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# Outcomes of Broader Genomic Profiling in Metastatic Colorectal Cancer: a Portuguese Cohort Study

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## Article

# Outcomes of Broader Genomic Profiling in Metastatic Colorectal Cancer: A Portuguese Cohort Study

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**Abstract: Background:** Colorectal cancer (CRC) is the third most diagnosed cancer globally and the second leading cause of cancer-related deaths. Despite advancements, metastatic CRC (mCRC) has a five-year survival rate below 20%. Next-generation sequencing (NGS) is recommended nowadays to guide mCRC treatment, however its clinical utility when compared with traditional molecular testing in mCRC is debated due to limited survival improvement and cost-effectiveness concerns.

**Methods:** This retrospective study included mCRC patients ( $\geq 18$  years) treated at a single oncology center who underwent NGS during treatment planning. Tumour samples were analyzed using either a 52-gene Oncomine<sup>TM</sup> Focus Assay or a 500+ gene Oncomine<sup>TM</sup> Comprehensive Assay Plus. Variants were classified by clinical significance (ESMO ESCAT) and potential benefit (ESMO-MCBS and OncoKBTM). The Mann-Whitney and Chi square tests were used to compare characteristics of different groups, with significance at  $p < 0.05$ . **Results:** Eighty-six metastatic colorectal cancer (mCRC) patients were analysed, all MMR proficient. Most cases (73.3%) underwent sequencing at diagnosis of metastatic disease, using primary tumour samples (74.4%) and a focused NGS assay (75.6%). A total of 206 somatic variants were detected in 86.0% of patients, 31.1% of which were classified as clinically significant, predominantly KRAS mutations (76.6%), with G12D and G12V variants as the most frequent. Among 33.7% RAS/BRAF wild-type patients, 65.5% received anti-EGFR therapies. Eleven patients (12.8%) had other actionable variants ESCAT level I-II, including four identified as TMB-high, four KRAS G12C, two BRAF V600E and one HER2 amplification. Four received therapies classified as OncoKbTM level 1-2 and ESMO-MCBS score 4, leading to disease control in three cases. **Conclusions:** NGS enables the detection of rare variants, supports personalized treatments, and expands therapeutic options. As new drugs emerge and genomic data integration improves, NGS is poised to enhance real-world mCRC management.

**Keywords:** next-generation sequencing; colorectal cancer; actionable variants; targeted treatments; real-world data

## 1. Introduction

Colorectal cancer is the third most commonly diagnosed cancer globally and the second leading cause of cancer-related mortality [1]. Despite significant advancements in treatment over the past decade, 20% of patients are diagnosed with upfront metastatic colorectal cancer (mCRC), and up to 40% of those initially treated for localised disease will eventually develop metastatic disease. Despite recent improvements in systemic therapies for mCRC, the five-year survival rate remains below 20% [2,3]. Prognosis and treatment approaches for mCRC are heavily influenced by clinical and pathological factors, including tumour location (right-sided or left-sided colon) and the presence of RAS or BRAF variants [3].

Currently, in terms of molecular profiling, the first-line treatment for mCRC patients requires only the determination of RAS/BRAF mutational status and microsatellite instability (MSI) status [4]. Testing for KRAS and NRAS variants at codons 12, 13, 61, 117, and 146, as well as BRAF variants at codon 600, is considered the standard of care [5]. However, for these studies, many experts and professional societies recommend multigene next-generation sequencing (NGS) testing at the time of mCRC diagnosis, especially if it incurs no additional cost compared with traditional gene-directed polymerase chain reaction (PCR) techniques [6,7]. Larger NGS panels allow not only the calculation of tumour mutational burden (TMB) and determination of MSI status but also the detection of HER2 amplifications and potentially rare actionable gene variants [5,8].

The Princess Margaret IMPACT/COMPACT multitumour trial demonstrated that patients undergoing NGS and enrolled in genotype-matched clinical trials had a 10% higher treatment response rate compared with those included in genotype-unmatched trials [9]. Subsequently, the OCTANE study, which enrolled over 4,500 patients, found that NGS results altered drug treatment in 15.7% of cases; however, there was no observed difference in overall survival for patients receiving genotype-matched therapies [10]. Specifically for colorectal cancer, patients who underwent NGS in the OCTANE study experienced higher general health-associated costs and were more likely to receive supportive care rather than inclusion in clinical trials [11].

