

1 Article

2 **Responding to climate change in countries emerging**
3 **from armed conflicts: harnessing climate finance to**
4 **deliver forest conservation, peacebuilding, and**
5 **sustainable food**

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11 **Abstract:** Linking climate action with sustainable development goals (SDGs) might incentivize
12 social and political support to forest conservation. However, further examination of the conceptual
13 entry points for linking efforts for reducing forest-based emissions with those for delivering SDGs
14 is required. This review paper aims to contribute to fulfilling this research need. It provides insights
15 into the links between conserving forests for climate change mitigation and peacebuilding.
16 Specifically, the paper examines opportunities to harness climate finance for conserving forests and
17 achieving long-lasting peace. It does so via a literature review and the examination of the Orinoquia
18 region of Colombia. Findings from the literature review suggest that harnessing climate finance for
19 conserving forests and peacebuilding is, in theory, viable if activities are designed in accordance
20 with social, institutional, and economic factors. Meanwhile, the Orinoquia region provides evidence
21 that these two seemingly intractable problems are proposed to be solved together. At a time when
22 efforts for reducing forest-based emissions are being designed and targeted at (post-) conflict areas
23 in Colombia and elsewhere, the paper's findings might demonstrate to government agencies — both
24 environmental and non-environmental — the compatibility of programs aimed at reducing forest-
25 based emissions with efforts relating to peacebuilding and sustainable food.

26 **Keywords:** climate finance; REDD+; forest conservation; peacebuilding; sustainable food systems

27

28 **1. Introduction**

29 Whether climate finance can be harnessed to deliver forest conservation and other development
30 priorities of countries experiencing armed-conflicts is this paper's overarching research query. This
31 is an important query given that many of the developing countries officially disposed toward
32 implementing approaches for reducing forest-based greenhouse gas (GHG) emissions, such as the
33 mechanism for reducing emissions from deforestation and forest degradation (REDD+), are currently
34 experiencing or emerging from armed-conflicts [1]–[3]. Also it has been recently argued that the long-
35 term success of such approaches will be contingent upon their capacity to mobilize a broad range of
36 stakeholders [4], which in turn depends on their alignment with development priorities. In the case
37 of tropical countries experiencing (or emerging from) armed conflicts these priorities would be
38 largely related to peacebuilding.

39

40

41 Arguments around the potential of tropical forest landscapes to contribute to climate change
42 mitigation are contested. On the one hand, it is widely recognized that tropical forest ecosystems
43 provide various services which, to varying degrees, contribute to human well-being and to climate
44 change mitigation [5]–[7]. While fixation and storage of GHGs are important tropical forest
45 environmental services [5], uncertainty is high as to the extent of how changes in forest cover
46 contribute to global GHG emissions [8]. Early estimations indicated that forest cover changes
47 contribute some 18%–20% of global GHGs. However, recent studies indicate that these estimates are
48 exaggerated [9], [10]. Such variations in estimations largely result from the different measurement
49 methods employed. Initial estimates relied on national GHG inventories or on country reports
50 submitted to the United Nations Food and Agriculture Organization (FAO), whereas recent estimates
51 are based on analyses of forest cover changes and the production of above-ground biomass maps
52 (using satellite imagery). Irrespective of the differences of their findings, these studies commonly
53 recognize the biophysical opportunities for tackling climate change arising from forest landscapes
54 [11], [12]. Other studies, however, argue that approaches to reducing forest-based emissions might
55 pose threats to economic growth, local livelihoods, forest governance, biodiversity conservation, and
56 the rights of indigenous peoples and local communities [13]–[16]. Critics also point to the approaches'
57 low social and political feasibility [17]–[20], in particular because forest landscapes not only play a
58 key role in climate change mitigation, but they also host many of the world's conflicts [21], [22].
59

60 Despite arguments contesting the social and political feasibility of approaches for reducing forest-
61 based emissions, they are a key component of a global strategy to achieve the Paris Agreement's goal
62 of limiting global temperature increases to below 1.5 degrees Celsius relative to pre-industrial
63 conditions. For instance, REDD+ has received much attention from developed and developing
64 countries alike since it was first debated in global climate talks [23]. Moreover, bilateral and
65 multilateral donors have pledged more than US\$ 4.7 billion to support the design and
66 implementation of approaches for reducing forest-based emissions, such as REDD+ and other
67 initiatives for achieving sustainable forest landscapes [24], [25]. Accordingly, various developing
68 countries are designing and implementing strategies for reducing deforestation as a means to
69 conserve forest landscapes, mitigate climate change, and access climate finance.
70

71 There are several reasons for such level of support. REDD+ supporters have labeled it as the most
72 cost-effective approach to tackling climate change [26]. Some supporters have also argued about its
73 potential to generate important social and environmental co-benefits (referred to as "non-carbon
74 benefits" in global policy discussions) for biodiversity conservation [27]; forest governance [13];
75 sustainable forest management [28]; and community development [29]. Although the current level of
76 resource commitment is unprecedented, REDD+ has yet to incentivize sufficient national-level
77 decision-making in relation to its aims to reduce forest loss and forest degradation. Furthermore,
78 contrary to expectations, REDD+ action is far from adequate and has largely been limited to the
79 environmental sector and to those who agree that climate change action is needed. This trend is
80 consistent across a range of climate change mitigation initiatives [30], perhaps based on evidence that
81 climate finance would not compete with land-use opportunity costs [31]–[36].
82

83 In such contexts, co-benefits could arguably be better linked with sustainable development goals
84 (SDGs) to mobilize a broader range of stakeholders. Recent evidence suggests that co-benefits derived
85 from climate action provide sufficient incentive to secure support for activities, even from those who
86 reject the dire forecasts of climate change impacts [4]. In that respect, linking climate finance with key
87 SDGs might incentivize political support — beyond just the environmental sector — for climate
88 change mitigation. For instance, both academic and policy discussions are exploring mutually
89 beneficial interactions between approaches for reducing forest-based emissions and those for
90 peacebuilding [37]. Such co-benefits will be of particular interest to various countries that are
91 designing and implementing strategies for reducing deforestation as a means to conserve forests and
92 access climate finance and that also experience or are emerging from armed conflicts [38]. Although
93 empirical evidence shows further co-benefits may arise from pursuing forest carbon storage
94 approaches in areas considered priorities for peacebuilding [39], further research is required to
95 understand how climate finance might link forest conservation and peacebuilding.
96

97 This paper examines opportunities to harness climate finance for conserving forests and achieving
98 long-lasting peace. It does so via a literature review and the examination of a case study. In the
99 following section, I provide the conceptual entry points for linking efforts for reducing forest-based
100 emissions with those for delivering peace. I then present and discuss how these two seemingly
101 intractable problems could to be solved together and simultaneously support sustainable (low-
102 carbon) food production in the Orinoquia region of Colombia, within an initiative for achieving
103 sustainable forest landscapes that is being implemented and that could be expanded to other regions
104 facing similar challenges around the world. Finally, the paper discusses findings and concludes with
105 a consideration of the lessons learned emerging from designing landscape approaches in a region
106 that while emerging from a long period of armed conflict aspires to become a center of agricultural
107 production. The article draws on the experience of the author in the research, policy (global climatic
108 negotiations) and practitioner aspects of climate finance, forest conservation, and peacebuilding.

