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Article

# Lightweight Deep-Learning-Based Classification of Nasal Polyps in Real-Time

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**Abstract:** Nasal polyps are a common condition that can significantly impact patients' quality of life. Early and accurate detection of nasal polyps is crucial for effective treatment and management. This paper presents a novel deep learning-based approach for automated detection and classification of nasal polyps from endoscopic video frames. We developed a lightweight convolutional neural network model based on the MobileNet V2 architecture, optimized for deployment on edge devices to enable real-time analysis during endoscopic procedures. Our model was trained and evaluated on a large, diverse dataset of 36 patients and approximately 12,000 labeled video frames. We employed a patientcentric data stratification approach to ensure an accurate generalization. The model demonstrated high performance, achieving an accuracy of 97% at the frame level and 100% accuracy in patient-level classification. Frame-level analysis showed high sensitivity and specificity, with only minimal misclassifications. The model demonstrates fast inference times of 8 milliseconds per frame on CPU and 1 millisecond per frame on GPU, enabling real-time analysis during endoscopic procedures. This speed and accuracy make it a potential tool for assisting otolaryngologists in diagnosing nasal polyps more efficiently and reliably. While these results are promising, we discuss the need for further validation on external datasets to confirm generalizability. Future work will focus on expanding the dataset, incorporating additional polyp classes, and ensuring cross-hardware compatibility. This research represents an advancing step towards enhancing the accuracy and efficiency of nasal polyp detection in clinical settings.

**Keywords:** Aritificial Intelligence; nasal polyp detection; Convolutional Neural Networks (CNN); MobileNetV2; edge hardware deployment; medical imaging; diagnosis

#### 1. Introduction

Nasal polyps, benign, grape-like growths in the nasal passages and sinuses [1], present a significant clinical challenge. These growths can lead to substantial morbidity, including nasal obstruction, chronic rhinosinusitis, and olfactory dysfunction [1,2]. Accurate and timely detection is crucial for effective management [1,3], yet current diagnostic methods, primarily relying on endoscopic examination by trained specialists [4], suffer from subjectivity and potential human error [4]. This inherent variability in diagnosis underscores the need for objective, reliable diagnostic tools. The field of artificial intelligence (AI), particularly in image analysis, offers a promising avenue to address these limitations [4,5].

# 1.1. Application of AI in Ear, Nose and Throat (ENT)

The application of AI in otolaryngology, encompassing the ear, nose, and throat (ENT) domain, is rapidly expanding. A systematic review focusing on rhinology specifically investigated the applications of AI, machine learning, and deep learning in this field. The study, conducted by [6], followed the PRISMA guidelines, resulting in the analysis of 39 eligible articles from a pool of 1378 unique citations. These studies employed diverse AI systems, with inputs ranging from compiled datasets and verbal descriptions to two-dimensional (2D) images. Outputs were largely dichotomous or



nominal classifications of polyp presence or absence. The most prevalent AI models used in these studies were support vector machines (SVMs) and convolutional neural networks (CNNs). While the model reliability varied across studies, reported accuracies generally fell within the range of 80% to 100%. However, a critical limitation emerged: the lack of publicly accessible code sources significantly hinders the reproducibility and advancement of research in this area. Researchers are often forced to reconstruct models from scratch, impeding collaborative progress and efficient knowledge dissemination. Furthermore, the relatively limited size of the available data pools in many studies poses a significant challenge. This necessitates substantial interpretive work prior to the analytical process, potentially introducing bias and affecting the generalizability of findings. The inherent limitations of data scarcity and lack of code transparency call for a concerted effort to establish standardized datasets and encourage open-source sharing of AI models to facilitate future research and improve the reliability of AI-based diagnostic tools in rhinology.

# 1.2. Automated Detection of Polyps

The automated detection of polyps, across various anatomical locations, is a major area of interest in medical image analysis. While colorectal polyps have been extensively studied in AI applications, the detection of nasal polyps presents a similarly important challenge. Several studies have explored the application of AI, particularly deep learning techniques, to both domains, highlighting the versatility of these models in handling different types of polyps.

[7] proposed an ensemble-based deep convolutional neural network (CNN) for the identification of polyps from colonoscopy videos. This approach combined the strengths of different CNN architectures, such as ResNet and Xception, achieving performance metrics exceeding 95% across various algorithm parameters. Similarly, [8] developed a CNN-based deep learning model for the detection and classification of colorectal polyps from colonoscopy images, incorporating image pre-processing techniques to enhance image quality and improve the model's performance. These studies demonstrate the strong potential of deep learning for accurate and efficient polyp detection, a concept that extends beyond the gastrointestinal tract.

Expanding this approach to nasal polyps, researchers have begun adapting deep learning techniques to endoscopic images of the nasal cavity. Nasal polyps are soft, painless, noncancerous growths that develop in the lining of the nasal passages or sinuses. Like colorectal polyps, their early detection is crucial for effective treatment and disease management.





