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Article

Climate Change and the Evolutionary Adaptations and Limitations of Homeothermy

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Abstract: The relationship between living things and their respective environments highly dependent on body temperature regulation. The human capacity to effectively thermoregulate evolved at a time when the environmental temperature was likely around 25°C during the Eocene epoch, some ~50-60 million years ago. This effectively meant that homeothermy settled on a core temperature balance point of ~37°C. When *Homo* split from chimpanzee around 5 million years ago the Earth was entering a cooling period where the balance point temperature was always well above that of the environment and body heat balance could be maintained. Following this cooling period, the Earth's rewarming by 7 °C took over approximately 5,000 years, whereas the current estimates indicate 0.7 °C over the past 100 years; ten times the rate of ice-age-recovery warming, or 20 times faster compared with the last 2 million years. As such, if the predicted continued rise in global temperature continues, and surface temperature reaches values where heat load cannot be dumped as the body temperature balance point is at or near the environmental temperature, areas of the Earth would become inhospitable. This effectively means that we will need to deal with both physiological and behavioral limitations since our ability to adapt will be limited by a thermoregulatory strategy that evolved over millions of years for a different kind of environment, not one that is predicted to change rapidly over the next century. This paper outlines the basis on which *Homo* settled on a thermoregulatory balance point and what limitations this presents for us in the future.

Keywords: Adaptation; balance point; Earth; evolution; *Homo*; thermoregulation

1. Introduction

The relationship between living things and their respective environments is complex and highly dependent on their ability to regulate body temperature. For some animals such as fish and reptiles (*ectotherms*), body temperature is regulated based on the environment around them, whereas for mammals and birds (*endotherms*) a high body temperature is maintained by balancing internal heat production and offloading the excess heat to the environment. In essence thermoregulation can be characterised as a striking physiological and behavioural characteristic of all living creatures. As far as *Homo* is concerned, the ability to maintain a core body temperature within narrow limits is the hallmark of a system finely tuned to avoid the potential of a lethal outcome of either a having a very low or very high core temperature. As such, *Homo* has "settled" to regulate its body core temperature around a *balance point* of ~37°C [1]. The term balance point is preferred over the popular term "set point" given that there are inputs from multiple variables to establish thermal balance, whereas, set point relates to an engineering preference for a thermostat. The significance of 37 °C is seldom considered as a limitation other than the fact that serious thermal injury might ensue when the body core temperature deviates only a few degrees beyond either side of 37 °C. For this reason, a more considered and informed understanding with respect to the inputs and mechanisms which either contain or cause the deviation from the narrow range is warranted.

Given the relevance of maintaining a balance point for the sustainability of human health and functioning, it is interesting to note that the factors which might have determined how *Homo* settled on a balance point of 37 °C does not feature to any great extent in either the public health or the human performance literature. A more considered understanding of the evolutionary pressures that shaped the eventuality of *Homo's* balance point temperature could also provide for a more meaningful understanding of the capability for human adaptation with respect to a either a changing environment or moving to inhabit a different or more extreme environment.

To this end, it is notable that an increase in global temperature of 5-6 °C is predicted based on present climate data trends, history and models, as the most likely scenario by 2100 [2]. Along with these global temperature predictions is the observation that 37.0% of deaths during warm seasons can be attributed to anthropogenic climate change [3]. In addition, however, is that from 1998 – 2017 the World Health Organization reports that more than 166,000 people died due to heat waves [4], exposing our frailties with respect to high ambient temperatures. Moreover, the risks to physical health associated with climate change are not particularly obvious, at least to the lay public, even though there is evidence that both cold and hot temperature extremes are associated with high mortality rates [5]. Although there is general consideration given to how we might manage the frequency of heat waves [6, 7], there is somewhat less consideration given to how *Homo* might deal with a sustained higher global temperature in the future and whether we have the adaptive capability to manage this possible eventuality, given our strict operational thermoregulatory balance point parameters. Thus, the purpose of this narrative review is to present the possible evolutionary pressures which might have led *Homo* to settle on a balance point of ~37°C and to exam whether this adaptation will be useful in the face of a new climate with a sustained higher global temperature

