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## Article

# Redundancy in Innate Immune Pathways That Promote CD8<sup>+</sup> T cell Responses in AAV Muscle Gene Transfer

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**Abstract:** While adeno-associated viral (AAV) vectors are successfully used in a variety of *in vivo* gene therapy applications, they continue to be hampered by the immune system. Here, we sought to identify innate and cytokine signaling pathways that promote CD8<sup>+</sup> T cell responses against the transgene product upon vector administration to murine skeletal muscle. Eliminating just one of several pathways (including DNA sensing via TLR9, IL-1 receptor signaling, and possibly endosomal sensing of double-stranded RNA) substantially reduced the CD8<sup>+</sup> T cell response at lower vector doses but was surprisingly ineffective at higher doses. Using genetic, antibody-mediated, and vector engineering approaches, we show that blockade of at least two innate pathways is required to achieve an effect at higher vector doses. Concurrent blockade of IL-1R1>MyD88 and TLR9>MyD88>IFN I>IFNαR pathways was often but not always synergistic and had limited utility in preventing antibody formation against the transgene product. We further provide evidence that CpG depletion of vector genomes and inclusion of TLR9 inhibitory sequences can have a synergistic effect. However, even low-frequency CD8<sup>+</sup> T cell responses could eliminate transgene expression. Thus, innate immune avoidance/blockade strategies, albeit helpful, may not be sufficient to prevent destructive cellular responses in muscle gene transfer because of redundancy of immune activating pathways.

**Keywords:** adeno-associated virus; CD8 T cell; TLR9; IL-1; muscle

## 1. Introduction

Adeno-associated viral (AAV) vectors are widely used in clinical gene therapy for *in vivo* transfer of therapeutic genes to various organs and cell types. These vectors contain a DNA genome (single-stranded or self-complementary) that is devoid of viral coding sequences and packaged into a protein capsid. Tissue tropism and efficiency of gene transfer to different cell types is determined by the choice of capsid, route of administration, and vector dose. Transduction of skeletal muscle fibers by intramuscular (IM) administration has undergone clinical trials for treatment of different forms of muscular dystrophy [1–3] and for systemic protein delivery (for hemophilia B and  $\alpha_1$ -antitrypsin deficiency, for example) [4,5] and also formed the basis for the first gene therapy product approved in the Western world (Glybera for systemic delivery of lipoprotein lipase to treat lipoprotein lipase deficiency) [6]. This approach has been further clinically tested for passive immunization based on delivery of antibodies against HIV [7–9] and is in development for delivery of Cas9 and gRNA (guide RNAs) for CRISPR-mediated gene editing of dystrophic muscle [10,11]. Vascular delivery for widespread correction of muscle forms the basis for a recently approached gene therapy for patients with

Duchenne Muscular Dystrophy (DMD) [12] and is in advanced clinical testing for a variety of neuromuscular diseases [13]. Muscle disorders that could benefit from direct IM injection include tibial muscular dystrophy, facioscapulohumeral muscular dystrophy, and oculopharyngeal muscular dystrophy.

Although AAV vectors elicit less innate immunity and are less efficient in activating CD8<sup>+</sup> T cell responses compared to many other vector systems, immune responses are nonetheless a major hurdle for AAV-based gene therapies, especially when given at high vector doses [14–18]. The IM route may increase immunogenicity (and is thus often used for vaccine delivery), and the viral particles carry pathogen associated molecular patterns (PAMPs) that can be recognized by innate pattern recognition receptors. This is particularly well documented for sensing of the AAV genome by the endosomal DNA receptor TLR9 [19,20]. Initially discovered by Yang and colleagues, plasmacytoid dendritic cells (pDCs) produce type I IFN (IFN I) upon activation of the TLR9-MyD88 signaling pathway [19]. These events may link innate immune sensing of the AAV genome to the induction of an antigen-specific immune response. Priming of CD8<sup>+</sup> T cells occurs through cooperation of pDCs and conventional DCs (cDCs), which present capsid or transgene product antigens via MHC I and activate CD8<sup>+</sup> T cells upon sensing of IFN I with their IFN $\alpha$  receptors [20,21]. Antigen presentation is likely carried out by XCR1<sup>+</sup> cDCs, which are particularly efficient in cross-presentation via MHC I and are known to serve as a platform for sequential interactions with CD4<sup>+</sup> and CD8<sup>+</sup> T cells [22,23]. CD4<sup>+</sup> T helper cells provide co-stimulation that, in addition to cytokine signaling, is critical for priming of CD8<sup>+</sup> T cells [21,24,25]. In contrast to mammalian DNA, viral and bacterial genomes lack CpG methylation [26,27]. Such unmethylated CpG motifs are particularly potent stimulators of TLR9 [22,27,28]. Thus, a common strategy to reduce the risk of CD8<sup>+</sup> T cell activation in AAV gene transfer is to synthesize gene constructs that lack CpG motifs (“CpG depletion” strategy) [29–32]. Alternatively, sequences can be included in the AAV vector genome that are inhibitory to TLR9 (TLR9i) [33]. TLR9 signaling also mediates early innate immune responses in the target organ that can occur within hours after vector administration [34]. However, TLR9 is less critical for antibody formation (albeit some TLR9 ligands may enhance antibody formation by increasing recruitment of monocyte-derived dendritic cells (moDCs), as we showed by co-administration of vector and oligodeoxynucleotides to skeletal muscle) [35–37].

Our recent study in hepatic gene transfer uncovered a TLR9-independent pathway that promotes CD8<sup>+</sup> T cell responses against the transgene product via IL-1R1-MyD88 signaling [25,38]. This mechanism involves infiltration of IL-1 $\alpha$ / $\beta$  producing pDCs and formation of pDC/XCR1<sup>+</sup> DC/CD8<sup>+</sup> T cell co-clusters in the liver. While both IL-1 $\alpha$  and IL-1 $\beta$  are contributing, CD8<sup>+</sup> T cell activation was found to be independent of inflammasomes. IL-1 $\alpha$  serves as an “alarmin” in responses against pathogens, may be cell-bound or extracellular, and does not require proteolytic processing to be active. Recombinant IL-1R antagonist (anakinra) is an approved anti-inflammatory drug for blockade of the IL-1R1 receptor. Whether this pathway is important for muscle gene transfer has been unknown.

CD8<sup>+</sup> T cell responses against transgene products have been documented in some DMD and  $\alpha_1$ -antitrypsin deficient patients that received muscle-directed AAV gene transfer [3,39–43]. More recently, we showed that potent CD8<sup>+</sup> T cell responses directed against a Cas9 transgene product eliminated AAV-transduced muscle fibers in canine models [44]. Route of administration, vector design and dose, as well as the underlying mutation and polymorphisms in the affected gene impact the risk of such responses in gene replacement therapy [13,31,34,45,46]. Inflammation or tissue damage may be sources of Damage Associated Molecular Patterns (DAMPs) that contribute to T cell activation. In this study, we were surprised to find induction of transgene product-specific CD8<sup>+</sup> T cells upon IM administration of AAV vector in TLR9 and IFN $\alpha$  deficient mice at frequencies similar to those in wild-type (WT) mice, when higher vector doses were used. Further investigations showed that multiple pathways, including IL-1 signaling, have redundant roles in promoting CD8<sup>+</sup> T cell responses. Elimination of a single pathway was sufficient to substantially reduce the response at lower but not at higher vector doses. We document the utility and limitations of blocking innate immune pathways to prevent CD8<sup>+</sup> T cell responses in muscle directed gene transfer.

## 2. Materials and Methods:

### 2.1. AAV Vector Production

All AAV vectors were of serotype 1. AAV expression cassette for chicken ovalbumin was under the control of either cytomegalovirus (CMV) promoter or a combination of CMV immediate early gene (IE) 1 enhancer and human elongation factor-1 $\alpha$  (EF1 $\alpha$ ) promoter. All AAV vectors were packaged using triple transfection of HEK293 T cells, purified using iodixanol gradient centrifugation and were titrated by quantitative polymerase chain reaction (PCR) as previously reported [47].

