

Reproductive Attitudes, Norms and Constraints: Revisiting Their Relative Contributions to Second-Child Intentions and Behavior in Chinese Mothers from an Evolutionary Perspective

Jianghua Liu

The Institute for Population & Development Studies, School of Public Policy and Administration, Xi'an Jiaotong University, No.28, West Xianning Road, Xi'an 710049, China; liujianghua@tsinghua.org.cn

Abstract

To further elucidate the relative weight of various factors in shaping women's fertility in low birth-rate societies like China, the author proposes an evolutionary framework of planned reproductive behavior and a series of its propositions about the second-child intentions and behavior, the key to understanding low-fertility behavior. Such propositions receive substantial support from the dominance analysis of the longitudinal data from a sample of one-child mothers in China. All nuclear-family members were complete stakeholders of reproduction: The fertility attitude of the mother's husband, i.e. husband-specific injunctive norms, made the largest contribution to fertility intention, followed by her own and firstborn's attitudes. Among incomplete stakeholders, the injunctive norms from parents were more important than those from peer relatives and friends in predicting fertility intention, but the opposite held for descriptive norms, i.e. actual number of children. Regarding the actual fertility behavior followed over 2.5 years, fertility intention was the dominating predictor of it; fertility attitudes of all three nuclear-family members were equally important predictors; by contrast, the injunctive norms from all incomplete stakeholders were of no importance. Perceived challenge in investing in children was an important predictor of both fertility intentions and behavior, but other constraints only became important at the latter stage. The study articulates the theoretical underpinnings of the collective decision-making in family reproduction through a behavioral ecology lens, suggests cultural evolution of fertility by horizontal transmission of new pronatalist norms in current China, and has potential implications for population policies in low-fertility societies.

Keywords: collective fertility decision-making; kin influence; injunctive norms; descriptive norms; the theory of planned behavior (TPB)

Introduction

The low-fertility challenge is confronted by many countries now and is related to a major theoretical question arising in both demography and human evolutionary biology in the past decades: What are the relatively important factors underlying women's low-fertility behavior in modern societies, when there are increased resources available for reproduction (1)? Taking China as an example, the country is now facing the challenge of lowest-low fertility rate, fast declining births, rapid population aging, and consequent shortage of labor force and pension deficit (2). According to a latest release, China's total fertility rate was around 1.05 in 2023, i.e. just half of the replacement level (3). Thus, it is not strange that China relaxed its birth control policies again and again in the past 10 years to cope with the urgent challenge and since 2021, married couples have been universally allowed to have three children in their lifetime (4, 5). At the same time, there has been some debate in China: What are really important factors that have caused fertility limitation and thus, should be

taken into account in designing countermeasures? Some studies argued that endogenous factors, i.e. spontaneous preference for small family size in reproductive-aged couples, could explain low fertility better than other factors and thus, the focus should be put on promoting high-fertility ideology (6-8); by contrast, others emphasized the importance of provision of convenient childcare services and parenting stipends (9). As will be shown in the theoretical section, a revisiting of the debate from an evolutionary perspective helps to provide new insights—not so evident from a demographic perspective—into it, which will naturally promote the solution of it.

In ranking fertility predictors, demographers and evolutionary anthropologists have different focuses. The former tend to focus on fertility intentions, rather than fertility behavior *per se*, whereas the opposite is true for the latter. One reason for demographers' choice might be that fertility intention is proximate to actual childbearing behavior, easy to measure in a cross-sectional study and convenient to analyze comprehensively with the theory of planned behavior (TPB) in social psychology (10-12). According to the TPB, fertility intention is determined by fertility attitudes (personal favorable or unfavorable evaluation of fertility behavior), subjective norms (perceived social pressures on performing or not performing fertility behavior) and perceived behavioral controls (the ease or difficulty of performing fertility behavior). Influences of such factors on fertility intentions vary with parity (11, 13, 14). Subjective norms tend to be the most important predictor of an intention to have the first child: Childless couples have no direct experience of child-bearing and -rearing and thus, they will rely heavily on social custom of transition to parenthood regardless of perceived or practical constraints on reproduction (15, 16). By contrast, attitudes may have a larger effect on fertility intentions in cases of second- or higher-order births: Parents have direct reproductive experience now and thus, there will be more own evaluation of costs and benefits of having another child (17). For instances, reproductive attitudes were the leading predictor of fertility intentions in the nine European countries analyzed by Billari et al. (16) and Klobas (13). Generally, perceived economic constraints (i.e. economic control factors) are not the leading predictors of fertility intentions in low-fertility societies (13). Besides the TPB predictors, previous studies have also shown significant effects of some background factors on fertility intentions; in particular, age is a major or even the most important predictor (11, 18, 19).

Regarding actual fertility behavior, previous studies have also drawn some important conclusions. First, in demography, fertility intentions have been consistently found to be the most important predictor of actual fertility outcomes and they mediate substantially the effects of the TPB factors as well as background factors on fertility behavior. In their analysis of Italian population based on data from Generations and Gender Survey, Letizia et al. (20) found that once fertility intentions were controlled for, fertility attitudes, subjective norms and perceived behavioral controls showed no significant effects on fertility outcomes. Similarly, Kuhnt & Trappe (21) found that the effects of social pressures on fertility outcomes were mainly via their effects on fertility intentions. Second, tending to focus directly on fertility behavior rather than fertility intentions (22, 23), evolutionary anthropologists found that the factors studied by the typical evolutionary models about the causes of the demographic transition—i.e., child mortality, biased cultural transmission, and parental investment—all contributed to fertility choices (24, 25); further analyses indicated that investment factors seemed to explain them best (26, 27).

Currently, there are still some gaps in previous comparative analyses. First, few of them have conducted an integrative analysis of the fertility-related factors' relative influences on both fertility intentions and fertility behavior, which can have dramatically different sensitivity to such factors. This raises a question: Will focusing just on one of them (intention vs. behavior) lead to misleading results of ranking relative importance? Although somewhat time-consuming in collection, longitudinal data on fertility intentions and their time-lagged realization are suitable for such an integrated analysis (28-30). Second, previous studies have often conceptualized ego-centric social networks as an undifferentiated whole, overlooking systematic variations in network members' influences on the ego's fertility choices, particularly between familial and non-familial ties.

From an evolutionary perspective, the current study aims to answer both theoretically and empirically the question mentioned at the beginning: What are the relatively important factors influencing women's reproductive behavior in modern societies? The focus will be on one-child mothers' intentions to have a second child and actual second-birth behavior, the key to understanding low-fertility pattern and its dynamics in China—even under the three-child policy (8)—and other low-fertility societies (31). The predictors considered include the TPB ones—the mother's reproductive attitudes, subjective norms from family members and non-family members, perceived constraints on having a second child—as well as background factors like age. The dominance analysis is used to assess the relative importance of predictors.

