

1 *Research Article*

2 **PEG-delivered CRISPR-Cas9 Ribonucleoproteins System for** 3 **Gene-editing Screening of Maize Protoplasts**

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11 **Abstract:** CRISPR-Cas9 technology allows the modification of DNA sequences *in vivo* at the location of interest.
12 Although CRISPR-Cas9 can produce genomic changes that do not require DNA vector carriers, the use of transgenesis
13 for stable integration of DNA coding for gene-editing tools into plant genomes is still the most used approach and it can
14 generate unintended transgenic integrations, while Cas9 prolonged expression can increase cleavage at off-target sites. In
15 addition, the selection of genetically modified cells from millions of treated cells, especially plant cells, is still
16 challenging. These downfalls can be avoided with the delivery of preassembled ribonucleoprotein complexes (RNPs)
17 composed of purified recombinant enzyme Cas9 and *in vitro*- transcribed guide RNA (gRNA) molecules in a protoplast
18 system. We therefore aimed to develop the first DNA-free protocol for gene-editing in maize and introduced RNPs into
19 their protoplasts with PEG 4000. We performed effective transformation of maize protoplasts using different gRNAs
20 sequences targeting the inositol phosphate kinase gene and applying two different exposure times to RNPs. Using low-
21 cost Sanger sequencing protocol, we observed an efficiency rate of 0.85 up to 5.85%, which is equivalent to DNA-free
22 protocols used in other plant species. A positive correlation was displayed between exposure time and mutation frequency.
23 Mutation frequency was gRNA sequence- and exposure time-dependent. In summary, we demonstrated the suitability of
24 RNP transfection as an effective screening platform for gene-editing in maize. This efficient and relatively easy assay
25 method for selection of gRNA suitable for editing of gene of interest will be highly useful for genome editing in maize,
26 since genome size and GC-content are large and high in maize genome, respectively. Nevertheless, the large amplitude
27 of mutations at target site requires scrutiny when checking mutations at off-target sites and potential safety concerns.

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29 **Keywords:** gene editing; mutagenesis; genetically modified; GMO; crop breeding; RNP; genetic screening

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32 1. Introduction

33 The emergence of technologies related to genetic improvement, such as transgenesis and more recently genome editing,
34 have changed the way humans grown food for thousands of years. Today, the most promising tool to DNA manipulation
35 is CRISPR (*Clustered Regularly Interspaced Short Palindromic Repeats*), a gene-editing technology that has been
36 adapted from bacterial immune system against viral infections (Jinek et al. 2012). CRISPR-Cas9 Ribonucleoproteins
37 (RNPs) consists of an endonuclease enzyme called Cas9 and a guide RNA molecule (gRNA) that contains the target-
38 specific sequence for guiding the enzyme to the target site in the host genome. Cas9 introduces a site-specific double-
39 stranded DNA break (DSB) followed by the cell natural repair of disrupted genome integrity by error-prone non-
40 homologous end-joining (NHEJ) or homology-directed repair (HDR) (Hsu et al. 2013). Therefore, this tool allows the *in*
41 *vivo* modification of the DNA at the gene sequence of interest, with unprecedented speed and making it a milestone in
42 manipulating and producing living modified organisms.

43 Although much is already known about the principles of CRISPR-Cas9 genome editing, the likelihood of different
44 outcomes in terms of resolution, efficiency, accuracy and DNA modification structure has shown to be species-dependent.
45 Various factors including target site choice, guide RNA (gRNA) design, the properties of the endonuclease, the type of
46 DSB introduced, whether or not the DSB is unique, the quantity of endonuclease and gRNA, and the intrinsic differences
47 in DNA repair pathways in different species, tissues and cells will result in differences in the mutation signatures
48 generated in plant species (Bortesi et al. 2016).

49 While CRISPR technology has already been tested on commercial crops to increase yield, drought tolerance and growth
50 under limited nutrient conditions, improve nutritional properties and develop resistance to plant pathogens (Barrangou et
51 al. 2016); breeding and research of major monocotyledon species, more specifically maize, are still at its infancy. Maize
52 has shown to be an exemption in the plant portfolio for the *in silico* analysis of potential Cas9 target sites as only 29.5%
53 of annotated transcripts matched a specific sgRNA (Xie et al. 2014). Among eight analyzed plant species, maize had the
54 largest genome, the highest GC content and the greatest number of annotated transcripts. Thus, reflecting the abundance
55 of highly repetitive DNA and dispersed repeats which may be challenging to develop unique target sites for the majority
56 of genes in maize.

57 Despite such challenges, CRISPR technology opens up the possibility for genome changes without foreign introgression
58 of DNA vectors. CRISPR-Cas9 technology can be used as ribonucleoprotein complexes without the introgression and
59 expression of a transgenic cassette in the host genome (Metje-Sprink et al. 2019). Such approach would avoid a number
60 of generations of backcrossing, expression vectors and other invasive methods of cell penetration (e.g. biolistics) that can
61 lead to gene disruption, including large deletions, partial trisomy, genome shattering events and plant mosaicism (Liu et
62 al. 2019). Overall, these side effects can mask or interfere in the target gene functional analysis and further additional
63 biosafety concerns prior to commercial release.

64 Delivery of preassembled Cas9 protein-gRNA RNPs or plant DNA-free genome-editing technique is not exempt from
65 off-target effects but it represents an approach in which the effects of Cas9 can be isolated from other more invasive
66 techniques (Kanchiswamy, 2016; Agarwal et al. 2018; Metje-Sprink et al. 2019). This approach was first demonstrated
67 in *Arabidopsis thaliana*, tobacco, lettuce and rice protoplasts including regeneration of gene-edited lettuce (Woo et al.
68 2015). After that, few successful attempts were also accomplished on grapevine and apple (Malnoy et al. 2016), *Petunia*
69 *× hybrida* (Subburaj et al. 2016), potato (Andersson et al. 2018); and on soybeans and tobacco using CRISPR/Cpf1
70 (Clustered Regularly Interspaced Short Palindromic Repeats from *Prevotella* and *Francisella*), recently named Cas12a
71 (for review please read Metje-Sprink et al. 2019). Maize and wheat plants with targeted mutations have been also
72 successfully obtained by delivering gold particles coated with the RNPs into embryo cells (biolistics), followed by post-
73 bombardment culture and plant regeneration (Svitashev et al. 2016). However, the frequency of obtaining genome-edited
74 plants was relatively low, since only 0.3-0.9% of regenerated maize plants possessed bi-allelic mutations (Svitashev et
75 al. 2016).