### 2.2. Mouse Strains and Experiments

C57BL/6J WT, C57BL/6NJ WT, B6N.129S1-Tlr3<sup>tm1Flv</sup>/J (TLR3<sup>-/-</sup>), B6.129P2(SJL)-Myd88<sup>tm1.1Defr</sup>/J (MyD88<sup>-/-</sup>), B6.129S7-IL1r1<sup>tm1Imx</sup>/J (IL-1R1<sup>-/-</sup>), C57BL/6J-Tbk1<sup>em10Lutzy</sup>/J (TBK1<sup>-/-</sup>), B6.Cg-Ifih1<sup>tm1.1Cln</sup>/J (MDA5<sup>-/-</sup>), C57BL/6NJ-Rigi<sup>em1(IMPC)</sup>/Mmjax (RIG I<sup>-/-</sup>), C57BL/6J-Ticam1<sup>Lps2</sup>/J (TRIF<sup>-/-</sup>), C57BL/6J-Sting1<sup>st</sup>/J (STING<sup>-/-</sup>) mice were purchased from Jackson Laboratories (Bar Harbor, ME). TLR9<sup>-/-</sup> [20], CD11c<sup>cre</sup> x MyD88<sup>fl/fl</sup> (CD11c-MyD88<sup>-/-</sup>) [20] and CD11c<sup>cre</sup> x IFN $\alpha$ R<sup>fl/fl</sup> (CD11c-IFN $\alpha$ R<sup>-/-</sup>) mice were bred in house (all on C57BL/6J background) [21]. All mice were 6 - 8 weeks old at the start of experiment, and each experimental groups consisted of 5 mice. Mice were housed in the laboratory animal resource center facility at Indiana University (IU), Indianapolis. These studies were conducted under protocols 21017 (approved on 15 April 2021) and 23173 (approved on 27 March 2024) by the Institutional Animal Care and Use Committee (IACUC) of Indiana University School of Medicine.

Mice were injected into the quadriceps muscle with either 2 $\times$ 10<sup>10</sup> vg or 2 $\times$ 10<sup>11</sup> vg of AAV vector in total volume of 50  $\mu$ L. Type I IFN signaling was blocked by administration of 250  $\mu$ g of  $\alpha$ IFNAR-1 (MAR1-5A3) antibody via intraperitoneal (IP) route [21]. IL-1 signaling was prevented by neutralization of cytokines IL-1 $\alpha$  and IL-1 $\beta$ , with co-administration of  $\alpha$ IL-1 $\alpha$  (clone ALF-161) and  $\alpha$ IL-1 $\beta$  (clone B122) antibodies (200  $\mu$ g each) via IP route [25,48]. Control mice received equal amount of isotype antibody. All the antibody treatments were initiated one day prior to vector administration and were continued for up to 6 weeks, 2 times/week. All in vivo blocking/ neutralization antibodies were from BioXcell (Lebanon, NH).

### 2.3. Flow Cytometry

Peripheral blood mononuclear cells (PBMCs) were pretreated with TruStain FcX anti-mouse CD16/CD32 (Fc receptor block; BioLegend, San Diego, CA, USA). CD8<sup>+</sup> T cells were distinguished from CD4<sup>+</sup> T cell via a combination of CD3 and CD8 antibodies. OVA-specific CD8<sup>+</sup> T cells were assessed using MHC class I tetramer iTA $\alpha$  (H2-Kb-SIINFEKL; MBL International, Woburn, MA) as per manufacturer's instructions. OVA-specific CD8<sup>+</sup> T cells were further analyzed for their activation status using antibodies against surface markers CD44, CD62L and CD107. PBMCs were surface stained at room temperature for 20 mins. PBMCs were washed and red blood cells were lysed with VersaLyse (Beckman Coulter). PBMCs were acquired on Attune (Invitrogen) and analysis was performed with FCS Express (De Novo Software, CA). All the antibodies were purchased from Biolegend (San Diego, CA, USA) unless stated otherwise.

### 2.4. Immunohistochemistry

AAV injected muscles were frozen as previously reported [49]. Briefly, excised muscles were mounted on a 3-inch dowel using 10% (w/v) gum tragacanth (Sigma) in PBS. The dowel was then gently inverted and submerged in to a 15.0ml conical tube that contained 5.0ml of pre-chilled 2-methylbutane (isopentane; GFS chemicals, OH). The 15.0 ml tubes were then stored in -20 °C until used. Frozen muscles were sectioned (~10 $\mu$ m) using a cryostat (Leica Biosystems, IL). Muscle sections were mounted on poly-lysine-coated slides, and immunohistochemistry was performed as described previously [46]. Sections were stained for OVA expression using rabbit  $\alpha$ -OVA (Abcam, UK) and CD8<sup>+</sup> T (clone 53-6.7; Biolegend, CA) cell infiltration. Fluorophore conjugated  $\alpha$ -rabbit and  $\alpha$ -rat secondary antibodies were from Abcam. Sections were mounted with ProLong Diamond



Antifade (Life Technologies, CA) mounting medium containing DAPI (2-(4-amidinophenyl)-1H-indole-6-carboxamide). Fluorescence images were captured with a Zeiss Axio Observer 7 microscope (Zeiss, Germany) and analyzed with Zen Blue edition 3.5 software.

### 2.5. Antibody Assays

Mouse plasma was analyzed for OVA-specific IgG2c antibodies as previously published[34,35]. 10 mg/mL of OVA was used for coating of ELISA wells. Plasma was used at 1:40 dilution in dilution buffer (5% bovine serum albumin and 0.05% Tween 20 in 1X phosphate buffered saline). HRP-conjugated goat anti-mouse IgG2c (SouthernBiotech, AL) was used as detection antibody. Data was acquired using Epoch 2 microplate spectrophotometer (BioTek, VT) with GEN 5-3.11 software.

