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## Article

# The Quantum Chemistry Research of Polyenes. Part I. Conductivity of Polyacetylene (Polyethyne)

Igor S. Kovalev <sup>1,\*</sup>, Nibin Joy Muthipeedika <sup>1</sup> and Grigory V. Zyryanov <sup>1,2</sup>

<sup>1</sup> Ural Federal University named after the first President of Russia B. N. Yeltsin, 19 Mira Street, Yekaterinburg, 620002, Russia

<sup>2</sup> I. Ya. Postovskiy Institute of Organic Synthesis, Ural Division of the Russian Academy of Sciences, 22 S. Kovalevskoy Street, Yekaterinburg, 620219, Russia

\* Correspondence: ekls85@yandex.ru

**Abstract:** The conductivity of polyacetylene has been investigated by using DFT quantum chemical computation of the polyacetylene (PA) oligomers' bandgap with B3LYP1/def2-TZVP level of theory. The higher conductivity of the PA trans-isomer compared to the cis-isomer was confirmed. It was found that increasing the conjugation length of PA units asymptotically leads bandgap to a value of 1.26 eV for trans-isomer and 2.01 eV for cis-isomer respectively. Dramatical effect of doping on the PA conductivity was proven. This communication discusses the effects of doping by oxidation/reduction of PA (p/n doping), as well as by bromination and deprotonation. The good insulation property of polytetrafluoroethylene (PTFE) was also confirmed. An approach to find out the optimal dopant for best PA conductivity has been proposed at the later stage.

**Keywords:** polyacetylene conductivity; DFT study; bandgap; doping effects

## 1. Introduction

Polyacetylene (PA, polyethyne) is a well-known conductive organic polymer. Linear trans-polyacetylene was firstly prepared by G. Natta in 1958 [1]. Trans(E)- and cis(Z)- linear PA can be prepared with good degree of stereospecificity [2]. PA can be considered as single-dimensional carbon material in which one hydrogen atom is attached to each carbon atom. Conductivity of PA depends mainly on its structure (cis- or trans-isomers) and trans(E)-PA operate better as conductor ( $4.4 \times 10^{-5} \Omega^{-1}\text{cm}^{-1}$ ) than cis(Z)-PA ( $1.7 \times 10^{-9} \Omega^{-1}\text{cm}^{-1}$ ). Pristine PA is a semiconductor, but, after doping with halogens, Lewis acids or oxidants/reductants (p/n-doping), its conductivity increases up to seven orders of magnitude. Hence it obtains metal properties in respect of conductivity after doping, irrespective of its initial polymeric (cis or trans) form [3], [4].

The energy bandgap between HOMO and LUMO orbitals can be used to estimate the conductivity of organic compounds. According to literature reports, the bandgap in E-PA appeared to be 1.4 eV based on the NIR spectrum [3]. The molecules with wide bandgaps (more than 3.0 eV) are insulators, while narrower energy gap attributes to semiconductors, and the molecules with ca. 0 eV energy gap are metal-alike conductors.

Another way to measure conductivity is to estimate a Fermi level. In organic compounds, it can be considered as an energy barrier, i.e. an energy needed to add electron to organic molecule or to remove an electron into infinity from the same molecule. Neutral molecule results in a cation/anion radical at the end of process. The smaller this barrier, the easier it will be for a substance to conduct the electric current. Thus, the Fermi level for an organic molecule can be considered as the  $\Delta E$  of Total Potential Energy (TPE) between the Transition State (TS) and the Ground State (GS) of this molecule in the electron removal/addition process on the reaction coordinate from GS to the cation/anion radical state. Unlike the bandgap energy, which is quite simple to calculate by using quantum

chemistry methods, finding the TS on the Potential Energy Surface (PES) utilizing the same methods is a black art.

The average molecular weight ( $M_w$ ) of PAs obtained by various methods fluctuates in the range of 5-12 kDa [5]. Since the DFT calculations of such large molecules are impossible using conventional computer systems, we decided to study the sequence of PA oligomers with hydrogens as end groups to simplify the calculations. The study of entire sequence of oligomers is very expensive and complicated task in terms of computer time and practicality. Therefore, a set of prime numbers  $\{n\} = \{1, 2, 3, 5, 7, 11, \dots, 83, 89, 97\}$  was chosen, where the prime number reflects the number of double bonds in each PA oligomer.

So, the study of bandgap of PA oligomers by quantum chemical methods can be suggested as a tool for studying the conductivity of PA theoretically.

## 2. Materials and Methods

Preliminary DFT calculation studies were performed with Firefly QC package [6], which is partially based on the GAMESS (US) [7] source code at the PM3/B3LYP/def2-TZVP level of DFT theory. This software package has fast executable code, but unfortunately due to its 32-bit architecture and the problem of allocating a large array of contiguous memory when dealing with PA molecules which have more than 47 double bonds, the use of this software package was discontinued. Nevertheless, working with this package gave preliminary promising results and inspired us to continue. Preliminary results can be found in SI.

