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Article

Sustainable Recovery of Phlorotannins from Durvillaea incurvata: : Integrated Extraction and Purification with Advanced Characterization

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Abstract: The rising demand for bioactive compounds from marine resources highlights the need for sustainable separation technologies. This study introduces an integrated process combining ultrasound-assisted extraction (USAE) and resin purification (RP) to isolate phlorotannins from Durvillaea incurvata, a brown seaweed with significant biomedical potential. Using a 32.5% ethanolwater solvent system for USAE followed by RP on Diaion HP-20 resin, phlorotannins were enriched 2.4-fold, with a simultaneous removal of interfering compounds like mannitol (~100%), wich was demonstrated by FTIR and HPLC-IR analysis. Advanced characterization through UHPLC-QToF-MS/MS identified five novel phlorotannins with polymerization degrees of 3 to 8 phloroglucinol units in both USAE extracts and post-RP. Mass balance based on spectrophotometric measurements indicated a purification factor of ~2, confirming process effectiveness. RP streams showed distinct phlorotannin profiles, with one phlorotannin exceeding 70% relative abundance. However, MS/MS results showed significantly lower recoveries than spectrophotometric data, revealing a novel insight into RP purification. These findings highlight the critical role of comprehensive chemical characterization in optimizing sustainable phlorotannin extraction from seaweed. They propose a framework for scalable, eco-efficient technologies to achieve high-purity phlorotannin recovery. This approach facilitates the development of phlorotannin-based applications in the nutraceutical and pharmaceutical industries.

Keywords: brown seaweed; integrated extraction-purification processes; spectrophotometric; UHPLC-QToF MS/MS; mass balance

1. Introduction

Researchers have described various forms of nutritional supplementation to prevent and control oxidative stress-induced pathologies [1]. Among various bioactive compounds, attention has also focused on polyphenols, a wide range of plant-based metabolites with multi-target biological functionality [2–6]. Phenolic compounds are not limited to the plant kingdom; they are also present in seaweeds—particularly brown seaweeds, which contain phlorotannins [7].



Phlorotannins are phenolic compounds constituted by phloroglucinol (1,3,5-trihydroxybenzene) chains and net-like structures of diverse molecular weights. They are classified into six groups, according to the type of linkages between phloroglucinol units and their content of hydroxyl groups: (i) fucols, with aryl-aryl linkages; (ii) phlorethols, with aryl-ether linkages; (iii) fucophlorethols, with aryl-aryl and aryl-ether units; (iv) fuhalols, with aryl-ether linkages and additional OH groups in every third ring; (v) carmalols, with dibenzodioxin linkages; and (vi) eckols, with at least one three-ring moiety with a dibenzodioxin element substituted by a phenoxyl group at C-4 [8]. These highly reactive metabolites have been pointed out as potent modulators of several biochemical processes linked to the breakdown of homeostasis in major chronic diseases, as is the case of enhanced oxidative or inflammatory responses [9,10]. In particular, these effects have been reported for phlorotannin extracts rich in carmalols, fucols, or eckols, both in cell assays and animal trials [9,11,12]. Similar findings were obtained in a clinical trial in healthy adults supplemented with capsules made from brown seaweed ethanolic extracts. Bioavailability data also suggested that high molecular weight phlorotannins were metabolized and absorbed, predominantly in the large intestine [9].

Indeed, the magnitude of the activity of the brown seaweed extracts/fractions is significantly different from that of the individual phlorotannins contained therein, which makes fully characterized high-purity extracts novel candidates for developing natural therapeutic alternatives with potential medical applications [13]. Therefore, selective separation techniques are research areas of interest [14,15]. The sustainable extraction of phlorotannins from Durvillaea incurvata, a brown seaweed highly available on the Chilean coast, is an attractive alternative to scale up the production of phlorotannins-rich extracts [13,15,16]. Hot Pressurized Liquid Extraction (HPLE) has been one of the most employed environmentally friendly techniques to obtain phlorotannin-rich extracts [15,16] efficiently, although its scaling to commercial production is still challenging [17]. In turn, Ultrasound-Assisted Extraction (USAE) is a scalable technology that effectively recovers these bioactive compounds but, like HPLE, lacks selectivity [14,18]. Recent studies have shown that Durvillaea incurvata phlorotannin extracts obtained through HPLE are rich in mannitol. This sugar alcohol has been shown to preserve the antioxidant properties of various terrestrial plant extracts subjected to spray drying due to its thermoprotective effect [19,20]. However, this effect has been questioned because mannitol can also scavenge free radicals, leading to overestimating antioxidant capacity and total polyphenol measurements after spray drying [21].

Integrating selective purification methods following the extraction process appears to be an effective approach for obtaining phlorotannin-rich extracts with fewer interferents, thereby enhancing their bioactive potency [22]. Additionally, the analysis of purified extracts is simpler, leading to a deeper understanding of their chemical composition, which in turn enables more effective exploitation of their therapeutic potential.

Colorimetric techniques allow the determination of seaweed extracts' total phenolic compounds and antioxidant capacities. However, the complex polymeric structure and similar polarity of phlorotannins make them difficult to separate and quantify. In this sense, the continuous development of advanced instrumental techniques opens the possibility of unveiling the occurrence of moieties (bonds and functional groups). In this way, different mass spectrometry (MS) approaches, with or without coupling to liquid chromatography systems, have allowed the analysis of phlorotannin-rich extracts of diverse species of brown seaweed. Systems coupled with LC based on different analyzers are valid for detecting phlorotannins of low molecular weight [23]. At the same time, matrix-assisted laser desorption/ionization-time-of-flight (MALDI-ToF) can ionize and analyze larger compounds of up to 27 degrees of polymerization (DP) [23]. Also, Fourier-transform infrared spectroscopy (FTIR) is a highly effective qualitative technique for identifying potential bonds and functional groups present in a sample, indicating the presence of any specific species of interest [24]. It has been suggested as a valid tool for characterizing polyphenol profiles in brown seaweed extracts [25]. However, due to the chemical complexity of phlorotannins, new analytical approaches need to combine the mentioned techniques, which allow their routine characterization, for instance, in the context of nutraceutical development.

