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## Article

# Preparation and Refinement Mechanism of a New Master Alloy Al-5Ti-1B-1RE Refiner

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**Abstract:** To obtain high quality grain refiner, a new Al-5Ti-1B-1RE master alloy grain refiner was synthesised by melt-matching method. Thermodynamic calculations and kinetic analyses, which were seriously missing from the study of key issues during the preparation process, were investigated. The microstructure and properties, comparison of the refining effect of different grain refiners on industrial pure aluminium and its refining mechanism were analysed with the help of X-ray diffraction (XRD), optical microscopy (OM), scanning electron microscopy (SEM) and energy spectroscopy, transmission electron microscopy (TEM) and an electronic universal testing machine (CSS-44100). The experimental results show that the second-phase particles in the Al-5Ti-1B-1RE master alloy matrix synthesised by the melt-matching method are mainly TiB<sub>2</sub>, Al<sub>3</sub>Ti, Ti<sub>2</sub>Al<sub>20</sub>RE, etc. The magnitude of the free energy  $\Delta G$  of the synthesis reaction is calculated to be  $\Delta G_{TiB_2} < \Delta G_{Al_3Ti} < \Delta G_{Ti_2Al_{20}RE}$ . When the temperature is low (undercooling  $\Delta T$  is large), the nucleation rate  $N$  mainly depends on the kinetic atomic diffusion activation energy  $Q$ , while the thermodynamic nucleation work becomes a secondary factor, and vice versa. The industrial pure aluminum refined by the new grain refiner has almost transformed from coarse columnar crystals to fine equiaxed crystals, with an average grain size of 70.2  $\mu m$ , which was 36.18% and 20.66% smaller than that refined by domestic and imported Al-Ti-B wire master alloy grain refiner, and its mechanical properties of tensile strength  $\sigma_b$  was increased by 11.94%, 8.29%, and elongation at break  $\delta$  was improved by 31.79%, 17.41%, respectively. The main mechanism for refining pure aluminum in Al-5Ti-1B-1RE master alloy is the formation of TiAl<sub>3</sub> on TiB<sub>2</sub> particles, the release of RE atoms from Ti<sub>2</sub>Al<sub>20</sub>RE phase surrounding TiAl<sub>3</sub>, and the partial transformation into TiAl<sub>3</sub> phase, which promotes the dual nucleation refinement mechanism and the pinning effect of rare earth elements on grain boundaries.

**Keywords:** Al-5Ti-1B-1RE master alloy; Industrial pure aluminum; refinement effect; refine performance; double nucleus refinement mechanism

## 1. Introduction

Aluminium and aluminium alloys have a wide range of applications in aerospace, manufacturing, transportation and mobile communications in automotive and other fields due to their excellent thermal conductivity, low density, high specific strength, and good process properties [1–4]. The grain refinement treatment of aluminium and aluminium alloys has always been a research hot spot. Since the introduction of Al-Ti-B master alloy grain refiner, it has rapidly become the most efficient and widely used grain refiner in aluminium and aluminium alloys with high efficiency grain refining effect, which is a major breakthrough in the grain refining treatment technology [5,6]. It is currently used by approximately 75% of users worldwide and is recognised as one of the most effective grain refiners for aluminium and aluminium alloys [7,8]. With the increasing application of Al-Ti-B master alloy grain refiners in modern aluminum industry, it has been found that their performance defects limit their use in the rolling of high-grade foil materials. The refining effect of high-strength aluminum alloys containing elements such as Zr and Cr is weakened or even lost, resulting in uneven grain structure, known as “grain refiner poisoning” phenomenon, making it difficult to obtain high-quality aluminum products [9–11]. And with the increasing use of aluminium and aluminium alloys, the demand for high quality grain refiners is also increasing. Due to the late

start of research on grain refiners in China and the blockade of related core technologies by foreign countries, the second particles  $\text{TiAl}_3$  and  $\text{TiB}_2$  in the prepared Al-Ti-B grain refiners have larger sizes, fewer quantities, and more severe agglomeration. The refinement effect and product purity are significantly inferior to similar foreign products, and high-quality Al-Ti-B grain refiners need to be imported in large quantities [12]. Therefore, the development of efficient, clean and stable grain refiner is imminent to solve the shortage of Al-Ti-B grain refiner, which is of great practical significance and economic benefits to the development of China's aluminium processing industry.

Therefore, domestic and foreign materials science and technology workers have been exploring a new generation of grain refiner will reduce or eliminate the "grain refiner poisoning", as a key topic of current research. In recent years, it has been found that the role of rare earth refinement, purification and other functions and the refinement of Al-5Ti-1B master alloy can be effectively combined to develop a new type of Al-5Ti-1B-1RE mater alloy grain refiner, which can significantly improve the refining performance of the grain refiner and can reduce or eliminate the phenomenon of "grain refiner poisoning" [13–15]. The synthesis and preparation methods of Al-5Ti-1B-1RE master alloy are constantly evolving and improving, with various advantages and disadvantages for each synthesis method [16–20]. However, the melt-matching method has the advantages of fast reaction, low synthesis temperature, low energy consumption, and low pollution, and stands out among many synthesis methods [21–23]. A new Al-5Ti-1B-1RE master alloy grain refiner was synthesized by introducing rare earth elements during the synthesis of Al-Ti-B master alloy. Materials science and technology workers to improve the domestic Al-5Ti-1B-1RE grain refiner grain refining performance, carried out a lot of research work and achieved certain results, but still failed to have a breakthrough. The reasons for this are related to the lack of systematic and detailed thermodynamics calculations and kinetic analysis of the preparation process of Al-5Ti-1B-1RE grain refiner, the lack of in-depth research on the refining mechanism and the control of the second phase, and the lack of key technologies. This is the bottleneck in the development of obtaining high-quality Al-5Ti-1B-1RE grain refiner. At present, the research on the grain refinement mechanism of aluminum and aluminum alloys mainly focuses on two aspects [24,25]: first, the theoretical research on the modeling method of the grain refinement mechanism of aluminum and aluminum alloys. Second, based on the theoretical study, the refinement methods of aluminum and aluminum alloys are formulated and optimized, which mainly include physical methods such as controlling the cooling rate, vibration, stirring, and ultrasonic treatment of the melt, and chemical methods such as the addition of grain refiners. At present, there are various nucleation theories in the study of the refinement mechanism of Al-5Ti-1B-1RE grain refiner, but no consensus has been reached [26–28]. The fine dispersed second phase in grain refiner is the key to improving their refining performance and has been recognized. In this paper, thermodynamic calculations, kinetic analysis, and the refinement mechanism of Al-5Ti-1B-1RE grain refiner synthesis and preparation were carried out in order to provide a useful reference for the research and development of high-quality grain refiners. It also provides a solid theoretical foundation and practical reference value for fully tapping into the potential of materials, expanding the application of aluminum and aluminum alloys in China's new energy vehicles and meeting the demand for lightweight manufacturing.

## 2. Test Materials and Methods

### 2.1. Test Materials

The main materials used are: pure aluminum, aluminum powder, potassium fluoroborate ( $\text{KBF}_4$ ) $\geq 98.00\%$ ), titanium powder ( $\text{w}(\text{Ti})\% \geq 99.00\%$ ), cerium lanthanum rich rare earth, hexachloroethane ( $\text{C}_2\text{Cl}_6$ ), covering agent, grain refiner, degassing agent, coating, graphite stirring rod, JJ-1 precision booster electric mixer and other auxiliary materials and tools. When calculating the alloy element composition, the upper limit value of the burning loss is taken for the alloy elements with high burning loss.