The Theoretical Framework and Related Predictions

Based on the theory of planned behavior and relevant evolutionary theories, the author proposes an evolutionary framework of planned reproductive behavior (Figure 1). The framework states that reproductive attitudes, descriptive norms and perceived constraints predict one's fertility intention, which together with constraints predict actual fertility behavior. Injunctive norms play dual roles: On the one hand, they predict fertility intentions; on the other hand, they predict actual fertility behavior (at least for some members). *It is remarkable that the three categories of the TPB predictors—i.e. reproductive attitudes, norms and constraints—of fertility intentions and behavior can be interpreted and compared for their relative importance more insightfully from an evolutionary perspective.* Specifically, attitudes and injunctive norms—two seemingly independent determinants of intentions in the TPB—can be given a unified interpretation from a behavioral ecology approach. Additionally, descriptive norms can be interpreted from a cultural evolution approach, and constraints from a behavioral ecology approach. Two further points are worth mentioning. First, if descriptive norms are not considered and social-network members are not differentiated, the framework will be more or less the same as Ajzen's original version of the TPB (10). Second, if constraints are further excluded from the framework, i.e. both descriptive norms and constraints are not considered, the remaining structure or sub-system can be called a framework of collective reproductive decision-making (Figure 1). The detailed reasoning is given below.

Within a behavioral ecology approach, there are two dimensions—the benefit dimension and the cost dimension—worth consideration in predicting the relative importance of nuclear-family members in fertility intentions and they will give the same predictions. As one of pillars of an evolutionary approach to social behavior, the kinship theory posits that for a given gene in the ego, there is a copy of it identical by descent in a relative at the probability of the degree of relatedness between them (32, 33); thus, the birth of a relative brings some genetic benefit. Another pillar theory, i.e. parental investment theory, posits that investment in an offspring is “at the cost of parent's ability to invest in other offspring” (34); thus, the birth of an offspring brings some cost in terms of taking up investment resources such as food, time and protection (35). The theory also posits that parental investment is one of key factors limiting reproduction. Thus, it is reasonable to argue that larger investment means larger bargaining power in reproductive decision-making, which can be called an investment/cost dimension. From the dimension of genetic benefit, all members in nuclear family will play more or less the same important role in fertility decision-making, as they have an equal incentive for further reproduction: the mother, her husband, and their firstborn child all have a coefficient of genetic relatedness with the potential second child at 0.5 (32, 33) (Figure 2). From the dimension of investment/cost, members in the nuclear family will also play more or less an equally important role in second-child decision-making, as the expected investment in the second child is likely the same for each of them (Table 1). In a word, *the husband and the firstborn child will likely pay the same quantity of cost and gain the same quantity of benefit as the mother herself; thus, they are also the complete stakeholders of a potential second child.* (Note: the information asymmetry, when the firstborn child does not know parents' fertility plan timely or sufficiently, will be informally considered later.) Given the above consideration, we have the following group of predictions about relative importance of the nuclear-family members in fertility intentions:

Prediction P1a: A mother's intention to have a second child is significantly influenced by the injunctive norms from her husband and firstborn child.

Prediction P1b: Both the effect of the injunctive norms from the mother's husband on her second-child intention and that from her firstborn child are at the same size as the effect of her own reproductive attitudes.

Table 1. The expectation of parental investment in a potential second child by the mother and members in her social network.

The relationship with the mother	Investment
The mother herself	$\approx C/2^a$
The mother's partner	$\approx C/2$
The mother's firstborn child	$\approx C/2^b$
The mother's parents/parents-in-law	$< C/2^c$
The mother's siblings	$\ll C/2^d$
The mother's partner's siblings	$\ll C/2$
The mother's friends	0^e
The mother's colleagues	0

^aThe needed total investment in a second child is denoted as C . Although relative investment of two partners could vary across households, the expectations of their investment in the coming second child are assumed to be more or less the same, an assumption reasonable for highly competitive societies, where both paternal and maternal parental investment is indispensable for survival and reproduction of the child (33, 91). ^bTheoretically, the part of C , which will be taken out of what is possibly invested in the first child if a second birth is not planned, varies from 0 to C ; in other words, although the firstborn child does not invest in the second one *directly*, the possible cost incurred for him/her can be seen as *de facto* investment. When the family is in good socio-economic condition, it might not be necessary to divert any investment from the firstborn child to the second one; thus, the amount diverted could be as low as zero. By contrast, when the family is in bad socio-economic condition, it might be necessary to divert limited time, food and other resources from the firstborn child to the second one; in extreme cases, all the investment in the second child will be necessarily diverted from that in the firstborn child and thus, the amount diverted could be as high as C . Then, $(C+0)/2=C/2$ could be a reasonable estimate for population mean of the amount diverted, when the distribution of the amount is symmetric. The argument can be naturally extended to families with more than one child: e.g., with two children already born, the total investment diverted from all two already-born children owing to the third childbirth is still estimated at $C/2$; however, the cost incurred for the firstborn child would be just $C/4$ now. ^cIn societies with grandparental care for grandchildren (e.g., in current China; see ref. 92), grandparental investment can be substantial, but it is reasonable to assume such an investment is less than that of parents (for a comparison between maternal and grandparental effects on child survival in basically natural fertility populations, see ref. 72). ^dIn a society with nuclear family as its main family structure, e.g. China (93), it is assumed that help or investment from the mother's or her husband's siblings is far less than that of the couple (see also ref. 33). Note: Although the coefficient of cost equals that of genetic relatedness for each nuclear-family member, this result probably does not hold for other members. ^eIt is assumed that the social-network members that are not related genetically to the potential second child do not invest in it.

Regarding the relative importance of nuclear-family members in actual fertility behavior, there seems a difference between their attitudes. According to the TPB, the mother's own fertility attitudes tend not to affect fertility behavior directly (10). However, it has been indicated that the husband's and the firstborn's attitudes towards the second childbirth, i.e. the injunctive norms from them (36), play a dual role. On the one hand, such attitudes work as norms as those from non-family members and affect fertility behavior indirectly via fertility intentions; on the other hand, they work as constraints or behavioral control factors that facilitate or inhibit implementing one's intention and

thus, affect actual fertility behavior directly (11, 18, 30). We now have a group of Predictions about relative importance of the nuclear-family members at the stage of actual fertility behavior:

Prediction P2a: After the mother’s fertility intention is set up, the injunctive norms from her husband and firstborn child significantly influence actual fertility behavior.

Prediction P2b: After the mother’s fertility intention is set up, the injunctive norms from her husband and firstborn child are more important than her own fertility attitudes in influencing actual fertility behavior.

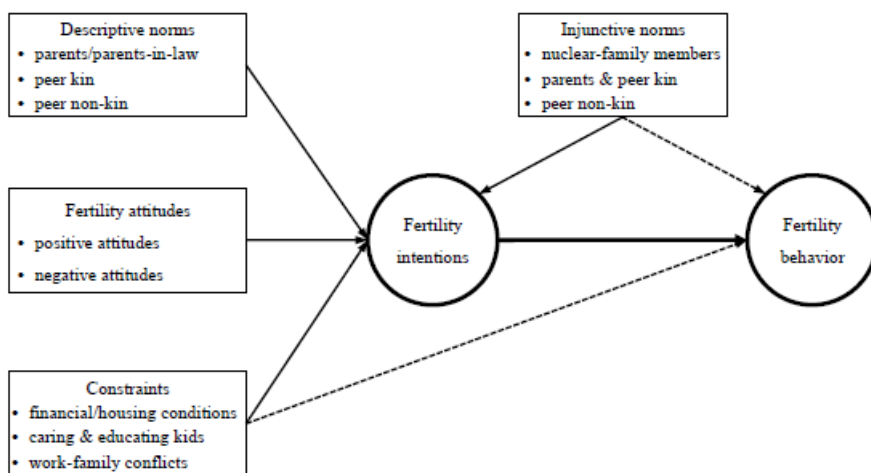


Figure 1. The evolutionary framework of planned reproductive behavior. Each solid arrow refers to a direct effect; a dashed arrow indicates that a predictor could bypass intention to exert a potential direct influence on behavior. Within each category of predictors, details are listed in bullets. For the relationships between the framework and Ajzen’s theory of planned behavior, and between it and the framework of collective reproductive decision-making, see the text.