The Chilean coast is rich in seaweeds, and several studies have explored their phlorotannin profiles. Ethanolic extracts obtained at atmospheric conditions from three Chilean brown seaweed (*Durvillaea antarctica, Lessonia spicata,* and *Macrocystis integrifolia*) and analyzed by LC-DAD-ESI-MS/MS showed differential profiles regarding the DP (degree of polymerization) of these compounds, e.g., trimers to octamers in *D. Antarctica* and trimers to tetramers in *L. spicata* [26]. Recently, phlorotannins from *Durvillaea incurvata* and *Lessonia spicata* extracts obtained by HPLE-RP were characterized using liquid UHPLC-Orbitrap and UHPLC-QoF-MSn techniques [22]. Fucols, phlorethols, and fucophlorethols isomers up to 4 DP were the most representative phlorotannins in the extracts of both Chilean seaweeds. Eckols, carmalols, fuhalols, phenolic acids, and flavonoids were also detected.

Interestingly, the analysis of *Durvillaea incurvata* extracts showed low abundances of high molecular weight phlorotannins for the first time (11–21 DP) [22]. The differences in the metabolite profile of evaluated Chilean brown seaweed extracts indicate that achieving a deeper molecular and structural characterization of these compounds is essential to elucidate the mechanisms behind their selective separation. Moreover, this becomes even more crucial when considering scaling up their production using sustainable technologies.

Mass balances are essential for analyzing performance and designing integrated extraction and purification processes, particularly when dealing with complex streams [27]. However, few studies have utilized mass balances to assess the efficiency of integrated processes aimed at producing purified, polyphenol-rich extracts from natural sources. Mass balance analysis is especially challenging in the case of phlorotannin separation processes, as their identification and quantification are complicated by both the structural complexity of phlorotannins and the limited availability of analytical standards.

In the specific case of resin purification (RP) by adsorption, each outlet stream exhibits a distinct polyphenolic profile [28], resulting in a unique spectrum of bioactivities for each stream. Without a comprehensive mass balance analysis, it is difficult to accurately evaluate the overall performance of the integrated extraction and purification process or assess the potential of each outlet stream for use in natural therapeutic applications.

This research investigates the selective separation of phlorotannins from *Durvillaea incurvata*, an endemic Chilean brown seaweed, using an integrated process of water-ethanol-based USAE-RP. A comprehensive chemical characterization was conducted using various advanced instrumental techniques. Spectrophotometric analysis and HPLC-IR were employed to quantify total polyphenol content, phlorotannin concentration, antioxidant capacity, and mannitol content. FTIR confirmed the presence of target compounds, while UHPLC-QToF MS/MS identified specific phlorotannins in the process streams. A mass balance analysis evaluated selective separation performance metrics, including yield, recovery rates, mannitol removal efficiency, purities, and purification factors. This integrative approach highlights the potential of marine resources for bioactive compound recovery and establishes a foundation for optimizing green separation technologies in natural alternatives with potential medical applications derived from *Durvillaea incurvata*.

2. Materials and Methods

2.1. Seaweed Material

Brown seaweed frond (*Durvillaea incurvata*) was collected from Concepción, Chile (36°49′37.2″ S 73°2′59.2″ W) in the fall of 2023. The samples were washed with water, cut, frozen, and freeze-dried. Then, they were ground and sieved (Tyler sieve n°25, < 710 μ m) and stored in dark polyester bags at 20 °C. The proximal composition of the raw material was determined using standard analytical procedures [29], among them: moisture (10.90 \pm 0.08%), ash (19.54 \pm 0.03%), crude protein (0.82 \pm 0.10%), crude fat (0.41 \pm 0.03%), crude fiber (0.98 \pm 0.25%) and carbohydrates by difference (67.36 \pm 0.14%).

2.2. Chemicals

Analytical grade solvents (acetone, acetonitrile, and ethanol) and reagents (2,4-dimethoxybenzaldehyde [DMBA], Folin-Ciocalteu phenol reagent, hydrochloric acid, *ortho*-phosphoric acid, sodium hydroxide and the salts K₂HPO₄, KH₂PO₄ and Na₂CO₃) were acquired from Merck, Germany. Additional materials, including macroporous resins (Diaion HP-20), fluorescein, 2,2'-Azobis(2-amidinopropane) dihydrochloride (AAPH), and the standards Trolox, phloroglucinol, gallic acid, and mannitol were purchased from Sigma-Aldrich, USA.

2.3. Extraction Techniques

2.3.1. Atmospheric Solid-Liquid Extraction (ASLE)

For ASLE, 10 g of ground seaweed frond was mixed with 100 mL of 60% v/v acetone-water. The extraction was performed at 20 °C with constant magnetic stirring (IKA C-MAG HS, Germany) in a cooling tube system for 6 hours. This extract was used as a reference extract (RE) since it is assumed to contain nearly 100% of the extractable polyphenols from the natural matrix [30].

2.3.2. Ultrasound Assisted Extraction (USAE)

For USAE, 20 g of ground seaweed frond was mixed with 200 mL of 32.5% v/v ethanol-water. The extraction process was conducted using a 1500 W ultrasonic device (BIOBASE, China) operating at a constant frequency of 20 kHz, a controlled temperature of 30 °C with a jacket cooling system, 40% power, and one cycle of 30 minutes. Experimental conditions were established based on preliminary tests aimed at obtaining the highest phlorotannin content in the extracts. This extract is called crude extract (CE).

Following the extractions (ASLE and USAE), RE and CE were centrifuged for 5 min at 4.500 rpm (DM0412 DLAB centrifuge, China) and subjected to rotary evaporation (RE-100 PRO DLAB, China) until dry. The resulting concentrated extracts were reconstituted with 26 mL of water and freezedried (BIOBASE, China) for 24 hours. The solid powder extracts were stored in a bag in the dark until their physicochemical characterization.