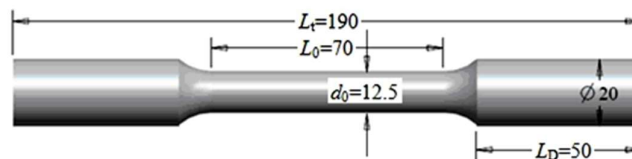
## 2.2. Methods

### 2.2.1. Al-5Ti-1B-1RE Master Alloy Synthesized by Melt-Matching Method

After the titanium powder, aluminum powder, potassium fluoborate ( $\text{KBF}_4$ ) and hexachloroethane ( $\text{C}_2\text{Cl}_6$ ), which were removed from the water, were proportioned according to the appropriate stoichiometric ratios, they were fully ball-milled on a high-speed ball mill, then taken out, and pressed into blocks under a certain amount of stress on a universal test tensile machine. Then the graphite crucible with a certain mass of pure aluminum was placed in the SG2-7.5-12 well type resistance furnace to heat up to  $730^\circ\text{C}$ . A certain thickness of special covering agent was added on the surface of Al melt, and it was stirred and stripped of slag after the pure aluminum was completely melted. The furnace temperature was raised to  $830^\circ\text{C}$ , the treated briquettes and mixed rare earth RE were wrapped with aluminum foil and then pressed into the middle and lower part of the melt in batches with graphite bellows, the aluminum melt was stirred with graphite rods and JJ-1 precision power increasing electric stirrer and the reaction was fully carried out by holding the temperature for 20 min and stirring once every 5 min to fully react. The temperature was lowered to  $780^\circ\text{C}$ , refined, degassed, and stood for 5 minutes until the slag floated up. The slag was thoroughly removed, and the alloy liquid in the crucible was poured into the mold to synthesize the Al-5Ti-1B-1RE master alloy grain refiner.

### 2.2.2. Refinement Test Process

The graphite crucible containing equal mass of pure aluminium was placed in four well resistance furnaces of KSL-12-JY type with the same conditions to be heated, and the temperature was raised to  $730^\circ\text{C}$ . After the aluminum melt was completely melted, perform slag removal, degassing, refining, slag removal, and pour the 0th group of pure aluminum samples. And the pure aluminium specimen of group 0 was poured. Subsequently to the first, second, third group of molten pure industrial aluminium were added to the intermediate alloy grain refiner in the actual production of the amount of addition as a standard: are 0.20% of the molten aluminium, i.e.,  $\text{Ti (wt\%)} = 0.01\%$  of the domestic, imported Al-5Ti-1B wire master alloy grain refiner, and self-made Al-5Ti-1B-1RE master alloy grain refiners were added. The mixture was thoroughly stirred and held for 5 minutes. After slag removal, degassing, refining, and slag removal, these three sets of samples were poured in sequence. After cooling, the poured specimen was prepared into a metallographic sample for observation and processed into a tensile specimen according to the standard GB/T16865-2013. The tensile performance test was carried out on a WDW-10 microcomputer controlled electronic universal testing machine. The total length of the tensile specimen was 190 mm, of which the length of the two clamped ends of the specimen was 50 mm, the diameter of the original cross-section of the parallel length of the specimen was 12.5 mm, and the specimen marking distance before the application of force at room temperature was 70 mm, as shown in Figure 1. The tensile speed was 0.5 mm/min at room temperature. After pulling off the two parts of the specimen closely butted together, the axis of the two parts were located in the same straight line, measuring the specimen after pulling off the standard distance. The tensile strength  $\sigma_b$  is the stress corresponding to the maximum test force  $N_b$  of the specimen during pull-off, and its value is the maximum test force divided by the original cross-sectional area  $A_0$  of the specimen [29]:



**Figure 1.** Dimensions of tensile specimen at room temperature (mm).

$$\sigma_b = \frac{N_b}{A_0} \quad (1)$$

The elongation after fractured  $\delta$  is the percentage of the elongation of the gauge length of the specimen after fracture to the original gauge length

$$\delta = \frac{L_1 - L_0}{L_0} \times 100\% \quad (2)$$

where:  $L_0$ —the original gauge length of the specimen;  $L_1$ —the gauge length of the sample after being pulled apart. To ensure the accuracy of the tensile test data, 5 specimens were stretched in each group and the average value is taken.

### 3. Results and Analysis

#### 3.1. Phase Analysis of Grain Refiner for Al-5Ti-1B-1RE Master Alloy

The Al-5Ti-1B-1RE intermediate alloy prepared by melt-matching synthesis was analysed for physical phase by XRD diffraction technique. The phase analysis of Al-5Ti-1B-1RE master alloy synthesized by melt-matching method was carried out using XRD diffraction technology. The chemical composition analysis was carried out using German Spike SPECTRO MAXx mm06 direct reading spectrometer. The microstructure of the second phase was analyzed using scanning electron microscopy (SEM), energy dispersive spectrometer, and transmission electron microscopy (TEM). The refinement effect of industrial pure aluminum was observed and analyzed using optical microscope (OM). In the process of synthesis and preparation, when hexachloroethane was pre-pressed into a block and pressed into the aluminum liquid, the absorption rate of the reactants can be greatly increased. The main reason for this was that hexachloroethane produced a large amount of gas at high temperatures, which can play a role in rapid stirring, so that the aluminum powder, titanium powder and potassium fluoborate were fully dispersed and in contact with the melt.  $C_2Cl_6$  is a white crystal with a density of 2.091 g/cm<sup>3</sup> and a sublimation temperature of 185.5°C. It produces the following reaction when pressed into an aluminum liquid [30]:



The boiling point of the reaction product  $C_2Cl_4$  is 121°C, and participates in refining together with  $AlCl_3$ , so that the titanium powder and potassium fluoroborate absorption will be greatly improved. The Al-5Ti-1B-1RE master alloy grain refiner synthesized at a reaction temperature of 830 °C was subjected to X-ray diffraction phase analysis, as shown in Figure 2.

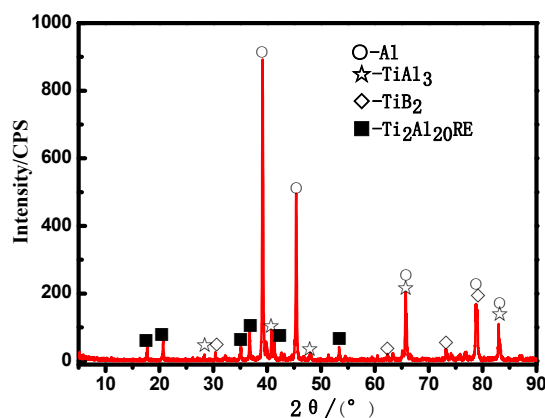
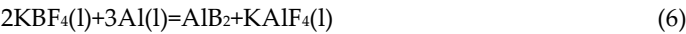


Figure 2. X-ray diffraction pattern of Al-5Ti-1B-1RE master alloy refiner.

From Figure 2, it can be seen that during the reaction process, in addition to the diffraction peaks of Al phase, there are also diffraction peaks of  $TiAl_3$ ,  $TiB_2$  and  $Ti_2Al_{20}RE$  phases. This indicated that



Ti, which was supersaturated in the Al melt, should have been synthesized with Al to form the  $TiAl_3$  phase. However, due to the introduction of RE elements, its activity in the Al melt was very high and the surface energy was also very high, in order to reduce the surface energy and its solid solubility in the aluminum melt was very low, there was a free state of the RE elements involved in the reaction of Al and Ti to generate the  $Ti_2Al_{20}RE$  phase, i.e.,  $Ti_2Al_{20}Ce$  and  $Ti_2Al_{20}La$  phase. Due to the fact that  $KBF_4$  and Ti powder were added to the aluminum melt in the form of pressed blocks, the atomic density and reactivity were significantly lower than those of RE added in bulk. Therefore, they preferentially interacted with the Al melt. It mainly underwent the following reactions (6) - (9) [31]:

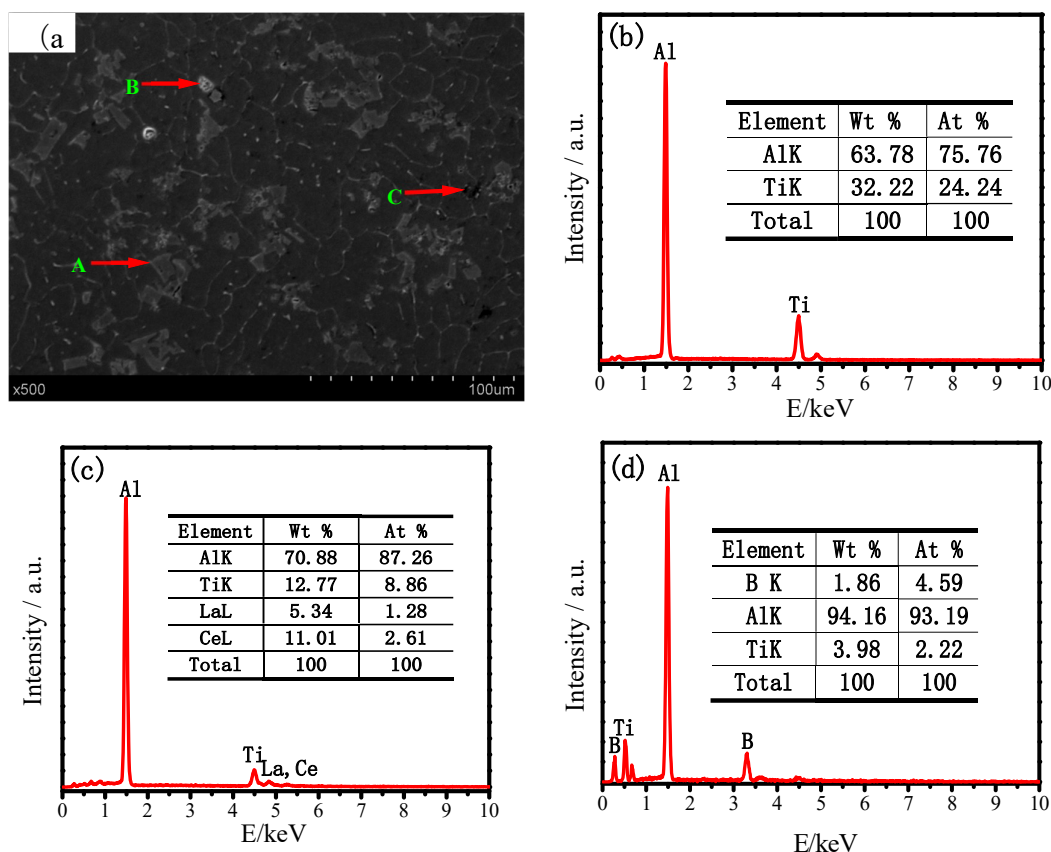


As the synthesis reaction proceeded the  $Ti_2Al_{20}RE$  phase was increasing and the amount of  $TiAl_3$  phase was decreasing. However, the reaction (7) proceeded sufficiently due to the excess of added Ti elements, so there was still residual  $TiAl_3$  phase present in the Al melt after  $TiAl_3$  was fully involved in the reaction (9). The  $TiB_2$  phase was very stable, and it did not react with RE, and no titanium-boron rare-earth compounds were detected at the end of the reaction. So the second phase in the synthesized and prepared refiner was mainly  $TiAl_3$ ,  $TiB_2$ ,  $Ti_2Al_{20}RE$  phases, as shown in Figure 2. The chemical composition of the synthesized and prepared Al-5Ti-1B-1RE master alloy refiner was examined as shown in Table 1, and from the data, it can be indicated that the synthesized chemical composition achieved the chemical composition of the design goal.

**Table 1.** The chemical compositions of Al-5Ti-1B-1RE master alloy (wt. %).

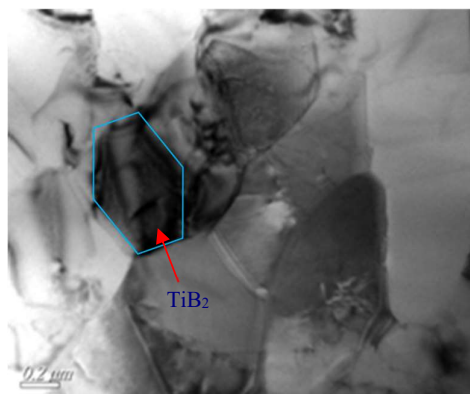
Alloying Element	Ti	B	RE	Fe	Si	Cu	Ni	Al
Nominal composition	5.00	1.00	1.00	≤0.20	≤0.20	≤0.10	≤0.10	Bal
Actual measurement value	5.06	0.93	0.95	0.14	0.12	0.04	0.05	Bal

The morphological characteristics and distribution of the second phase in the synthesized and prepared Al-5Ti-1B-1RE master alloy were analyzed by scanning electron microscopy (SEM) and energy spectroscopy (EDS). Synthesis reaction temperature of 830 °C, as shown in Figure 3, in which the size of the larger gray blocky, with obvious angular phase, as pointed out A in Figure 3. After further analysis by energy spectroscopy, as shown in Figure 3b, it mainly contained two elements of aluminum and titanium, with an atomic percentage content ratio of  $Ti:Al \approx 1:3$ , which can be determined as  $TiAl_3$ . The appearance shape was similar to  $TiAl_3$ , with a larger size and a whitish surface, as indicated by B in Figure 3. According to the energy spectrum analysis, as shown in Figure 3 (c), it mainly contained titanium, aluminum, and rare earth elements, with an atomic percentage ratio of  $Ti: Al: RE \approx 2:20:1$ . Based on Figure 2, it can be determined that the  $Ti_2Al_{20}RE$  phase was composed of Al,  $Al_3Ti$ , and RE, and had a complex face-centered cubic structure. The small-sized black gray particles distributed in the matrix, as indicated by C in Figure 3, were analyzed by energy spectrum. As shown in Figure 3d, they mainly contain two elements, titanium and boron, and were distributed in the aluminum matrix. Their atomic percentage ratio was  $Ti: B \approx 1:2$ . Combined with Figure 2, it can be determined that they were  $TiB_2$  phase, which had good chemical stability and did not react with RE. RE mainly acted as a surfactant, dispersant, and catalyst in aluminum melt, adsorbing and forming a liquid film at the solid-liquid interface, hindering the contact between particles, reducing the surface tension of the interface, reducing the contact and repulsive force between second phase particles, reducing agglomeration, and making  $TiB_2$  particles more evenly distributed. It was extremely beneficial for improving the surface wettability and grain refinement of  $TiAl_3$  and  $TiB_2$  in aluminum melt.



**Figure 3.** The microstructures of the second phase particles: (a) and EDAX of the secondary phases of. Al-5Ti-1B-1RE master alloy: (b) A phase; (c) B phase; (d) C phase.

From the TEM image of  $\text{TiB}_2$  in the Al-5Ti-1B-1RE master alloy at a synthesis temperature of 830 °C in Figure 4, it can be clearly observed that  $\text{TiB}_2$  had a relatively regular hexagonal shape with a tendency to aggregate, as indicated by the red arrow in Figure 4.  $\text{TiB}_2$  particles aggregated together, with a cluster size of approximately 1.2  $\mu\text{m}$ , while the size of the individual  $\text{TiB}_2$  particles is about 0.6  $\mu\text{m}$ , as indicated by the arrows in Figure. 4. When evaluating  $\text{TiB}_2$  contained in aluminum master alloy refiners, the standard specifies [32]: the size of loose  $\text{TiB}_2$  agglomerates  $\leq 25 \mu\text{m}$ , the presence of no dense  $\text{TiB}_2$  agglomerates and  $\text{TiB}_2$  particles with a size  $\leq 2 \mu\text{m}$  should account for more than 90% with a roughly uniform and dispersed distribution. The  $\text{TiB}_2$  agglomerate size in the Al-5Ti-1B-1RE master alloy prepared at synthesis temperature was approximately  $1.0 \mu\text{m} \leq 25 \mu\text{m}$ , with more than 90% of  $\text{TiB}_2$  particles having sizes  $\leq 2 \mu\text{m}$  and no dense  $\text{TiB}_2$  agglomerates present. This indicated that the  $\text{TiB}_2$  particle size in the grain refiner prepared by this process had reached the standard specification.