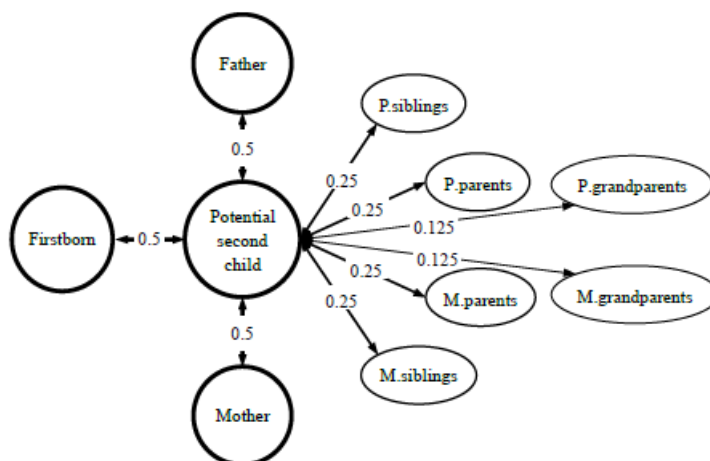


Figure 2. The illustration of some kin of a potential second child. For each double-headed arrow, the number on it refers to the coefficient of genetic relatedness between two persons at the ends of it (half-sibling and false paternity are not considered here); width and length of it are proportional and inversely proportional to the coefficient, respectively. P. — paternal/father’s. M. — maternal/mother’s.

The above theoretical argument for fertility intentions can be generalized to members in the wider social network: the genetic/genealogical kin of the potential second child (i.e. the mother’s kin, including her genetic/genealogical kin and affinal kin; see also ref. 37); the members not related

genetically to the potential child (i.e. the mother's non-kin; Figure 1; Table 1). Firstly, from the benefit dimension, the higher a person's genetic relatedness with the potential second child, the stronger the person will react to favorable or unfavorable things happening to it (e.g., birth or death); in other words, greater genetic relatedness or differential in it when considering an alternative outcome means greater selection pressure for the reaction (38, 39). Consequently, the injunctive norms from the mother's kin, i.e. their attitudes about whether she should have the child or not, tend to influence her fertility decision-making: If she neglects completely such norms, conflicts will arise, just as the case when a stakeholder's interest has not been considered sufficiently in an enterprise. In other words, balancing opinions from different stakeholders or kin weighted by their genetic relatedness to the potential child seems to be an optimal strategy in family reproductive decision-making, which is somewhat parallel to Pareto efficiency in collective decision-making in economy (40). By contrast, the injunctive norms from the social-network members not related genetically to the potential child—e.g., the mother's colleagues, friends or community neighbors—will not influence the mother's fertility decision-making much: They hold no genetic stake in the reproduction and thus, neglecting their attitudes will not cause much conflict. The above theoretical argument explains some interesting findings in previous studies: e.g., social pressure on reproduction mainly came from family members rather than non-family members (41, 42); receiving emotional support from partners or parents meant higher likelihood of a second birth in modern UK (43, 44); injunctive norms played a more important role in family planning in rural Highland Madagascar with a higher kin density than in urban area (45); in a sample of Dutch women, a slightly larger pressure to have children was perceived from parents than from friends (46). Given the above argument, we have a group of predictions about the mother's kin vs. non-kin from the benefit dimension:

Prediction P3a: Similarly to the case of the mother's husband and firstborn child, the injunctive norms from the potential second child's other genetic/genealogical kin such as its grandparents and those of similar age as she (i.e. the mother's peer genealogical and affinal kin) significantly influence her second-child intention, but those from the network members not related genetically to the potential child (i.e. her non-kin) do not.

Prediction P3b: Regarding influences of injunctive norms on the mother's second-child intention, the relative-importance rankings of social-network members will be as follows: the mother's husband and firstborn child > her parents/parents-in-law \approx her other peer genealogical and affinal kin > her peer non-kin.

However, viewing from the investment/cost dimension will lead to predictions somewhat different from the above ones. Although the parental investment of the potential second child's grandparents in it is probably smaller than that of the nuclear-family members, such investment will generally be larger than that of its other genetic kin, which is almost negligible in the context of nuclear family, e.g. in current China (Table 1). Evidently, although some genetic kin of the potential second child—e.g., its uncles or aunts—are stakeholders of the second childbirth from the benefit dimension, they are not so from the cost dimension. In other words, only the nuclear-family members (the mother, her husband and their firstborn child) and those who will invest substantially in it can be seen as substantial stakeholders of the second childbirth. Given the above argument, we have a group of predictions about the mother's kin and non-kin from the cost dimension:

Prediction P4a: Similarly to the case of the mother's husband and firstborn child, the injunctive norms from her parents/parents-in-law significantly influence her second-child intention, but those from her other peer kin and non-kin do not.

Prediction P4b: Regarding influences of injunctive norms on the mother's second-child intention, the relative-importance rankings of social-network members will be as follows: the mother's husband and firstborn child > her parents/parents-in-law > her other peer kin \approx her peer non-kin.

In the above discussion, we note—from both the benefit and the cost dimensions—that the mother's non-kin will not influence her decision-making through their injunctive norms, but they can have an influence through descriptive norms, i.e. their own reproductive choices. According to the theory of cultural evolution, horizontal transmission of new cultural norms (e.g. peers' choices) might

be more efficient than vertical transmission (e.g. parents' choices), owing to its timely response to ecological changes—thus shorter generation length—as well as one-to-many efficiency (47-49). Given the above argument, we have a group of predictions about horizontally versus vertically transmitted fertility norms:

Prediction P5a: Both the number of children in parents/parents-in-law and that among the mother's peer genealogical and affinal kin and other social-network peers not related genetically to the potential child will significantly influence her second-child intention.

Prediction P5b: In influencing the mother's second-child intention, the number of children among her peers—peer kin and peer non-kin—will be more important than that in her parents/parents-in-law.

Lastly, some prediction can be set up with respect to socio-economic constraints. As mentioned above, such constraints tend to have no major influence on fertility intentions in low-fertility countries (13, 16). However, their influences may become larger from fertility decision-making to actual fertility behavior. For instance, although underestimated by decision-makers before conception, the care of a potential child grow more tangible and pressing over the pregnancy; sometimes, the pressure leads to prenatal or postnatal depression. Furthermore, evolutionary anthropologists argue that greater required investment in oneself and offspring in modern competitive societies will be associated with smaller family size (e.g., ref. 50). The above arguments have received empirical support in anthropological and demographic studies: Socio-economic resources available for parenting and investment in own and offspring education can be major factors limiting actual choice of family size (51-57). Given the above argument, we have the following prediction about the influence of constraints:

Prediction P6: After fertility intention is set up, constraints become more important in influencing actual fertility behavior.