2.4. Resin Purification Process (RP)

For RP, a column (Büchi C-690 26/100) was packed with macroporous resin (Diaion HP-20) as adsorbent, and 80% v/v ethanol-water was used as an elution agent [22]. RP consisted of four stages: (i) adsorption of extract, (ii) washing with water, (iii) elution with ethanol, and (iv) regeneration of the resin with HCl and NaOH [31]. The CE and the solvents (water, ethanol, HCl, and NaOH) were pumped through the RP system at 3 mL/min using a peristaltic pump (PP-X575). The process followed this sequence: 20 mL of CE reconstituted in water (3 mg/mL), 40 mL of water, 40 mL of 80% EtOH, and finally, the regeneration consisted of passing 40 mL of water, 40 mL of HCl (2N), 40 mL NaOH (1N), and 40 mL of water [22]. Three main output streams were collected: the outlet stream during the column charging process (CS), the outlet washing stream (WS), and the elution stream (ES), which corresponds to the purified extract. These streams were subsequently freeze-dried for storage until their later analysis.

2.5. Chemical Analyses

2.5.1. Total Polyphenol Content (TPC)

The TPC of all samples was determined spectrophotometrically using the Folin-Ciocalteu assay [23]. For analysis, samples were reconstituted (20 mg in 10 mL of water) and analyzed in duplicate following the method described in [32], with some modifications for adaptation to a 96-well microplate reader (TECAN Infinite 200 PRO MPlex, Switzerland). Aliquots of each sample, standard or water (20 μ L), were mixed with Folin–Ciocalteu reagent diluted 1:2 with water (10 μ L), 150 μ L of

water, and 10% w/v aqueous sodium carbonate solution Na_2CO_3 (20 μ L). The mixture was shaken and allowed to react for 1 h at room temperature (20 °C) in darkness; then, the absorbance was measured at 765 nm. Sample absorbances were interpolated against a gallic acid calibration curve (30 – 150 mg/L) and a phloroglucinol calibration curve (50 – 250 mg/L). Results were expressed as milligrams of standard equivalent (gallic acid or phloroglucinol) per gram of dried extract or RP stream.

2.5.2. Total Phlorotannin Content (TPhC)

The samples were also analyzed using the DMBA method [33]. For analysis, samples were reconstituted (20 mg in 10 mL of water) and analyzed in duplicate following the method described in [33], with some modifications [34]. The reaction began with shaking for 30 minutes, followed by incubation at 25 °C in the dark for 60 minutes. Absorbances were measured at 515 nm using a 96-well microplate reader (TECAN Infinite 200 PRO MPlex, Switzerland). Sample absorbances were interpolated against a phloroglucinol calibration curve (1 – 40 mg/L). Results were expressed as milligrams of phloroglucinol equivalent (PhE) per gram of dried extract or RP stream.

2.5.3. Antioxidant Capacity (AC): Oxygen Radical Absorbance Capacity assay (ORAC)

The ORAC assay was performed as described in [35], with some modifications [15]. In a 96-well microplate, 250 μ L of 55 nM fluorescein, diluted in phosphate buffer saline (PBS) at pH 7.4 (75 mM, prepared with K₂HPO₄ solution and KH₂PO₄ solution in nanopure water), was added to 25 μ L of extract, Trolox standard, or PBS (blank). The microplate was incubated for 30 min at 37 °C, and the reaction was initiated by adding 25 μ L of freshly prepared 153 mM AAPH solution in PBS using an automatic injector. Fluorescence was recorded every minute for 1 hour at 37 °C using a microplate reader (TECAN Infinite 200 PRO MPlex, Switzerland). The reference calibration curve was constructed with Trolox solutions ranging from 2 to 8 mg/L; analyses were performed in duplicate. Results were reported as micromoles of Trolox equivalents (TE) per gram of dried extract or RP stream.

2.5.4. Antioxidant Capacity (AC): DPPH Assay

The DPPH method was performed as described by other authors [36], with modifications [15]. For analysis, samples were reconstituted (20 mg in 5 mL of water), diluted 1:6, and analyzed in duplicate. Absorbances were measured at 520 nm (Genesys 150 UV-Vis, Thermo Fisher Scientific, Waltham, MA, USA) and interpolated against a Trolox calibration curve (2 – 8 mg/L). Results were expressed as micromoles of Trolox equivalents (TE) per gram of dried extract or RP stream.

2.5.5. Fourier Transform Infrared Spectroscopy Analysis of USAE and RP Streams

FTIR was performed in a Spectrum Two IR spectrophotometer (Perkin Elmer, Shelton, CT, USA) with attenuated total reflection (ATR) (Pike Instruments, Madison, WI, USA) in the range of 400 – 4000 cm⁻¹ [37]. This analysis identified functional groups in the CE and the RP output streams (CS, WS, and ES). Furthermore, the FTIR spectra of phloroglucinol and mannitol were studied to determine the selectivity of the USAE-RP process.

2.5.6. Mannitol Content

Mannitol was quantified in all samples using an HPLC-IR system [15]. Chromatographic analysis was performed on Thermo Scientific Vanquish Flex HPLC system (Thermo Fisher Scientific, Waltham, MA, USA) coupled with a refractive index detector (RefractoMa 520 ERC, Thermo Fisher Scientific, Waltham, MA, USA). The autosampler was maintained at room temperature. The column used was an APS-2 HypersilTM (150 mm x 4.6 mm, 5 μ m) from Thermo Fisher Scientific (Waltham, MA, USA). The column temperature was set at 50 °C. The mobile phase consisted of 250 mM H₃PO₄ prepared in nanopure water and acetonitrile at a 20:80 v/v ratio. A sample volume of 20 μ L was

injected, and the flow rate was set at 0.3 mL/min. The total analysis time was 18 minutes. Mannitol quantification in the samples was carried out using a calibration curve (100 to 1000 mg/L). Analyses were performed in duplicate. Results were expressed as milligrams of mannitol (Ma) per gram of dried extract or RP stream.

