1 Article

# 2 Long Period Grating-Based Fiber Coupling to WGM

# Microresonators for Sensing Applications

- 4 Francesco Chiavaioli 1,\*+, Dario Laneve 2+, Daniele Farnesi 1, Mario Christian Falconi 2, Gualtiero
- 5 Nunzi Conti<sup>1</sup>, Francesco Baldini<sup>1</sup>, and Francesco Prudenzano<sup>2</sup>
- Institute of Applied Physics "Nello Carrara" (IFAC), National Research Council of Italy (CNR), Via
   Madonna del Piano 10, 50019 Sesto Fiorentino, Firenze, Italy; d.farnesi@ifac.cnr.it, g.nunziconti@ifac.cnr.it,
   f.baldini@ifac.cnr.it
- 9 Department of Electrical and Information Engineering, Polytechnic University of Bari, 70125 Bari, Italy; dario.laneve@poliba.it, mariochristian.falconi@poliba.it, francesco.prudenzano@poliba.it
  - \* Correspondence: f.chiavaioli@ifac.cnr.it; Tel.: +39-055-522-6318

- Abstract: A comprehensive model for designing robust all-in-fiber microresonator-based optical sensing setups is illustrated. The investigated all-in-fiber setups allow light to selectively excite high-Q whispering gallery modes (WGMs) into optical microresonators, thanks to a pair of identical long period gratings (LPGs) written in the same optical fiber. Microspheres and microbubbles are used as microresonators and evanescently side-coupled to a thick fiber taper, with a waist diameter of about 18  $\mu$ m, in-between the two LPGs. The model is validated by comparing the simulated results with the experimental data. A good agreement between the simulated and experimental results is obtained. As an application example, the sensing of the concentration of an aqueous glycerol solution is demonstrated. The model is general and by exploiting the refractive index and/or absorption characteristics at suitable wavelengths, the sensing of other substances or pollutants can be also predicted.
- Keywords: microresonator, whispering gallery mode, long period grating, fiber coupling, distributed sensing, chemical/biological sensing

#### 1. Introduction

In recent years, whispering gallery mode (WGM) microresonators, such as microdisks [1], microbubbles [2] and microspheres [3], have gained much interest among researchers thanks to their capability to strongly confine the light in very compact volumes. In fact, during the several revolutions of the light signal in these resonators, the WGM field evanescently couples to the surrounding environment and even a very small change in the microresonator size and/or in the refractive index can induce significant changes in the quality factor (*Q*-factor) and/or resonance wavelengths of the microresonator. In view of this, a number of sensing applications, involving WGM microresonators, are reported in literature, such as the sensing of local temperature [2-6], refractive index [7], pressure [8], biological [9] and spectroscopic parameters [10]. It was also proved their huge potentiality in biosensing by means of label-free detection down to single molecules [11]. Moreover, by doping the microresonators with rare-earths, integrated light sources with narrow line emission can be obtained [12].

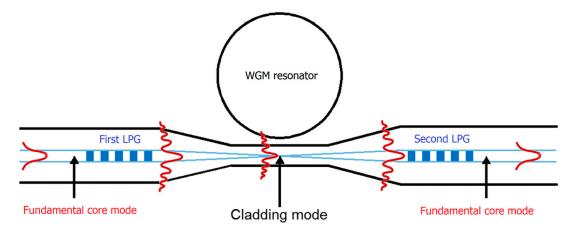
The combination of the peculiarities of optical fibers with WGM microresonators can provide great opportunities in the field of sensing especially. Thanks to the use of a fiber taper, high-Q WGM resonances in different types of microresonators can be efficiently excited. The taper allows to obtain a proper evanescent electromagnetic field, which can be coupled into the microresonators, and more than 90% of the fiber mode power can be transferred on the microresonators [13]. This paves the way

to several scenarios in telecommunications requiring the generation of narrow line emission. One of these is the possibility to develop an all-in-fiber coupling system for quasi-distributed and wavelength selective addressing of different WGM microresonators located along the same optical link. The first fiber-based setup for efficient coupling of light to a high-Q WGM microresonator is illustrated in [14]. It is based on a long period grating (LPG) followed by a thick fiber taper, both of which are derived from the same fiber. The LPG allows wavelength selective excitation of high-order cladding modes; in this way, thicker and more robust tapers (with waist diameters larger than 15 µm, easier to fabricate than the usual 1-2 µm tapers) can be used for coupling the cladding modes to the WGMs. However, the previous configuration does not allow interrogating more than one microresonator if the transmitted light is used to carry on the signal under investigation. The only chance could be the use of the scattered light from each microresonator, resulting in more difficult and time-consuming setup implementation. Therefore, to overcome these limitations, an improvement of the previous coupling system was recently demonstrated [15]: the system is now constituted by a pair of identical LPGs with a tapered fiber in between. The existence of the second LPG allows the light coupling back into the fiber core. Hence, all the information within the core mode is transmitted up to the end of the fiber segment and it can be collected by a single photodetector. It is important to underline that the pair of identical LPGs can operate in different wavelength bands within the range of the detector, so allowing multiple selective coupling of spatially distributed or quasi-distributed WGM microresonators by means of different wavelengths [15].

The design of the coupling system previously mentioned requires an exhaustive model, especially for developing sensing applications [14]. In this work, two different sensing setups, similar to those described in [15], are considered. The two setups consist of either a microsphere or a microbubble coupled to a tapered fiber. An analytical model for simulating the two setups is detailed. The proposed approach is complete and it is well validated with the experimental results reported in literature [15]. As an application example, the microbubble-based sensing setup is used to detect the concentration of glycerol diluted in an aqueous solution flowing in the microbubble.