Figure 1. Sample of Nasal Endoscopy indicating Polyp (left) vs Non-Polyp (right)

[6,9] demonstrated the feasibility of a CNN-based system for the automated detection and classification of nasal polyps and inverted papillomas from nasal endoscopic images. The use of endoscopic imaging, akin to colonoscopy in colorectal polyp detection, underscores the adaptability of AI-based diagnostic tools to different medical imaging modalities. The ability of AI to assist clinicians

in differentiating between various nasal cavity mass lesions, a task demanding considerable expertise, is particularly promising.

By bridging research on polyp detection across different anatomical regions, these advancements illustrate the broader applicability of AI in medical diagnostics. The success of CNN-based approaches in both gastrointestinal and nasal polyp detection paves the way for further interdisciplinary innovations, refining deep learning methods to improve accuracy, efficiency, and clinical utility.

# 1.3. Limitations of Current AI Applications in Polyp Detection

Despite the considerable promise of AI in polyp detection, several significant limitations currently impede its widespread clinical adoption. These limitations necessitate careful consideration and further research to fully realize the potential of AI in this field.

- Data Scarcity and Variability: The availability of substantial, high-quality, and meticulously annotated datasets is a critical prerequisite for training robust AI models. However, the acquisition of such datasets for nasal polyp detection presents considerable challenges. The inherent variability in polyp morphology, the potential for variations in image quality across different imaging modalities, and inconsistencies in imaging protocols further complicate the process of model training and validation. This lack of standardized data significantly restricts the generalizability and reliability of current AI models [6].
- Algorithm Generalizability and Robustness: AI models, particularly those based on deep learning architectures, are susceptible to overfitting. This means that they may perform exceptionally well on the data used for training but exhibit poor performance when presented with unseen data. This limitation restricts the generalizability of these models to diverse patient populations and various clinical settings [6]. Furthermore, the robustness of these models to noise and variations in image quality needs substantial improvement.
- Lack of Explainability and Transparency: Many deep learning models operate as "black boxes,"
  meaning their decision-making processes are not readily transparent or easily interpretable. This
  lack of transparency makes it difficult for clinicians to understand how the AI system arrives
  at its predictions, which naturally hinders trust and acceptance in the clinical setting. The
  development of explainable AI (XAI) methods is therefore critical for enhancing the transparency
  and interpretability of these models [10].
- Clinical Workflow Integration: The seamless integration of AI systems into existing clinical
  workflows demands careful consideration of several factors. These include the design of userfriendly interfaces, the efficient integration of data from various sources, and the potential impact
  on overall clinical efficiency [10]. The development of user-friendly and seamlessly integrated AI
  tools is essential for successful clinical adoption.
- Ethical and Regulatory Concerns: The application of AI in healthcare raises important ethical and
  regulatory concerns, including data privacy, the potential for algorithmic bias, and issues of liability [6]. Addressing these concerns is paramount for the responsible and equitable implementation
  of AI systems in clinical practice.

# 1.4. Main Contribution

This paper presents significant advancements in AI for medical diagnostics of sinonasal patients - specifically in detecting and classifying nasal polyps. The main contributions are:

- Transfer Learning from Pre-trained MobileNetV2 Model: We developed a model using transfer learning from a pre-trained MobileNetV2 model, fine-tuned for nasal polyp detection, achieving superior accuracy over existing methods.
- 2. **State-of-the-art accuracy**: The model demonstrates high accuracy in both frame-based and patient-based level, validated against independent test sets, and matches the performance of expert clinicians.

3. **Edge Hardware Deployment**: Our AI model is optimized for edge hardware, enabling real-time diagnostics at the point of care, beneficial for resource-limited settings.

These contributions highlight the practical and clinical relevance of our AI solution, which operates in real-time aspects to promote better patient outcomes and optimize healthcare delivery.

# 2. Materials and Methods

This section provides a comprehensive overview of the algorithm development pipeline (see Figure 2), detailing each phase from dataset preparations including labeling, to video conversion and data preprocessing to the implementation of advanced machine learning techniques using the MobileNet V2 architecture. The accompanying diagram illustrates the structured workflow of the project, encompassing several critical stages:

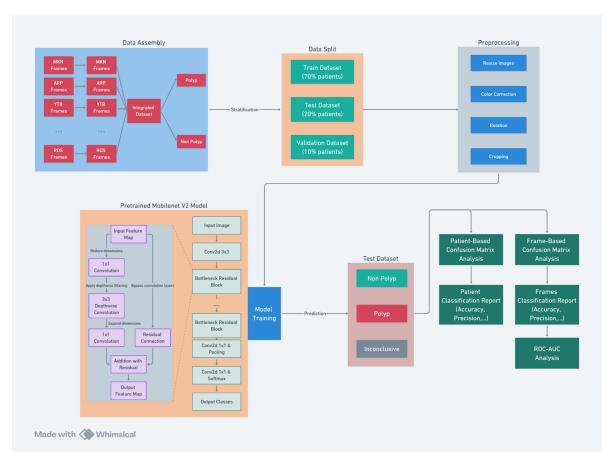


Figure 2. Overview of the Polyp Detection Pipeline

- 1. **Data Collection**: Videos of nasal endoscopy has been collected from numerous sources including private Ear, Nose and Throat (ENT) clinics (including deindentified videos from Dr Mamikonyan and Dr Arpi which are two lithuanian ENT specialists as well as Youtube -YTB- videos).
- 2. **Data Assembly**: Frames from multiple video sources are collected and integrated to form a comprehensive dataset. The data were labeled by an ENT expert into 'Polyp' and 'Non Polyp' classes.
- 3. **Data Split**: The dataset is strategically divided into training, testing, and validation subsets. The data is stratified by patient. This ensures unbiased model training and evaluation.
- 4. **Preprocessing**: Images undergo various preprocessing steps, including resizing, color correction, rotation, and cropping. These steps standardize the data and enhance model performance.
- 5. **Model Training**: The diagram outlines the architecture of the pre-trained MobileNet V2 model, highlighting the progression from input images to feature extraction and classification output. The model later was fine-tuned on our polyp classification data.