109 **2. Conceptual entry points for linking climate finance, forest conservation and peacebuilding**

110 *2.1 Peacebuilding*

111 Recent studies suggest that REDD+ contributions to SDGs may also arise outside the environmental
112 sector, for instance, in considering the mechanism's compatibility with peacebuilding activities [37]–
113 [39]. There could be, however, further examination of the peacebuilding concept and its links with
114 rural development and forest conservation [40], [41]. Peace research, along with the peacebuilding
115 concept, has its beginning in the mid-20th century when the International Peace Research Institute
116 (PRIO) launched in Oslo [42], [43]. From the very start, peace studies focused on understanding the
117 conditions for peace, ideally, in transdisciplinary (integration of different academic perspectives) and
118 transnational (integration of different global, national, regional, and local establishments) manners
119 [42]. It is within this emerging academic discipline that the concept of peacebuilding continues to
120 evolve [44], [45]. This discipline emphasizes the importance of addressing the root causes of conflict
121 and differentiates among responses to conflict. As Johan Galtung (1976) argues in his essay “Three
122 Approaches to Peace: Peacekeeping, Peacemaking, and Peacebuilding,” there are different levels at
123 which peace can be established. He argues that short-term measures (i.e., peacekeeping approaches)

124 aim to reduce overt physical violence (usually in the form of armed conflict), whereas, longer-term
125 measures (i.e., peacemaking and particularly peacebuilding) aim to address the root causes of
126 conflict, which he terms as “structural violence” (e.g., institutions that impede certain social groups’
127 access to services). Galtung argues that achieving sustainable peace requires addressing the structural
128 causes of war and undertaking efforts to support local communities’ capacities to manage and
129 overcome conflict. Lederach (1997) expands this line of thought by arguing that peacebuilding is a
130 dynamic process that goes beyond post-conflict reconstruction and involves several activities that
131 both precede and follow peace agreements. Violent conflict, therefore, should be managed at all
132 phases by processes of “conflict transformation,” which entails building new relationships,
133 behaviors, attitudes, and structures [46].

134

135 Over the years, peace studies have been moving from solely understanding the conditions of the
136 presence (or absence) of violence, toward a discipline that also puts forward suggestions on how to
137 build resilient, peaceful environments, for example, by means of “peace education” and “peace
138 action” [42]. This evolution is built on the similarities between peace and development studies.
139 Indeed, peacebuilding approaches resemble development programs in a way that it is difficult to
140 determine which output would be specifically attributable to which objective. Some scientists have
141 even considered them as “two faces of the same coin” [42]. Furthermore, some of the metrics to
142 measure performance of peacebuilding initiatives are based on development indicators [47]–[49].

143

144 In practice, there are wide-ranging interpretations of what constitutes a “peacebuilding
145 intervention.” Definitions range from “support to safety, security, and political processes” to
146 “provision of basic services and livelihoods” (UN, 2010). The common agreement, however, is that
147 to reduce the risk of a relapse into conflict, interventions should include a relatively narrow set of
148 activities. Even conservation approaches (e.g., those that restrict rural peoples’ access to forests) could
149 create conditions for further violence if implemented, for example, in contexts where the root causes
150 of conflict are linked to access to land and forest resources [50]. Therefore, to reduce the risk of a
151 relapse into conflict, the United Nations recommends that any peacebuilding intervention be
152 designed to achieve the following objectives: address drivers and root causes of conflict; build
153 institutions and capacities of individuals, communities, and authorities to manage conflict and
154 deliver services; enhance social cohesion and build trust among social groups; and build trust in and
155 the legitimacy of governments.

156 2.2 *Links between tropical forests and armed conflicts*

157 Identifying opportunities for reducing deforestation as a means to access climate finance while
158 simultaneously contributing toward peacebuilding requires understanding of the links between
159 tropical forests and armed conflicts. In the tropics, countries with extensive forested areas also often
160 have conflicts, ranging from local-level disputes to armed conflicts [21], [22]. Across the globe,
161 disputes have arisen around issues such as land access, resources and property rights, and land use
162 policies that prioritize particular uses (i.e., legal uses) over others (i.e., illegal uses) [22], [51]. Disputes
163 may also arise over conservation priorities. When powerful actors with vested interests intervene in
164 local disputes, they often inflame latent tensions, which may escalate into violence [52]. Different
165 academic disciplines propose a range of causal links between forest cover and armed conflicts,

166 although the majority originates from the “environmental security” field. According to this
167 discipline, natural resources can influence armed conflict through any of three possible mechanisms:
168 scarcity or unequal sharing of natural resources [53]; accessibility to and competition over natural
169 resources [54]; or opportunities for covert operations of illegal armed groups [55]. Meanwhile,
170 research has found that tropical forest landscapes are often areas (1) where state presence is weak; (2)
171 where disputes over land rights and access to land exist; and (3) that contain high-value natural
172 resources, which can finance combatants and armed groups’ hideouts [55]–[57].

173 *2.3 Causes of forest cover change in the tropics*

174 Proposing appropriate strategies to achieve forest conservation, either for biodiversity or for climate
175 change mitigation, requires understanding of what causes forest cover changes. Interlinks between
176 the causes of deforestation and forest degradation are complex and at different levels (international,
177 national, and local) [58], [59]. Over the last few decades, scientists have sought to elucidate the causes
178 of deforestation using different academic perspectives, methods, and approaches [60], [61]. These
179 approaches include meta-analyses of economic models [62] and subnational case studies [63].
180 Angelsen and Kaimowitz (1999) consistently conclude that causes of deforestation include: increased
181 roads; raised agricultural prices; decreased wages; and a shortage of off-farm employment.
182 Meanwhile, Geist and Lambin (2002) argue that the influence of complex factors (demographic,
183 economic, technological, cultural, institutional, and political) on agricultural expansion, wood
184 extraction, and infrastructure extension drives deforestation.

