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Article

Identifying Key Factors in Papilla Growth Around Implants: Focus on Intraoral Negative Pressure

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Abstract: Background: The absence of interdental papillae in dental prosthetics often results in unsatisfactory esthetic outcomes, such as black triangles and elongated clinical crowns. Although previous research has shown that gingival papillae can regenerate in a coronal direction, the mechanisms behind this phenomenon are not fully understood. Various theories have been proposed as potential explanations. Materials and Methods: This study evaluated several factors potentially influencing papilla growth, including adjacent elements, buccal-lingual papilla width, contact point position, convergent neck, crown overcontour, and intraoral negative pressure. A conceptual map was created to organize these factors, followed by their classification using a modified Overton Window. The principle of parsimony (Occam's Razor) was applied to identify the most plausible cause-related factors, categorizing them as causal relationship factors, filtered out factors, essential factors, or influencing factors. A mind map was used for visual grouping. Results: The analysis identified intraoral negative pressure as the most plausible cause-related factor for spontaneous papilla growth. Factors such as inflammation and drug-induced hyperplasia were excluded due to their association with unhealthy tissue. Other factors were classified as essential or influencing, but without direct causal links to papilla growth. Conclusions: Intraoral negative pressure is likely a key contributor to spontaneous papilla growth around implants. This finding, supported by abductive reasoning and the exclusion of less plausible factors, offers a new direction for enhancing esthetic outcomes in dental prosthetics, though further research is needed to confirm this causal relationship.

Keywords: implant; mucosa growth; peri-implant mucosa; keratinized tissue; crown overcontour; BOPT; papilla growth

1. Introduction

In dental prosthetic treatments, an unsatisfactory esthetic result frequently arises from the absence of interdental papillae, leading to the appearance of black triangles and the pronounced elongation of clinical crowns due to the recession of marginal soft tissues. Our earlier research has demonstrated that gingival papillae and tissue margins are capable of naturally regenerating in a coronal direction [1,2]. Some theories have been proposed to explain this phenomenon, such as the inflammatory [3] and the intraoral negative pressure theories [1,2,4]. Moreover, several conditions have been identified as crucial for the occurrence of the phenomenon such as the existence of a sufficient band of crestal keratinized mucosa, both in terms of width and thickness [1,2]; the presence and positioning of the contact point [5-7]; an appropriate distance between adjacent teeth or implants [8,9]; an ideal bucco-lingual dimension of the alveolar ridge (crestal bucco-lingual thickness) [7]; and, lastly, the availability of spaces around the prostheses that can be occupied by the growth of crestal soft tissues [1,2,4].

In scientific research, the exploration of unresolved problems, such the spontaneous papilla growth, often leads to the formulation of multiple competing theories. Each theory offers a potential explanation, yet not all are equally valid or plausible. Max Weber argues that no explanation can be

truly scientific unless it is also a causal explanation. He emphasizes that scientific inquiry must go beyond mere description, seeking to identify and understand the cause-and-effect relationships underlying a phenomenon [10,11]. Determining cause-effect relationships in research is crucial because it allows researchers to identify the underlying mechanisms that drive observed phenomena, ensuring that actions performed by clinicians are based on a clear understanding of how specific factors influence outcomes.

In the absence of a clear causal relationship, determining which theory most accurately reflects reality requires the application of certain principles that guide scientific methodology.

Hence, due to the lack of a clear cause-effect relationship among the various factors involved in spontaneous papilla growth around implants, this article aims to identify tools that can help pinpoint the factor most likely to be the key driver of this phenomenon.