2. Climate and the evolution of homeothermy

It is almost impossible to definitively know the precise pressures that determined the evolution of the thermoregulatory strategy employed by *Homo*. However, a retrospective analysis of the history of early Earth and the observed behaviour of primitive organisms underscores the relationship between the surrounding temperature and survivability. There is consensus that early life on Earth was subjected to a hot environment as outlined independently by Oparin and Haldane in the 1920s [8]. The early Earth was thought to be largely composed of nitrogen, ammonia, methane and hydrogen whereby by the addition of heat produced chemical reactions eventually giving rise to molecules, when combined in water formed what is termed the primordial soup of amino acids and the building blocks of life [9]. A key for the evolution of life was the incremental step from unicellular to multicellular organisms where cellular reactions were required to perform metabolic functions [10]. It follows that a pivotal evolutionary pressure must have been for organisms to respond to environmental challenges which could only occur if structures for cellular responsiveness were available.

In the classic experiment by Mendelsohn (1895) [11] which examined the relationship between the behaviour of unicellular organisms and surrounding temperature, the preferred temperature drove behaviour. Specifically, the paramecia that were bathed in a fluid medium would either disperse or congregate according to their seemingly preferred temperature medium. When their medium was set at 19 °C the paramecia randomly dispersed but when the medium was set at 38 °C congregation would occur at the cooler end between 25-26°C. There is nothing particularly remarkable about this behaviour other than the fact that these organisms do not poses a nervous system with connecting neurons *per se* but rather is an integrated organism that relates physiology with behaviour [12]. The most notable aspect of this thermoregulatory model is that the paramecia had a preferred temperature between 24-28 °C with avoidance behaviour for the extremes of 12 and 36 °C.

Much of the Earth's surface temperature is thought to range from 0-50 °C with some species such as fish tolerating temperatures below 0 °C while some algae can function above temperatures of 70 °C [13]. Within this temperature range 0-50 °C, it is now apparent that the average temperature of the Earth has moved over the last 1300 years to now be at least 0.8 °C higher than around the 1980s [14, 15]. Figure 1 are data redrawn from Hansen et al. [14] and shows the temperature anomalies between the years 1880-2010. It is evident that since around 1980, the surface temperature of the Earth has increased at a rate of ~0.2 °C per decade. If this trend continues the surface temperature of the Earth could increase by 2.0 °C within the next century. As such, dealing with heat waves may not necessarily be the only difficulty we face but the potential for a new average surface temperature.

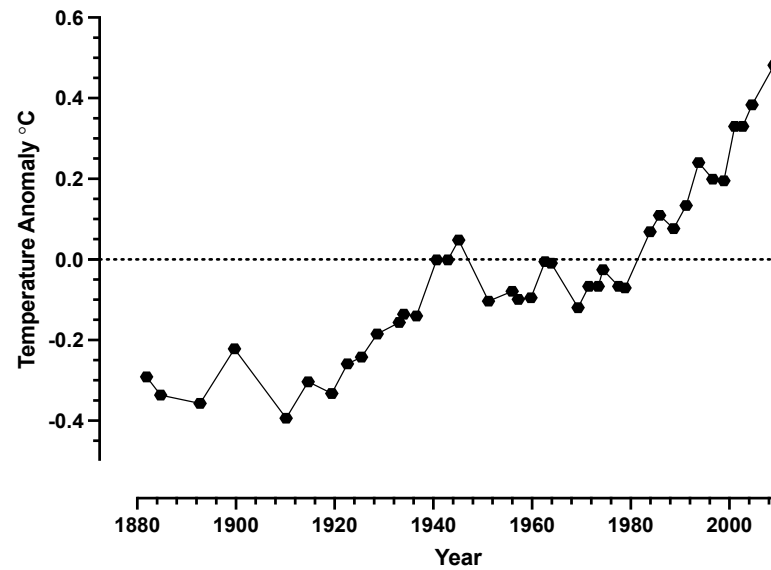


Figure 1. Global land-ocean temperature anomaly (°C) relative to 1951–1980 from surface air measurements at meteorological stations and ship and satellite measurements. Points represent the annual mean. Data in the figure are redrawn from [14].

