

1 Article

## 2 A Study on the Effect of the Outer Ring of Annular 3 Cooling Fan on the Aerodynamic Performance

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10

11 **Abstract:** We studied the effect of the structure parameters of engine annular cooling fan with  
12 outer ring on the aerodynamic performance by means of experiments and model simulation in  
13 fluent®. Firstly, based on the experiment, a computational model is developed to calculate and  
14 analyze the aerodynamic performance of the tested annular fan. The model is validated by  
15 comparing the test results with the calculated data. Besides, the aerodynamic performance  
16 differences between two types of fans (common fan without outer ring and annular fan with outer  
17 ring) are discussed. Based on the computational model, the relation between aerodynamic  
18 performance and the outer ring structure parameters are investigated. The results show that the  
19 relative parameter on the axial direction has great influence on the aerodynamic performance;  
20 while the effect of radial relative parameter is minor. In addition, the outer ring with arc chamfer  
21 structure in the downstream side can improve its static pressure efficiency effectively.

22 **Keywords:** annular cooling fan; outer ring; structure parameters; aerodynamic performance

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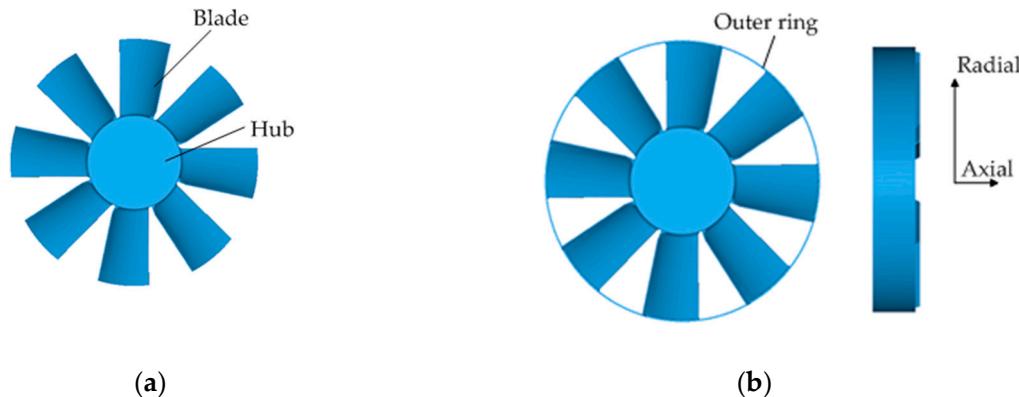
### 24 1. Introduction

25 The performance of engine cooling fan includes aerodynamic performance and aerodynamic  
26 noise[1]. And the former is the primary consideration for designers, it includes the flow rate, the  
27 static pressure, the fan power and the static pressure efficiency. In the premise of a constant flow  
28 rate, the static pressure efficiency is expressed as:

$$29 \eta = a \frac{qp_{sp}}{P}, \quad (1)$$

30 where  $\eta$  is the static pressure efficiency,  $q$  is the flow rate,  $p_{sp}$  is the static pressure,  $a$  is the  
31 coefficient, and  $P$  is the power of the fan. Equation (1) shows that the static pressure efficiency takes  
32 both static pressure and fan power into consideration which makes it the most important  
33 aerodynamic performance index. Furthermore, the static pressure efficiency is usually the objective  
of structure optimization [2-4].

34 For annular cooling fan, an outer ring is added around the blade tip as shown in Figure. 1b,  
35 which is different from the common cooling fan shown in Figure. 1(a).



**Figure 1.** Structure comparisons between (a) common fan without outer ring and (b) annular fan with outer ring

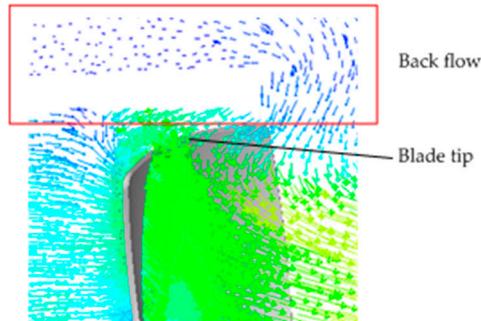
36        Annular fan is not widely applied in the engine cooling system due to the complex structure  
37 and high manufacturing cost. However, it has a salient advantage of having high static pressure and  
38 low energy consumption [5]. As the engine performance is strengthened, annular fan is receiving  
39 more and more interest. The usage rate of annular fan has been continually rising in heavy  
40 commercial vehicles, the flow friction and impulse impact losses are both reduced by the restriction  
41 of the outer ring. Therefore, the study of the engine cooling fan is mainly focused on annular fan.

42        The aerodynamic performances of an annular cooling fan are affected by many factors, which  
43 includes the whole diameter dimension, blade installing angle, blades number and hub ratio.  
44 Structure parameters of the outer ring will effect on aerodynamic performance in some way.  
45 However, the study on it is minor for annular fan.

46        We note that there are a number of international patents about annular fan in the field of  
47 vibrations and structural strength, but there are few papers to illustrate the design method of the  
48 outer ring in the field of aerodynamic performance optimization for annular cooling fan. Motivated  
49 at this problem, this paper aims to provide a systematic method to study the aerodynamic  
50 performance of an annular cooling fan. Specifically, our purpose is to investigate the effect of the  
51 outer ring and achieve some valuable conclusions for the outer ring design of the annular cooling  
52 fans. So this paper would facilitate the performance analyses and product development of annular  
53 cooling fan.

54        The main motivation of this paper is to present some proposals of outer ring design for annular  
55 cooling fan for the first time. All suggestions are obtained through a series of simulations and tests  
56 comparative analysis, and they are reliable and effective. The results will provide a certain  
57 engineering guiding in annular cooling fan design.

58        Generally, a common cooling fan is composed of a hub and blades. In the existing studies of  
59 structural optimization for cooling fan, researchers conducted a large number of studies on the hub  
60 ratio, and the aerodynamic performance is greatly improved [6-7]. However, many simulations in  
61 Fluent® have shown that the leakage locates on the tip of the blade is the main source of the  
62 aerodynamic performance loss for cooling fan [8]. It can be seen from Figure. 2 that an obvious  
63 backflow exists in the blade tip zone, which attributes to the blade tip leakage [9-10].



**Figure. 2.** Velocity contour profiles at one section of a cooling fan

64 For an axial flow machine, a clearance must be considered between the blade tip and the outer  
65 shell to ensure the relative motion for the two mechanical components. A pressure difference  
66 between the suction and the pressure surface is generated when the axial flow machine rotates for  
67 complex blade structure. In addition, the backflow is caused by the existence of blade tip clearance  
68 and the pressure difference [11]. The mixing flow on the blade surface is formed by the leakage  
69 vortex, and it brings axial aerodynamic performance degradation for the axial impeller machinery  
70 [12-15]. So, the tip leakage is one of the main sources of axial-flow energy loss. Furthermore, the tip  
71 leakage is the main source of aerodynamic noise [16-17]. It can be seen that the tip leakage has  
72 significant influence on the overall performance of the axial flow machinery.

73 The above discussions illustrate that the backflow caused by tip leakage is closely related to the  
74 reduction of the static pressure efficiency. The flow field of axial flow fan is very complicated. The  
75 backflow cannot be eliminated fundamentally, but can be relieved by structure optimization.

76 In Ref [18], in order to control the backflow and tip leakage, a rapid air injection device was  
77 assembled in the inlet section of a compressor. A drawback of this design of adding auxiliary device  
78 on the blades periphery is that it brings a higher production cost. However, this design method of  
79 adding an auxiliary device to improve the aerodynamic performance provided an optimization idea  
80 to restrain tip leakage for an axial cooling fan. In addition, it gave a push to the birth of the annular  
81 cooling fan.

82 The outer ring structure was evolved from winglet [19]. In the earliest studies, a winglet was  
83 added at the intermediate position of compressor blades to reduce the noise level [20-22]. By adding  
84 the winglet on the blades, the natural frequency was changed too [20-21]. Furthermore, the  
85 simulation results [5, 23] indicate that the aerodynamic performance was improved obviously.