2.5.7. Tentative Identification of Phlorotannins Using a UHPLC Coupled with a QToF Detector

A tentative identification of the phlorotannins in all USAE-RP streams was carried out using UHPLC-QToF. Mass spectrometry analysis was obtained using a methodology previously described with slight modifications [22].

Sample injection and chromatograph and detector conditions:

Briefly, UHPLC-MS² analysis were performed on a UHPLC-QToF system (Bruker Daltonics, Billerica, MA, USA). The autosampler was set at 4 °C, and a column C18 (2.1mm x 100mm,1.7 μ m) (Phenomenex, Torrance, CA, USA) was used with a temperature of 40 °C. 5 μ L sample was injected in a gradient mode, with a mobile phase A consisting of 0.1% formic acid and a mobile phase B consisting of 90% acetonitrile/0.1% formic acid. The gradient was set to start with 12% B for 1 min, then obtain 99% B at 11 min, 99% B at 13.5 min, 12% B at 14 min, and 12% B at 15 min. The mass spectrometry data were acquired in the range 50 m/z to 1300 m/z in the negative mode. The capillary voltage was 4500 V, the nebulizer pressure was 2.5 bar, and the dry gas temperature was 250 °C with a flow rate of 8 L/min. The collision energy was between 18 eV and 50 eV.

Identification of phlorotannins:

Signals corresponding to phlorotannins were identified using Compass Data Analysis 4.4 software (Bruker Daltonik, Billerica, MA, USA). MS^2 and MS^1 spectra were analyzed in this study to identify specific fragmentation patterns. Our analysis was focused on the MS^1 spectra, where characteristic fragmentation patterns were observed. Phlorotannins were identified based on their [M-H]- ions. This approach aligns with the methodology reported by Hyo Moon et al. [38], who successfully identified several phlorotannins using MS^1 spectra. After tentative identification, the phlorotannins were classified according to their degree of polymerization (DP). An error of up to ± 10 ppm was considered, as typically considered for phenolic compounds on a UHPLC-QToF instrument [22,39].

Use of areas:

Once the tentative identification and classification were made, the relative areas for the different phlorotannins were determined. The distribution of phlorotannins in the CE and each of the three RP output streams was calculated. For this, the total area of phlorotannins identified in each sample was considered to represent 100 percent for each case.

2.6. Statistical Analysis

The ASLE, USAE, and RP processes were performed in triplicate, and chemical analyses were performed in duplicate. Experimental results obtained were expressed as means \pm SD. Statistical analysis was carried out using Minitab® Statistical Software v.21. Analysis of variance (ANOVA) at a 95% confidence level (p < 0.05) was applied to compare all the obtained responses with Tukey's post-hoc test after verifying a normal distribution of the data and homogeneity of variances, respectively.

2.7. Relative Mass Balance and Selective Separation Performance Parameters

A complementary analysis was performed using the TPC, TPhC, mannitol measurements, and the relative areas from UHPLC to perform a relative mass balance in the USAE (Equation 1) and the RP (Equation 2).

$$m_{i_{seaweed}} = m_{i_{CE}} + m_{extraction cake} + e$$
 (1)

$$m_{i_{CE}} = m_{i_{CS}} + m_{i_{WS}} + m_{i_{ES}} + e$$
 (2)

where *m* is the mass (g or mg) and the subindex *i* refers to the evaluated components (extractable solids or ExS, total polyphenols, total phlorotannins, mannitol, specific phlorotannins); *e* represents the difference between the input and output relative mass. The relative masses of the seaweed or pre-USAE (*mi seaweed*) are determined based on the reference extract (RE). The masses of ExS from CE and streams were determined by freeze-drying the respective samples. Masses of total polyphenols (TP), total phlorotannins (TPh), and mannitol were determined based on TPC, TPhC, and mannitol content values, respectively.

Selective separation performance parameters were calculated to determine: (i) the yield achieved by USAE in terms of ExS (Equation 4), (ii) the USAE polyphenol or phlorotannin recoveries (Equation 5), (iii) the mannitol removal efficiency (Equation 6), (iv) the polyphenol and phlorotannin purities (Equation 7), and (v) the purification factor in terms of TP and TPh (Equation 8). These parameters were determined as follows:

$$yield_{ExS} (\%) = m_{CE} / m_{seaweed} \times 100$$
 (4)

where *mcE* is the dry mass of the extract generated by USAE, and *mseaweed* is the mass of dried seaweed used in USAE.

$$Rec_{j} (\%) = m_{j_{CE}}/m_{j_{seaweed}} \times 100$$
 (5)

where Rec_j is the recovery of component j, which can be TP or TPh, m_{TP} is an estimation of the TP mass as phloroglucinol equivalent from the Folin-Ciocalteu assay, and m_{TPh} is an estimation of the TPh mass as phloroglucinol equivalent from DMBA assay.

$$Eff_{Ma} (\%) = m_{Ma \text{ removed}} / m_{Ma_{CE}} \times 100$$
 (6)

where *Eff*_{MA} is the efficiency of RP to remove the mannitol contained in CE, m_{Ma} removed result of the difference between m_{Ma} CE and m_{Ma} purified extract.

$$P_{j}$$
 (%) = $m_{j}/m_{ExS} \times 100$ (7)

where P_j is the purity of component j, which can be TP or TPh.

$$F_{Purification} = P_{TP ó TPh} \text{ after RP}/P_{TP ó TPh} \text{ before RP}$$
 (8)

where $F_{Purification}$ is the purification factor, which represents the performance of the RP to increase the purity of the component of interest (TP and TPh).