185

186 Policies influencing forest cover change include those for infrastructure (road construction that
187 increases agricultural and logging revenues and open access for new agricultural colonization), land
188 tenure, and agriculture [64]. Governments usually implement such policies in order to achieve
189 national priorities, such as “economic development” and “food production.” For instance, the
190 agricultural sectors in developing countries are usually a major contributor to their respective
191 national gross domestic products (GDP), employment levels, and international trade balances. This
192 observation is often used to justify policies promoting the expansion of the agricultural frontier into
193 forestland as a means of increasing agricultural production, ensuring food security, boosting
194 employment, raising incomes, and achieving rural development.

195

196 Scientists have applied the [Forest] Environmental Kuznets Curve (EKC), and the Forest Transition
197 Theory (FTT) to identify and analyze relationships between economic development and deforestation
198 [65]–[68]. EKC broadly proposes that during the early phases of a country’s development,
199 deforestation occurs due to economic growth (i.e., income growth results from land use changes for
200 agriculture and the exploitation of forest products). It argues that increases in economic indicators
201 accelerate deforestation, but that (at a certain stage of economic expansion) deforestation then
202 decreases. An inverted U-shape depicts this tendency. Some critics of EKC’s findings argue that the
203 statistical analyses, on which the curve is based, are not robust [67]. Meanwhile, FTT states that it is
204 not likely that deforestation will continue over time, as the opportunity costs of deforestation increase
205 in line with forest scarcity [66]. Circumstances that might influence the emergence of forest transitions
206 include forest scarcity, economic development, and rural out-migration [68], [69].

207

208 While some studies link rural out-migration with forest recovery [70], others identify its counterpart
209 (i.e., rural in-migration) as a major driver of forest cover loss [71]–[73]. Other evidence, however,
210 suggests that the impact of out-migration on forest cover depends on numerous factors. It points to
211 the importance of the drivers of migration (e.g., armed conflicts or the scarcity of land for agricultural
212 production), as well as the interplay of evolving characteristics, such as household resettlement, flows
213 of resources, changes in labor availability, and shifts in household composition [74]–[78].

214

215 Other researchers assert that rural in-migration only partially explains deforestation in tropical
216 agricultural frontiers [79]. Others again point to the additional contribution to deforestation of a lack
217 of formal tenure among newly arrived migrants, as farmers clear patches of forests to demonstrate
218 “improvements” to the land so as to enhance their legal claim over it [69], [80]. Indeed, this pattern
219 continues where farmers then sell their “claimed” land and move further into forested areas to repeat
220 the process [69], [81]. A common conclusion drawn from this observation is that providing tenure
221 security would reduce deforestation. There is, however, not enough evidence to ensure that tenure
222 security will result in forest conservation [82], [83]. Moreover, evidence suggests that under certain
223 circumstances (e.g., if investments into forestland conversion is the driver of deforestation), tenure
224 insecurity could protect forest [62], [84].

225

226 Although the identified causes of deforestation and forest degradation differ, the literature reflects a
227 general consensus on several issues. One is that the causes of deforestation are context-specific and
228 involve processes occurring at multiple scales [60]. Another is that agricultural expansion, globally,
229 is the main direct cause of deforestation [58], [79], [85]–[87]. Meanwhile, there is a divergence on the
230 question of whether commercial or subsistence agriculture has greater impact on forest cover [85].
231 This debate continues despite the significant reduction in government-led settlement programs,
232 which have facilitated access to new agricultural colonization fronts since the 1970s, at which time
233 industrial agriculture became demonstratively the overwhelming cause of deforestation [85], [87].

234

235 There is even more divergence in opinion and arguments around the trends of deforestation, in
236 particular the correlation between deforestation and poverty, which are often founded on faulty
237 premises. For instance, the prevailing misconception that clearing forests is inexpensive [88] prompts
238 the assumption that subsistence farmers invest limited resources in clearing great areas of forests as
239 a way out of poverty. Another misconception is that poverty reduction will lead to the reduction of
240 deforestation caused by subsistence agriculture [89]. In contrast, evidence indicates links between
241 poverty and reduced rates of forest loss [90]. This may relate in part to the financial and labor
242 requirements for both agricultural production and frontier expansion [62], [84]. Moreover, there is an
243 increasing recognition that less intensive subsistence agricultural systems such as slash-and-burn
244 agriculture helps maintain forest biomass [91], particularly when this provides a period of fallow
245 regrowth to allow forest regeneration [92]. As such, some researchers argue that lands under
246 agricultural systems that include fallow periods in their cycles should not be regarded as deforested
247 but as degraded [92]–[94]. Another body of research points to clear correlations between
248 deforestation and armed conflicts. For instance, Geist and Lambin (2002) considered the influence of
249 socio-political events, such as war and forced displacements, as an underlying cause of forest cover

250 change. Similarly, some studies have found links between deforestation and corruption, democracy,
251 political instability, and armed conflict [95]–[97].
252

253 There is no clear consensus in the literature as to whether conflict has negative impacts on forest cover
254 or conversely helps to conserve it. Armed conflicts may influence forest cover dynamics through a
255 number of channels [70], [98]–[101]. On the one hand, armed conflicts might lead to forest cover losses
256 in places where armed groups exploit natural resources in order to finance their military campaigns
257 [96]. On the other hand, armed conflicts might result in forest regeneration and reduced
258 deforestation, as armed forces preserve dense forest areas as cover for their operations [76].
259

260 In sum, studies provide varied and often divergent findings about the impacts of conflict and post-
261 conflict processes on forest cover. Country-level studies suggest that conflict may contribute to both
262 increases and decreases in forest cover [76]. Some scientists have attributed forest conservation within
263 conflict-affected areas to economic disruption [98][102], forced migration [70], and international
264 remittances [76]. For instance, in Sierra Leone, conflict-affected areas have reportedly experienced
265 less forest loss in comparison to conflict-free areas [98]; in the Democratic Republic of the Congo,
266 conflict has caused increased forest loss and reduction of economic activities, such as mining [99].
267 Meanwhile, in El Salvador, international migration influenced by periods of violence (and
268 subsequent remittances) has caused forest recovery. In contrast, in Rwanda, few differences in forest
269 cover net gains were detected between conflict and post-conflict periods. Existing differences appear
270 to be explained by resource exploitation proximity to refugee settlements (forest losses) and forced
271 migrations (forest gains) [100]. Similarly, in Colombia, varied impacts on forest cover have been
272 reported. For instance, in some cases, forced migration has resulted in forest recovery, while in others
273 in further deforestation [103]. Differences may be related to armed groups' economic and
274 conservation policies. For instance, one study relates that in one area, a non-legal armed force
275 enforced coca eradication and prevented mining, thereby avoiding deforestation, while in a
276 neighboring area, a different armed group promoted coca cultivation, causing deforestation [104].
277

278 Other examples of observed impacts from the way armed groups govern forests include increased
279 agricultural coverage (including grassland) and deforestation associated with the presence of
280 paramilitary groups [101], and increased deforestation rates linked to illegal crop cultivation in
281 demilitarized zone granted by the government of Colombia (GoC) to the Revolutionary Armed
282 Forces of Colombia (FARC) at the end of 1990s [105]. Such trends in post-conflict settings include the
283 resultant conservation of the demilitarized zone between North and South Korea [102], and the
284 increased forest cover in post-conflict Puerto Rico (i.e., linked to economic development) [106].