**Figure 4.** TEM of TiB<sub>2</sub> of Al-5Ti-1B-1RE master alloy at synthetic temperature 830°C.

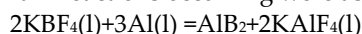
### 3.2. Calculation and Analysis of Thermodynamics

Computational analysis of thermodynamics, which was seriously missing from the study of key issues in the preparation process, was carried out during the synthesis of Al-5Ti-1B-1RE master alloys prepared by the melt-matching method due to the approximate Ti/B mass ratio of 5:1 exceeding the mass ratio of Ti and B in TiB<sub>2</sub> (2.21:). Therefore, the main reaction products of KBF<sub>4</sub> and Ti in the aluminium alloy melt at atmospheric pressure 1103.15K (temperature 830 °C) are AlB<sub>2</sub>, TiAl<sub>3</sub> and TiB<sub>2</sub>, whose thermodynamic calculations were in accordance with the conditions of the standard reaction heat effect method calculations, which were carried out by the Kirchhoff equations for the first approximation of the standard reaction heat effect [33]:

$$\Delta G_r^\theta = (\Delta H_{298}^\theta \pm \sum \Delta H_{phase}) - T(\Delta S_{298}^\theta \pm \sum \frac{\Delta H_{phase}}{T_{phase}}) \quad (10)$$

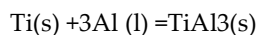
Where:  $\Delta H_{phase}$  and  $\frac{\Delta H_{phase}}{T_{phase}}$  are the heat and entropy of phase transition of a substance. If the substance undergoing phase transition is a product in the reaction, it is marked with a positive sign, and if it is a reactant, it is marked with a negative sign.

During the synthesis of the prepared Al-5Ti-1B-1RE master alloys by the melt-matching method, the main reactions occurring were as follows:



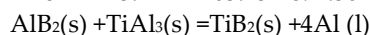
$$\Delta G_1^\theta (\text{AlB}_2) = -48072 + 36.363T \quad (11)$$

$$= -48072 + 36.363 \times 1103.15 = -7928.15655$$



$$\Delta G_2^\theta (\text{TiAl}_3) = -18227 + 15.712T \quad (12)$$

$$= -18227 + 15.712 \times 1103.15 = -894.3072$$



$$\Delta G_3^\theta (\text{TiB}_2) = -73381 + 38.996T \quad (13)$$

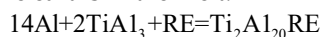
$$= -73381 + 38.996 \times 1103.15 = -30362.5626$$

According to the thermodynamic data for the standard reaction Gibbs free energy change  $\Delta G \leq 0$ , the reaction proceeds spontaneously to the right. When the synthesis temperature was 1103.15 K (830 °C), it was calculated that (1)  $\Delta G_1^\theta (\text{AlB}_2) = -48072 + 36.363 \times 1103.15 = -7928.15655 \text{ kJ} \cdot \text{mol}^{-1} < 0$ , AlB<sub>2</sub>



can be produced in the aluminium melt. When  $\Delta G_1^\theta(\text{AlB}_2) \geq 0$ , the temperature  $T_1 \geq 1322.00 \text{ K}$  (1048.85 °C), the reaction (11) proceed to the left and  $\text{AlB}_2$  cannot stabilise, since the refiner synthesis temperature was at atmospheric pressure  $830 \text{ °C} < 1048.85 \text{ °C}$ ,  $\text{AlB}_2$  can stabilise at  $830 \text{ °C}$ . When  $\Delta G_2^\theta(\text{TiAl}_3) = -18227 + 15.712 \times 1103.15 = -894.3072 \text{ kJ} \cdot \text{mol}^{-1} < 0$ , reaction (12) proceeded to the right to generate  $\text{TiAl}_3$ . When  $\Delta G_2^\theta(\text{TiAl}_3) \geq 0$ , the temperature  $T_2 \geq 1160.07 \text{ K}$  (886.92 °C), reaction (12) proceeded to the left, and  $\text{TiAl}_3$  could not exist stably.  $\text{TiAl}_3$  decomposed and dissolved. Since the synthesis temperature of the grain refiner was at atmospheric pressure  $830 \text{ °C} < 886.92 \text{ °C}$ ,  $\text{TiAl}_3$  could exist stably at  $830 \text{ °C}$ . When  $\Delta G_3^\theta(\text{TiB}_2) = -73381 + 38.996T_3 = -73381 + 38.996 \times 1103.15$

$= -30362.5626 \text{ kJ} \cdot \text{mol}^{-1} < 0$ , reaction (13) proceeded to the right, producing  $\text{TiB}_2$ , which had a much lower free energy  $\Delta G$  than  $\text{AlB}_2$ . When  $\Delta G_3^\theta(\text{TiB}_2) \geq 0$ ,  $T_3 \geq 1881.76 \text{ K}$  (1608.61 °C), the reaction (13) proceeded to the left and  $\text{TiB}_2$  cannot be stabilised.  $\text{TiB}_2$  can be stabilised in the synthesis process at atmospheric pressure of  $830 \text{ °C}$ . After adding Al-5Ti-1B-RE refiner to the melt,  $\text{Al}_3\text{Ti}$  was dissolved and excess Ti was released into the melt. Due to the more negative Gibbs free energy of  $\text{TiB}_2$  compared to  $\text{AlB}_2$  and  $\text{Al}_3\text{Ti}$ , from a thermodynamic perspective,  $\text{AlB}_2$  was extremely unstable in high-temperature Al-Ti-B-RE melts. As the reaction time prolonged, the transformation of  $\text{AlB}_2$  to  $\text{TiB}_2$  occurred, i.e., chemical reaction Equation (8):  $\text{AlB}_2(\text{s}) + \text{TiAl}_3(\text{s}) = \text{TiB}_2 + 4\text{Al}(\text{l})$ . At the same time, the melting point of  $\text{AlB}_2$  ( $950 \text{ °C}$ ) was much lower than that of  $\text{TiB}_2$  phase ( $2980 \text{ °C}$ ), so Ti in the melt tends to combine with B to form  $\text{TiB}_2$ . According to the principle of minimum energy in the system, the system always needs to adjust itself to reach the lowest energy and be in a stable equilibrium state. Therefore, reaction Equation (8) was sufficient to fully occur, ensuring the stable existence of  $\text{TiB}_2$  particles in the aluminum melt. Under the influence of gravity, the second phases  $\text{TiB}_2$  and  $\text{TiAl}_3$  generated by the reaction enter the aluminium melt, where  $\text{TiAl}_3$  interacted with it due to the presence of rare earths in the melt:



$$\Delta G_4^\theta(\text{Ti}_2\text{Al}_{20}\text{RE}) = -227024 + 74.837T_4 \quad (14)$$

$$= -227024 + 74.837 \times 1103.15 = -144467.56345 \text{ kJ} \cdot \text{mol}^{-1} < 0$$

When the synthesis temperature was  $1103.15 \text{ K}$  ( $830 \text{ °C}$ ), by calculating (1)  $\Delta G_1^\theta(\text{Ti}_2\text{Al}_{20}\text{RE}) =$

$$-227024 + 74.837 \times 1103.15 = -144467.56345 \text{ kJ} \cdot \text{mol}^{-1} < 0$$
, the result was the production of  $\text{Ti}_2\text{Al}_{20}\text{RE}$ .