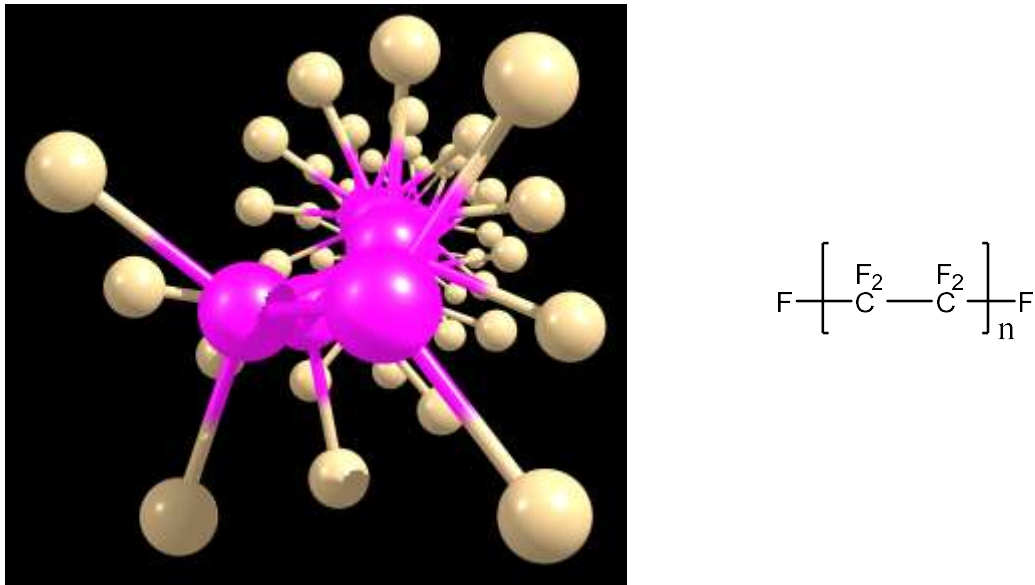
The main DFT calculations were carried out at the PM3/B3LYP/def2-TZVP level of DFT theory with D3BJ correction using ORCA 6.0 QC package [8]. Closed-shell systems were treated with the restricted DFT approach, while open-shell systems were treated with the unrestricted DFT approach. As for all structures, positive vibrational frequencies were exclusively obtained, all results shown in this paper refer to true minimum energy geometries.

The Chemcraft program (<http://www.chemcraftprog.com/>) was used for visualization.

Math analysis and extrapolation were performed with the help of TableCurve 2D v5.01 program.

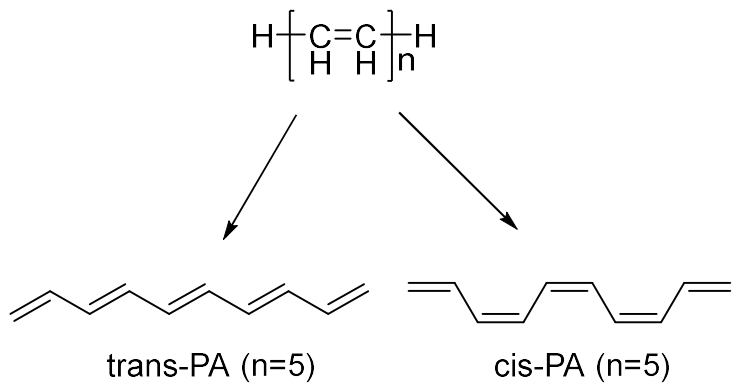
## 3. Results and discussions

To verify the validity of the statement that a compound with a high bandgap value is an insulator, we investigated the bandgap of such a well-known organic insulator polytetrafluoroethylene (PTFE), which is used in high voltage wires. Two molecules with the number of monomer units of 11 and 23 were investigated. Interestingly, we found that the optimized monomers have a helical pattern of the  $\text{CF}_2$  units' arrangement. Figure 1 shows an example with  $n = 11$  units as well as the general molecular formula of the PTFE oligomers studied. The calculated bandgap values were of 8.161 eV for the oligomer with  $n = 11$  units and 7.972 eV for the oligomer with  $n = 23$  units. Indeed, these two examples of PTFE oligomers exhibited high bandgap values, which are practically independent from the polymer chain lengths, which confirms their good abilities to act as insulators.