86 Compared with the common axial fan, the annular fan has stronger pressure lift capacity, and it  
87 is initially used as a lift fan in aircraft. Many studies have been done on how to improve the  
88 aerodynamic performance of the fan mentioned above. For example, the inlet lip radius and diffuser  
89 angle were optimized multiple times to improve the lift efficiency of the fan [24].

90 The above literatures are all about compressors, and the engine cooling fans belong to the axial  
91 mechanical as well as compressors. The aerodynamic performance was improved by optimizing the  
92 structure of auxiliary equipment for annular fan [17, 25], but there were few studies on optimizing  
93 the structure of the fan itself. Based on the optimization methods in the Refs [18, 25], an instance  
94 annular automobile engine cooling fan is studied in this paper. The emphasis is put on studying the  
95 influence of the outer ring structure parameters on the aerodynamic performance for the annular  
96 cooling fan.

97 The organization of this paper is as follows: in section 2, based on the experiment condition of  
98 the annular fan, a computational model is developed in Fluent®. The data post-processing is used to  
99 analyze the aerodynamic performance of the target fan, including the flow rate, static pressure, fan  
100 power and static pressure efficiency. The model is validated by comparing the experimental data  
101 with the modeling results. Based on the computational model, some qualitative relationships are

102 investigated between aerodynamic performance and the outer ring structure parameters. In section  
 103 3, three kinds of structure parameters of the outer ring are presented. In section 4, all annular cooling  
 104 fans that change the corresponding structure parameters are simulated and discussed.. The design  
 105 method of the outer ring is presented and validated in this section. Conclusions are provided in  
 106 section 5.

107 **2. Methods**

108 During the structure optimization process of fluid machinery, the application of Fluent® is able  
 109 to improve the efficiency in investigating a new cooling fan. The simulation model adopt in Fluent®  
 110 is the key process for the cooling fan structure optimization. To guarantee a good accuracy of the  
 111 simulation model, the simulation results results should be benchmarked based on the test results.

112 *2.1. Experiment Setup and Evaluation Indexes*

113 As aforementioned, there are three aerodynamic performance evaluation indexes for cooling  
 114 fan, i. e. static pressure, fan power and static pressure efficiency.

115 Based on Ref. [1], an aerodynamic performance test bench for the cooling fan is set up, and the  
 116 schematic diagram is shown in Figure. 3. The test bench mainly consists of three parts: the air-flow  
 117 pipe, the mechanical transmission and the test control board.

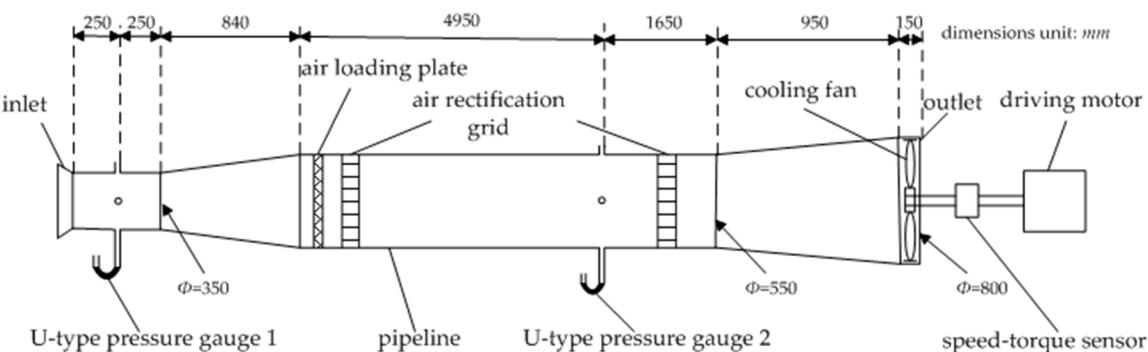


Figure. 3. The schematic of fan aerodynamic performance test bench

118 The pipeline has both inlet and outlet space. Both the upstream side of the inlet space and the  
 119 downstream side of the outlet space are connected to the air, which can be treated as 0 Pa (relative  
 120 value) space. The mechanical transmission section locates at the downstream side of the outlet, and  
 121 the hub of the cooling fan is connected to the driving motor through the speed-torque sensor. The  
 122 data of rotating speed ( $n$ ) and torque ( $T$ ) of the cooling fan can be measured instantly by the sensor.  
 123 The flow rate ( $q$ ) in the pipeline can be set optionally by changing different air loading plates, which  
 124 have different flow holes sizes within them. Two U-type pressure gauges are installed in different  
 125 locations along the flow direction. The static pressure at the inlet ( $p_{si}$ ) and monitoring section ( $p_{so}$ ) can  
 126 be both measured by the tow gauges. The photograph of the test bench is shown in Figure. 4.



**Figure 4.** The photograph of fan aerodynamic performance test bench

127      Based on the working principle of the test bench, the flow rate mentioned in this paper means  
 128      the volume of external air-flow that flows through the cooling fan per unit of time. it can be  
 129      calculated by the static pressure measured by the U-type pressure gauges 1, which can be expressed  
 130      as:

$$q = \alpha_e \frac{\pi d^2}{4} \sqrt{\frac{2|p_{si}|}{\rho}}, \quad (2)$$

131      where  $\alpha_e$  is the air flow coefficient number, and it approximately equals 0.96 in this paper [1];  $d$   
 132      is the diameter of the duct section where U-type pressure gauge (pitot tube) locates at the inlet side;  
 133       $p_{si}$  is the static pressure at the same section, which is measured by the gauge 1 as shown in Figure. 3;  
 134      and  $\rho$  is the air density.

135      The total pressure ( $p_{tp}$ ) of the air at a certain section of the bench is composed of static pressure ( $p_{sp}$ )  
 136      and dynamic pressure ( $p_{dp}$ ). The total pressure  $p_{tp}$  can be measured by the pitot tube, so do the  $p_{sp}$ ,  
 137      which is generated from pressure perpendicular to the duct wall. Based on the above description,  $p_{dp}$   
 138      can be calculated which is the difference between  $p_{tp}$  and  $p_{sp}$ . The total pressure of the cooling fan is  
 139      defined by the total pressure difference between the section of the outlet and inlet, which can be  
 140      expressed as:

$$p_{tp} = (p_{sp2} + p_{dp2}) - (p_{sp1} + p_{dp1}), \quad (3)$$

141      The static pressure of cooling fan is defined by the difference between the total pressure and the  
 142      dynamic pressure at the outlet section, which can be expressed as:

$$p_{sp} = p_{tp} - p_{dp2} = p_{sp2} - (p_{sp1} + p_{dp1}), \quad (4)$$

143      Because of the complex flow-field of bench ducts, the pressure loss ( $\Delta p$ ) is composed of frictional  
 144      resistance loss ( $\Delta p_f$ ) and local pressure loss ( $\Delta p_l$ ), based on the test experience [1], the  $\Delta p$  can be  
 145      expressed as:

$$\Delta p = \Delta p_f + \Delta p_l = \frac{p_{dp2}}{10}, \quad (5)$$

146      Based on (3)-(5), the static pressure of the fan can be expressed as:

$$p_{sp} = p_{sp2} - (p_{sp1} + p_{dp1} - \Delta p) = -p_{sp1} - \frac{1}{2} \rho \left( \frac{q}{A_1} \right)^2 + \frac{p_{dp2}}{10}, \quad (6)$$

147      In all above equations, the subscripts 1 and 2 represent the inlet and the outlet section.  
 148      Furthermore,  $A_1$  is the area of the monitoring section where U-type pressure gauge 2 locates.

149 By the transfer pass of energy in the air duct, the fan power ( $P$ ) consists of fan power loss ( $P_p$ ) and  
 150 effective fan power ( $P_{esp}$ ). The fan power of the fan is equal to the energy transmitted from the  
 151 driving motor, which can be expressed as:

$$P = \frac{Tn}{9550}, \quad (7)$$

152 Here,  $T$  is the output torque of the driving motor,  $n$  is the rotating speed of the cooling fan.  
 153 These values are all measured by the speed-torque sensor as shown in Figure. 3.