76 The few studies published on maize genome editing rely mostly on stable transformation (Liang et al. 2016; Char et al.
77 2017; Feng et al. 2018; Dong et al. 2018). In the manuscript, we delivered Cas9-gRNA RNP into maize leaf protoplasts
78 via PEG-calcium mediated transfection, and indicated that In/Del mutations occurred with relatively high efficiency of
79 1-6% among the PEG-calcium treated protoplast. We targeted the inositol phosphate kinase gene (IPK) involved in the
80 phytic acid biosynthetic pathway. To develop a standard protocol for different maize varieties, we designed gRNAs and
81 primers complementary to coding regions in exon 3 that are conserved in the species in order to evaluate the efficiency
82 and spectrum of DNA changes generated by CRISPR-Cas9 technology in maize, and also add relevant information to the
83 safety of gene-edited organisms. This efficient and relatively easy assay method for selection of gRNA suitable for editing
84 of gene of interest will be highly useful for genome editing in maize, since genome size and GC-content are large and
85 high in maize genome, respectively.

86

87 2. Material and Methods

88 2.1 Target Site Selection and *in vitro* Cleavage Assay

89 The *Zea mays* IPK gene data was obtained from NCBI GenBank (accession B73RefGen_v3). *In vitro* test was performed
 90 to confirm RNP complex efficiency to cleave target DNA. The target site was amplified using specific primers. The
 91 crRNAs were designed for the third exon of the IPK gene in maize using the platform CRISPR-Cas9 guide RNA Design
 92 Checker (Integrated DNA technologies Inc, IDT). Commercially available Cas9 protein (160 kDa) was also purchased
 93 from IDT (Table 1).

94

95 **Table 1.** List of primers and crRNAs used for amplification and mutation of IPK gene target locus in maize.

Primer	Sequence (5' – 3')	Amplicon size (bp)
ZmIPK-F	GAAGAAGCAGCAGAGCTTCA	876
ZmIPK-R	CAGAAGAAATCCGTGAGGACAG	
crRNA	Sequence (5' – 3')	Cleaved fragments (bp)
crRNA1	AGCTCGACCACGCCGCCGAC	279 597
crRNA2*	GGGATCCGTCTCCTTCTCCC	617 259
crRNA3*	ATCTTCAAGGTCTACGTCGT	525 351
crRNA4*	CAGGAGTTCGTCAACCATGG	498 378
crRNA5	ACAAGCTCTACGGAGACGAC	141 735

96 Note: *Selected crRNAs used for protoplasts transfection.

97

98 gRNA, crRNA (100 nM) and tracrRNA (100 nM) were incubated for 5 min at 95°C, according to manufacturer
 99 instructions. Cas9 (100 nM), gRNA and 1×NEB buffer 3 were incubated for 10 min at 25°C to form the RNP complex.
 100 Amplified PCR products (300 ng) were then incubated for 60 min at 37°C with the RNP complex. Proteinase K (800U/ml)
 101 was added to stop the reaction. The products were visualized using 1% agarose gel electrophoresis (Malnoy et al. 2016).

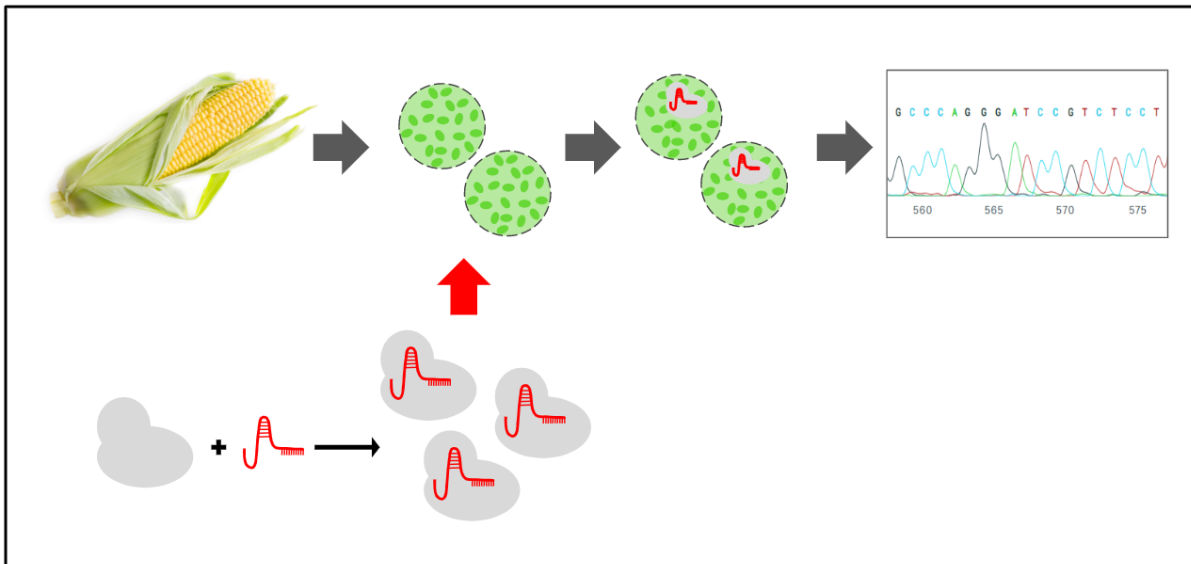
102 2.2 Maize Protoplast Isolation and Fluorescent Transfection Assay