2. Materials and Methods

2.1. Factors Evaluated

The following factors involved in the spontaneous papilla growth have been included in the analysis:

- Adjacent elements: It has been shown that the element adjacent to the implant, that is tooth, implant, or pontic, might influence the final height of the papilla [1,6].
- Buccal-lingual papilla width: The likelihood of a fully filled papilla is greatly influenced by the bucco-lingual width of the papilla's base [7].
- Contact point position: When the distance from the contact point to the bone crest is 5 mm or less, a papilla might be observed nearly 100% of the time. However, when this distance is 6 mm or more, the papilla has lower chances to occupy the interdental embrasure [5-7,12].
- Convergent neck: It has been shown that convergent abutment profiles seem to favor axial development of peri-implant connective tissue [13-15].
- Crown overcontour/false root: If the prosthetic crowns are designed with an over-contoured profile in the apical third, a recessed area forms around the collar [1,2,16,17].
- Crown Material: Different material has been used in study reporting papilla growth. Soft tissue growth has been reported both around temporary resin crowns and around gold ceramic or zirconia [1,17].
- Distance between elements: The extent of apical displacement of the inter-implant bone crest level over the 3-year follow-up period is inversely correlated with the horizontal inter-implant distance.⁹ It is suggested to place two implants at about 3 mm apart [6,8].
- Drug-induced hyperplasia: Gingival hyperplasia can be induced by several classes of drugs. The most common drugs associated with this condition include Calcium Channel Blockers (e.g., Nifedipine, Verapamil), Anticonvulsant (e.g., Phenytoin), and Immunosuppressants (e.g., Cyclosporine) [18-20].
- Inflammatory theory: The spontaneous growth of the papilla is attributed to plaque accumulation [3]. Additionally, the biofilm theory, particularly in the context of orthodontic treatment, should be also considered [23].
- Initial papilla height: A post-hoc analysis of the data of an already published article was planned to evaluate the influence of the initial height of the papilla on the outcome [1].
- Intraoral negative pressure: During swallowing, a negative intraoral pressure is generated and maintained to a lesser extent until the lips are opened. This negative pressure may act like a pump, drawing in the keratinized tissue and thereby promoting papilla growth [1,2,4,16,24,25].
- Keratinized tissue: The presence of a band of crestal keratinized mucosa is necessary to allow a growth of this specific tissue [1,2].
- Mucosa thickness/phenotype: In a recent Consensus report it was concluded that the presence of a thick mucosa provides a tendency of better esthetic outcomes [26].
- Recessed zone: A recessed zone is a region around crowns which lips, cheeks, and tongue cannot occupy so that an underlying empty chamber is created [1,2,16].

- Rotary curettage: It is referred to an intrasulcular preparation performed with burs simultaneously working on both the tooth and gingival tissue [21]. This is part of the BOPT (Biologically Oriented Preparation Technique), referred as "gingitage" [17].
- Timing: It has been shown that most of the height gain occur in the first year after prosthetic delivering [1].

2.2. Tools used for Evaluation

A preliminary conceptual map was first created to organize and structure all factors involved in the assessment.

A modified customized version of the Overton Windows, named after Joseph P. Overton, was used in the present study to classify all factors that might have any effect on the spontaneous growth of a healthy papilla around implants. The Overton Window provides a framework for understanding how public opinion shifts and how certain ideas become acceptable or even mainstream over time [27]. A modified version was used in the present study.

The analysis was conducted by five independent authors, all of them expert clinicians. Each author completed an Excel sheet that categorized all relevant factors into the four classifications outlined below. These categories were applied to each factor for consistency with the reported scientific evidence, together a rational analysis:

1. Causal Relationship Factor - Identified when a potential cause-and-effect relationship with papilla growth is observed.
2. Filtered Out Factor - Factors that exhibit a cause-and-effect relationship with papilla growth but whose effects are attributable to inflammation or drug influences, and therefore should not be associated with healthy tissue. This classification may also be applied to factors that are neither essential nor influential.
3. Essential Factor - Determined as critical for papilla growth. Papilla growth cannot occur in the absence of this factor, despite no direct cause-and-effect relationship being observed.
4. Influencing Factor - Recognized as having an impact on papilla growth, although not essential for it. No direct cause-and-effect relationship is observed with papilla growth.

Once the factors were classified, a mind map according to Tony Buzan was produced grouping the factors according to categorization [28]. A Mind Map is a diagram used to represent words, ideas, tasks, or other concepts that are connected and arranged around a central keyword or main idea [29].