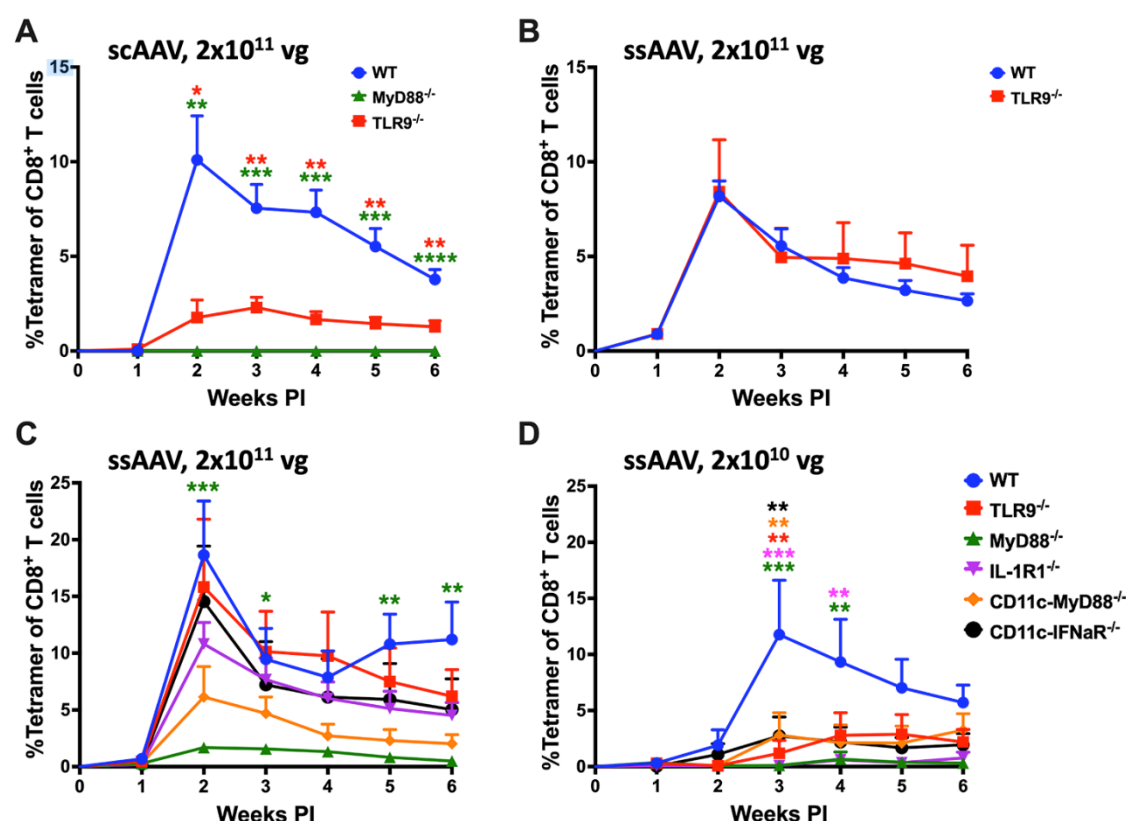
### 2.6. Statistical Analysis

All data are presented as means  $\pm$  standard error of the mean (SEM). Data was analyzed using GraphPad Prism software (San Diego, CA). Multiple unpaired t tests with Holm-Šidák post hoc correction were used and p values of  $\leq 0.05$  were considered significant and are indicated as \* $p \leq 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , and \*\*\*\* $p < 0.0001$ .

## 3. Results

### 3.1. Single-Stranded AAV Vector Induces TLR9-Independent CD8<sup>+</sup> T Cell Response Following High Dose Intramuscular Injection

The objective of this study was to identify innate immune pathways that drive activation of transgene product-specific CD8<sup>+</sup> T cells in AAV gene transfer to skeletal muscle. We utilized AAV1 serotype which efficiently transduces muscle and has been extensively tested in clinical setting. The choice of OVA as transgene allowed us to track OVA-specific CD8<sup>+</sup> T cells over time in the periphery with MHC class I tetramer. Sensing of the AAV genome (in particular unmethylated CpG motifs, which are typical for viral DNA) by TLR9 is widely viewed as the critical innate signal for priming of CD8<sup>+</sup> T cells in the context of AAV gene transfer. In earlier studies, we found that vectors with self-complementary genomes (scAAV) more strongly activate TLR9 dependent immune responses than traditional single-stranded (ssAAV) vectors [34]. Consistent with prior literature, IM administration of  $2 \times 10^{11}$  vector genomes (vg) of scAAV1-CMV-OVA induced a strong CD8<sup>+</sup> T cell response against OVA in WT mice that peaked (~10% of total CD8<sup>+</sup> T cells) at 2 weeks following vector administration while TLR9<sup>-/-</sup> mice had a substantially lower (~2% of total CD8<sup>+</sup> T cells) response and MyD88<sup>-/-</sup> mice failed to mount a CD8<sup>+</sup> T cell response against the transgene product (Figure 1A) [19,22,29,35,50]. Using a ssAAV1-CMV-OVA vector resulted in an equally high CD8<sup>+</sup> T cell response in WT mice (Figure 1B). To our surprise, TLR9<sup>-/-</sup> mice showed the same level of CD8<sup>+</sup> T cell induction as WT mice when ssAAV1-CMV-OVA vector was administered (Figure 1B).



**Figure 1.** AAV genome conformation and vector dose dictates innate sensing and cellular response to AAV encoded transgene product in muscle. A) Kinetics of OVA specific CD8<sup>+</sup> T cell response in C57BL/6J - WT, TLR9<sup>-/-</sup> and MyD88<sup>-/-</sup> mice following intramuscular gene transfer with high dose ( $2 \times 10^{11}$ vg) of scAAV1 vector. B) Kinetics of OVA specific CD8<sup>+</sup> T cell response in C57BL/6J - WT and TLR9<sup>-/-</sup> mice following intramuscular gene transfer with high dose ( $2 \times 10^{11}$ vg) of ssAAV vector. C & D) Kinetics of OVA specific CD8<sup>+</sup> T cell response in C57BL/6J - WT and knockout mice lacking specific innate sensor (either universally or in a particular cell type), following intramuscular gene transfer with high ( $2 \times 10^{11}$ vg; C) and low ( $2 \times 10^{10}$ vg; D) dose of ssAAV1 vector. Each experimental group consisted of 5 mice. Data are presented as mean  $\pm$  SEM. Significant differences between WT and knockout mice are denoted with \*. The number of \* indicates the level of significance and are indicated as \* $p \leq 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , and \*\*\*\* $p < 0.0001$ . Non-significant differences are not indicated.

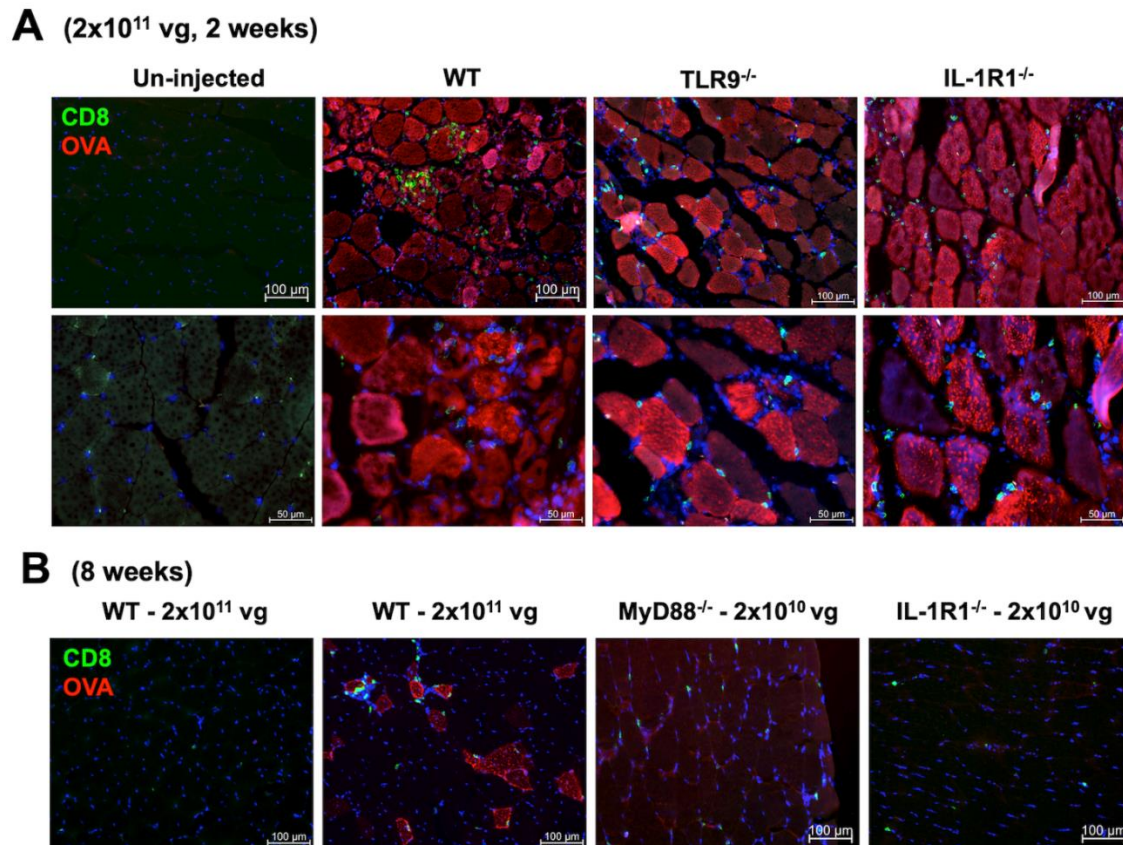
### 3.2. TLR9, IFN I, and IL-1R1 Are Crucial for Maximum CD8<sup>+</sup> T Cell Response to the Transgene Product at Lower but Not at Higher Vector Doses