When  $T_4 \geq 3033.58 \text{ K}$  ( $2760.43 \text{ °C}$ ),  $\Delta G_4^\theta(\text{Ti}_2\text{Al}_{20}\text{RE}) \geq 0$ , the reaction (14) proceeds to the left,  $\text{Ti}_2\text{Al}_{20}\text{RE}$  can not be stabilised, and  $\text{Ti}_2\text{Al}_{20}\text{RE}$  decomposed and dissolved, and since the refinement agent synthesis temperature was at atmospheric pressure  $830 \text{ °C} < 2760.43 \text{ °C}$ ,  $\text{Ti}_2\text{Al}_{20}\text{RE}$  can be stabilised at  $830 \text{ °C}$ . According to the free energies, it can be determined that the synthesis temperature of the grain refiner was  $830 \text{ °C}$ , and each reaction (11) - (14) can proceed to the right.

The Gibbs free energy was the lowest in the chemical reaction for synthesizing  $\text{Ti}_2\text{Al}_{20}\text{RE}$ , indicating its best stability. According to the Gibbs free energy function of Equation (14), it can be calculated that at a temperature of  $T = 1103.15 \text{ K}$ ,  $\Delta G = -144467.56345 \text{ kJ} \cdot \text{mol}^{-1}$ . The lowest value indicated a very good trend of spontaneous chemical reaction, which was also the fundamental reason why the melt matching reaction method can significantly reduce production costs. Therefore, the synthesis temperature not only affected the thermodynamic process of the reaction, but also caused changes in the composition and structural state of the melt by affecting the stability of the compound, and inherited this change into the solid structure. Therefore, when the synthesis temperature was higher than a certain value, the decomposition of certain phases (e.g.  $\text{TiAl}_3$ , etc.) occurred, which changed the structure of the melt composition, thus affecting the kinetic process of crystallisation.

### 3.3. Crystallization Kinetics Analysis

The total free energy of the system decreases as the crystal size grows with the growth of the nucleus during the crystallisation process. The reaction continues in a direction that is conducive to the growth of the crystal nucleus. The growth of crystal nuclei is considered to be a process in which atoms in the liquid phase migrate to the surface of the crystal nucleus, i.e., the liquid-solid interface advances towards the liquid phase. During the solidification process, there are two types of atomic migration occurring simultaneously at the liquid-solid interface, namely, the migration of atoms from

the liquid phase to the solid phase and the migration of atoms from the solid phase to the liquid phase. If  $N$  represented the number of atoms that migrate per unit area in time  $t$ , then [34]:

$$R_F = \left( \frac{dN}{dt} \right)_F = A_F G_F N_L \nu_L \exp\left(-\frac{Q_F}{\kappa T}\right) \quad (15)$$

$$R_m = \left( \frac{dN}{dt} \right)_m = A_m G_m N_s \nu_s \exp\left(-\frac{Q_m}{\kappa T}\right) \quad (16)$$

Where:  $R_F$  - the solidification rate,  $R_m$  - melting rate,  $A_F$  - the probabilities of liquid phase atoms reaching the solid phase,  $A_m$  solid phase atoms reaching the liquid phase and being able to settle (without being bounced back due to collisions),  $G_F$  - the probabilities of liquid phase atoms transitioning to solid phase,  $G_m$  - the probabilities of solid phase atoms transitioning to liquid phase,  $N_L$  - the atomic migration numbers per unit area of liquid at the interface,  $N_s$  the atomic migration numbers per unit area of solid phases at the interface,  $\nu_L$  - the vibrational frequencies of the atoms in the liquid,  $\nu_s$  - the vibrational frequencies of the atoms in the solid phases,  $Q_F$  - the activation energies of the atoms for the leaps from the liquid phase to the solid phase,  $Q_m$  - the activation energies of the atoms for the leaps from the solid phase to the liquid phase.

If  $T_i$  (interface temperature) =  $T_m$  (melting point temperature), then  $R_F = R_m$ , Solidification and melting were in dynamic equilibrium, i.e., the number of atoms that migrated from the liquid phase to the solid phase nucleus was equal to the number of atoms that migrated from the nucleus to the liquid phase, so the nucleus cannot grow. To promote the growth of crystal nuclei, that was, to push the solid-liquid interface into the liquid phase,  $R_F > R_m$  was required. The interface temperature  $T_i$  that satisfied this condition must be lower than the melting point temperature  $T_m$ , that was, there must be a certain dynamic (kinetic) undercooling degree  $\Delta T_k$  ( $\Delta T_k = T_m - T_i$ ) at the interface. The dynamic undercooling  $\Delta T_k$  was necessary for achieving net atomic transport from liquid to solid at the interface. And in the supercooled liquid, a large number of phase undulations of varying sizes sprang up every instant. At a certain temperature, the probability of phase fluctuations of different sizes occurring varied. The probability of phase fluctuations occurring in both large and small sizes was small, and there was a limit value  $r_{max}$  for the largest phase fluctuations occurring at each temperature. The size of  $r_{max}$  was related to temperature. The higher the temperature, the smaller the  $r_{max}$  size, and the lower the temperature, the larger the  $r_{max}$  size. The larger phase fluctuations that appeared in supercooled liquids were likely to transform into crystal nuclei during crystallization, and these phase fluctuations were the germ of the crystal nucleus, namely the crystal embryo. The effect of crystal embryo on the crystallisation kinetic process, the nucleation rate ( $I$ ) of the solid phase precipitated from the liquid phase [35]:

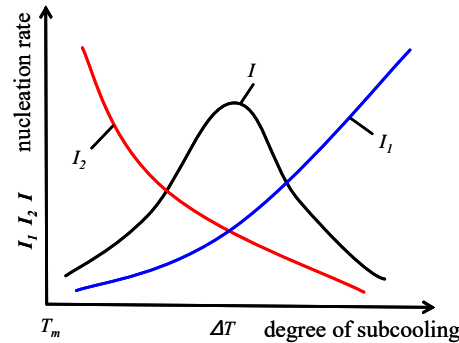
$$I = k N_v^P \exp\left[-\frac{16\pi\sigma_{al}^3 f(\theta)}{3K_b \Delta S^2 \Delta T^2}\right] \quad (17)$$

Where:  $I$  - nucleation rate;  $k$  - coefficient of Boltzmann's constant with respect to atomic diffusion;  $N_v^P$  - number of nuclei in the melt;  $\Delta S$  - entropy of nucleation;  $\Delta T$  - supercooling of nucleation.

According to Equation (17), the nucleation rate  $I$  was directly proportional to the number of embryos  $N_v^P$  in the melt, and increased exponentially with the increase of nucleation undercooling  $\Delta T$ . Therefore, when preparing Al-5Ti-1B-1RE master alloy by melt matching method, the solid-liquid interface advanced towards the liquid phase when the solidification rate  $R_F > R_m$  melting rate. If the undercooling degree  $\Delta T$  of nucleation was larger and the critical number of embryos  $N_v^P$  was greater, the nucleation rate  $I$  was higher, vice versa. The higher the melt temperature, the smaller the nucleation supercooling  $\Delta T$ , the stronger the diffusion of atoms, the smaller phases may merge and grow preferentially and the smaller the number of critical embryos, the lower the nucleation rate  $I$  was.

From the above, it can be seen that when the Al-5Ti-1B-1RE master alloy was prepared by the melt-matching method, the nucleation rate  $I$  of the undercooled liquid mainly depended on the thermodynamic nucleation work at higher temperatures (with lower undercooling  $\Delta T$ ), and the activation energy  $Q$  of dynamic atomic diffusion became a secondary factor. When the temperature was low (with a large degree of undercooling  $\Delta T$ ), the nucleation rate  $N$  mainly depended on the

kinetic atomic diffusion activation energy  $Q$ , while the thermodynamic nucleation work became a secondary factor. When the temperature was appropriate, there was a certain degree of undercooling  $\Delta T$ , and the thermodynamic nucleation rate  $I_1$  and the dynamic nucleation rate  $I_2$  had an optimal combination. At this point, the nucleation rate  $I$  reached its maximum value and a "peak" appeared on the nucleation rate curve, which was inherited into the solid structure.