Moreover, the Occam's Razor principle was applied during the categorization. Occam's Razor (or Ockham's Razor) is a principle attributed to the medieval philosopher and theologian William of Ockham (c. 1287–1347). The principle states that when faced with competing hypotheses, the one with the fewest and simplest assumptions should be selected [30].

3. Results

Factors were listed in a conceptual map in alphabetical order without being grouped, allowing for an unbiased evaluation according to the adopted classification (Figure 1). Some of the most important articles regarding each topic were reported in the map and considered for evaluation.

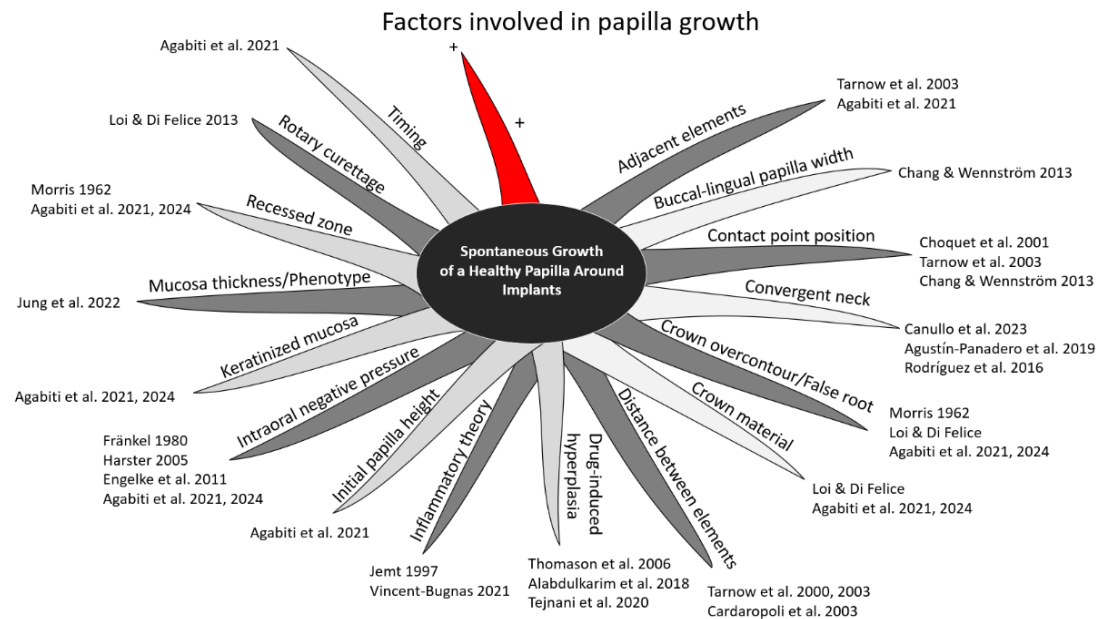


Figure 1. A conceptual map was created to illustrate all factors potentially involved in papilla growth, with relevant references included for each factor. Additional factors may still be added at the red branch.

Each factor was evaluated for its role in papilla growth, beginning with an assessment of any potential cause-effect relationship. The analysis then determined whether the factor played an essential role in papilla growth or merely influenced the outcome. Additionally, if a causal relationship was identified, the evaluation distinguished whether the result reflected healthy papilla growth or was instead due to inflammation or drug-induced hyperplasia.

A modified Overton Window was adopted to assign to each factor the most suitable category (Figure 2) [27]. Each factor was initially examined to determine its causal relationship. If a positive causal link was identified, the factor's alignment with a healthy papilla was subsequently assessed. Factors that demonstrated both a positive causal relationship and congruency with a healthy papilla were retained within their respective category. If a factor did not meet these criteria, it was placed into a filtered-out group. In cases where no causal relationship was detected, the factor was categorized as either essential or influential, depending on whether its presence was deemed necessary or merely contributory.

