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Article

Glycocalyx Disintegration Is Associated with Mortality in Chronic Heart Failure

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Abstract: **Background:** Glycocalyx disintegration is associated with adverse outcome in patients with trauma or sepsis. As microvascular dysfunction has an impact on disease progression in chronic heart failure (CHF) patients, we hypothesized that changes in microcirculation might be associated with mortality. **Methods:** Fifty patients with ischemic and non-ischemic cardiomyopathy and conservative treatment with baseline measurements of the sublingual microcirculation (via Sidestream Darkfield videomicroscopy) were followed up for two years. Glycocalyx thickness was assessed indirectly by calculation of the perfused boundary region (PBR). **Results:** Loss of glycocalyx was pronounced in non-survivors after one, n=10, and two years, n=16, PBR: 2.05 μ m (1.88-2.15 μ m) vs. 1.87 μ m (1.66-2.03 μ m) and 2.04 (1.93-2.11) vs. 1.84 (1.62-1.97); p=0.042 and p= 0.003, respectively. Area under the ROC curve for the analysis of the predictive value of PBR on two- year mortality was 0.77 (p=0.003; SE: 0.07, CI (95%): 0.63-0.91). ROC curve analysis determined a PBR of 1.9 μ m as best predictor for two- year mortality (sensitivity: 0.81; specificity: 0.59). Moreover, multivariate regression analysis revealed PBR and functional capillary density as significant predictor of two- year mortality, p=0.036 and p=0.048, respectively. **Conclusion:** Glycocalyx disintegration is related to poor overall survival in CHF patients.

Keywords: glycocalyx; microcirculation; capillaries; cardiomyopathy; mortality

1. Introduction

Disturbance of the microcirculation promotes the progression of cardiovascular diseases [1,2]. The glycocalyx has a main role in endothelial protection and its disintegration is often associated with inflammation and leads to atherogenic processes [3,4]. Glycocalyx impairment facilitates tissue infiltration by monocytes/ macrophages, polymorphonuclears and lymphocytes [5]. Further, glycocalyx disintegration promotes the formation of tissue oedema, including myocardial tissue

compromising heart function [6–9]. The increased myocardial water content restricts left ventricular contractility, cardiac output and diastolic cardiac function [7,10,11].

Negatively charged proteoglycans are the main components of the glycocalyx and consist of a core protein covalently linked to glycosaminoglycans (GAGs) [12]. The latter are increased in the human plasma during conditions of septic shock [13,14], and of those hyaluronic acid and heparan sulphate are higher in non-survivors [13]. Another component of the glycocalyx, syndecan-1, was measured as marker for glycocalyx disintegration in patients with acute decompensated heart failure admitted to hospital and was predictive for the development of acute kidney injury and mortality [15]. Furthermore, in trauma patients, higher levels of circulating syndecan-1 were associated with increased coagulopathy and mortality [16].

In addition, the importance of an intact endothelial surface layer has become more and more evident during the recent pandemic, as glycocalyx degradation with endothelial dysfunction were reported as a key pathomechanism in severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection [17–22].

Previously, we described sublingual microvascular rarefaction in patients with chronic heart failure and optimized guideline-directed medical therapy [23]. However, glycocalyx dimensions did not differ between patients and healthy controls [23]. The aim of the performed follow up was to assess a possible association of the obtained microcirculatory parameters with patient mortality.

2. Methods

We performed a follow up of a previously published cross- sectional mono-centre clinical trial [23]. The study was performed in accordance with the Declaration of Helsinki and the protocol approved by the local Ethics Committee of the Medical University of Vienna. All participants signed a written informed consent.

Mortality status was assessed by the database of the Vienna General Hospital, which is connected to patient files in hospitals of Vienna as well as by telephone follow-up and the Austrian statistic agency (Statistics Austria).

2.1. Microscope Imaging

In vivo sublingual assessment of the microvasculature was performed using a sidestream darkfield videomicroscope (CapiScope HVCS Handheld Video Capillary Microscope, KK Technology, England) as previously published [23–25], by one person to avoid inter-observer variability.