Results

Descriptive Statistics

Among the 262 mothers who had one child at the time of the baseline survey, 36 of them had a second child; in other words, during the 2.5 years of follow-up interval, the vast majority of mothers did not reproduce again. In the baseline survey, 57.25% of reproductive-aged mothers did not plan to have a second child ('rather disagree' or 'completely disagree'). Although 70 mothers initially planned to have a second birth ('completely agree' or 'rather agree'), only 24 of them really did so; among 42 mothers who had no clear fertility intention initially ('neither agree nor disagree'), seven of them reproduced again; finally, among 150 mothers who initially did not plan to give another birth, five of them actually did so. The descriptive statistics of all other variables are shown in *SI Appendix A* (Supplementary text) and *SI Appendix B* (Table A.2).

Predictors' Effects on Fertility Intentions and Their Relative Importance

Among the five groups of predictors, the following ones were found to be significantly associated with the intention to have a second child at the time of the baseline survey (Table 2). (1) Among the background factors, only age was a significant predictor: The mother's intention not to have a second child increased by 0.053 for every one-year increase in age ($P < 0.001$; Table 2). (2) Among the fertility attitudes, the attitude towards the second childbirth's benefits to personal/family well-being was a highly significant predictor: The more positive the attitude, the stronger would be the mother's fertility intention (estimate $\beta = 0.295$, $P < 0.001$). Additionally, the mother's recognition of a risk of lineage extinction, i.e. losing the only child, marginally significantly predicted a stronger intention to have a second child ($\beta = 0.134$, $P < 0.10$). (3) Among the injunctive norms, both husband's and firstborn's fertility attitudes were significantly associated with the mother's fertility intention. For every unit increase in husband's disagreement with the second childbirth, the mother's intention not to have a second child increased by 0.275 unit ($P < 0.001$). Compared to the case that a firstborn

child was not inquired about his/her attitude towards the second childbirth, the mother's intention to have a second child would increase by 0.629 when her firstborn thought she should reproduce again ($P < 0.01$). None of the injunctive norms from parents/parents-in-law, peer relatives and friends/colleagues were significant. (4) Among the descriptive norms, the number of children among peer relatives and peer friends/colleagues emerged as significant predictors. Compared to the case where most of peer relatives had two or more children, a mother in other cases (e.g., few peer relatives had two or more children) had a stronger intention not to have a second child ($\beta = 0.514$, $P < 0.05$). Similarly, the case when most of friends/colleagues had two or more children was associated positively with an intention to have a second child in a mother ($\beta = 0.619$, $P < 0.05$). Neither the mother's nor her husband's number of siblings predicted significantly her fertility intention. (5) Among the economic constraints, only perceived difficulty in caring/educating children was linked significantly with the mother's fertility intention, such that 'feeling no clear difficulty' meant a more positive intention ($\beta = -0.442$, $P < 0.05$). None of other constraints significantly predicted fertility intentions. The above estimates and their significance levels preliminarily suggest that significant predictors were important, but those non-significant ones were not so.

Table 2. The estimation of the effects on the mother's fertility intention and behavior^a.

Predictor	Fertility intention ^b			Fertility behavior ^c		
	β^d	CI.L ^e	CI.U	β	CI.L	CI.U
Intention to have a second child in the baseline survey	—	—	—	-0.546***	-0.818	-0.304
Settlement (ref. = urban community ^f)						
rural village	0.192	-0.167	0.551	0.345	-0.312	1.016
Age	0.053***	0.023	0.082	-0.052	-0.120	0.011
Education (ref. = pre-college level)						
College level or above	-0.117	-0.483	0.248	0.586 [†]	-0.033	1.234
Household disposable income in the last year ^g	-0.020	-0.121	0.082	0.074	-0.108	0.261
Firstborn's sex (ref. = male)						
female	-0.194	-0.490	0.102	-0.090	-0.656	0.462
Attitude towards the benefit of having a second child to lineage endurance	0.134 [†]	-0.016	0.284	-0.069	-0.376	0.218
Attitude towards the benefit of having a second child to firstborn's companionship	-0.077	-0.249	0.095	0.244	-0.093	0.594
Attitude towards the benefits of having a second child to personal/family well-being	0.295***	0.125	0.464	-0.063	-0.366	0.231
Attitude towards the costs of having a second child to offspring quality	-0.114	-0.313	0.086	0.028	-0.331	0.390
Attitude towards the costs of having a second child to personal well-being	-0.039	-0.244	0.167	-0.016	-0.365	0.334
The husband's attitude towards second childbirth	0.275***	0.157	0.394	-0.025	-0.263	0.210
The firstborn child's attitude towards second childbirth (ref. = unasked)						
supportive	-0.629**	-1.070	-0.188	0.957*	0.152	1.843

no explicit support	-0.112	-0.519	0.294	0.682 ⁺	-0.086	1.539
Attitude of parents/parents-in-law to second childbirth	0.108	-0.037	0.254	0.036	-0.268	0.344
Attitude of peer relatives to second childbirth ^h	0.037	-0.135	0.209	0.243	-0.094	0.586
Attitude of friends/colleagues to second childbirth	0.021	-0.139	0.182	-0.181	-0.503	0.142
Number of children of parents (ref. = one)						
two or more	-0.400	-0.897	0.097	-0.848 [*]	-1.675	-0.022
Number of children of parents-in-law (ref. = one)						
two or more	0.116	-0.301	0.533	0.663 ⁺	-0.037	1.423
Number of children in peer relatives (ref.=‘≥2 kids’ ⁱ)						
other values	0.514 [*]	0.092	0.937	0.084	-0.691	0.933
Number of children in friends/colleagues (ref. = ‘≥2 kids’)						
other values	0.619 [*]	0.095	1.143	0.034	-0.769	0.884
Difficulty in financial and housing conditions (ref. = feeling clear difficulty)						
feeling no clear difficulty	0.136	-0.226	0.498	-0.631 ⁺	-1.386	0.094
Difficulty in caring and educating offspring (ref. = feeling clear difficulty)						
feeling no clear difficulty	-0.442 [*]	-0.798	-0.086	0.717 [*]	0.057	1.428
Difficulty in balancing family and work (ref. = feeling clear difficulty)						
feeling no clear difficulty	-0.093	-0.436	0.251	0.712 ⁺	-0.047	1.550

^aThe fitting indices of the regression models of fertility intention and fertility behaviour were $R^2=0.422$ and McFadden’s $R_M^2=0.375$, respectively. ^bThe choices for each indicator of intention or individual attitudes were a 5-point Likert scale: 1(‘completely agree’) → 5(‘completely disagree’). A composite benefit/cost attitude (i.e., towards a class of benefits/costs) is operationalized as the mean of corresponding individual benefit/cost indicators (the original indicators can be found in *SI* Appendix B: Table A.1). ^cFertility behavior was measured in the follow-up survey and all other variables were measured in the baseline survey. ^dEstimation of regression coefficients. ^eCI.L, CI.U—the lower and upper bounds of 95% confidence interval. The confidence intervals were calculated by *confint()* function in *R*, which is based on profile likelihood method and gives more reliable results than the default function *confint.default()* that is based on asymptotic normality. ^fref.—reference level. ^gThe measure of annual disposable income was a 6-point Likert scale: 1-‘less than 20,000 yuan;’ 2-‘20,000~40,000 yuan;’ 3-‘40,000~60,000 yuan;’ 4-‘60,000~80,000 yuan;’ 5-‘80,000~100,000 yuan;’ 6-‘more than 100,000 yuan.’ ^hPeer relatives—the potential second child’s genetic/genealogical kin of similar age as the mother, excluding her husband (i.e., mainly the couple’s siblings). ⁱ‘≥2 kids’—most of peer relatives had two or more children. ⁺ $P < 0.10$; ^{*} $P < 0.05$; ^{**} $P < 0.01$; ^{***} $P < 0.001$.