**Figure 1.** Molecular structure (n = 11) with helical shape and general formula of PTFE.

At the beginning of the study, we calculated the dependence of bandgap from the number of double bonds in PA oligomers. Both cis-PA and trans-PA were investigated initially. The sequence of the number of double bonds in PA was taken as the sequence of prime numbers from the first hundred {n} = {1, 2, 3, 5, 7, 11, ..., 83, 89, 97} with H-atoms as ending groups, Figure 2. The results are presented in Table 1:

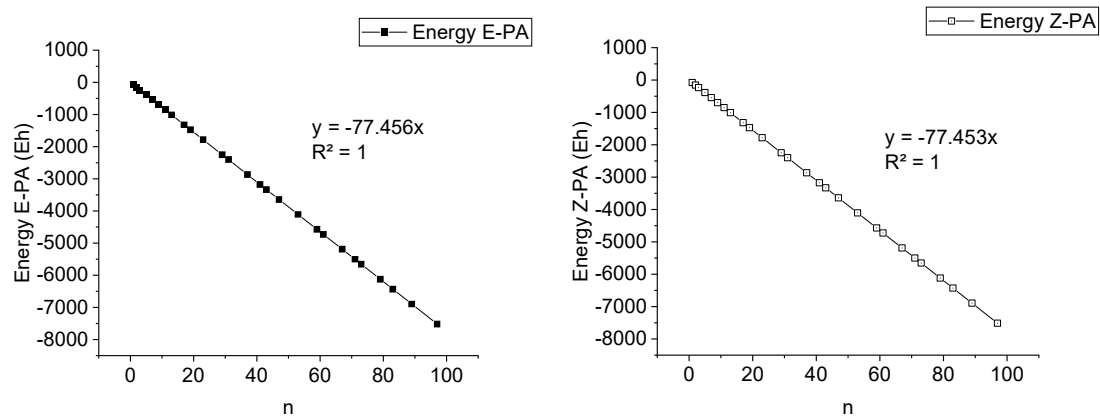


**Figure 2.** Structure of PA with examples (n = 5).

**Table 1.** Results of DFT study of the E/Z-PA oligomers.

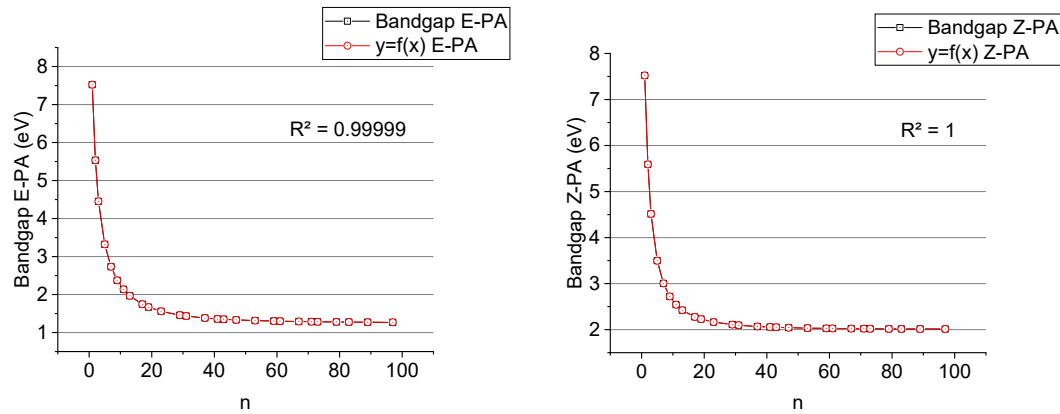
n	Energy E-PA, E <sub>h</sub>	Energy Z-PA, E <sub>h</sub>	Bandgap E-PA, eV	Bandgap Z-PA, eV	Delta Bandgap, eV	Delta Energy, E <sub>h</sub>
1	-78.59380543		7.521259		0	0
2	-156.058	-156.052	5.53171	5.589943	0.058233	0.005736
3	-233.494	-233.491	4.451791	4.514867	0.063076	0.003057
5	-388.367	-388.357	3.319570	3.498351	0.17878	0.009872
7	-543.24	-543.223	2.732616	3.004052	0.271436	0.017021
9	-698.114	-698.09	2.374784	2.720534	0.345751	0.024309
11	-852.988	-852.957	2.135648	2.542298	0.40665	0.031669
13	-1007.86	-1007.82	1.965793	2.422105	0.456311	0.039057
17	-1317.61	-1317.56	1.743964	2.275597	0.531633	0.053876
19	-1472.48	-1472.42	1.668588	2.22931	0.560722	0.061282
23	-1782.23	-1782.16	1.560123	2.163812	0.603689	0.076112