Given this unexpected result, we decided to investigate the innate sensing requirements of ssAAV1-CMV-OVA vector in influencing the transgene product specific cellular responses. We injected either  $2 \times 10^{10}$  vg (low) or  $2 \times 10^{11}$  vg (high) of ssAAV1-CMV-OVA vector into the quadriceps muscles of C57BL/6J - WT, TLR9<sup>-/-</sup> and MyD88<sup>-/-</sup> mice (n=5) and evaluated the frequencies of circulating OVA-specific CD8<sup>+</sup> T cells in PBMCs as a function of time (Figure 1C,D and Supplementary Figure S1A,B). OVA-specific CD8<sup>+</sup> T cell responses were again similar in TLR9<sup>-/-</sup> and WT mice at the high dose, while TLR9<sup>-/-</sup> mice showed a substantial reduction in the response at the low vector dose (Figure 1C,D). Mice deficient in MyD88 had minimal responses at both vector doses (~2% at the high dose and delayed response of <1% at the low dose; Figure 1C, D). In WT mice, the peak of the response (average of ~12% of total CD8<sup>+</sup> T cells) at the low vector dose is observed at 3 weeks, one week later compared to the high vector dose (average of ~19% at week 2; Figure 1B–D). Given our recent discovery that mice lacking IL-1R1 fail to develop CD8<sup>+</sup> T cell responses against OVA in hepatic AAV gene transfer [25], we investigated the role of IL-1 signaling in transgene product specific cellular response in muscle gene transfer. We evaluated the frequencies of

circulating OVA-specific CD8<sup>+</sup> T cells in IL-1R1<sup>-/-</sup> mice following intramuscular (IM) delivery of either 2x10<sup>10</sup> vg (low) or 2x10<sup>11</sup> vg (high) of ssAAV1-CMV-OVA vector. At the high vector dose, IL-1R1<sup>-/-</sup> mice had a modest reduction in OVA-specific CD8<sup>+</sup> T cells (no statistical difference) compared to WT and TLR9<sup>-/-</sup> mice (Figure 1C). However, at the low dose, a significant reduction in OVA-specific CD8<sup>+</sup> T cells was observed in comparison to WT mice, which was also lower than in TLR9<sup>-/-</sup> mice (Figure 1D). Combined, these results suggest a redundant role of TLR9 and IL-1R1 pathways in activating CD8<sup>+</sup> T cells against the transgene product. Both pathways are required for a maximal response at low vector doses, while at high doses either pathway may be sufficiently active to drive the response (or, alternatively, replaced by a third pathway). Interestingly, at the 2x10<sup>11</sup> vg dose, IL-1R1<sup>-/-</sup> had fewer OVA-specific CD8<sup>+</sup> effector T (Teff) cells and a higher portion of T effector memory cells (TEM) at 2 weeks when compared to WT and TLR9<sup>-/-</sup> mice, which was reversed at subsequent time points (Supplementary Figure S1C). While the magnitude of the CD8<sup>+</sup> T cell response is identical in the absence of the IL-1R, the Teff response is delayed and then prolonged.

Previous studies have shown that TLR9 activation by the AAV genome in pDCs results in IFN I production, which is sensed by the IFN $\alpha$ R receptor on cDCs [20,21]. Consistent with this pathway and above-described results in TLR9<sup>-/-</sup> animals, CD11c-IFN $\alpha$ R<sup>-/-</sup> (only CD11c<sup>+</sup> cells lack IFN I receptor) mice show a significant reduction in the CD8<sup>+</sup> T cell response at the low (2x10<sup>10</sup> vg) but not the high (2x10<sup>11</sup> vg) vector dose (Figure 1C,D). We have previously shown that IFN $\alpha$ R but not MyD88 expression is required in cDCs in TLR9-dependent responses to AAV [21]. If IL-1R1 signaling is required in cDCs, then [MyD88<sup>fl/fl</sup> x cre-CD11c] mice (which lacks MyD88 in CD11c<sup>hi</sup> cDCs but not in pDCs) should have substantially reduced CD8<sup>+</sup> T cell responses to OVA. This is indeed what we observed at the low vector dose (Figure 1D). Although not reaching statistical significance, the average response was also reduced (by ~3-fold) compared to WT mice at the high vector dose (Figure 1C).

To assess the effect of CD8<sup>+</sup> T cell responses on OVA expression in muscle fibers, we performed immunohistochemistry on muscle sections from ssAAV1 injected mice (n=3/experimental group). Transduced muscles from WT, TLR9<sup>-/-</sup> and IL-1R1<sup>-/-</sup> mice all showed both widespread OVA expression targeted by CD8<sup>+</sup> T cell infiltration two weeks after high dose vector administration (Figure 2A). Therefore, the systemic T cell response correlated with a local immune response in the muscle. Muscles examined 8 weeks after vector administration mostly lacked OVA expression and CD8<sup>+</sup> T cell infiltration (Figure 2B), indicating that transgene expression was lost, and the local response had mostly resolved. The few areas with scarce residual OVA expressing fibers, which were found in 3/3 mice, were targeted by CD8<sup>+</sup> T cells (Figure 2B). When we stained muscles from MyD88<sup>-/-</sup> or IL-1R1<sup>-/-</sup> mice 8 weeks after administration of low dose vector, some residual infiltrating CD8<sup>+</sup> T cells but no OVA expressing fibers were detected (Figure 2B). Therefore, even low-frequency CD8<sup>+</sup> T cell responses had likely been sufficient to target and eliminate transgene expression.

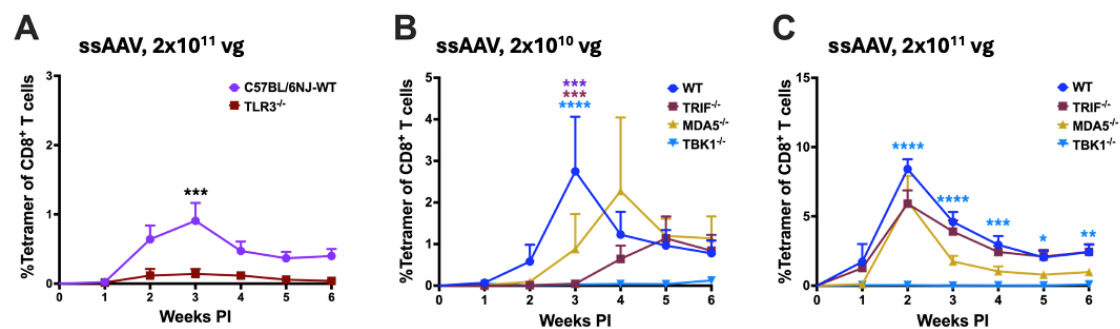


**Figure 2.** CD8<sup>+</sup> T cells infiltrates and surrounds muscle fibers expressing OVA. A) Muscle sections from C57BL/6J – WT, TLR9<sup>-/-</sup> and IL-1R1<sup>-/-</sup> mice showing OVA expressing muscle fibers (red) and CD8<sup>+</sup> T cell (green) infiltrates. Images were generated using 20X (top panel) or 40X (lower panel) objective. B) Images (20X) of muscle sections of C57BL/6J-WT mice 8 weeks after administration of high dose of ssAAV1-CMV-OVA (first and second image from left), and images (20X) of muscle sections from MyD88<sup>-/-</sup> and IL-1R1<sup>-/-</sup> mice 8 weeks after administration of low dose of ssAAV1-CMV-OVA (third and fourth image from left). Images are representative of transduced muscle from n=3 mice/experimental group.

