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Article

Comparative Assessments of New Hair Straightening Cosmetic Formulations on Wavy Caucasian Hair

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Abstract: Hair straighteners are among the most technically complex products to be safely and effectively developed, and this challenge has increased even more with higher incidence of resistant hair among consumers. This underscores the importance of studying new actives, combinations and carrier formulations to improve performance without compromising safety. In this research we compared eight hair straightening formulations with different actives and/or concentrations to develop new, safer and more effective texture modifiers. Eight formulations were developed and compared with each other and to control (virgin and bleached hair) by mechanical and thermal resistance, cuticle morphology, hair shine and fiber diameter. Results showed that all formulations were safe and effective to straighten hair, specifically, 13.3% and 9.4% ammonium thioglycolate (G03 and G04) were more suitable for wavy and curly hair, 12.5% and 7.9% amino methyl propanol thioglycolate (G05 and G06) for finer or chemically processed hair, 5% and 4% sodium cysteamine (G07 and G08) for curly and tight curly hair to control volume, and 2% and 1% of a combination of ammonium thioglycolate with sodium thioglycolate (G09 and G10) for more resistant Caucasian wavy and curly hair.

Keywords: hair straightening; hair discoloration; hair fiber; oxireductor straightening; hair efficacy assessment

1. Introduction

Hair has been for long considered important for consumers' self-esteem, and cosmetic industry has continuously worked to develop products to improve both hair scalp and fiber quality while ensuring they are safe and effective [1]. One of the most critical hair product categories are hair straighteners, a globally used product category that presents technical challenges related to tolerance levels and chemical compatibility when developed and applied to different hair fiber types and conditions. This highlights the importance of studying formulation approaches, including active ingredients and their free concentrations, carrier emulsions, pH ranges, viscosity control polymers, and their effects on different hair types and conditions, mainly concerning safety and efficacy [2].

Hair straightener active components are divided into three main groups: lanthionizers, oxireductors and organic acids. Lanthionizers correspond to hydroxides (sodium, guanidine, lithium, and potassium), which convert cystine amino acids into lanthionines on the outermost portion of hair. Oxireductors are salts or esters of thioglycolic acid. Organic acids (like formaldehyde, glutaraldehyde, glyoxylic acid, and their compounds) are prohibited in some countries such as Brazil as their improper use poses risks to consumers' and professionals' health; however, they are still available in some market products [3–5].

Relevant research involving combinations of texture modifying actives and polymeric associations allowed important actives' improvements regarding straightening potential, better safety and hair resistance, and lower fiber wear, highlighting the importance of additional formulation components [6–9].

In the past decade, there has been a consumer movement to return to natural hair, which has increased the number of individuals with more resistant hair seeking texture modifications. This shift has presented technical challenges for salon professionals who use products with higher concentrations of ammonium thioglycolate. To address these challenges, combinations of ammonium thioglycolate with lower percentages of sodium thioglycolate have been suggested to enhance product penetration into resistant fibers, providing better release kinetics and more effective initial straightening. Although these combinations have not been used before, they have been mentioned in the literature as a technical possibility for improving straightening efficacy [10,11].

Based on the aforementioned considerations, this study evaluates eight hair straightening formulations, each featuring distinct active components, combinations, and concentrations, in comparison to control treatments (virgin and bleached hair). The objective was to develop a new generation of hair texture modifiers that are both safer and more effective for use. Additionally, the study identified the most suitable formulations for hair exhibiting varying levels of resistance.

2. Materials and Methods

2.1. Formulations Development

Eight hair straightening emulsions were developed according to Table 1. Four of these emulsions contained higher concentrations while the remaining four had lower concentrations of the same straighteners for comparison. Formulations' stability was evaluated over 120 days in accordance with the Brazilian Stability Guideline prior to further testing [12]. Formulations' specifications including pH ranges (measured by pHmetry)—and viscosities (measured using a viscosimeter) are also presented. These parameters are crucial for determining product efficacy and permeation into hair fiber [2].

Table 1. Hair straightening formulations and treatment groups.

Formulations and Groups	Composition ¹
Virgin hair (G01) - control	Not applicable
Market bleaching product (G02) - control	Association of ammonium, sodium and potassium persulfates + oxidizing cream 40V (12% H ₂ O ₂)
Straightener with ammonium thioglycolate – high concentration (G03)	Ammonium thioglycolate 59%: 22.50% Ammonium Hydroxide 29%: 2.50% Viscosity: 80000-120000 cPs pH: 9,0 – 9,5
Straightener with ammonium thioglycolate – low concentration (G04)	Ammonium thioglycolate 59%: 16.00% Ammonium Hydroxide 29%: 1.50% Viscosity: 80000-120000 cPs pH: 9,0 – 9,5
Straightener with amino methyl propanol thioglycolate – high concentration (G05)	Amino methyl propanol: 12.50% Thioglycolic acid: 11.00% Viscosity: 100000-200000 cPs pH: 7,5 – 8,5
Straightener with amino methyl propanol thioglycolate – low concentration (G06)	Amino methyl propanol: 7.90% Thioglycolic acid: 7.50% Viscosity: 100000-200000 cPs pH: 7,5 – 8,5
Straightener with sodium cysteamine – high concentration (G07)	Cysteine: 5.00% Sodium Hydroxide: 4.05% Sodium Metabisulfite: 0.50% Viscosity: 50000-100000 cPs pH: 11,0 – 13,0
Straightener with sodium cysteamine – low concentration (G08)	Cysteine: 4.00% Sodium Hydroxide: 3.00%

	Sodium Metabisulfite: 0.50% Viscosity: 200000-300000 cPs pH: 11,0 – 13,0
Straightener with ammonium thioglycolate and sodium thioglycolate – high concentration (G09)	Ammonium thioglycolate 59%: 18.00% Sodium Hydroxide: 2.75% Thioglycolic acid: 2.00% Viscosity: 100000-200000 cPs pH: 8,5 – 9,5
Straightener with ammonium thioglycolate and sodium thioglycolate – low concentration (G10)	Ammonium thioglycolate 59%: 20.00% Sodium Hydroxide: 2.20% Thioglycolic acid: 1.00 Viscosity: 45000-80000 cPs pH: 8,5 – 9,5

¹ A polymeric standard base emulsion was used for all formulations in amount enough to complete 100%. The composition of the base emulsions was not revealed due to intellectual property.

