold and colleagues, administration of VISBIOME, a probiotic mixture containing *Lactobacilli* and *Bifidobacteria* species, resulted in improved scores on the pediatric quality of life inventory GI module (PedsQL-GI) that persisted for eight weeks after administration[53]. However, health benefits from consuming probiotics may be limited to ASD individuals experiencing GI issues. In a six-month RCT testing the efficacy of VISBIOME in 31 ASD children, no significant behavioral improvements were noted in the group as a whole[54]. However, when GI status was considered, VISBIOME supplementation resulted in not only GI improvements but significantly more behavioral improvements than in the children without GI issues. The variability of findings in probiotic studies may be attributed to co-occurring GI conditions and small sample sizes that prevent comparison between ASD children with or without GI symptoms. ASD children with GI issues have been reported to have more impaired speech sociability and lower cognitive and sensory awareness[55].

Synbiotics

The combined use of prebiotics and probiotics, referred to as synbiotics, can have greater health benefits than pre or probiotics used alone. Synbiotics aid in the survival and stability of probiotic species by providing nutritional niches or protecting the bacteria from low gastric pH[27]. In this way, the health benefits of the probiotics can be prolonged. The study of symbiotic therapies for ASD has increased and can overcome some disadvantages of other microbial-based therapies previously used for ASD[10]. It may also explain why in some studies, the administration of prebiotics or probiotics alone has fewer therapeutic benefits than combined[56].

There is evidence that suggests synbiotic therapy improves ASD symptomology. In a recent trial testing the efficacy of the combined probiotics *Bifidobacterium* and *Lactobacillus* species and prebiotic FOS in 26 ASD children, improved ATEC scores in speech-language communication and sociability were noted 60 days after beginning supplementation[57]. Reduced abundance of *Clostridium* and *Ruminococcus* as well as increased levels of the fecal SCFA acetate, butyric, and propionic acid were also observed. Furthermore, using the *Simulator of the Human Intestinal Microbial Ecosystem* (SHIME) gut culture system, synbiotic supplementation with GOS plus *L. reuteri*, *B. longum* in the fecal microbiota from ASD children, reduced the abundance of *Desulfovibrio* and *Bacillota*, while increasing the abundance of beneficial microbes like *Lactobacillus*, *Bifidobacterium* and *Blaotia* spp[58]. Increases in SCFA, namely, acetic and butyric acid were also noted. The SCFA findings of Duque and colleagues' contrast those of Adams et al, who observed lower total SCFA content in ASD children taking probiotics[55,58]. Nevertheless, SCFA can have therapeutic potential when properly balanced, with an imbalance in either direction undesirable.

While synbiotic microbial and prebiotic supplementation generally results in improved behavior, inconsistencies regarding the extent of their benefits exist. For instance, a pilot study by Sanctuary and colleagues found no therapeutic advantage of using a symbiotic treatment on behavior but did show improvement in GI symptoms[35]. However, their relatively small sample size (8 ASD subjects) and lack of controls limits interpretation of the efficacy of symbiotic therapy in ASD. Inconsistencies may also be because no signature panel of microbes or metabolites has been observed in microbial dysbiosis in the context of ASD. Addressing an imbalance is therefore often personalized and not easily translated to the ASD population as a whole or even to subgroups within the ASD spectrum or based on specific comorbidities. Therefore, supplementation with one type of pre-, pro-, or symbiotic is not likely addressing inter-individual variation in gut dysbiosis. Precision biotics may be essential for overcoming these obstacles. In a new clinical trial using the Sun Genomics product Flore, customized administration of pre- and probiotics in 296 ASD individuals for three months improved receptive and expressive language, cognitive scores, and decreased overall GI symptom severity[59].

Fecal Microbiota Transfer

Despite the therapeutic benefits that come with antibiotic and probiotic treatment, their efficacy to manage microbial dysbiosis in ASD is limited. Fecal microbiota transfer (FMT) therapy, first approved for the treatment of *Clostridioides difficile* infection in 2022, has been used to successfully treat GI conditions caused by microbial dysbiosis, such as ulcerative colitis (UC) and Crohn's Disease (CD)[60]. More recently, FMT therapy has been considered for conditions originating outside of the GI tract, including neurological conditions and neurodevelopmental disorders, including ASD. In a now seminal clinical trial from Kang and colleagues published in 2017, FMT therapy using standardized human gut microbiota (SHGM) and its impact on ASD related behavior and GI symptoms was tested in 18 ASD children with GI symptoms[61]. Using a combination of vancomycin treatment, high dose rectal and oral SHGM administration, and maintenance SHGM doses for 10 weeks afterwards, followed by an 8 week observation period, significant improvements in ASD behavior and GI assessment scores were apparent by week 10, and continued for 8 weeks after treatment[61]. Behavioral and GI symptom improvements were followed by shifts in the abundance of *Bifidobacterium*, *Prevotella* and *Desulfovibro* members. In a separate open-label clinical trial with 40 ASD participants with GI issues, FMT therapy was administered for 4 weeks without prior antibiotic