29	-2246.85	-2246.76	1.458678	2.109906	0.651228	0.098389
31	-2401.73	-2401.62	1.434759	2.095729	0.66097	0.105774
37	-2866.35	-2866.22	1.381859	2.068707	0.686848	0.12806
41	-3176.1	-3175.96	1.355927	2.057088	0.701161	0.142916
43	-3330.97	-3330.82	1.347845	2.051646	0.703801	0.15034
47	-3640.72	-3640.56	1.332824	2.043455	0.710631	0.165215
53	-4105.34	-4105.16	1.315681	2.034122	0.718441	0.187509
59	-4569.97	-4569.76	1.303109	2.027346	0.724237	0.209803
61	-4724.84	-4724.62	1.299572	2.025985	0.726414	0.217223
67	-5189.46	-5189.22	1.290809	2.021876	0.731067	0.239519
71	-5499.21	-5498.96	1.286102	2.019808	0.733706	0.254358
73	-5654.08	-5653.82	1.284007	2.019046	0.73504	0.261778
79	-6118.71	-6118.42	1.278564	2.016924	0.73836	0.284037
83	-6428.45	-6428.16	1.275544	2.015808	0.740264	0.298876
89	-6893.08	-6892.76	1.27168	2.014448	0.742768	0.321135
97	-7512.57	-7512.22	1.267534	2.013060	0.745526	0.350814



**Figure 3.** Dependence of E/Z-PA TPE from number of double bonds.

Based on the obtained results, we found that E-PAs possess lower TPE than Z-PAs because in the trans-isomer, the overlap of the p-orbitals of the conjugated double bonds is slightly more efficient. TPE of E/Z-PA increases linearly upon the increase in the number of double bonds, Figure 4. The bandgap tends to some value near ~1.26 eV for E-PA and ~2.01 eV for Z-PA respectively when n is increasing, Figure 4.



**Figure 4.** The plots of E/Z-PA bandgap dependance from the double bonds number n with extrapolating functions.



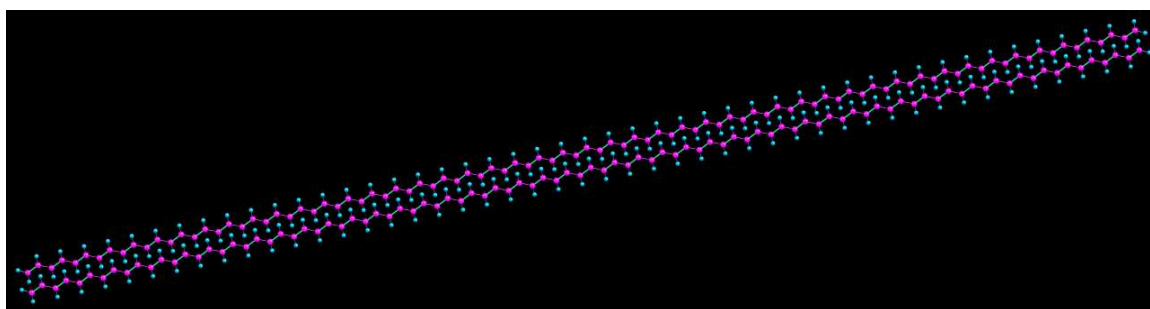
Extrapolation of the obtained data was performed to find out the precise values of bandgap to which ones tend asymptotically. Such math analysis was carried out by using TableCurve 2D v5.01 program.

For E/Z-PA data sets, Equation 1 was chosen to be best for extrapolation. In the case of E-PA, bandgap value tends to  $a = 1.265$  eV ( $R^2 = 0.99999$ ) when  $x(n) \rightarrow \infty$ , Equation 2. For the  $n = 461$  ( $n = 461$  for the longest known PA with  $M_w = 12$  kDa), the bandgap appeared to be 1.26 eV. In the case of Z-PA, the bandgap value tends to  $a = 2.012$  eV ( $R^2 = 1$ ) when  $x(n) \rightarrow \infty$ . For  $n = 461$ , the bandgap appeared to be 2.01 eV (see corresponding SI Excel sheets for more information).

$$y = a + b/x + c/x^2 + d/x^3 + e/x^4 + f/x^5 + g/x^6 + h/x^7 + i/x^8 + j/x^9 \quad (1)$$

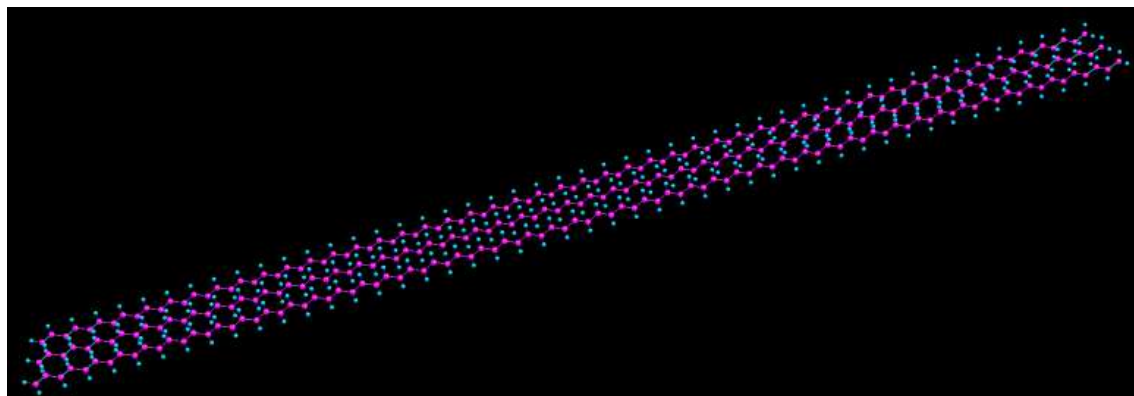
$$\lim_{x \rightarrow \infty} y = a \quad (2)$$