285 *2.4 Economic and policy approaches for tackling causes of forest cover changes*

286 During the last few decades, diverse economic and policy instruments aimed at curbing deforestation
287 and forest degradation have been promoted and abandoned at both international and national levels
288 [107]. Despite these interventions, the annual global rate of deforestation remains above 13 million
289 ha [108]. Arguably, globally promoted mechanisms, such as those for biodiversity conservation,
290 respond to global priorities that do not necessarily match developing countries' national or local
291 development priorities. Policies derived from such mechanisms generally aim to curb deforestation

292 by promoting legal reforms in the forestry sector [64]. Among the most commonly used mechanisms
293 are: loan conditionality (i.e., providing loans conditional upon legal reforms within the forestry
294 sector); donor coordination (i.e., increasing the effectiveness of official development assistance); debt
295 relief (i.e., reducing international debt in exchange for establishing a trust fund to finance
296 conservation initiatives); and demand management (i.e., trade certifications).

297

298 At the national scale, the adoption of such policies is usually limited to the environmental sector.
299 Forest conservation is not generally a priority activity for governments, which typically allocate
300 resources to other activities. Policies aimed at curbing deforestation include restrictions on land and
301 natural resource use (e.g., delimitation of “natural protected areas”) and attempts to increase the
302 value of standing forest through the provision of economic incentives to promote sustainable
303 production alternatives, such as payment for environmental services (PES) schemes [32]. Likewise,
304 concessions (i.e., permits for sustainable use of forest resources) and decentralization (i.e., transfer of
305 forest management authority to local governments or communities) are common mechanisms
306 (implemented nationally) to avoid deforestation and forest degradation [28]. Although, to ensure
307 success, these policies should address nationally determined causes of deforestation, they all too
308 often focus on promoting local initiatives that are dependent on donor funding. At the local level,
309 projects to mitigate forest clearance commonly include: sustainable forest management; conservation
310 areas; integrated development and conservation; or capacity building. More recently, these efforts
311 have been expanded to include afforestation, reforestation, and avoided deforestation. These
312 initiatives, however, are usually designed to address the direct (and most obvious) causes of
313 deforestation and forest degradation and do not necessarily consider communities’ priorities and
314 preferences [40].

315 2.5 The mechanism for reducing forest-based emissions

316 REDD+ is the latest internationally promoted approach to incentivizing developing countries to
317 implement national policies aimed at reducing forest carbon emissions. It was proposed in the United
318 Nations Framework Convention on Climate Change (UNFCCC) as an alternative to previous
319 approaches tackling causes of deforestation described above. Discussions around REDD+ started in
320 2005, during eleventh session of the Conference of the Parties (COP11). Then, Papua New Guinea
321 and Costa Rica jointly proposed options to reduce GHG emissions caused by deforestation, known
322 as RED [109], [110]. The argument put forward was that neither UNFCCC nor the Kyoto Protocol
323 considered emissions resulting from deforestation in developing countries.

324 The initial RED proposal constituted the first attempt to include deforestation in tropical countries as
325 part of a global climate agreement. Nonetheless, these proposals neglected socio-political issues that
326 prevent the successful implementation of clean development mechanisms (CDM) in the forestry
327 sector [19]. Moreover, the proposals failed to recognize that most developing countries did not yet
328 have the necessary capacities for monitoring emissions from forest cover changes [8], [111], [112], nor
329 did they take into account that few tropical forested countries have the requisite social and political
330 stability to implement such initiatives [17], [20].

331

332 The scope of the initial proposal has increased over time. COP11 delegates viewed forest degradation
333 (mainly identified as a result of selective and illegal logging) as an important cause of GHG emissions.

334 The COP, as such, requested the Subsidiary Body for Scientific and Technological Advice (SUBSTA)
335 to undertake consultations and necessary actions to evaluate REDD feasibility. This has led to the
336 inclusion of forest degradation in the mechanism. The Bali Action Plan agreed to at COP13 indicated
337 that approaches to mitigating climate change must include "*forest degradation in developing countries*
338 *and the role of conservation, sustainable forest management and enhancement of carbon stock*" [113].
339 Following decisions taken at COP15, REDD+ now refers to "*policy approaches and positive incentives in*
340 *issues related to reduction of emission from deforestation and forest degradation; and the role of conservation,*
341 *sustainable forests management and enhancement of forest carbon stocks in the developing countries*" [114].
342 UNFCCC COPs' successive decisions offer further details on the evolution of the concept from RED
343 to REDD+ [23].

344

345 Reaching agreement on the means of implementing REDD+ was challenging. This arguably relates
346 to the diverse and context-specific causes of tropical deforestation and forest degradation [86],
347 operating at different scales [63] and involving a variety of stakeholders and economic agents [28].
348 Expansions in the mechanism's scope could be interpreted as an attempt to reach mitigation goals
349 without omitting countries with low deforestation but high forest degradation [115]. The
350 mechanism's scope was expanded in COP15 so as to avoid damage to: local livelihoods; indigenous
351 peoples and local communities' rights; biodiversity; forest governance; and economic growth [116].
352

353

354 Policy discussions were primarily linked with concerns related to: the scale of implementation or
355 whether to implement the mechanism at national and/or subnational scale [117]; REDD+ economics
356 and expectations of high carbon payments; social and environmental safeguards; and more recently,
357 REDD+ non-carbon benefits. Consensus was expected to be reached at COP15 (held in December
358 2009 in Copenhagen, Denmark). Instead, decisions about voluntary actions (to be implemented by
359 developing countries and the eligible forestry sectors) were delayed until COP16. Further details
360 about the means to implement the mechanism were defined only in the Warsaw framework for
REDD+, decided at COP19 [118].