Exploring Hypotheses through the Overton Window

| FACTORS | CAUSAL RELATIONSHIP | FILTERED OUT | ESSENTIAL | INFLUENCING |
|------------------------------|-----------------------------|--------------------------|---------------------------|------------------------------|
| Adjacent elements | | | | Adjacent elements |
| Buccal-lingual papilla width | | | | Buccal-lingual papilla width |
| Contact point position | | | Contact point position | |
| Convergent neck | | | | Convergent neck |
| Crown overcontour/False root | | | | Crown overcontour/False root |
| Crown material | | | | Crown material |
| Distance between elements | | | Distance between elements | |
| Drug-induced hyperplasia | | Drug-induced hyperplasia | | |
| Inflammatory theory | | Inflammatory theory | | |
| Initial papilla height | | | | Initial papilla height |
| Intraoral negative pressure | Intraoral negative pressure | | | |
| Keratinized mucosa | | | Keratinized mucosa | |
| Mucosa thickness/Phenotype | | | | Mucosa thickness/Phenotype |
| Recessed zone | | | Recessed zone | |
| Rotary curettage | | | | Rotary curettage |
| Timing | | | | Timing |

← INVOLVEMENT IN SPONTANEOUS HEALTHY PAPILLAE GROWTH AROUND IMPLANTS

Figure 2. A modified customized version of the Overton Windows.

The Occam's Razor principle was applied during the categorization [30].

The factors received the following evaluation:

- Adjacent elements: No cause-related relationship was found. The nature of the neighbor elements was considered to influence papilla growth [1,6].
- Buccal-lingual papilla width: No cause-related relationship was found. The buccal-lingual width was considered to influence papilla growth [7].
- Contact point position: No cause-related relationship was found. The contact point position was considered essential for papilla growth [5-7,12].
- Convergent neck: No cause-related relationship was found. The convergent neck was considered to influence papilla growth [13-15].
- Crown Material: No cause-related relationship was found. The material seems not to have a relevant effect on marginal soft tissue growth. Consequently, this may be regarded as a factor that might influence papilla growth [1,17].
- Crown overcontour/false root: No cause-related relationship was found. The overcontour or the presence of a false root were considered influencing papilla growth [1,2,16,17].
- Distance between elements: No cause-related relationship was found. The distance between elements was considered essential for papilla growth [6,8,9].
- Drug-induced hyperplasia: Drug-induced hyperplasia presented a causal relationship with papilla growth. However, a hyperplastic condition was not considered a healthy condition [18-20].
- Inflammatory theory: The inflammatory theory presented a causal relationship with papilla growth. However, an inflammatory condition that causes edema and inflammatory infiltrate, leading to tissue growth, cannot be considered healthy [3]. The biofilm theory was invoked primarily in the context of orthodontic treatment, given the noticeable papilla growth despite the absence of significant plaque accumulation [23].
- Initial papilla height: A post-hoc analysis of data from a previously published study¹ revealed that a lower initial height of the papilla was associated with a greater increase in papilla score (Table 1). No cause-related relationship was found. This factor was considered to influence papilla growth [1].
- Intraoral negative pressure: The intraoral negative pressure presented a possible causal relationship with papilla growth [1,2,4, 16,24,25].
- Keratinized tissue: No cause-related relationship was found. The presence of keratinized tissue was considered essential for papilla growth [1,2].
- Mucosa thickness/phenotype: No cause-related relationship was found. The mucosa thickness was considered to influence papilla growth [24].
- Recessed zone: No cause-related relationship was found. The presence of a recess zone was considered essential because provided the space for papilla growth [1,2,16].
- Rotary curettage: No cause-related relationship was found. In implant therapy, this condition is generally absent, unless if we consider the surgical trauma during abutment connection as relevant. At most, this trauma may be regarded as a factor that potentially influences papilla growth [17,21].
- Timing: No cause-related relationship was found. The timing was considered influencing papilla growth [1].

The five authors reached consensus on all factors except for the crown material and rotary curettage. One author believed that the crown material does not influence papilla growth, while two authors felt that rotary curettage is only applicable for tooth preparation. Consequently, the categorization of all factors was accepted.

Finally, after the evaluation performed through the Overton Window, a mind map (Tony Buzan) was prepared [26,27], to allow a better visualization of all groups of factors (Figure 3).