6. **Model Evaluation**: The final stage involves a dual approach to model evaluation. Patient-based and frame-based confusion matrices, along with ROC-AUC analysis, are used to assess the model's diagnostic accuracy.

This structured approach ensures a thorough and systematic implementation of the polyp detection model, with each stage contributing to the overall efficacy and reliability of the system.

### 2.1. Dataset Collection and Description

The initial phase of the project involved acquiring a proprietary collection of endoscopic video recordings from various international Otolaryngologists (ENTs) across multiple sources including private ENTs and online. These recordings constitute the primary data source for the project, with each video providing a sequential visual dataset essential for polyp identification. Additional recordings were obtained from publicly available sources.

Given the temporal nature of the videos (with an average length of 1 minute with 30Hz framerate), they were decomposed into individual frames. This conversion is a crucial step, as it transforms the dynamic video data into a format suitable for static image analysis, which aligns well with contemporary image-based deep learning methodologies. To reduce redundancy and computational load, frames were extracted at different intervals. This selection frequency was determined to balance the need for data sufficiency with processing efficiency.

### 2.1.1. Data Labeling

The labeling of the dataset, a critical step integral to the success of any supervised machine learning project, was meticulously executed with human-level precision by an expert ENT specialist. Leveraging such high-level medical expertise, we established a robust dataset that ensures the reliability of subsequent model training and evaluation stages.

# 2.1.2. Data Stratification and Split

In this section, we describe the stratification and splitting process of our dataset (See Figure 3), highlighting its critical importance for developing a robust and clinically applicable polyp detection model. Stratification by patients ensures that our model generalizes across diverse individual characteristics and prevents the leakage of patient-specific features between training and validation/testing sets as well as balance the number of frames across different classes. This novel stratification method guarantees that the model's performance metrics are both statistically valid and clinically relevant.

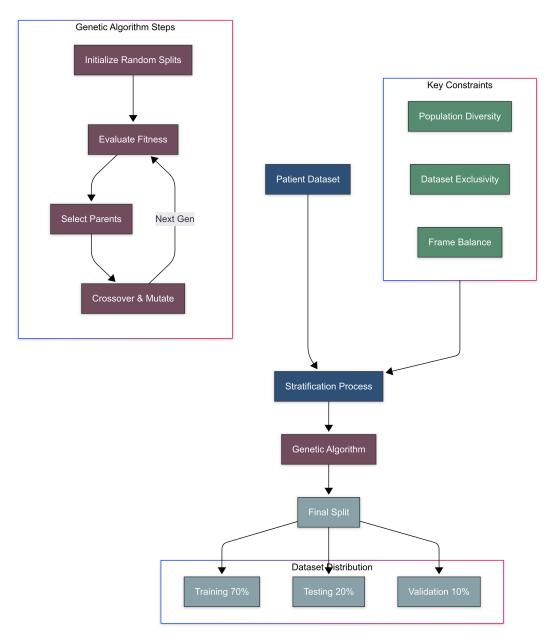


Figure 3. Stratification process for balanced trainning dataset generation

# Importance of Stratification

Stratification in the context of medical data is essential for ensuring that the trained model is not only statistically valid but also clinically applicable. For this project, patient-centric stratification was crucial. The variability among patients due to physiological differences, pathology types, and imaging conditions necessitates that the model learns to generalize across a diverse set of individuals. Stratification by patients, as opposed to by individual frames, prevents leakage of patient-specific features between training and validation/testing sets, which could artificially inflate performance metrics.

# Stratification Methodology

We employed a stratified random sampling technique to allocate patients to our datasets (detailed by Algorithm 1). The stratification was governed by several constraints:

- Ensuring that each dataset reflects the diversity of the overall patient population.
- Keeping the patients exclusive to one dataset to avoid information bleed.
- Balancing the number of frames in each dataset while adhering to the proportionality in terms of patient numbers.