#### 2. Overview of the all-in-fiber coupling system

Figure 1 shows a sketch of the coupling system used in this work. The system is constituted by an optical WGM microresonator coupled to a tapered fiber. On both sides of the taper, there are the two identical LPGs. The first LPG allows the coupling between the fundamental core mode and a specific cladding mode depending on the grating parameters. Then, the evanescent field of this cladding mode excites the WGMs in the microresonator. Finally, the light is coupled back from the foregoing cladding mode into the fiber fundamental mode via the second LPG.



**Figure 1.** Sketch of the coupling principle of an optical WGM microresonator by means of a tapered fiber in-between of two identical LPGs.

80

81

45

46

47

48

49

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

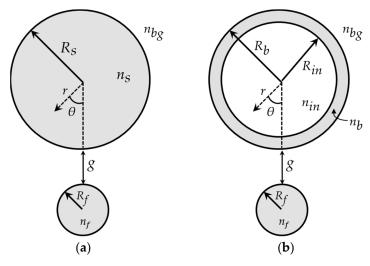
75

76

77

78

In this work, two different types of WGM microresonator are considered: microspheres and microbubbles. The cross-sections of the microresonators, together with a sketch of the tapered fiber waist, are shown in Fig. 2. Fig. 2(a) refers to the microsphere-based coupling system, whereas Fig. 2(b) refers to the microbubble-based coupling system. The gap between each microresonator and the taper waist is *g*.



**Figure 2.** Geometrical configurations of the simulated coupling systems consisting of a tapered fiber coupled to (a) a microsphere and (b) a microbubble. The cross-section of the tapered fiber waist is shown. The light grey color represents the silica glass.

#### 3. Materials and Methods

#### 3.1 Manufacturing of WGM microresonators and optical fiber LPGs

The model is realistic and refers to two experimental setups described in [15]. Heating and pulling approach of a commercially available boron-germanium co-doped single-mode optical fiber (Fibercore PS1250/1500) is exploited to manufacture the adiabatic fiber taper [17]. In particular, the fiber core and cladding diameters are 6.9  $\mu$ m and 124.6  $\mu$ m, respectively. Tapers with a diameter among 15–18  $\mu$ m are manufactured to guide/handle the cladding modes of interest. It is worth pointing out that, for an efficient coupling of these cladding modes to WGM microresonators, a partial tapering of the optical fiber is essential to shrink the optical field size and to increase the evanescent field [14]. However, the average diameter of the manufactured tapered fibers is one order of magnitude thicker than that of standard fiber tapers (1–2  $\mu$ m). This allows to improve the robustness and a decreased fragility of the coupling structure in practical applications.

The coupling system depicted in Fig. 1 is completed by manufacturing the pair of identical LPGs on both ends of the tapered fiber. A point-to-point technique employing a KrF excimer laser (Lambda Physic COMPex 110) is used to inscribe the gratings [18]. Two different pairs of LPGs are manufactured with a grating period,  $\Lambda$ , of 340  $\mu$ m and 365  $\mu$ m, respectively, and a grating length, L, of 18.7 mm and 20.1 mm, respectively (55 grating planes).

The model includes two different types of microresonators: silica microspheres and microbubbles, manufactured as in [17, 19]. The diameters of these resonator range from 260  $\mu$ m to 290  $\mu$ m, for microspheres, and from 380  $\mu$ m to 500  $\mu$ m, for microbubbles. In both cases, the microresonator size is large enough, thus the free spectral range (FSR) can be considered significantly smaller than the bandwidth of the LPGs [14].

The experimental setup we used for the monitoring and registration of the transmission spectra consists of two fiber pigtailed tunable external cavity lasers (Anritsu Tunics Plus, linewidth 300 KHz), covering the spectral range from 1390 nm to 1640 nm and of an optical spectrum analyzer (OSA–Ando AQ6317B) for detecting the signals. The coupling mechanism is finally tested by using the same laser sources, which can be finely and continuously swept in the spectral range within few GHz, and a single photodetector connected to a commercially available oscilloscope.

#### 118 3.2 Theoretical analysis

119

120

121

122

123

124

125

126

127

143

144

145 146

147

148

149

150

151

152

In Fig. 2 the cross-sections of two different kinds of optical spherical microresonators, coupled to a tapered fiber, are shown. The developed analytical model found the electromagnetic (e.m.) fields of the microresonators and the fiber by solving the Helmholtz equation in spherical and cylindrical coordinates, respectively. In particular, the e.m. fields of the microresonators are described by the well-known WGM theory [20]. Then, the coupled-mode theory allows to model the optical interaction between the calculated microresonator modes and fiber modes [21].

### 3.2.1 Analytical model of a dielectric microsphere

The e.m. fields of a dielectric microsphere is found by solving the following Helmholtz equation in spherical coordinates:

$$\nabla^2 \Psi(r, \theta, \phi) + k^2 n_s \Psi(r, \theta, \phi) = 0, \tag{1}$$

128 where  $k = \omega \sqrt{\mu_0 \varepsilon_0}$  is the wave vector in vacuum,  $n_s$  is the refractive index of the microsphere (Fig. 129 2(a)) and  $\Psi(r, \theta, \phi)$  is the electric or magnetic field component. If the polarization of the e.m. fields 130 is supposed to be constant throughout all points in space, the solutions of Equation (1) can be 131 expressed in the following form [20]:

$$\Psi(r,\theta,\phi) = N_s \psi_r(r) \psi_{\theta}(\theta) \psi_{\phi}(\phi), \tag{2}$$

