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Article

Prognostic Significance of Plasma Short-Chain Fatty Acid Levels in Assessing Mortality Risk in Patients with Chronic Heart Failure and Sarcopenia

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Abstract: Short-chain fatty acids (SCFAs) are microbial metabolites involved in immune regulation, energy metabolism, and intestinal barrier integrity. Among them, the role of hexanoic acid (C6), predominantly derived from dietary sources, remains poorly understood in chronic heart failure (CHF) and sarcopenia. A total of 636 patients with confirmed CHF were screened between 2019 and 2021. Sarcopenia was diagnosed in 114 patients, with 74 meeting the inclusion criteria for analysis. Plasma levels of SCFAs—including butanoic, propanoic, isobutyric, 2- and 3-methylbutanoic, hexanoic, pentanoic, and 4-methylpentanoic acids—were measured using HPLC-MS/MS. Muscle strength, mass, and physical performance were assessed using handgrip dynamometry, bioelectrical impedance analysis, and SPPB, respectively. All patients showed elevated SCFA levels compared to reference values. Butanoic acid levels exceeded reference values by 32.8-fold, propanoic acid by 10.9fold, and hexanoic acid by 1.09-fold. Patients with plasma hexanoic acid levels above the 50th percentile had a seven-fold increased mortality risk (OR = 7.10; 95% CI: 1.74–28.9; p < 0.01). Kaplan– Meier analysis confirmed significantly lower survival in this group (p = 0.00051). Mean left ventricular ejection fraction was $41.2 \pm 7.5\%$, and mean SPPB score was 6.1 ± 1.8 , indicating impaired physical performance. Elevated plasma hexanoic acid is associated with poor prognosis in CHF patients with sarcopenia. These findings suggest that C6 may serve as a potential prognostic biomarker and therapeutic target in this population.

Keywords: short-chain fatty acids; hexanoic acid; sarcopenia; chronic heart failure; mortality

1. Introduction

Hexanoic acid (also known as caproic acid) is a saturated carboxylic acid with a six-carbon chain and the chemical formula $C_6H_{12}O_2$. In the context of human physiology, hexanoic acid belongs to the class of short-chain fatty acids (SCFAs), which are generated through the fermentation of dietary fiber by the gut microbiota. SCFAs, including acetate, propionate, and butyrate, are well-known for their beneficial effects on host health, such as modulation of inflammatory responses, maintenance of intestinal barrier integrity, and involvement in energy metabolism. However, the role of hexanoic acid in these physiological processes remains poorly understood.

Evidence suggests that elevated levels of certain SCFAs in plasma may be associated with unfavorable metabolic conditions. For instance, in the study by M. Thing et al. [1], patients with metabolic-associated steatotic liver disease (MASLD) exhibited increased plasma concentrations of propionate, butyrate, and valerate, which may indicate their potential involvement in the onset or progression of the disease.

In the context of chronic heart failure (CHF) and sarcopenia, data regarding the effects of hexanoic acid are scarce. Nonetheless, it is well established that dysregulation of SCFA profiles can influence inflammatory signaling and muscle metabolism, which is of particular relevance for patients with CHF and sarcopenia. Elevated levels of specific SCFAs may promote systemic inflammation, disrupt energy homeostasis, and accelerate skeletal muscle degradation, all of which contribute to poor clinical outcomes in individuals with CHF.

Therefore, evaluating plasma levels of hexanoic acid in patients with CHF and sarcopenia is of scientific interest to better understand its potential role in cardiovascular complications and muscle dysfunction. Further research is warranted to elucidate the clinical significance of hexanoic acid as a biomarker and its potential as a therapeutic target in this patient population.

2. Results

A total of 636 patients with a confirmed diagnosis of chronic heart failure (CHF) were screened. In 63 patients, assessment of body composition using bioelectrical impedance analysis was not feasible due to the presence of implanted electronic devices (e.g., pacemakers). Sarcopenia was identified in 114 patients; however, only 74 of them met the inclusion and exclusion criteria (Table 1) and were included in the final analysis.

Inclusion Exclusion Criteria Criteria Age ≥60 years Presence of electronic medical devices Confirmed Any severe, decompensated, or unstable somatic diseases or conditions that, in diagnosis of the opinion of the researcher, threaten the life of the patient or worsen the chronic heart prognosis of the disease (including anemia, autoimmune, endocrine, oncological failure (CHF) diseases, hepatitis, connective tissue diseases, etc.). Confirmed diagnosis of Severe congenital or acquired musculoskeletal disorders sarcopenia Signed informed consent for Presence of concomitant neurosurgical or neurological pathology participation in the clinical study Abuse of alcohol, drugs, narcotics Mental illness, incapacity Glomerular filtration rate <45 ml/min

Table 1. Inclusion/Exclusion Criteria for the Study.