3. Results and Discussion

3.1. Spectrophotometric Characterization and Quantification: TPC, TPhC, ACORAC and ACDPPH

All samples, i.e., CE and the three RP streams (CS, WS, and ES), were characterized in terms of TPC, TPhC, and AC (by ORAC and DPPH assays), as shown in **Figure 1**. Overall, the values determined by the Folin-Ciocalteu method were one order of magnitude higher than those obtained by the DMBA method. This discrepancy could be due to an overestimation of the Folin-Ciocalteu values caused by the interference of other non-phenolic reducing compounds present in the brown seaweed (sugar alcohols, polysaccharides, pigments, and other polyphenol-protein complexes [40]) or to an underestimation of the DMBA values due to the non-detection of branched phlorotannins or those with aryl bonds or fuhalols with additional hydroxyl groups in positions 2, 4, or 6, which differ structurally from those reacting with DMBA (1,3 and 1,3,5-trihydroxybenzenes) [23]. Considering both measurement methods could offer a better approximation of seaweed polyphenols and phlorotannins quantification.

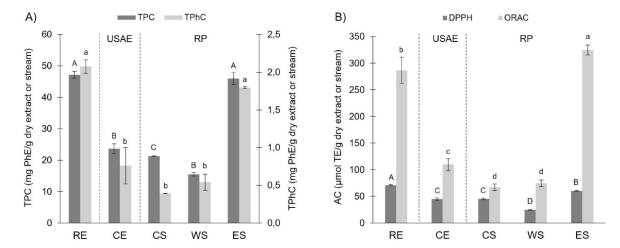


Figure 1. A) TPC and TPhC, and B) antioxidant capacity (DPPH and ORAC assays) of RE, CE, and RP streams (CS, WS, and ES) from *Durvillaea incurvata* frond. (A-D; a-d) values that do not share a letter are significantly different.

TPC of CE $(23.6 \pm 1.6 \text{ mg PhE/g} \text{ dry extract})$ was slightly higher than that obtained in a previous study on *Durvillaea incurvata* frond from "Las Cruces" by HPLE, 15% v/v glycerol $(21.1 \pm 9.2 \text{ mg PhE/g} \text{ dry extract})$. However, the absolute recovery of total polyphenols in our extract $(3.6 \pm 0.2 \text{ mg PhE/g} \text{ dried seaweed})$ was approximately half the amount found in that study [15]. Although both methods (USAE-EtOH and HPLE-glycerol) produced extracts with similar polyphenol concentrations, HPLE-glycerol was more effective for total polyphenols recovery. USAE-EtOH's lower performance may be related to the degradation of certain phlorotannins and the generation of highly reactive hydroxyl radicals in the air bubbles resulting from the acoustic cavitation of USAE [40]. Factors related to the raw material, such as harvest date, location, and pre-storage treatments, may also affect these values [41]. Nevertheless, in this case, this discrepancy in polyphenols recovery is mainly due to the extraction methods. In the previous study, the same seaweed specie and anatomical part was used, and both reference extractions with ASLE achieved similar absolute yields $(8.2 \pm 0.2 \text{ PhE/g} \text{ dry seaweed}$ in the current study and 11.7 mg PhE/g dry seaweed in the previous study).

It is worthwhile noticing that the TPC of our CE sample expressed in GAE (12.7 ± 0.9 mg GAE/g dry extract) was practically the same as that of the optimal extract (12.8 ± 2.3 mg GAE/g extract in dry weight) obtained through USAE-EtOH from *Durvillaea incurvata* in another study, where a higher temperature (50 °C), a longer time (80.8 min), and a much higher ethanol concentration (70% v/v) were used [42]. It has been reported that phlorotannins extraction from brown seaweeds began to decrease with increasing ethanol concentration from 30 to 70% v/v [14]. Acoustic cavitation is the main extraction mechanism in USAE, so higher temperatures, higher co-solvent concentrations, and longer extraction times seem unnecessary [14,40].

Notably, the RP treatment resulted in a 1.9-fold and 2.4-fold increase in TPC and TPhC, respectively (**Figure 1A**). These values for RE and ES were, on average, 2.3 times higher than those of CE (**Figure 1A**).

The antioxidant capacity was assessed by two distinct methodologies (DPPH and ORAC), considering that natural extracts present more than one antioxidant mechanism [43]. Overall, ACorac showed higher values than ACorph in all samples (**Figure 1B**). This difference may arise from several factors: the phenolic compounds extracted from *Durvillaea incurvata* frond could be more effective at scavenging peroxyl radicals than DPPH radicals; the methanolic medium utilized in the DPPH method might hinder the accessibility of phlorotannins to radicals; or the presence of certain compounds in the extract could interfere more with the DPPH method than the ORAC method [43–45]. The AC of CE was equivalent to DPPH and ORAC values previously reported for *Durvillaea incurvata* subjected to extraction by HPLE-glycerol and about twice the DPPH obtained by USAE-EtOH 70% [42], showing that high ethanol concentrations are detrimental for the extraction efficiency.

Incorporating RP led to a significant enhancement in the AC, with ACDPPH and ACORAC ES values ~1.5 and ~3.0 times higher than those of CE. On average, the AC values for ES and RE were similar, where DPPHES was lower than DPPHRE, and ORACES was higher than ORACES.

3.2. Fourier Transform Infrared Spectroscopy Analysis

CE, all RP output streams, and standard mannitol and phloroglucinol solutions were analyzed using FTIR Spectroscopy. Analyzing the characteristic bands of all streams contributed to a better understanding of the USAE-RP's selectivity (**Figure 2**).

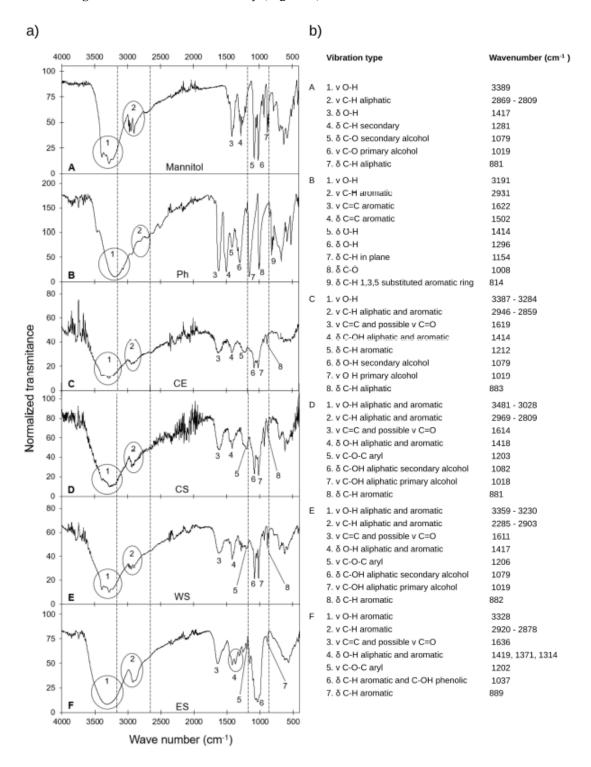


Figure 2. A) FTIR spectra of mannitol, phloroglucinol, CE, and RP streams. B) Characteristics bands of each FTIR spectrum associated with specific types of vibrations.