154 The effective fan power is equal to the energy absorbed by air when it flows through the  
 155 rotating fan during per unit time, which can be expressed as:

$$P_{esp} = \frac{qp_{sp}}{1000}, \quad (8)$$

156 *2.2. Simulation Model*

157 As shown in Figure. 1, the 3D cooling fan model is established, and the fan-hub is simplified  
 158 Based on the construction of the test bench, the simulation model is developed by Fluent®, as shown  
 159 in Figure. 5. During the simulation, the input flow rate can be set arbitrarily based on the actual test  
 160 condition. Besides, the static pressure can be obtained directly by the monitoring section data. In  
 161 addition, a rotating fluid zone with suitable size is developed. The outlet downstream area is  
 162 directly connected to the air, so a cylindrical duct with the diameter and length of 4m is developed.  
 163 Some transition regions are established to smooth every flow zone along the direction from the inlet  
 164 to the outlet.

165 In order to improve the computational accuracy and reduce the grid number, the tetrahedral  
 166 grids are employed in the rotating fluid and transition zones, and the hexahedral grids are employed  
 167 in the inlet and outlet flow duct. In addition, these two different types of grids can be used  
 168 synthetically to meet actual calculation requirements.

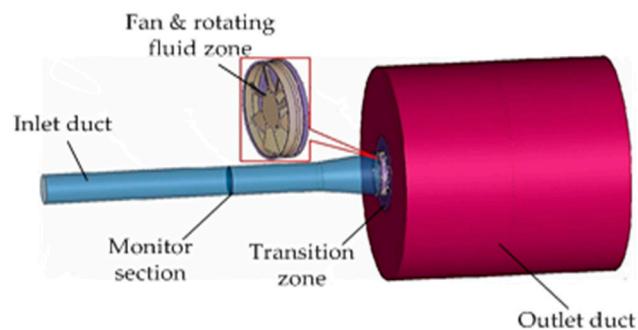


Figure. 5. The computational model

169 *2.2.1. Grid Independent Validation*

170 Under a steady state numerical calculation, the grid independence is necessary to be verified.  
 171 Four grid plans are presented in Table 1.

Table 1. Results of grid independent validation

Plan serial	Grid	Grid	Volume flow rate	Rotating speed	Static pressure
-------------	------	------	------------------	----------------	-----------------

number	size	number	(m <sup>3</sup> /s)	(rpm)	(Pa)
1	10	924,573	2.81	1500	654
2	8	1,276,384	2.81	1500	699
3	6	1,713,261	2.81	1500	685
4	4	2,238,734	2.81	1500	689

172      **Note:** The rotating fluid zone where the cooling fan locates is the most critical zone for the computational  
 173      model as shown in Figure. 5. Therefore, the grid size mentioned in the table denotes the wall size of the rotating  
 174      fluid zone; and the grid number denotes the number of grid in the same zones.

175  
 176      In this part, the static pressure is used to be the evaluation index to determine which plan is finally to be adopted. The calculation is implemented with the flow rate is 2.81m<sup>3</sup>/s. The static  
 177      pressure value differences of the four plans are less than 2%. In order to reduce the computational  
 178      burden, the Plan 2 with the fewest grids is considered in this paper.  
 179

180      2.2.2. Simulation Algorithm Selection

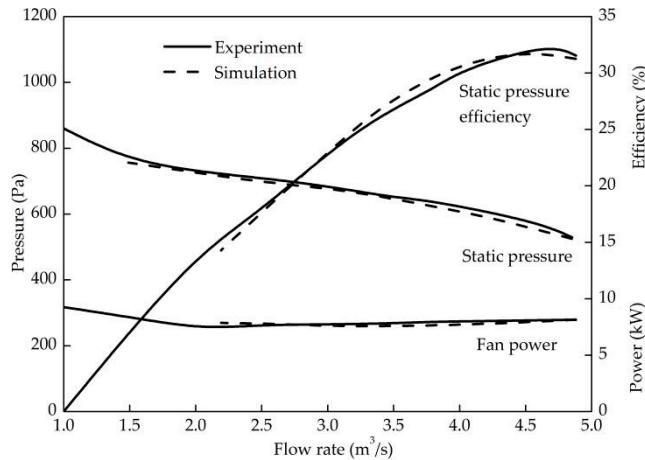
181      The multi-reference frame method (MRF) is a robust algorithm, which is computationally efficient  
 182      with acceptable accuracy. Therefore, the MRF method is adopted to calculate the aerodynamic  
 183      performance of the cooling fan in this paper. The flow rate loading panel is used to change the flow  
 184      rate in the pipeline. Therefore, the inlet section is set as the mass-flow inlet boundary, where the  
 185      mass flow can be changed arbitrarily to meet the need of the simulation. The outlet section is set as  
 186      the pressure outlet boundary and the static pressure monitoring section is set as the interior  
 187      boundary.

188      Furthermore, the fan blade surface is set as the rotating wall boundary. The remaining surfaces are  
 189      set as stationary wall boundaries.

190      The airflow condition in the test pipeline belongs to low-speed flow (the Mach number is less than  
 191      0.3). Therefore, the air in the flow field is considered as in-compressible medium. The SIMPLE  
 192      algorithm is used in the pressure-velocity coupling equations and the RNG k- $\epsilon$  model is used in this  
 193      model. Besides, the wall function method is used to implement numerical iterative in near wall area.  
 194      The separation formula solution is used for the solver setup. When the flow rate difference between  
 195      the inlet flow and the outlet flow is less than 0.5%, the calculation result is considered to be  
 196      convergent.

197  
 198      2.3. Model Verification

199      In this section, a common engine cooling fan without outer ring is selected as an example for  
 200      demonstrations. It has a diameter of 760mm and 8 blades which are equidistantly distributed (as  
 201      shown in Figure. 1a). Based on the actual condition, the fan rotating speed is set to 1500 r/min. The  
 202      cooling fan rarely works within a low flow rate region. Therefore, the middle and high flow rate  
 203      regions in steady operating condition are considered in this paper. Comparisons between the  
 204      simulation and experiment data are shown in Figure. 6.

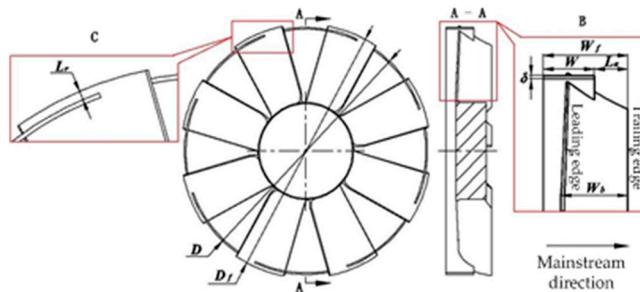


**Figure. 6.** Aerodynamic performance comparisons between experiment and simulation results

205 As shown in Figure. 6, the static pressure of the cooling fan decreases with increases of flow rate.  
 206 The fan power changes slightly with increases of flow rate. Besides, the static pressure efficiency  
 207 firstly increases and then decreases with the increases of flow rate. In addition, it has the same  
 208 tendency as the static pressure efficiency. Furthermore, the difference becomes larger as the flow  
 209 increases. It can be found from Figure. 6 that the errors are all less than 10% between the simulation  
 210 and experiment results. Therefore, the simulation model can be used to predict the aerodynamic  
 211 performance of the cooling fan.

### 212 3. Structure and Parameters of Outer Ring

213 The external physical characteristics of annular fan with outer ring are shown in Figure. 7.  
 214 Three dimension structure parameters about the outer ring will be described in this section: the axial  
 215 projection width ( $W$ ), the diameter ( $D$ ) and its shape.



**Figure. 7.** Structure parameters of annular fan with outer ring

#### 216 3.1. Axial Structure Parameter

217 First of all, all axial lengths in this paper are projected along the airflow direction.  $i$  is a custom  
 218 axial structure parameter being discussed in this paper that is termed as "aperture opening ratio",  
 219 and it can be expressed as:

$$i = \frac{W_f - W}{W_b} \times 100\%, \quad (9)$$

220 In Figure. 7,  $W_f$  is the total axial length of the annular cooling fan,  $W$  is the width of the outer  
 221 ring, and  $W_b$  is the axial length of the fan blade.