103 Mesophyll protoplasts were isolated from the middle portion of the second leaf following the protocol described by Sheen
 104 et al. (1991) with some modifications. Etiolated maize seedlings were grown in vermiculite, after disinfestation of the
 105 seeds with 70% alcohol (60 s), NaOCl2% (twice of 15 min) and triple washing with distilled water. Ten-days old
 106 seedlings were used (3 days under 16 h light/day and 7 days in darkness). Leaves were cut into thin strips (0.5-1 mm)
 107 and immersed in cell-wall digestion enzyme solution (0.3% macerozyme R-10, 1.5% cellulase R-10, 10 mM of MES pH
 108 5.7, 0.6 M mannitol, 10 mM CaCl₂, 5 mM β-mercapto, 0.1% BSA). The material was left in vacuum for 30 min and
 109 gentle shaking at 40 rpm in the dark for 4 h. The protoplasts were released thoroughly by shaking at 80 rpm for 5 min.
 110 After digestion, the protoplasts were diluted with the same volume of cold W5 solution [2 mM MES (pH 5.7), 154 mM
 111 NaCl, 125 mM CaCl₂, 5 mM KCl] and filtered through a double filter (40 μM Nylon mesh). Protoplasts were collected
 112 after centrifugation at 100 g for 3 min and washed 2 times in 10 ml of W5 solution. Protoplasts were resuspended in cold
 113 MMG solution [0.4 M mannitol, 4 mM MES (pH 5.7), 15 mM MgCl₂]. Its viability and concentration were determined
 114 using Fluorescein Diacetate (FDA) dye in the hemocytometer. To confirm the internalization of the RNP complex inside
 115 cells, an assay was performed using fluorescent labelled tracrRNA molecules (ATTO 550, IDT) (Liu et al. 2018).
 116 Microphotographs were taken using an inverted optic microscope ix80 Olympus.

117 2.3 Maize Protoplast Transformation

118 Maize protoplasts were gene-edited by introducing CRISPR-Cas9 RNP complex (no integration of exogenous DNA) via
 119 PEG-mediated transfection (Figure 1). Protoplast transformation was adapted from Woo et al. (2015) and Malnoy et al.
 120 (2016). First, 15 μg the two components of the gRNA (crRNA and tracrRNA) were incubated at 95°C for 5 min. After
 121 allowing to cool at room temperature, 45 μg of Cas9 and 1×NEB buffer 3 were added, then mixed and incubated at 25°C
 122 for 10 min. Finally, the RNP complex was mixed with 100 μl of protoplasts (1 x 10⁵ protoplasts), 250 μl of PEG solution
 123 (40% PEG 4000, 0.2 M mannitol, 0.1 M CaCl₂) (pH 6.0) and incubated at 25°C in the dark. Two incubation times were
 124 tested: T1 = 20 min and T2 = 40 min. W5 solution (950 ul) was added and the tubes were centrifuged at 100 g for 3 min.
 125 Protoplasts were resuspended in 1 ml W1 solution [4 mM MES (pH 5.7), 0.5 M mannitol, 20 mM KCl] and then
 126 transferred to multi-well plates for 24 h under gentle agitation (40 rpm) in the dark at 25°C.

127



128

129 **Figure 1.** Methodological approach of the project. Corn seeds were germinated *in vitro*, the second leaves of the seedlings
 130 were used to obtain the protoplasts. The protoplasts were exposed to the CRISPR-Cas9 ribonucleoproteins complex and
 131 after 24 h the DNA was extracted from the samples. PCR fragments were amplified and sequenced.

132

133 2.4 Gene-editing Efficiency Analysis by Sanger Sequencing

134 In order to characterize the spectrum and frequency of DNA changes at the target gene, genomic DNA was isolated using
 135 DNeasy Plant Mini Kit (QIAGEN®), followed by amplification of the target region by PCR using Taq Q5 High-Fidelity
 136 DNA Polymerase (NEB®) and primers listed in Table 1. PCR samples were purified and sequenced using the BigDye
 137 Terminator 3.1v Kit (ThermoFisher Scientific). Samples were resuspended in formamide, denatured at 95°C for 5 min
 138 and incubated on ice for 3 min. Sequencing was performed using the Sanger (Sanger et al. 1977) automated sequencer
 139 from 3500xL Dx Genetic Analyzer for Sequencing (Applied Biosystems™).

140 CRISPR-Cas9 DNA changes were calculated based on the insertions and deletions (indels) around the cleavage site (3
 141 bp upstream of the PAM sequence) using the Inference of CRISPR Editing Software - ICE software. It has been previously
 142 shown that ICE software results are comparable to Next Generation Sequencing (NGS) results (Hsiao et al. 2019).

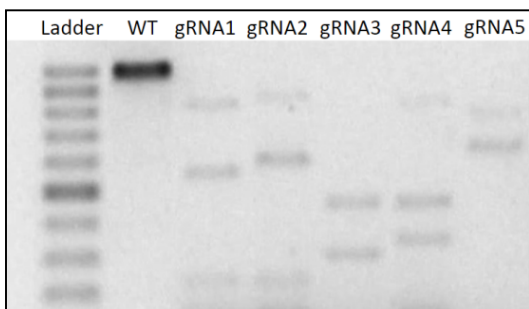
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144 3. Results

145 3.1 *In Vitro* Cleavage Assay

146 Cleavage activity of gRNAs 1 to 5 was tested using 0.5 µg of crRNA and 1.5 µg of Cas9 enzyme to 300 ng of DNA.
 147 While all designed gRNAs were able to cleave PCR products of the IPK gene in our study, the different gRNAs sequences
 148 varied in their cleavage efficiency (Figure 2). gRNA2, 3 and 4 showed the highest activity and were therefore chosen for
 149 subsequent experiments on transfection of maize protoplasts.