The question then is, does *Homo* have the thermoregulatory adaptive capability to manage this new environmental temperature? To interrogate this question appropriately, an understanding is required for the basis with which the balance point of 37 °C evolved. The basic principle of homeothermy, which is to maintain a constant internal temperature, is that heat loss must be balanced by the corresponding heat production and vice versa. For this kind of system to operate efficiently, the ability to turn heat production on and off is essential and can only occur if the temperature of the organism (i.e. *Homo*) is sufficiently higher than the surrounding temperature. The critical element of this system is that when the surrounding temperature rises above that of the organism's then the system will fail. This simple physical requirement suggests that *Homo*'s choice for a balance point of 37 °C was based on having to deal with the surrounding temperature for the purpose of maintaining appropriate heat loss to the environment and, the capability to increase heat production if heat loss was high. Of course, if heat loss were to be drastic such as with submersion in cold water, then the system would also fail since heat production could not keep up with the heat loss. However, *Homo* is a land-dwelling mammal and typically avoids cold extreme exposure without sufficient protection.

A number of explanations have been posited as to how and why *Homo* settled on a balance point of 37 °C. These include preserving proteins from denaturation and thermal coagulation, inactivation of enzymes, reduced oxygen availability, metabolic reactions related to the Q_{10} effect and, the potential for temperature to effect cellular membrane structure [13, 16, 17]. These mechanisms all explain how different cellular process operate within the temperature range for *Homo* and other animals but individually and collectively they cannot explicate how we and other animals "settled" on 37°C rather than regulating at either a higher or lower temperature [18, 19]. Further to this, any of these mechanisms would have had to have co-evolved with the "settling" of the balance point temperature.

However, to arrive at common balance point of 37°C, an environmental assumption is required since as already indicated, homeothermy is dependent on the temperature of the organism being sufficiently higher than the surrounding temperature. Figure 2 depicts the temperature anomaly modelling from various sources dating back to 400 million years and up to the prediction for 2100 [20]. Since current evidence indicates that the most important evolutionary split occurred between primates with wet and dry noses during the Eocene (~40 million years ago), homeothermy must have developed during this epoch [21–23]. Figure 2 shows that the temperature anomaly during the Eocene was likely at least 8 – 12 °C higher than from ~700,000 years ago to the year 2000 which would make the ambient temperature ~ 25 °C. This figure is based on data which indicates that the Earth's temperature is between 10-15 °C [24, 25] (represented by 0 °C dotted line in Figure 2) Of course, the ambient conditions were likely interspersed with cooler periods over a timescale of approximately 50 - 60 million years (Figure 2).