# Algorithm 1 Patient-Centric Dataset Stratification Using Genetic Algorithm

```
Require:
 1: P = \{p_1, ..., p_n\}

    Set of all patients

 2: F(p_i)
                                                                 \triangleright Function returning frames for patient p_i
 3: T_{ratio} = 0.7, V_{ratio} = 0.1, Te_{ratio} = 0.2
                                                                                        ▷ Desired split ratios
 4: G<sub>max</sub>
                                                                        ▶ Maximum number of generations
 5: Popsize

    Size of the population

Ensure:
                                                     ⊳ Final dataset splits for training, validation, and test
 6: S_{train}, S_{val}, S_{test}
 7: function COMPUTEFITNESSSCORE(split)
                                                    ▷ Evaluates how well a given split matches the target
       score \leftarrow 0
 8:
       for all set \in \{train, val, test\} do
 9:
           actual\_ratio \leftarrow \frac{|frames in set|}{|total frames|}
10:
           target_ratio ← desired ratio for this set
11:
           score \leftarrow score - |actual\_ratio - target\_ratio|
12:
13:
       end for
       return score
14:
15: end function
    procedure STRATIFYDATASETWITHGENETICALGORITHM(P)
16:
17:
        population \leftarrow InitializeRandomSplits(P, Pop_{size})
       generation \leftarrow 0
18:
19:
        while generation < G_{max} do
           scored\ splits \leftarrow empty\ list
20:
           for all split \in population do
21:
               fitness \leftarrow ComputeFitnessScore(split)
22.
23:
               Add (split, fitness) to scored_splits
24:
           end for
           parents ← SelectTopPerformingSplits(scored_splits)
25:
           new\_population \leftarrow empty list
26:
27:
           while |new\_population| < Pop_{size} do
28:
               parent1, parent2 ← RANDOMLYSELECTPARENTS(parents)
               offspring \leftarrow PerformCrossover(parent1, parent2)
29:
               offspring \leftarrow APPLYMUTATION(offspring)
30:
               Add of fspring to new_population
31:
           end while
32:
           population \leftarrow new\_population
33.
34:
           generation \leftarrow generation + 1
        end while
35:
       return SELECTBESTSPLIT(population)
36:
37: end procedure
38: function PerformCrossover(parent1, parent2) ▷ Combines two parents at a crossover point to
    form a new split
39:
       crossover\_point \leftarrow Random integer between 1 and |P|
40:
       offspring ← COMBINEPATIENTSATPOINT(parent1, parent2, crossover_point)
41:
       return of fspring
42: end function
43: function APPLYMUTATION(split)
                                                ▶ Randomly moves a patient to another set to encourage
    diversity
        if RandomFloat(0,1) < mutation_rate then
44:
           patient \leftarrow SELECTRANDOMPATIENT(P)
45:
           new\_set \leftarrow Random choice from \{train, val, test\}
46:
           split \leftarrow ReassignPatientToSet(split, patient, new\_set)
47:
48:
        end if
       return split
50: end function
```

To achieve this, a genetic algorithm was implemented as follows:

- 1. **Initialization**: An initial population of potential splits was generated randomly, ensuring that each patient was assigned to only one dataset.
- 2. **Fitness Function**: A custom fitness function was designed to evaluate how close each potential split was to the desired distribution of 70% training, 20% testing, and 10% validation datasets.
- 3. **Selection**: The best-performing splits were selected to serve as "parents" for the next generation.
- 4. **Crossover and Mutation**: These parents were combined and occasionally mutated to create a new generation of splits, introducing variation and allowing for the evolution of better solutions.
- 5. **Iteration**: This process was iterated over multiple generations, continually improving the fitness of the population until the algorithm converged on an optimal or near-optimal solution.

# 2.1.3. Data Preprocessing

In this section, we outline the preprocessing steps essential for preparing the endoscopic images for model training. These steps ensure that the images are standardized and augmented effectively, enhancing the robustness and generalizability of the polyp detection model.

# Cropping and Resizing

Given that endoscopic images typically have a circular field of view due to the camera lens, an automatic cropping algorithm was developed to transform the raw circular view (Figure 4) into the largest possible inscribed square. An automated pipeline (See Algorithm 2) first detects the region of interest (ROI) using Hough Circle Transform on initial frames. The smallest valid circle is selected per frame, and its largest inscribed square is calculated. To ensure robustness against frame-to-frame variations, the median ROI from these candidates is used for cropping. This eliminates non-informative black borders while retaining diagnostically relevant regions. Subsequently, all frames are resized to a standardized resolution ( $96 \times 96$  pixels in implementation), maintaining aspect ratio consistency for downstream computational analysis

### **Image Quality Standardization**

To ensure that the model's learning is based on the relevant medical features rather than artifacts of the imaging process, we implemented a series of steps to standardize image quality:

- Color Normalization: Adjusting the color balance to correct for variations in lighting and camera sensor characteristics.
- **Contrast Enhancement**: Improving the visibility of important features while maintaining the natural appearance of the tissue.

# **Data Augmentation**

Data augmentation is a crucial step in the preprocessing pipeline to artificially expand the dataset and improve the model's generalization capability. This technique helps the model to better handle unseen data and non-conventional images, which may result from the incorrect use of hardware or variations in imaging conditions. The specific augmentation methods applied include:

- **Rotation**: Images were rotated at various angles (e.g., 90°, 180°, 270°). This helps the model to recognize polyps from different orientations, which is critical given the rotational variability inherent in endoscopic procedures.
- Scaling: Images were rescaled by different factors (e.g., 0.8x, 1.2x). Scaling helps the model to become invariant to the size variations of polyps and to better handle zoom effects that might occur due to camera movements.
- **Flipping**: Horizontal and vertical flips were applied. This augmentation helps the model to recognize polyps irrespective of their orientation within the image, improving robustness to positional variations (left and right nasal passage are almost mirror images of eachother).
- Translation: Images were translated horizontally and vertically. This technique helps in making the model invariant to slight shifts in the position of the camera, ensuring that the model can detect polyps even when they are not perfectly centered.