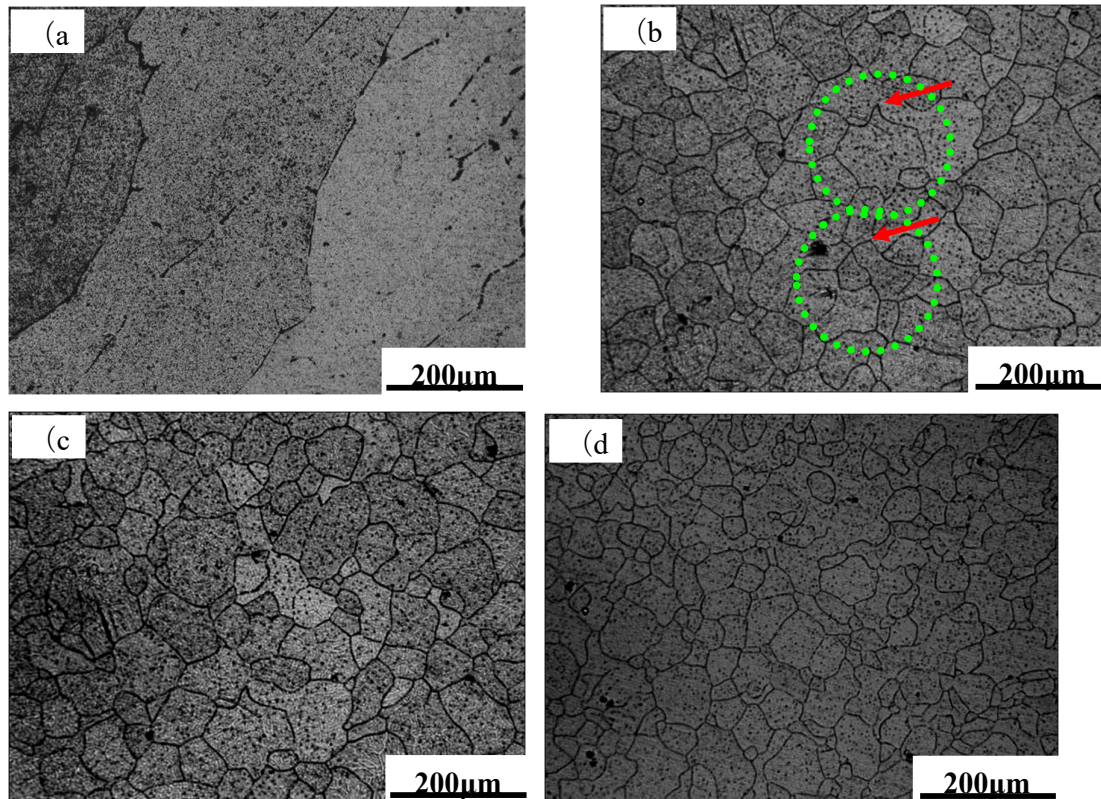


**Figure 5.** Relationship curve between nucleation rate  $I$  and undercooling  $\Delta T$ .

### 3.4. Refinement Analysis of Different Grain Refiners

#### 3.4.1. Analysis of Refining Effects of Different Grain Refiners

The self-made Al-5Ti-1B-1RE master alloy grain refiner was used to refine industrial grade aluminium in comparison with the home-made Al-Ti-B wire and the Al-Ti-B wire master alloy grain refiner manufactured by the British company LSM under the same refining test conditions. The unrefined pure aluminium microstructure was coarse columnar crystals with hardly a single intact grain placed in the field of view, as shown in Figure 6a. The grain size of industrial pure aluminium was significantly reduced by the master alloy grain refiner. When adding domestic Al-Ti-B wire master alloy grain refiner, most of them were equiaxed crystals, but there were still a considerable number of coarse grains, with an average grain diameter of 95.6  $\mu\text{m}$ . And some of the grains had sharp corners, which was detrimental to the mechanical properties of refined pure aluminium, and played a weakening effect. In the green circles in Figure 6b, the grains indicated by the red arrows had obvious sharp corners. When the imported Al-Ti-B wire master alloy was added, its grain size was smaller than that of the domestic Al-Ti-B wire master alloy refiner added in equal amount, but the grain size was still somewhat uneven, with an average grain diameter of 84.7  $\mu\text{m}$ , and there were few grains with sharp corners in the grains. This indicates that the effect of imported Al-Ti-B wire master alloy was better than that of domestic Al-Ti-B wire master alloy, and its refinement effect was shown in Figure 6c. When the self-made Al-5Ti-1B-1RE master alloy grain refiner was added, the grain size was significantly refined, and most of them had been transformed into fine equiaxed crystals. The grain size was smaller than that of domestic and imported Al-Ti-B wire master alloy grain refiner, and the average grain diameter was 70.2  $\mu\text{m}$ . There was an obvious advantage in the refining effect and grain uniformity, as shown in Figure 6d. The industrial pure aluminium refined by the self-made Al-5Ti-1B-1RE master alloy grain refiner had rounded grains, close to the equiaxed grain shape, with little cutting effect on the matrix, and its high level of refinement was assessed to have reached the fine grain level in terms of average grain diameter [36].

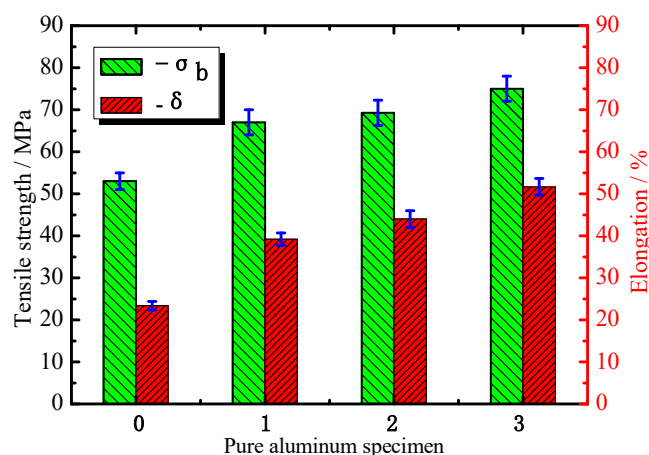


**Figure 6.** Comparison about refining effect of different kinds of refiners: (a) Al-5Ti-1B master alloy made in our country; (b) Al-5Ti-1B master alloy imported; (c) Al-5Ti-1B-1RE master alloy refiners.

### 3.4.2. Analysis of Refining Properties of Different Grain Refiners

The mechanical tensile properties of industrial pure aluminium refined by different grain refiners were tested and the results are shown in Figure 7. Unrefined industrial pure aluminum, domestically produced Al-Ti-B wire master alloy grain refiner, Al-Ti-B wire master alloy grain refiner produced by LSM company in the UK and self-made Al-5Ti-1B-1RE master alloy grain refiner refined industrial pure aluminum were marked as Group 0, Group 1, Group 2, and Group 3 specimens respectively, and the tensile strength  $\sigma_b$  and elongation at break  $\delta$  of each group of tensile specimens were measured. From the test results of tensile properties of the specimens, it can be seen that the tensile strength  $\sigma_b$  and elongation at break  $\delta$  of the specimens of group 0, group 1, group 2 and group 3 increased in order. And their tensile strength  $\sigma_b$  was 53.00 MPa, 67.00 MPa (26.42% higher than pure aluminium  $\sigma_b$ ), 69.26 MPa (30.68% higher than pure aluminium  $\sigma_b$ ), 75.00 MPa (41.51% higher than pure aluminium  $\sigma_b$ ), respectively. The tensile strength  $\sigma_b$  of group 3 was increased by 11.94% and 8.29% compared to group 1 and group 2 specimens, respectively. The elongation rates at break  $\delta$  were 23.38%, 39.20% (increased by 67.59% compared to pure aluminum  $\delta$ ), 44.00% (increased by 88.54% compared to pure aluminum  $\delta$ ) and 51.66% (increased by 120.96% compared to pure aluminum  $\delta$ ), respectively. The elongation  $\delta$  of group 3 increased by 31.79% and 17.41% compared to group 1 and 2 specimens, respectively. The tensile strength  $\sigma_b$  and elongation at break  $\delta$  of Group 1, Group 2 and Group 3 specimens were increased compared to Group 0 specimens tensile, especially Group 3 specimens were significantly increased, which also corresponded to the effect of different types of master alloy refiners refining in Figure 6.