222 Furthermore, based on the parts assembly requirements, a certain distance must be kept  
 223 between the front-edge-wall of the outer ring and the blade trailing edge. Therefore,  $i$  is always larger  
 224 than zero.

225 *3.2. Radial Structure Parameter*

226 As aforementioned, the winglet that is added on fan blades can change the inherent frequency  
 227 of the fan by changing its radial installation position. The outer ring is evolved from it to improve the  
 228 aerodynamic performance in this paper. Therefore,  $L_r$  is another custom radial structure parameter  
 229 termed as "overhang length" in this paper, which is expressed as:

$$L_r = \frac{D_f - D}{2}, \quad (10)$$

230 Based on Figure. 7,  $D_f$  is the diameter of the fan blade, and  $D$  is the external diameter of the outer  
 231 ring. In addition that the outer ring is connected to the fan blade, and  $L_r$  is nonnegative.

232 *3.3. Shape*

233 The flow state can be changed or even improved by setting flared structure for the duct flow  
 234 field. Based on the flow direction, the flared structure is divided into forward and reverse directions.  
 235 As shown in Figure. 8, based on the flat ring structure (shape 3), arc chamfer is performed on each  
 236 side of the outer ring respectively to study the influence of different shapes of the outer ring on the  
 237 aerodynamic performance.

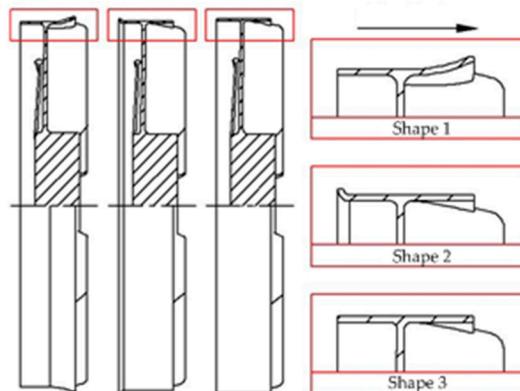


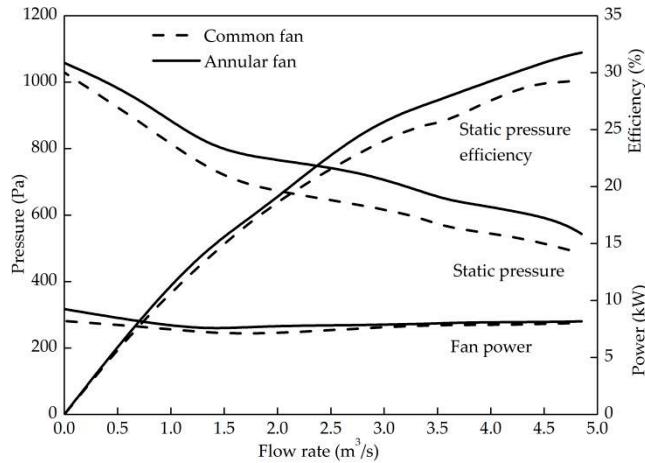
Figure. 8. Outer ring with 3 different shapes

238 All the structural parameters of the outer ring have been discussed. Furthermore, two fans are  
 239 shown in Figure. 1 are taken as examples to be calculated to achieve the following goal: improving  
 240 the aerodynamic performance by the design of adding the outer ring to the common fan.

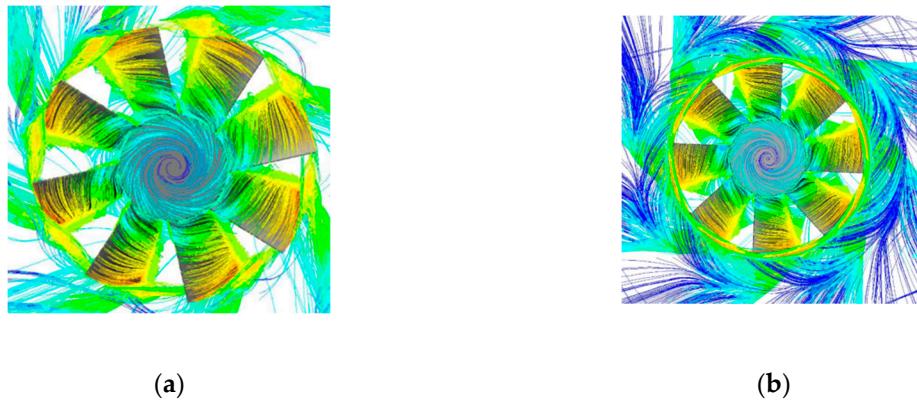
241 *3.3. Aerodynamic Performance Comparison for 2 Kinds of cooling fan*

242 Both common and annular cooling fans are calculated by the model described in section 2, and  
 243 the calculation results are shown in Figure. 9.

244 Based on Figure. 9, it can be found that the aerodynamic performance of the annular fan with  
 245 outer ring is obviously better than that of the common one without outer ring except for the fan  
 246 power. The fan power loss of the annular cooling fan increases due to the added weight of the outer  
 247 ring. However, the fan power changes so slight that the change can be neglected. The air will gather  
 248 around the fan for the outer ring when it flows through the pipeline (as shown in Figure. 10). Hence,  
 249 it can reduce the energy consumption along the air flow path.

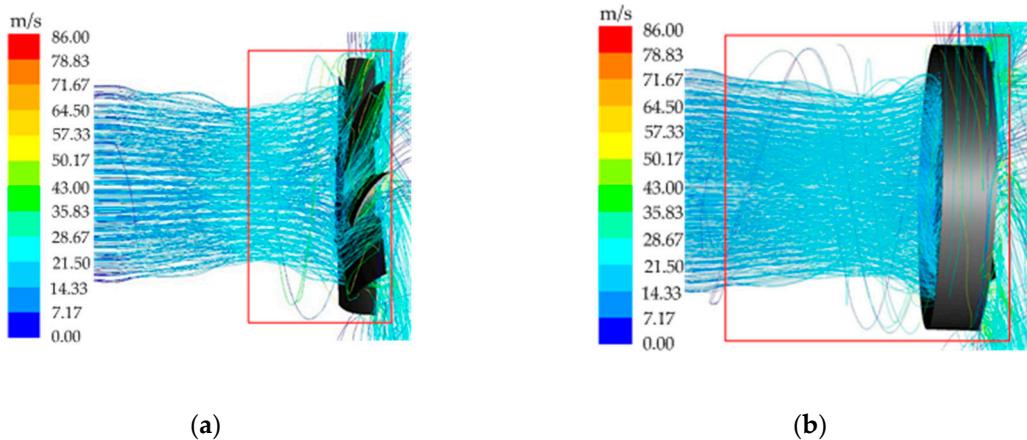


**Figure. 9.** Aerodynamic performance comparisons for common fan without outer ring and annular fan with outer ring



**Figure. 10.** Velocity contour profiles comparisons for (a) common fan without outer ring and (b) annular fan with outer ring (radial direction)

250 The outer ring improves the aerodynamic performance, and the change of the air flow contour  
 251 profiles in the backflow zone will be observed along the axial direction. The backflow zones are  
 252 marked by the red box as shown in Figure. 11.