treatment, followed by an 8-week observation period[62]. Gastrointestinal Symptom Rating Scale (GSRS), ABC and CARS scores improved after the 4-week period FMT administration and remained improved until the end of the study observation period[62]. In ASD participants that responded to FMT therapy versus those that did not (defined by having less than a 50% reduction in average GSRS score following FMT treatment), *Eubacterium coprostanolegenes*, a member of the *Bacillota* phyla, was significantly reduced in the ASD responders after therapy[62]. Since these initial studies, several recent studies also suggest positive outcomes after FMT treatment. In a study by Li and colleagues, administration of lyophilized donor stool of FMT orally once every 4 weeks (with a 12-week study end point) resulted in improved behavioral (ABC and CARS) and GI (GSRS) symptoms [63]. Similar to Kangs 2017 study, the abundance of bacterial species changed following FMT treatment, while diversity did not[63]. An increased abundance of the genera *Eubacterium*, *Anaerostipes*, *Fusicatenibacteria*, *Collinsella*, and *Dorea* were noted, whereas *Blautia*, *Prevotella*, and *Sellimonas* were decreased 12 weeks after treatment[63]. Recent case reports of FMT treatments in ASD individuals also report significant behavioral improvements[64,65]. Changes in the abundance of microbial community members can impact the metabolomic profile of both the gut and peripheral blood, which may also contribute to behavioral improvements [66]. Before FMT therapy, plasma metabolites involved in energy metabolism and anti-oxidation were distinctly lower in ASD children, most notably including nicotinamide riboside, indolepropionate, methylsuccinate, inosine monophosphate, and sarcosine. After FMT therapy, these metabolites were similar in concentration to controls[67]. A similar pattern of changes occurs in fecal samples, where the metabolites of ASD children become more like control children, although the effect is less pronounced[68]. Serum levels of neurotransmitters that are also dysregulated in ASD, such as serotonin (5-HT), gamma-aminobutyric acid (GABA), and dopamine (DA), were also improved after FMT[62].

Although results from FMT are encouraging, an 8–10-week observation period is associated with moderate placebo effects in functional GI disorder studies[69]. Unique to FMT therapy in ASD, and no other mode of microbiome therapies, is that these positive effects of FMT persisted for a significant period outside of the study period. In a follow up study with the participants from the Kang et. al, 2017 study, behavioral (as determined by the ABC, CARS, and Vineland Adaptive Behavior Scale [VABS] assessments) and GI symptoms (determined by the GSRS) were determined. It was shown that 2 years post treatment persistent behavioral improvement and GI symptom remission could be detected[70]. Intriguingly, some improvements were enhanced even further than at end of the original study, CARS scores were 47% lower after 2 years follow up than 23% lower after the initial study ended[70]. Such long-term improvements may be due to increased abundances of *Bifidobacterium*, *Prevotella*, and *Desulfovibrio* found during the follow-up period[70]. Furthermore, a longitudinal study evaluating the long-term outcomes in 328 Chinese ASD children found that improvements in behavioral and GI symptoms could be seen 2-3 years post-FMT treatment, but were largely lost 5 years post-FMT[71]. These encouraging results warrant further study, and investigations also need to be made to determine the long-term effects of other microbial manipulation strategies that so far have been lacking in the literature.

Early Life Microbial Interventions

Several avenues of research remain to be investigated in terms of the therapeutic potential of microbial manipulation in ASD. More recently, microbial supplementation during critical periods of brain development holds promise. In the maternal immune activation (MIA) model of altered neurodevelopment, supplementation with the synbiotic combination of *Bifidobacteria*, *Lactobacillus*, *FOS*, and *maltodextrin* during gestation protects the MIA offspring from ASD like behaviors, likely by reducing levels of IL-17A and IL-6 in the brain and promoting the differentiation of inhibitory neuronal cells[72]. In cross-fostering experiments where MIA offspring are exposed to the microbiota of healthy dams during the early post-natal period, behaviors are also rescued, with reduced inflammation also seen[38]. In the idiopathic BTBR model of ASD, the administration of sodium butyrate *in utero* and in adulthood reduces ASD-like repetitive behaviors and increases sociability[73,74]. In a human trial investigating probiotic supplementation during pregnancy,

probiotics were associated with reduced risk of neuropsychiatric disorders like ADHD and ASD[75]. However, no difference was found in a more extensive study testing probiotic supplementation from 35 weeks gestation until two years of age[76]. Moreover, Microbial induced imbalances in SCFA may also have adverse effects on neurodevelopment. For instance, exposure to moderate propionic acid concentrations shifts neuronal development towards excitatory phenotypes and increases inflammatory gene expression in human neural stem cells[77].