In the six spectra, band 1 corresponding to O-H stretching was evident, which demonstrated the presence of groups characteristic of mannitol (aliphatic hydroxyl, Figure 2A,C–E) and polyphenols (aromatic hydroxyl, Figure 2B,F). Also, bands associated with aromatic rings (C-H stretching and C-H bending) were found in the six spectra. Specifically, FTIR spectra allowed the identification of several types of bonds commonly found in phlorotannins, including aryl ether linkages between aromatic rings that have been potentially associated with fucophloroethols [46]. Similarly, the FTIR spectrum of phloroglucinol enabled the detection of specific characteristic bands associated with this compound, and alterations in bands related to interferences, such as mannitol, were also evident for each analyzed sample.

The FTIR spectrum of CE (**Figure 2C**) showed an overlap of bands from mannitol and polyphenols, indicating the presence of both compounds. The low definition of a key band was attributed to residual water and ethanol from the USAE process. Additionally, a possible band of terrestrial polyphenols was detected (band 3 at 1619 cm⁻¹, typically associated with C=O), while CS spectra revealed a significant amount of mannitol, which was expected due to the selectivity offered by the HP-20 resin. However, it also contained unadsorbed phlorotannins, possibly due to insufficient residence time or resin saturation. WS stream was rich in mannitol, while the ES lacked mannitol and was rich in phenolic compounds.

An important point should be discussed about the RP streams (**Figure 2D–F**). They all have a new band not detected on the phloroglucinol spectra (**Figure 2B**), indicating a new vibration associated with the C-O-C linkage. This band is characteristic of aromatic rings connected via ether bonds [47]. For instance, a sharp band associated with a C-O-C linkage (band 5) was observed in the spectrum of the elution stream (**Figure 2F**). Along with the broad O-H stretching band, it can be concluded that the elution stream is rich in phlorotannins.

3.3. Mannitol Content

Seaweed extracts contain undesirable compounds, such as mannitol, a predominant alcohol sugar of seaweeds [48]. Previously reported values for Durvillaea incurvata frond extracts ranged from 248 ± 39 to 310 ± 120 mg mannitol/g dried extract [15]. Here, the mannitol content of CE was 259 ± 24 mg mannitol/g dried extract, which falls within that range. However, the most interesting aspect is that the RP facilitated a substantial decrease in this constituent, with ES showing only 1% of the original mannitol value in CE. This low value indicates that applying USAE with a moderate concentration of EtOH, followed by RP, is quite effective for obtaining selective polyphenolic extracts from Durvillaea incurvata fronds with a reduced mannitol content. Low mannitol content may enhance the value of the extract by increasing the concentration of polyphenols. From a safety standpoint, the potential side effects associated with normal or elevated doses of mannitol raise concerns regarding its use, as emphasized by relevant Food and Drug Administration guidelines. Therefore, future studies should focus on investigating the toxicity and side effects of sugar alcohols to assess their safety more accurately [49].

3.4. Tentative Phlorotannins Identification and Determination of Their Relative Abundances by UHPLC-QToF Mass Spectrometry

The chemical identification of the phlorotannins present in the samples was initially based on a targeted analysis, which matched the identified phlorotannins with those previously reported [50]. Subsequently, a tentative identification of unreported phlorotannins was conducted. This tentative identification was based on matching the experimental molecular ions with the theoretical molecular weights of proposed phlorotannin structures, constructed according to the bonding types known for the different subfamilies (Fucols, Phloretols, Fucophlorethols, Fuhalols, Eckols) [51].

Five phlorotannins composed of 3 to 8 phloroglucinol units (PGU) were proposed to be present in CE based on their [M-H]- ions. The polymerization degree, molecular formula, fragmentations, and the associated errors in ppm for the found phlorotannins are shown in **Table 1**. The ionization conditions used in the MS method caused a first fragmentation at the source that produced

phlorotannin fragments in MS^1 , i.e., it provided information similar to an MS^2 analysis. In particular, fragmentation $PGU + H_2O + 2H^+$ was observed in several of the identified phlorotannins (**Table 1**). This fragmentation pattern, similar to that previously observed in a study on *Fucus vesiculosus*, indicated a possible fragmentation of the aryl-ether-aryl (C-O-C) bond [52]. Indeed, several identified phlorotannins exhibited comparable daughter ion fragmentation patterns linked to $PGU + H_2O + 2H^+$ with distinct molecular weights (**Table 1**), suggesting the presence of fucophloroethol isomers in the purification streams, which was confirmed due to the losses of $PGU + H^+$ corresponding to aryl-aryl (C-C) bonds.

Table 1. Tentative identification of phlorotannins in the CE from *Durvillaea incurvata* frond using UHPLC-QToF-MS.