Next, the assumption of the mutual influence of the overlap of two PA chains in space on the bandgap was tested. Two pre-optimized E-PA chains containing 94 carbon atoms ( $n = 47$ ) were chosen. Initially, they were located one above the other in parallel to xy domain planes with a starting distance of 3.4 angstrom (distance between the graphene layers in graphite). After that, the optimization was performed with geometric constraints of x and y coordinates due to pre-optimization of the separate chains which allowed them to be intact. Optimum/minimum energy geometry at 3.771 Å was achieved. An energy bandgap was found to be 0.871 eV, which is 30% lower than the bandgap of the E-PA with the longest known chain, Figure 5.



**Figure 5.** Two chains of E-PA ( $n = 47$ ), total overlap with intermolecular distance 3.4 Å.

The Single Point (SP) calculation of the such two chains, but with distance 3.4 Å gave the bandgap 0.498 eV. The same operation with three E-PA chains containing 94 carbon atoms each ( $n = 47$ ) produced energy bandgap 0.294 eV in the case of total overlap, Figure 6.

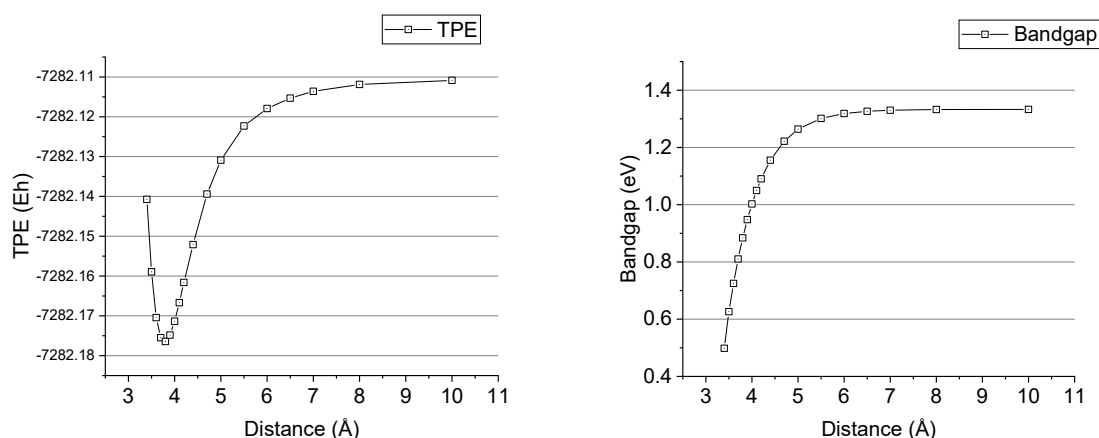


**Figure 6.** Three chains of E-PA ( $n = 47$ ), total overlap with intermolecular distance 3.4 Å.

The sequence of SP calculations was performed subsequently for two chains with total overlap of E-PA ( $n = 47$ ), in which distance between chains increased from 3.4 to 10 angstrom. The results are presented in Figure 7 and Table 2:

**Table 2.** Results of DFT study of the E-PA two oligomers cluster.

Distance, Å	Energy, Eh	Bandgap, eV
3.4	-7282.140733	0.498489
3.5	-7282.158941	0.626139
3.6	-7282.170451	0.724999
3.7	-7282.175487	0.810334
3.8	-7282.176436	0.884105
3.9	-7282.174826	0.947834
4	-7282.171353	1.002639
4.1	-7282.166678	1.049742
4.2	-7282.161616	1.090314
4.4	-7282.152098	1.155323
4.7	-7282.139418	1.221855
5	-7282.130891	1.263924
5.5	-7282.122308	1.301449
6	-7282.117917	1.318538
6.5	-7282.115327	1.326375
7	-7282.113611	1.33013
8	-7282.111881	1.332498
10	-7282.110853	1.332879



**Figure 7.** Dependence of the TPE (left) and bandgap (right) from distance between two chains of E-PA oligomers ( $n = 47$ ) cluster.