### 3.3. Potential Role for dsRNA Sensing

Since blocking of either TLR9 or IL-1R1 signaling did not entirely prevent transgene product specific cellular response, we probed if alternative innate immune sensors could drive these T cell responses. First, we assessed the role of endosomal innate sensor TLR3, a sensor of double-stranded (ds) RNA on transgene product specific cellular responses. We compared OVA-specific CD8<sup>+</sup> T cell response in WT and TLR3 deficient C57BL/6NJ mice following IM administration of AAV1 vector encoding OVA. For unknown reasons, C57BL/6NJ mice have a weaker CD8<sup>+</sup> T cell response following AAV gene transfer than C57BL/6J mice, and thus required a dose of  $2 \times 10^{11}$  vg to yield a measurable response [25]. WT C57BL/6NJ mice showed a modest response after IM administration of  $2 \times 10^{11}$  vg, which was nearly absent in TLR3<sup>-/-</sup> mice (Figure 3A). Unlike most TLRs, which signal through MyD88, TLR3 utilizes TRIF (TIR-domain-containing adaptor protein inducing interferon- $\beta$ ) as its cytoplasmic adaptor. To further confirm the findings in TLR3<sup>-/-</sup> mice, we assessed the frequency of OVA-specific CD8<sup>+</sup> T cell in TRIF<sup>-/-</sup> mice following IM administration of ssAAV1 vector. Compared to WT C57BL/6J mice, TRIF<sup>-/-</sup> mice had a significantly attenuated CD8<sup>+</sup> T cell response against OVA at the low dose ( $2 \times 10^{10}$  vg), while no difference was seen at the high dose ( $2 \times 10^{11}$  vg; Figure 3B,C). Thus, TLR3-TRIF signaling may play a role when using limited vectors.





**Figure 3.** Differential requirements for TLR3 and cytoplasmic nucleic acid sensors at different vector doses. A) Kinetics of OVA specific CD8<sup>+</sup> T cell response in C57BL/6NJ - WT and TLR3<sup>-/-</sup> following intramuscular gene transfer with high dose (2x10<sup>11</sup>vg) of ssAAV1 vector. B & C) Kinetics of OVA specific CD8<sup>+</sup> T cell response in C57BL/6J - WT and knockout mice lacking specific cytoplasmic nucleic acid innate sensor, following intramuscular gene transfer with low (2x10<sup>10</sup>vg; B) and high dose (2x10<sup>11</sup>vg; C) of ssAAV1 vector. Each experimental group consisted of 5 mice. Data are presented as mean  $\pm$  SEM. Significant differences between WT and knockout mice are denoted with \*. The number of \* indicates the level of significance and are indicated as \*p  $\leq$  0.05, \*\*p < 0.01, \*\*\*p < 0.001, and \*\*\*\*p < 0.0001. Non-significant differences are not indicated.

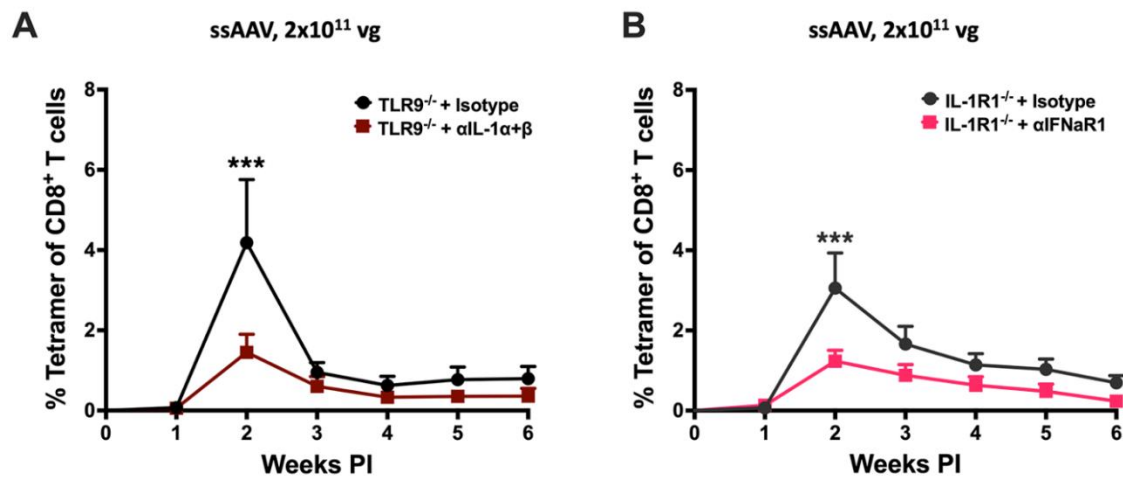
Cytoplasmic RNA sensors, RIG I and MDA5 have recently been shown to be critical in innate sensing of AAV [51]. To interrogate a potential role for cytoplasmic sensors of dsRNA, we assessed OVA-specific CD8<sup>+</sup> T cell responses in mice deficient in MDA-5 or RIG-I pathways (MDA5<sup>-/-</sup> and DDX58<sup>-/-</sup>, respectively). Lack of MDA5 only slightly delayed the response at low vector dose (2x10<sup>10</sup> vg) whereas at high vector dose (2x10<sup>11</sup> vg), OVA-specific CD8<sup>+</sup> T cell response was comparable to WT mice (Figure 3B,C). Mice that were deficient for RIG-I signaling (DDX58<sup>-/-</sup> C57BL/6NJ mice), had robust CD8<sup>+</sup> T cell responses when compared to WT C57BL/6NJ mice (compare Supplementary Figure S2 and Figure 3A). In summary, we find evidence for a role of TLR3-TRIF signaling but not MDA5 or RIG-I in CD8<sup>+</sup> T cell response to OVA in AAV muscle gene transfer.

Finally, we evaluated the role of cytoplasmic dsDNA sensing in activation of transgene product specific CD8<sup>+</sup> T cell response following AAV gene transfer in muscle. For this we utilized C57BL/6J mice that were deficient in stimulator of interferon genes (STING), which is a downstream adaptor molecule of cytoplasmic DNA sensor cyclic GMP-AMP synthase (cGAS). STING<sup>-/-</sup> mice showed an elevated response at low dose (2x10<sup>10</sup> vg) and a somewhat reduced response at high dose (2x10<sup>11</sup> vg) when compared to WT C57BL/6J mice. These differences were found to be statistically non-significant (Supplementary Figure S3). Therefore, signaling through STING in response to cytoplasmic DNA sensing may not be required for CD8<sup>+</sup> T cell responses against the transgene product. Interestingly, C57BL/6J mice deficient in TBK-1, which integrates TLR3-TRIF, MDA5/RIG-I-MAVS, and cGAS-STING signaling pathways lacked responses at both vector doses (Figure 3B,C).

### 3.4. Simultaneous Block of Two Innate Pathways Indicates Redundancy at High Vector Doses and Provides Path for Targeted Therapeutic Intervention