Although broad genomic analyses are uncovering the genetic landscape of colorectal cancer and highlighting the prognostic and clinical implications of various gene signatures, the methodologies employed in these studies often diverge from what is applicable in routine clinical practice [12,13]. Some cohorts have used more targeted sequencing approaches to analyse real-world patients, but these efforts are typically retrospective or reliant on surgical samples, failing to demonstrate the real-world impact of NGS on treatment selection [14,15].

Up to 53% of colorectal cancer patients harbour KRAS/NRAS variants. BRAF V600E variants are present in approximately 8.5% of patients, and these hotspot mutations can be reliably detected using traditional techniques [6]. Similarly, high levels of MSI (MSI-high) are found in 8.5% of patients and can be identified through an immunohistochemical surrogate biomarker, namely mismatch repair (MMR) protein expression [4,6]. Thus, beyond the RAS/BRAF status and MSI-high findings, other actionable variants are rare but detectable by NGS [6]. Given the uncertain cost-effectiveness and real-world implications of NGS in mCRC, our aim is to explore the clinical impact of sequencing in a real-world cohort of mCRC patients, focusing on the actionability of identified gene variants and their influence on disease management and prognosis.

## 2. Materials and Methods

### 2.1. Patient Selection and Characterization

Metastatic CRC patients aged 18 years or older, treated at the same oncological centre, were selected for NGS analysis between 2022 and 2023. Patients with newly diagnosed and untreated mCRC were included, as well as previously treated mCRC patients requiring further therapeutic strategies as per physician evaluation. Only patients eligible for systemic or locoregional treatment were selected. Patients with multiple active cancers or missing clinical information regarding previous treatment lines or precise diagnostic dates were excluded from the analysis.

All clinical, histological, and radiological data were collected retrospectively from electronic medical records. Clinical data included the patient's age and gender, all systemic and locoregional cancer treatments received, and the time of death. Histological data were retrieved from pathology reports, including tumour stage, anatomical location, and the origin of the sample used for sequencing (primary tumour specimen/biopsy or metastasis specimen/biopsy). Radiological data were used to determine the pattern of metastatic spread at mCRC diagnosis, response to treatments, and the time of disease progression.

### 2.2. Molecular Studies

Each tumour was sequenced once, using the most recent biological tumour sample available at the time of NGS prescription. Surgical samples and biopsies of either the primary tumour or metastatic tissue were utilised, as re-biopsy in real-world patients is often impractical or unfeasible.

Two sequencing platforms were used, chosen by the physician based on patient evaluation: (1) a focused assay, the Oncomine™ Focus Assay (Thermo Fisher Scientific), enabling DNA and RNA analysis across 52 genes; and (2) a comprehensive assay, the Oncomine™ Comprehensive Assay Plus (Thermo Fisher Scientific), for DNA analysis of over 500 genes, coupled with the Oncomine™ Focus Assay for RNA analysis. Both assays detect single nucleotide variants (SNVs), insertions and deletions (indels), copy number variations (CNVs), and gene fusions. The comprehensive assay also enables tumour mutational burden (TMB) determination. The only non-overlapping genes between the two assays were ERG, ETV1, ETV4, ETV5, and PPARG, which were exclusive to the focused assay. The characteristics of both assays are detailed in Table S1.

MSI status was assessed using a surrogate marker, namely mismatch repair (MMR) protein expression. This was performed using an immunohistochemical panel of four antibodies: MLH1, MLH2, PMS2, and MSH6. TMB was classified as high if greater than 10 mutations per megabase (mut/Mb) [16].

### 2.3. Variant and Targeted Treatment Classification

Variants were classified using three approaches. Firstly, variants were categorised according to the Consensus Recommendation of the Association for Molecular Pathology, American Society of Clinical Oncology, and College of American Pathologists as variants of clinical significance (CSV) or variants of uncertain clinical significance (VUS) [17]. Benign variants were not reported.