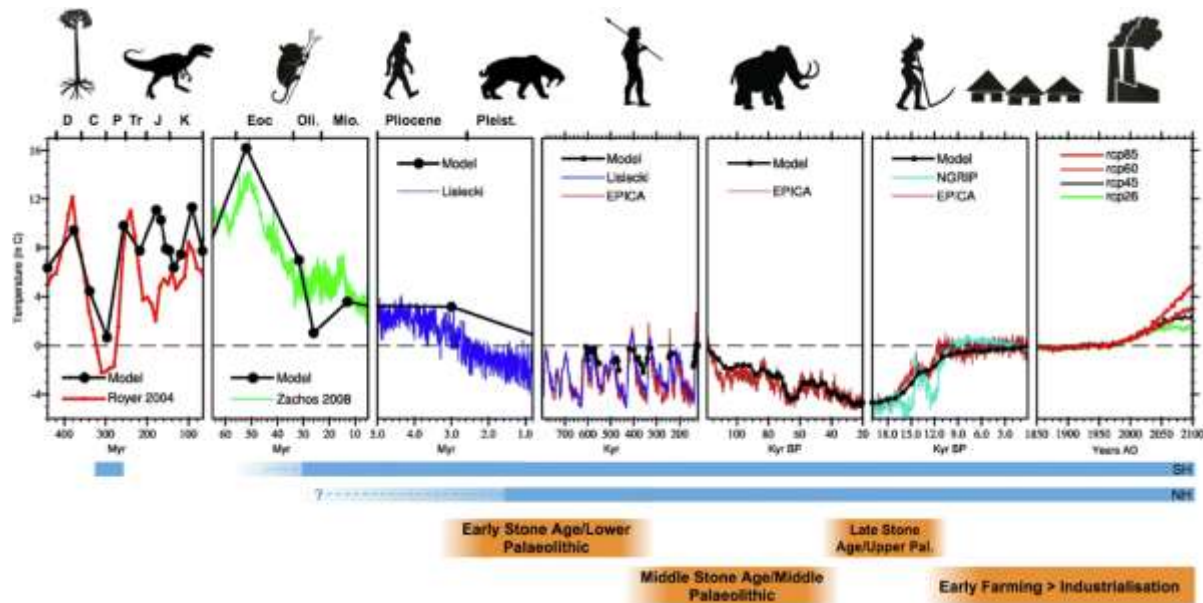


Figure 2. Global annual mean temperature variation of the Earth through time (last 400 million years) predicted by the Hadley Centre Coupled Climate Model, compared with geologically derived estimates of temperature variability over the same period. Geological epochs include the Devonian (D), Carboniferous (C), Permian (P), Triassic (Tr), Jurassic (J), Cretaceous (K), Eocene (Eoc), Oligocene (Oli.), Miocene (Mio), Pliocene and Pleistocene (Pleist.). Major evolutionary characteristics and events over the last 400 million years represented by cartoon silhouettes. Figure reproduced from [20] under the [Creative Commons CC BY](#) license.

Since the Law of Arrhenius states that heat production increases two-to-threefold with each 10 °C increase, coupled with the assumption that 25 °C was the environmental temperature during the evolution of homeothermy, we are able to arrive at the preferred balance point of 37 °C by the following mathematical model [26]:

The temperature gradient between the body core (C_t) and the assumed environmental temperature is given as:

$$C_t - 25\text{ °C}$$

If there is a 1 °C increase this would result in,

$$C_t + 1\text{ °C} - 25\text{ °C} = C_t - 24\text{ °C}$$

$$(C_t - 24\text{ °C}) / (C_t - 25\text{ °C}) = 1.086$$

In this example, 1.086 represents the Q_{10} effect where a 1 °C increase in C_t will increase heat production by $2.3^{0.1}$ (or 1.086). By solving for C_t the result is 36.6 or ~37 °C. Beyond the ambient temperature assumption, the model also fits the underlying assumption that the most desirable core temperature would naturally be higher than the environmental temperature to facilitate transfer of heat away from the core. Figure 2 also indicates that current temperature anomalies commencing in the 1980s are similar to the temperatures at the time when *Homo* and chimpanzee split from the Last Common Ancestor around 5 million years ago [27]. As such, the current ambient temperature anomalies are likely to still be within our evolutionary limits. Although this scenario may not appear to be alarming, when considering the time taken in the past for global warming to occur, the temperature increased up to 7 °C over approximately 5,000 years, whereas the current estimates indicate 0.7 °C over the past 100 years; ten times the rate of ice-age-recovery warming or 20 times faster compared with the last 2 million years [28].