**Figure 7.** The mechanical properties of pure aluminum before and after adding different grain refiners: (a) the 0th group-the pure Al unrefined; (b) the first group-adding Al-Ti-B made in our country; (c) the second group-adding Al-Ti-B imported; (d) the third group-adding self-made Al-5Ti-1B-1RE refiners.

The grain size directly determined the mechanical properties of aluminum and aluminum alloys. The level of mechanical properties also directly reflected the degree of grain refinement, as expressed by the Hall Petch formula [37]:

$$\sigma = \sigma_0 + Kd^{-1/2} \quad (18)$$

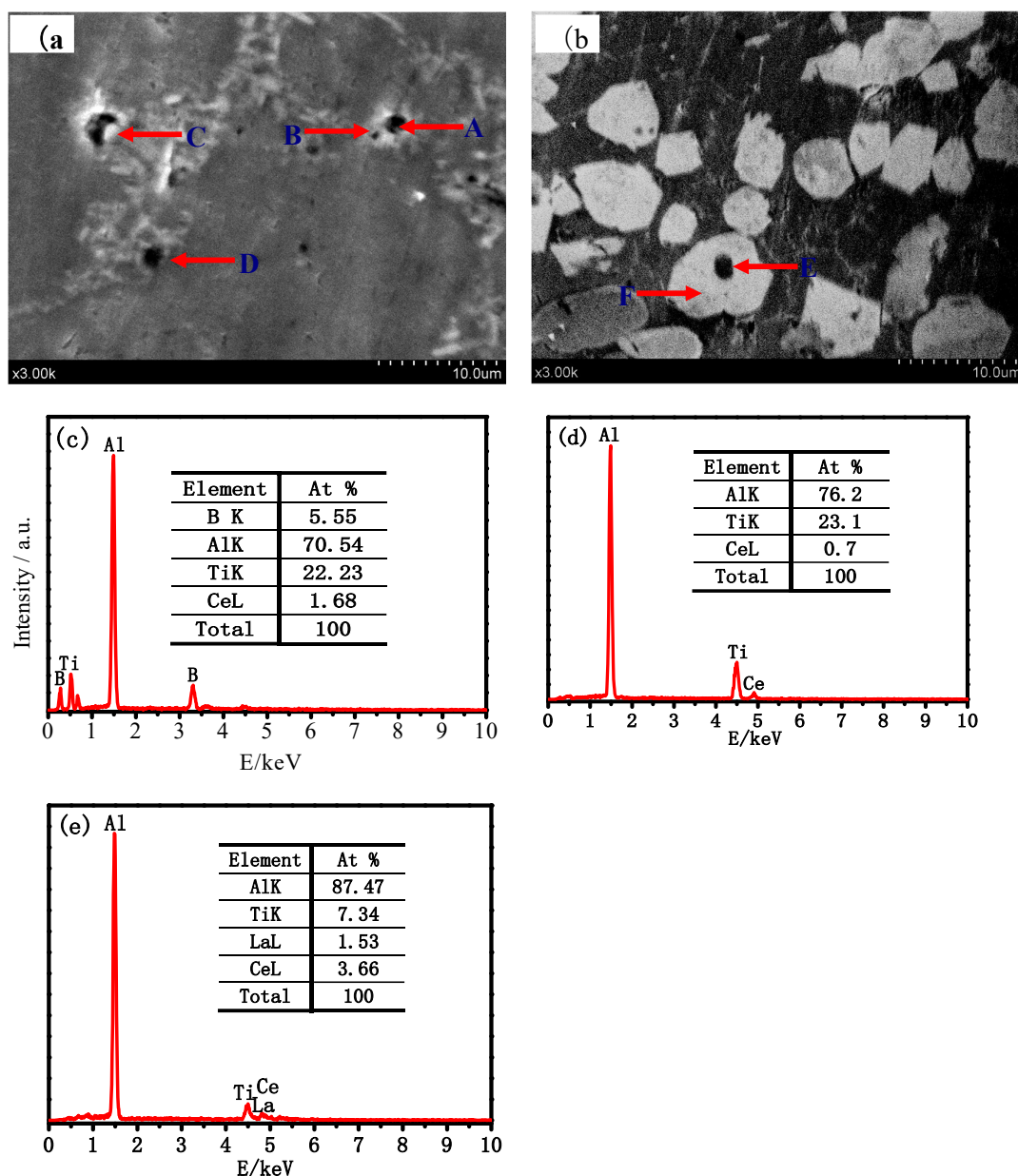
Where:  $\sigma_0$  - constant represents the resistance to deformation within the crystal, which is approximately 2-3 times the critical shear stress in the slip direction of a single crystal on the slip plane.  $K$ -constant characterizes the influence of grain boundaries on deformation, which depends on the structure. According to Equation (18), the tensile strength  $\sigma$  of polycrystalline materials is linearly related to the reciprocal of the square root of their grain diameter  $d$ , and the smaller the grain size, the higher the strength. This indicates that with the addition of grain refiners to industrial pure aluminium, the number of non-spontaneous nucleation of its second phase particles increases significantly, effectively promoting nucleation and grain refinement. The smaller the grain size, the more grain boundaries there are, and the greater the deformation resistance, the higher the macroscopic tensile strength. On the other hand, under the same external force, the smaller the grain size, the more uniform the deformation of each grain, and the lower the probability of stress concentration. Cracks are less likely to initiate and propagate, and can withstand a larger amount of deformation before fracture, resulting in higher macroscopic plasticity. When using self-made Al-5Ti-1B-1RE master alloy to refine pure aluminum, a large number of effective second phase nucleation particles were released in a short period of time, generating new nucleation particles before the growth of the melt, hindering the growth of columnar crystals, and changing the crystal growth morphology towards fine equiaxed crystals, promoting grain refinement and improving the toughness of the materials. The mechanical properties of pure aluminum refined by adding self-made Al-5Ti-1B-1RE master alloy were significantly better than those of industrial pure aluminum refined by adding an equal amount of Al-5Ti-1B wire mater alloy, as shown in Figure 7. In addition, the presence of inclusions, impurities or gases in the industrial pure aluminium melt can lead to the presence of various defects in the crystals, such as porosity, porosity, etc., which significantly reduced the overall performance of industrial pure aluminium [38]. However, the RE element itself has the function of purifying aluminum melt, significantly reducing impurities and defects such as pores in pure aluminum, and improving mechanical properties. Therefore, group 3 specimens have the smallest grain size and the highest tensile strength  $\sigma_b$  and elongation at break  $\delta$ .