132 where  $N_s$  is a normalization factor calculated by assuming equal to 1 the integral of  $|\Psi|^2$ , over all space, divided by  $2\pi R_s$ ,  $R_s$  is the microsphere radius (Fig. 2(a)), and  $\psi_r$ ,  $\psi_\theta$  and  $\psi_\phi$  are the radial, 133 134 polar and azimuthal contributions of the field, respectively [20]. The separation of variables in 135 Equation (2) allows to divide the microsphere modes in Transverse Electric (TE) and Transverse 136 Magnetic (TM) modes. The TE modes are characterized by having the electric field parallel to the 137 microsphere surface, i.e.  $\Psi = E_{\theta}$ ,  $E_r = E_{\phi} = 0$ . The TM modes are characterized by having the electric 138 field perpendicular to the microsphere surface, i.e.  $\Psi = H_{\theta}$ ,  $H_r = H_{\phi} = 0$  [20]. The other field 139 components  $(H_r, H_{\phi} \text{ for TE modes}; E_r, E_{\phi} \text{ for TM modes})$  are determined by the boundary 140 conditions at the interface between the microsphere and the surrounding background medium (see 141 Fig. 2(a)). Finally, the substitution of Equation (2) in Equation (1) gives the well-known WGM field 142 contributions [20]:

$$\psi_{\phi}(\phi) = e^{\pm jm\phi},\tag{3a}$$

$$\psi_{\alpha}(\theta) = e^{-\frac{m}{2}\theta^2} H_N(\sqrt{m}\theta), \quad m \gg 1 \gg \theta, \tag{3b}$$

$$\psi_{\theta}(\theta) = e^{-\frac{m}{2}\theta^{2}} H_{N}(\sqrt{m}\theta), \quad m \gg 1 \gg \theta,$$

$$\psi_{r}(r) = \begin{cases} A j_{l}(kn_{s}r), & r \leq R_{s}, \\ Be^{-\alpha_{s}(r-R_{s})}, & r > R_{s}, \end{cases}$$
(3b)

where  $H_N$  is the Hermite polynomial of order N = l - m, l and m are the mode numbers,  $j_l$  is the spherical Bessel function of the first kind of order l, A and B are two constants evaluated by imposing the boundary conditions at the microsphere surface,  $\alpha_s = (\beta_1^2 - k^2 n_{bg}^2)^{1/2}$  is the exponential decay constant of the evanescent field in the background medium with refractive index  $n_{bg} < n_s$  and  $\beta_i$  is the propagation constant of the mode parallel to the microsphere surface [20]. The microsphere modes,  $WGM_{l,m,n}$ , are uniquely described by the three integers l, m and n. The value m is the number of field maxima along the  $\phi$ -direction; the value l-m+1 is the number of field maxima along the  $\theta$ -direction; the value n is the number of absolute field maxima along the r-direction.

By matching the tangential field components of TE and TM modes at  $r = R_s$ , a homogeneous linear equation system of the form Mx = 0 is obtained, where  $x = [A, B]^T$  and

$$\mathbf{M} = \begin{bmatrix} j_l(kn_sR_s) & -1\\ kn_sj_l(kn_sR_s) & \chi\alpha_s \end{bmatrix},\tag{4}$$

153 where  $\chi = 1$ , for TE modes, and  $\chi = n_s^2/n_{bg}^2$ , for TM modes. The first row of the matrix M is obtained 154 by matching either  $E_{\theta}$ , for TE modes, or  $H_{\theta}$ , for TM modes, at  $r = R_s$ . The second row is obtained by

- matching either  $H_{\phi}$ , for TE modes (assuming  $\partial E_{\theta}/\partial r \gg E_{\theta}/r$ ), or  $E_{\phi}$ , for TM modes (assuming
- $\partial H_{\theta}/\partial r \gg H_{\theta}/r$ ) [20]. By imposing equal to zero the determinant of the matrix M, the microsphere
- 157 characteristic equation is obtained:

$$\left(\frac{l}{R_s} + \chi \alpha_s\right) j_l(k n_s R_s) = k n_s j_{l+1}(k n_s R_s), \tag{5}$$

- where the recursion formula,  $j_1(x) = lx^{-1}j_1(x) j_{l+1}(x)$ , has been used [20]. Equation (5) relates the
- resonant wavelengths of the WGMs to the mode numbers l and n.
- 160 3.2.2 Analytical model of a dielectric microbubble
- A microbubble can be seen as a hollow microsphere with a glass shell in which the WGMs propagate [19]. As illustrated in Fig. 2(b), the thickness of the glass shell is determined by the inner,  $R_{in}$ , and outer,  $R_b$ , radii of the microbubble. The refractive index of the glass shell is  $n_b$ , whereas the refractive index of the medium inside the microbubble is  $n_{in}$ . The e.m. analysis of a microbubble follows the same procedure of the previous subsection 3.2.1. In this case, the radial field
- 166 contribution,  $\psi_{r}(r)$ , takes a different form to account for the two separation interfaces:

$$\psi_{r}(r) = \begin{cases} A j_{l}(kn_{in}r), & r \leq R_{in}, \\ B j_{l}(kn_{b}r) + C y_{l}(kn_{b}r), & R_{in} < r \leq R_{b}, \\ De^{-\alpha_{s}(r - R_{b})}, & r > R_{h}, \end{cases}$$
(6)