2.2. Preparation of Hair Locks Samples

Virgin dark brown naturally wavy Caucasian hair locks (considered as resistant) with roots' extremities glued, were obtained from De Meo Brothers Inc.[®], weighting 3 g with 15 ± 2 cm long (each lock). They were prepared per assay, identified, and treated as described in Table 1 (G01 to G10).

All locks samples (G01 to G10) were pre-washed with a 10% sodium lauryl ether sulfate solution at 0.5ml/g hair, massaged for 1 min, totally rinsed off and completely dried with hot hair drier with 15 cm distance.

For G02 (bleached hair), the locks were pre-washed, completely dried with hot hair drier with 15 cm distance, bleached with commercial bleach and 40V oxidizing cream according to manufacturer's instructions with 60 min contact, and again dried with hot hair drier with 15 cm distance.

For G03 to G10 (straightened hair), the locks were pre-washed, dried with hot hair drier with 15 cm distance, straightener was applied and left in contact for 60 min, hair was completely rinsed with warm water, neutralizing shampoo was applied (0.5 mL/g) and left for 5 min, hair was rinsed with flowing water for 1 min, neutralizing shampoo was reapplied (0.5 mL/g) and left for 5 min, hair was rinsed with flowing water for 1 min, commercial product Complex Balm Neutralizer was applied (0.5 mL/g), massaged on hair for 1 min and left in contact for 15 min, hair was totally rinsed with flowing water, and locks were dried with hot hair drier with 15 cm distance.

2.3. Hair Diameter Measurement

100 fibers per group among triplicate locks were selected for diameter measurement using Mitutoyo[®] electronic micrometer IP54. Average diameter was compared between groups using variance statistical analysis (ANOVA) with Tukey post-test and confidence interval of 95% using Minitab[®] 19.0.

2.4. Scanning Electronic Microscopy

We selected three fibers from one lock per group, which were cut to obtain a 5 cm portion from the central part. These samples were transferred to a sample-holder for sputtering (gold coating) to improve electron conductivity and were submitted to Scanning Electronic Microscopy (SEM) using JSM-6460LV (Jeol[®]) microscope to obtain 3 hair surface 1000X zoom images per sample for morphology integrity assessment [13].

2.4.1. Image Analysis of SEM Images

One SEM image per treatment was visually selected for mathematical image analysis with Image Pro Premier software (Media Cybernetics[®]) of cuticle damage. The selection was based on program's requirements to obtain a reliable and coherent analysis, allowing the quantification of the percentage area of the cuticular shadow.

Cuticular shadow area can be related to hair porosity, as large shadow areas indicate greater damage points in hair morphology and more porous hair. The exception to this correlation is when cuticle damage is so extensive that it is removed from hair, causing total cortex exposure. In this situation, observed %area will be lower than for intact hair [2].

Images were processed with the software to enhance characteristics' highlight; cuticle shadow area was calculated (Pixels²); and %area was determined. %area corresponds to the area affected by damage (cuticle cell edges' openings promoted by morphology modification) in relation to the region of interest selected on the microscopy image [2].

We selected 3 fibers per group after treatment and took 3 images per fiber with 1000x zoom. We selected one of the 9 images per group for image analysis using visual selection criteria to choose the best image that would allow the most robust assessment by the software.

2.5. Hair Thermal Analysis

Hair fragments from one lock sample per treatment were cut from the central portion of the selected fibers to be weighted in crucibles using Shimadzu AUW220D analytical balance. Thermogravimetry / Derived Thermogravimetry (TG/DTG) and Differential Scanning Calorimetry (DSC) analysis were performed as follows.

DSC: around 2 mg of each hair sample were weighted to pin hole aluminum crucibles (non-hermetic pan for dry analysis) and analyzed with Exstar DSC 7020 Differential Scanning Calorimeter (Hitachi, Tokyo, Japan), with 25-300°C heating ramp, 10°C/min heating rate, and inert dynamic atmosphere of nitrogen with 50 mL/min flow. Data was analyzed with TRIOS software version 5.5.1.5 (TA Instruments) for hair dehydration (water loss) and denaturation of alpha-helix chains. In this methodology, pyrolysis occurs simultaneously with hair fiber structures' pyrolysis [14].

TG/DTG: around 2-5 mg of each hair sample were weighted to platinum hermetic crucibles and analyzed with TG/DTA Discovery TGA 5500 (TA Instruments, New Castle, EUA), with 25-500°C heating ramp, 10°C/min heating rate, and inert dynamic atmosphere of nitrogen with 100 mL/min flow. Data was analyzed with TRIOS software version 5.5.1.5 (TA Instruments) for mass loss determination [14].

2.6. Assessment of Hair Loss by Breakage

Treated hair locks were inserted in triplicates into the thermal cycle machine to be combed with rotating brushes while being submitted to a hot hair drier. Brushes passed through each lock 1500 times divided into 3 cycles of 500, with standardized speed of 25 rpm and fixed drier distance. After each cycle, all fallen hair was manually counted and summed per treatment for comparison.

2.7. Mechanical Resistance

One treated hair lock per group was selected to cut 30 fibers for mechanical resistance assessment using Instron 4505 with 1.0 kgf load cell coupled with tensile grips probe and Tracomp Windows TRC v61288 software for data collection. The claws were positioned 5 cm apart, and the traction speed was set at 50 mm/min. We obtained stress-strain curves and calculated maximum force for each fiber (n=30). Average force values were obtained, and groups' data were statistically compared using Minitab 19.0 by ANOVA with Tukey post-test (alpha=5%) [2].

2.8. Fluorescence Confocal Microscopy

For fluorescence confocal microscopy assessment, rhodamine fluorescent marker was added to the investigational products (hair straighteners) prior to locks' treatments, aiming to verify/compare products' penetration in hair fiber. Treatment groups G03 to G10 were divided into 2 subgroups:

- a) "Virgin": virgin locks were treated as described in item 2.2 with straightener products (G03 to G10) impregnated with rhodamine.