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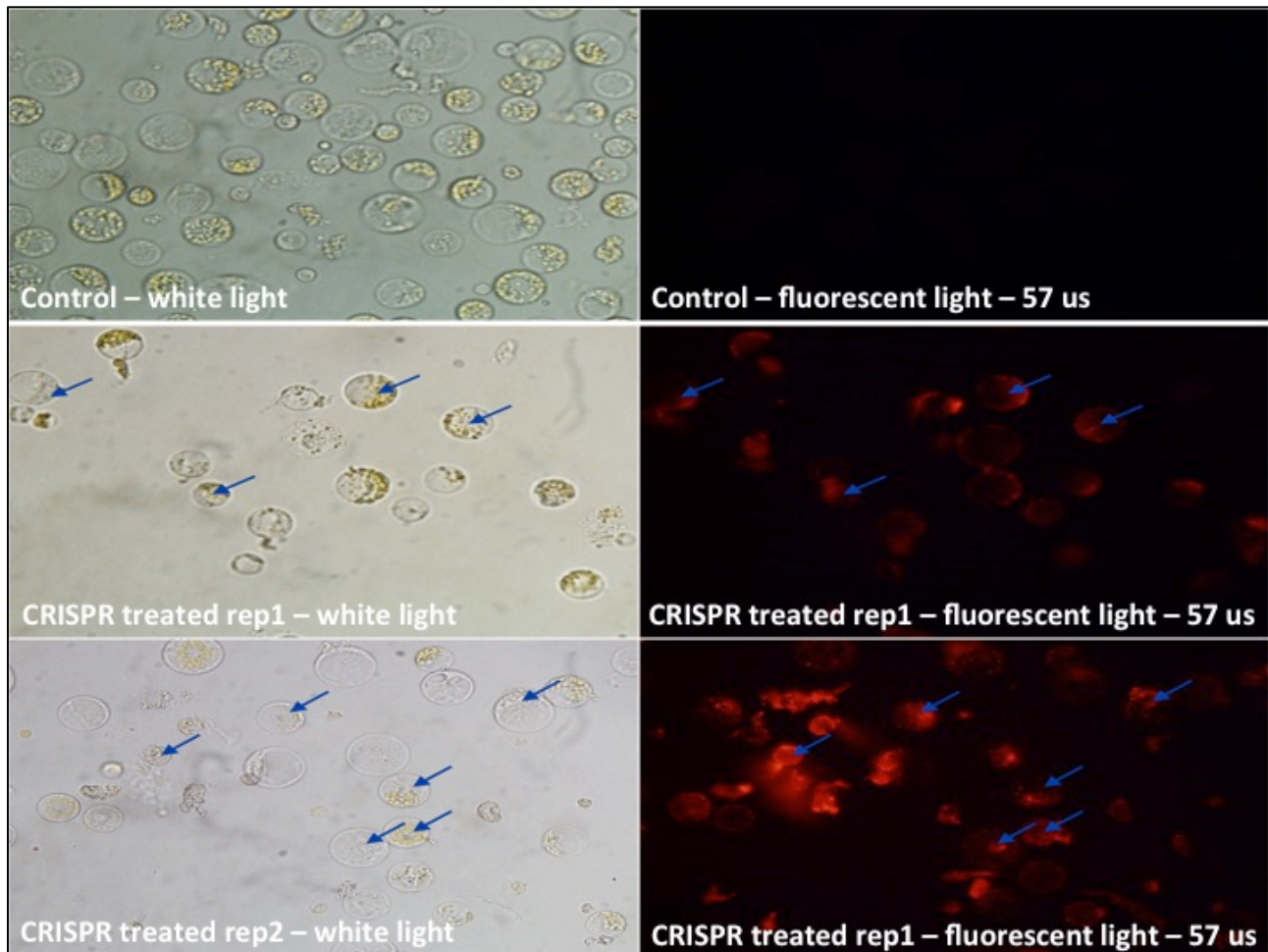
152 **Figure 2.** Schematic diagram of the Maize IPK gene locus with the gRNAs target sites. *In vitro* CRISPR assay showing
 153 the original and the cleaved fragments of IPK gene in maize that were submitted to the RNP complex with the crRNA1,
 154 2, 3, 4 and 5. Note: WT = Wild Type (control).

155

156 3.2 Targeted Mutagenesis in Maize Using CRISPR-Cas9 Ribonucleoproteins

157 Frequently, results indicating low efficiency of CRISPR-Cas9 editing using RNPs delivery cannot discriminate low
 158 transfection rates from poor DNA cleavage and repair activity. In order to overcome this limitation and confirm
 159 internalization of Cas9-gRNA RNPs, we have performed a fluorescent microscopy assay. Labeled tracrRNA molecules
 160 confirmed internalization of the RNP complex (Figure 3). Although this is not a quantitative method, it can be observed
 161 that at least one third of the labelled molecules are internalized.

162



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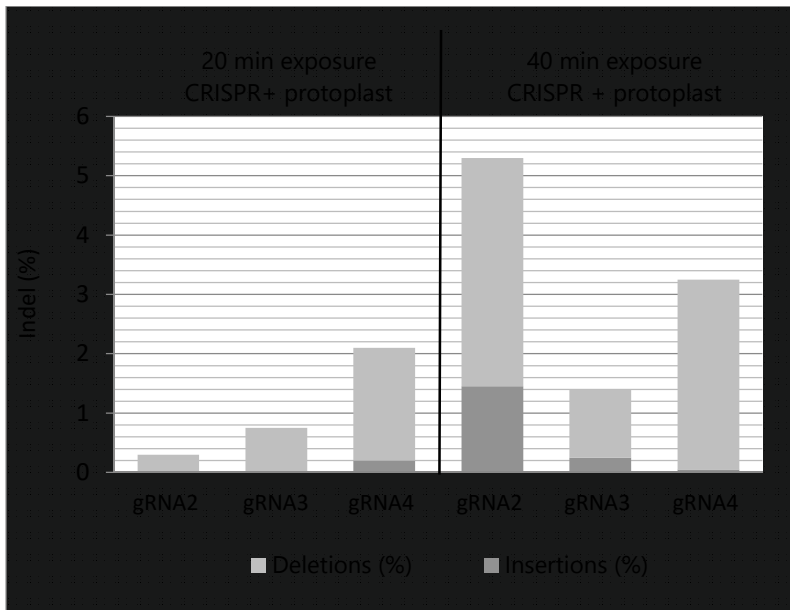
164 **Figure 3.** (a) Maize protoplasts submitted to the RNP complex containing tracrRNA labeled (ATTO 550, IDT) and (b)
 165 Maize protoplasts control. Image obtained through fluorescence microscopy (IX 80 Olympus).