- where  $y_l$  is the spherical Bessel function of the second kind of order l, and A, B, C and D are
- 168 constants to be determined by applying the boundary conditions to the  $\theta$  and  $\phi$ -polarized field
- 169 components at  $r = R_{in}$  and  $r = R_b$ . As described in the previous subsection 3.2.1, the boundary
- 170 conditions lead to the homogeneous linear equation system Mx = 0, where  $x = [A, B, C, D]^T$  and

$$\mathbf{M} = \begin{bmatrix} j_{l}(kn_{in}R_{in}) & -j_{l}(kn_{b}R_{in}) & -y_{l}(kn_{b}R_{in}) & 0\\ n_{in}j_{l}(kn_{in}R_{in}) & -\chi_{1}n_{b}j_{l}(kn_{b}R_{in}) & -\chi_{1}n_{b}y_{l}(kn_{b}R_{in}) & 0\\ 0 & j_{l}(kn_{b}R_{b}) & y_{l}(kn_{b}R_{b}) & -1\\ 0 & kn_{b}j_{l}(kn_{b}R_{b}) & kn_{b}y_{l}(kn_{b}R_{b}) & \chi_{2}\alpha_{s} \end{bmatrix},$$
(7)

- where  $\chi_1 = \chi_2 = 1$ , for TE modes, and  $\chi_1 = n_{in}^2/n_b^2$ ,  $\chi_2 = n_b^2/n_{bg}^2$ , for TM modes. The first two rows of
- 172 the matrix M are obtained by matching either  $E_{\theta}$ ,  $H_{\phi}$ , for TE modes, or  $H_{\theta}$ ,  $E_{\phi}$ , for TM modes, at
- 173  $r = R_{in}$ . The third and fourth rows are obtained by matching the same tangential fields at  $r = R_b$ . The
- assumptions  $\partial E_{\theta}/\partial r \gg E_{\theta}/r$  and  $\partial H_{\theta}/\partial r \gg H_{\theta}/r$  hold in this case too. By imposing equal to zero the
- determinant of the matrix M in Equation (7), the characteristic equation for the microbubble is
- 176 obtained.

179

180

181

182

183

184

- 177 3.2.3 Coupling model
  - The coupled mode theory (CMT) [21] is applied to model the optical coupling between the microresonator  $WGM_{l,m,n}$  modes and the tapered fiber  $LP_{0,X}$  cladding modes, where the 0 and X subscripts represent the azimuthal and radial orders, respectively, of the linearly-polarized (LP) cladding modes. The analytical model takes into account the coupling of modes both in space and in time formulations [20-21].
  - The optical interaction between the fiber field,  $\mathbf{F}_X$ , and the microresonator field,  $\mathbf{\Psi}_{l,m,n}$ , is obtained and calculated from the following overlap integral [20]:

$$\kappa_{xy} = \frac{k^2}{2\beta_f} (n_{eff}^2 - n_{bg}^2) \iint \mathbf{\Psi}_{l,m,n} \cdot \mathbf{F}_X^* \, \mathrm{d}x \mathrm{d}y \,, \tag{8}$$

- where  $\beta_f$  is the propagation constant of the LP mode and  $n_{eff}$  is the effective refractive index of the
- microresonator, which is related to the propagation constant of the WGM [20]. The integration in
- 187 Equation (8) is carried out over the transverse plane at the minimum distance with the tapered fiber

(see Fig. 2). Then,  $\kappa_{xy}$  is integrated along the *z*-axis (parallel to the fiber axis) over the interaction length, *L*, i.e.  $\kappa = \int_L \kappa_{xy} dz$ .  $\kappa$  is the power coupling constant, whereas  $\kappa^2$  is the fraction of the power transferred from the fiber to the microresonator over the interaction region [21]. It should be noted that  $\kappa_{xy}$  is proportional to  $e^{-j\Delta\beta z}$ , where  $\Delta\beta = \beta_f - \beta_m$  is the phase mismatch between the LP mode and the WGM, whose propagation constant is either  $\beta_m = m/R_s$ , for a microsphere, or  $\beta_m = m/R_b$ , for a microsphere [20].

The power coupling constants  $\kappa$  is also related to the time evolution of the coupled modes. By considering the microresonator as a lumped oscillator of energy amplitude  $a_{WGM}(t)$ , the (weak) power coupling with the fiber induces a (slow) time variation of  $a_{WGM}(t)$ , which can be expressed by means of the following rate equation [21]:

$$\frac{\mathrm{d}a_{\mathrm{WGM}}(t)}{\mathrm{d}t} = \left(\mathrm{j}\omega_{\mathrm{WGM}} - \frac{1}{\tau_0} - \frac{2}{\tau_e}\right) a_{\mathrm{WGM}}(t) - \mathrm{j}\sqrt{\frac{2}{\tau_e\tau_r}} a_{in}(t),\tag{9}$$

where  $\tau_0 = Q_0/\omega_{\rm WGM}$  is the amplitude decay time-constant due to the intrinsic loss phenomena of the microresonator (including surface scattering and absorption and curvature losses),  $Q_0$  is the intrinsic quality factor,  $\omega_{\rm WGM}$  is the WGM<sub>l,m,n</sub> resonant frequency,  $\tau_e = Q_e/\omega$  is the decay time-constant related to the coupling with the fiber,  $Q_e = m\pi/\kappa^2$  is the external quality factor,  $\kappa$  is the foregoing power coupling constant,  $\omega$  is the input excitation frequency,  $\tau_r = 2\pi R/v_g \cong 2\pi R n_{eff}/c$  is the revolution time of either the microsphere ( $R = R_s$ ) or the microbubble ( $R = R_b$ ) and  $a_{in}(t)$  is the energy amplitude of the excitation signal at the taper input section [20-21].

The transfer characteristic of the coupling system is found by considering the steady state form of the Equation (8), thus obtaining  $a_{WGM}$ , and then applying the power conservation rule in order to consider the resonant power coupled out of the microresonator and the non-resonant power transmitted directly to the fiber output section [21]. Therefore, the transmittance of the system can be expressed as:

$$T = \frac{|a_{out}|^2}{|a_{in}|^2},\tag{10}$$

where  $a_{out}$  is the amplitude of the signal at the fiber output section.