The camera is provided with light emitting diodes using a wavelength of 525nm to detect the hemoglobin of circulating red blood cells. The standard lens of the microscope enables a 0.92 μ m/pixel magnification in 752 x 480 pixels (field of view: 692 x 442). The software for acquisition and calculation of the perfused boundary region (PBR) is supplied by GlycoCheck BV (Maastricht, The Netherlands) and detailed methodology was described previously [25,26]. The camera is placed under the tongue near the frenulum and the software identifies micro-vessels below 30 μ m of thickness due to the contrast of red blood cells (RBC). RBC column widths are measured in at least 3000 vessel segments. The PBR is the most luminal part of the glycocalyx, which allows for limited penetration of the RBCs [27]. It is located at both sides of the RBC column; to determine its properties, the distance between the median RBC column width (P50) and the outer edge of the RBC- perfused luminal part of the glycocalyx (= perfused diameter) is calculated using the following equation: (perfused diameter–median RBC column width)/2. The increase in PBR reflects glycocalyx destruction [26–28]. The average PBR of microvessels between 5–25 μ m diameter was used for statistical analyses. The PBR is inversely proportional to the glycocalyx [28]. The measurement and analysis system has shown to achieve reliable results and has been to date used in different clinical studies [27–32].

To assess capillary density, the software recognizes all micro-vessels below 30 μ m of thickness by determination of the red blood cells against the background. Vascular segments (line markers) are placed every 10 μ m of the vessel length. The recording process continues until a minimum of 3000

vascular segments. After the acquisition, on the first frame of each recording session a total of 21 line markers are placed every 0.5 μ m of the vascular segments. Only those vessels with an appropriate contrast of more than 60% of all 21 line markers are considered as functional (=valid perfused) vessels. All perfused vessels are referred to as total capillary density. RBC filling percentage is calculated by determining the percentage of vessels with RBCs present during the recording session (corresponding to 40 frames per session) [26]. RBC filling percentage and perfused capillary density are regarded as estimates of microcirculatory perfusion [23,26].

2.2. Statistics

Statistical analysis was performed using the Statistical Package for Social Sciences (IBM Corp. Armonk, NY, Released 2012). Median and interquartile range of continuous variables are shown. Categorical variables are given as number (%). We performed the non-parametric Mann Whitney U tests to detect differences in continuous variables. The chi-square test was used to assess differences in categorical variables. Spearman rank correlation was used to assess correlations.

In addition, receiver-operating characteristic (ROC) curve analyses were performed including standard error (SE) and 95% confidence intervals (CI) and used to graphically depict the relation between mortality and capillary density as well as for calculation of predictive thresholds for capillary density with respect to mortality.

A logistic regression analysis was performed to describe the relationship between functional or total perfused capillary density and mortality.

3. Results

Clinical characteristics of the followed patients at one and two years are given in Table 1.

Table 1. Patients' characteristics.

Follow up period of one year			
	Overall Death n=10	Overall Survival n=40	p-Value
Age	69 (62-76)	70 (59- 77)	0.952
Sex (m/f)	8/2	36/4	0.384
BMI	29 (24- 32)	28 (24-32)	0.574
Fibrinogen (g/L)	4.2 (3.6 -4.7)	4.0 (3.6-4.6)	0.700
Leukocytes (*10⁹/L)	7.7 (6.5 -9.0)	7.6 (6.3 -9.1)	0.849
Platelets (*10⁹/L)	215 (162 -255)	200 (180-238)	0.926
NT-proBNP (pg/mL)	4005 (2826- 7937)	2599 (1527-4549)	0.201
Albumin (g/L)	42.6 (37.3- 45.3)	43.4 (40.4- 45.3)	0.925
Alanine aminotransferase (μmol/s·L)	0.28 (0.21-0.32)	0.37 (0.28-0.48)	0.019
Aspartate aminotransferase (μmol/s·L)	0.35 (0.28 -0.45)	0.43 (0.32-0.50)	0.138
Total bilirubin (μmol/L)	9.9 (5.9 -16.9)	12.3 (7.2 -19.9)	0.586
C- reactive protein (mg/L)	4.2 (3.1 -7.5)	5.3 (1.7 -8.9)	0.780
Serum creatinine (μmol/ L)	115.8 (98.6- 333.3)	120.7 (95.3 - 176.8)	0.432
Estimated glomerular filtration rate (ml/min)	50.2 (17.1 -62.4)	51.5 (33.1- 73.4)	0.343