- Brightness and Contrast Adjustments: Random adjustments to brightness and contrast were
  made. This augmentation compensates for lighting variations that can occur during endoscopic
  procedures, ensuring the model can handle different illumination conditions. This augmentation
  works well on an endoscope hardware since it is a lightweight algorithm.
- Noise Injection: Gaussian noise was added to some images. This helps the model become more robust to imaging artifacts and minor noise that may be present in real-world endoscopic videos.

# Algorithm 2 Endoscopic Video Preprocessing Pipeline

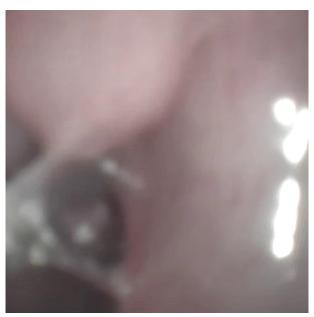
```
Input: Endoscopic video V, target resize dimensions (w_t, h_t), frame sampling interval n
    Output: Set of processed frames \{I_k\}
 1: procedure DETECTREGIONOFINTEREST(V)
                                                               ▷ Estimates the consistent region of interest (ROI)
    using Hough Circle Detection
 2:
        \mathcal{R} \leftarrow \emptyset
                                                                                        for i = 1 to 10 do
 3:
            F \leftarrow \text{ReadFrame}(V)
 4:
            G \leftarrow \text{Convert } F \text{ to grayscale}
 5:
            G_b \leftarrow \text{MEDIANBLUR}(G, \text{kernel size} = 5)
 6:
            C \leftarrow \text{HOUGHCIRCLES}(G_b, \text{ radius range} = [250, 400])
 7.
            if \mathcal{C} \neq \emptyset then
 8:
                 (x, y, r) \leftarrow \text{Circle with smallest radius in } C
 9:
10:
                s \leftarrow r \cdot \sqrt{2}

⊳ Square side of inscribed region

                 x' \leftarrow |x - s/2|, y' \leftarrow |y - s/2|
11:
                 Add (x', y', s, s) to \mathcal{R}
12:
            end if
13:
14:
        end for
15:
        return Median bounding box from \mathcal{R} based on area
16: end procedure
17: procedure PREPROCESSVIDEOFRAMES(V, (w_t, h_t), n)
                                                                             ▶ Applies ROI cropping, resizing, and
    sampling to video frames
18:
        (x^*, y^*, w^*, h^*) \leftarrow \text{DetectRegionOfInterest}(V)
        t \leftarrow 0
19:
        while HASFRAME(V) do
20:
21:
            F \leftarrow \text{READFRAME}(V)
            F_{roi} \leftarrow F[y^* : y^* + h^*, x^* : x^* + w^*]
                                                                                               ▷ Crop to detected ROI
22:
            F_{resized} \leftarrow \text{RESIZE}(F_{roi}, (w_t, h_t))
23.
            if t \mod n = 0 then
24:
25:
                 SAVE(F_{resized}, CoreImages/I_t)
            end if
26:
            t \leftarrow t + 1
27:
        end while
28:
29: end procedure
```



(a) Initial circular endoscopic image.



(b) Cropped and Resized image.

Figure 4. Automatic cropping from circular field of view to a maximally inscribed square.

These augmentation techniques collectively ensure that the model is exposed to a wide variety of transformations, thereby enhancing its ability to generalize well to new and diverse clinical scenarios.

# 2.2. Polyp Classification Trainning Stages

This section provides a detailed description of the MobileNet V2 architecture and the training process used for the polyp detection model. The chosen architecture balances efficiency and accuracy, making it suitable for deployment across various hardware platforms, including high-end GPUs and mobile devices. The training process leverages pre-trained models to accelerate convergence and fine-tunes them for the specific task of polyp detection.

# 2.2.1. Model Description and Training Process

#### MobileNet V2 Architecture Overview

The model of choice for this project was MobileNet V2 (see Figure 5), a state-of-the-art deep learning architecture renowned for its balance between efficiency and accuracy. MobileNet V2's lightweight structure includes depthwise separable convolutions, which significantly reduce the number of parameters without sacrificing performance, making it suitable for a wide range of hardware, from high-end GPUs to mobile devices.

Key features of MobileNet V2 [11] include:

- **Bottleneck Residual Blocks**: MobileNet V2 utilizes residual connections around the bottleneck layers, which facilitate the training of deeper network architectures.
- **Inverted Residuals and Linear Bottlenecks**: This feature involves the use of lightweight depthwise convolutions to filter features as a source of non-linearity, followed by a linear layer to create a bottleneck structure that reduces computation.