### 4. Results and Discussion

This section consists of two parts: subsection 4.1 presents the numerical results achieved by using the theoretical analysis described in subsection 3.2, whereas subsection 4.2 shows some experimental results related to possible distributed sensing with WGM microresonators.

## 4.1. Numerical Results

The e.m. fields and the propagation constants of the microresonator WGMs and the fiber LP modes calculated with the analytical model was successfully validated by comparing them with those ones simulated via a finite element method (FEM) code. Moreover, the overall developed analytical model has been validated by comparing the simulated results with the experimental ones reported in [15].

The simulations are carried out by employing the experimental parameters detailed in section 3.1. In particular, an adiabatic fiber taper with a radius  $R_f$  = 9  $\mu$ m is considered. The simulated microsphere and microbubble are made of silica glass. The dispersion effect on the refractive index of silica is taken into account via a proper Sellmeier formula [22]. The microsphere radius is  $R_s$  = 145  $\mu$ m , whereas the microbubble external and internal radii are  $R_b$  = 200  $\mu$ m and  $R_{in}$  = 196.7  $\mu$ m, respectively. The simulations are performed by considering air as the surrounding background medium. Moreover, the microbubble is considered empty. According to [15], the simulated wavelength range is centered on  $\lambda_c$  = 1613.3 nm.

different gap values, g = 10, 100, 200, 500, 1000 nm. For each value of g, the simulated transmittance T

is compared with the experimental one. Both setups employing the microsphere and the

To find the optimal value of the gap g, a number of simulations are performed by considering

Figure 3(a) shows the transmittance T of the microsphere-based setup, calculated for three

233

234

240 241 242

different  $WGM_{l,m,n}$ , as a function of the radial order X of the  $LP_{0,X}$  cladding modes, considering a gap g = 200 nm. The transmittance dip, which corresponds to the maximum coupling with the microsphere, can be attained for the WGM<sub>774,774,3</sub> by exciting with the fiber LP<sub>0,7</sub> cladding mode

(a) 1

Fransmittance T (a.u.)

0.95

0.9

0.85

0.8

0.75

0.7

0.65

0.6

0.55

0.5

through the LPG. The resonance of the WGM<sub>774,774,3</sub>, expressed in terms of the detuning  $\Delta\omega$ , is shown in Fig. 3(b). The simulated results here shown are in good agreement with the experiment reported in [15]. In fact, by exciting the WGM<sub>774,774,3</sub>, the simulated transmittance of the microsphere-based coupling system reaches a minimum of T = 0.53 a.u., while, in the experiment, the measured transmittance is about T = 0.65 a.u.. The small discrepancy can be explained considering higher actual total losses.

.WGM<sub>796,796,1</sub>

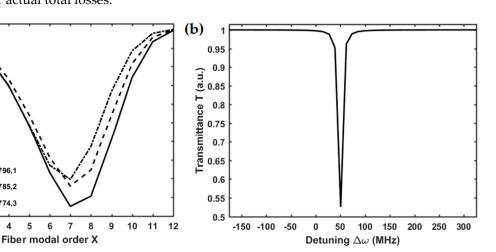
\_WGM<sub>785,785,2</sub>

WGM<sub>774,774,3</sub>

5 6

2 3

microbubble are taken into account.



243

244

245

246

247

Figure 3. (a) Transmitted power of the microsphere-based setup, calculated for three resonant  $WGM_{l,m,n}$ , as a function of the modal order X of the  $LP_{0,X}$  cladding modes, with a gap g = 200 nm. (**b**) Transmission of the WGM<sub>774,774,3</sub>, excited by the LP<sub>0.7</sub>, as a function of the detuning  $\Delta\omega$ , with a gap g = 200 nm; microsphere-based setup.

256

257

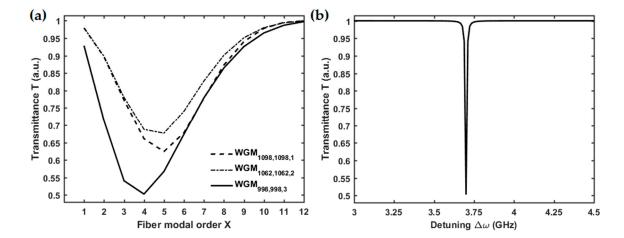
258 259

260

261

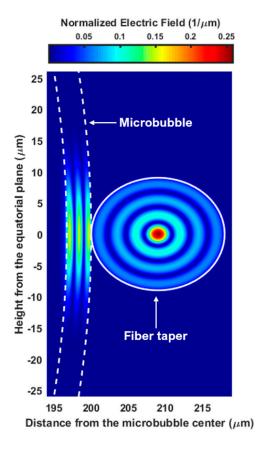
Figure 4(a) reports on the transmittance T of the microbubble-based setup, calculated for three different  $WGM_{l,m,n}$ , as a function of the radial order X of the  $LP_{0,X}$  cladding modes, considering a gap g = 10 nm. The transmittance dip, which corresponds to the maximum coupling with the microbubble, can be attained for the WGM<sub>998,998,3</sub> by exciting with the fiber LP<sub>0,4</sub> cladding mode through the LPG. The resonant detuning of the WGM<sub>998,998,3</sub>, corresponding to the wavelength  $\lambda$  = 1618.4 nm, is shown in Fig. 4(b). The simulated transmittance of the microbubble-based coupling system reaches a minimum of T = 0.50 a.u., practically coincident with the measured value [15] even if referring to a slightly low fiber modal order.