**Figure. 11.** Velocity contour profiles comparisons for (a) common fan without outer ring and (b) annular fan with outer ring (axial direction)

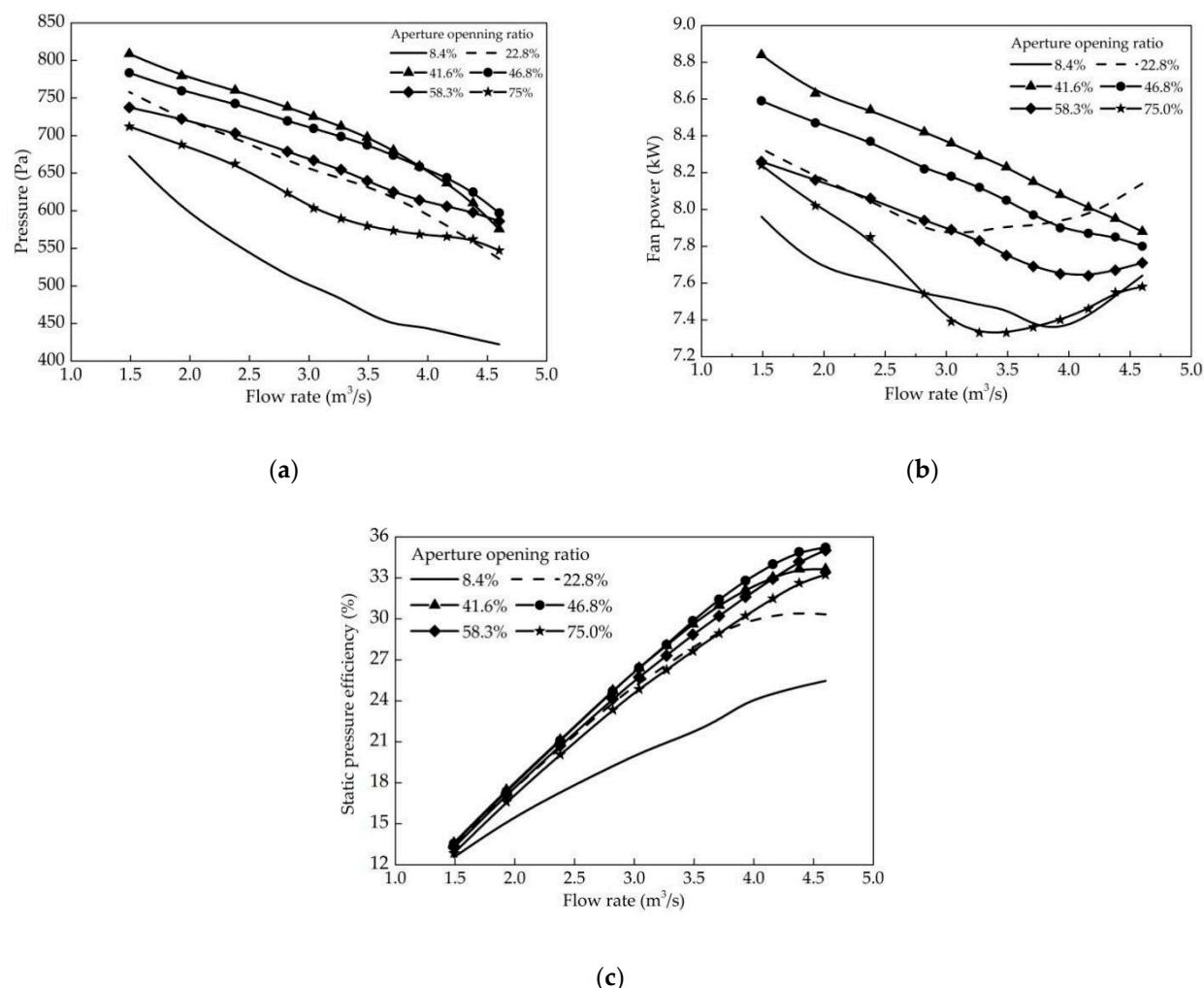
253 Based on the distribution of the velocity contour profiles, there exist backflow area for both  
 254 without and with outer ring cooling fans. Furthermore, it is larger for the fan with outer ring.  
 255 However, the velocity value of backflow is a larger for the fan without outer ring. As a result, the  
 256 structure of outer ring can guide the backflow forcibly and reduce the back flow degree. The static  
 257 efficiency of an annular fan is improved spontaneously.

258 **4. Discussion**

259 In above section, the structure parameters of the outer ring have been detailed defined. In this  
 260 section, the influence of the structure parameters on the aerodynamic performance of the outer ring  
 261 are analyzed one by one. Furthermore, some design laws of the outer ring with certain guide  
 262 significance can be found in the last part of this section.

263 *4.1. Effects of the Axial Structure Parameters*

264 Based on the discussions in above sections, the aperture opening ratio  $i$  is defined as the axial  
 265 structure parameters of the outer ring to be studied. In this section. Six annular fans with arbitrary  
 266 aperture opening ratios are calculated, and results are shown in Figure. 12.

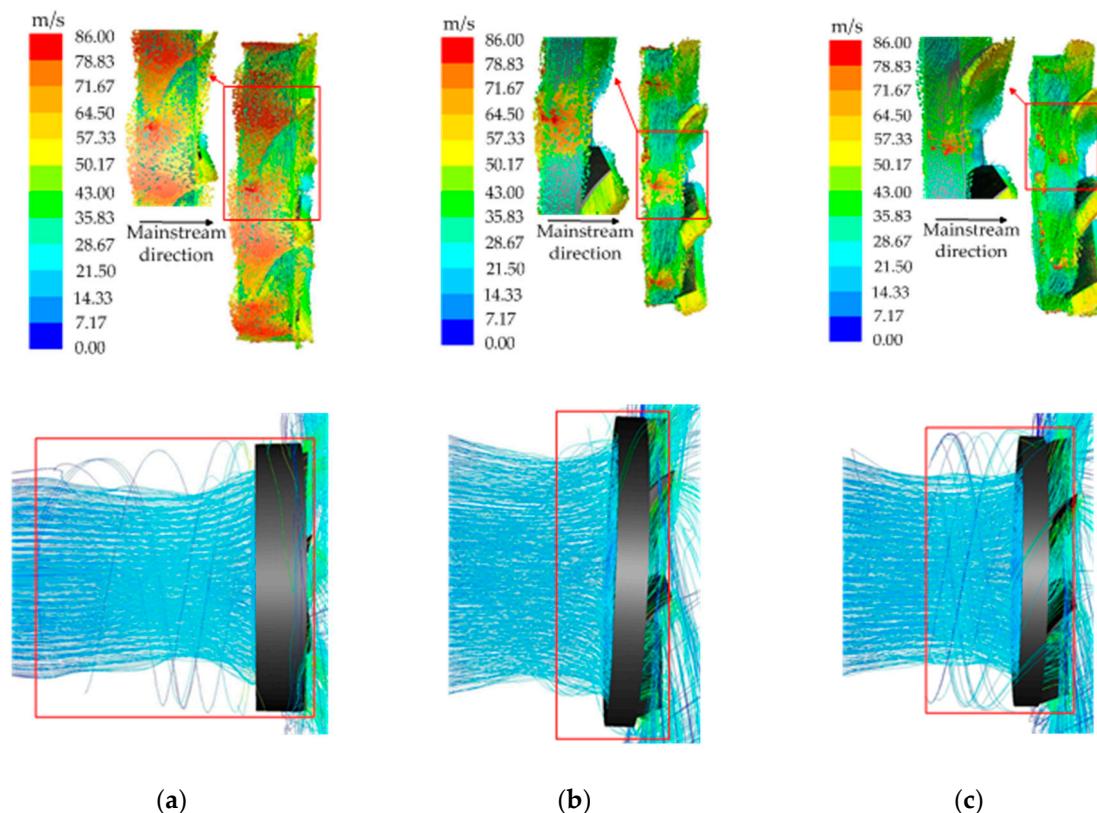


**Figure. 12.** Aerodynamic performance curves of (a) static pressure (b) fan power and (c) static pressure efficiency of annular fan with outer ring with different aperture opening ratios

267 It can be found from Figure. 12 that annular cooling fans with different  $i$  have different  
 268 aerodynamic performances. The value of static pressure efficiency is the lowest when  $i=8.4\%$ . With  
 269 the increases of  $i$ , the static pressure efficiency also increases. When the opening rate is 46.8%, the

270 efficiency reaches the top, after which, it decreases with the increases of  $i$ . This phenomenon will  
 271 provide a good guidance for the annular cooling fan design.

272 As discussed in section 1, the back flow can disturb the air flowing direction and result in  
 273 efficiency decrease of cooling fan. In Figure 13, the back-flow area of three annular cooling fans with  
 274 different aperture opening ratio outer rings are compared. The backflow area value is the smallest  
 275 when  $i=46.8\%$ , and it has the optimal aerodynamic performance, which is in accords with the change  
 276 tendency as shown in Figure. 12.