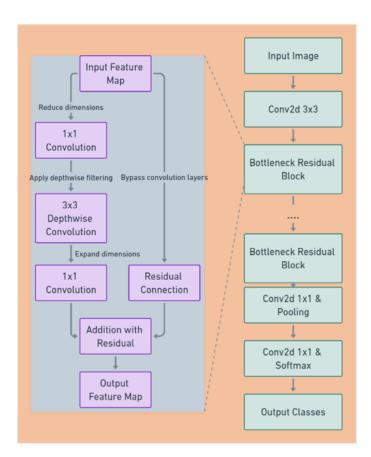


Figure 5. Overview of MobileNet V2 Architecture

# **Training Process**

### 1- Pre-Training

The model leveraged a version of MobileNet V2 pre-trained on a large-scale image dataset (ImageNet) [12], providing a robust starting point for feature extraction. This approach allowed the model to utilize learned patterns from a broad range of visual data, accelerating the convergence time during training on our specific polyp dataset.

#### 2- Fine-Tuning

During fine-tuning (learning rates, epochs and batch numbers), the preprocessed and stratified frame data served as the input to the network. Given that the frames were resized to a uniform dimension during preprocessing, they were readily compatible with the MobileNet V2 input requirements. The primary goal during fine-tuning was to adapt the generalized features learned during pre-training to the more specialized task of polyp detection. The last classification layer of the network were retrained with our dataset to accurately classify frames into 'Polyp' or 'Non Polyp'.

# 3- Model Outputs

The output layer of the MobileNet V2 was modified to reflect the classification needs of this project. Softmax activation functions were used to output a probability distribution over the possible classes (Polyp or Non Polyp).

# 3. Results, Performance, and Discussion

This section details the evaluation of the polyp detection model, starting with the rationale for selecting MobileNetV2, followed by its performance analysis using ROC-AUC curves, confusion matrices, visual analysis, and inference time measurements.

Noting that the used dataset contains a total of 36 patients with around 12,000 frames distributed equally across two classes (Polyp and Non-Polyp).

The training configuration for the MobileNetV2 model is summarized in Table 1. These hyperparameters were carefully selected to ensure stable training, good generalization, and compatibility with real-time inference requirements.

Table 1. Training configuration for MobileNetV2 model on polyp classification

| Parameter     | Value                |
|---------------|----------------------|
| Loss Function | Binary Cross-Entropy |
| Optimizer     | Adam                 |
| Learning Rate | $1 \times 10^{-4}$   |
| Batch Size    | 32                   |
| Epochs        | 60                   |

The loss function used for training is the *binary cross-entropy loss*, which is commonly applied in binary classification tasks. It measures the distance between the predicted probabilities and the actual class labels. The formula for the binary cross-entropy loss is given by:

$$\mathcal{L}_{ ext{BCE}} = -rac{1}{N} \sum_{i=1}^{N} [y_i \log(\hat{y}_i) + (1-y_i) \log(1-\hat{y}_i)]$$

where  $y_i \in \{0,1\}$  is the ground truth label for sample i, and  $\hat{y}_i \in (0,1)$  is the predicted probability that the sample belongs to the positive class (i.e., Polyp). In the context of polyp classification, this function penalizes the model more when it confidently misclassifies a polyp as non-polyp or vice versa. By minimizing this loss, the model is encouraged to produce probability outputs that align closely with the true class distributions, improving its discriminative ability for clinical use.

The use of the Adam optimizer further enhances training stability by adapting learning rates for each parameter, and the early stopping criterion prevents overfitting on the relatively small dataset.

# 3.1. Justification for Choosing MobileNetV2

The selection of MobileNetV2 as the optimal model for polyp detection was guided by a comprehensive comparison of six architectures across three critical dimensions: accuracy, inference speed, and model size (Table 2).

Table 2. Model performance comparison including recent architectures (2020–2025)

| Model                 | F1-Score | Speed (ms) | Parameters (M) |
|-----------------------|----------|------------|----------------|
| MobileNetV2 [11]      | 0.97     | 8          | 3.4            |
| MobileNetV3 [13]      | 0.97     | 12         | 5.0            |
| EfficientNetB0 [14]   | 0.96     | 13         | 5.3            |
| EfficientNetV2-S [15] | 0.97     | 16         | 24             |
| ConvNeXt-T [16]       | 0.98     | 22         | 28             |
| ResNet50 [17]         | 0.92     | 15         | 25.6           |
| InceptionV3 [18]      | 0.96     | 18         | 23.9           |
| VGG16 [19]            | 0.86     | 20         | 138            |

While MobileNetV3 achieved comparable accuracy (F1=0.97), its slower inference time (12 ms/frame) makes it less suitable for real-time clinical workflows compared to MobilenetV2 [11]. Larger models like VGG16 (138M parameters) and ResNet50 (25.6M parameters) failed to meet speed requirements despite higher parameter counts, highlighting the inefficiency of dense architectures in

embedded medical systems. EfficientNetB0, while lightweight, lacked the necessary speed-accuracy balance (F1=0.96, 13 ms/frame), making MobileNetV2 the optimal choice for this application.

# 3.2. ROC-AUC Curve Analysis

# 3.2.1. Overview of ROC-AUC

The Receiver Operating Characteristic (ROC) curve is a standard tool for evaluating binary classifiers, plotting sensitivity (TPR) against 1-specificity (FPR) across threshold settings [20]. The Area Under the Curve (AUC) quantifies overall performance, with AUC=1.0 indicating perfect discrimination.