The formal dominance analysis indicates the relative-importance rankings of predictors of fertility intentions in the current sample, which was basically robust as can be seen from bootstrap resampling (Figure 3). The injunctive fertility norms from the mother’s husband were the most

important predictor of her fertility intention ($\Delta R^2 = 0.117$), followed by the mother's own fertility attitudes ($\Delta R^2 = 0.068$). These two factors made larger contribution to variance of fertility intention than any other one. Following these two factors, the number of children among peer relatives and friends/colleagues ($\Delta R^2 = 0.039$), the injunctive norms from parents/parents-in-law ($\Delta R^2 = 0.038$), the injunctive norms from the firstborn child ($\Delta R^2 = 0.035$) and age ($\Delta R^2 = 0.033$) were of similar size in relative importance, as can be seen from the bootstrap resampling: The reproducibility of dominance comparison between any pair of them was lower than 60%. The difference in dominance of age and perceived difficulty in rearing and educating offspring ($\Delta R^2 = 0.025$) was marginally significant. The dominance of the latter was of similar size as that of the injunctive norms from peer relatives ($\Delta R^2 = 0.022$), which was further significantly larger than those of friends/colleagues ($\Delta R^2 = 0.015$) and background factors ($\Delta R^2 = 0.014$). The number of children in parents or parents-in-law and other perceived difficulties were the least important in predicting mother's fertility intention ($\Delta R^2 = 0.010$ and 0.006, respectively).

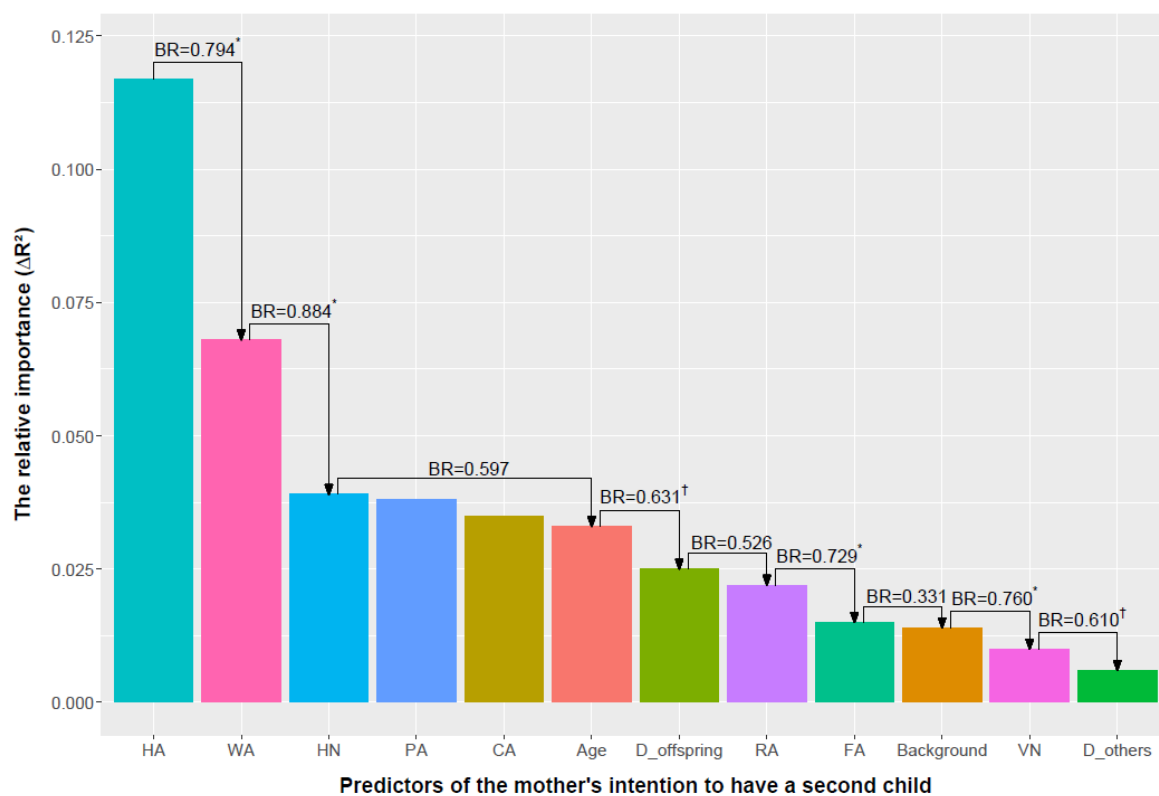


Figure 3. The relative importance or dominance of predictors of the mother's second-child intention in terms of their contribution to the goodness-of-fit of the OLS regression (the sum of each predictor's contribution $\sum(\Delta R^2) = \text{model's } R^2 = 0.422$). BR—reproducibility of the rankings of given predictors' relative importance in 1,000 bootstrap samples; when more than two predictors are included under a BR connection line, none of BRs of pairs of predictors are above 0.60 (parallel to marginal significance, a BR between 0.60 and 0.70 is denoted as marginally significant and marked with a dagger; a BR ≥ 0.70 is denoted as significant and marked with an asterisk). HA—husband's attitude towards second childbirth, i.e. whether the mother under question should reproduce a second child or not. WA—the mother's own fertility attitudes. HN—number of children among horizontal or peer social-network members (peer relatives; peer friends/colleagues). PA—attitudes of

parents/parents-in-law towards second childbirth. CA—the firstborn child’s attitude towards second childbirth. Age—the mother’s age at the time of the baseline survey. D_offspring—perceived potential difficulty in rearing and educating two children. RA—peer relatives’ attitudes towards second childbirth. FA—peer friends/colleagues’ attitudes towards second childbirth. Background—personal and family background factors, including family settlement, the mother’s education, household disposable income in the last year and the firstborn child’s sex. VN—number of children in vertical or parental generation members, i.e. parents or parents in law. D_others—perceived difficulties other than those in rearing and educating two children (more specifically, financial & housing conditions and work-family conflicts).

Based on the regression analysis and dominance analysis, it can be seen that the predictions P1a and P5b are fully supported, but other predictions on fertility intentions are not. The prediction P1b is not supported: The dominance of the mother’s own, her husband’s, the firstborn child’s fertility attitudes was not comparable; instead, it showed a clear gradient. The prediction P3a is only partly supported: Indeed, the mother’s peer non-kin did not significantly influence her intention to have a second child; however, the injunctive norms from her other kin (parents/parents-in-law and peer kin) did not either. The prediction P3b is basically not supported: The firstborn child and parents/parents-in-law were of similar importance in influencing the mother’s fertility intention; also, not as predicted, the injunctive norms from parents/parents-in-law were more important than those of peer kin (BR=0.812). Evidently, the predictions P4a and P4b fare better: Although the predictions about parents/parents-in-law were not fully supported, other predictions including those on peer kin and peer non-kin were fully supported. Finally, the prediction P5a is only partly supported, as the number of children in parents/parents-in-law did not significantly influence the mother’s fertility intention.