The clinical actionability of variants was further classified using the European Society for Medical Oncology (ESMO) Scale for Clinical Actionability of Molecular Targets (ESCAT) into tiers. The ESCAT scale defines six levels of clinical evidence for molecular targets: tiers I to V and X (lack of evidence). Tier I represents the highest level of clinical evidence [18]. In this study, only ESCAT tier I/II molecular alterations were considered, as the use of tier III/IV alterations is not recommended by ESMO in clinical practice [6]. Definitions of ESCAT tiers and the variants within each category are detailed in Table S2.

The potential clinical benefit of targeted therapies was evaluated using the ESMO Magnitude of Clinical Benefit Scale (ESMO-MCBS) for the non-curative setting, ranging from level 1 to 5, and the OncoKB™ system, which includes levels 1 to 4 and level R (resistance). Level 5 in ESMO-MCBS denotes the highest clinical benefit, while level 1 in OncoKB™ represents the strongest evidence for therapeutic efficacy [19–21]. Variant classifications according to OncoKB™ can be accessed at <https://www.oncokb.org/actionable-genes>.

### 2.4. Statistical Considerations

Sample characteristics were summarised using descriptive statistics. The Mann-Whitney test was employed to compare median TMB values between groups with different clinical characteristics. The chi-square test was used to evaluate the influence of clinical factors on the capability of NGS to detect genetic variants. Stratification factors included tumour stage, primary tumour location, prior treatments, the biological sample used for sequencing, the sequencing panel employed, and genetic variants detected. Results were considered statistically significant at  $p < 0.05$ .

Overall survival (OS) was defined as the time from mCRC diagnosis to death. Progression-free survival (PFS) was defined as the interval between the first treatment cycle and clinical or radiological disease progression.

## 3. Results

### 3.1. Sample Characteristics

A total of 86 patients were included, 66.3% of whom were male. Of these, 57% had colon cancer and 43% had rectal cancer, with the majority presenting with left-sided disease (83.7%). Sequencing

was performed predominantly at the time of metastatic disease diagnosis (73.3%), utilising biological material from the primary tumour (74.4%) and a focused NGS assay (75.6%). Among the 23 patients who underwent NGS for previously treated mCRC, six were not re-biopsied, with sequencing performed on primary tumour samples. Table 1 provides baseline characteristics according to the sequencing assay preformed.

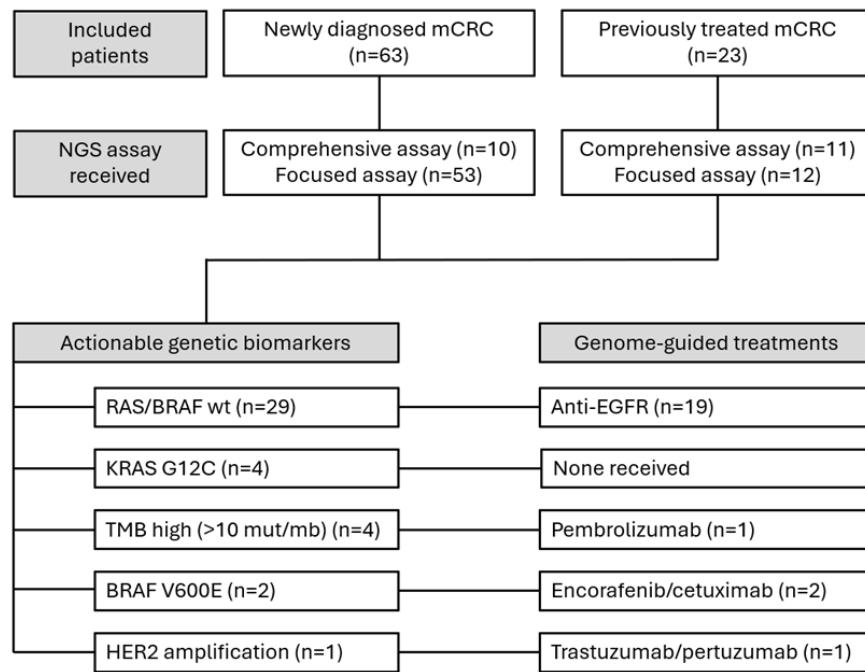
**Table 1.** Patients and disease characterization by sequencing assay.