166

167 RNPs containing gRNAs 2, 3 and 4 were transfected into isolated protoplasts with PEG 4000 and the results are displayed
 168 as the percentage of indels detected at the cleavage site based on Sanger sequencing and analysis with ICE software. DNA
 169 sequences from the universal primer used to amplify the IPK gene in maize (876 bp) from treated samples were compared
 170 to the same fragment in negative control (no RNP delivered). Other negative controls (Cas9 or gRNAs delivered alone)
 171 were also tested against the first negative control and showed no DNA changes (Table 2).

172 Different concentrations of Cas9 protein and gRNA have been tested in pilot experiments (data not shown) and the
 173 concentration of 45 ug of Cas9 and 15 ug of gRNA to 100 ul protoplasts in a 3:1 ratio resulted in the best cost-efficiency
 174 correlation. This is also in agreement with previous reports on RNPs delivery into protoplasts which ranged from 30-60
 175 ug of Cas9 in a 1:1 and 3:1 ratio (Woo et al, 2015; Malnoy et al. 2016; Svtashev et al. 2016). Exposure time was tested
 176 for all three gRNA sequences in 20- and 40-minutes exposure. While a longer exposure time to RNP complex led to a
 177 higher mutation index for all gRNAs tested, the increase in mutation rate was not consistent among gRNA sequences
 178 (approx. 7-fold, 3-fold and 1.3-fold for the gRNAs 2, 3, and 4, respectively) (Figure 4). Deletions were shown more
 179 frequent than insertions in this model system. Higher insertion rate was only observed for gRNA 2 at 40 min time
 180 exposure. In more detail, we show gene-edited sequences obtained for each gRNA at 40 min exposure time in Figure 5.
 181 About six sequence variants were of major contribution in gene-editing efficiency for the three selected gRNA sequences.

182



183

184 **Figure 4.** Frequency of mutations in maize generated by gene editing (CRISPR) and measured by ICE software. Different
 185 gRNAs and exposure time of the protoplasts to the RNP complex are represented. Percentages of deletions and insertions
 186 are represented in green and red, respectively.

187

188 **gRNA2 – 40 min exposure time**

INDEL	Contribution	Sequence	189
0	93.5%	G A T C C G T C T C C T T C C T T C C C A G G T C T C C C A A C	
+1	2.7%	G A T C C G T C T C C T T C C T T C C T T N C C C A G G T C T C C A A C	
-1	1.8%	G A T C C G T C T C C T T C C T T C C T T - C C A G G T C T C C C A A C	
-2	1.3%	G A T C C G T C T C C T T C C T T C C T T - - C A G G T C T C C A A C	
-5	0.2%	G A T C C G T C T C C T T C C T T C C T T - - - - - G T C T C C A A C	
-6	0.1%	G A T C C G T C T C C T T C C T T C C T T - - - - - T C T C C A A C	
-8	>0.1%	G A T C C G T C T C C T T - - - - - - - - - - - G T C T C C A A C	

190

gRNA3 – 40 min exposure time

INDEL	Contribution	Sequence	191
0	94.2%	C T T C A A G G T C T A C G T T C G T C G G C G G C C A C G	
-19	0.9%	C T T C A - - - - - - - - - - - - - - - - - C C A C G	
-1	0.5%	C T T C A A G G T C T A C G - - - - - C G T C G G C C A C G	
+6	0.3%	C T T C A A G G T C T A C G T T N N N N N N C G T C G G C G	
+8	0.2%	C T T C A A G G T C T A C G T T N N N N N N N N N C G T C G G	
-24	0.2%	C T T C A -	
-28	>0.1%	C -	

192

gRNA4 – 40 min exposure time

INDEL	Contribution	Sequence	193
0	95%	G G A G T T C G T C A A C C A T T G G C G G C G T C A T C T	
-2	3.3%	G G A G T T C G T C A A C - - - T G G C G G C G T C A T C T	
-3	0.8%	G G A G T T C G T C A A - - - T G G C G G C G T C A T C T	
-1	0.4%	G G A G T T C G T C A A C C - - - T G G C G G C G T C A T C T	
-7	0.1%	G G A G T T C G T C A A - - - - - G G C G T C A T C T	
+12	0.1%	G G A G T T C G T C A A C C A N N N N N N N N N N N T G	
-1	0.1%	G G A G T T C G T C A A C C A - - - G G C G G C G T C A T C T	
-16	>0.1%	G G A G T T C G T C A A - - - - - - - - - - - - - - - - - T	198

199 **Figure 5.** Sequence distribution of most efficient mutations identified with ICE software around IPK gene target site in
 200 *Zea mays*. Edited sequences were obtained after CRISPR-Cas9 RNP transfection to maize protoplasts. 45 µg of Cas9
 201 preassembled with 15 µg of each gRNA were used in the protoplast transformation. Different exposure times of the RNP
 202 complex with the protoplast are presented. Cut sites are represented by black vertical dotted lines, insertions are
 203 represented by 'N' and deletions by black horizontal dotted lines.