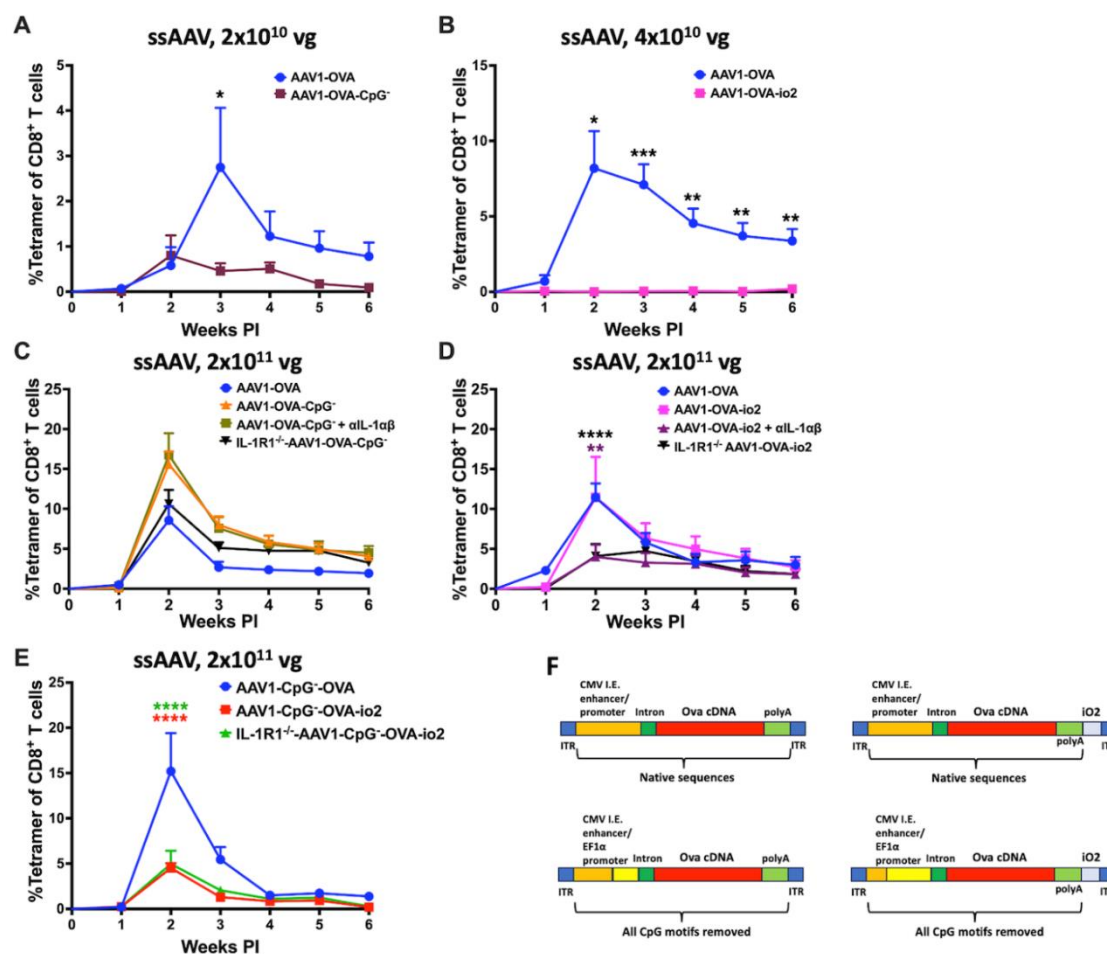
A logical next step based on our findings was to target two pathways when performing high-dose gene transfer. Given that vector engineering strategies are available to reduce TLR9 signaling and that a drug to block the IL-1R1 is already approved and in clinical use in the form of recombinant human IL-1R antagonist, we focused on these two pathways. For initial proof-of-principle, we used a combination of knockout mice and antibody treatment (Figure 4). TLR9<sup>-/-</sup> mice were treated with a combination of  $\alpha$ -IL-1 $\alpha$  and  $\alpha$ -IL-1 $\beta$  antibodies, starting 1 day before IM administration of 2x10<sup>11</sup> vg of ssAAV1-CMV-OVA. Alternatively, IL-1R1<sup>-/-</sup> mice were treated similarly with  $\alpha$ -IFN $\alpha$  (to block IFN I signaling downstream of TLR9 and other pathways). In both experiments, the frequency of induced OVA-specific CD8<sup>+</sup> T cells was significantly reduced (~3-fold at the 2-week peak response)

compared to mice that received isotype control antibody (Figure 4A,B). In conclusion, disruption of two signaling pathways reduces CD8<sup>+</sup> T cell responses against the transgene product at high vector doses.



**Figure 4.** Combined inhibition of TLR9 and IL-1 signaling leads to an abrogated cellular response to AAV encoded transgene product in muscle. A) Kinetics of OVA specific CD8<sup>+</sup> T cell response in TLR9<sup>-/-</sup> mice following intramuscular gene transfer with high dose (2x10<sup>11</sup>vg) of ssAAV1 vector. TLR9<sup>-/-</sup> mice were either treated with an isotype control antibody (black line) or with a combination of α-IL-1α and α-IL-1β antibodies (brown line). B) Kinetics of OVA specific CD8<sup>+</sup> T cell response in IL-1R1<sup>-/-</sup> mice following intramuscular gene transfer with high dose (2x10<sup>11</sup>vg) of ssAAV1 vector. IL-1R1<sup>-/-</sup> mice were either treated with an isotype control antibody (charcoal black line) or with α-IFNαR1 antibody (pink line). Each experimental group consisted of 5 mice. Data are presented as mean ± SEM. Significant differences between WT and knockout mice are denoted with \*. The number of \* indicates the level of significance and are indicated as \*p ≤ 0.05, \*\*p < 0.01, \*\*\*p < 0.001, and \*\*\*\*p < 0.0001. Non-significant differences are not indicated.

To further explore this approach, we constructed vector genomes with reduced TLR9 activation. ssAAV1-OVA-CpG<sup>-</sup> vector contains an OVA expression cassette that entirely lacks CpG motifs (containing CMV enhancer, EF1α promoter and CpG-depleted OVA cDNA; analogous to published constructs expressing LacZ or human factor IX; Figure 5F and Table 1) [22,29]. At the low dose (2x10<sup>10</sup> vg), the response in WT mice was significantly lower compared to the CpG<sup>+</sup> ssAAV1-CMV-OVA vector (80 CpG motifs) that we had used in all prior experiments (Figure 5A). However, at the high dose (2x10<sup>11</sup> vg), ssAAV1-OVA-CpG<sup>-</sup> vector elicited a slightly higher CD8<sup>+</sup> T cell responses than CpG<sup>+</sup> ssAAV1-CMV-OVA, albeit this did not reach statistical significance (Figure 5C). While treatment with α-IL-α and α-IL-1β failed to reduce the response to high-dose ssAAV1-OVA-CpG<sup>-</sup>, IL-1R1<sup>-/-</sup> mice showed a somewhat reduced response, which was however not lower than the response to conventional (i.e. CpG<sup>+</sup>) ssAAV1-CMV-OVA vector in WT mice (Figure 5C).



AAV1-OVA-io2 + a-IL-1 a+b (purple line) and between WT mice-AAV1-OVA (blue line) and IL-1R1<sup>-/-</sup> mice – AAV1-OVA-io2 (black line).. The number of \* indicates the level of significance and are indicated as \*p ≤ 0.05, \*\*p < 0.01, \*\*\*p < 0.001, and \*\*\*\*p < 0.0001. Non-significant differences are not indicated.

**Table 1.** Effect of vector genome engineering and IL-1 blockade on CD8<sup>+</sup> T response against OVA upon ssAAV1-OVA vector IM administration.

Vector dose	Low	High
IL-1 blockade	↓	-
TLR9i (io2)	↓	-
TLR9i (io2) + IL-1 blockade	nd	↓
CpG depletion	↓	-
CpG depletion + IL-1 blockade	nd	-
CpG depletion + TLR9i (io2)	nd	↓
CpG depletion + TLR9i (io2) + IL-1 blockade	nd	↓ (but not lower than CpG depletion + TLR9i without IL- blockade)

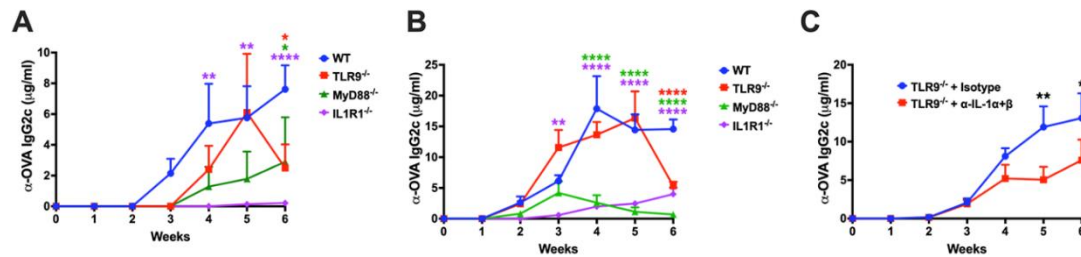
↓ indicates reduced response compared to standard ssAAV-CMV-OVA vector given without immune modulation; - indicates no effect on magnitude of response; nd: experiment not done.