- b) "Bleached": locks were previously bleached (as described for group G02 in item 2.2) and then treated as described in item 2.2 with straightener products (G03 to G10) impregnated with rhodamine.

All locks samples were kept under standardized environmental conditions during the whole assay, at $50 \pm 5\%$ relative humidity and $21 \pm 2^\circ\text{C}$ temperature.

30 fibers of each subgroup were selected and cut 10 cm length, and then subjected to cross-sectional cuts with a razor. Cuts were transferred to slides and introduced in the fluorescence confocal microscope LSM 700 (Zeiss®) for image capturing.

2.9. Hair Gloss Measurement

Hair gloss (Luster values) was assessed in triplicate and 10 reading points, using Samba Hair equipment (Bossa Nova Technologies®), at room temperature ($22 \pm 2^\circ\text{C}$ and $55 \pm 5\%$ relative humidity). Luster values were calculated according to Equation 1 [15]. Data was statistically compared between groups using Minitab 19.0 by ANOVA with Tukey post-test ($\alpha=5\%$).

$$L_{BNT} = 100 \times \frac{S_{in}}{(D+S_{out})} \times \frac{1}{W_{visual}} \quad (1)$$

Where:

L_{BNT} = Bossa Nova Technologies Luster

S_{in} = specular profile value in central light distribution

S_{out} = specular profile value for extreme angle

D = integral value of the diffuse profile

W_{visual} = average width of brightness band

3. Results

3.1. Formulations Development and Straightening Efficacy

All eight straightening emulsion formulations were successfully developed and were stable during the whole stability assay (120 days) in all experimental environmental conditions (room temperature at $25^\circ\text{C} \pm 2^\circ\text{C}$, refrigerator at $5^\circ\text{C} \pm 2^\circ\text{C}$ and stove at $45^\circ\text{C} \pm 2^\circ\text{C}$). Therefore, all of them were selected for further assessments. Table 2 presents the formulations' pH and viscosity values obtained. All parameters varied within specifications during stability assays.

Table 2. Formulations' pH and viscosity values on initial measurements.

Group	pH value	Viscosity spindle and rotation (rpm)	Viscosity value (cPs)
G03	9,32	S63 – 0.6	95180
G04	9,23	S63 – 1.0	112000
G05	8,44	S63 – 0.6	118000
G06	7,84	S63 – 0.6	174000
G07	12,71	S63 – 1.5	60707
G08	11,27	S63 – 0.3	250000
G09	8,80	S63 – 6.0	12157
G10	9,00	S63 – 1.5	51269

Figure 1 shows images of hair tresses after product application for each group.



Figure 1. Hair tresses after product application per group (respectively G01 to G10).

When visually comparing treatments we observed that straightening performance was directly proportional to active concentration. This was particularly noticeable in treatments G07 and G08, where difference is more evident. The highest straightening potential was observed in treatments G03 and G09, while the lowest was found in G06 and G08.

3.2. Hair Diameter Measurement

The hair fiber diameter average results per group are presented in Figure 2.

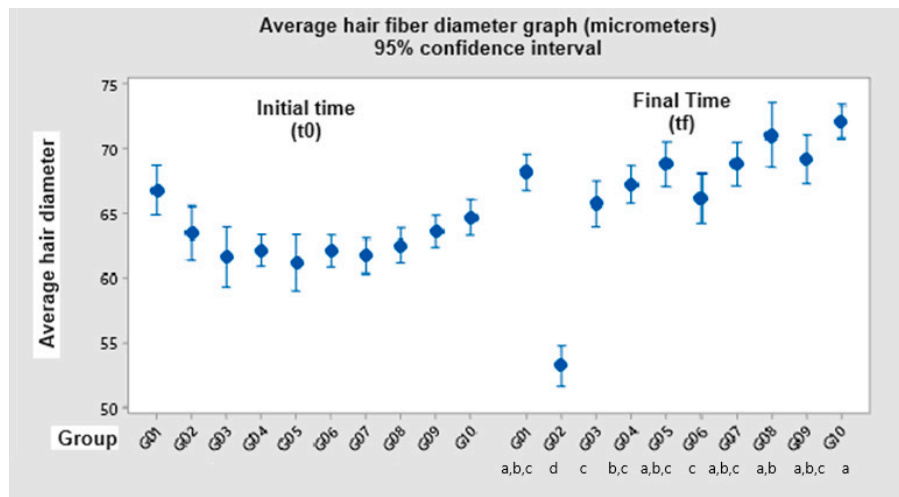


Figure 2. Hair fiber average diameter per treatment group and time. G01= virgin hair; G02= bleached hair; G03= ammonium thioglycolate 13.3%; G04= ammonium thioglycolate 9.4%; G05= AMP thioglycolate 12.5%; G06= AMP thioglycolate 7.9%; G07= sodium cysteamine 5%; G08= sodium cysteamine 4%; G09= combination of ammonium thioglycolate with sodium thioglycolate 2%; G10= combination of ammonium thioglycolate with sodium thioglycolate 1%. Groups that do not share a letter are significantly different.

Diameter difference between times (delta before and after treatment) was calculated per group and resulted in: G01 = 1.36; G02 = -10.18; G03 = 4.08; G04 = 5.07; G05 = 7.61; G06 = 4.06; G07 = 7.10; G08 = 8.47; G09 = 5.50; G10 = 7.39. These results show that only bleaching caused diameter reduction, and all straightening formulations increased diameter (positive delta values).

After statistical ANOVA analysis followed by Tukey post-test, treatments with no statistical difference were gathered under the same grouping letter as follows: variable "a": G01, G05, G07, G08, G09, G10; "b": G01, G04, G05, G07, G08, G09; "c": G01, G03, G04, G05, G06, G07, G09; "d": G02. Groups that do not share a letter are significantly different.

3.3. Scanning Electronic Microscopy (SEM) with Image Analysis

The SEM technique enables detailed 3D amplified imaging of hair fibers, allowing us to assess morphological integrity and cuticle damage [2,13]. Table 3 lists the selected fiber and image for each group and image analysis results (area percentage). Figure 3 shows the analyzed SEM images.

Table 3. Hair fiber and image selected and SEM image analysis results¹.