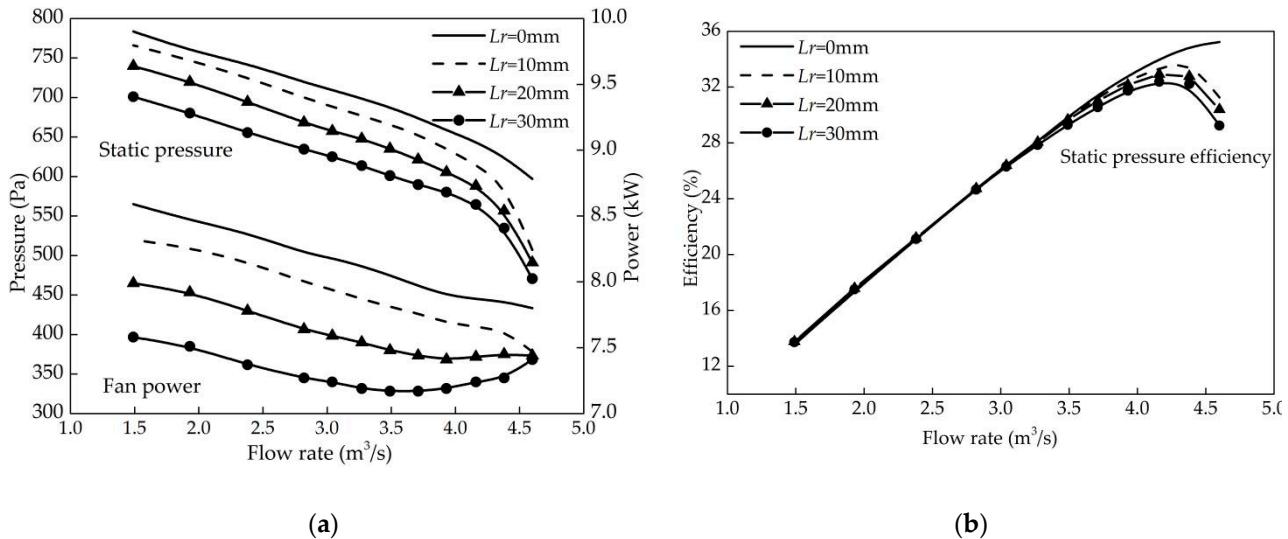


**Figure. 13.** Velocity contour profiles comparisons for annular fans with different aperture opening ratio outer rings: (a)  $i=8.4\%$  (b)  $i=4.8\%$  and (c)  $i=75.0\%$

277 It can be found that the aperture opening ratio of the outer ring has a great effect on the  
 278 aerodynamic performance. However, they are not simply positively or negatively related. Combing  
 279 the primary evaluation index of static pressure efficiency, we infer that 41.6% to 46.8% is the optimal  
 280 aperture opening ratio range for the annular cooling fan.

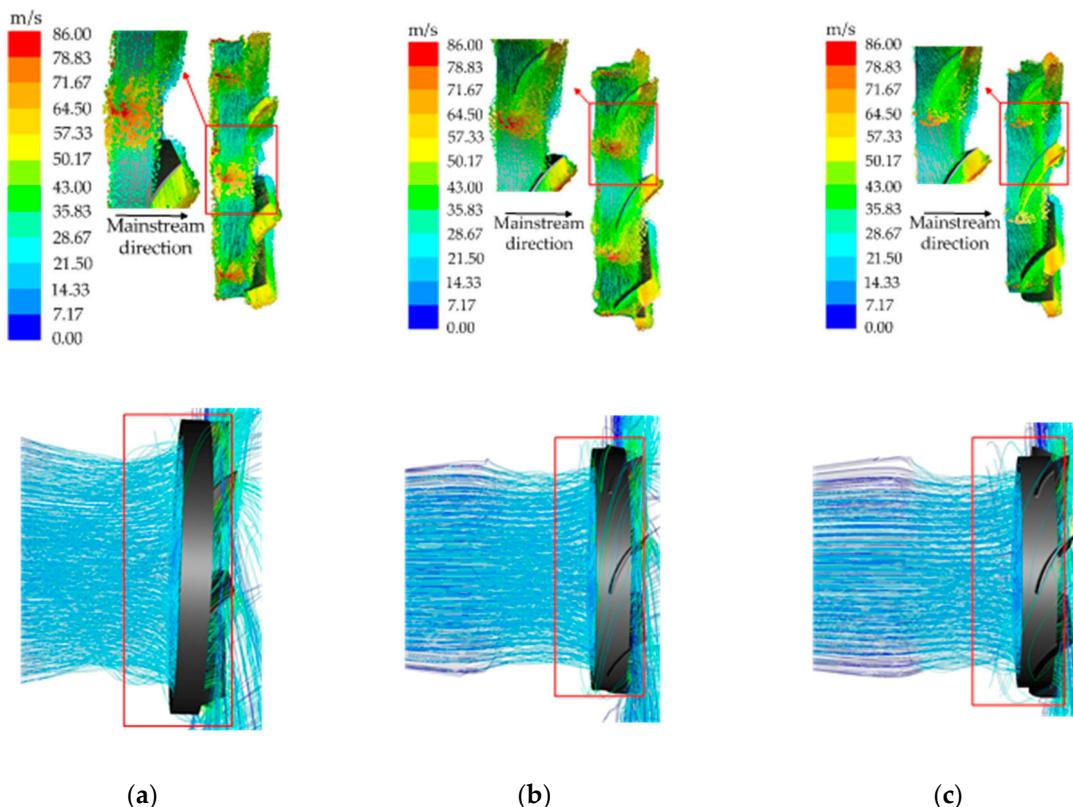
#### 281 4.2. Effects of the Radial Structure Parameters

282 As mentioned in section 3,  $L_r$  is defined as the radial relative parameter of the outer ring. Based  
 283 on the above discussions, an annular fan with the aperture opening ratio of 46.8% outer ring is  
 284 adopted for the investigation in this section. Four simulations of annular fans with different radial  
 285 blade extensions ( $L_r=0, 10, 20, 30$  mm) are carried out. The results are shown in Figure. 14.



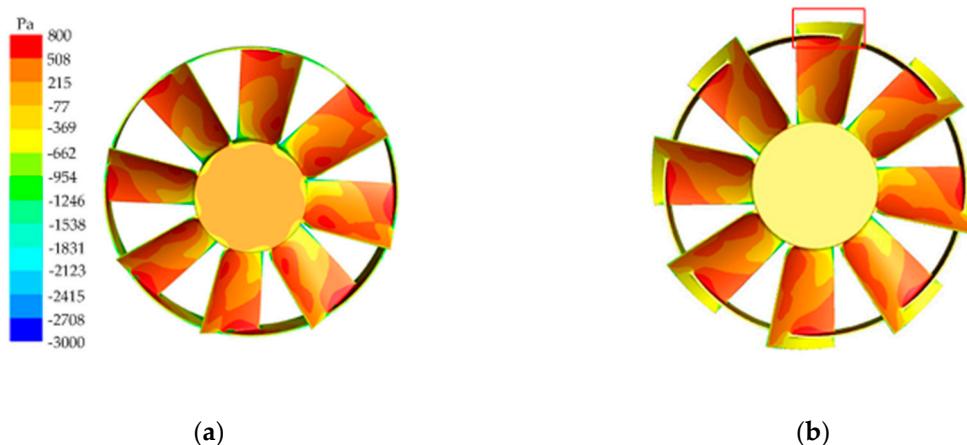
**Figure. 14.** Aerodynamic performances comparisons for annular fans with with 4 different radial blade extension outer rings: (a) static pressure & Fan power, (b) static pressure efficiency

286     Based on Figure. 14, we can find that: 1) both the static pressure and fan power decrease with  
 287     the increase of  $L_r$  under the same flow rate, 2) the static pressure efficiency in the high flow rate  
 288     region also decreases with the increase of  $L_r$ . However, in the middle-low flow rate region, the static  
 289     pressure efficiency does not change obviously. Therefore, it comes to a conclusion that the radial  
 290     blade extension has a slight effect on the static pressure efficiency. The same flow field analysis  
 291     method is used in this section, as shown in Figure. 15, to illustrate the effect of this parameter.