204

205 Results on the percentage of mutated sequences (technology efficiency), the size of the DNA change (number of base
 206 pair change), the type of DNA change (deletions or insertions) and a theoretical knockout score (KO score) are

207 summarized in Table 2. The indel percentage at 20 min exposure was on average 1.63% in contrast to a 4.37% at 40 min
 208 exposure time. Overall, gRNA 4 was most efficient and consistent at both exposure time. Intriguingly, gRNA 2 showed
 209 the lowest efficient at 20 min (0.85%) but the highest efficiency at 40 min (5.85%). The Knockout Score accounts for
 210 reads containing an amino acid frameshift change or 21+ bp indel. Thus, indicating contributing indels that are likely to
 211 result in a functional knockout of the targeted gene. In this study, the average KO score was 0.83% for the 20 min and
 212 3.17% for the 40 min exposure treatment, which suggests that the majority of indels were frameshift modifications. In
 213 addition, only one gRNA at one time point had a single base pair change as the most frequent mutation (gRNA 2 with a
 214 -1 bp). Notably, a deletion of 19 bp was the most abundant DNA change for gRNA 3 (0.9%). Moreover, all other gRNAs
 215 and exposure time showed a 2 bp deletion as the most frequent DNA change. Overall, the DNA change ranged from -28
 216 bp to +12 bp change.

217

218 **Table 2.** Mutation rates in *Z. mays* IPK gene target region based on Sanger sequencing and ICE software analysis.

Sample	Incubation time (min)	% of indel	Model fit (R ²)	KO score	Mutation range (bp)	Greater Contribution (bp)
Cas9 only	20	0		0	0	0
gRNA2 only	20	0		0	0	0
gRNA3 only	20	0		0	0	0
gRNA4 only	20	0		0	0	0
Cas9 + gRNA2 rep1	20	0	0.99	0	0	0
Cas9 + gRNA2 rep2	20	1	1	1	-4 to -2	-2
Cas9 + gRNA3 rep1	20	0	1	0	-7 to -1	-2
Cas9 + gRNA3 rep2	20	1	1	1	-7 to -1	-2
Cas9 + gRNA4 rep1	20	3	0.99	2	-7 to +12	-2
Cas9 + gRNA4 rep2	20	1	0.99	1	-2 to +3	-2
Cas9 + gRNA2 rep1	40	4	0.99	4	-7 to +1	-1
Cas9 + gRNA2 rep2	40	6	1	6	-8 to +1	+1
Cas9 + gRNA3 rep1	40	1	1	1	-19 to -1	-1
Cas9 + gRNA3 rep2	40	2	0.96	2	-28 to +8	-19
Cas9 + gRNA4 rep1	40	2	0.99	2	-3 to -2	-2
Cas9 + gRNA4 rep2	40	5	1	4	-16 to +12	-2

219 Note: KO = Knockout.

220

221 4. Discussion

222 CRISPR-Cas9 technology is a powerful tool for plant breeding and research. While still evolving as a technology to
 223 determine the rules for gRNA design and the algorithms to predict target and 'off-target' sequences, CRISPR applications
 224 still relies on empirical results to test the performance of new systems (Lin et al. 2018). Notably, gene-editing results
 225 outcomes are frequently species-dependent (Bortesi et al. 2015). Therefore, a CRISPR platform for difference species
 226 with a rapid and efficient evaluation protocol is needed before commercialization.

227 Our experiments demonstrated the suitability of the PEG-delivered CRISPR-Cas9 RNPs system for gene-editing
 228 screening in maize. We showed that high-efficiency gene-edited maize cells can be obtained using less time-consuming
 229 (15 days) and labor-intensive procedures (PCR, agarose gel electrophoresis and Sanger sequencing). In addition, the
 230 advantage of our system in relation to the use of vectors is that it prevents the integration and expression of exogenous
 231 DNA sequences, isolating the effect of gene-editing modification and avoiding transgene-introgressed side effects.
 232 Although non-integrating plasmids could be transfected into plant cells to deliver programmable nucleases; transfected
 233 plasmids are degraded in cells by endogenous nucleases, and the resulting small DNA fragments can be inserted at both
 234 on-target and off- target sites in host cells (Kim et al. 2014). For example, Braatz et al. (2017) performed whole-genome
 235 sequencing after transfection of expression construct CRISPR-Cas9 in *Brassica napus* and found that transformation
 236 resulted in at least five independent insertions of vector backbone sequences in the plant genome.

237 4.1 Ribonucleoprotein delivery in plants

238 In this report, we show a positive correlation between the time of exposure to RNPs and the efficiency of site-directed
 239 mutagenesis in maize, as ascertained with Sanger sequencing. In previous reports referring to the use of RNPs in plant
 240 protoplasts (Table 3), the authors used one or more different concentrations of RNPs and Cas9:gRNA ratios, but the effect
 241 of exposure time on mutation frequencies was not tested (Sandhya et al. 2020, Wada et al 2020). CRISPR RNPs were
 242 delivered to apple, grapevine, brassica sp., lettuce, tobacco and rice plants at less or equal than 20 min exposure time and
 243 their efficiencies ranged between 0.1 and 40% (Malnoy et al. 2016; Murovec et al. 2018; Woo et al. 2015). In petunia and

244 wheat protoplasts exposure for 30 min granted 0.2 up to 45% efficiency (Subburanj et al. 2016; Liang et al. 2017); thus,
 245 suggesting that time of exposure might not alone explain indel frequency in different plant systems. In our system, when
 246 all other factors are maintained, exposure time consistently increased indel frequency for all three gRNA sequences tested
 247 (up to 6.6 fold change increase).

248

249 **Table 3.** Publications with DNA-free gene editing in plants using CRISPR-Cas9 RNPs and other delivery methods for
 250 maize.