As it can be seen from Figure 7 and Table 2, the minimum of TPE in E-PA of two oligomers' cluster corresponds to the previously obtained distance value of 3.771 Å, and tends to the double value of the single chain TPE when distance is large enough while the bandgap grows with distance from the value of 0.498 up to 1.333 eV, the latter corresponds to the exact value of single chain bandgap, see Table 1.

The influence of the overlap in the cluster of E-PA on the bandgap value was investigated as well. As can be seen from Table 3, there is a slight effect on the bandgap when some double bonds came out of the overlap. The SP calculations were carried out at the constant optimal distance 3.771 Å by shifting the chains relative to each other by the corresponding number of double bonds.

**Table 3.** Results of DFT study of the E-PA two oligomers cluster's overlap.

N dbl Bonds	TPE, Eh	Bandgap, eV
0	-7282.176603	0.871206586
-2	-7282.177489	0.871533125
-4	-7282.176373	0.873628418
-6	-7282.175045	0.874635247
-8	-7282.173649	0.876866598
-10	-7282.172246	0.879751028
-12	-7282.170834	0.88285315
-14	-7282.169412	0.886145754

Thus, the influence of intramolecular distance on the cluster bandgap was confirmed by using several E-PA oligomers with a chain length of 47 double bonds. The resulted bandgap was found to be 30% less than that of an isolated single chain at the optimal distance between two chains. As this distance decreases, the bandgap decreases even more. The effect is cumulative, and the bandgap decreases further when more chains interact. Quality of the overlap has a slight effect on bandgap. We rationalize that the same regularities would be observed in the case of Z-PA oligomers.

Finally, the investigation of influence of the doping on the conductivity of PA was performed. Even though the study of the TS of the process of cation/anion radicals' formation from PA is difficult, we investigated the final products of these processes. The process of removing an electron from a molecule can be considered as an oxidation process, while the addition of an electron can be considered as a reduction of the PA molecule. In other words, removing of one electron can be considered as a p-doping of PA while the addition of one electron is a PA n-doping. Initially, the cation/anion radicals of E/Z-oligomers with  $n = 29-53$  were studied. The bandgaps of the cation radical and anion radical were almost identical, so the study of anion radicals was discontinued and continued for [E/Z-PA]\*\* isomers up to  $n = 97$ . For [Z-PA]\*\* isomers, the bandgap value was 1.33 - 2 times lower, see Table 4.

**Table 4.**

n	Cation		Anion	
	E	Z	E	Z
29	0.435113	0.213747	0.437807	n/a
31	0.392582	0.189338	0.39465	n/a
37	0.289885	0.13848	0.291708	n/a
41	0.239217	0.116711	0.240959	n/a
43	0.217366	0.108003	0.217856	n/a
47	0.180848	0.093771	0.181039	0.094724
53	0.140711	0.078152	0.140657	0.079159
59	0.112819	0.066886	n/a	n/a
61	0.105309	0.063702	n/a	n/a
67	0.087839	0.055893	n/a	n/a
71	0.07856	0.05162	n/a	n/a
73	0.074805	0.049688	n/a	n/a
79	0.064845	0.044709	n/a	n/a
83	0.059485	0.041933	n/a	n/a
89	0.0528	0.038287	n/a	n/a
97	0.0459	0.034341	n/a	n/a

n/a -The value wasn't computed.

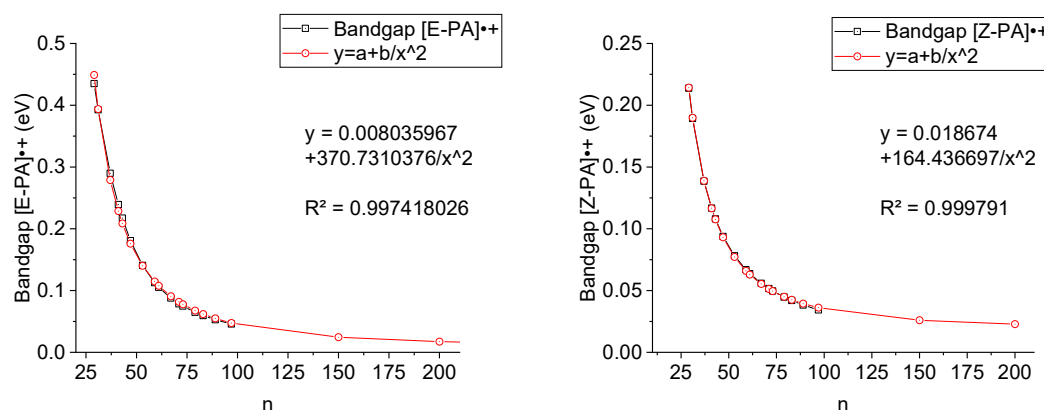
Extrapolation of the bandgap data of [E/Z-PA]\*\* relative to the number of chain units "n" gave a good result with the function presented in Equation 3, Figure 8. According to Equation (2), the



bandgap,  $a = 0.008$  eV ( $R^2 = 0.997418$ ) for E-PA, while  $a = 0.019$  eV ( $R^2 = 0.999791$ ) for Z-PA, when  $x$  tends to infinity. These values cannot be trusted due to possible extrapolation errors, however, there is a tendency for the difference in bandgap to decrease, see Table 4. Interestingly, the bandgap value (0.0272 eV) for the Z and E isomers become identical at  $n = 139$  according to Equation 4, see SI for details.