Predictors’ Effects on Fertility Behavior and Their Relative Importance

The following predictors were found to be significantly associated with the actual second-birth behavior during the interval between two surveys (Table 2). (1) Fertility intentions. When controlling for other predictions, for every unit increase in the mother’s intention not to have a second child, the probit of second childbirth decreased by 0.546 ($P < 0.001$). Based on the estimate, there is an intuitive way to see the effect of fertility intention: When fertility intention changed from ‘completely agree’ to ‘completely disagree,’ the probability or cumulative distribution function (CDF) of having a second child would decline from 35.27% (probit = 0.168 - 0.546 × 1) to 0.520% (probit = 0.168 - 0.546 × 5), a value close to zero. (Notes: a. 0.168 was the intercept when other predictors were controlled for at their mean values; b. compare 35.27% with 24/70 mentioned above to see their proximity.) (2) Injunctive norms. Compared to the case when the firstborn child was not inquired about his/her attitude, the probit of second childbirth increased by 0.957 when the firstborn child thought his/her mother should have a second child ($P < 0.05$); in other words, when controlling for other predictors, the probability of having a second child would increase from 1.769% to 12.569% (see above computation of CDF). Both the mother’s own and her husband’s fertility attitudes did not predict significantly second-birth behavior. (3) Descriptive norms. Compared to the case when a mother was an only child of her parents, the probit of second childbirth decreased by 0.848 when she had siblings ($P < 0.05$). (4) Perceived constraints. Compared to the case when the mother perceived potential difficulty in rearing and educating two children, the probit of having a second child would increase by 0.717 when such a difficulty was not perceived ($P < 0.05$). Besides the above predictors which significantly predicted the actual fertility behavior, there were also some marginally significant predictors, including the mother’s education, husband’s number of siblings, and other two categories of perceived constraints.

The formal dominance analysis indicates the relative-importance rankings of predictors of actual fertility behavior in the current sample (Figure 4). Fertility intentions were evidently the most important predictor of the mother’s actual fertility behavior ($\Delta R_M^2 = 0.153$). Following it, there were two other important predictors: perceived difficulties in family economy and house and work-family

balance ($\Delta R_M^2=0.033$); background factors ($\Delta R_M^2=0.029$). Bootstrap resampling indicated that these two categories of factors were similarly important. Following them, there were four important predictors: the firstborn child's fertility attitude ($\Delta R_M^2=0.028$), perceived difficulty in rearing and educating two children ($\Delta R_M^2=0.026$), husband's attitude ($\Delta R_M^2=0.024$), and the mother's own attitudes ($\Delta R_M^2=0.023$); they were similarly important predictors of fertility behavior, as the ranking of relative importance or dominance between any pair of them was not reproducible in more than 60% of bootstrap samples. Finally, consistent with the GLM, the non-significant predictors were also the least important predictors of the actual second-birth behavior between the two surveys: the injunctive norms from friends/colleagues ($\Delta R_M^2=0.007$), the injunctive norms from peer relatives ($\Delta R_M^2=0.006$), the injunctive norms from parents/parents-in-law ($\Delta R_M^2=0.005$), and the number of children among peer relatives and friends/colleagues ($\Delta R_M^2=0.002$).

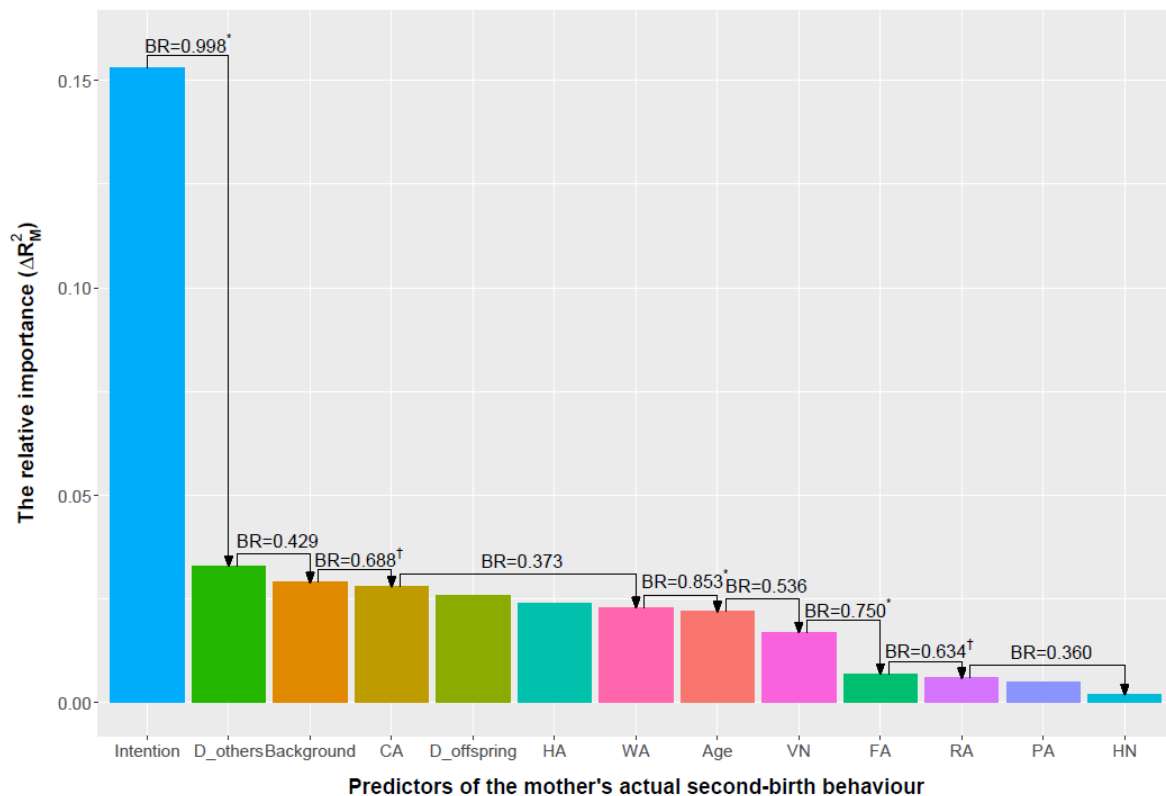


Figure 4. The relative importance or dominance of predictors of the mother's second-birth behavior in terms of their contribution to the goodness-of-fit of the GLM regression (the sum of each predictor's contribution

$\sum(\Delta R_M^2)$ = the model's McFadden's $R_M^2 = 0.375$). Intention—the mother's intention to have a second child at the time of the baseline survey. For other notes (BR, D_others, Background, etc.), see the legend of Figure 3.

Based on the regression analysis and dominance analysis, it can be seen that the prediction P6 is supported. At the stage of second-child intention, only perceived difficulty in rearing and educating two children was a significant predictor; it was ranked the seventh one among the predictors analyzed and accounted for about 5.931% of total R^2 . However, at the stage of actual fertility behavior, all three kinds of perceived difficulties or constraints were significant or marginally significant predictors; they were ranked second and fourth ones (with parallel ranks); together, they accounted

for about 15.733% of total R_M^2 . The prediction P2a is partly supported: Only the firstborn child's but not the husband's fertility attitude significantly affected the mother's actual second-birth behavior. Finally, the prediction P2b is not supported: There was no sufficient evidence, in terms of the reproducibility higher than 60% in bootstrap samples, that injunctive norms from the mother's husband and firstborn child were more important than her own fertility attitudes in predicting the actual fertility behavior.