Group	Selected Fiber	Selected Image	Percentage area of cuticle shadow
G01	3	3	9.06%
G02	1	3	8.09%
G03	2	1	5.38%
G04	1	1	7.35%
G05	3	1	6.10%
G06	1	3	7.08%
G07	2	3	7.82%
G08	2	2	5.95%
G09	2	3	7.04%
G10	2	1	5.51%

¹ Image analysis corresponds to the percentage area of cuticle shadow of each fiber, which indicates fiber damage and porosity.

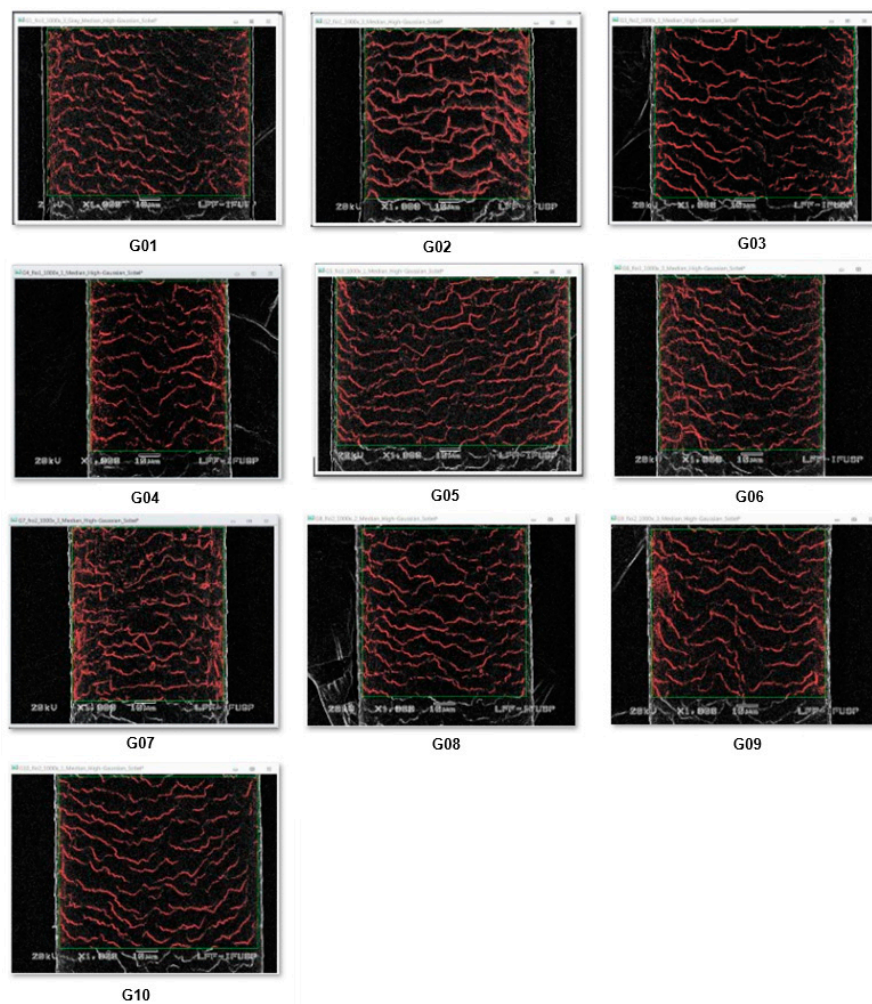


Figure 3. Analyzed SEM images per group.

3.4. Hair Thermal Analysis

Thermal analysis study sample's behavior after subjected to controlled temperature program to provide information about physical-chemical characteristics of each material [14,16–18]. In DSC technique we measure the energy difference between the sample and a reference material as a function of temperature, allowing us to identify specific thermal events.

Ascending peaks correspond to exothermic events and descending to endothermic. The TG/DTG analysis monitors mass variations in function of temperature and/or time and provides mass data on mass loss events [14,16–18]. The resulting thermal curves are shown in Figure 4.

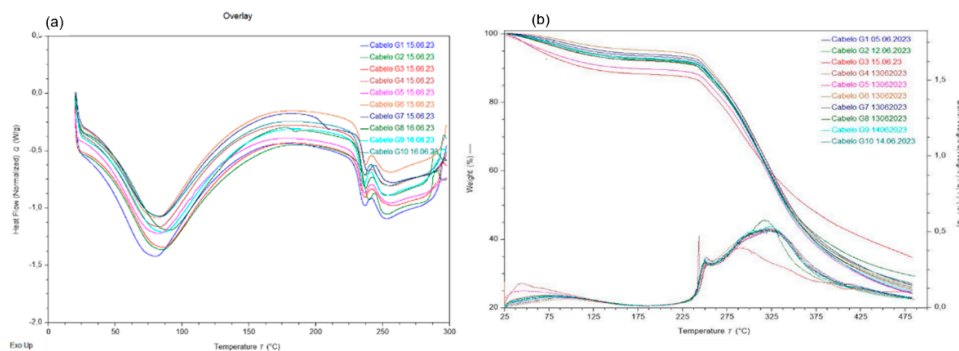


Figure 4. Thermal analysis profiles of the hair samples per treatment group. (a) DSC; (b) TG/DTG.

3.5. Assessment of Hair Breakage

This analysis allows to verify fragility points on hair fiber by counting the number of broken fibers after standardized cycles of hair combing and drying in thermal cycles machine [19]. The higher the number of broken fibers, the more damage has been caused to hair (more fragile hair). The sum of broken fibers per treatment are as follows: G01 = 99; G02 = 115; G03 = 85; G04 = 70; G05 = 93; G06 = 72; G07 = 67; G08 = 60; G09 = 103; G10 = 96.

When comparing these results, we could notice that bleached hair promoted the highest number of broken fibers, as expected (115 total). Also, some treatments promoted breakage lower than on virgin hair, with G04, G06, G07 and G08 being the lowest (best performance in this assay). Only treatment G09 led to more breakage than for virgin hair.