	Follow up period of two years		
	Overall Death n=16	Overall Survival n=34	p-Value
Age	74 (65-80)	70 (57-75)	0.134
Sex (m/f)	14/2	30/4	0.941
BMI	28.2 (24.6-31.5)	27.9 (24.1-32.3)	0.803
Fibrinogen (g/L)	4.2 (3.7-5.0)	4.0 (3.5-4.5)	0.174
Leukocytes (*10⁹/L)	7.7 (6.4- 9.2)	7.6 (6.1-8.8)	0.542
Platelets (*10⁹/L)	215 (176-244)	196 (178-240)	0.706
NT-proBNP (pg/mL)	4693 (3377- 11425)	2202 (1483-4243)	0.004
Albumin (g/L)	41.6 (37.4- 44.3)	44.2 (40.9- 45.9)	0.037
Alanine aminotransferase (μmol/s·l)	0.28 (0.23-0.35)	0.37 (0.28-0.49)	0.033
Aspartate aminotransferase (μmol/s·l)	0.35 (0.28-0.48)	0.43 (0.30-0.50)	0.275
Total bilirubin (μmol/L)	10.6 (7.4-16.9)	12.2 (6.8-20.3)	0.881
C- reactive protein (mg/L)	7.3 (3.9-1.7)	3.5 (1.6-7.9)	0.026
Serum creatinine (μmol/L)	165 (108-294)	113 (95-151)	0.066
Estimated glomerular filtration rate (ml/min)	38.8 (19.5-56.1)	56.8 (42.2-75.8)	0.045

Table 1: Data are presented as median and IQR.

After one year 10 patients (20%) and after two years 16 patients (32%) died.

At baseline, the PBR was 1.93μm (1.70-2.06μm) in the overall study population [23].

There was a significant inverse correlation of the PBR and RBC filling percentage $r = -0.916$, $p < 0.001$ [23].

The PBR was significantly higher in patients who did not survive the follow up period: PBR: 2.05μm (1.88-2.15μm) vs. 1.87μm (1.66-2.03μm), $p=0.042$ after one year and 2.04μm (1.93-2.11μm) vs. 1.84μm (1.62-1.97μm); $p = 0.003$ after two years, Table 2.

Table 2. Microvascular parameters.

	Follow up period of one year		
	Overall Death n=10	Overall Survival n=40	p-Value
PBR (μm)	2.05 (1.88-2.14)	1.87 (1.66-2.03)	0.042
RBC filling %	71 (70-74)	74 (71-78)	0.087
Functional capillary density (μm/mm²)	2732 (1820-3141)	2407 (2085-2736)	0.369
Total capillary density (μm/mm²)	3525 (2410-6435)	3538 (3043-4497)	0.971
Ratio (%)	73 (60-85)	71 (57-76)	0.331
Follow up period of two years			
	Overall Death n=16	Overall Survival n=34	p-Value
	2.04 (1.93-2.11)	1.84 (1.62-1.97)	0.003
RBC filling %	71 (70-74)	75 (71-79)	0.028
Functional capillary density (μm/mm²)	2630 (2028-2974)	2403 (2068-2688)	0.298

Total capillary density ($\mu\text{m}/\text{mm}^2$)	3568 (2963-5339)	3538 (3021-4397)	0.747
Ratio (%)	73 (57-78)	71 (57-77)	0.771

Table 2 Data are presented as median and IQR.

At the time point of 1-year of the follow up there was no difference in RBC filling percentage (71% [70- 74%] vs. 74% [71-78%], $p= 0.087$), functional ($2732\mu\text{m}/\text{mm}^2$ [1820-3141 $\mu\text{m}/\text{mm}^2$] vs. $2407\mu\text{m}/\text{mm}^2$ [2085-2736 $\mu\text{m}/\text{mm}^2$], $p=0.369$) or total perfused capillary density ($3525\mu\text{m}/\text{mm}^2$ [2410-6435 $\mu\text{m}/\text{mm}^2$] vs. $3538\mu\text{m}/\text{mm}^2$ [3043- 4497 $\mu\text{m}/\text{mm}^2$], $p=0.971$) between survivors and non-survivors, Table 2.

Non-survivors of the 2- year follow up had significantly lower RBC filing percentage, signifying a disturbed microcirculatory perfusion (71% [70- 74%] vs. 75% [71-79%], $p=0.028$). There was no difference in functional ($2630\mu\text{m}/\text{mm}^2$ [2028-2974 $\mu\text{m}/\text{mm}^2$] vs. $2403\mu\text{m}/\text{mm}^2$ [2068- 2688 $\mu\text{m}/\text{mm}^2$], $p=0.3$) or total perfused capillary density ($3568\mu\text{m}/\text{mm}^2$ [2963- 5339 $\mu\text{m}/\text{mm}^2$] vs. $3538\mu\text{m}/\text{mm}^2$ [3021- 4397 $\mu\text{m}/\text{mm}^2$], $p=0.75$) between non-survivors and survivors, Table 2.

As reported previously, at baseline PBR correlated with inflammation markers (fibrinogen: $r=0.54$ and C-reactive protein: $r=0.36$), platelet count ($r=0.38$), and with measures of renal/liver function such as estimated glomerular filtration rate ($r=-0.34$), bilirubin ($r=-0.39$) and albumin ($r=-0.30$) in CHF patients, all $p<0.05$ [23].