Discussion

By integrating the theory of planned behavior in social psychology and two different evolutionary approaches to human behavior, i.e. behavioral ecology and cultural evolution, the author proposes the evolutionary framework of planned reproductive behavior and a series of theoretical predictions about the relative contributions of different fertility-related factors to mothers' second-birth behavior. The longitudinal survey data from China fully or partly support eight of 11 predictions. The findings from the study might have some potential implications for understanding low-fertility behavior in modern societies.

Firstly, the relationship between fertility intention and actual fertility behavior has two contrasting implications. On the one hand, fertility intention was the central predictor of fertility behavior and substantially mediated the effects of some key predictors such as age and the descriptive norms from peer relatives and friends/colleagues, which were mainly significant and important at the intention stage (Table 2). Thus, it is helpful to analyze fertility intentions or motivation in behavioral analysis: Significant predictors of a mediator have a higher power to be detected than those of ultimate response (58). In other words, if we just focus on fertility behavior itself, many important predictors might be hard to detect. For example, husband's fertility attitude was not a *direct* significant predictor of fertility behavior, but it was the most important predictor of fertility intentions. In the light that previous motivation analyses were mainly conducted in population studies, some anthropologists have called for an effort to reach an evolutionary understanding of the motivational mechanisms underlying human fertility (22, 23). The current study represents a small step towards the goal. On the other hand, fertility behavior is generally not completely intention driven or under volitional control (59); thus, important predictors of intentions and actual behavior may differ. Consequently, it is also not advisable to substitute the analysis of fertility intentions for that of fertility behavior. Rather, it is better to have a comprehensive analysis of both phases.

Secondly, the current study substantiates both theoretically and empirically the idea of collective decision-making in family reproduction. Previously, Smith's anthropological study of Igbo-speaking Nigeria well illustrated "fertility decision-making...cannot be understood as individual or even dyadic, because a much wider spectrum of voices and interests have a say and must be considered in the social reproduction of families" (60). Unfortunately, although economists and demographers have touched on collective decision-making in fertility, their focus has been mainly on couples (40, 61, 62). For example, Browning and Chiappori (40) proposed a model on (two-person) household behavior such as fertility, savings and portfolio choices; according to this model, intra-household collective decision-making was a process of maximization of the sum of two persons' utility weighted by their decision-making power, which was a response to external economic environment like relative income. By contrast, the argument here for collective decision-making in family reproduction takes an inclusive view and considers more stakeholders of reproduction, from both the genetic benefit and the parental-investment cost dimensions. Evidently, the generalized investment/cost dimension—i.e., there are a number of investors/stakeholders and a given stakeholder's bargaining power in reproductive decision-making is associated with his/her parental investment, including not only money but also time, food, protection, etc.—gained more support from empirical data: Those

investing more displayed larger power and those investing nothing showed no power. For instance, in influencing the mother's fertility intention, the injunctive norms from parents/parents-in-law, who generally invest substantially in grandchildren in Chinese context, were evidently more important than those from peer relatives and friends/colleagues, who basically invest nothing in her offspring (Figure 3; Table 1). The generalized investment/cost dimension will be more justifiable, if we further notice some results in eusocial insects. Here, both queens, who lay the eggs, and workers—who undertake the task of rearing eggs and consequently invest more in offspring—can manipulate sex ratio in offspring: The former accomplish this through changing the primary sex ratio, while the latter by changing the secondary sex ratio (63, 64). As reviewed by Mehdiabadi et al. (65), “decades of research on sex-ratio conflict largely supported worker control” (e.g., refs. 66, 67).

There is an original finding as a corollary of the framework of collective decision-making in family reproduction: Already-born children could play a major role both in reproductive intentions and in actual behavior, which matched or was even more important than those factors emphasized by demographers e.g. age and education. It has been noticed for a long time that children should have a position in family decision-making, but they do not have one in reality: “Children are customarily excluded from the set of decision-making agents in the family, though they may be recognized as consumers of goods chosen and provided by loving or dutiful parents.” (68) As can be seen from dominance analysis, the firstborn child had an important role at the stage of fertility intention, but the role was evidently less important than that of the mother and her husband (Figure 3). Only at the stage of actual fertility behavior, the firstborn child's role matched that of his/her parents; in other words, at the stage of planning a further birth, couples could have not considered sufficiently the child's attitude, but they were forced to do so at the latter stage, presumably owing to larger age and consciousness of the child as well as some tactics played by it (33, 69). The finding on the firstborn child's role in family reproduction has an important implication for understanding the demographic transition in humans. As shown in Table 1, the investment cost of further reproduction incurred for an already-born child would increase with the decline in total number of already-born children. In other words, with the progress of the demographic transition, an already-born child especially the firstborn one would act more and more as a player of the game of fertility limitation, besides husband and wife (e.g., ref. 70). By contrast, in a time of natural fertility when a family generally had a large size and inter-birth interval was less than three years (71, 72), a firstborn even did not speak at the time of second-birth and thus had no chance to express his/her attitude towards further reproduction; even if he can speak later, his role was not as large as the case analyzed in the current study, as his role was discounted by the total number of children.

Thirdly, our study helps to clarify kin influence on women's fertility and its role in human demographic transition. Newson et al. (73) once proposed an influential hypothesis: Social development promoted more contact with non-kin, who generally tended to give less pronatal advice (i.e., injunctive norms), which through the process of cultural evolution promoted declining fertility in modern societies. Their key idea about pronatal kin and less pronatal non-kin was somewhat reflected in the following statement: “people bias what they *say* about reproduction, depending on who is *listening* and whether or not they are genetically related.” According to the framework of collective decision-making, the more reasonable logic seems like this: “people bias what they *listen* about reproduction, depending on who is *saying* and whether or not the speaker would invest in their children.” In other words, through injunctive norms, kin influence surely matters, but might not work exactly in the way as proposed by these scholars. Actually, the sample in the current study showed no sufficient evidence for that kin were more pronatal than non-kin: The proportions of supportive norms were similar in peer kin and friends/colleagues and both were about 50%, a value higher than that in the inquired firstborn children (SI Appendix B: Table A.2). Presumably, Newson et al. (73) mainly considered common genetic interests between kin in reproduction, but did not give sufficient attention to possible conflicts (e.g., refs. 44, 69, 74, 75). To conclude, this study does not fully support either theoretically or empirically the pronatal kin hypothesis for fertility decline in the demographic transition.

Fourthly, the analysis of the 2×2 cross of kin vs. non-kin in one dimension with injunctive norm vs. descriptive norm in the other one, illustrates cultural evolution of Chinese women's fertility, a mechanism that cannot be easily predicted by behavioral ecology. At the time of the baseline survey, two years had passed since the implementation of the universal two-child policy in 2016 and a few mothers had been surrounded by two-child peers (*SI* Appendix B: Table A.2). It is not strange that the mother then made second-child decisions not completely by asocial learning but partly by referring to peers; in other words, horizontal transmission, through frequency-dependent or conformist bias, played a role in diffusing the new two-child norm. Although in a reverse direction, such diffusion was similar as that of fertility-limitation norms during the demographic transition (49). Notice that the significance and importance of number of siblings in predicting fertility behavior might not be due to vertical cultural transmission; rather, the negative effect could be due to sibling competition for grandparental help in childcare (Table 2; see ref. 30).