The follow-up period was 1.5 years, during which plasma levels of various short-chain fatty acids (SCFAs), including hexanoic acid, were analyzed in relation to adverse cardiovascular events and all-cause mortality.

The mean age of participants was 68.3 ± 5.7 years, with 58% being male. A high prevalence of comorbid conditions commonly observed in CHF and sarcopenia patients was documented:

- Arterial hypertension 81%
- Type 2 diabetes mellitus 36%
- Chronic kidney disease 42%
- Coronary artery disease 67%
- Atrial fibrillation 28%
- Post-infarction cardiosclerosis 32%

Cardiological examination revealed a mean left ventricular ejection fraction (LVEF) of $41.2 \pm 7.5\%$, indicating predominantly heart failure with mildly reduced or preserved systolic function.

Sarcopenia was diagnosed in accordance with EWGSOP2 criteria, including assessment of muscle strength, muscle mass, and physical performance.

The mean handgrip strength was 18.5 ± 3.8 kg in men and 14.2 ± 2.9 kg in women, which was significantly below the reference values.

Total skeletal muscle mass, as measured by bioelectrical impedance analysis, averaged 27.8 ± 3.9 kg, indicating marked muscle loss.

Assessment using the Short Physical Performance Battery (SPPB) yielded a mean score of 6.1 ± 1.8 points, reflecting substantial impairment in physical function and a high risk of falls.

One of the key objectives of the study was to evaluate plasma concentrations of SCFAs. Measured analytes included acetic acid, propanoic acid, butanoic acid, isobutyric acid, pentanoic acid, 3-methylbutanoic acid, 2-methylbutanoic acid, 4-methylpentanoic acid, and hexanoic acid.

All patients included in the final analysis exhibited elevated plasma SCFA concentrations exceeding reference values (Table 2). The most pronounced increase was observed in butanoic acid, with a 32.8-fold elevation above the reference range. Propanoic acid levels exceeded normal values by a factor of 10.9.

Elevations were also noted for other SCFAs: isobutyric acid (2.82-fold), 2-methylbutanoic acid (1.11-fold), 3-methylbutanoic acid (1.12-fold), hexanoic acid (1.09-fold), 4-methylpentanoic acid (1.20-fold), and pentanoic acid (1.33-fold).

Table 2. Plasma levels of short-chain fatty acids (SCFAs) in the study population. Values are presented in ng/mL.

SCFA	Minimu m	5th Percentile	25th Percentile	Media n	75th Percentil e	95th Percentil e	Maximu m
Butanoic acid	6370	7860.5	9752.5	12,300	14,500	22,735	45,000
Propanoic acid	1980	2113.0	2815.0	3745	6110.0	8419.5	17,900
Isobutyric acid	549	606.2	937.8	1860	10,800	18,085	76,205
2-Methylbutanoic acid	197	231.9	419.5	1655	7947.5	14,090	27,000
3-Methylbutanoic acid	93.5	138.6	234.2	638.5	12,725	23,595	29,000
Hexanoic acid	206	243.1	455.0	622.5	1285.0	2659.0	4060
Pentanoic acid	105	203.9	278.8	376.5	491.2	832.0	1253
4-Methylpentanoic acid	52.7	58.8	97.5	114.6	145.4	267.6	390

Analysis of the association between plasma SCFA levels and patient outcomes revealed that hexanoic acid exerted the most pronounced influence on mortality risk. As illustrated in Figure 1, plasma concentrations of hexanoic acid above the 50th percentile were associated with a seven-fold increase in the risk of death (odds ratio [OR] = 7.10; 95% confidence interval [CI]: 1.74–28.9).

Additionally, Kaplan–Meier survival analysis (Figure 3) confirmed a statistically significant difference in overall survival based on hexanoic acid levels. Patients with lower concentrations of this metabolite exhibited markedly improved survival probabilities (log-rank p = 0.00051), underscoring its potential prognostic value.