Of these markers, non-survivors of the one-year follow up had lower baseline levels of alanine aminotransferase, $p=0.019$, Table 1. The other parameters did not differ between survivors and non-survivors at one year, Table 1.

In contrast, non-survivors of the two-year follow up had significantly higher baseline NT-proBNP and creatinine levels, with lower estimated glomerular filtration rate (GFR) as compared to survivors, Table 1. Further, higher baseline c-reactive protein and lower levels of albumin and alanine aminotransferase were observed, Table 1.

In a multivariate regression model comprising PBR, functional and total capillary density, NT-proBNP, creatinine, c-reactive protein, albumin and alanine aminotransferase, PBR and functional capillary density remained significantly associated with patient survival at two years, Table 3.

Table 3. Multivariate regression analyses.

	One year			Two years		
	B	CI	P	B	CI	P
PBR	4.8	0.5-27684	0.087	5.5	1.4-38820.5	0.036
Functional capillary density	0.03	1.0-1.1	0.083	0.3	1.0-1.1	0.048
Total capillary density	-0.01	0.98-1.0	0.149	-0.01	0.98-1.0	0.064
NT-proBNP	0	1.0-1.0	0.487	0.0	1.0-1.0	0.489
Creatinine	-0.002	0.98-1.0	0.762	-0.01	0.98-1.0	0.224
C- reactive protein	-0.05	0.4-2.6	0.915	0.3	0.8-2.6	0.285
Albumin	0.1	0.8-1.6	0.448	-0.03	0.8-1.2	0.793
Alanine aminotransferase	-8,3	0.0-5.0	0.101	-4.9	0-2.5	0.099

Area under the ROC curve for the analysis of the predictive value of PBR on two- year mortality was 0.77 ($p=0.003$; SE: 0.07, CI (95%): 0.63-0.91). ROC curve analysis revealed thresholds of $1.9\mu\text{m}$ for PBR as best predictors for two- year mortality (sensitivity: 0.81; specificity: 0.59), Figure 1A.

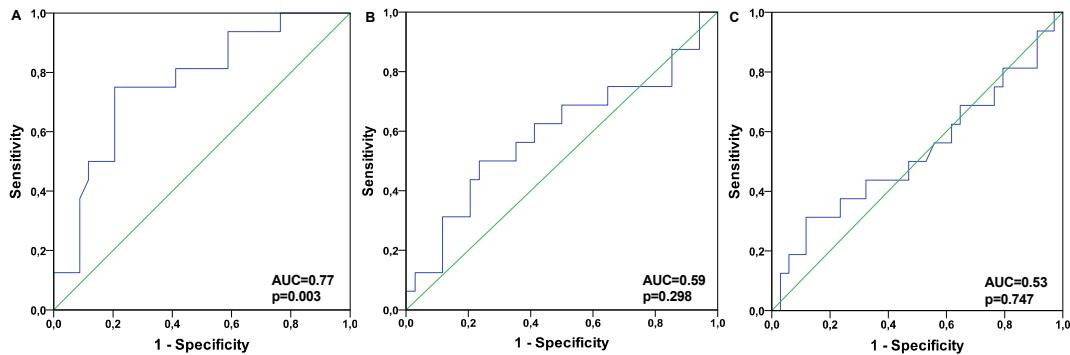


Figure 1. Receiver-operating characteristic (ROC) curve for the analysis of the predictive value of (A) perfused boundary region (PBR): area under the curve (AUC) = 0.77 ± 0.07 (SE), CI 95%: 0.63–0.91, $p = 0.003$. (B) functional capillary density: AUC = 0.59 ± 0.09 (SE), CI 95%: 0.41–0.77, $p = 0.298$ and (C) total perfused capillary density: AUC = 0.53 ± 0.09 (SE), CI 95%: 0.34–0.71, $p = 0.747$, depicted as blue line, respectively, for mortality at two years. SE, standard error.

Area under the ROC curve for the analysis of the predictive value of functional and total capillary density on two- year mortality was 0.59 [$p=0.298$; SE: 0.09, CI (95%): 0.41-0.77, Figure 1B] and 0.53 [$p=0.747$; SE: 0.09, CI (95%):0.34-0.71, Figure 1C], respectively.