3.6. Mechanical Resistance

A dynamometer was used to measure the force (stress) required to deform hair fiber (strain) until rupture, obtaining a stress-strain curve. A typical hair fiber curve is composed of elastic/Hookean region (0-2% deformation), plastic (2-30%), post-plastic (>30% deformation), and breaking point. In the elastic region, deformation of the fiber increases proportionally with the applied force. In plastic region, fiber elongation increases significantly without requiring a substantial increase in force. This is caused by the conversion of alpha to beta-keratin, offering less resistance to applied force. In post-plastic region, the elongation becomes proportional to tension, with the resistance to deformation caused by the beta-keratin structure. Stretching continues until the fiber reaches the breaking point (fiber rupture) [19].

The mechanical resistance average results (maximum force) per treatment were: G01 = 0.0664; G02 = 0.0520; G03 = 0.0600; G04 = 0.0765; G05 = 0.0799; G06 = 0.0903; G07 = 0.0711; G08 = 0.0615; G09 = 0.0672; G10 = 0.0843. Figure 5 represents the results' interval graph.

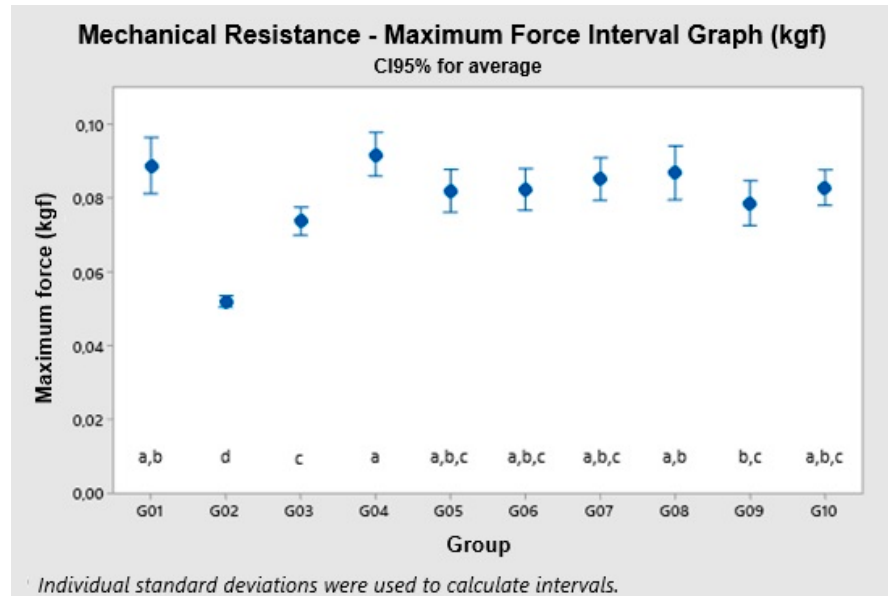


Figure 5. Mechanical resistance results per treatment – average maximum force values. Letters represent grouping variables of ANOVA analysis with Tukey post-test. Averages that do not share a letter are statistically different. Variables “a”: G01, G04, G05, G06, G07, G08, G10; “b”: G01, G05, G06, G07, G08, G09, G10; “c”: G03, G05, G06, G07, G09, G10; and “d”: G02.

After ANOVA analysis ($\alpha = 0.05$), G02 (bleached hair) was significantly different than all other groups demonstrating force reduction, as expected. Hair bleaching knowingly fragilizes hair fiber cuticle and cortex thus negatively influencing mechanical properties [2]. When comparing straighteners to virgin hair (G01), G03 was significantly different with lower force, unlike all other treatments. Still, G03 was better than bleached hair.

3.7. Fluorescence Confocal Microscopy

Fluorescence analysis was conducted to assess the extent of product penetration into hair fiber. Rhodamine, a fluorescent marker, was added prior application of the treatment products. The fluorescence observed in the images indicates areas where the product reached after contact with hair. This allowed us to visualize whether each treatment successfully penetrated to the cortex [20]. Products (straighteners) were applied to both virgin and bleached hair to examine the influence of bleaching treatment on hair permeation to straighteners. As all treatments were in contact with hair for the same duration, this analysis enabled us to assess the intensity of product diffusion. Fluorescence microscopy images are listed in Figure 6.

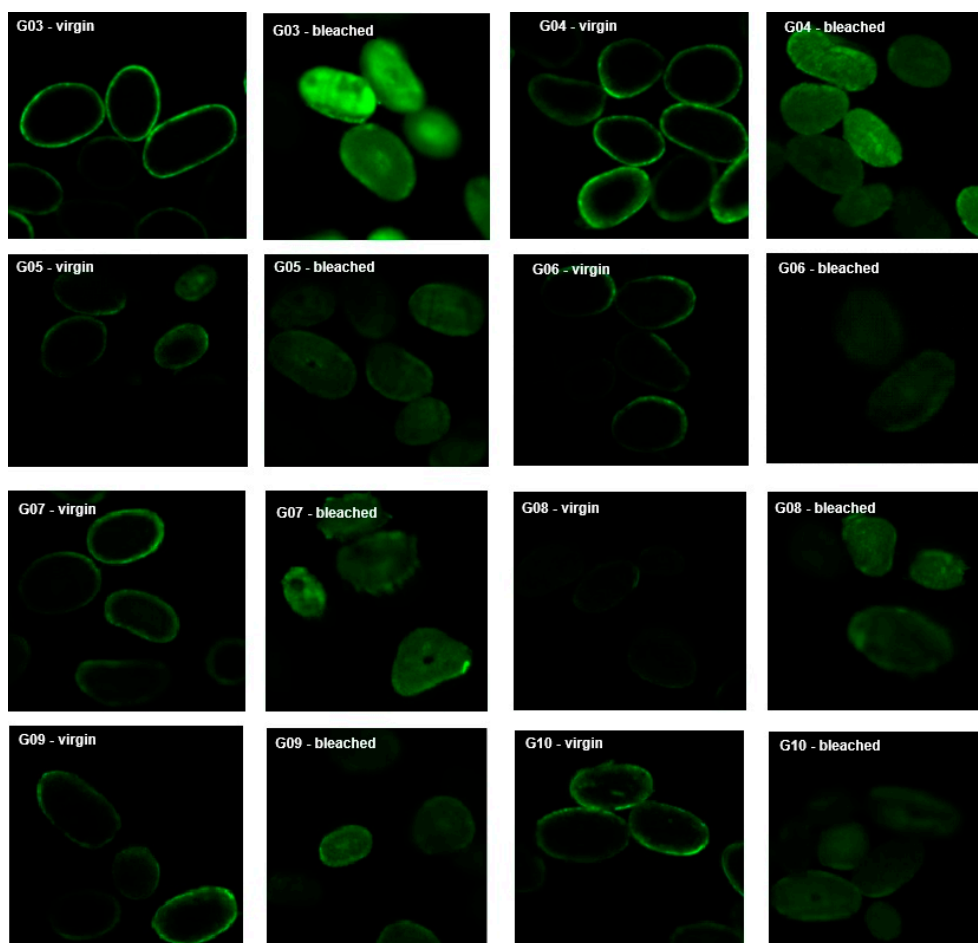


Figure 6. Fluorescence microscopy images of straightened bleached and virgin hair fibers.