Table 1. A post-hoc analysis of data from a previously published study. The gain in score between the baseline (initial score) and the last follow-up is reported at the mesial and distal aspects.

| Mesial aspect | | Distal aspect | |
|---------------|------|---------------|------|
| Initial score | Gain | Initial score | Gain |
| 0 | 2.1 | 0 | 1.8 |
| 1 | 1.0 | 1 | 1.0 |
| 2 | 0.5 | 2 | 0.7 |

The following Jemt's score³ was adopted: 0, absence of papilla; 1, less than half of interdental embrasure height; 2, more than half of interdental embrasure height; 3, fully papilla filled interdental embrasure.

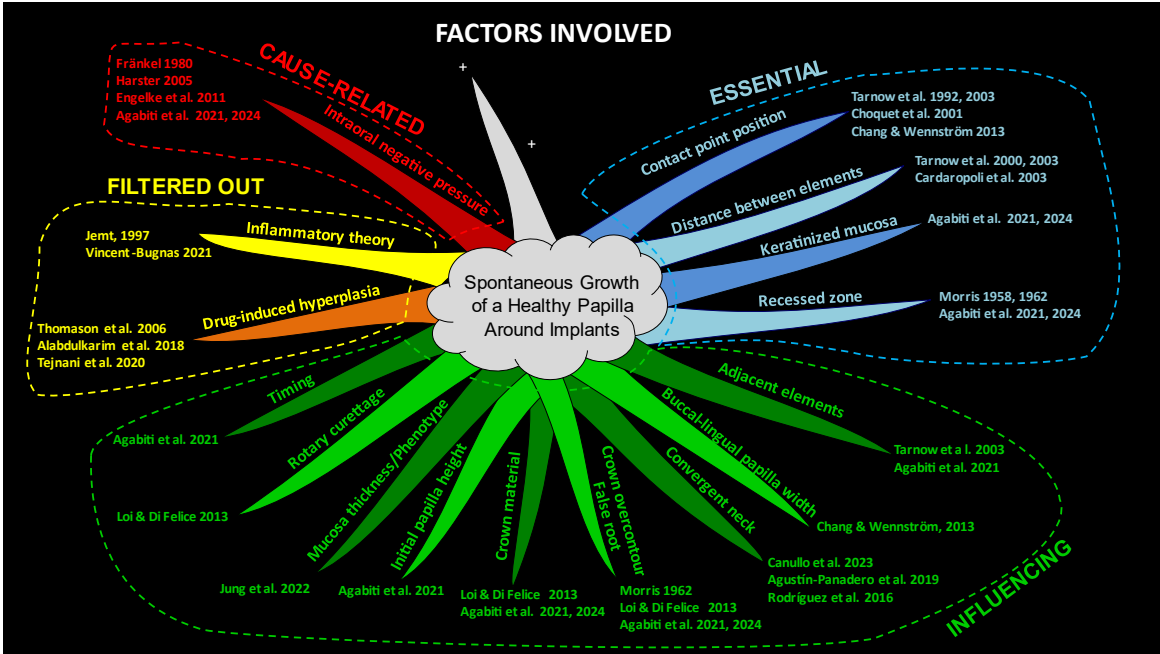


Figure 3. The factors were organized into four distinct categories, each identified by different colors and boxed outlines to enhance visual clarity and differentiation between the categories. The white branch leaves room for additional factors may still be identified. Note that only main branches were used to enhance the map's visual clarity and to highlight the flexibility in categorization.

4. Discussion

A well-defined cause-effect relationship for papilla growth has not yet been established. This study found that, among the various factors evaluated, intraoral negative pressure is the only one that remains as a potential cause-related factor. However, this does not imply that it is definitively the cause; it merely remains the most plausible explanation after other possibilities were excluded. Excluding other potential causes does not provide certainty about the remaining factor. A direct cause-effect relationship still needs to be conclusively demonstrated, and in the case of papilla growth, this direct relationship has not yet been proven.

To understand how the research approach function, an analysis of three types of inferential reasoning proposed by Charles Sanders Peirce (1839–1914) might be used, i.e.: deduction, induction, and abduction [31,32].