Characteristics	Focus Assay (n=65)	Comprehensive Assay (n=21)
Sex		
Male	46 (70.8%)	11 (52.4%)
Female	19 (29.2%)	10 (47.6%)
Age (years)		
Median (range)	67 (45-80)	56 (27-77)
Stage at diagnosis (AJCC 8 <sup>th</sup> edition[22])		
II or III	26 (40%)	11 (52.4%)
IV	39 (60%)	10 (47.6%)
Location of primary		
Colon	37 (56.9%)	12 (57.1%)
Rectum	28 (43.1%)	9 (42.9%)
Sidedness		
Left	56 (86.2%)	16 (76.2%)
Right	9 (13.8%)	5 (26.8%)
MMRp	65 (100%)	21 (100%)
Metastatic locations		
Liver	46 (70.8%)	12 (57.1%)
Lung	26 (40%)	7 (33.3%)
Peritoneal	13 (20%)	7 (33.3%)
Lymph nodes	12 (18.5%)	4 (19.0%)
Local recurrence	4 (6.2%)	2 (9.5%)
Others	5 (7.7%)	1 (4.8%)
NGS setting		
Before palliative treatment	53 (81.5%)	10 (47.6%)
Previously treated mCRC	12 (18.5%)	11 (52.4%)
Origin of biological material		
Primary tumour	50 (76.9%)	9 (42.9%)
Metastasis	15 (23.1%)	12 (57.1%)
Collection of biological material		
Surgical sample	34 (52.3%)	15 (71.4%)
Biopsy	31 (47.7%)	6 (28.6%)
Detected variants		
Clinically significant	42 (64.6%)	18 (85.7%)
Uncertain significance	28 (43.1%)	20 (95.2%)
RAS/BRAF mutant	39 (60%)	17 (81%)
Median TMB (range)	NA	5.7 (2.8 – 33.2)

MMRp: mismatch repair proteins proficiency (tissue). NA: not applicable.

### 3.2. Molecular Profile and Detected Variants

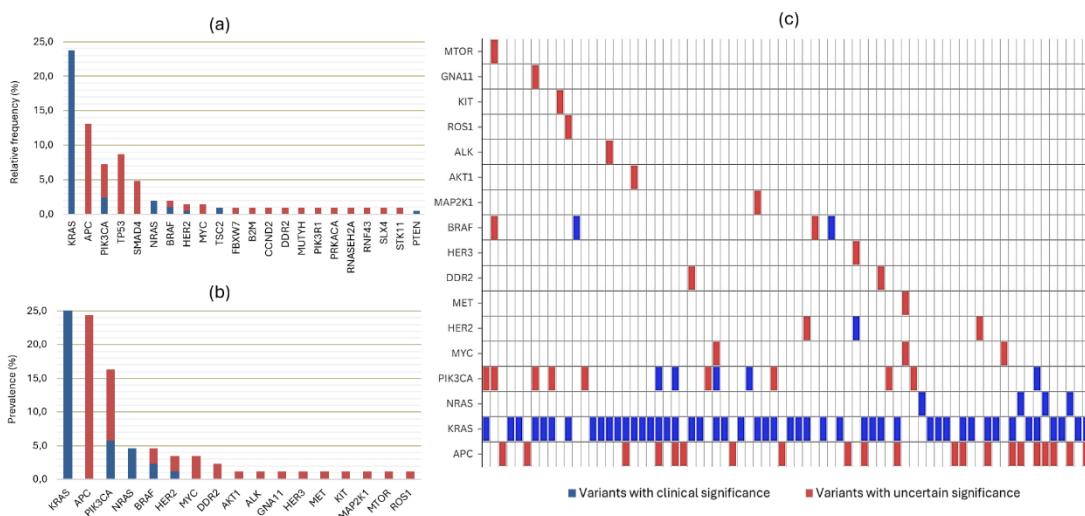
Figure 1 summarises the included patients and overall results.