361

362 For developing countries to achieve the status of being "ready" for REDD+, they must undertake four
363 main actions: (1) establish forest reference emissions levels (FREL); (2) implement systems for
364 measuring, reporting, and verifying (MRV) GHG emissions reductions; (3) design and implement
365 REDD+ national strategies or strategies to combat the causes of deforestation; and (4) implement
366 systems to inform how environmental and social safeguards are considered. Eligible activities
367 include: reducing deforestation emissions; reducing forest degradation emissions; conserving forest
368 carbon stocks; managing forests sustainably; and enhancing forest carbon stocks. Additionally, a
369 COP decision was reached regarding the three phases of REDD+ (readiness, implementation, and
370 result-based payments). Currently, developing countries are designing strategies and implementing
371 pilot projects supported by bilateral and multilateral funds, such as the Forest Carbon Partnership
372 Facility (FCPF), the United Nations REDD initiative (UN-REDD) and the Forest Investment Program
(FIP) [109], [119], [120].

374

375 As detailed above, many studies have explored the ways different groups within climate negotiations
376 conceptualize and negotiate ideas and resources [110], [121], [122]. However, scholars outside the

377 environmental discipline, such as those from political science and international relations, have not
378 paid enough attention to the topic [109]. This disinterest might signal that REDD+ discourses have
379 not yet extended beyond the environmental sector; it might also indicate that REDD+ will prove
380 nothing more than the most recent "conservation fad" [107], [123].

381 2.5.1 The REDD+ rationale

382 REDD+ aims to incentivize developing countries to reduce deforestation and conserve their forests
383 in a bid to reduce GHG emissions. Therefore, a key expectation is that financial benefits resulting
384 from REDD+ activities will outweigh their costs and that the rent generated will incentivize
385 governments and local communities to maintain them [36]. Such expectation was mainly built upon
386 land use opportunity cost models [31], [32], [35], which generally assume land use opportunity costs
387 to be the largest and principal cost component. It remains uncertain, however, what constitutes total
388 costs of and benefits from REDD+ [34], [124], [125].

389

390 A number of studies that have assessed the benefits and costs of REDD+ demonstrate uncertain or
391 low benefits (Coomes et al., 2008; Isenberg and Potvin, 2010, as well as high transaction and
392 implementation costs [125], [126]. Moreover, evidence indicates that even in the absence of
393 transaction and implementation costs, REDD+ might not compete with high land use opportunity
394 costs [31]–[33], [35], [36]. For instance, a recent study based on six carbon-based Peruvian projects
395 calculated transaction and implementation costs to be between US\$0.16 and 1.44 ha⁻¹ yr⁻¹ [125]. The
396 analysis, nonetheless, did not consider that these initiatives build upon long-term conservation
397 efforts. Therefore, transaction and implementation costs in areas without previous interventions
398 (such as those affected by armed conflicts) may greatly exceed these estimates, thereby limiting the
399 mechanism's efficiency and, therefore, its effectiveness. In such scenarios, actions should
400 demonstrate that they contribute toward the priorities of respective governments and farmers in
401 order to gain their support and secure subsidies for their implementation.

402 2.5.2 Environmental safeguards and non-carbon benefits

403 Beyond expectations of financial revenues, constraints to REDD+ implementation are comparative to
404 those faced by other rural development and conservation efforts [40] [127]. These constraints include
405 economic dependence on natural and forest resource exploitation; trade-offs between economic
406 growth and environmental objectives; lack of effective coordination mechanisms for the integration
407 of environmental objectives into non-environmental policy sectors; lack of capacity to design and
408 implement strategies to combat deforestation; presence of corruption, illegal activities, and conflicts;
409 and the emergence of undesired social and environmental impacts [17], [20], [128]. Indeed,
410 recognized impacts of these listed challenges prompted the need to define environmental and social
411 safeguards [114], [129].

412

413 Meanwhile, other scholars argue that these challenges should not overshadow the potential for
414 REDD+ to generate SDG co-benefits. Moreover, it has been argued that generating evidence of the
415 co-benefits of addressing climate change could attract funding and increase political and social
416 support [4], particularly among sectors of society that would not support mitigation actions based
417 purely on anticipated climate change impacts [30]. Furthermore, studies of co-benefits point to the

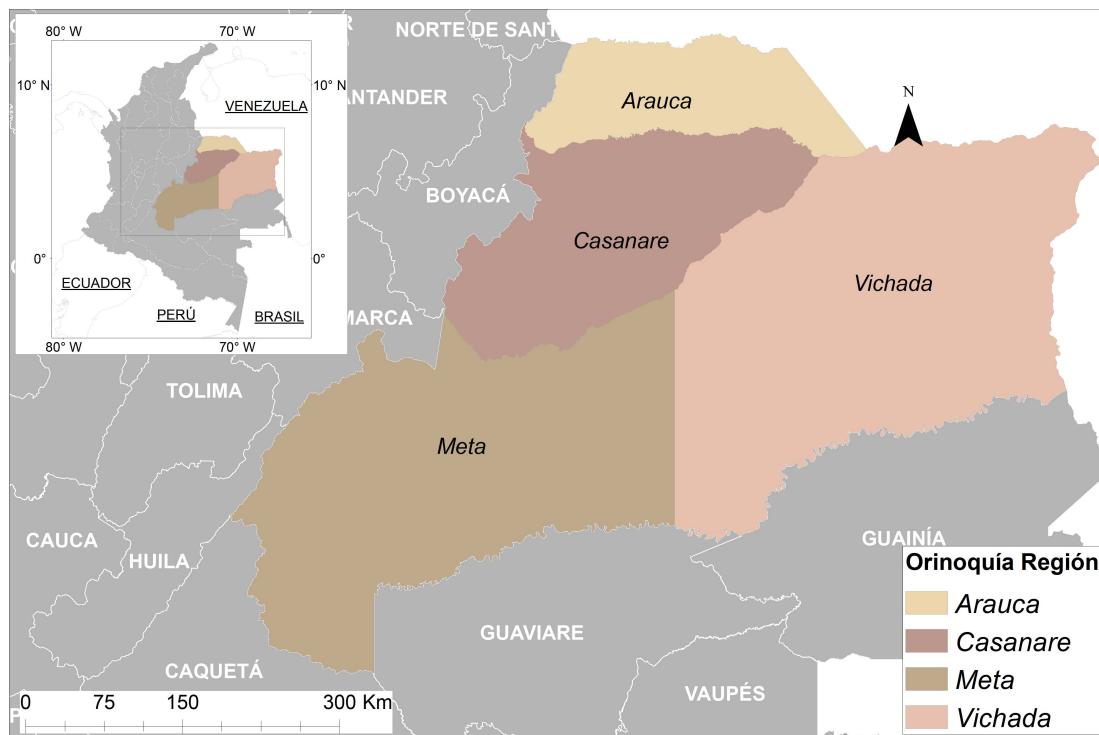
418 importance of integrating carbon storage actions into sustainable development efforts more broadly,
419 thereby integrating social and environmental goals [129].
420 In response, evidence of further co-benefits is arising from considering REDD+'s compatibility with
421 peacebuilding activities. However, while a few studies examine the relationship between forest-
422 based emissions and land-related conflicts, they are dwarfed by the body of research focused solely
423 on the link between conflict and unclear "carbon rights" (which are closely linked to unclear land
424 tenure rights) [36], [130]. These studies suggest that forest-based mitigation efforts implemented in
425 situations of unresolved land tenure might exacerbate existing tensions [131]. Awareness of these
426 types of conflicts led the UNFCCC to adopt safeguards aimed at conflict prevention and securing the
427 rights of indigenous peoples and local communities [114]. These important safeguards are designed
428 to increase the potential for success of forest carbon storage approaches. Importantly, however, they
429 do not consider peacebuilding co-benefits that could also arise from REDD+ implementation, and
430 vice-versa.