Deduction is an inferential process that begins with general premises and leads to specific conclusions. Deduction requires an initial rule, leading to a predictable result, heavily depending on the reliability of this rule. Deductive reasoning is deeply ingrained in our daily routines, from the morning alarm ringing at the precise time we set the night before, to the automatic steps we take while walking, or when we turn on the hot water faucet and expect hot water to flow out—each a predictable cause-and-effect sequence. In clinical practice, we rely on deductive processes similarly,

such as when placing a dental implant in the alveolar bone, anticipating osseointegration based on established principles, or performing treatments with specific expected outcomes.

Induction, on the other hand, involves creating rules through experimentation. When existing rules are absent, we use inductive methods by conducting experiments or clinical research. For example, we might introduce a new variable to a test group while keeping a control group under standard conditions. By comparing the outcomes, we can identify significant differences, allowing us to develop new rules that can later be applied in deductive reasoning.

In the case of papilla growth, the exact cause remains unclear. Under such conditions, we begin by conjecturing or hypothesizing possible reasons for this growth, with negative pressure being just one of several potential causes identified. This type of reasoning is what Peirce referred to as abduction. Abduction is the inferential process of forming a plausible hypothesis based on a set of observations. It is often described as 'the best possible explanation' and plays a crucial role in generating new ideas and theories. Although abduction does not guarantee the truth of its conclusions or necessarily make them probable, it provides an initial explanation that can be further tested through induction. Abduction serves as the starting point of the scientific inquiry process, where the hypothesized explanation is tested and refined.

When several variables and factors are involved in the process, like in the spontaneous papilla growth, we need to use tools that can help us in the problem-solving process. The modified Overton Window applied in this study identified intraoral negative pressure as 'the best possible explanation' for the spontaneous papilla growth [27]. Three potential cause-related factors were considered, but two—inflammation and drug-induced hyperplasia—were excluded. The principle of parsimony, often referred to as Occam's Razor, played a key role in this exclusion [30].

When multiple theories can explain the same phenomenon, the simplest one, with the fewest assumptions, is preferred. Although parsimony does not guarantee that the simplest theory is correct, it serves as a heuristic that guides scientists in selecting between otherwise equally plausible explanations [30]. By applying this principle, we were able to exclude inflammation and drug-induced hyperplasia as less likely causes, thereby simplifying the selection of the most plausible cause-related relationship. This tool also aided in classifying the factors as either essential or influencing.

Other tools used for the present problem-solving study were the conceptual map and the mind map. The conceptual map helped to organize all information visually and to better understand a complex topic such as that of papilla growth, clarify relationships between ideas, enhances understanding of complex topics, and encourages critical thinking by visually organizing information.

Mind maps, popularized by Tony Buzan, are designed to mirror the brain's natural thinking process, encouraging free-flowing, non-linear thinking and fostering creativity [28]. The mind map helped to break down the complex topic of papilla growth into simpler, visual representations, making it easier to grasp the big picture and details simultaneously. The mind map included the Gestalt principles of similarity - stating the elements that are similar in appearance, e.g., color, shape, size, are perceived as part of the same group or pattern - and that of proximity, suggesting that elements that are close to each other in space are perceived as related or grouped together [33].

Additionally, it is important to note that one branch on the map was intentionally left open, indicating that other factors not yet considered may be added in the future. The mind map presented in the article was intentionally designed with only main branches, omitting sub-branches. While adhering to the original principles of mind mapping as suggested by Tony Buzan, a possible alternative result is illustrated in Figure 4. The structure of both mind maps allows for easy reassignment of factors to different categories, accommodating any alternative interpretations or preferences that readers might have regarding the authors' initial categorizations.