3. Public health, temperature and climate change

Much has been written regarding the predicted climate change scenario and consequences for human health, but as already alluded to, much of this is related to the frequency of extremes [29–31] and the relationship with mortality rates rather than a considered approach to how *Homo* is equipped to adapt to a new and sustained higher global temperature [32–34]. However, the data on the mortality rate during heat extremes is a window into the potential public health issues society might face with a new higher global temperature. The higher rate of mortality during extreme heat is not simply a consequence of a poor thermoregulatory response as it is to complications from previous or ongoing chronic sequelae.

During extreme heat events the main response which can lead to higher rates of mortality is the need for the redistribution of blood towards the skin for enhanced heat transfer from the skin to the environment via the evaporation of sweat. This increased skin blood flow will increase the demand for the heart to increase its rate and contractility to

keep up with its own demand for oxygen. This scenario is particularly stressful for those individuals with pre-existing cardiovascular conditions which would place additional strain on the heart simply because there is a need to deal with an overwhelming environmental heat load for an extended time [35]. This increased cardiovascular strain is also a concern for the older population who overwhelmingly suffer from cardiovascular morbidity and are more likely to succumb to cardiovascular induced heat strain leading to mortality during extreme heat events [36]. Given the aging population in many countries where extreme heat waves are expected and the fact that cardiovascular disease is still a global health risk [37], the collision of climate change with these two factors alone pose a great risk to human health that cannot be ignored.

Beyond the cardiovascular morbidity are the potential effects of prolonged exposure to humid heat whereby much needed cooling via evaporation of sweat is minimised or even restricted, potentially leading to prolonged body fluid deficits [38, 39]. Prolonged dehydration can have deleterious effects on multiple fronts, and in particular it can reduce blood volume [40] which could result in worsening cardiovascular symptoms. It is also possible that prolonged dehydration can have serious consequences on renal function with ensuing chronic kidney disease, including failure and fibrosis [41]. This has been highlighted as a serious concern for workers in outdoor environments as shown in field workers in Central America [42]. These authors make an important distinction which indicates that renal dysfunction with long exposure to high heat loads can be an outcome of strenuous work and not necessarily due to other comorbidities that might also be related to renal dysfunction (e.g. diabetes mellitus, hypertension).

An often-overlooked health implication associated with climate change is the effect that continuous exposure to high ambient temperatures have on the respiratory system. It is known for instance, that hyperthermia will increase ventilation resulting in the physiological response known as hyperpnea (deeper than normal breaths resulting in increased volume of air in the lungs) [43]. Although this response is typically observed from increases in metabolic demand with exercise, hyperpnea also occurs as a consequence of passive exposure to heat where the threshold for core temperature seems to be as low as ~ 37.8 °C [44]. Given that core temperatures during heat waves can reach as high as 39 °C in ill patients and to a lesser degree in health care workers (~37.5°C) [45], there is a likelihood that sustained exposure to higher heat loads for individuals with pre-existing respiratory conditions will experience respiratory distress due to heat related hyperpnea. An additional and indirect concern with respect to the potential effects of climate change on respiratory health is the rise in CO₂ levels which have risen to 440 ppm and suggested to be the highest since recording commenced in 1958 [46]; a higher global temperature may come with a higher level of atmospheric CO₂. Although the effect of exposure to high levels of CO₂ (> 1000 ppm) on human health are well described [47], the effects of long-term low levels of increased atmospheric CO₂ (<1000 ppm) on human respiratory health are not well established. It appears that physiological changes occur at CO₂ exposures between 500 – 5000 ppm with cognitive effects evident at 1000 ppm [48]. Evidence from a mouse model now indicate that long term exposure at 890 ppm from preconception to adulthood resulted in significantly impacted respiratory structure and function in female mice [49]. These authors conclude that long-term exposure to the predicted climate change atmospheric CO₂ levels can negatively impact lung structure and function in female mice, although the effects seem modest but biologically relevant. Nevertheless, these supposed modest effects might be more relevant in those individuals that have pre-existing respiratory ailments, since climate change will not just increase the chances of developing hyperpnea but exposure to higher CO₂ levels, increased pollutants and aeroallergens which could increase the incidence of lung infections, asthma and exacerbations of chronic pulmonary obstructive disorder [50].

i) Limitations for physiological adaptation

There are at least two considerations with respect to adaptive capability for a higher global temperature. The first consideration is related to *Homo's* potential for physiological adaptation to a new climate, whereas the second is related to the behavioural adaptation which includes both personal and technological adaptations.