Among bleached straightened hair locks samples, no difference in diffusion was observed between treated groups. Differences in product diffusion were observed among groups on virgin hair. Specifically, G05 and G06 showed the lowest penetration, G09 and G10 exhibited the highest fiber penetration.

3.8. Hair Gloss Measurement

The assessment of hair gloss (luster) serves as an indicator of cuticle integrity, which affects light reflection. Luster results obtained by Samba Hair equipment per group are described in Figure 7. Average values (G01 to G10) obtained were: 24.52; 2.66; 27.93; 29.32; 29.21; 27.71; 23.91; 29.03; 29.48; 28.02.

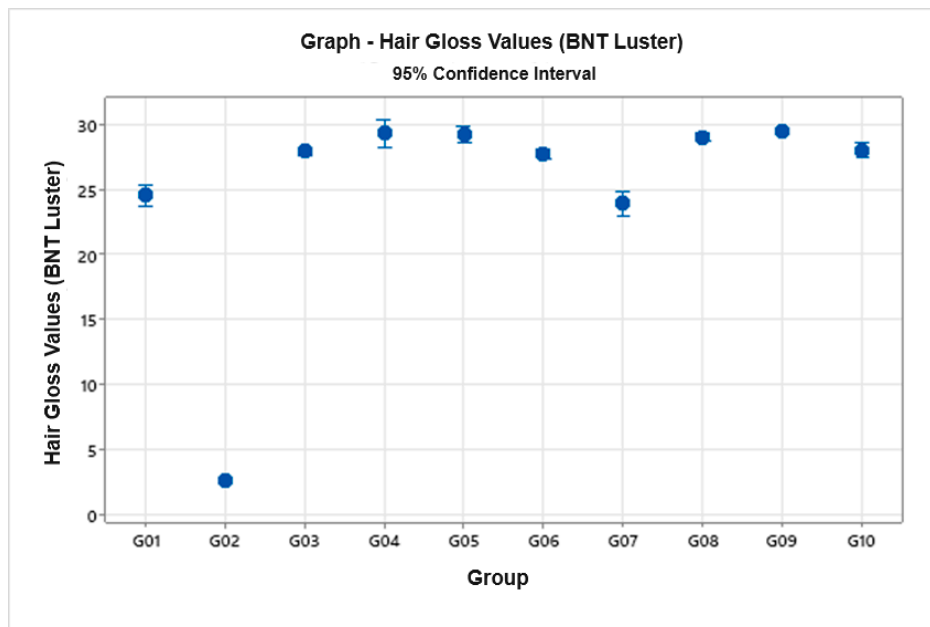


Figure 7. Hair gloss values (average and interval – BNT Luster) per treatment group.

In the statistical analysis, G02 luster values were significantly lower than all other groups, as expected due to damage from the treatment process. Compared to virgin hair (G01), G07 showed no statistically significant difference in luster indicating neither improvement nor substantial worsening in hair shine. However, G07 did differ significantly in luster compared to all other groups. Overall, all straightened hair groups, except G07, showed significantly improved shine compared to virgin hair.

4. Discussion

In this study we developed hair straighteners with different active ingredients for evaluation. Each selected active ingredient was incorporated into a cosmetic emulsion with a standardized polymeric association to enhance performance.

Ammonium thioglycolate has been widely used as hair straightener since 1932, and formulations with this active ingredient have been progressively improving over time. While olfactory discomfort during application, once considered an issue, improved considerably over the last decade. New research with polymeric associations combined with active fiber modifiers has also allowed significant improvement in ammonium thioglycolate's performance regarding straightening potential, reducing mechanical resistance loss, and improving compatibility with colored hair with by lowering oxidation levels [3,21].

Amino methyl propanol (AMP) thioglycolate, patented as BR 10 2013 017342 8 – INPI, is an option for reshaping and texturizing hair that has already undergone chemical processing, such as in cases of hair transitioning. The AMP thioglycolate operates within lower pH ranges, resulting in a reduced cuticle dilation and minimal permeation, making it gentler and more suitable for fine and more sensitive hair. On the other hand, it is less effective in resistant hair [2,21].

Sodium cysteamine, patented as BR 102022004790-1, is a straightening agent designed to modify texture of curly and tight curly hair [22]. It is formed by the combination of L-cystine (weak acid) with sodium hydroxide (strong base) with a pH range of 11.00-12.50 due to base strength predominance in its reaction [3,21].

The combination of ammonium thioglycolate with lower percentages of sodium thioglycolate was designed to enhance permeation more resistant hair, which exhibits higher release kinetics and

more intense effect during the beginning of the straightening process. While this specific association is novel in practice, it has been mentioned in literature [10].

All formulations have been assessed and proved to be safe and effective for hair straightening, with different behaviors concerning some assessed parameters, and differences in straightening performance. These differences are what bases applications directed to different hair types/conditions.

Average diameter may reflect product deposition and/or hair swelling, and it was determined to establish a correlation between the diameter, the level of chemical interference of the proposed formulas and their concentrations. This parameter tends to directly correlate with hair mechanical resistance: the larger the diameter, the greater the hair tensile strength [2,23]. Among all groups, bleached hair presented the smallest diameter with statistically significant difference compared to all other groups ($p > 0.05$). Also, bleached hair caused a significant decrease in hair strength after stress-strain assessment and fluorescence microscopy showed that it drastically increased hair permeation. This was expected and corroborates literature, as bleaching fragilizes hair and wears cuticle [3]. Discoloration can modify around 20% of hair fiber structure, compromising up to 45% of the mechanical resistance, associated with the denaturation of the sulfur bridges of the external structure (cuticle layers), increasing the permeation of cosmetics [3]. When comparing straightened to virgin hair (G01), there was no statistically significant difference for any of the treatments, with some showing a slight increase in average diameter (mainly G08 and G10).