Impact of Elevated SCFA Levels on Mortality Risk

Plasma SCFA levels above the sample median 3-Methylbutanoic - 75th percentile Isobutyric - 75th percentile Hexanoic - 50th percentile Hexanoic - 75th percentile 2-Methylbutanoic - 75th percentile Hexanoic - 25th percentile Isobutyric - 50th percentile 3-Methylbutanoic - 50th percentile 2-Methylbutanoic - 50th percentile Butanoic - 75th percentile Propanoic - 50th percentile Propanoic - 25th percentile Isobutyric - 25th percentile Propanoic - 75th percentile 4-Methylpentanoic - 75th percentile 4-Methylpentanoic - 25th percentile 4-Methylpentanoic - 50th percentile Pentanoic - 50th percentile Butanoic - 25th percentile 2-Methylbutanoic - 25th percentile Pentanoic - 75th percentile 3-Methylbutanoic - 25th percentile Butanoic - 50th percentile Pentanoic - 25th percentile

10

Risk Ratio (RR)

Red: p > 0.05; Green: p < 0.05

30

Figure 1. Risk of mortality according to plasma levels of short-chain fatty acids (SCFAs). *Patients with hexanoic acid concentrations above the 50th percentile demonstrated a significantly increased risk of death (OR = 7.10; 95% CI: 1.74-28.9).*

Kaplan-Meier Survival Curves Based on Plasma Hexanoic Acid Levels (Median Split) Hexanoic acid Hexanoic acid < median ≥ median 1.00 Survival Probability 0.75 0.50 0.25 p = 0.000510.00 100 300 0 200 400 500 600 Time (days) Number at risk Hexanoic acid < median 36 36 35 35 35 34 0 Hexanoic acid ≥ median 34 26 26 30 0 27 100 200 300 400 500 600 Time (days)

Figure 3. Kaplan–Meier survival curves stratified by serum hexanoic acid levels relative to the median value. *Patients with plasma hexanoic acid levels below the median demonstrated significantly improved survival compared to those with higher levels (log-rank p = 0.00051).*

3. Discussion

Hexanoic acid (C6) is primarily derived from dietary sources rather than being produced by gut microbiota. It is present in triacylglycerol form in coconut and palm oils and is metabolized in the human body as an energy substrate [2,3]. Unlike acetate to valerate (C2–C5), hexanoic acid is generated through β -oxidation of long-chain fatty acids in the liver [4,5]. Structurally, C2–C5 and C6 differ by only a few carbon atoms, yet studies have demonstrated considerable differences in their immunological properties.

It is well established that C2–C5 exhibit anti-inflammatory properties [6], particularly through maintenance of intestinal epithelial integrity by mitigating the inflammatory microenvironment at the mucosal interface [4]. This effect is associated with decreased activity of nuclear factor κB (NF- κB) and increased release of prostaglandin E2 [7–9]. Literature reports that C2–C5 reduce the production of pro-inflammatory cytokines such as interleukin-1 β (IL-1 β), IL-6, and tumor necrosis factor-alpha (TNF- α) [10]. These effects are best characterized for butyric acid (C4), particularly in the presence of lipopolysaccharides acting via toll-like receptor 4 (TLR4). One hypothesis posits that TLR4, a homodimer signaling through adaptor proteins MyD88/MAL and TRIF/TRAM, is evolutionarily conserved and plays a sentinel role in the regulation of core physiological responses [11]. The microbiota-derived production of C2–C5, with their pleiotropic functions in epithelial maintenance and regulation of cellular proliferation, aligns with the evolutionarily conserved pattern of TLR4 signaling. Specifically, C4-induced anti-inflammatory effects have been shown to act through TLR4-mediated pathways, suppressing the expression of the adaptor protein TRAF6 [12,13].

Conversely, hexanoic acid (C6) has been associated with pro-inflammatory effects, not only through increased production of pro-inflammatory cytokines but also via suppression of IL-10 production through the TLR2 signaling pathway [10]. TLR2 typically functions as a heterodimer. Evolutionarily, TLR2 is believed to demonstrate plasticity in its ability to respond to diverse and dynamic environmental ligands and pathogens, including pathogen-associated molecular patterns (PAMPs), microbial-associated molecular patterns (MAMPs), and damage-associated molecular patterns (DAMPs) [14].

In our study, elevated plasma levels of hexanoic acid above the 50th percentile were associated with a seven-fold increase in mortality risk. C6 stimulation has been linked to increased transcription of GPR84 and PPAR γ , two receptors thought to mediate C6's pro-inflammatory actions and further promote IL-6 and IL-1 β expression [15]. PPAR γ is known to play a role in insulin sensitivity and adipogenesis.