431 3. The case study

432 The opportunities for and barriers to harnessing climate finance to conserve forests and build peace
433 are best understood through ongoing efforts that link a country's climate change policy with its
434 ongoing peace process. We analyze here the case of the Orinoquia (Figure 1), a region in Colombia
435 where a program to reduce forest-based emissions and achieve low-carbon agricultural development
436 is being implemented.

437

438



439
440 **Figure 1.** The Orinoquia region as prioritized by the Colombian government in its efforts to reduce forest-based
441 emissions, address the causes of armed conflict, and achieve sustainable food production.
442
443
444

445

446 This section may be divided by subheadings. It should provide a concise and precise description
447 of the experimental results, their interpretation as well as the experimental conclusions that can be drawn.

448 *3.1. The Orinoquia region, Colombia*

449 Located in eastern Colombia, Orinoquia's vast areas of natural savannas and grasslands extend into
450 the Amazon rainforest. The Orinoquia region of Colombia spans four departments: Arauca,
451 Casanare, Meta and Vichada. These four departments comprise an area of about 250,000 square
452 kilometers that belong to the Orinoco River watershed and is home to 1.37 million people, 32 percent
453 of whom reside in rural areas. Despite the peace agreement, violence, though latent, remains there,
454 due to the presence of organized crime gangs, reportedly made up of ex-paramilitary group
455 members.

456

457 Considered one of the last agricultural frontiers in the world, the agricultural sector significantly
458 contributes to the economy of the region. Consistently, the agriculture, forestry, and other land uses
459 (AFOLU) sector is a major contributor to the region's emissions. The conversion of forest to pasture
460 lands is a main source of region's emissions. As indicated by various studies over time, the expansion
461 of areas for cattle grazing and illicit crop production — mainly in southern areas where savannas
462 meet the Amazon forests — constitutes the main causes of deforestation in the Orinoquia [103], [105],
463 [132]. New studies, however, indicate the existence of a strong connection between the armed conflict
464 and deforestation, which are interconnected with coca production, cattle pasture, and land grabbing
465 [38]. The second most important category of emissions relates to enteric fermentation, followed by
466 forest degradation, land conversions to cropland, and nitrous oxide emissions from soil management.
467 Current land use trends show that oil palm production has had the greatest increase in plantation
468 area. Establishment of forest plantations and cultivation of agricultural commodities (e.g., maize,
469 soybean, forage grasses, and rice) have also taken place, especially in Altillanura of the departments
470 Meta and Vichada.

471 Land use dynamics are expected to change in the Orinoquia, particularly considering the Colombian
472 government's plans to promote agricultural development in the region. Some authors argue that
473 because of the peace agreement, land use dynamics would exacerbate deforestation and shrink
474 further the area for endangered species in Colombia [133]. Upcoming causes of forest and species loss
475 might include opening of new deforestation frontiers, new infrastructure investments, and large-
476 scale agricultural development [134]. Conversely, other studies argue that the peace agreement will
477 create an environment conducive to implementing policy measures to counteract threats to
478 Colombian forest landscapes and simultaneously address structural causes of conflict [39].

479 *3.2. The Orinoquia Sustainable Integrated Landscape Program*

480 Reducing forest-based emissions is a key component of Colombia's strategy to achieve its Paris
481 Agreement commitments. The Colombian government has committed to reduce 20% of countrywide
482 emissions (against a business-as-usual level) and to increase climate ambition if provided with
483 international financial support. It has also committed to reducing the country's deforestation to zero
484 by the year 2020. In that context, Colombia is prioritizing the Orinoquia as a region that can help
485 reduce forest-based emissions, achieve sustainable peace, and realize its potential to become a
486 breadbasket for the country and the world.

487 The World Bank is also looking at the Orinoquia as a region that can help mitigate climate change,
488 address the causes of armed conflict in Colombia, and achieve sustainable food production [135].
489 Implementation of the first phase of the World Bank-funded Orinoquia Sustainable Integrated
490 Landscape (OSIL) project has started under the leadership of two Colombian agencies, the Ministry
491 of Agriculture and Rural Development (MADR) and the Ministry of Environment and Sustainable
492 Development (MADS). OSIL is part of a broader program funded by the World Bank's BioCarbon
493 Fund Initiative for Sustainable Forest Landscapes (ISFL) [136]. It adopts a two-phase approach.
494 During the first phase, OSIL will define the strategy to reduce deforestation and achieve payments
495 for emissions reductions in the Orinoquia's AFOLU sector [137]. It will also identify how such
496 financing can achieve long-lasting peace and sustainable (and low-emissions) food production [137].
497 In the subsequent phase, the initiative will establish an emissions reduction program, which will
498 include a performance-based payment mechanism, to achieve sustainable (low-carbon) landscape
499 management and promote the adoption of suitable land uses among farmers.
500

501 ISFL will provide result-based finance at jurisdictional scale based on a comprehensive carbon
502 estimation approach of AFOLU emissions [138]. Accounting for emissions reduction from AFOLU
503 for result-based payments on a jurisdictional scale has yet to be tested in the country or elsewhere.
504 Thus, OSIL's first phase will also put in place a set of tools needed to assess the program's
505 performance and ensure the accomplishment of the BioCarbon Fund's requirements relating to
506 landscape carbon accounting and social and environmental safeguards. Among the necessary
507 tools that the initiative will develop include: the AFOLU reference level that will be used as
508 benchmark to assess performance of the emissions reduction program (ER program) and make
509 payments; the benefit-sharing mechanism that defines the equitable sharing of the (carbon and
510 non-carbon) benefits deriving from the ER program and its beneficiaries; and the safeguard
511 instruments to mitigate social and environmental risks and to comply with World Bank safeguard
512 policies, land use strategies, and forest and land management practices.
513

514 The first phase of OSIL will also develop and test approaches for sustainable landscape management
515 with a strong focus on reducing deforestation, as well as an emphasis on promoting sustainable (low-
516 carbon) agricultural production systems. Specifically, it will implement activities oriented toward
517 creating the enabling environment for the implementation of sustainable landscape management that
518 leads to emissions reductions. These activities include: improving land use policies; mainstreaming
519 sustainability and climate considerations into land use planning processes and land title programs
520 currently under implementation as part of the peacebuilding agenda; developing and promoting
521 sustainable, low-carbon agricultural production systems; and developing an incentive mechanism to
522 reward communities and other value chain stakeholders for making the transition to zero-
523 deforestation and low-emission practices.