Hair diameter results can be correlated with hair force results, as a higher diameter value tends to increase force. Concerning mechanical properties' assessment among straighteners, G03 promoted the highest damage to hair fiber (force reduction). As in diameter assessment, hair force (tensile strength) can also be correlated to the polymeric association added to the formulations, which can be used to control active liberation kinetics [24–26].

Results concerning hair loss by breakage also corroborate hair diameter and force results. In this assay we can highlight the good performances of G04, G06 and G08, all of them with lower actives' concentrations, thus evidencing a smoother active force and the exceeding polymeric association in formulations, which protected hair.

Hair morphology, cuticle damage and porosity were assessed using SEM combined with image analysis. The cuticle shadow area in image analysis can be related to hair porosity: the larger the cuticle shadow area, the larger the damage points observed in hair tress morphology and, consequently, the more porous the hair. The exception to this condition occurs when the damage to the cuticle is so extensive that it is removed from the hair, causing total cortex exposure. In this case, the percentage area observed will be lower than that of intact hair [2]. This assay allows to analyze hair surface damage level by evaluating the sum of the area occupied by the openings at the edges of the cuticular cells, caused by the morphological change due to chemical procedures, also simulating the potential for contraction and reorganization of the hair structure, indirectly determining the level of damage for each group [2]. The SEM images were selected for image analysis based on software's requirements to achieve a more robust and coherent analysis concerning visual morphology.

After analyzing SEM images, we observed good surface uniformity with more preserved cuticles for virgin hair. However, some porosity was observed in G01 probably due to pre-washing with sodium laurate sulfate. Bleached hair (G02), as expected, presented intense wear and lixiviation of cuticle layer, corroborating diameter and mechanical resistance findings. In addition, no significant alterations were observed for hair straightener groups, with porosity values occurring in accordance with literature [3]. This demonstrated that all formulations reached an adequate balance level for hair shape and texture modification without excessive damage.

The lowest porosity results were found in groups with higher actives concentrations such as G03 and G05 (ammonium thioglycolate and amino methyl propanol thioglycolate). This may be explained by a better polymeric coverage in these formulations, which might contribute to controlled release kinetics of these actives. On the other hand, other with high active concentration groups presented the highest porosity values, such as G07 (sodium cysteamine) and G09 (combination of ammonium thioglycolate and sodium thioglycolate). For G07 and G09, slightly higher porosity was expected, as

these actives are known to promote greater hair permeation and hair structure modification induction. A more pronounced difference in cuticle wear~~ing~~ is expected for more alkaline straighteners (sodium cysteamine and hydroxides) and oxidizing agents (thioglycolic acid salts and esters) [3].

Another parameter that reflects cuticle integrity is hair gloss/luster, which was measured with Samba Hair [27]. In our findings, we observed that straightened hair, except for G07, showed significantly improved luster compared to virgin hair. This result supports the findings of Bloch and co-workers in 2021, in which hair straightened with ammonium thioglycolate demonstrated better hair shine results after sensory analysis by trained panelists compared to virgin hair. The authors concluded that this improvement could be attributed to the greater alignment of fibers after straightening, enhancing light reflection [28]. Goshiyama (2019) also evaluated hair luster by Samba Hair of locks straightened at different acid pH values (pH 1.00 and pH 2.00), comparing them to each other and to virgin hair, and concluded that “the higher the shine, the more aligned the thread”. The author also mentioned the interference of different shades and curl level on this parameter [29].

As to thermal analysis results for DSC (dry methodology – pin hole crucible), all samples exhibited similar thermal profiles composed of three endothermic events: water loss between 30°C and 160°C, denaturation and pyrolysis of intermediate keratin fibers between 229°C and 236°C. In the DSC curves we can also observed a third peak around 250°C for all samples. Wortmann and Deutz (1998) attributed this peak to ortho-cortex cells, which have lower melting temperature than para-cortex. This difference may be due to the varying cysteine content and disulfate bounds between these cortex cell types: ortho-cortex cells have a lower amount of disulfate bounds than para-cortex [30]. Our findings also support the work of Popescu and Gummer (2016), who reported that keratin fibers present a typical endothermic peak around 230°C, which was attributed to thermal denaturation of the keratin alpha-helices [31].

When analyzing our Dry-DSC results comparing treatments, we observed that thermal denaturation peaks for G02 and G07 occurred at higher temperatures than G01 – increasing from 235°C to 239 and 238°C respectively. Other straighteners behaved similarly to G01. This suggests that G02 and G07 produced more significant alteration in the organization of intermediate fibers. Wortmann and co-workers (2020) attribute an increase in hair denaturation temperature to a reorganization of organic chains after denaturation, and the reduction of denaturation enthalpy to disorganization of keratin structure after bleaching [32]. We could also attribute this result to the considerable difference in pH range for sodium cysteamine (G07 and G08 – pH around 4 points more alkaline), which increases its protein denaturing capacity. These endothermal events for sodium cysteamine suggest a greater potential for modifying hair structure modification, indicating the beginning of the transformation of crystalline proteins into amorphous and more flexible forms, with a reduction in peripheral disulfate bounds. From a practical marketing perspective, this implies less need for thermal interferences (such as hair dryers and ironing) in procedures that aimed to modify hair texture with this active.

For the TG results, we observed that all samples presented thermogravimetric profile typical of hair samples with three thermal events:

- First event (peak 1): water loss at 50-150°C.
- Second event (peaks 2 and 3): onset of matrix pyrolysis and disorganization of the keratin structure at 250-350°C.
- Third event (peak 4): degradation of keratin’s carbon structure until 500°C.