We know from a multitude of exercise acclimatization studies that the ability for *Homo* to adapt to higher environmental temperatures is significant and can occur within nine days of exposure [51–56]. Exercise acclimatization to a higher heat load brings with it many physiological changes which provide improved thermoregulatory responses. These include reduced heart rate for similar workload, increased blood volume and sweat rate, enhanced evaporation potential, decreased salt loss in sweat and a lessened potential to develop heat related illness. It also appears that higher levels of physical fitness provide for higher levels of heat tolerance with acclimation [57]. Therefore, one strategy that can be employed is to improve the physical fitness of the general population which would not only improve general health and wellbeing, but it would also improve the resilience and tolerance for a higher, more sustained environmental heat load.

However, even if the difficulties with deploying a mass population fitness strategy were overcome, it may only serve as a short to medium term intervention. For if we project to the level of heat load expected with a global-mean warming

of ~7°C, metabolic heat dissipation would be severely hampered, and with warming of 11–12 °C there would be inhospitable zones for humans [58]. The reasons for this reduced evaporative capacity are not particularly obvious to the public but are related to the maximum heat dissipation capacity of the environment and the limitations of our thermoregulatory strategy. When we consider the key environmental inputs (humidity, radiation and air movement) and individual variables such as physical activity and clothing; which determine the physiological strain during any given circumstance [59], it is the air humidity that will ultimately limit the human ability to thermoregulate effectively [60]. This is because heat balance as given by equation 1:

$$S = C \pm R \pm M - E \quad \text{Equation 1}$$

where heat storage (S) is gained or lost (\pm) through convection and/or conduction (C), radiation (R), metabolic heat (M) and loss through evaporation (E) of sweat, establishes that the major way of losing heat is through evaporation of sweat. The evaporative capacity of the environment is determined by the wet bulb temperature (T_w) and represents the potential upper limit for human heat tolerance [58]. This relationship is determined by the fact that heat cannot be lost to the environment when the object's temperature is exceeded by the T_w . Since human skin temperature is well regulated at ~35°C or slightly lower, it is hypothesised that a T_w of 35 °C for long periods would be intolerable because the temperature gradient from core-skin-environment would not be sufficient to offload metabolic heat. However, recent experimental modelling across six different humid environments has determined that uncompensable heat stress occurs at T_w significantly lower than 35°C [61]. In simple terms, when the skin temperature rises to be equivalent or above core temperature, offloading metabolic heat is not possible since the water vapour pressure gradient will limit the evaporation of sweat and heat storage will result. Although early modelling suggested that global surface temperatures will increase by an additional 2-2.5°C by 2100 [62], more recent estimates suggest this figure is closer to 5-6°C [2]. Regardless, these estimates indicate that there is a real possibility that the environmental temperatures will not be tolerable, and adaptability will be limited with our current thermoregulatory capability since the rate of change for the environmental temperature is far in excess of what it was when homeothermy was thought to have evolved. Finally, even though *Homo's* ability to acclimatize to the heat is well documented and appears to be quite responsive, this is only the case within the environment we currently inhabit. Beyond this, it is very doubtful that our adaptive capacity will serve us if the predicted high global temperatures eventuate [63].