The establishment of the early life microbiome is directly tied with the development of immune tolerance, and because immune dysregulation is a common finding in ASD, microbial manipulation that targets immune education may be a unique therapeutic opportunity[3,78]. Early life exposure to gut dysbiosis, such as maternal antibiotic administration during labor, delivery method (e.g. cesarean section) and diet (e.g. formula), are all associated with ASD outcomes, and negatively impact the development of immune tolerance in offspring[79–82]. The importance of the gut-microbiota in tolerance development is observed in germ-free (GF) mice, which lack gut microbial communities[83]. GF mice have reduced thymus size and cellularity, and reduced expression of the autoimmune regulator (AIRE) transcription factor (reviewed in [84]). AIRE expression in the thymus is essential for the development of regulatory T cells (T_{regs}), a critical component involved in immune tolerance and, decreased frequencies and function of these cells are also implicated in ASD pathology. Failure to express AIRE results in autoimmunity and inflammation[85]. In pregnant and lactating dams fed a high-fiber diet, offspring had elevated serum levels of butyrate, resulting in increased AIRE expression and T_{regs} frequency[82]. This may be related to butyrate's ability to epigenetically modify transcriptional sites necessary for T_{regs} development [50,86]. In human cohorts of expectant mothers, elevated maternal serum acetate during gestation positively correlates with thymus size and offspring T_{regs} frequency several years after birth[87]. Mechanistically, this may also be due to histone modifications at essential T_{regs} promoter sites. In mice, maternal acetate was also found to suppress allergic airway disease in offspring by enhancing T_{regs} development via acetylation at T_{regs} promoter sites[88]. Similar results have been seen with early life probiotic administration/exposure. Administration of *Bifidobacterium breve* to preterm infants resulted in increased serum levels of TGF- β 1, a regulatory cytokine largely associated with T_{reg} activity[89,90].

Conclusions and Future Directions

Manipulating the gut microbiota presents a promising avenue for modulating certain ASD behaviors and associated comorbidities (Figure 1). Future research should focus on the longevity of these microbiome manipulation approaches and explore whether a combination of therapies (e.g., probiotic use and fecal microbiota transplantation) is more effective than any single approach. Moreover, it is crucial to investigate the timing of interventions, particularly the differences between early childhood and adolescence. Future studies should also consider the development of non-traditional approaches to microbial manipulation, such as personalized microbiome therapies or novel dietary strategies. Lastly, a multidisciplinary approach to microbial manipulation would likely aid in therapeutic efficacy, particularly from fields involved in nutrition, immunology, microbiology and psychology.

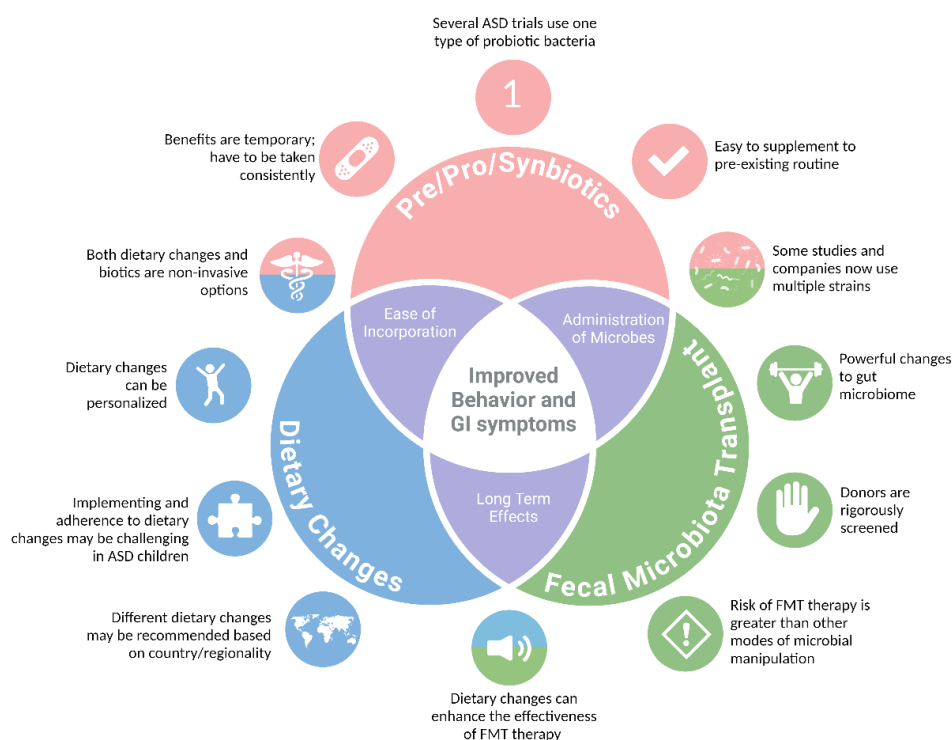


Figure 1. Similarities and differences in microbial based therapies used in ASD. A variety of microbial-based therapies have been explored for autism spectrum disorder (ASD), with the most common methods including probiotics (along with prebiotics and synbiotics), dietary changes, and, more recently, fecal microbiota transplantation (FMT). Each method has unique advantages—such as the ease of use of probiotics and the potent effects of FMT. These therapies share characteristics, and in some cases, they may demonstrate enhanced therapeutic effects when administered in combination rather than individually. Moreover, their combined use may prove to hold greater and longer lasting behavioral and gastrointestinal improvements in individuals with ASD. .

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