These findings support the literature. Monteiro and co-workers (2005) tested virgin hair and observed a first mass loss event at 25-131°C attributed to water liberation, a second and a third mass loss event attributed to keratin denaturation with microfibrils’ and matrix’s degradation at 280-350°C, and an event attributed to total degradation of hair keratin carbonic chains at 350-550°C. The authors also observed different behavior for bleached hair, with complete protein degradation at higher temperatures [33]. Lima (2016) compared thermal events of Caucasian, Asian and afro-ethnic hair and observed three thermal events: a water loss event at lower temperatures (25-200°C for Caucasian hair; 25-195°C for Asian and 25-170°C for afro-ethnic hair); a thermal keratin

degradation/decomposition at intermediate temperatures (200-460°C, 200-460°C and 170-432°C respectively for Caucasian, Asian and afro-ethnic hair); and a complete degradation of keratin carbonic chains event (460-690°C, 460-685°C and 432-650°C respectively for Caucasian, Asian and afro-ethnic hair) [14].

In our work, when comparing mass loss temperatures between treatments, there was a significant difference between G01 (virgin hair) and G02 (bleached hair) concerning degradation events (specifically peak 3) which corroborates findings from Monteiro and co-workers (2005). According to the authors, damage to Caucasian hair causes a reduction in the number of mass loss stages, what is compatible with the DTG peak softening in degradation onset of G02 compared to G01. Also, for G02 degradation occurred at a lower temperature (317°C) than G01 (329.3°C), indicating hair damage [33]. Regarding straighteners, most samples behaved similarly in terms of degradation events (peak 3) except for G03 and G07. Only G03 presented a degradation peak (peak 3) lower than G02, with 47.1% mass loss at 294°C for G03 versus 54.5% mass loss at 317°C for G02.

The lowest observed mass loss and consequent largest residue in the end of the assay were groups G02 and G03 likely due to the complex hair cortical and cuticular structures. Treatments may have caused a reduction in cuticle, matrix or other components which contributed to lower mass loss in degradation events (peaks 2 and 3), leading to a bigger residue after 500°C [34].

G03 and G05 presented the highest mass loss percentages due to evaporation under lower temperatures (11.8% at 43°C and 10.8% at 49°C, respectively), which could be considered proportional to their strength in dilating cuticle and facilitating permeability of thioglycolic acid. They also reduced onset temperature of mass loss by dehydration, which could be attributed to increased fiber porosity given by these dilators. This may result in a greater potential for hair dryness after straightening, highlighting the need of additional products for hair maintenance such as shampoos, conditioners and modelling products, to compensate for this increased water loss [34].

All performed assessments highlighted damages and structure alterations caused by bleaching to fiber, and fluorescence microscopy reinforced this fact, as expected. Bleaching makes hair more permeable to substances, as observed in the bleached groups for all treatments. This pre-treatment significantly increased permeability of all straighteners compared to virgin hair. When comparing straighteners on virgin hair regarding permeation in cortex by fluorescence microscopy we observed that:

- G03 permeated slightly more than G04, what was favored by the higher pH range and higher ammonium hydroxide's (dilator) concentration.
- G05 and G06 penetrated the least among all treatments and had a very smooth action, what was expected, as they act at the lowest pH values (7.5-8.5) and their dilator (amino methyl propanol) is softer.
- When comparing G07 and G08, G07 permeated more, which could be attributed to the difference in active available in free form as well as a difference in active's release kinetics due to the polymeric associations.
- G09 permeated slightly more than G10, what was probably favored by the increased pH range and higher amount of the dilator ammonium hydroxide. In these groups, sodium thioglycolate acted as a permeation accelerator and increased the liberation kinetics of the reductor active – thioglycolic acid.

Based on all obtained results, we can infer that all assessed straighteners proved to be safe and effective on wavy Caucasian hair. Each formulation showed optimal performance for specific hair condition.

Ammonium thioglycolate (G03 and G04) presented good straightening potential and permeability as shown in fluorescence microscopy. The Formulations were well-balanced in proportion of active ingredients' concentration and are suitable for Caucasian type 2 hair with marked waves [5,34].

Amino methyl propanol thioglycolate (G05 and G06) showed a milder straightening effect compared to other groups, remained effective and induced the least alterations to the hair fiber.

Therefore, these formulations are best suited for finer Caucasian type 2, hair oxidized with 30 or 40 Volumes (9% or 12% H₂O₂ respectively) or chemically processed hair [2,34].

Sodium cysteamine (G07 and G08) proved to be ideal for more rigid structures with more need of modifications on external structures, such as in hair types 3 and 4 (curly and tight curly hair). The observed anticipation of thermal events in DSC along with increased diameter and resistance, luster improvement and improved structural flexibility (resulting in reduced breakage) suggest that these formulations are best suited for these hair types [11].

The combination of ammonium and sodium thioglycolates (G09 and G10) led to stable and safe results with well-controlled straightening strength, mainly for G09, which presented greater straightening efficacy. This combination is most appropriate for Caucasian type 2 hair with average to thick textures, higher resistance and more pronounced waves [11,34].

5. Conclusions

In conclusion, developing safe and effective hair straighteners is a significant challenge for the cosmetic industry, as these products must modify hair texture with minimal damage. Given the diversity in hair types, each with unique resistance levels and responses to straighteners, there is a need for products with varied kinetics, behavior, and intensity. This study successfully developed texture-modifying formulations with different active ingredient combinations, each tailored to specific hair types and conditions, and all of which demonstrated safety, low damage potential, and efficacy.

The results indicate that ammonium thioglycolate (G03 and G04) is well-suited for type 2 Caucasian hair, while amino methyl propanol thioglycolate (G05 and G06) is optimal for finer type 2 Caucasian hair and chemically processed hair. Sodium cysteamine (G07 and G08) is ideal for tight curly (Type 4) and curly (Type 3) hair, and the combination of ammonium thioglycolate with sodium thioglycolate (G09 and G10) is best suited for type 2 Caucasian hair with medium-to-coarse textures, higher resistance, and pronounced waves.

Furthermore, the results underscore the importance of polymeric associations in the preparation of emulsions, which played a decisive role in achieving the ideal viscosity and controlled release kinetics of the active ingredients. These findings contribute to the development of targeted, safe, and effective hair straighteners for diverse hair types, meeting the industry's demand for products with specialized performance.

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