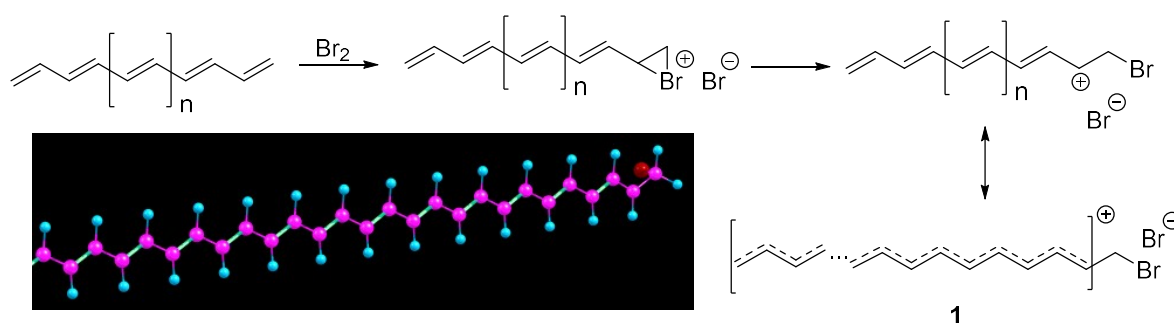
$$y = a + b/x^2 \quad (3)$$

$$n = \sqrt{\frac{(b_1 - b_2)}{(a_2 - a_1)}} \quad (4)$$



**Figure 8.** The plots of [E/Z-PA]<sup>••</sup> bandgap dependance from the double bonds number “n” with extrapolating functions (extended up to  $n = 200$ ).

Next, we investigated products of the bromination reaction of the E/Z-PA with  $n = 47$ . The bromination reaction with the outer double bond was considered yielding the most stable carbocation with the longest conjugation chain. The result would be a less stable product if the reaction would occur with any other double bonds because such reaction will produce separation of the conjugation by the  $C_{sp^3}-H$  fragment. On the other hand, the bromination reaction with a much longer chain (then presented in this work) even in the middle will result in the formation of considerable stable product with lower bandgap and good conductivity. Having reacted in this way several times, the long chain will contain several shorter conjugated cations, which should lead to a dramatic decrease in the bandgap of the entire polymer. Thus, the use of long chains will also allow increasing the dopant loading in the polymer. The restriction on using PA with a chain length of 100-150 units mentioned above is lifted in this way. An example of the E-PA reaction with bromine is presented in Scheme 1. Reaction with Z-PA proceeds in the same way.



**Scheme 1.** E-PA reaction with bromine. Insert – fragment of the optimized structure **1**.

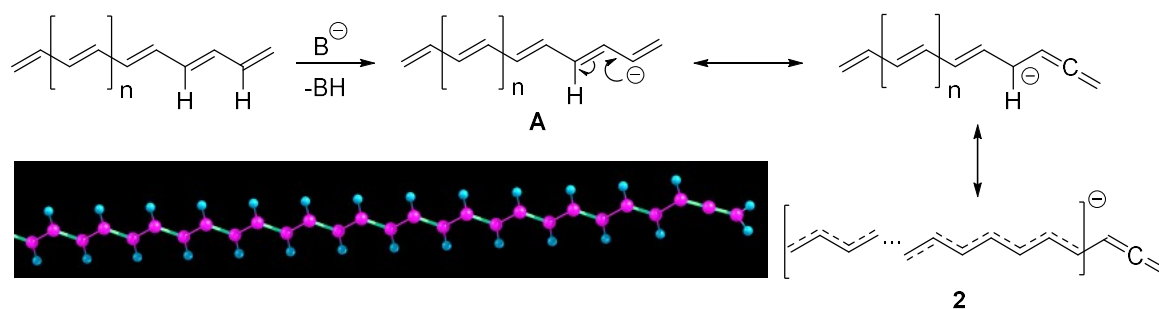
The resulting carbocation is very stable because the positive charge is highly delocalized along the long-conjugated polymer molecule and the bromide anion cannot “feel” feeble positive charge of

each carbon atom and, accordingly, cannot react with it. In support of this, two facts can be presented. First, if the resulting carbocation would easily react with a bromide anion to form a dibromo product, only the destruction of the outer double bond would occur. This transformation would only lead to a decrease in the conjugation chain and some increase in the bandgap will occur and PA remains a semiconductor if modified in that way. And the second, a dramatic decrease in the bandgap is observed in practice. Thus, it should be recognized that the doping with bromine results in the formation of a product with a lower bandgap, which can be confirmed by calculation. Indeed, after geometrical optimization of the reaction products, we observed lower bandgaps in respect of starting E/Z-PA with the same chain length ( $n = 47$ ), Table 5.