The simulated intrinsic quality factors of the microsphere and the microbubble are  $Q_{0,\mathrm{sim}}^{\mathrm{sphere}} = 9.7 \times 10^7$  and  $Q_{0,\mathrm{sim}}^{\mathrm{bubble}} = 9.5 \times 10^7$ , respectively. These values are in excellent agreement with the experimental values,  $Q_{0,\text{meas}}^{\text{sphere}} = 1.1 \times 10^8$ , for the microsphere, and  $Q_{0,\text{meas}}^{\text{bubble}} = 9.8 \times 10^7$  [15]. It should be noted that the developed model allows to retrieve the mode numbers of the WGMs excited in the microresonators as well as the same (or very close) order of the cladding mode excited via the LPG experimentally observed in [15].



**Figure 4.** (a) Transmitted power of the microbubble-based setup, calculated for three resonant WGM<sub>l,m,n</sub>, as a function of the modal order X of the LP<sub>0,X</sub> cladding modes, with a gap g = 10 nm. (b) Transmission of the WGM<sub>998,998,3</sub>, excited by the LP<sub>0,4</sub>, as a function of the detuning  $\Delta\omega$ , with a gap g = 10 nm; microbubble-based setup.

Figure 5 illustrates the normalized electric field of the microbubble  $WGM_{998,998,3}$  evanescently coupled to the normalized electric field of the fiber  $LP_{0,4}$  cladding mode. The four radial maxima of the  $LP_{0,4}$  is evident as well as the third radial order of  $WGM_{998,998,3}$ .



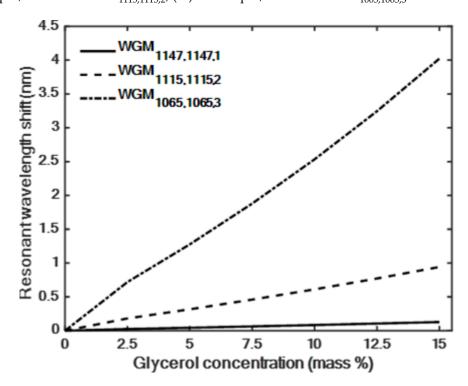
**Figure 5.** Distribution of the normalized electric fields confined in the microbubble glass layer (on the left) and in the fiber taper (on the right) for the WGM<sub>998,998,3</sub> coupled with the LP<sub>0,4</sub>, gap g = 10 nm.

As a final numerical proof-of-concept toward the exploitation of sensing applications, the sensitivity of the microbubble-based setup to different concentrations of an aqueous glycerol solution is simulated. The same foregoing analytical model is used for simulations. The response of

the microbubble, in terms of the shifts of the resonant wavelengths, to an increasing glycerol concentration is studied. The simulations are performed with the same microbubble used in the previous validation step. The simulated wavelength range is  $1550 \pm 4$  nm. The simulated glycerol concentrations are in the range 0 - 15 mass %, with an increment of 2.5%. The refractive indices of the solutions are calculated as a function of the glycerol concentrations, according to the following dispersion formula [23]:

$$n_{sol} = Aw^3 + Bw^2 + Cw + D,$$
 (11)

where A = -0.0216, B = 0.0512, C = 0.1110, D = 1.3165 and w is the mass fraction of the glycerol [23]. For each concentration value, the same three WGMs with increasing radial orders are simulated, namely WGM<sub>1147,1147,1</sub>, WGM<sub>1115,1115,2</sub> and WGM<sub>1065,1065,3</sub>. In Fig. 6, the resonant wavelength shift of each mode as a function of the glycerol concentration is shown. As expected, the WGMs with a higher number of radial maxima are more sensitive to the refractive index variation induced by the increasing concentration. In fact, in these cases, the WGM fields are less confined in the glass layer of the microbubble, because of the larger evanescent field coupled with the solution inside the bubble [24]. The average slope of the curves in Fig. 6 represents the sensitivity values of the microbubble-based sensor, S, which are approximately equal to (i) S = 9 pm/% for the WGM<sub>1147,1147,1</sub>; (ii) S = 63 pm/% for the WGM<sub>1115,1115,2</sub>; (iii) S = 268 pm/% for the WGM<sub>1065,1065,3</sub>.



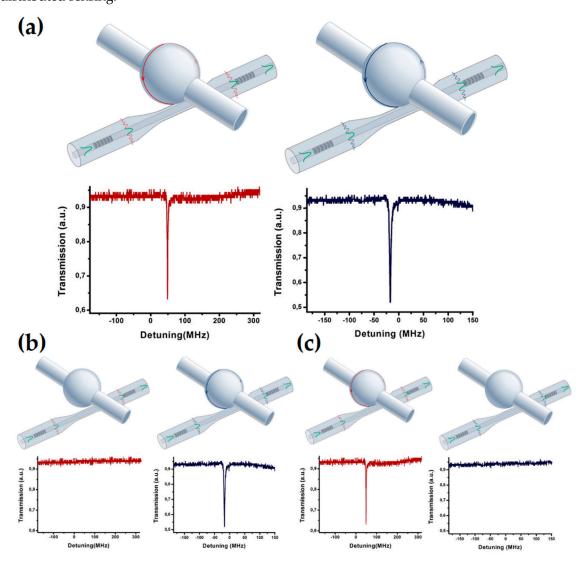
**Figure 6.** Shift of the resonant wavelengths of the simulated WGMs as a function of the glycerol concentration expressed in mass %.