**Figure 1.** Diagram illustrating the patient selection process and the main results influencing treatment strategies.

A total of 206 somatic variants were identified, all classified as clinically significant variants (CSV). Of these, 49 occurred in KRAS (76.6%), 4 in NRAS (6.3%), and 2 in BRAF (3.1%). Additional clinically significant variants were found in PIK3CA, PTEN, HER2, and TSC2. All CSVs were single nucleotide variants except for one HER2 amplification and two TSC2 deletions. The frequency, prevalence, and characterisation of detected variants are shown in Figure 2.

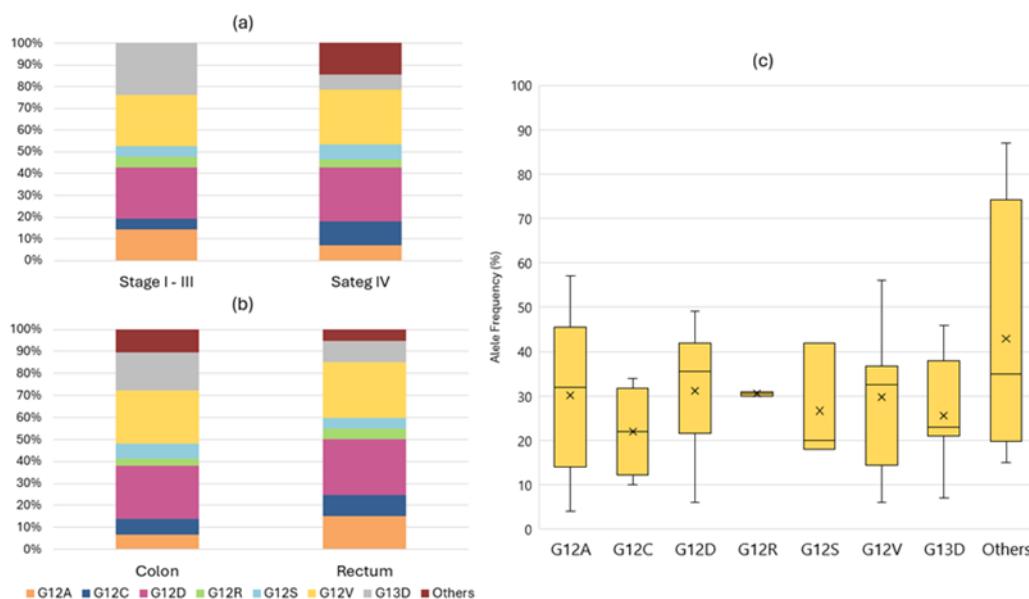
When considering only overlapping variants between the two assays, 116 variants were identified, of which 61 (52.6%) were CSV and 55 were VUS. Six patients had two simultaneous APC VUS, while one patient had two simultaneous PIK3CA VUS.



**Figure 2.** a) Relative frequency of all detected variants ( $n = 206$ ). Forty-nine variants of uncertain significance (VUS), which occurred only once in the sample and in different genes, are excluded from this graph. (b) Prevalence of variants in the 86 included patients. (c) Heatmap showing the distribution of variants based on their clinical significance for each of the 74 patients with detectable variants. Graphs (b) and (c) include only genes that overlap between the two NGS assays.

Among the 49 KRAS variants, all were classified as CSV and occurred in exons 2, 3, and 4. The most frequent variants were G12D and G12V, each accounting for 24.5%. Detailed information regarding KRAS variants is presented in Figure 3. The median allele frequency (MAF) for KRAS variants was 31% (IQR 17). The detection of RAS variants was independent of primary tumour location ( $p = 0.592$ ), stage at diagnosis ( $p = 0.852$ ), origin of biological material ( $p = 0.516$ ), or technique used for sample collection ( $p = 0.209$ ). Regarding actionable variants, KRAS G12C represented 8.2% of KRAS CSV and was found in 4.7% of patients. Two BRAF CSV were identified as BRAF V600E, present in 2.3% of patients.