# 3.2.2. Implementation for Polyp Detection

For MobileNetV2, ROC-AUC analysis identified an optimal threshold balancing TPR and FPR (Figure 6), critical for clinical usability where both false positives and negatives carry significant consequences.

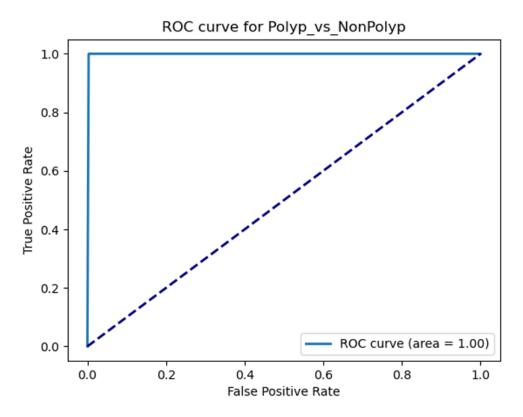


Figure 6. ROC curve for Polyp vs Non-Polyp (MobileNetV2)

# 3.3. Confusion Matrix Analysis

# 3.3.1. Frame-Based Confusion Matrix

Frame-level performance was evaluated using a confusion matrix (Table 3), which provides granular insight into error types critical for medical diagnostics [21].

Inconclusive frames in Table 4 are defined as frames that have a prediction accuracy  $\leq 0.6$  either for Polyp or Non-Polyp labels.

Table 3. Frame-level confusion matrix (MobileNetV2)

|        |                    | Predicted Labels |               |                    |
|--------|--------------------|------------------|---------------|--------------------|
|        |                    | Polyp            | Non Polyp     | Inconclusive (0.6) |
| Cround | Polyp              | 5050 (42.82%)    | 214 (1.81%)   | 0                  |
| Ground | Non Polyp          | 147 (1.25%)      | 6383 (54.12%) | 0                  |
| Truth  | Inconclusive (0.6) | 0                | 0             | 0                  |

#### 3.3.2. Patient-Based Confusion Matrix

Patient-level accuracy was determined using temporal aggregation with two configurations:

- $\geq$ 20 consecutive frames labeled 'Polyp' with  $\geq$ 95% confidence. Table 4.
- $\geq$ 20 non-consecutive frames labeled 'Polyp' with  $\geq$ 95% confidence. Table 5.

reflecting clinical diagnostic workflows [22]. The results in both tables demonstrate high accuracy 100%.

**Table 4.** Patient-level confusion matrix (using 20 consecutive frames)

|           | Polyp | Non Polyp |
|-----------|-------|-----------|
| Polyp     | 12    | 0         |
| Non Polyp | 0     | 24        |

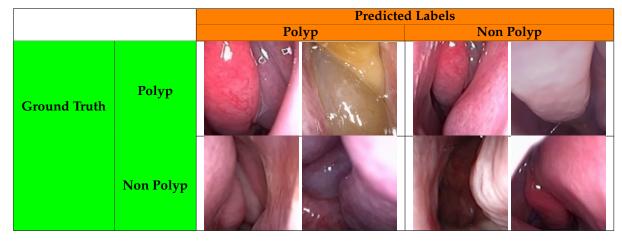
Table 5. Patient-level confusion matrix (using 20 non consuctive frames)

|           | Polyp | Non Polyp |
|-----------|-------|-----------|
| Polyp     | 12    | 0         |
| Non Polyp | 0     | 24        |

# 3.4. Visual Analysis of Classification Outcomes

Example images in Table 6 illustrate MobileNetV2's performance. Correct classifications demonstrate robustness to clear polyps, while misclassifications often involve ambiguous features or extreme lighting conditions. These errors do not affect patient-level diagnoses due to temporal aggregation.

Table 6. Example images for MobileNetV2 performance evaluation



# 3.5. Inference Time Evaluation

# 3.5.1. Importance of Inference Time

Real-time analysis ( $\leq$ 33 ms/frame at 30 Hz) is critical for clinical usability, enabling immediate feedback during endoscopic procedures [22]. MobileNetV2's inference times under clinical hardware configurations are:

- 8 ms/frame on CPU (AMD Ryzen 7 7745HX): Sufficient for real-time use.
- 1 ms/frame on GPU (mobile RTX4070): Enables seamless integration with preprocessing time.



#### 3.6. Discussion

The results indicate exceptionally high-performance metrics, suggesting that the model is highly effective in identifying polyps from endoscopic video frames in real-time clinical workflows. However, a detailed analysis of the model's components through an ablation study further validates its design choices and identifies critical factors for maintaining performance.