## 4.2. Experimental Results

Toward the development of an all-in-fiber distributed sensing system, the coupling system illustrated in Fig. 1 has been tested and high-Q WGM resonances in both microspheres and microbubbles have been proved to be effectively excited [15]. The mechanical contact between the microresonators and the tapered fiber is provided in order to avoid any environmental perturbation. Moreover, the phase-matching conditions between the fiber cladding modes and the WGMs are satisfied due to the azimuthal and radial high-order modes of the spherical microresonators [14]. The transmission dips has been fitted by a Lorentzian function obtaining typical Q-factor values ranging from  $10^6$  up to  $10^8$ , for both types of microresonators, with a maximum coupling efficiency (or resonance contrast) of about 50%–60%.

321

Afterwards, the coupling system in Fig. 1 is doubled along the same fiber link as a proof-of-concept test. In fact, by a proper design of the LPGs, the coupling system in Fig. 1 can be replicated as many times as the effective bandwidth of both source and detector allows. A total bandwidth allocation not less than 40 nm for each pair of LPGs should be taken into account [15]. Figure 7(a) accounts for the resonances achieved by scanning about 2 GHz around the LPG central wavelengths (1518.9 nm for the first coupling unit and 1613.3 nm for the second one), when the microresonators of the two coupling units are in mechanical contact with their respective fiber tapers (as sketched on the top of Fig. 7(a)). The Q-factor values are comparable to those obtained with the analytical model. In order to prove that the microresonators of the two coupling units can be independently excited without cross-talk, a selectivity test has been performed. By alternatively de-coupling one of the microresonator and by looking at the transmission spectrum of the other one, it is possible to prove the selective excitation, as detailed in Fig. 7(b) (the first microresonator in contact, while the second not in contact) and in Fig. 7(c) (the second microresonator in contact, while the first not in contact). As a further proof and evidence of this, additional measurements performed by varying the coupling position of the tapered fiber along the azimuthal axis of the microresonators confirm our findings. Therefore, the proposed all-in-fiber coupling system can be effectively used for distributed sensing.



**Figure 7.** (a) Sketch and results of two in-series coupling systems with both the microresonators (microspheres in this case) coupled to each tapered fiber. The corresponding resonances achieved by scanning a laser source around the resonant wavelengths of the LPGs (0 MHz detuning) are also detailed below each sketch. Other two cases are shown to prove the zero cross-talk of the approach

324

325

326

proposed: (b) the first microsphere in contact, while the second not; (c) the second microsphere in contact, while the first not.

#### 5. Conclusions

329

330

331

332

333

334

335

336

337

338

339

340

341

342

343

344

A complete model for designing an all-in-fiber coupling system allowing the wavelength selective excitation of spatially distributed or quasi-distributed optical WGM microresonators has been developed. The microresonators are evanescently side-coupled with a fiber taper to increase the coupling efficiency. A pair of identical LPGs, with the microresonator and the tapered fiber in-between, can be used to excite the WGMs by means of peculiar cladding modes. The pair of identical LPGs can operate in different wavelength bands allowing multiple selective interrogation of several microresonators along the same optical fiber. The model has been validated with experimental data by considering microsphere- and microbubble-based setups. As an application example, the sensing of aqueous glycerol solutions with different concentrations is demonstrated. The simulated results suggest that the coupling system can be effectively used for distributed sensing applications of chemical/biological fluids. The theoretical and experimental analysis and results could open novel opportunities in the field of chemical/biological sensing. In particular, the all-in-fiber coupling system could bring about a very promising optical platform for multiplexing hollow WGM microstructures, such as microbubble-based resonators, which are perfectly fitted an integrated microfluidics.

- Author Contributions: †F.C. and D.L. contributed equally to this work. The following statements should be used "Conceptualization, F.C., G.N.C. and F.P.; Software and Validation, D.L. and M.C.F.; Formal Analysis, D.L., M.C.F., F.P.; Investigation, F.C. and D.F.; Data Curation, D.F.; Writing-Original Draft Preparation, F.C.;
- Writing-Review & Editing, all the authors; Supervision, G.N.C, F.B. and F.P.
- Funding: This research was partially funded by Ministero dello Sviluppo Economico (grant number F/050425/02/X32), by Horizon 2020 (PON I&C 2014-2020, "ERHA Enhanced Radiotherapy with HAdron"), by EU COST Action (grant number MP1401, "Advanced fibre laser and coherent source as tools for society, manufacturing and life science"), by Ente Cassa di Risparmio di Firenze (grant number 2014.0770A2202.8861)
- and by Centro Fermi (project "MiFo").
- Acknowledgments: We thank Dr. Simone Berneschi, Mr. Franco Cosi, Dr. Ambra Giannetti, and Dr. Cosimo
- Trono of IFAC-CNR for the insightful discussions and technical assistance.
- Conflicts of Interest: The authors declare no conflict of interest. The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in
- 358 the decision to publish the results.