524 **4. Discussion and concluding remarks**

525 Since the success of climate change mitigation action is highly dependent on general policy reforms
526 and governance [139], there is a compelling need for it to be linked to broader SDGs priorities.
527 Evidence of such links might serve, firstly, to persuade policymakers and sectors of society skeptical

528 of the potential additional benefits of mitigation activities [4], [30], and, secondly, to mobilize a
529 broader range of stakeholders. In the case of forest-based climate change mitigation, such evidence
530 would also strengthen the argument that while approaches for reducing forest-based emissions are
531 not as cost-effective as initially expected, they are ultimately viable [125], [126]. Furthermore, these
532 assurances are necessary for maintaining current political and social support for REDD+ and other
533 climate change mitigation activities. In this way, the evidence of co-benefits serves as something of a
534 self-fulfilling prophecy — that is, sufficient evidence might secure the necessary level of social and
535 political support to make forest conservation viable. Meanwhile, the counter-logic is that, in the
536 absence of evidence of co-benefits, political will is liable to fail, as might social support, leading to yet
537 another failed attempt to tackle deforestation and forest degradation [107], [123].

538

539 Recognizing that REDD+ is at a cross-roads, where political and public confidence, or lack thereof,
540 may determine its future, this paper investigates the role of climate finance to contribute toward
541 achieving SDGs. Specifically, it examines the opportunities to harness climate finance to achieve
542 forest conservation, long-lasting peace, and sustainable food production. This idea is framed in
543 current policy discussions regarding the role of non-carbon benefits to increase political and social
544 support for REDD+. For instance, in the context of limited financial resources to incentivize climate
545 action, we propose that co-benefits of climate change mitigation could be better exploited to attract
546 funding and increase political and social support, for example, by generating evidence that shows
547 the potential for integration of forest carbon storage and development priorities.

548

549 Findings from the literature review suggest that harnessing climate finance for conserving forests
550 and promoting peace is, in theory, viable if activities are designed in accordance with social,
551 institutional, and economic factors. Meanwhile, the Orinoquia region of Colombia provides evidence
552 that these two seemingly intractable challenges can be proposed to be solved together. It also offers
553 lessons on how to implement sustainable (and low-carbon) forest landscapes in a region that, while
554 emerging from a long period of armed conflict, aspires to become a center of agricultural production.

555

556 First, there are common elements among strategies implemented to achieve sustainable development,
557 peacebuilding, and forest conservation. Moreover, their objectives are increasingly compatible, and
558 some authors even consider that sustainable development is a prerequisite to peacebuilding and
559 forest conservation [40], [42], [140]. For instance, rural development objectives include conditions
560 conducive to achieving peacebuilding aims of gaining territorial control and reducing conflict. In that
561 regard, rural development activities apparently contribute toward re-establishing farmers' control
562 over their territories and thus to building peace. This is reflected in the recently signed Colombian
563 peace agreement, where rural development-oriented aspects (including land tenure considerations
564 and agricultural development) were an important part of the negotiation agenda [141][141]. In turn,
565 evidence suggests that in Colombia, peacebuilding activities enable conditions for and predispose
566 conflict-affected farmers toward forest conservation [140]. Castro-Nunez et al. (2016) found that the
567 impacts of previous conservation and sustainable development programs influence farmers'
568 propensity to conserve forests. Implementation of these programs generally aimed to conserve
569 biodiversity and reduce the causes of conflict. This finding highlights the positive effect of long-term
570 peacebuilding and conservation efforts on farmers' propensity toward forest conservation. This, in

571 turn, suggests that establishing preconditions (i.e., some degree of stability or peace) is an important
572 precursor to undertaking forest-based mitigation projects. Indeed, it implies that these efforts should
573 be jointly designed and appropriately co-delivered. Castro et al. (2016) also found that farmers will
574 generally support forest conservation activities, provided these are compatible with their respective
575 livelihood priorities, including cattle ranching. Despite this discernible propensity toward
576 conservation, however, deforestation continues in the studied area. This default to deforestation
577 indicates that conservation efforts will need to be carefully designed to enhance farmers' livelihood
578 options.

579

580 Second, achieving reduction of forest-based emissions storage in the tropics implies dealing with
581 interconnected issues of deforestation, illegal activities, and armed conflict. In such case, it requires
582 operationalizing governance models, building capacity, improving infrastructure, implementing
583 land titling programs, facilitating land use planning, and providing sustainable land uses to move
584 beyond the conflict and contribute toward reducing forest-based emissions. This is particularly true
585 for Orinoquia. The region has just emerged from a 52-year armed conflict and hosts significant part
586 of the country's deforestation and conflict-affected areas, where public services and infrastructure
587 remain lacking. In Colombia, there are indeed links between the causes of armed conflict and those
588 of deforestation. Therefore, reducing forest-based emissions require addressing simultaneously the
589 causes of conflict. Recent studies suggest links between conflict and deforestation in Colombia and
590 access to and control over land [38]. In some areas, cattle ranching may appear to be the cause of
591 deforestation. In reality, however, this is a way to claim ownership of the land, which "owners"
592 intend to sell and thus profit from when the opportunity arises. Within that context, land titling
593 provides a good strategy for linking peacebuilding approaches and REDD+. In fact, evidence suggests
594 that promoting land titling can help preserve both peace and forests, and enhance the quality of life
595 in certain areas. It also suggests, as mentioned above, that the strategies for reducing the causes of
596 the conflict, including but not limited to land titling programs, could facilitate forest conservation
597 and, thus, reduction of forest-related greenhouse gas emissions. However, research findings today
598 only permit partial conclusions to be drawn about the impacts on conservation decisions of land
599 titling programs (these constitute common approaches to reducing forest-based emissions). Instead,
600 results provide empirical evidence of "preconditions" and other factors that need to be considered
601 alongside common REDD+ approaches.