Reference	Plant species	Plant material	Transfection method	Gene-editing efficiency
RNP delivered in plants				
Woo et al. 2015	<i>Arabidopsis thaliana</i> , <i>Lactuca sativa</i> , <i>Nicotiana attenuata</i> , <i>Oryza sativa</i>	Protoplasts	PEG-mediated	5.7 - 40.0%
Malnoy et al. 2016	<i>Malus domestica</i> , <i>Viti vinifera</i>	Protoplasts	PEG-mediated	0.1 - 6.9%
Subburaj et al. 2016	Petunia hybrid	Protoplasts	PEG-mediated	2.4 - 21.0%
Liang et al. 2017	<i>Triticum aestivum</i>	Protoplasts, immature embryos	PEG-mediated, Biolistics	0.2 - 45.3%
Andersson et al. 2018	<i>Solanum tuberosum</i>	Protoplasts	PEG-mediated	1.0 - 25.0%
Murovec et al. 2018	<i>Brassica oleracea</i> , <i>Brassica rapa</i>	Protoplasts	PEG-mediated	0.1 - 24.5%
Toda et al. 2019	<i>Oryza sativa</i>	Zygotes	PEG-mediated	14.0 – 64.0%
Other delivery methods in maize				
Liang et al. 2014	<i>Zea mays</i>	Protoplasts	Vector via PEG-mediated	13.1%
		Immature embryos	<i>Agrobacterium</i> -mediated	16.4 - 19.1%
Xing et al. 2014	<i>Zea mays</i>	Protoplasts	Vector via PEG-mediated	N.A
Svitashev et al. 2015	<i>Zea mays</i>	Immature embryos	RNP via biolistics	1.3 - 4.6%
Liang et al. 2016	<i>Zea mays</i>	Protoplasts	Vector via biolistics	80.0 - 90.0%
Qi et al. 2016	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	57.1 - 71.4%
Feng et al. 2016	<i>Zea mays</i>	Protoplasts	Vector via PEG-mediated	2.8 - 27.0%
		Immature embryos	<i>Agrobacterium</i> -mediated	19.0 - 31.0%
Zhu et al. 2016	<i>Zea mays</i>	Protoplasts	Vector via PEG-mediated	4.0 - 11.9%
		Immature embryos	<i>Agrobacterium</i> -mediated	65.8 - 86.9%
Svitashev et al. 2016	<i>Zea mays</i>	Immature embryos	RNP via Biolistics	0.01 - 0.7%
Char et al. 2017	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	12.0 - 74.0%
Shi et al. 2017	<i>Zea mays</i>	Immature embryos	Vector via Biolistics	60.0 - 98.0%
Chen et al. 2018	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
Feng et al. 2018	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	5.0 - 100%
Dong et al. 2019	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
Lee et al. 2019	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	90.0 -100%
Kelliher et al. 2019	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
Doll et al. 2019	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
Wu et al. 2020	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
Liu et al. 2020	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
Gao et al. 2020a	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
Gao et al. 2020b	<i>Zea mays</i>	Immature embryos	Vector via biolistics	N.A
Barone et al. 2020	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	25.0 - 100%
Zhang et al. 2020	<i>Zea mays</i>	Immature embryos	<i>Agrobacterium</i> -mediated	N.A
This study	<i>Zeamays</i>	Protoplasts	RNA via PEG-mediated	0.85 - 5.85%

251 Note: * N.A is ‘not applicable’ because transgenic plants were either selected using antibiotic marker genes or the analysis
 252 was not performed.

253

254 The Cas9:gRNA ratio also influences target efficiency in a species-specific manner. Three different Cas9:gRNA ratios
 255 were tested in apple and grapevine protoplasts (Malnoy et al. 2016). While the 1:1, 1:3 and 3:1 ratios did not differ in
 256 mutation frequency for grapevine (0.1%), the 1:1 and 3:1 ratios increased indel frequency in two (6.6 and 2.6 fold change

257 respectively) out of three gRNA sequences in apple. Overall, the results obtained for the 3:1 ratio are equivalent to our
258 results applying the same ratio (from 3.3 up to 6.7% efficiency). Cas9 concentration has shown to be of major factor
259 influencing the delivery of RNPs to plant cells. Woo et al. (2015) tested 20 and 60 ug of Cas9 to Arabidopsis protoplasts
260 and found that the editing efficiency was not directly related to Cas9 concentration but also dependent to the time course
261 of analysis. At 24 h after delivery, more efficiency was observed when applying 20 instead of 60ug of Cas9 (71 in contrast
262 to 54%). Opposite results were obtained at 72 h after delivery. On the other hand, increasing the amount of Cas9 (7.5, 15,
263 30 and 60 ug) was consistent with a crescent indel frequency in brassica sp. protoplasts (Murovec et al. 2018). The
264 efficiency results obtained in our study was similar to those obtained applying approximately 60-90 ug of Cas9 thus,
265 indicating that a lower amount of Cas9 (45 ug) but a higher exposure time (40 min) might have similar cleavage levels.
266 Overall, it is clear that the limited amount of studies investigating RNP delivery into plant cells is insufficient to draw
267 definitive conclusions for increasing gene-editing efficiency using this system.

268 4.2 CRISPR delivery methods in maize

269 Other delivery methods have been tested for maize as screening methods or gene-editing breeding methods and these
270 include: PEG-mediated vector transfection, agrobacterium-mediated and biolistics (Table 3). The vast majority of studies
271 still rely on vector-based transformation delivery of CRISPR. None of the listed studies have provided a cell-based
272 screening method without the insertion of foreign vector-based DNA. On average, PEG-mediated vector transfection
273 reached an average of about 12% efficiency, whereas agrobacterium and biolistics reached 44 and 83%, respectively. In
274 addition, most of these methods used embryogenic callus as explant material. Callus-based methods harbor chimeric
275 tissues thus, requiring subsequent genetic fixation to allow stable inheritance of the edited traits. Therefore, these are not
276 suitable material for genetic screening of successful gene-edited plants. Currently, many protocols are available for
277 regeneration of whole plants from protoplasts. These include lettuce, tobacco and rice, petunia, wheat, apple and soybean.
278 It is also suggested as a future choice for gene-edited maize and the list seems to expand because of the capabilities of the
279 RNP technology (Svitashev et al. 2016, Park and Choe 2019). The trade-off in efficiency percentage plays in return of
280 avoiding unintended DNA integration and potential undesirable biosafety risks.