Polymeriza tion degree	Molecular formula	Theoretica 1 [M-H]· (m/z)	Observed [M-H]- (m/z)	Error (ppm)	MS¹ (m/z)	MS ² (m/z)
Trimer	C18H11O12 (Carmalol)	419,0251	419,0241	2,3	389 (-30), 243(- PGU+H ₂ O+2H ⁺), 116 (PGU + H ⁺)	N.D.
Pentamer	C30H15O17 (Carmalol)	647,0309	647,0368	-9,1	535(111), 389 (PGU + H2O + 2H+), 243 (PGU + H2O + 2H+), 116 (PGU + H+)	116, 100(-O)
Hexamer	C36H25O17 (Fucol)	729,1092	729,1088	0,5	681 (47), 535 (- PGU+H ₂ O+2H+), 389 (- PGU+H ₂ O+2H+), 243 (- PGU+H ₂ O+2H+), 116 (PGU+H+)	A) 116, 100 (-O)
Heptamer	C42H25O27 (Eckol)	961,0583	961,0578	0,6	815(145), 681 (134), 535 (- PGU+H ₂ O+2H+), 389 (-PGU+H ₂ O+2H+), 243 (- PGU+H ₂ O+2H+), 116 (PGU + H+)	N.D.
Octamer	C48H31O21 (Eckol)	943,1358	943,1305	5,6	791 (151), 501 (- 2PGU+H ₂ O+2H ⁺), 389 (112), 243 (-PGU+H ₂ O+2H ⁺), 116 (PGU+H ⁺)	A) 791, 225 (566), 167 (60), 81 (84)

N.D., non-detected; PGU, phloroglucinol units.

Based on these aspects, a structural hypothesis is formulated for certain identified phlorotannins brown seaweeds like *Ascophyllum nodosum*, *Fucus vesiculosus and Durvillea incurvata*, postulating breaks in the C-O-C and C-C bonds as the basic units of phloroglucinol. These tentative structures are categorized based on their type (**Figure 3**). It should be mentioned that the phlorotannins found in these extracts (carmalols, fucols, and eckols) are among those that have shown potent effects on the inflammatory response in previous studies [9,11,12].

Figure 3. Proposed structures of the five identified phlorotannins in the extracts of *Durvillaea incurvata* frond.

The five proposed phlorotannins identified in CE were also present in ES. The trimer and the hexamer were found in CS, while the pentamer was present in WS. Although the five CE phlorotannins were detected in ES, according to the relative areas, two compounds (C18H12O12 and C30H16O17) were more abundant in the intermediate RP streams (CS and WS, respectively) (Figure 4). The premature elution of these phlorotannins (C18H12O12 and C30H16O17) may be attributed to their smaller size, which reduces their residence time in the column relative to larger ones [22,53]. In this case, molecular size seems to play a more significant role than the high polarity of larger phlorotannins, which contain numerous hydroxyl groups that enhance their polarity and water affinity. It is important to consider these aspects when seeking extracts enriched in specific phlorotannins. Although ES typically exhibits the highest TPhC of the RP streams, its levels of certain target polyphenols can be low. Notably, each RP stream contained a phlorotannin with a relative abundance exceeding 70% (Figure 4), which is particularly relevant for targeted separation and has not been previously reported for RP purification of phlorotannins.

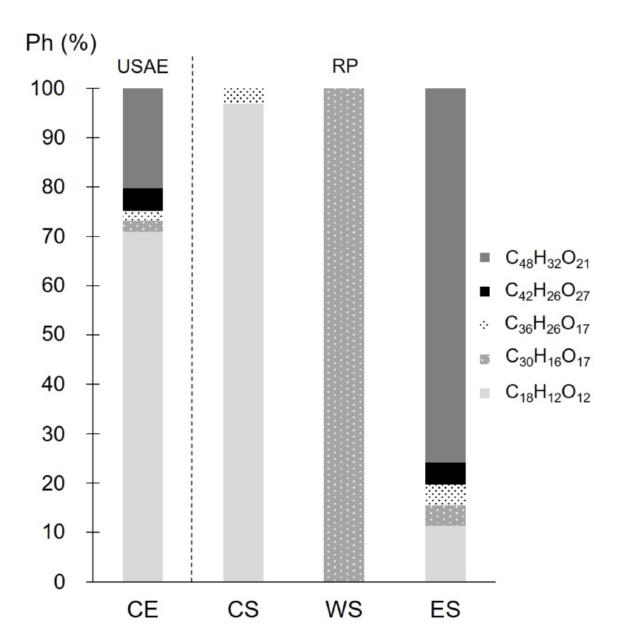


Figure 4. Distribution of the five proposed phlorotannins identified in the process streams (CE, CS, WS, and ES) from *Durvillaea incurvata* frond.

3.5. Process Evaluation: Quality Parameters and RP Mass Balance

This complementary analysis provided a more comprehensive understanding of the separation process and allowed for a better assessment of its potential. The proportion of ExS generated by USAE was small, with the majority of solids remaining in the extraction cake (**Figure 5**). This was reflected in the *yieldexs*, which was 15%. Typically, the extraction yield from brown seaweed ranged between 10% and 37% when employing various extraction technologies, such as HPLE-EtOH, USAE-EtOH, and ASLE-EtOH [14,30,54,55]. Interestingly, the recoveries of polyphenols (Rectph) and phlorotannins (Rectph) were significantly higher than the extraction yield (*yieldexs*), at 45% and 33%, respectively. This disparity highlights the potential for specific compounds to be extracted more efficiently than the overall yield might suggest [31]. Hence, both the extraction yield of solids and the recovery of specific bioactive compounds should be considered when evaluating extraction processes. While the first parameter offers insight into the overall extraction efficiency, the recoveries of polyphenols and phlorotannins may provide guidance on how to optimize the process for targeted extraction.

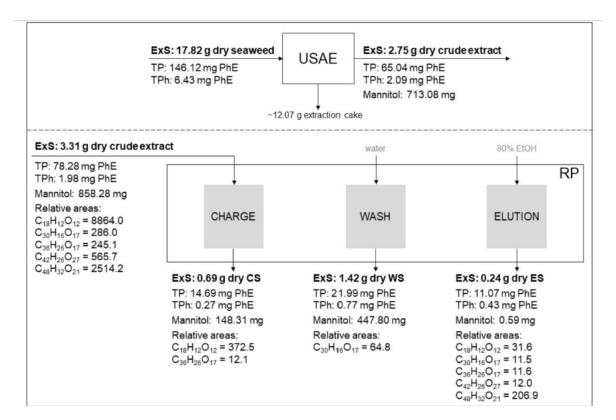


Figure 5. Relative mass balance of the integrated process of USAR-RP from *Durvillaea incurvata* frond. Relative abundances correspond to the ratio of the relative area of phlorotannin and ExS of stream.