Another immunoregulatory consequence of C6 exposure is the altered ratio of HIF- 1α to HIF- 2α . These HIF- α subunits are transcription factors that regulate cellular metabolism and angiogenesis in response to hypoxic and inflammatory stress. HIF- 1α is predominantly involved in the regulation of genes associated with glycolysis and NADPH regeneration under hypoxia [16]. Studies have shown that inflammation triggered by C6 leads to suppression of HIF- 1α transcription and preferential induction of HIF- 2α . In contrast, exposure to C4 does not significantly affect HIF- 1α expression, though other data suggest that C4 may stabilize HIF- 1α under hypoxic conditions, for example, in the intestinal epithelium [11]. HIF- 2α is believed to regulate a broader spectrum of target genes, including those involved in inflammatory responses and lipid metabolism. These findings indicate that the altered HIF- 1α /HIF- 2α activation may represent a compensatory response to enhanced inflammation induced by hexanoic acid [10,14,16].

4. Materials and Methods

The study was conducted at City Clinical Hospital No. 4, Moscow Healthcare Department (GKB No. 4 DZM), between September 2019 and December 2021. A total of 636 patients with a confirmed diagnosis of chronic heart failure (CHF) were screened. In 63 patients, body composition analysis via bioelectrical impedance was not feasible due to the presence of implanted cardiac pacemakers.

Sarcopenia was identified in 114 patients; however, only 74 met the inclusion and exclusion criteria (Table 1) and were included in the final analysis.

Sarcopenia was diagnosed in accordance with the recommendations of the European Working Group on Sarcopenia in Older People 2 (EWGSOP2) [18]. Skeletal muscle mass was assessed using a bioelectrical impedance analyzer (ABC-02, MEDASS, Russia). Handgrip strength was measured using a manual dynamometer (DK-25). Physical performance was evaluated using the Short Physical Performance Battery (SPPB).

All patients underwent comprehensive clinical assessment, including blood sampling to determine plasma levels of short-chain fatty acids (SCFAs), specifically: butanoic acid, propanoic acid, isobutyric acid, 2-methylbutanoic acid, 3-methylbutanoic acid, hexanoic acid, pentanoic acid, and 4-methylpentanoic acid. Quantification of SCFAs was performed using high-performance liquid chromatography coupled with mass spectrometry (HPLC-MS/MS).

Reference values for SCFAs were established based on the monitored MRM transitions of their derivatized forms:

- Propanoic acid (C3): 180.05 → 91.05 ng/mL
- Butanoic acid (C4) and isobutyric acid (iC4): $194.10 \rightarrow 91.05 \text{ ng/mL}$
- Pentanoic acid (C5), 2-methylbutanoic acid (α C5), and 3-methylbutanoic acid (β C5): 208.15 \rightarrow 91.05 ng/mL
 - 4-Methylpentanoic acid (iC6) and hexanoic acid (C6): 222.10 \rightarrow 91.05 ng/mL Statistical Analysis

Statistical analysis was performed using R language (version 4.3.1) and RStudio software (Posit, Boston, MA, USA). Data distribution was assessed using the Shapiro–Wilk test. Continuous variables were presented as mean ± standard deviation (SD) for normally distributed data or as median and interquartile range (IQR) for non-normally distributed variables. Categorical variables were summarized as frequencies and percentages.

Group comparisons were conducted using the Student's t-test or Mann–Whitney U test for continuous variables, and the chi-squared test or Fisher's exact test for categorical variables, as appropriate. Paired data before and after intervention were analyzed using the Wilcoxon signed-rank test or paired t-test, depending on normality.

The association between plasma SCFA concentrations and mortality risk was evaluated using logistic regression analysis. Odds ratios (ORs) and 95% confidence intervals (CIs) were calculated. Survival analysis was performed using Kaplan–Meier curves, and differences between groups were assessed with the log-rank test.

A two-tailed p-value of less than 0.05 was considered statistically significant.

5. Conclusions

High plasma SCFA levels, such as propanoic, butanoic, isobutanoic, pentanoic, 2-methylbutanoic, 3-methylbutanoic, and hexanoic acids, may indicate impaired intestinal barrier integrity in CHF patients. Metformin treatment significantly reduced plasma SCFA levels, possibly due to improved intestinal barrier function and reduced inflammation. Metformin also demonstrated positive effects on physical performance and muscle strength, although significant muscle mass increase was not observed. These results highlight the potential of extended-release metformin in managing glycemia in prediabetes patients and improving metabolic profiles and reducing inflammation-related processes associated with CHF and sarcopenia.

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