ii) Limitations for behavioural adaptation

It is not controversial to claim that humans will alter their behaviour to accommodate the environment they inhabit. This altered behaviour extends to strategies which will accommodate thermal comfort [64–66]. The classic understanding of thermal comfort was proposed by Fanger (1970) [67] who combined six key parameters (ambient and core temperatures, relative humidity, wind velocity, clothing units and metabolic rate) as determinants. However, the behavioural strategies that might be employed are highly dependent on thermal inputs from the core, skin and air temperature along with length of exposure as historically suggested by Gagge et al. 1967 [64]. More recent data indicate that the initiation of thermoregulatory behaviour is not necessarily dependent on changes in temperature but on thermal sensation and discomfort as behavioural controllers [68]. Regardless of the weighting or value of the determinants of thermal comfort, there are limited choices with respect to our behavioural adaptation. That is, manipulating the air flow to affect skin temperature [7] or removing or donning clothing will assist. However, there is complexity in modifying the surroundings as recently highlighted by Jay et al. [33]. For instance, these authors outline that the use of air conditioning is likely to be unsustainable in the future since this will add to power usage and adding further burdens to electricity demands and to the causes of anthropogenic climate change. As an alternative, these authors outline strategies which address the urban landscape and building possibilities which are posited to augment our adaptive capacity to heat extremes and hot weather. The question then is whether society has a) the lead time to make these changes for predicted new thermal environment and, b) the financial capability to enact these physical and structural changes.

Nevertheless, there are individual behavioural strategies that can be enacted which could assist. First, it is known that reducing intensity of physical activity will reduce the rate of rise in body temperature [69] which for manual and outdoor workers would be essential in improving comfort, alleviating the likelihood of thermal injury and loss of productivity [70]. Second, the use of cooling strategies either ahead of, or during exposure to higher ambient temperatures is known to reduce the rate of rise in body temperature and attenuate thermal discomfort [71–74]. Altering the times when work is conducted could negate the exposure to daytime temperatures or increasing breaks during work time could improve the productivity and reduce the chances of the deleterious effects of exposure to higher heat loads [75]. Any of these strategies and perhaps others could improve health and wellbeing when exposed to higher heat loads. However, their applicability as a long term and sustainable strategy if and when we reach a new higher global temperature is questionable since our capacity to store and lose heat will not have likely been altered.

A final consideration and a less studied area is the effect of night-time temperature on human sleep, which has been shown to be significantly disrupted during summer and among both lower-income and older individuals [76]. It is not uncontroversial to suggest that sleep is paramount for health and that either disrupted, or not enough sleep may lead to other illnesses and psychological distress [77]. The fact that insufficient sleep can negatively influence a myriad of human functions and is associated with increasing prevalence of chronic conditions such as Type 2 diabetes mellitus, obesity and eating disorders [78, 79] alone should be cause for concern. If this is overlaid with additional mental health consequences and if sleep patterns are shown to be altered due to temperature anomalies as a corollary of climate change, there is potentially a more complex chronic disorder which intersects both climate, chronic health conditions and mental health. Moreover, recent findings indicate that from 28 cities across Japan, South Korea and China, the increased frequency of hot nights was significantly associated with increased mortality risks, with a prediction that the frequency of hot nights would increase more than 30% and the intensity of hot night would increase by 50% by 2100s [80]. These authors concluded that there is a growing role of night-time warming in heat-related health effects in a changing climate. Therefore, it is not only necessary to modify daytime behaviour, but it appears that behaviour and modifications to our surroundings are also required to avoid the possibility of sleep disruption and its potential for poor health and wellbeing.

4. Conclusions

Homeothermy represents a fine-tuned system which has enabled Homo to interact with the environment and balance heat loss with heat production. This thermoregulatory $\sim 37^{\circ}\text{C}$ balance point evolved over millions of years and served well during an extended cooling period of the Earth. However, the magnitude of the rate of increase in warming is predicted to be close to 20 times faster than what it was when the Earth was rewarming from the Ice Age. This effectively means that when the surrounding temperature reaches values which impeded the potential to offload heat, areas of the Earth may be uninhabitable by humans and probably other animal life. Our adaptability for this new environment is limited by the thermoregulatory capability and therefore we will require other strategies to mitigate this limitation.

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Conflicts of Interest: There are no conflicts to declare

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