# 359 References

- Palma, G.; Falconi, M.C.; Starecki, F.; Nazabal, V.; Ari, J.; Bodiou, L.; Charrier, J.; Dumeige, Y.; Baudet, E.;
   Prudenzano, F. Design of praseodymium-doped chalcogenide micro-disk emitting at 4.7 μm. *Opt. Express* 2017, 25, 7014–7030.
- Ward, J. M.; Yang, Y.; Chormaic, S. N. Highly sensitive temperature measurements with liquid-core micro bubble resonators. *IEEE Photon. Technol. Lett.* **2013**, 25, 2350–2353.
- 365 3. Ozel, B.; Nett, R.; Weigel, T.; Schweiger, G.; Ostendorf, A. Temperature sensing by using whispering gallery modes with hollow core fibers. *Meas. Sci. Technol.* **2010**, *21*, 094015–094020.
- 4. Ma, Q.; Rossmann, T.; Guo, Z. Whispering-gallery mode silica microsensors for cryogenic to room temperature measurement. *Meas. Sci. Technol.* **2010**, *21*, 025310–025317.
- 5. Cai, Z. P.; Xiao, L.; Xu, H. Y.; Mortier, M. Point temperature sensor based on green decay in an Er:ZBLALiP microsphere. *J. Lumin.* **2009**, 129, 1994–1996.
- Dong, C. H.; He, L.; Xiao, Y.-F.; Gaddam, V. R.; Ozdemir, S. K.; Han, Z.-F.; Guo G.-C.; Yang, L. Fabrication of high-Q polydimethylsiloxane optical microspheres for thermal sensing. *Appl. Phys. Lett.* **2009**, *94*, 231119–231121.
- 374 7. Hanumegowda, N. M.; Stica, C. J.; Patel, B. C.; White, I.; Fan, X. Refractometric sensors based on microsphere resonators. *Appl. Phys. Lett.* **2005**, *87*, 201107–201110.

- Henze, R.; Seifert, T.; Ward, J.; Benson, O. Tuning whispering gallery modes using internal aerostatic pressure. *Opt. Lett.* **2011**, *36*, 4536–4538.
- 9. Soria, S.; Berneschi, S.; Brenci, M.; Cosi, F.; Nunzi Conti, G.; Pelli, S.; Righini, G. C. Optical microspherical resonators for biomedical sensing. *Sensors* **2011**, *11*, 785–805.
- 380 10. Palma, G.; Falconi, C.; Nazabal, V.; Yano, T.; Kishi, T.; Kumagai, T.; Ferrari, M.; Prudenzano, F. Modeling of whispering gallery modes for rare earth spectroscopic characterization. *IEEE Photon. Technol. Lett.* **2015**, 27, 1861–1863.
- 383 11. Vollmer, F.; Arnold, S. Whispering-gallery-mode biosensing: label-free detection down to single molecules. *Nat. Methods* **2008**, *5*, 591–596.
- 385 12. Kishi, T.; Kumagai, T.; Shibuya, S.; Prudenzano, F.; Yano, T.; Shibata, S. Quasi-single mode laser output from a terrace structure added on a Nd<sup>3+</sup>-doped tellurite-glass microsphere prepared using localized laser heating. *Opt. Express* **2015**, *23*, 20629–20635.
- 388 13. Knight, J. C.; Cheung, G.; Jacques, F.; Birks, T. A. Phase-matched excitation of whispering-gallery-mode resonances by a fiber taper. *Opt. Lett.* **1997**, 22, 1129–1131.
- 390 14. Farnesi, D.; Chiavaioli, F.; Righini, G. C.; Soria, S.; Trono, C.; Jorge, P.; Nunzi Conti, G. Long period grating-based fiber coupler to whispering gallery mode resonators. *Opt. Lett.* **2014**, *39*, 6525–6528.
- 392 15. Farnesi, D.; Chiavaioli, F.; Baldini, F.; Righini, G. C.; Soria, S.; Trono, C.; Nunzi Conti, G. Quasi-distributed and wavelength selective addressing of optical micro-resonators based on long period fiber gratings. *Opt. Express* 2015, 23, 21175–21180.
- 395 16. Palma, G.; Falconi, M.C.; Starecki, F.; Nazabal, V.; Yano, T.; Kishi, T.; Kumagai, T.; Prudenzano, F. Novel double step approach for optical sensing via microsphere WGM resonance. *Opt. Express* **2016**, 24, 26956–26971.
- 398 17. Brenci, M.; Calzolai, R.; Cosi, F.; Nunzi Conti, G.; Pelli, S.; Righini, G. C. Microspherical resonators for biophotonic sensors. In Proceedings of the SPIE Lightmetry and Light and Optics in Biomedicine, Warsaw, Poland, 20–22 October 2004; SPIE: 2006; p. 61580S.
- 401 18. Trono, C.; Baldini, F.; Brenci, M.; Chiavaioli, F.; Mugnaini, M. Flow cell for strain- and temperature-compensated refractive index measurements by means of cascaded optical fibre long period and Bragg gratings. *Meas. Sci. Technol.* **2011**, 22, 075204:1–075204:9.
- 404 19. Berneschi, S.; Farnesi, D.; Cosi, F.; Nunzi Conti, G.; Pelli, S.; Righini, G. C.; Soria, S. High Q silica microbubble resonators fabricated by arc discharge. *Opt. Lett.* **2011**, *36*, 3521–3523.
- 406 20. Little, B. E.; Laine, J.-P.; Haus, H. A. Analytic Theory of Coupling from Tapered Fibers and Half-Blocks into Microsphere Resonators. *J. Lightw. Technol.*, **1999**, *17*, 704–715.
- 408 21. Little, B. E.; Chu, S. T.; Haus, H. A.; Foresi, J.; Laine, J.-P. Microring resonator channel dropping filters. *J. Lightw. Technol.*, **1997**, *15*, 998–1005.
- 410 22. Malitson, I.H. Interspecimen Comparison of the Refractive Index of Fused Silica, *J. Opt. Soc. Am.* **1965**, *55*, 411 1205–1208.
- 412 23. Saunders, J. E.; Sanders, C.; Chen, H.; Loock, H.-P. Refractive indices of common solvents and solutions at 1550 nm. *Appl. Opt.* **2016**, *55*, 947–953.
- 24. Chiavaioli, F.; Gouveia, C. A. J.; Jorge, P. A. S.; Baldini, F. Towards a Uniform Metrological Assessment of Grating-Based Optical Fiber Sensors: From Refractometers to Biosensors. *Biosensors* **2017**, *7*, 23:1–23:29.