TMB was assessable only for patients undergoing the comprehensive assay. The median TMB was 5.7 mutations/megabase (mut/Mb) (IQR 4.73). Four patients were classified as TMB-high ( $>10$  mut/Mb)[16]. Median TMB did not differ by tumour sidedness ( $p = 0.153$ ), stage at diagnosis ( $p = 0.382$ ), or tissue origin (primary or metastasis) ( $p = 0.554$ ).



**Figure 3.** Relative frequencies of each KRAS variant according to stage at diagnosis (a) or location of the primary tumour (b). Graphic (c) displays a box plot showing the distribution of the median allele frequency for each KRAS variant.

### 3.3. Actionability and Therapeutic Implications

The scoring of actionable variants based on ESCAT is shown in Table 2. Twenty-nine patients (33.7%) were RAS/BRAF wild-type. Of these, 19 patients received anti-EGFR therapies (65.5%), with 17 (89.5%) receiving them as part of first-line treatment. Eight patients experienced disease progression, with a median duration of response to anti-EGFR therapies combined with fluoropyrimidine-based chemotherapy of 20.9 months (95% CI: 9.6–32.1). This combination represents therapeutic level 1 in OncoKB™ and ESMO-MCBS score 4 in the non-curative setting.

**Table 2.** clinical actionability of different tier I and II variants and molecular profiles according to the Scale for Clinical Actionability of Molecular Targets (ESCAT).

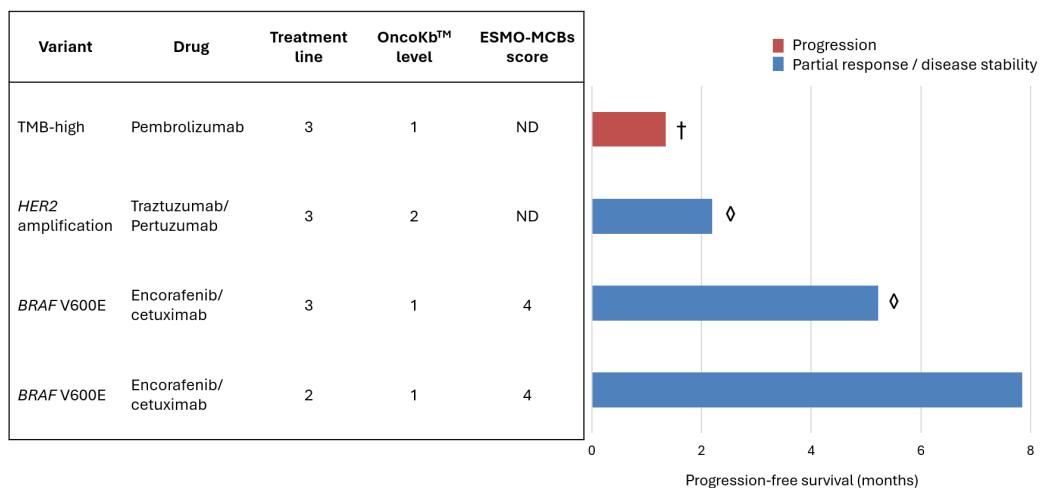
Variant/profile	N=86 (%)	ESCAT tier
<i>RAS/BRAF</i> wild-type	29 (33.7)	ND
<i>KRAS</i> G12C	4 (4.7)	IA
TMB high ( $>10$ mut(mb))	4 (4.7)	IC <sup>a</sup>
<i>BRAF</i> V600E	2 (2.3)	IA
<i>HER2</i> amplification	1 (1.2)	IIB

a – ESCAT scoring for tumour-agnostic genomic alteration; ND – not defined.

In later treatment lines, two RAS/BRAF wild-type patients received irinotecan/cetuximab in the third line and achieved partial responses, despite the absence of ESCAT or ESMO-MCBS scoring for this strategy. One patient received panitumumab monotherapy beyond the third line (ESMO-MCBS score 3) but showed progression at the first radiological evaluation. Subsequent lines of anti-EGFR therapy were guided by liquid biopsy to confirm RAS/BRAF status.