In an alternate approach to block TLR9 activation, we constructed a vector (ssAAV1-OVA-io2), in which the TLR9 inhibitory sequence io2 was added downstream of the OVA cDNA in the original CpG<sup>+</sup> ssAAV1-CMV-OVA vector (Figure 5F) [33]. Impressively, no response was observed at a dose of 4x10<sup>10</sup> vg (Figure 5B). However, this effect was again lost at the high (2x10<sup>11</sup> vg) vector dose (Figure 5D). In contrast to our experience with the CpG<sup>-</sup> vector, IL-1 signaling blockade using either α-IL-α and α-IL-1β treatment in WT or performing gene transfer in IL-1R1<sup>-/-</sup> mice significantly reduced the CD8<sup>+</sup> T cell response against OVA after high-dose ssAAV1-OVA-io2 administration (Figure 5D). Subsequently, we concorporated the io2 sequence into the CpG<sup>-</sup> vector to create ssAAV1-CpG-OVA-io2 (Figure 5F). The addition of io2 resulted in a highly significant, 3-fold reduction in the CD8<sup>+</sup> T cell response against OVA in WT mice (Figure 5E). However, as with ssAAV1-OVA-CpG<sup>-</sup> parent vector, IL-1 blockade failed to further reduce the response (Figure 5E).

3.5. Effect of Innate Immune Pathways on Antibody Formation against Transgene Product

In some of the experiments that explored the roles of TLR9 and IL-1R1 (and their common cytoplasmic adaptor MyD88), we also assessed antibody formation against OVA in select experimental groups injected with ssAAV1-CMV-OVA. IgG2c typically constitutes the dominant subclass in C57BL/6J mice in response to OVA. C57BL/6J mice produced IgG2c against OVA (starting at week 3) at a vector dose of 2x10<sup>10</sup> vg (Figure 6A). This response was delayed by 1 week in mice deficient in MyD88, and TLR9 (Figure 6A). Responses in the knockout mice were generally weaker than in WT mice, except for TLR9<sup>-/-</sup> that reached a maximum titer similar to that of WT mice. IgG2c formation in IL-1R1<sup>-/-</sup> mice was only detectable at low titer by weeks 5-6 (Figure 6A). At the high dose (2x10<sup>11</sup> vg), WT mice showed IgG2c formation against OVA within 2 weeks, and titers increased by week 4 to levels 2-4-fold higher than for the low dose (Figure 6B). Mice deficient in MyD88, or IL-1R1 produced substantially lower titers, while TLR9<sup>-/-</sup> mice, except for the week 6 time point, had titers comparable to WT mice (Figure 6B). IL-1 blockade in TLR9<sup>-/-</sup> mice that received 2x10<sup>11</sup> vg lowered IgG2c formation against OVA by ~2-fold (Figure 6C). In all high-dose cohorts, all experimental groups developed peak titers of at least 5μg/ml. Overall, the effect of innate immune blockade on antibody formation against the transgene product was modest.





**Figure 6.** Different innate sensors has minimal effect on transgene product specific antibody formation following AAV muscle gene transfer. A and B) Kinetics of OVA specific IgG2c antibodies following low ( $2 \times 10^{10}$ vg; A) and high ( $2 \times 10^{11}$ vg; B) dose of ssAAV1 vector in C57BL/6J – WT and knockout mice lacking a specific innate sensor. C) Kinetics of OVA specific IgG2c antibodies following high ( $2 \times 10^{11}$ vg) dose of ssAAV1 vector in TLR9<sup>-/-</sup> mice which were either treated with isotype control antibody (blue line) or a combination of  $\alpha$ -IL-1a and  $\alpha$ -IL-1b antibodies (red line). Each experimental group consisted of 5 mice. Data are presented as mean  $\pm$  SEM. Significant differences between WT and knockout mice are denoted with \*. The number of \* indicates the level of significance and are indicated as \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , and \*\*\*\* $p < 0.0001$ . Non-significant differences are not indicated.

#### 4. Discussion

Similar to responses against viral pathogens, anti-viral vector CD8<sup>+</sup> T cell responses are triggered by innate immune signals, which may be derived from sensing of PAMPs featured by the viral vector particles (e.g. capsid or genome) or the infected tissue (damage associated molecular patterns, which may derive from the viral infection of the vector administration method). Key cellular components in the response to AAV vectors include pDCs (which sense the viral DNA genome via the endosomal receptor TLR9 and are able to infiltrate the infected tissue), cDCs (which respond to cytokine conditioning by pDCs and present antigen via MHC I to CD8<sup>+</sup> T cell and via MHC II to CD4<sup>+</sup> T cells), and CD4<sup>+</sup> T helper cells (which provide co-stimulation) [19–21]. IFN $\alpha/\beta$  is produced by pDCs upon TLR9 stimulation, while pDCs may also produce IL-1 $\alpha/\beta$  during TLR9-independent responses [20,21,25]. A complex picture emerges while attempting to identify innate response pathways in skeletal muscle that are critical for the induction of CD8<sup>+</sup> T cell responses to AAV encoded transgene product. At lower vector doses, disruption of a single innate signaling pathway substantially reduces the response, while two or more pathways must be blocked at higher vector doses, indicating redundancy in stimulating pathways (including sensing of IL-1, DNA, and dsRNA). Further, we show that alternative methods to TLR9 blockade (depletion of CpG motifs and inclusion of TLR9i sequences) can be combined. However, surprisingly, not every vector construct responded to the IL-1 blockade.

While optimal CD8<sup>+</sup> T cell activation appears to depend on multiple innate pathways to be intact at low vector doses, likely acting in concert, the ability to dampen CD8<sup>+</sup> T cell responses against the transgene product by avoiding or blocking innate signaling pathways is limited at high doses. While we experienced variability of OVA-specific CD8<sup>+</sup> T cell frequencies in WT mice between experiments, a vector dose of  $2 \times 10^{11}$  vg consistently resulted in a more rapid response that peaked at 2 weeks, while a ten-fold lower vector dose induced a response that peaked at 3 weeks. At the high dose, blockade of a single pathway had little effect, while blockade of 2 pathways typically reduced but did not entirely eliminate the response. Mice deficient in MyD88 or TBK-1, which are critical components of multiple signaling pathways, had low or no responses upon high-dose gene transfer, consistent with the notion that multiple innate signaling pathways contribute redundant activation signals. Although scAAV vector, in contrast to ssAAV, showed a substantially weaker response in TLR9<sup>-/-</sup> mice even at high vector dose, a residual CD8<sup>+</sup> T cell response indicates that additional activation signals exist regardless of the vector genome configuration.

Others have shown that AAV vectors may generate double-stranded RNA (dsRNA) upon transduction through inherent promoter activity in the inverted terminal repeats (ITRs) [51]. If

exported into the cytoplasm, this dsRNA could be sensed by MDA-5 and RIG-I, thereby inducing IFN I expression as was shown in hepatocytes. Whether these events link to adaptive immune responses is unknown. We find some evidence for involvement of dsRNA by the TLR3-TRIF RIG-I pathway in CD8<sup>+</sup> T cell activation upon muscle gene transfer. Contribution of the endosomal receptor TLR3, which is expressed by cDCs (but not pDCs), may not necessarily reflect expression from the AAV vector but uptake of dsRNA released by damaged cells, a known potent DAMP [52]. Other DAMPs not investigated here such as heat shock proteins may also play a role. It is possible that the IM route, which causes some level of tissue damage at the site of injection, increases the likelihood of generating DAMPs. Local antigen presentation plays an important role in B and T cell activation in skeletal muscle, as we have previously shown, and which prompted a limit on the dose per site of injection in a muscle-directed AAV gene therapy trial for hemophilia B [53–55].