### 3.4.3. Analysis of Refinement Mechanism of Al-5Ti-1B-1RE Mater Alloy

As the second phase of Al-5Ti-1B-1RE master alloy is mainly  $TiAl_3$ ,  $TiB_2$  and  $Ti_2Al_{20}RE$ , when added to the industrial pure aluminium melt,  $TiAl_3$  melting point was 440 °C quickly dissolved.  $TiB_2$  had a high melting point of 2980 °C and still existed in solid form in the melt.  $TiB_2$  and  $TiAl_3$  in the

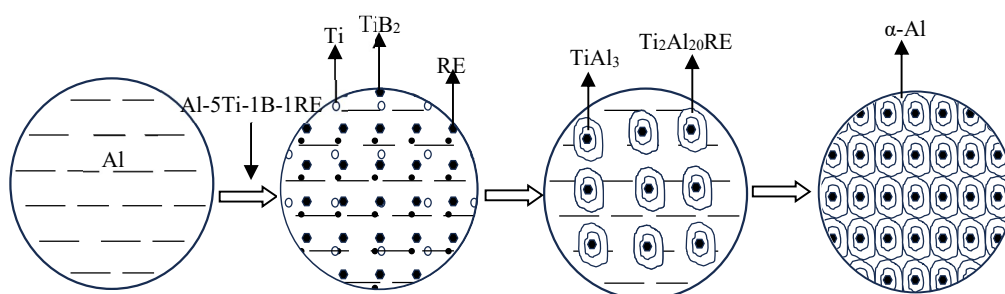


melt had good wettability due to their small wetting angle. The planes formed by the strong covalent bond network of B-B in the  $\text{TiB}_2$  crystal structure provided the possibility for  $\text{TiAl}_3$  to nucleate on its surface. Therefore, Ti preferentially formed a Ti rich layer around  $\text{TiB}_2$  particles, with  $\text{TiB}_2$  particles as the center and  $\text{TiAl}_3$  forming around  $\text{TiB}_2$ , as shown in Figure 8a,b, in the areas indicated by red arrows A and B. The energy spectrum region scanning analysis was carried out, which mainly containing Al, Ti, B and a small amount of RE, as shown in Figure 8c. Combined with the X-ray diffraction results in Figure 2 and the morphological characteristics of the second phase particles in the Al-5Ti-1B-1RE master alloy, it can be determined that the central black particle material was  $\text{TiB}_2$ , and the phases surrounding  $\text{TiB}_2$  were mainly  $\text{TiAl}_3$  and rare earth phases. Ti formed a  $\text{TiAl}_3$  coating layer, namely  $\text{Ti}+3\text{Al} \rightleftharpoons \text{TiAl}_3$ . During the solidification process, a large amount of  $\text{TiB}_2$  wrapped in a thin layer of  $\text{TiAl}_3$  served as an effective nucleation substrate for  $\alpha\text{-Al}$ , promoting nucleation and refining the  $\alpha\text{-Al}$  grains [39].



**Figure 8.** SEM micrographs of nucleus and EDS analysis results: (a) SEM of the secondary phase of Al-5Ti-1B-1RE; (b) EDAX of A, B; (c) SEM of the secondary phase of Al-5Ti-1B-1RE; (d) EDAX of E; (e) EDAX of F

Simultaneously conducting regional scanning analysis on points C and D in Figure 8a, it was found that regions A and B have the same analysis results. On the other hand, the RE element released by the dissolution of the second phase particle  $\text{Ti}_2\text{Al}_{20}\text{RE}$  phase forms a protective thin layer on the surface of  $\text{TiAl}_3$ , i.e.,  $\text{Ti}_2\text{Al}_{20}\text{RE} \rightleftharpoons 14\text{Al} + 2\text{TiAl}_3 + \text{RE}$ , and the energy spectrum analysis of the E and F regions in Figure 8b was shown in Figure 8d, in which the E grey region mainly contained the two elements of aluminium and titanium, and its atomic percentage content ratio was  $\text{Ti}:\text{Al} \approx 1:3$ , it can be determined that the substance was  $\text{TiAl}_3$ . The white region of phase F, which surrounds the bulk  $\text{TiAl}_3$  phase E, was then analysed by energy spectroscopy to contain Ti, Al, and RE with an atomic percentage ratio of  $\text{Ti}:\text{Al}:\text{RE} \approx 2:20:1$ , as shown in Figure 8e. Combined with the results of X-ray diffraction in Figure 1, it can be judged to be the  $\text{Ti}_2\text{Al}_{20}\text{RE}$  phase with a complex face-centred cubic structure. At the same time, due to the release of RE atoms from the  $\text{Ti}_2\text{Al}_{20}\text{RE}$  phase surrounding  $\text{TiAl}_3$ , which was partially converted into  $\text{TiAl}_3$  phase to promote the formation of  $\text{TiAl}_3$  on the surface of  $\text{TiB}_2$ . The  $\text{TiAl}_3$  phase can exist in the aluminium melt for a longer period of time and the refining effect lasted for a longer period of time, which protected the formation of  $\text{TiAl}_3$  on  $\text{TiB}_2$  grains, i.e., it further promoted nucleation and  $\alpha$ -Al grain refinement, as shown in the schematic diagram of the dual nucleation theory in Figure 9, which was consistent with the dual nucleation refinement theory proposed by P.S. Mohanty et al [40].



**Figure 9.** Schematic diagram of the duplex nucleation theory.

In addition, the rare earth elements themselves played a positive role in refining pure aluminium, with a portion of the rare earth elements being pushed up to the grain boundaries during solidification process. Due to the RE atomic radius of 0.174-0.204 nm, which was larger than the aluminium atomic radius of 0.143 nm, and the solid solubility in aluminium was extremely low, the precipitation of rare earth elements to cause  $\alpha$ -Al lattice distortion, with a strong pinning of grain boundaries and subgranular boundaries, which played a role in refining the grain.

The other part of rare earth elements mainly played a role in promoting the protection of the dual nucleation  $\text{TiAl}_3$  and  $\text{TiB}_2$  phase during the refinement process. To obtain good refining effect, in the synthesis and preparation of Al-5Ti-1B-1RE master alloy to ensure that  $\text{TiB}_2$  in the mass ratio of  $\frac{\text{Ti}}{\text{B}} > \frac{47.867}{2 \times 10.811} = 2.21$ , that was, in addition to the generation of  $\text{TiB}_2$ , the Ti mass to had a surplus, the generation of  $\text{TiAl}_3$ , which was a prerequisite for obtaining effective nucleation of  $\text{TiAl}_3$  phase and  $\text{TiB}_2$  phase. The self-made Al-5Ti-1B-1RE master alloy with  $\frac{\text{Ti}}{\text{B}} = \frac{5}{1} > 2.21$  can obtain effective nucleation and better refinement effect.

#### 4. Conclusions

- (1) When synthesizing a new Al-5Ti-1B-1RE master alloy grain refiner by melt matching method, the reaction generated by the second phase  $\text{TiB}_2$  and  $\text{TiAl}_3$  into the aluminium melt, Interaction with  $\text{TiAl}_3$  due to the presence of rare earths in the melt:  $14\text{Al} + 2\text{TiAl}_3 + \text{RE} = \text{Ti}_2\text{Al}_{20}\text{RE}$ .
- (2) When the new Al-5Ti-1B-1RE master alloy grain refiner was synthesised by the melt-mixing method at synthesis temperature of 1103.15 K (830 °C), it was calculated that the magnitude of Gibbs free energy  $\Delta G$  was  $\Delta G_{\text{Ti}_2\text{Al}_{20}\text{RE}} < \Delta G_{\text{TiB}_2} < \Delta G_{\text{Al}_3\text{Ti}}$ .
- (3) When the temperature was appropriate, there was a certain degree of subcooling  $\Delta T$ , the thermodynamic nucleation rate  $I_1$  and the kinetic nucleation rate  $I_2$  had an optimal fit, at which

time the nucleation rate  $I$  reached the maximum value, and a “peak” appeared on the nucleation rate curve.

- (4) The mechanical properties of pure aluminum refined by Al-5Ti-1B-1RE master alloy were significantly better than those of pure aluminum added with equal amounts of domestic and imported Al-5Ti-1B wire master alloy. The tensile strength  $\sigma_b$  and elongation  $\delta$  were increased by 11.94%, 8.29%, and 31.79%, 17.41%, respectively.
- (5) The refining mechanism of Al-5Ti-1B-1RE master alloy for industrial pure aluminum was a dual nucleation refining mechanism. Due to the addition of RE elements, the refining effect lasts longer.

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