In addition to RAS/BRAF wild-type patients, 11 patients (12.8%) harboured other actionable variants, including four patients classified as TMB-high. During a median follow-up of 22.8 months (IQR 18.4), four of these 11 patients (36.4%) received targeted therapies in subsequent lines of treatment. Figure 4 provides detailed information on therapies received, treatment outcomes, and clinical benefit scores for these four patients.

Three out of four patients achieved either partial responses or stable disease with genotype-matched therapies. One patient with a BRAF V600E mutation achieved 7.8 months of progression-free survival (PFS) in the second line, while another with the same mutation remains on third-line treatment after 5.2 months of PFS. For comparison, the median PFS for the approved third-line mCRC treatment, irrespective of genotype (trifluridine-tipiracil plus bevacizumab), is 5.6 months.



**Figure 4.** The table (left) presents four patients treated with targeted therapies in subsequent lines of systemic treatment for mCRC. The variant-matched treatment is scored according to its potential clinical benefit using ESMO Magnitude of Clinical Benefit Scale (ESMO-MCBS) in the non-curative setting and OncoKBTM therapeutic level. The bars (right) display the median progression-free survival (in months) and the best response to treatment: progression (red) or partial response/disease stability (blue). One patient has died (†) and two are still on treatment (♀). ND – not defined.

As of the data cut-off, 29 patients (33.7%) had died. The median overall survival (OS) was 39.5 months (95% CI: 25.5–53.6). Median OS for patients receiving NGS-guided treatment beyond the first line (excluding RAS/BRAF wild-type patients) had not yet been reached.

### 3.4. Factors Impacting NGS Results

The origin of biological material ( $p = 0.556$ ) and collection technique ( $p = 0.699$ ) did not influence the ability of NGS to detect clinically significant variants. The detection of any variant was influenced by the sequencing platform used ( $p = 0.034$ ), though the detection of pathogenic variants was not ( $p = 0.100$ ).

Other clinical factors, including primary tumour location ( $p = 0.300$ ), sidedness ( $p = 0.537$ ), stage at diagnosis ( $p = 0.475$ ), and the use of chemotherapy in the curative setting ( $p = 0.921$ ), had no significant impact on the detection of pathogenic variants.

#### 4. Discussion

Beyond the lack of real-world data on the clinical utility of NGS in metastatic colorectal cancer (mCRC), there is a need to evaluate its clinical impact across different populations. Variations in national regulations significantly influence the accessibility of NGS-directed cancer treatments [23]. In this Portuguese cohort, aside from RAS/BRAF wild-type profiles, NGS identified actionable variants or molecular characteristics in 11 out of 86 patients (12.8%), classified as ESCAT tiers 1 and 2 [18]. To date, four of these patients have accessed targeted therapies with high potential clinical benefit, classified as OncoKB™ levels 1 or 2. Among them, three achieved disease control [21].

Our study detected a slightly lower proportion of targetable alterations (classified by ESCAT) compared to recent larger real-world cohorts. Notably, our sample lacked representation of MMR-deficient cancers, and we limited the analysis to ESCAT tier 1 and 2 genomic alterations. Hypothetical targets (tier III and beyond), such as PIK3CA and HER2 activating mutations, were excluded due to the practical difficulty of obtaining matched treatments outside clinical trials [24].

NGS enhances tumour genome characterisation, particularly with the use of comprehensive assays. In this study, 206 somatic variants were identified, including 64 classified as clinically significant variants (CSV). The RAS mutation rate was 57%, with variants occurring in exons 2, 3, and 4, consistent with previously published data [6]. Emerging anticancer drugs targeting KRAS variants other than G12C announce an expansion in genome-targeted treatments for mCRC, further highlighting the clinical value of sequencing assays [25,26].

While traditional techniques were not redundantly applied in this study, NGS has already demonstrated the ability to detect rare RAS mutations and other anti-EGFR resistance-related variants in mCRC, providing a more complete platform when choosing the first-line treatment in mCRC [23]. For example, one patient (1.2%) exhibited a HER2 amplification, which may influence resistance to anti-EGFR therapy and also facilitated the selection of an NGS-directed treatment in a subsequent line of therapy [27,28].