4. Discussion

Glycocalyx disintegration is a central component of endothelial dysfunction driving atherosclerosis and cardiovascular diseases [4,33]. The latter are associated with altered microvascular perfusion and endothelial barrier properties, often related to disease progression and severity [23–25,34–36]. Glycocalyx destruction precedes endothelial dysfunction destabilizing vascular homeostasis [9,21]. Herein, all components of the Virchow's triad including endothelial integrity, vascular perfusion and coagulation are affected [21]. These processes, though often induced by inflammation, promote themselves a pro-inflammatory and pro-coagulative state eventually leading to tissue oedema, thrombosis, necrosis and also atherosclerosis [9,37]. Moreover, as endothelial function and vascular integrity is disturbed, glycocalyx degradation promotes the progression of cardiovascular diseases [38].

The presented long-term follow up of our preliminary study examining CHF patients shows the significant association of glycocalyx destruction with mortality, despite guideline-directed OMT. In contrast, we previously could not distinguish an influence of the glycocalyx constitution on mortality in CHF patients with VAD therapy [24]. The observed difference might be due to altered haemodynamics and their possible influence on the glycocalyx in VAD patients or possibly due to benefits/ complications associated with the mechanical circulatory support itself [24,39].

In addition to increased glycocalyx disruption, patients, who died during the follow up of our study had also higher markers of inflammation. This observation corresponds to the concept of a pro-inflammatory state affecting glycocalyx integrity with an impact on adverse events [4]. The latter might occur primarily in the microvasculature, often leading to difficult diagnostic processes, limiting patients' quality of life and eventually promoting disease progression.

The results of our long-term follow up are further in-line with previous reports showing an association between syndecan-1, which was measured as a marker of glycocalyx disruption, and 6-month mortality after acute decompensated heart failure [15]. In this study, Neves et al. investigated 201 patients with acute decompensated heart failure admitted to the emergency department [15]. Herein, syndecan-1 levels correlated with hsCRP and both were independently related to 6-month mortality [15]. Higher plasma levels of syndecan-1 were further associated with the development of acute kidney injury during the hospital stay [15]. As previously reported, we also observed an inverse

correlation between PBR and eGFR in our patient group, signifying the occurrence of more pronounced glycocalyx destruction in patients with lower eGFR levels [23]. Furthermore, non survivors of the 2-year follow up had lower glomerular filtration rates.

Higher plasma levels of syndecan-1 were also associated with higher all-cause mortality and rehospitalization in HF patients with preserved ejection fraction, signifying the association of glycocalyx degradation with adverse patient outcome [40].

The glycocalyx is key in regulating tissue homeostasis and its intactness is necessary to maintain the filtration barrier and prevent oedema formation [9,41]. Myocardial oedema formation has been described in heart failure and can be attributed to glycocalyx degradation resulting in microvascular barrier dysfunction [42]. The accumulation of water in interstitial and intracellular compartments evokes cardiomyocyte injury, dysfunction, and in consequence cardiac remodelling [9].

Glycocalyx integrity has also been shown to be crucial in shielding endothelial cells against viral infections like SARS-CoV-2 [22,43]. In this context the shedding of glycocalyx components can be regarded as a main factor accelerating viral entry [21,43]. Endothelial dysfunction and endotheliitis evoked by viral invasion drives thromboinflammation affecting the equilibrium of the Virchow's triad [21]. Together with changes of plasma viscoelastic properties, microclots occur affecting the perfusion of the capillary network [44]. Moreover, sustained changes in glycocalyx composition contributing to inflammatory and pro-coagulative processes are discussed to imply long- lasting sequelae after COVID-19 infection [9,21,45,46].

Since the glycocalyx represents a fragile structure and preservation of its properties is demanding, therapeutic options remain mainly experimental.

Herein, concepts targeting inflammatory and pro-coagulative pathways are promising to convey glycocalyx protection [47]. Hitherto, medication like sodium-glucose cotransporter 2 (SGLT-2) inhibitors are recommended in heart failure and statins in hyperlipidemia guidelines and are known to exhibit anti- inflammatory properties [48,49]. Moreover, finerenone is discussed to convey glycocalyx structure preservation and protection against COVID-19-associated adverse events in patients with type 2 diabetes and chronic kidney disease [50].

Additionally, experimental approaches covering preconditioning concepts and agents resembling glycocalyx components are under investigation [9].

Moreover, in vivo diagnostic approaches remain challenging. With the use of intravital sublingual capillaroscopy, patients at risk could be identified, which might benefit from further therapy with regard to glycocalyx preservation or restoration. Further studies addressing this question are warranted.

5. Conclusion

In vivo obtained PBR values as indirect measure of the glycocalyx were independently associated with mortality in a long-term follow up of CHF patients. These observations should provide a cornerstone for further studies regarding glycocalyx composition and preservation in health and disease.

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Data Availability Statement: Raw data generated and/or analysed during the current study are available from the corresponding author on reasonable request.

Conflict of Interest: The authors declare no conflict of interest.

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