**Figure. 15.** Velocity contour profiles comparisons for annular fans with 3 different radial blade extension outer rings : (a)  $L_r=0mm$ , (b)  $L_r=10mm$ , (c)  $L_r=30mm$

292 As shown in Figure. 15, there are obvious back flow path lines around the outer ring except the  
 293 upstream region if  $Lr$  is nonzero. In addition, the area of this region and velocity value of backflow  
 294 both increase with the increase of  $Lr$ . A question then comes up, why is there back flow around the  
 295 outer ring after extending the blade length beyond the outer ring?



**Figure. 16.** Static pressure contour profiles comparisons for annular fan with 2 different radial blade extension outer rings: (a)  $Lr=0\text{mm}$ , (b)  $Lr=30\text{mm}$

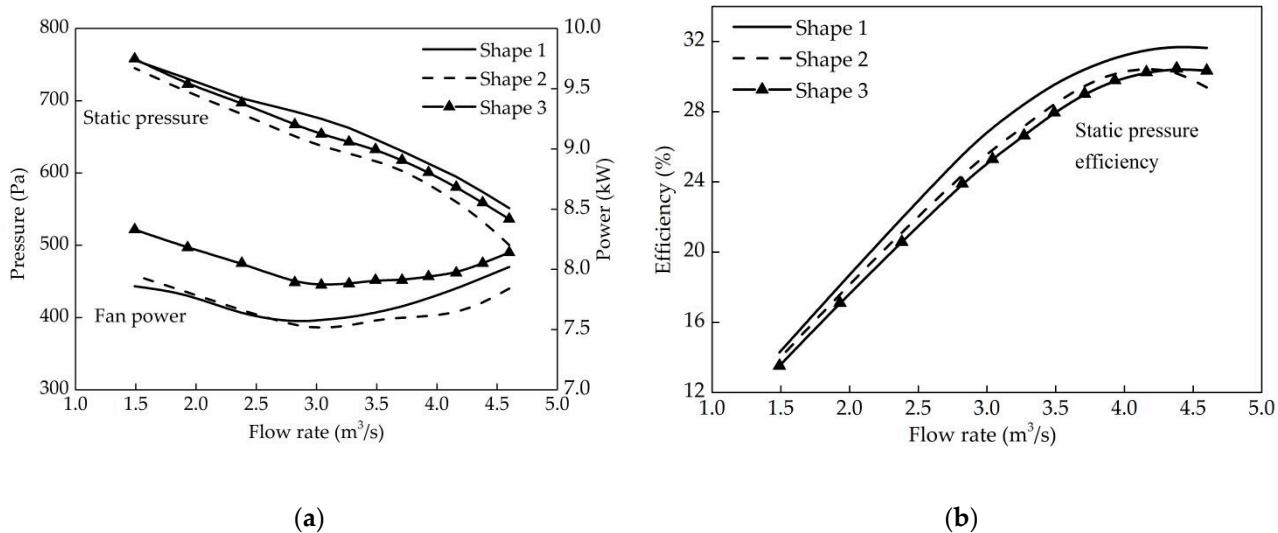
296 As shown in Figure. 16, the static pressure contour profiles on blades of the 2 fans are similar,  
 297 but negative pressure region is observed on the blade tip marked in Figure. 16(b). The region  
 298 mentioned above is produced for the complex blade shape and high rotating speed. However, the  
 299 pressure value of this region is lower than the inside of the outer ring. Therefore, the answer is that a  
 300 quantifiable pressure difference is produced here that leads to another back flow around the outer  
 301 ring as shown in Figure. 15(b) and (c). In conclusion, lower static pressure efficiency is caused by  
 302 these reasons.

303 It should be pointed that: during the research process, the cooling fan diameter remains  
 304 unchanged, and because the blade extension length changes as well as the diameter of the outer ring  
 305 changes. In addition, another conclusion can be drawn: if the radial blade extension is far smaller  
 306 than the fan diameter, the mainstream would distribute in the interior zone of the outer ring.  
 307 Furthermore, if the other fan parameters are kept constant, the annular fan has a worse aerodynamic  
 308 performance with a smaller outer ring diameter. Based on the similarity law of fan [1], the static  
 309 pressure and fan power decrease as the ring diameter decreases at the same flow rate. However, the  
 310 static efficiency of the fan does not change.

### 311 4.3. Effects of the Outer Ring Shapes

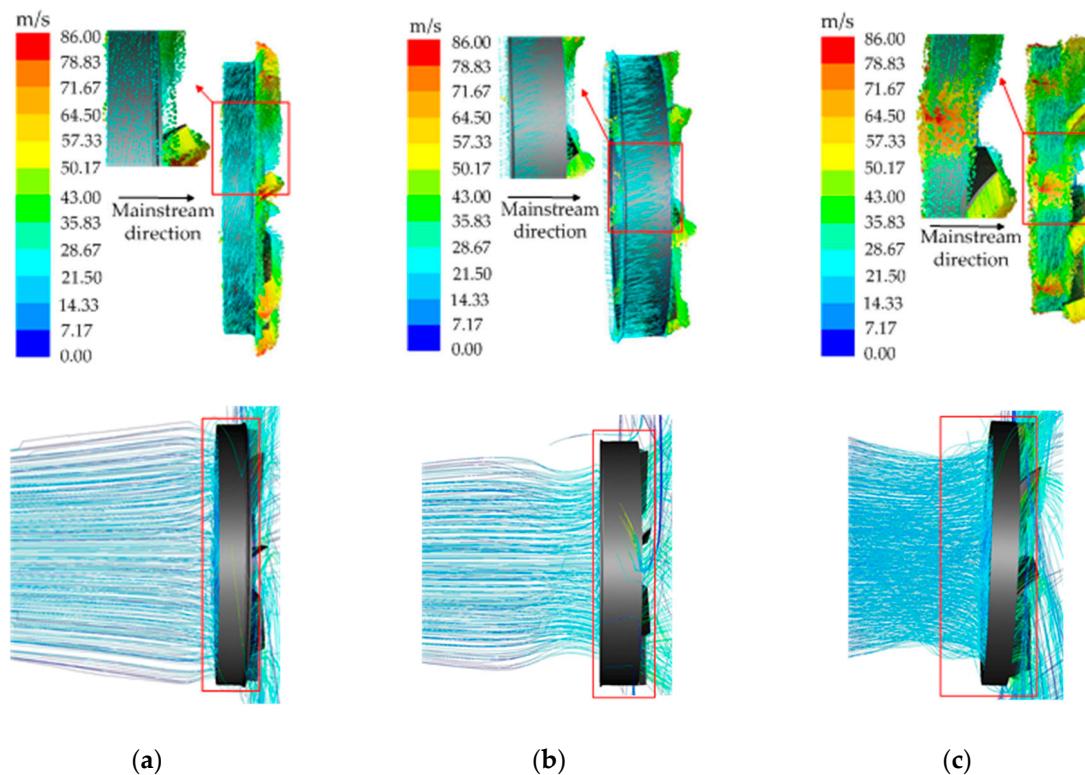
312 As shown in Figure. 8, shapes of outer ring can be categorized into non-chamfer shape (shape 3)  
 313 and chamfer shapes (shape 1 and 2). The chamfer shapes are categorized into front-edge-wall  
 314 (upstream of the airflow) chamfer (shape 2) and rear-edge-wall (downstream of the airflow) chamfer  
 315 (shape 1). Effects on aerodynamic performance for annular fan with 3 different outer ring shapes are  
 316 studied in this section.

317 Figure. 17 shows that the annular fan with shape 1 outer ring has the maximum static pressure  
 318 and static pressure efficiency. The annular fan with shape 2 outer ring has the minimum static  
 319 pressure and fan power. The annular fan with shape 3 outer ring has the largest fan power, but has  
 320 the lowest static pressure efficiency.



**Figure. 17.** Comparisons of aerodynamic performance for annular fan with outer ring with 3 different outer ring shapes:  
(a) static pressure & Fan power, (b) static pressure efficiency

321 Based on the velocity contour profiles of annular fans with three different shape outer rings (as  
322 shown in Figure. 18), backflow is produced in all flow fields within 3 different fans. The area values  
323 of backflow are similar to each other. But the velocity values of backflow are markedly different. For  
324 annular fan with shape 2 outer ring, the backflow area is smallest. But the velocity value is smallest  
325 for annular fan with shape 1 outer ring. And the air would be smoothed furthest when it flows  
326 through the front-edge fillet structure, which can reduce the flow loss along the flow path.  
327 Therefore, a proper fillet structure can improve the aerodynamic performance for the annular  
328 cooling fan.