Beyond rare variant detection, NGS provides new biomarkers with potential prognostic and therapeutic significance, such as median allele frequency (MAF) and tumour mutational burden (TMB). Although MAF's prognostic value appears restricted to circulating tumour DNA (ctDNA) rather than tissue samples, the detection of low-MAF variants via NGS may enhance treatment selection, especially for RAS-mutant mCRC [29–31]. TMB, a predictive biomarker for immunotherapy, requires comprehensive NGS panels to be calculate [6,16]. In our cohort, only a quarter of patients underwent comprehensive assays, identifying four TMB-high cases (19%), one of whom has been treated with pembrolizumab to date [32].

The decision to perform comprehensive assays in our study was based on clinical judgement, factoring in cost and limited availability. Table 1 illustrates this bias, since comprehensive panels were more frequently offered to previously treated and younger mCRC patients. While this impacts the results of our study, it suggests that more patients with targetable biomarkers, such as TMB-high status, could have been identified if broader sequencing was offered to more patients.

This study has several limitations, including its short follow-up period and small sample size. The use of two different NGS platforms resulted in variability in genomic information between samples. While this discrepancy influenced the detection of variants overall ( $p = 0.034$ ), it did not affect the identification of pathogenic variants, as the most common pathogenic mutations in mCRC are included in both panels.

Moreover, the choice of primary tumour or metastatic tissue did not impact variant detection. However, the high genetic intratumoral and inter-metastatic heterogeneity in colorectal cancer, as well as the impact of treatment on mutational profiles, are well-documented [33]. These factors are particularly relevant for RAS mutations and anti-EGFR resistance variants, which are often monitored through liquid biopsies during mCRC treatment [34–37]. Thus, potential bias related to sequencing timing and tissue source cannot be excluded in our sample.

Additionally, as a retrospective real-world cohort, selection bias due to clinical decision-making likely influenced our findings. For instance, the absence of MMR-deficient cancers in our sample is

likely attributable to the current standard of care, which recommends first-line immunotherapy for these patients, which does not require comprehensive molecular testing [4].

In advanced cancers, earlier studies showed limited clinical benefit from comprehensive sequencing, with a low proportion of patients benefiting from genome-matched therapies[5,38,39]. However, recent reviews suggest that NGS-informed treatments may offer benefits across all tumour types, including mCRC [40]. Despite this, the evidence remains largely derived from small cohorts [41,42]. Future directions for this study should include a longer follow-up and an expanded cohort size to better elucidate the real-world benefits of routine NGS and NGS-matched therapies in advanced mCRC. These efforts could provide valuable insights into optimising the clinical application of NGS in this setting.

## 5. Conclusions

Our study demonstrates that standard NGS testing is both feasible and effective in real-world settings, enabling a more personalized therapeutic approach and expanding access to additional lines of treatment. The significance of sequencing as a biomarker, along with its clinical benefit, is expected to grow in the future as new drugs are developed and greater genomic data is gathered and analyzed in molecular tumor boards. This will help generate real-world evidence to support the utility of NGS-directed treatments.

**Author Contributions:** Conceptualization, R.R. and N.B.; methodology, R.R., R.S. and L.G.S.; formal analysis, R.R., R.C., I.F., G.C. and M.G; writing—original draft preparation, R.R., R.S. and L.G.S.; writing—review and editing, R.R., T.F. and J.P.; visualization, R.R., T.F., J.P. and N.B.; supervision, N.B.; project administration, R.R.; All authors have read and agreed to the published version of the manuscript.

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**Institutional Review Board Statement:** The study was conducted in accordance with the Declaration of Helsinki, and approved by the Institutional Ethics Committee of Coimbra Portuguese Institute of Oncology.

**Informed Consent Statement:** Patient consent was waived due to anonymized retrospective collection of data, high number of included subjects and high mortality rate.

**Data Availability Statement:** Anonymized data will be available upon request to the corresponding author.

**Conflicts of Interest:** The authors declare no conflicts of interest.

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