**Table 5.** Bandgap comparison between starting E/Z-PA ( $n=47$ ) and its bromination products.

Starting	Bandgap, eV	Product	Bandgap, eV	Decrease in Bandgap, %
E-PA	1.332824	[E-PA + Br] <sup>+</sup>	0.095485	93
Z-PA	2.043455	[Z-PA + Br] <sup>+</sup>	0.059920	97

Finally, we performed a bandgap study of the deprotonation products of E/Z-PA with  $n = 47$ . After the geometry optimization of canonical anion **A**, we obtained corresponding anionic structure **2** with terminal allene fragment, Scheme 2. Interestingly, in the structure **2**, there is no single C<sup>sp3</sup>H fragment due to strong delocalization of a negative charge. However, when an allene fragment is formed by means of the reaction of the internal C<sup>sp2</sup>H fragment, a conjugation through it is impossible. This occurs because of the presence of allene moiety with the p-orbitals planes lying, as it is known, in a perpendicular way from each other. Therefore, no advantage can be achieved compared to a doping through bromination. Indeed, after the calculation of the E/Z-PA anions, we achieved bandgap values comparable with the calculated bandgaps of the bromination reaction products, see Table 6. Reaction with Z-PA proceeds in the same way.



**Scheme 2.** E-PA reaction with base B<sup>-</sup>. Insert – fragment of the optimized structure **2**.

**Table 6.** Bandgap comparison between starting E/Z-PA ( $n=47$ ) and its deprotonation products.

Starting	Bandgap, eV	Product	Bandgap, eV	Decrease in Bandgap, %
E-PA	1.332824	[E-PA - H] <sup>-</sup>	0.102234	92
Z-PA	2.043455	[Z-PA - H] <sup>-</sup>	0.059484	97

## 4. Conclusions

By means of DFT calculations with PM3/B3LYP1/def2-TZVP level of theory, it was confirmed that such a well-known polymeric organic insulator as PTFE has a bandgap of ~ 8 eV and this value practically does not depend on the polymer chain length. This confirmed the statement that the insulators possess high bandgap and proved the applicability of the DFT calculations with the above-mentioned level of theory to be used in a frame of this work.

Regarding the improvement of the pristine PA conductivity - there is no point in increasing the number of polymer units more than 100-150 during the synthesis. Increasing the number of units further does not lead to a significant decrease in the bandgap (it tends to value 1.26 eV in the case of E-PA and 2.01 eV in the case of Z-PA), but leads to insoluble crystalline structures, which is not suitable, for example, for applying a conductor using inkjet printing technology. The computed value of 1.26 eV is close enough to the experimental value 1.4 eV in the case of E-PA. The PA synthesis can be quenched at the stage of 100-150 units, which can lead to a soluble form of PA with optimal conductivity.

It is confirmed that the trans-isomer of PA has a smaller bandgap than the cis-isomer which affects its better conductivity.

Having an optimal number of units, pristine PA nevertheless remains a semiconductor, the situation is not particularly improved by the fact that clusters of several closely spaced polymer molecules can have a reduced bandgap compared to even one longer chain composed from all available atoms. This positive effect is negated by the fact that in reality, PA clusters do not have an ideal overlap due to chaotical orientation of neighborhood PA chains in space.

Indeed, the use of dopants dramatically decreases the bandgap as well as increases the conductivity of PA, which is proven theoretically in this work by the calculations of the E/Z-PA anion/cation radicals, as well as the products of interaction with bromine and deprotonation products. Unlike pristine PA, the use of longer chains in doping is justified, since this will allow obtaining products with better bandgap characteristics and will allow increasing the dopant load in PA. It can be assumed that the presented approach can be used as a tool to find the optimal dopant for the best conductivity of PA utilizing conventional quantum-chemical calculations.

**Supplementary Materials:** The following supporting information can be downloaded at the website of this paper posted on Preprints.org.

**Author Contributions:** Conceptualization, methodology, formal analysis: ISK, validation, writing—original draft preparation, writing—review and editing: ISK, MNJ and GVZ, data curation, resources, visualization: ISK and MNJ, project administration, supervision, funding acquisition: ISK and GVZ. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** Data are contained within the article and Supplementary Materials.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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