**Figure. 18.** Velocity contour profiles comparisons for annular fan with 3 different shape outer rings: (a) shape 1  
(b) shape 2, (c) shape 3

## 329 4.4. Final Design Application

330 In this section, the correctness of the design method for the outer ring is validated by test. As  
 331 shown in Figure. 19, three cooling fans are selected for the test on the aerodynamic performance test  
 332 bench. The three fans have the same diameter and blade profile as mentioned in previous sections.  
 333 Fan (a) is a common cooling fan without outer ring. Interim annular cooling fan (b) with outer ring  
 334 has aperture opening rate of 8.4%, the radial extension length of the blade is 0mm, and the arc  
 335 chamfer structure is designed at the downstream side. The final annular fan (c) with outer ring has  
 336 the same structure parameters as fan (b) but the aperture opening ratio is 46.8%. Three designed  
 337 physical fans are shown in Figure. 19.

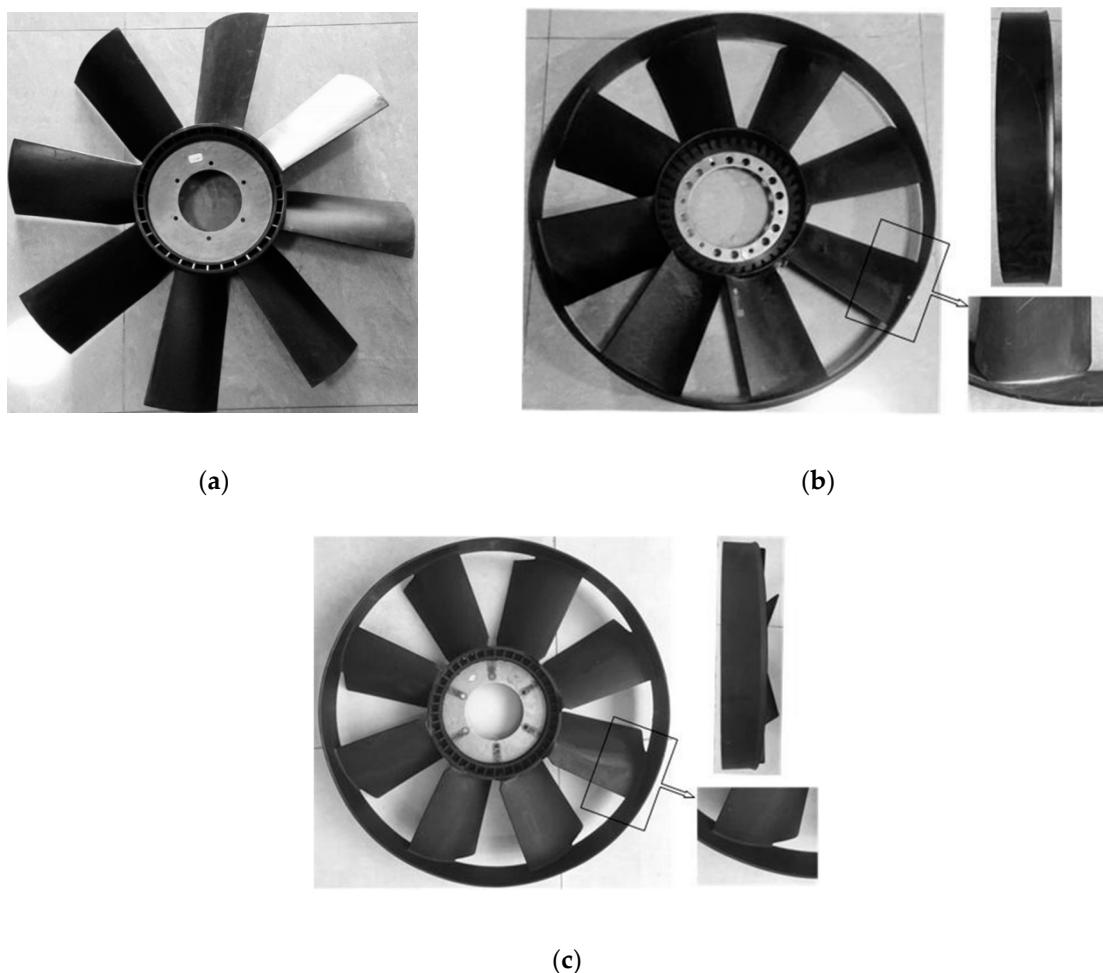
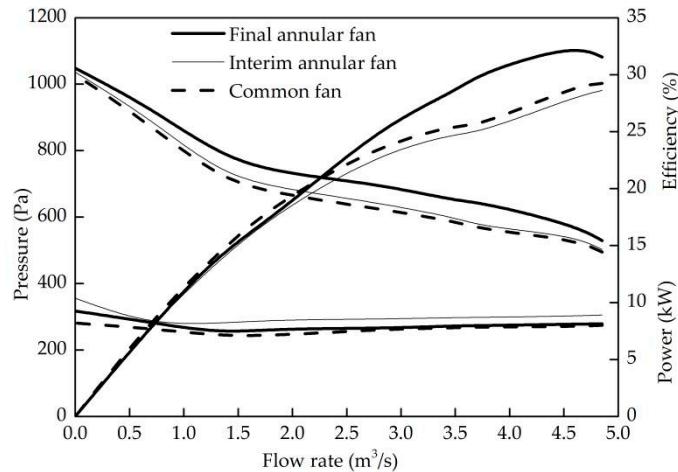


Figure. 19. Structure comparisons of 3 different cooling fans: (a) common fan without outer ring (b) interim fan with outer ring and  $i=8.4\%$ ,  $Lr=0\text{mm}$  (c) final annular cooling fan with outer ring and  $i=46.8\%$ ,  $Lr=0\text{mm}$

338 All three fans are tested on the test pipeline bench and the test data are obtained to be  
 339 compared. From Figure. 20, it can be found that both the static pressure and the fan power are  
 340 significantly increased after adding the outer ring. At the flow rate of  $3.8\text{m}^3/\text{s}$ , the static pressure  
 341 increases with a degrees of 15.4% and 9.6% for the final annular fan and the interim fan, respectively.  
 342 However, the static pressure efficiency for the interim cooling fan is lower than the common fan at  
 343 all flow rates, and the largest decline of it reaches 6.1% at the flow rate of  $2.9\text{ m}^3/\text{s}$ . The main reason of  
 344 it is that the outer ring has a wider axial projection width and a larger back-flow area would be  
 345 produced based on the pattern shown in Figure. 13.

346 In conclusion, based on the evaluation index of static pressure, the aerodynamic performance of  
 347 annular cooling fan with outer ring is better than common fan without outer ring. However, the  
 348 aerodynamic performance of the cooling fan cannot be always improved by adding an outer ring.



**Figure. 20.**Aerodynamic performance comparisons for 3 different cooling fans

349 **5. Conclusions**

350 In this paper, the aerodynamic performance indexes of engine axial flow cooling fan are described.  
 351 The test and simulation methods of the cooling fan are introduced. Besides, the accuracy of the  
 352 simulation model is verified.

353 Based on the "small winglet" structure, annular cooling fan with outer ring is designed. Three  
 354 important structural parameters of the outer ring are defined and discussed.

355 The aperture opening ratio and shape of the outer ring have great influence on the aerodynamic  
 356 performance of the annular cooling fan, while the length of blade extension has minor influence on  
 357 the performance. Therefore, when designing the outer ring, the aperture opening ratio and shape of  
 358 the outer ring are the primary parameters to be considered.

359 If the flow rate remains constant, the aerodynamic performance increase first and then decrease  
 360 with the increase of aperture opening ratio. Therefore, it is necessary to select the appropriate  
 361 parameter value of the structure to optimize the aerodynamic performance.

362 The downstream side of the outer ring can smoothly guide the air flow. Because the diameter of  
 363 the outer ring increases gradually along the flow direction, it can accelerate air velocity, reduce the  
 364 possibility of backflow and improve the flow condition.

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