602

603 Third, reducing AFOLU emissions goes beyond providing sustainable land uses and addressing
604 commodity-driven deforestation. It requires developing a sustainable food system. The Colombian
605 government is working to realize the Orinoquia's potential to becoming a breadbasket for the world,
606 while contributing to climate change mitigation, forest conservation, and peacebuilding. The
607 rationale behind this objective is that feeding the human population has become an increasing
608 development challenge. Global population keeps growing and with it the demand for food [142].
609 There are direct links between agriculture and supply chains and tropical deforestation, a major
610 climate change contributor, and experts anticipate that the increasing demand for food and farmland
611 will worsen tropical deforestation, ecosystems degradation, hunger, and armed conflicts, if action is
612 not taken [143]. Within that context, developing sustainable land use practices is an approach
613 commonly used to address both the causes of deforestation and the causes of conflict. Such practices

614 shall ideally be developed in collaboration with stakeholders within agricultural value chains —
615 farmers, governments, technical experts, and buyers, among others — and take into account the
616 needs of and conditions in each region emerging from conflict. For instance, in recent years,
617 companies have been pledging to achieve deforestation-free supply chains as a way to reduce carbon
618 emissions and loss of biodiversity [144]. This is a trend among hundreds of corporations.
619

620 This approach to transform supply chains assumes that companies will not only commit but actually
621 take ambitious actions to reduce deforestation. However, this is a big question mark [144]. Companies
622 are, first and foremost, driven by their bottom lines. If it will mean profit, they will take action. If not,
623 they may commit to taking steps but not bold enough to make a difference. Companies may take
624 action, but there's the question of whether this contributes to stopping deforestation. Besides there's
625 currently no mechanism to monitor and measure that contribution, the proposals disregard that most
626 developing countries do not have the necessary capacities for monitoring land cover changes [8],
627 [111], [112]. The supply chain approach likewise relies on efforts by companies. But, as mentioned
628 above, combating deforestation requires establishing policies, institutions, infrastructure, and
629 incentives that will facilitate those efforts. Furthermore, it is likely that agricultural supply chains
630 remain informal in conflict-affected area. For instance, a number of companies that process milk in
631 the Orinoquia do not pay taxes, and therefore monitoring whether or not they follow sustainable
632 manufacturing practices would be a challenge. Achieving zero deforestation and low-carbon
633 development, as such, means going beyond transforming supply chains. Deforestation will also
634 continue if there is a lack of extension services that support efforts to deter agricultural expansion
635 and curb practices and inputs that increase carbon emissions. Colombia actually has a strategy that
636 incorporates this approach and REDD+, which covers both policies and incentives to lower emissions
637 from deforestation and forest degradation. This suggests the need for a broader approach to zero
638 deforestation and low-carbon development. This involves promoting sustainable agricultural
639 practices, improving land use policies, and developing a sustainable food system. Sustainable food
640 systems aim to create environment-friendly supply chains; support value chain actors to meet
641 product quality, safety, and environmental standards; provide incentives that can lead to lower
642 carbon emissions within the food system, from production to food waste disposal; and promote
643 responsible food consumption, among other features. Even with concerted efforts by companies,
644 government, and more, deforestation will continue to happen if the consumption of forest-risk
645 commodities remains at the same level. To meet the demand, the same companies may opt to import
646 those commodities, thus exacerbating deforestation across territories.
647

648 Fourth, carbon accounting methodologies can be adjusted with the goal of enhancing the potential of
649 climate finance to generate forest conservation, peace, and sustainable food benefits. Improved
650 understanding of the causal links between tropical forest cover and armed conflicts will be needed
651 to this end. There is a common trend that carbon accounting methodologies prioritize intervention in
652 landscapes with historical higher rates of forest-based emissions [145]. This approach
653 underemphasizes the mitigation potential of landscapes with historic low agricultural development,
654 forests at low risk of deforestation, and degraded lands [115]. Similarly, landscapes that used to host
655 armed actions may not benefit from land-based mitigation actions as they typically have lower
656 historical rates of emissions than their more peaceful counterparts [39]. Reducing deforestation

657 figures prominently as an emissions reduction strategy (REDD+) and as best bet for fulfilling
658 international commitments to the UNFCCC. REDD+ prioritizes landscapes with higher forest carbon
659 stocks and that simultaneously are at high risk of deforestation. However, each landscape should
660 have the opportunity to develop its strategy based on its own particular conditions. In Colombia, for
661 example, the Amazon region is important for its dense forest cover, yet all regions of the country can
662 make contributions to reducing emissions. Indeed, from a landscape approach perspective, regions
663 with fewer trees (and forests at low historic risk of deforestation and degraded landscapes) are
664 important for taking pressure off forested areas and may be important for restocking carbon. One
665 such area is the savanna biome of the Orinoquia region, where a diverse landscape constitutes farms,
666 cattle ranches, tree plantations, native savanna, and natural forests. Emissions from the Orinoquia
667 region are comparatively lower than other regions, in part, because of the armed conflict. With the
668 peace agreement, however, experts anticipate that conflict would no longer “prevent” investments.
669 Thus, they expect historical trends in key sources of AFOLU emissions and removal to change. Recent
670 studies indicate that political stability is attracting greater investors and may lead to increases in
671 economic activities, such as industrial agriculture or livestock, logging, and mining [133], [146]. This
672 would particularly happen in areas emerging from the armed conflict. Recent reports confirm this,
673 indicating that land cover change is sharply increasing in areas previously under FARC control [147].
674 In addition, uninhabited forests and savannas might provide sites for the relocation of former
675 combatants and displaced farmers [39].

676

677 Finally, the paper’s findings are expected to inform emerging scholarly arguments on the potential
678 of climate finance to bring about improved environmental and peacebuilding outcomes. However,
679 at a time when forest carbon storage is being designed and targeted at (post-) conflict areas in
680 Colombia and elsewhere, they might also demonstrate to government agencies (both environmental
681 and non-environmental) the compatibility of programs aimed at reducing forest-based emissions
682 with efforts relating to peacebuilding, forest conservation, and sustainable food production. Further
683 examination of the role of climate finance in linking forest conservation, peacebuilding, and rural
684 development, as such, is highly relevant. The imperatives for broad contributions from an
685 “environmental peacebuilding” perspective, as defined by Hanson (2018), stem from the undeniable
686 observation that many countries, including Indonesia, the Democratic Republic of the Congo, Peru,
687 Mexico, and Colombia, host armed conflicts in their forest landscapes [21], thus emphasizing the
688 relevance of the present study and the use of the Orinoquia as a case in point.

689

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692

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