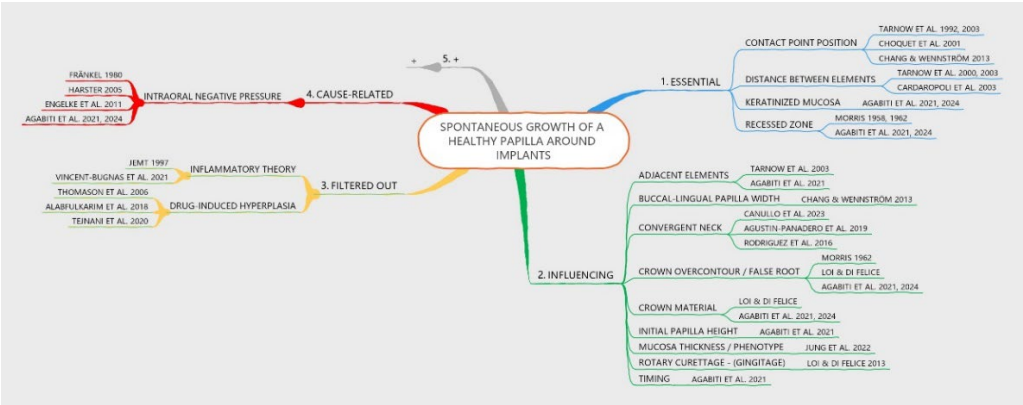


Figure 4. Mind map with sub-branches, generated using specialized software.

In this article, we discussed papilla growth, acknowledging that some might argue a papilla adjacent to an implant surface should not be considered a true papilla due to significant histological differences compared to periodontal tissue [34]. However, from a clinical perspective, these differences are not easily discernible, so we continue to use the term "papilla" to refer to the keratinized tissue filling the embrasure between elements.

It is important to emphasize that intraoral negative pressure is generated during swallowing and persists until the lips are opened. This pressure, while lower in intensity, lasts longer than the pressure during swallowing. Given that the person swallows in average about 500 to 700 times per day [35], this low-intensity negative pressure may act over extended periods. This "oral pump" effect [4,25] could gradually promote papilla growth into a recessed zone. This low negative pressure differs significantly from the "acute" suction effect in cupping therapy, an ancient alternative medicine technique where cups create suction on the skin aiming to possibly relieve of pain and inflammation, and to improve blood flow [36,37].

The present study identified intraoral negative pressure as the most plausible cause of spontaneous papilla growth around implants, after excluding other factors such as inflammation and drug-induced hyperplasia using the principle of parsimony. While this hypothesis provides a promising direction, it is important to note that the direct cause-effect relationship has not yet been conclusively demonstrated. Additionally, many of the factors influencing papilla growth around implants may also apply to tissue growth around teeth, particularly in relation to the Biologically Oriented Preparation Technique (BOPT) principle [17].

One limitation of this study is the reliance on theoretical analysis and existing literature, which may not fully capture the complexity of papilla growth. Additionally, the classification of factors using the modified Overton Window [27], and the principle of parsimony30 is inherently subjective and may have introduced bias. The study also did not include experimental or clinical trials to validate the identified relationships, limiting the ability to draw definitive conclusions.

Future research should focus on conducting controlled clinical trials to empirically test the hypothesis of intraoral negative pressure as a driver of papilla growth. Additionally, studies could explore the interaction between multiple factors, such as the combined effects of intraoral pressure, keratinized tissue presence, and contact point positioning. Further investigation into the biological mechanisms underlying this phenomenon would also be valuable, potentially involving histological studies to compare papilla formation in different conditions. Expanding the scope to include diverse patient populations and varying implant types could also provide more generalized insights.

This study did not aim to provide a systematic review of the available literature on the topics discussed. Instead, a selection of well-known articles was chosen, focusing on those that provided relevant data for each of the factors under consideration and were necessary to meet the objectives of the study. The authors apologize for any significant articles that may have been inadvertently omitted.

5. Conclusions

In conclusion, intraoral negative pressure was identified as the most plausible cause of spontaneous papilla growth around implants, following the exclusion of other factors like inflammation and drug-induced hyperplasia using the principle of parsimony. A modified Overton Window played a crucial role in categorizing several factors involved in the process. The conceptual and mind maps were instrumental in organizing complex data and guiding the analysis toward this conclusion. While promising, the hypothesis that the intraoral negative pressure is the cause of spontaneous papilla growth around implants still requires empirical validation through future research.

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