The mannitol recovered in the CE stream was predominantly found in WS (52%, **Figure 6**), primarily containing polar compounds removed from the resin by water [31]. In contrast, ES contained a negligible amount of the mannitol originally present in CE, thereby achieving one of the main objectives of the RP process: the reduction or elimination of mannitol, a known undesirable compound in brown seaweed bioactive extracts [15,56]. The efficiency of mannitol removal by RP (*EffMa*) was ~100%, corroborating the findings mentioned above and indicating a good performance of the RP process. Due to mannitol removal, the TPC and TPhC in ES increased with respect to CE. However, the TP and TPh amounts in ES were significantly lower than in CE. This decrease is particularly marked in the relative areas of the five tentatively identified phlorotannins in the RP streams (**Figure 5**).

This behaivor could be attributed to the RP, which distributed the TP and TPh present in CE among the three generated streams. Ideally, the proportions of TP and TPh in CS and WS should have been negligible. The presence of all four components in CS as compared to CE: ExS: 21%, TP: 19%, TPh: 14% and Ma: 17% (**Figure 6**) suggests a possible overestimation of the CE loading rate that may result in an insufficient residence time for complete adsorption of the target compounds. Alternatively, it could indicate early saturation of the resin in the RP system [31]. Readjustment of operational conditions could provide a viable solution to address these issues effectively. Although the operating conditions of the RP were not optimal, as the desired components (TP and TPh) were not exclusively found in ES, the RP still achieved a purification factor ($F_{Purification}$) of ~2, indicating that the RP was effective in concentrating the target components. The polyphenol purity (P_{TP}) in CE (~2%) was lower than that of RE (~5%). Nevertheless, through the RP, a P_{TP} of ~5% was obtained in ES. Concurrently, both CS and WS exhibited a purity of around ~2%, likely due to the premature elution of phlorotannins.

The variation in AC reflected the trend observed in the P_{TP} of the samples. Notably, both RE and ES, with a P_{TP} of 5%, showed statistically equivalent ACorac values. Likewise, CE, CS, and WS, with 2% purity, showed ACorac values without significant differences.

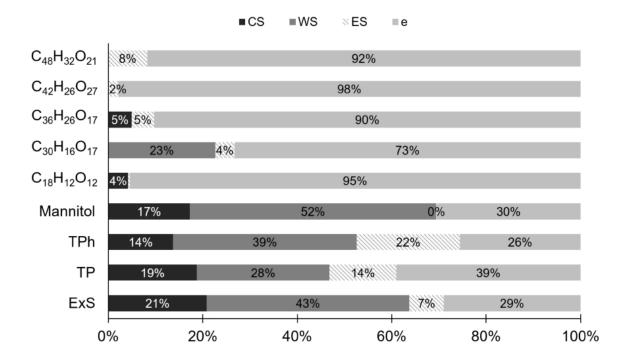


Figure 6. Percentage distribution of ExS, TP, TPh, Mannitol and specific phlorotannins of CE in the RP streams.

The relative mass balance confirmed that the intermediate streams (CS and WS), which are typically discarded, contain significant proportions of ExS, TP, and TPh. Additionally, for these four components, the difference in relative mass between the input and output (*e*) ranged from 26% to 39%, suggesting that a significant proportion of phlorotannins may have been strongly adsorbed onto the resin, with their release likely occurring during resin regeneration. This loss is consistent with the characteristics of the HP-20 resin, which, despite having the highest adsorption capacity for phlorotannins compared to other macroporous resins, also exhibited the lowest desorption capacity [57]. When mass balance is applied to the relative areas of the five tentative identified compounds, *e* values experimented a considerable increase ranging from 73% to 98%. These results would indicte the critical need to consider a deeper and comphrensive chemical characterization to develop a precise process performance evaluation.

4. Conclusions

This study advances the development of sustainable technologies by demonstrating the effectiveness of combining USAE with RP to obtain mannitol-free purified extracts of phlorotannins from *Durvillaea incurvata* frond. The application of RP after USAE resulted in a significant enrichment of the crude extract, as evidenced by increased purities of both polyphenols and phlorotannins, resulting in a purification factor of ~2. The FTIR assay demonstrated the presence of phlorotannins and mannitol in the crude extract (CE) and intermediate RP streams (CS and WS), while no bands corresponding to this sugar alcohol were observed in the elution stream (ES). This finding confirms the phlorotannin selectivity of the USAE-RP process.

Furthermore, UHPLC-QToF allowed us to identify five new phlorotannins in *Durvillaea incurvata* frond USAE and RP extracts. The distribution of these phlorotannins in the different RP streams suggested that the molecular size is the main factor that influence in their separation. Future research should focus on optimizing RP's operating conditions to enhance phlorotannin's desorption and minimize target compound losses. The findings of this study lay a robust foundation for the scalable production of bioactive phlorotannin extracts, paving the way for more efficient and sustainable utilization of marine biomass in natural medical applications.

Declaration of generative AI and AI-assisted technologies in writing process

During the preparation of this work the authors used Grammarly, DeepL, and ChatGPT to enhance the readability of this text. After using these tools, the authors reviewed and edited the content as necessary and take full responsibility for the content of the published article.

Author Contributions: CRediT. Pamela Raquel Rivera-Tovar: Methodology, Investigation, Formal analysis, Data curation, Writing – original draft. Gabriela Contreras: Investigation, Data curation. Paulina Isabel Rivas-Reyes: Methodology, Investigation. Jara Pérez-Jiménez: Writing – review & editing. Maximiliano Martínez-Cifuentes: Data curation, Writing – review & editing, Resources. María Salomé Mariotti-Celis: Conceptualization, Supervision, Writing – review & editing, Resources.

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