281 4.3 Analytical platforms for gene-editing detection

282 Different analytical platforms for the detection and identification of CRISPR outcomes reflects in frequency results as
283 they show different analytical sensitivity. Woo et al. (2015) showed a 40% transformation efficiency in tobacco when
284 samples were analyzed by Illumina sequencing platform. In contrast, the same samples showed a much lower efficiency
285 rate (17%) when analyzed by T7 cleavage assay. While T7 cleavage assays are nowadays being limited to a qualitative
286 rather than quantitative detection method, high throughput sequencing platforms are time-consuming and costly option
287 for screening protocols. Usually, such platforms are available in other labs or through service providers which requires a
288 long processing time and high costs for samples and assays that are still at screening stage. In order to overcome such
289 problems, we have proposed a model that analyzes gene-edited cell pools using data from common Sanger sequencing
290 analysis. More specifically, we use the ICE: *Inference of CRISPR Edits* software, which unable the analysis of mixed
291 populations and strongly correlates with next-generation sequencing of amplicons using Sanger sequencing data (Hsiau
292 et al. 2019).

293 However, the proposed model is focused on providing a simple, cost-efficient analysis of gene-editing outcomes at a
294 screening stage. The model is currently limited to detect mutations with more than 30 bp deletions or more than 14 bp
295 additions. The analysis also does not account very small mutant populations (<0.1%) neither present mutations with
296 substitution of bp (Hsiau et al. 2019). Although our platform does not apply exogenous DNA generating potential
297 integration, it cannot be ruled out that microhomologies with gRNA sequences produce spurious cleavage or larges
298 genomic rearrangements (Vu et al. 2017). Therefore, long run high through put sequencing analysis are recommended
299 for follow up breeding programs and safety tests (Agapito-Tenzen et al. 2018).

300

301 5. Conclusions

302 We have shown that RNPs can be used for targeted CRISPR-Cas9 via PEG delivery as a model system to screen for gene-
303 editing outcomes in maize. Target insertion and deletion DNA changes (indels) were induced using 45 ug of Cas9 and
304 gRNA used at a 3:1 ratio, and a positive correlation between exposure time (20 and 40 min) and indel frequency was
305 observed. By targeting preserved coding regions, we can anticipate that the model can be applied to several maize varieties
306 by validation using IPK gene. However, *in vivo* indel frequencies differed among gRNA sequences. In addition, the
307 proposed method for sequencing analysis is also restricted to a window of 30 bp deletions and 14 bp addition. Further
308 studies will be focused on CRISPR-Cas9 off-target activity and on the regeneration of edited protoplasts.

309

310 **Authors Contributions:** R.R.A.S. and S.Z.A. interpreted the data and drafted the manuscript. R.R.A.S. performed the
311 statistical analysis. R.R.A.S. and C.A.C. conducted the CRISPR experiments. R.O.N. assisted with data interpretation.
312 S.Z.A., R.O.N., C.A.C. and R.R.A.S. conceived the study. S.Z.A. coordinated the investigation. All authors reviewed the
313 manuscript.

314

315 **Funding:** This research was funded by GenØk Centre for Biosafety through its funds from The Ministry of Climate and
316 Environment in Norway. Scholarship from National Council for Scientific and Technological Development (CNPq) was
317 provided to R.R.A.S and R.O.N.

318

319 **Acknowledgments:** We would like to thank Dr. Lilian Machado (UFSC) for taking care of the Sanger sequencing. The
320 authors are also grateful to Vinicius Vilperte for insightful comments and suggestions on the sequencing analysis and also
321 to Prof. Miguel Guerra for his support in using his laboratory. This work was financed by GenØk Centre for Biosafety
322 through its funds from The Ministry of Climate and Environment in Norway, whose support is gratefully acknowledged.

323

324 **Conflict of Interest:** The authors declare no conflict of interest.

325

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409

410 **FIGURE LEGENDS**

411 **Figure 1.** Methodological approach of the project. Corn seeds were germinated *in vitro*, the second leaves of the seedlings
 412 were used to obtain the protoplasts. The protoplasts were exposed to the CRISPR-Cas9 ribonucleoproteins complex and
 413 after 24 h the DNA was extracted from the samples. PCR fragments were amplified and sequenced.

414 **Figure 2.** Schematic diagram of the Maize IPK gene locus with the gRNAs target sites. *In vitro* CRISPR assay showing
 415 the original and the cleaved fragments of IPK gene in maize that were submitted to the RNP complex with the crRNA1,
 416 2, 3, 4 and 5. Note: WT = Wild Type (control).

417 **Figure 3.** (a)Maize protoplasts submitted to the RNP complex containing tracrRNA labeled (ATTO 550, IDT) and (b)
 418 Maize protoplasts control. Image obtained through fluorescence microscopy (IX 80 Olympus).

419 **Figure 4.** Frequency of mutations in maize generated by gene editing (CRISPR) and measured by ICE software. Different
 420 gRNAs and exposure time of the protoplasts to the RNP complex are represented. Percentages of deletions and insertions
 421 are represented in green and red, respectively.

422 **Figure 5.** Sequence distribution of most efficient mutations identified with ICE software around IPK gene target site in
 423 *Zea mays*. Edited sequences were obtained after CRISPR-Cas9 RNP transfection to maize protoplasts. 45 μ g of Cas9
 424 preassembled with 15 μ g of each gRNA were used in the protoplast transformation. Different exposure times of the RNP
 425 complex with the protoplast are presented. Cut sites are represented by black vertical dotted lines, insertions are
 426 represented by 'N' and deletions by black horizontal dotted lines.