Finally, the findings in this study help to solve the debate mentioned at the beginning—what are really important factors underlying low-fertility behavior—and thus, have clear implications for population policies. Both views, i.e. ideational factors vs. constraints in raising children, make some sense; however, neither one is inclusive. On the one hand, the mother's own fertility ideology was just one of the important predictors of her fertility intention and it cannot explain most of variance either in fertility intention or in fertility behavior. On the other hand, the frequently mentioned constraints in raising children were also not the most important predictors of fertility intentions; e.g., the firstborn child's attitude explained more variance, but it has not received sufficient attention from either scholars or policy-makers. It is reasonable to say that the biases in two views stem from the omitting-variable problem, which further illustrates the merit of integrating different evolutionary approaches to the low-fertility issue and its countermeasures. From such an integrated view, all the important factors relevant to either fertility intentions or fertility behavior should be given due attention, which is advisable to be proportional to their relative importance. Only in this way, can the fertility-friendly policies be both inclusive and potentially effective in alleviating the challenge of lowest-low fertility.

There are some limitations with the study. First, the analyzed sample is not large and confined to China, which could have limited the power of statistical inferences and generalization of conclusions. Second, looking from a long-term perspective, a 2.5-year follow-up study is essentially a cross-sectional one; thus, it is hard to capture the dynamics of relative importance of fertility-related factors with time. The fertility transition—either to lower fertility or to higher fertility—has three different stages, i.e. origin, spread and maintenance (49); as a result, some factors, e.g. the family planning norm, that have only a limited effect on fertility at the stage of origin might have a major one at later stages (76). Hopefully, future studies can expand the current one, based on the data spanning a longer time period and broader geographic regions.

Materials & Methods

The Baseline and Follow-Up Surveys

The study was based on the longitudinal data from two waves of a fertility survey. The baseline survey was conducted in the Xi'an metropolitan area, Shaanxi Province of China, from December, 2017 to February, 2018, when the so-called universal two-child policy—i.e., almost all married couples were allowed to have two children (77)—had been implemented for about two years. According to the seventh National Population Census, the total fertility rate in the province was about 1.16 and that in the city was just 1.03 in 2020, reflecting the lowest-low fertility pattern in China (78). The respondents in the baseline survey were the mothers with one child, not pregnant with the second child yet, and 20–44 years old. Before questionnaire survey, a judgmental sampling was implemented so that the final sample can roughly represent the Xi'an population at that time. All qualified mothers from 17 communities/villages in nine streets/towns belonging to four districts (two in main city, one in inner suburb and the last one in outer suburb) were sampled. Then, the selected

mothers were interviewed by telephone and 541 effective questionnaires were collected in total. The follow-up survey was conducted from January to August, 2020 (this survey lasted for a long time, owing to the COVID-19 pandemic); in other words, it was about two and a half years after the baseline survey. All 541 mothers interviewed in the baseline survey were re-contacted by telephone, and 262 effective questionnaires were collected (i.e., effective follow-up rate \approx 48%). There was no sign that follow-up attrition changed substantially characteristics of the mothers; e.g. mean values of age and fertility intention in the full baseline sample were specifically 33.53 years and 3.57, close to those values in the final follow-up sample (*SI Appendix B: Table A.2*).

Fertility behavior, i.e. whether a one-child mother had a second child between the baseline and follow-up surveys, was measured with a question in the follow-up survey, ‘how many children do you have now?’ The mother’s fertility intention at the time of the baseline survey was measured with a Likert scale, ‘do you agree with the following statement: you have a plan to have a second child in the next two years? (Options: 1–completely agree; 2–rather agree; 3–neither agree nor disagree; 4–rather disagree; 5–completely disagree)’ The measurements of predictors in the baseline survey can be found in *SI Appendix A* (Supplementary text) and *SI Appendix B* (Table A.1; Table A.2). They were classified into five groups: background factors; the mother’s fertility attitudes; the injunctive norms from the nuclear-family members, other kin and non-kin; the descriptive norms from parents, parents-in-law, peer relatives and peer non-kin; constraints (*SI Appendix B: Table A.2*). Given that two surveys asked different questions, there was no problem of panel conditioning.

The two waves of the survey were approved by the Biomedical Ethics Committee of Xi’an Jiaotong University (Approval Nos. NO2017100 and NO2020002). Their conduction was in line with the Declaration of Helsinki and before a questionnaire survey, each interviewee was informed of the research purpose and expressed her consent to take part in it.

Statistical Modeling

Fertility intention was modelled as a continuous response variable in regression analysis and the OLS (ordinary least square) was used to estimate effects of predictors. It was predicted by the five groups of predictors mentioned above and together with such predictors, it predicted the actual second-birth behavior during the interval between two surveys. Table 2 shows the coding of predictors in modeling.

The Likert scale of fertility intention was not collapsed into a binary variable as by some researchers, for the following three reasons. First, it has been suggested that such a scale meets the assumption of interval measurement, i.e. equal distance between points (79, 80). Second, modeling fertility intention as a continuous variable can take advantage of full information on the fine changes between categories that would otherwise be lost in collapsing (81, 82). Thirdly, modeling fertility intention as a continuous variable has a higher statistical power for detecting the effect of a given predictor (83, 84).

Given that most mothers did not produce a second child, a small proportion did, and only one mother produced more than one child (twins) during the between-survey interval, fertility behavior was modeled as a binary response variable: ‘0—still having only one child now’ vs. ‘1—having two or three children now.’ To analyze predictors’ effects on actual fertility behavior, the GLM (generalized linear modeling) was used and based on probit transformation rather than logit transformation, as the former led to a lower AIC value.

The dominance analysis was used to evaluate the relative importance of predictors of fertility intentions and the actual fertility behavior. The platform was *R* 4.4.1 (85) and the package used was *dominanceanalysis* (86). It is known that a given predictor’s contribution to explaining response variable, e.g. R^2 in an OLS regression model, depends on the predictor’s sequence in entering the model and its association with other predictors (87). Dominance analysis calculates its contributions in all possible subset models, e.g. a model including just the predictor, a model including both the predictor and another predictor, etc. (88-90). By averaging these contribution values, dominance analysis gives an assessment of a predictor’s general contribution or general dominance. Through

this way, dominance analysis gives a reasonable and intuitive estimation of predictors' contribution, in the sense of being positive, robust to correlation between predictors, a decomposition of model's goodness-of-fit index like R^2 , and able to evaluate relative importance of groups of predictors; thus, it is a recommended statistical tool for analyzing relative importance of predictors (87, 88). For the OLS regression models, dominance analysis uses explained R^2 to represent a predictor's relative importance. For the GLM models, it uses a series of pseudo- R^2 ; Azen & Traxel (89) especially recommended McFadden's index. The robustness of general dominance was evaluated by bootstrap re-sampling for 1,000 times, to see if the rankings of predictors' relative importance could be reproducible reasonably well (i.e. the reproducibility $\geq 70\%$; see ref. 89).

Supplementary Materials: The following supporting information can be downloaded at the website of this paper posted on Preprints.org.

Author Contributions: J.L. designed the study, coordinated data collection, performed analyses, and wrote the paper.

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Data Availability: For the sake of participants' privacy, the raw data cannot be made public, but can be requested from the author under certain ethics agreement.

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