# 3.6.1. Ablation Study Analysis

An ablation study was conducted to evaluate the impact of individual components in the model's training pipeline (Table 7). Results demonstrate that each component contributes significantly to the model's overall performance:

Table 7. Ablation study results with Precision, Recall, and F1-Score

| Configuration                 | Precision | Recall | F1-Score |
|-------------------------------|-----------|--------|----------|
| Baseline (Full Pipeline)      | 0.96      | 0.98   | 0.97     |
| Without Rotation Augmentation | 0.91      | 0.93   | 0.92     |
| Without Cropping              | 0.71      | 0.82   | 0.76     |
| Without Stratification        | 0.84      | 0.90   | 0.87     |

- **Rotation Augmentation**: Removing rotation augmentation reduced the F1-score by 5% (from 0.97 to 0.92), highlighting the importance of geometric transformations in improving model generalizability.
- Cropping: Eliminating cropping augmentation caused a drastic 21% drop in F1-score (to 0.76), indicating that spatial context is critical for accurate polyp detection. This aligns with prior work on the necessity of spatial augmentation in medical imaging.
- **Stratification**: Training without stratified sampling reduced the F1-score by 10% (to 0.87), underscoring the importance of maintaining class balance during training to avoid bias.
- **Speed Trade-offs**: While removing cropping augmentation improved inference speed slightly (to 7 ms/frame), the severe accuracy loss makes this trade-off impractical for clinical use.

These findings confirm that all components of the baseline pipeline are essential for optimal performance. Future work should prioritize retaining these augmentations and exploring additional techniques to further enhance robustness.

# 3.6.2. ROC-AUC Score

The ROC-AUC score achieved was 1 (for patient based classification), indicating high model performance with approximately 100% sensitivity and 100% specificity across all decision thresholds. This suggests that the model can discriminates between 'Polyp' and 'Non Polyp' conditions without any error, representing an ideal scenario rarely seen in medical diagnostic models.

# 3.6.3. Patient-Based Confusion Matrix

The patient-based confusion matrix corroborates the ROC-AUC score, demonstrating an excellent classification results:

- True Positives: All 14 patients diagnosed with polyps were correctly identified.
- True Negatives: All 6 patients without polyps were accurately classified.

This distinction in patient-based results shows that the model effectively uses the aggregation of frame-based predictions to make accurate diagnoses at the patient level, which is critical for clinical applications where patient outcomes depend on correct overall diagnosis rather than individual frame analysis.

# 3.6.4. Frame-Based Confusion Matrix

The frame-based confusion matrix also reflects excellent model performance:



- True Positives: 5050 polyp frames were correctly identified.
- True Negatives: 6383 non-polyp frames were correctly classified.
- False Positives: 147 frames were incorrectly identified as polyps.
- False Negatives: 214 frames were incorrectly identified as non-polyps.

The frame-based accuracy, particularly the absence of inconclusive frames, underscores the model's sensitivity and reliability in detecting polyps when they are present. The very low rate of false positives further attests to the model's precision, ensuring that non-polyp frames are seldom misclassified.

# 3.6.5. Failure Analysis and Discussion

These results suggest that the model is not only highly accurate but also robust and reliable in its predictions, making it an excellent tool for assisting Otolaryngologists in diagnosing polyps. However, the results also suggests a need for further validation, particularly:

- Dataset Diversity: Ensuring that the model has been trained and validated on a diverse set of
  data to confirm that these results hold across different patient populations and various imaging
  conditions.
- **External Validation**: Testing the model on external datasets to confirm its generalizability and robustness when faced with new, unseen data.

In conclusion, the polyp detection model appears to be highly effective, but due diligence in the form of further testing and validation is recommended to ensure that these results can be trusted in a real-world clinical setting. This will help confirm that the model can reliably support medical professionals in making accurate diagnostic decisions. The text continues here.

#### 4. Discussion

The polyp detection model utilizing the MobileNet V2 architecture has demonstrated a good performance in our evaluations, achieving high scores across various metrics. The high ROC-AUC score and the results from both patient-based and frame-based confusion matrices indicate that the model is reliable and accurate in identifying polyps from endoscopic video frames.

# 4.1. Key Achievements

- **High Sensitivity and Specificity**: The model's ability to correctly identify all true polyp and non-polyp patients in the test dataset indicates high clinical applicability.
- Robust Performance Across Frames: The frame-level analysis showed that the model could
  maintain high accuracy per frame, crucial for real-time polyp detection during endoscopic
  examinations.
- High Patient-Level Diagnostics: The aggregation of frame-level data to make accurate patient-level diagnoses demonstrates the model's potential utility in clinical settings, where accurate overall patient diagnoses are crucial.

# 4.2. Future Directions

Continuous improvement and validation are essential to ensure the model's utility in diverse clinical environments. Future development can include:

- Increasing Dataset Size: Expanding the number of patients and frames in the training dataset can help improve the model's robustness and generalizability. A larger dataset would likely encompass a more diverse array of polyp types, patient demographics, and variability in medical imaging conditions.
- **External Validation**: Conducting external validation studies with datasets collected from different hospitals or clinics can help verify the model's performance across various real-world settings.



- Incorporation of Additional Classes: Adding more classes to the model, such as different types of
  polyps or other sinonasal anomalies, could increase its utility by providing a more comprehensive
  diagnostic tool.
- Cross-Hardware Compatibility: Ensuring that the model performs consistently across different
  endoscopic equipment and setups can enhance its adaptability and usability in diverse clinical
  environments.

In conclusion, the polyp detection model presents a significant advancement in the application of deep learning to medical imaging, particularly in the detection of polyps through endoscopy. By pursuing these future directions, the model can be refined and enhanced to meet the evolving needs of medical diagnostics, ultimately contributing to better patient outcomes in gastrointestinal health.

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