Two innate/cytokine signaling pathways have previously been identified to link to CD8<sup>+</sup> T cell activation, namely TLR9>MyD88>IFN I>IFN $\alpha$ R [20,21] and IL-1 $\alpha$ , $\beta$ >IL-1R1>MyD88 [25]. In both cases the critical cytokine is expressed by pDCs. The IL-1R1 pathway was specifically identified as a requisite for TLR9 independent CD8<sup>+</sup> T cell responses against the transgene product at low dose gene transfer to liver, resulting in CD8<sup>+</sup> T cell in the hepatic microenvironment, while higher vector doses resulted in immune tolerance induction [46]. This contrasts with the more rapid and potent responses seen in muscle-directed gene transfer. Here, we provide multiple pieces of evidence for diminished CD8<sup>+</sup> T cell responses to high-dose muscle gene transfer if both TLR9 and IL-1 signaling are targeted. For example, addition of the TLR9i sequence io2 combined with IL-1 blockade (genetic or antibody-mediated) was effective, albeit could not entirely prevent the response. Curiously, the CpG depleted vector failed to be influenced by IL-1 blockade, at least at the high doses. Addition of io2 reduced the response to high dose CpG<sup>-</sup> vector in WT mice, which however could again not be further reduced by IL-1 blockade. There is no obvious reason why this construct induces CD8<sup>+</sup> T cell responses in an IL-1 independent fashion, a phenomenon that requires further study. It is possible that the combination of CMV enhance/EF1 $\alpha$  promoter in the CpG-depleted construct is more active in antigen presenting cells. However, this would not alleviate the need for activation signals or cytokine stimulation of DCs for T cell activation.

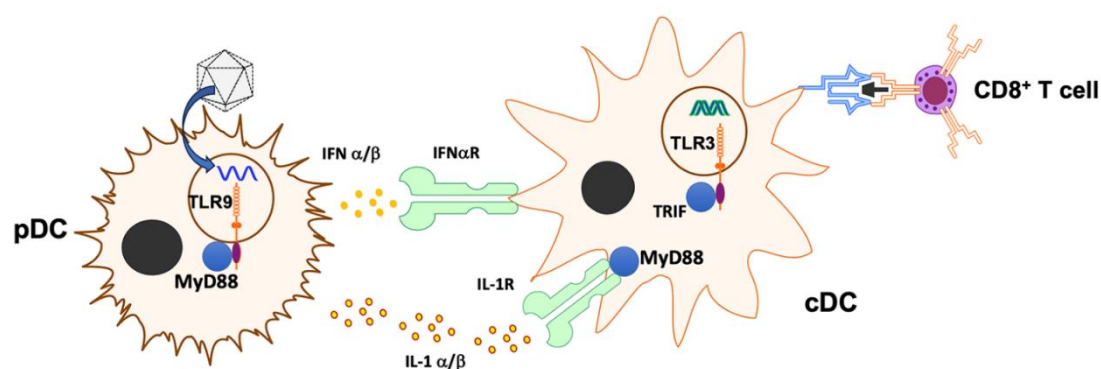
Importantly, our results demonstrate that CpG depletion and TLR9i approaches can be combined to reduce T cell responses. At high dose, ssAAV1-OVA-CpG<sup>+</sup>, ssAAV1-ova-CpG<sup>-</sup>, and ssAAV1-OVA-TLR9i vectors produced similarly potent CD8<sup>+</sup> T cell responses against OVA in WT mice. Success in reducing the response in WT mice injected with the high dose of the combination vector ssAAV1-CpG-OVA-io2, however, would not have been predicted based on the results in TLR9 deficient mice. This outcome raises the possibility that io2 has additional effects besides TLR9 inhibition, as has been speculated by others [33,56]. Although experiments in STING deficient mice did not reveal an effect of cytoplasmic DNA sensing, we cannot rule out a role for io2 in blocking a DNA or other innate sensor yet to be identified. It is possible that these knockout mice have skewed responses due to upregulation of other/compensatory pathways, as perhaps suggested by the somewhat increased or decreased responses at different vector doses relative to those in WT mice.

In previous studies, we found no evidence for TLR2 involvement in CD8<sup>+</sup> T cell responses to AAV capsid or transgene product in muscle or liver directed gene transfer and therefore did no further study this receptor here [20,25,35]. However, others found evidence for sensing of AAV capsid by TLR2 of human liver macrophages and developed a strategy to incorporate a TLR2 inhibitory peptide into the capsid [57]. As we cannot entirely rule out a role for innate sensing of capsid as another activation signal, this strategy might be tested in muscle gene transfer.

## 5. Conclusions

Our findings have multiple mechanistic and therapeutic implications. Intramuscular AAV vector administration triggers multiple innate immune pathways that promote CD8<sup>+</sup> T cell activation against the transgene product (Figure 7). Blockade on any of these weakens the T cell response at lower vector doses, while redundancy of activation signals requires targeting of at least 2 pathways at higher vector doses, supporting clinical observations that high vector doses pose a greater risk for

immunotoxicities. The IL-1R1 pathway recently identified to promote CD8<sup>+</sup> T cell responses in hepatic AAV gene transfer is also active in skeletal muscle, where it is similarly crucial as TLR9. This scenario is distinct from low-dose liver gene transfer, where IL-R1 deficiency but not TLR9 deficiency abrogates CD8<sup>+</sup> T cell activation against the transgene product [25]. In the context of muscle gene transfer, IL-R1 appears to also have a role in the transition of transgene product-specific CD8<sup>+</sup> T cells into a memory phenotype. The IL-R1 receptor has multiple ligands, and its regulation is complex. Hence, these roles may not only reflect sensing of IL-1 cytokines but, for example, also regulation by IL-1R antagonist.



**Figure 7.** Model depicting innate sensors, cytokines, and cell types involved in activation of CD8<sup>+</sup> T cell response following muscle gene transfer. The illustration depicts previously identified cells and molecules, and putative molecules identified in the present study.

High vector doses, efficient gene transfer, and strong promoters, among other factors, increase levels of the expressed antigen, which is expected to increase antigen presentation to T cells. In this study, we used an antigen that contains a strong CD8<sup>+</sup> T cell epitope. Which vector dose constitutes a high or low dose for immune responses varies for different transgene products and hosts, depending on the number and strength of T cell epitopes. For instance, while delivery of full-length dystrophin may provide superior muscle strength compared to micro-dystrophins, they also contain a larger set of potential T cell epitopes [58,59]. In a prior study on IM F9 gene transfer in hemophilia B mice, we found that CpG depleted vector (using the same enhancer/promoter elements as here) substantially reduced but again did not eliminate CD8<sup>+</sup> T cell responses (using a similar AAV1 vector dose as the high dose in this study with OVA transgene) [22]. Innate immunity triggered by AAV genomes with high CpG contents may cause diverse treatment complications. Examples include enhanced CD8<sup>+</sup> T cell responses to capsid, loss of F9 gene expression in AAV transduced livers of hemophilia B patients, or interference with neuronal structure and function upon CNS gene transfer as recently shown in a mouse model [20,22,29–32,38,60]. Our study supports that combination of CpG depletion and inclusion of TLR9i sequences could be further explored to minimize these effects.

The sum of our findings shows that, albeit helpful, it is difficult to entirely prevent destructive T cell responses in muscle gene transfer by targeting innate immune pathways. Additional vector engineering and immune modulation strategies should be employed. While use of muscle-specific promoters may be of limited use in this regard, inclusion of micro-RNA targets for elimination of the transgene's transcript in DCs may be helpful, for example [61–63]. Diseases with inflamed muscle, such as muscular dystrophies, are likely to supply additional tissue-derived DMAPs, necessitating additional immune suppression [13,64].

**Supplementary Materials:** The following supporting information can be downloaded at the website of this paper posted on Preprints.org.

**Author Contributions:** N.L. and S.R.P.K. designed and conducted experiments, analyzed the data, and helped with the manuscript writing. M.M.-M. produced the AAV vectors. S.A. and B.A.B. assisted with murine models. D. C., C.M.G. and K.Y. helped with the experiments. D.D. helped with experimental design and manuscript

writing. R.W.H. directed the study and wrote the manuscript. All authors have read and agreed to the published version of the manuscript.

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**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** The raw data supporting the conclusions of this article will be made available by the authors on reasonable request.

**Conflicts of Interest:** R.W.H. has been serving as member of scientific advisory boards for Pfizer and is recipient of research funding by Roche. D.D. is a member of the scientific advisory board for Solid Biosciences and an equity holder of Solid Biosciences, a member of the scientific advisory board for Sardocor Corp, and an inventor of several issued and filed patents on DMD gene therapy and AAV vectors. Other authors declare no conflicts of interest.

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